

# 50.039 Theory and Practice of Deep Learning

## W8-S1 Transition

Matthieu De Mari, Berrak Sisman



SINGAPORE UNIVERSITY OF  
TECHNOLOGY AND DESIGN

# About me

- **Dr. Matt** (Matthieu) **DE MARI**
- Lecturer at SUTD (Python, Deep Learning, AI, and more)
- Information Systems Technology and Design (ISTD) pillar/faculty
- PhD from CentraleSupélec (France)
- Email: [matthieu\\_demari@sutd.edu.sg](mailto:matthieu_demari@sutd.edu.sg)
- Office @ SUTD: 1.702.27



# Recap: Weeks 1-6

- **Week 1:** General introduction, linear model for regression and classification, recap on gradients for machine learning.
- **Week 2:** Introduction to PyTorch, classification using logistic regression. Basic concepts of Neural Networks.
- **Week 3:** Backpropagation, initialization and data augmentation. Convolutional Neural Networks. PyTorch practice.
- **Week 4:** Advanced optimizers and better ways to apply gradients. Transfer learning, brief state-of-the-art on computer vision architectures (ResNets, etc.). More PyTorch practice.
- **Week 5:** Recurrent neural networks (RNNs) architectures.
- **Week 6:** Conference. Midterm exam.

# What is coming next?

At this point, you have learnt the basics of Deep Learning, i.e., what most online courses teach.

**Advanced concepts are often missing online,** as they are

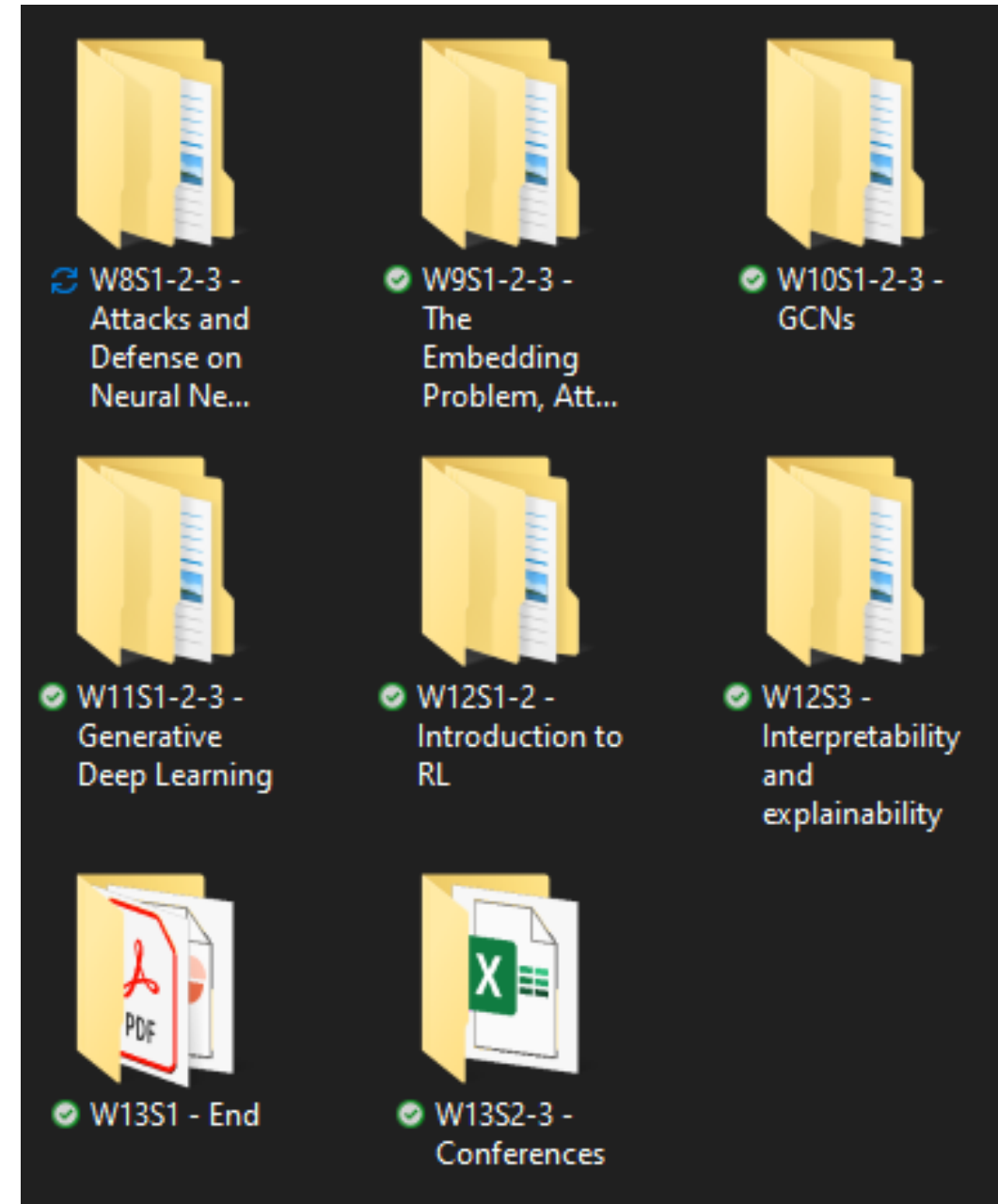
- open questions in research,
- too niche for an online course
- or simply not yet prepared into an online course.

In this second half of the 50.039 DL course, we propose to discuss some of these advanced concepts and research directions.

The Coursera logo, featuring the word "coursera" in a blue, lowercase, sans-serif font.The SkillShare logo, with "SKILL" in bold black uppercase letters and "Share." in a smaller, lowercase, sans-serif font, with a green dot over the 'i' in "Share".The LinkedIn Learning logo, featuring the "in" icon in a blue square followed by the word "LEARNING" in bold uppercase letters, and "WITH Lynda.COM CONTENT" in a smaller, lowercase, sans-serif font below it.The Udacity logo, featuring a stylized blue "U" icon followed by the word "UDACITY" in a bold, uppercase, sans-serif font.The Udemy logo, featuring a stylized red "u" icon followed by the word "Udemy" in a bold, lowercase, sans-serif font.The edX logo, featuring the letters "ed" in a purple, lowercase, sans-serif font followed by a large blue "X" in a bold, uppercase, sans-serif font.

# The way I teach things

- Each week has a specific topic.
- Notebooks demos that you can reuse for practice (**autonomy is key**).
- Discussions about more advanced concepts (often out-of-scope).
- Extra readings for those who are curious.
- If time allows, homeworks/projects/exam feedbacks.
- Materials uploaded each morning before class (or the day before class).
- Homeworks given on Fridays.
- Project announced on W5.



# 50.039 Theory and Practice of Deep Learning

## W8-S1 Introduction to Attacks and Defense on Neural Networks

Matthieu De Mari, Berrak Sisman



SINGAPORE UNIVERSITY OF  
TECHNOLOGY AND DESIGN

# About this week (Week 8)

1. What are **attacks** on Neural Networks (NNs)?
2. Why are attacks an **important concept** when studying NNs?
3. What are the different **types of attacks** and what is the intuition behind basic attacks?
4. How to **defend** against such attacks?
5. **State-of-the-art** of attacks and defense, **open questions** in research.

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# Attacks: definition

**Definition (Attacks on Neural Networks):**

**Adversarial machine learning, or attacks on Neural Networks,** refers to machine learning techniques that attempt to fool models by supplying deceptive input.

The most common reason is to cause a malfunction in a machine learning model.

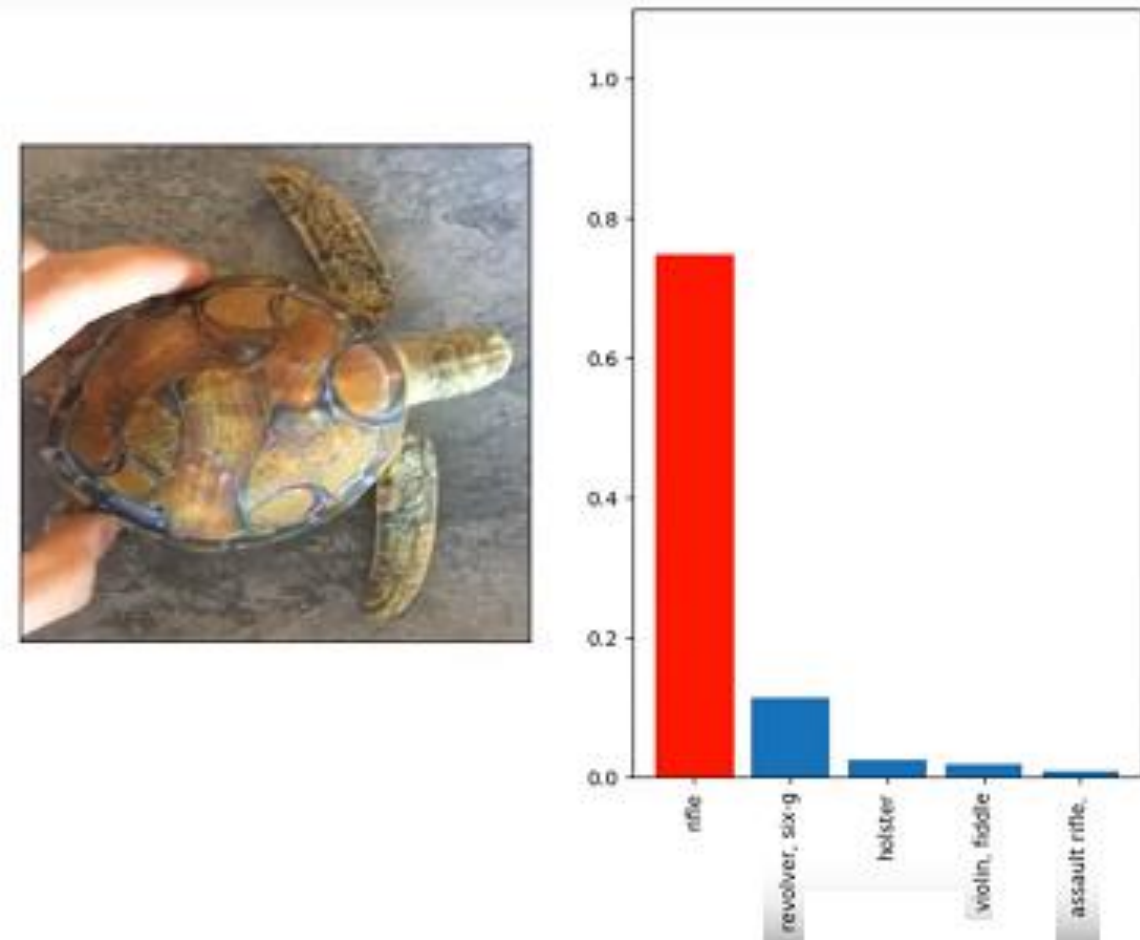


# Attack/Adversarial samples: definition

## Definition (**Attack samples, adversarial samples**):

An **input sample** is considered an **attack sample** (or **adversarial sample**) for a given trained model, if and only if, it makes this model malfunction on purpose.

**Example:** this picture of a turtle has been altered on some of its pixels to be misclassified as a weapon (rifle, revolver, etc.).



Source: Google's AI thinks this turtle looks like a gun, which is a problem [Verge1].

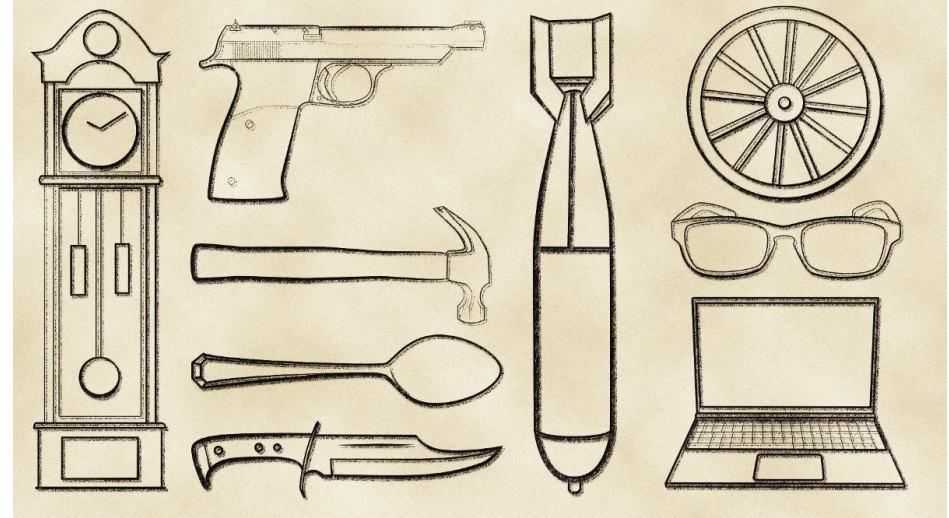
# On the ethics of **attacking** Neural Networks

This week's lectures and notebooks will introduce techniques, whose objective is to **make a trained Neural Network malfunction on purpose**.

- These techniques are NOT, so to speak, illegal.
- But let us keep in mind what the consequences of using these attacks could be...

Kranzberg's First Law

Technology is neither good nor bad;  
nor is it neutral.

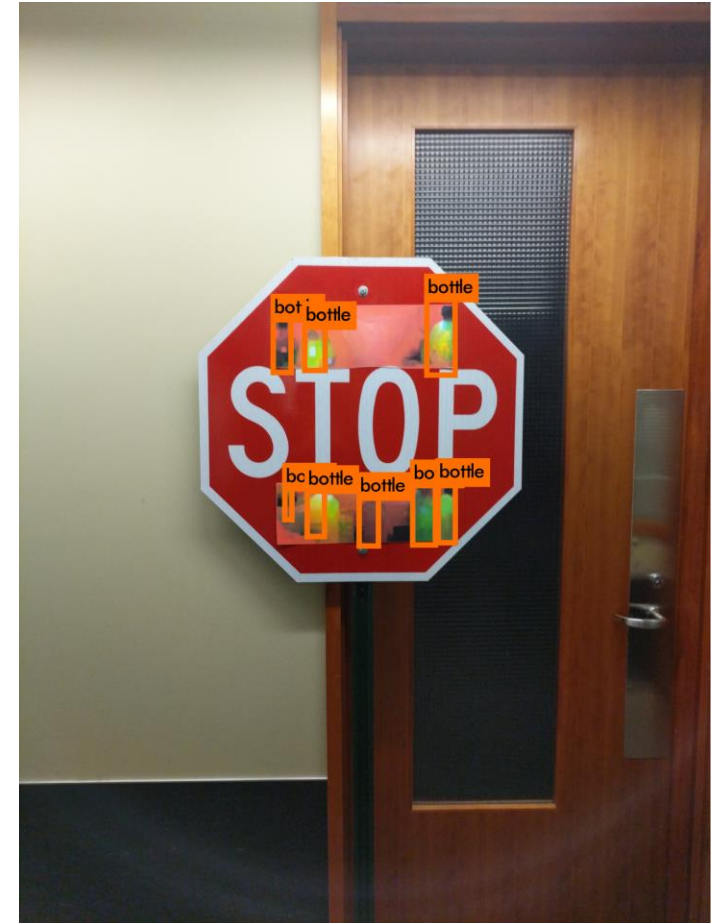




# On the ethics of **attacking** Neural Networks

- **Example #1:** This stop sign has stickers put in specific locations.
- It is an **attack sample** as it can no longer be detected as a stop sign, and is instead misclassified as several bottles.

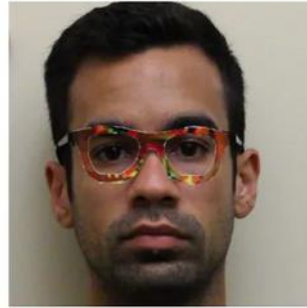
**Think: What would be the effect/consequence of such an attack sample on a self-driving car using computer vision?**



*Source: Slight Street Sign Modifications Can Completely Fool Machine Learning Algorithms [Spectrum1].*

# On the ethics of **attacking** Neural Networks

- **Example #2:** Covering some areas of your face with paint or glasses with specific patterns can fool facial recognition algorithms.
- These facial recognition AIs are no longer able to detect a face, let alone recognize the identity of the person.



(b)



(c)



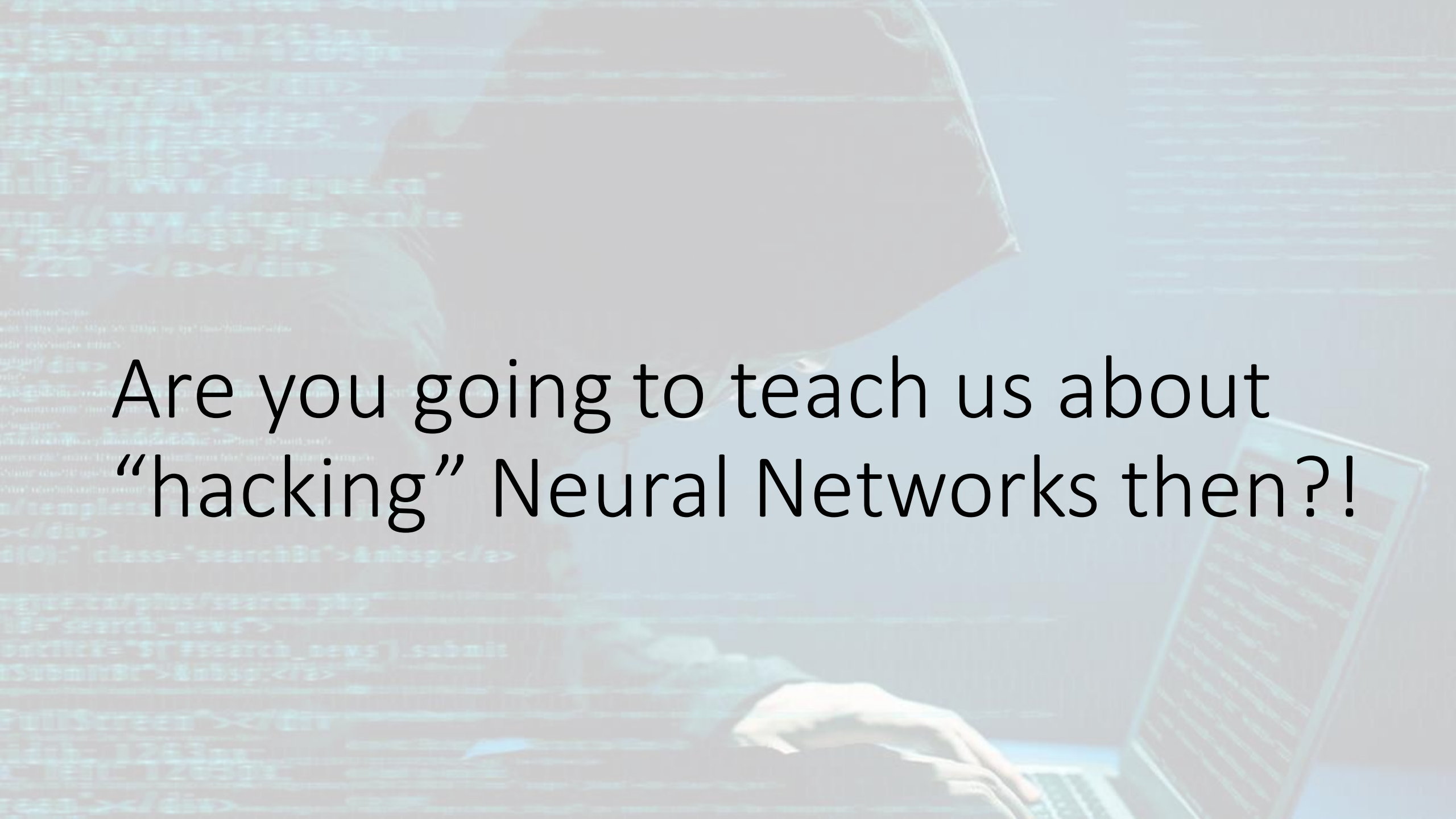
(d)



*Source: These glasses trick facial recognition software into thinking you're someone else [Verge2].*

*Source: Defeating Facial Recognition [YTB1].*

**Think: Is that a good or a bad discovery for computer vision?**



Are you going to teach us about  
“hacking” Neural Networks then?!



Are you going to teach us about  
“hacking” Neural Networks then?!

No...?





Are you going to teach us about  
“hacking” Neural Networks then?!

Okay, yes, fine.





# Are you going to teach us about “hacking” Neural Networks then?!

Okay, yes, fine.

But only for **two** reasons.

**To teach you about limits/vulnerabilities of Neural Networks  
and how to defend them against such attacks.**

# Reason #1: Limits

- **Reason #1**: Neural networks are **limited and vulnerable**, by design.
- **They will always be at risk of attacks making them malfunction, no matter how many safeguards you decide to put in place.**

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- For instance, adding noise to an image is often enough to fool any image recognition algorithm.



“panda”  
57.7% confidence

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“nematode”  
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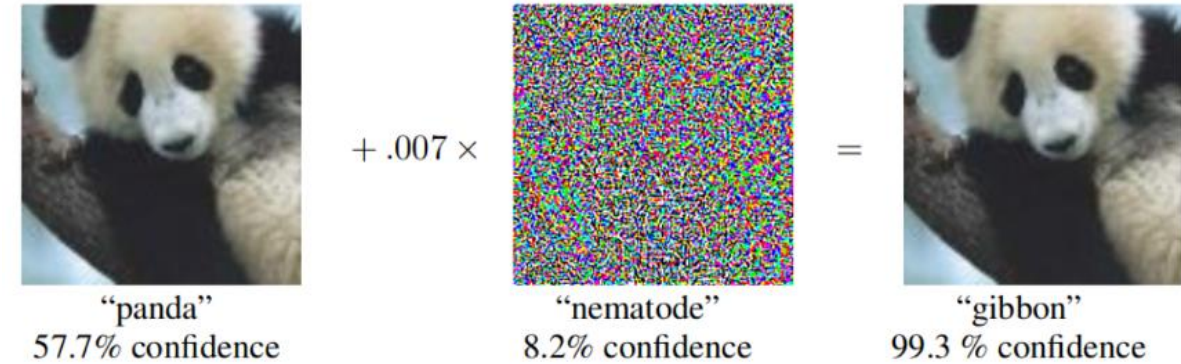
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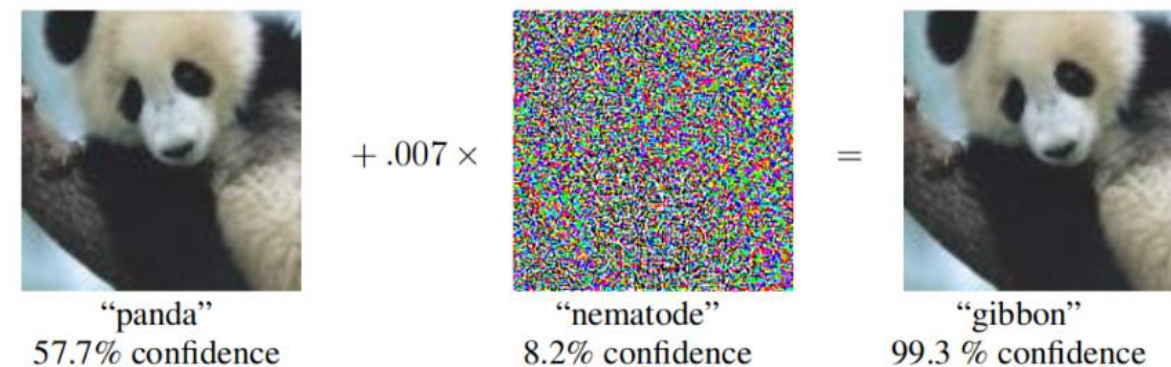


WHO WOULD WIN?

---

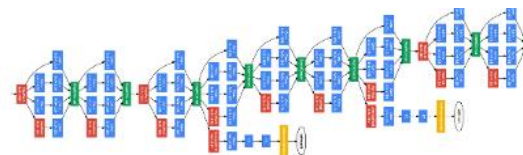
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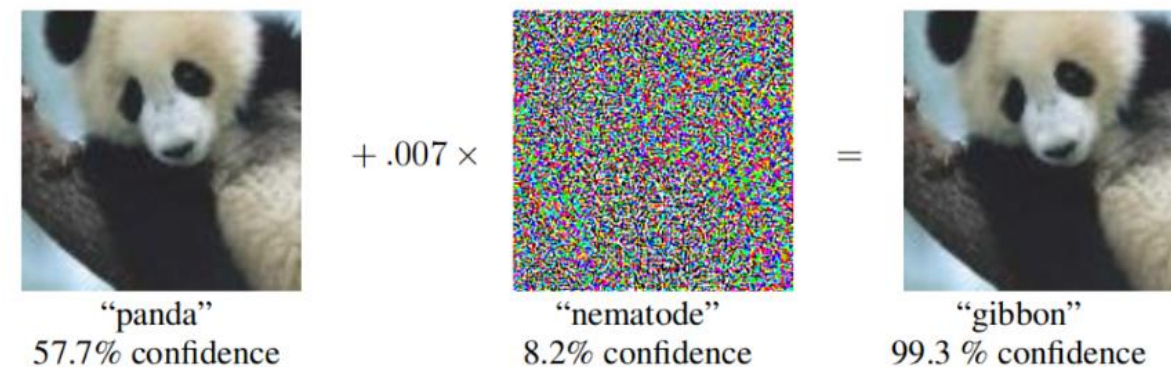
A deep convolutional network with 1 million parameters, trained for days on 64 GPUs, using a dataset of 1 million images





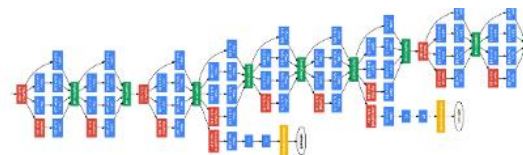
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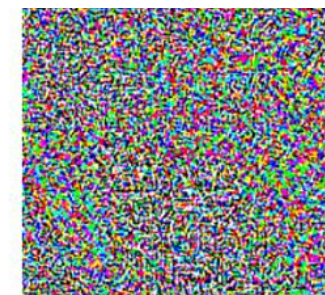


## WHO WOULD WIN?

A deep convolutional network with 1 million parameters, trained for days on 64 GPUs, using a dataset of 1 million images



One small noise image boi, added to an original image



# Reason #1: Limits

- Neural networks are **limited and**



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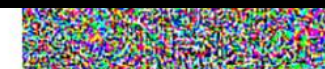


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- This raises two questions.
  1. Shall we give up on neural networks then?
  2. But, wait, how does that even work?!

- For any image recognition algorithm.



# Reason #1: Limits

- Neural networks are **limited and**



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- This raises two questions.
  1. Shall we give up on neural networks then?  
**No, because of reason #2, defense (more on this later).**
  2. But, wait, how does that even work?!

- For any image recognition algorithm.







# Before we start

- Please refer to Notebook 1.  
Using Epsilon Noising Attack to  
Generate Attack Samples.
- All notebooks this week follow  
the same structure
  - Dataset and Dataloader
  - **Model**

```
1  # Model definition
2  class Net(nn.Module):
3
4      def __init__(self):
5          super(Net, self).__init__()
6          # Conv. 1
7          self.conv1 = nn.Conv2d(1, 10, kernel_size = 5)
8          # Conv. 2
9          self.conv2 = nn.Conv2d(10, 20, kernel_size = 5)
10         # Dropout for Conv. layers
11         self.conv2_drop = nn.Dropout2d()
12         # FC 1
13         self.fc1 = nn.Linear(320, 50)
14         # FC 2
15         self.fc2 = nn.Linear(50, 10)
16
17     def forward(self, x):
18         # Conv. 1 + ReLU + Dropout
19         x = F.relu(F.max_pool2d(self.conv1(x), 2))
20         # Conv. 2 + ReLU + Dropout
21         x = F.relu(F.max_pool2d(self.conv2_drop(self.conv2(x)), 2))
22         # Flatten
23         x = x.view(-1, 320)
24         # FC 1 + ReLU + Dropout
25         x = F.relu(self.fc1(x))
26         x = F.dropout(x, training = self.training)
27         # FC 2 + Log-Softmax
28         x = self.fc2(x)
29         return F.log_softmax(x, dim = 1)
```

# Before we start

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  - **(Pre-trained) Model**

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```
1 # Load the pretrained model
2 model = Net().to(device)
3 pretrained_model = "./mnist_model.data"
4 model.load_state_dict(torch.load(pretrained_model, \
5                                 map_location = 'cpu'))
```

<All keys matched successfully>

# Before we start

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  - Dataset and Dataloader
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  - **Attack function**

```
1 def enm_attack(image, epsilon):
2
3     # Generate noise matrix, with same shape as image,
4     # and random values in [-epsilon, epsilon]
5     img_rows = image.shape[-2]
6     img_cols = image.shape[-1]
7     epsilon_mat = np.asarray([[[2*(np.random.random() - 0.5)*epsilon
8                                for i in range(img_rows)]
9                                for j in range(img_cols)])])
10
11     # Create the attack image by adjusting each pixel of the input image
12     eps_image = image.detach().numpy() + epsilon_mat
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14     # Clipping eps_image to maintain pixel values into the [0, 1] range
15     eps_image = torch.from_numpy(eps_image).float()
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# Our first attack: Epsilon Noising

## Definition (Epsilon Noising Method):

The **Epsilon Noising Method (ENM)** is the simplest type of attack. It consists of generating an image  $\tilde{x}$ , by adding a random noise to each pixel of an image  $x$ , with amplitude  $[-\epsilon, \epsilon]$ .

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$$\forall i, j \in \text{Pixel\_Indexes}_x$$

$$\tilde{x}_{i,j} = x_{i,j} + \omega_{i,j}$$

$$\begin{cases} \omega_{i,j} \rightarrow U([- \epsilon, \epsilon]) & (\text{Unif. Dist.}) \\ \omega_{i,j} \rightarrow N([- \epsilon, \epsilon]) & (\text{Normal Dist.}) \end{cases}$$

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“panda”  
57.7% confidence

+ .007 ×



“nematode”  
8.2% confidence

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“gibbon”  
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# Our first attack: Epsilon Noising

Generate noise vector with same size as image, and amplitude in  $[-\epsilon, \epsilon]$ .

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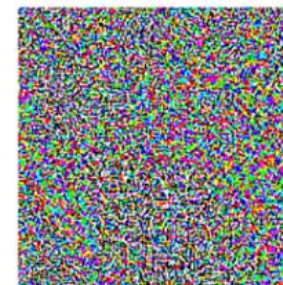
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# Our first attack: Epsilon Noising

Add noise to  
original image.

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# Our first attack: Epsilon Noising

Clipping to prevent unwanted pixel values.

Reminder (**Clipping** a value):

**Clipping** a value  $x$  forces it to remain in an interval  $[a, b]$ , with  $a \leq b$ .

We define the **clipping function**  $\gamma_{a,b}(x)$ , as follows.

$$\gamma_{a,b}(x) = \max(a, \min(x, b))$$
$$\gamma_{a,b}(x) = \begin{cases} a & \text{if } x \leq a \\ b & \text{if } x \geq b \\ x & \text{otherwise} \end{cases}$$

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# Our first attack: Epsilon Noising

Clipping to prevent pixel values to go out of [0, 1].  
(Normalization taken into account)

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# Our first attack: Epsilon Noising

Add noise to  
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6     img_cols = image.shape[-1]
7     epsilon_mat = np.asarray([[[2*(np.random.random() - 0.5)*epsilon
8                                for i in range(img_rows)]
9                                for j in range(img_cols)])])
10
11     # Create the attack image by adjusting each pixel of the input image
12     eps_image = image.detach().numpy() + epsilon_mat
13
14     # Clipping eps_image to maintain pixel values into the [0, 1] range
15     eps_image = torch.from_numpy(eps_image).float()
16     eps_image = torch.clamp(eps_image, 0, 1)
17
18     # Return
19     return eps_image
```

$$\forall i, j \in \text{Pixel\_Indexes}_x$$

$$\tilde{x}_{i,j} = \gamma_{0,1}(x_{i,j} + \omega_{i,j})$$

$$\begin{cases} \omega_{i,j} \rightarrow U([- \epsilon, \epsilon]) & (\text{Unif. Dist.}) \\ \omega_{i,j} \rightarrow N([- \epsilon, \epsilon]) & (\text{Normal Dist.}) \end{cases}$$



“panda”  
57.7% confidence

+ .007 ×



“nematode”  
8.2% confidence

=



“gibbon”  
99.3 % confidence

# Before we start

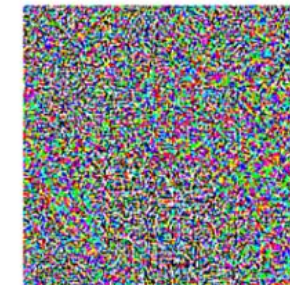
- Please refer to Notebook 1.  
Using Epsilon Noising Attack to  
Generate Attack Samples.
- All notebooks this week follow  
the same structure
  - Dataset and Dataloader
  - (Pre-trained) Model
  - **Attack function**

```
1 def enm_attack(image, epsilon):
2
3     # Generate noise matrix, with same shape as image,
4     # and random values in [-epsilon, epsilon]
5     img_rows = image.shape[-2]
6     img_cols = image.shape[-1]
7     epsilon_mat = np.asarray([[[[2*(np.random.random() - 0.5)*epsilon
8                                for i in range(img_rows)]
9                                for j in range(img_cols)]]])
10
11     # Create the attack image by adjusting each pixel of the input image
12     eps_image = image.detach().numpy() + epsilon_mat
13
14     # Clipping eps_image to maintain pixel values into the [0, 1] range
15     eps_image = torch.from_numpy(eps_image).float()
16     eps_image = torch.clamp(eps_image, 0, 1)
17
18     # Return
19     return eps_image
```



“panda”  
57.7% confidence

+ .007 ×



“nematode”  
8.2% confidence

=



“gibbon”  
99.3 % confidence

# Before we start

- Please refer to Notebook 1.  
Using Epsilon Noising Attack to  
Generate Attack Samples.
- All notebooks this week follow  
the same structure
  - Dataset and Dataloader
  - (Pre-trained) Model
  - Attack function
  - **Testing effect of attack on model**



# Testing Function

This function attempts to 1) track how an attack with amplitude epsilon, used on the samples in the test\_loader will affect our model;

```
1 def test(model, device, test_loader, epsilon):
2
3     # Counter for correct values (used for accuracy)
4     correct_counter = 0
5
6     # List of successful adversarial samples
7     adv_examples_list = []
8
9     # Loop over all examples in test set
10    for image, label in test_loader:
11
12        # Send the data and label to the device
13        image, label = image.to(device), label.to(device)
14
15        # Pass the image through the model
16        output = model(image)
17        # Get the index of the max log-probability
18        init_pred = output.max(1, keepdim = True)[1]
19
20        # If the initial prediction is wrong, do not
21        # bother attacking, skip current image
22        if init_pred.item() != label.item():
23            continue
24
25        # Calculate the loss
26        loss = F.nll_loss(output, label)
27
28        # Zero all existing gradients
29        model.zero_grad()
30
31        # Backpropagate
32        loss.backward()
33
34        # Call ENM Attack
35        eps_image = enm_attack(image, epsilon)
36
37        # Re-classify the epsilon image
38        output2 = model(eps_image)
39        # Get the index of the max log-probability
40        eps_pred = output2.max(1, keepdim = True)[1]
41
42        # Check for successful attack
43        # (Successful meaning eps_pred label different from init_pred)
44        if eps_pred.item() == label.item():
45            correct_counter += 1
46            # Special case for saving 0 epsilon examples
47            # (Maximal number of saved samples is set to 5)
48            if (epsilon == 0) and (len(adv_examples_list) < 5):
49                adv_ex = eps_image.squeeze().detach().cpu().numpy()
50                adv_examples_list.append((init_pred.item(), eps_pred.item(), adv_ex))
51        else:
52            # Save some adv examples for visualization later
53            # (Maximal number of saved samples is set to 5)
54            if len(adv_examples_list) < 5:
55                adv_ex = eps_image.squeeze().detach().cpu().numpy()
56                adv_examples_list.append((init_pred.item(), eps_pred.item(), adv_ex))
57
58        # Calculate final accuracy for this epsilon value
59        final_acc = correct_counter/float(len(test_loader))
60
61        # Display for progress
62        print("Epsilon: {} - Test Accuracy = {}/{}/{} = {}".format(epsilon, \
63                                                                    correct_counter, \
64                                                                    len(test_loader), \
65                                                                    final_acc))
66
67        # Return the accuracy and an adversarial example
68    return final_acc, adv_examples_list
```

# Testing Function

This function attempts to 1) track how an attack with amplitude epsilon, used on the samples in the test\_loader will affect our model; and 2) return attack samples that worked for later visualization.

```
1 def test(model, device, test_loader, epsilon):
2     # Counter for correct values (used for accuracy)
3     correct_counter = 0
4
5     # List of successful adversarial samples
6     adv_examples_list = []
7
8     # Loop over all examples in test set
9     for image, label in test_loader:
10
11         # Send the data and label to the device
12         image, label = image.to(device), label.to(device)
13
14         # Pass the image through the model
15         output = model(image)
16         # Get the index of the max log-probability
17         init_pred = output.max(1, keepdim = True)[1]
18
19         # If the initial prediction is wrong, do not
20         # bother attacking, skip current image
21         if init_pred.item() != label.item():
22             continue
23
24         # Calculate the loss
25         loss = F.nll_loss(output, label)
26
27         # Zero all existing gradients
28         model.zero_grad()
29
30         # Backpropagate
31         loss.backward()
32
33         # Call ENM Attack
34         eps_image = enm_attack(image, epsilon)
35
36         # Re-classify the epsilon image
37         output2 = model(eps_image)
38         # Get the index of the max log-probability
39         eps_pred = output2.max(1, keepdim = True)[1]
40
41         # Check for successful attack
42         # (Successful meaning eps_pred label different from init_pred)
43         if eps_pred.item() == label.item():
44             correct_counter += 1
45             # Special case for saving 0 epsilon examples
46             # (Maximal number of saved samples is set to 5)
47             if epsilon == 0 and (len(adv_examples_list) < 5):
48                 adv_ex = eps_image.squeeze().detach().cpu().numpy()
49                 adv_examples_list.append((init_pred.item(), eps_pred.item(), adv_ex))
50             else:
51                 # Save some adv examples for visualization later
52                 # (Maximal number of saved samples is set to 5)
53                 if len(adv_examples_list) < 5:
54                     adv_ex = eps_image.squeeze().detach().cpu().numpy()
55                     adv_examples_list.append((init_pred.item(), eps_pred.item(), adv_ex))
56
57         # Calculate final accuracy for this epsilon value
58         final_acc = correct_counter/float(len(test_loader))
59
60         # Display for progress
61         print("Epsilon: {} - Test Accuracy = {}/{} = {}".format(epsilon, \
62                                                                 correct_counter, \
63                                                                 len(test_loader), \
64                                                                 final_acc))
65
66         # Return the accuracy and an adversarial example
67         return final_acc, adv_examples_list
68
```

# Testing Function

This will track how many samples were correctly classified despite the attack being performed on the test samples.

```
1 def test(model, device, test_loader, epsilon):
```

```
2     # Counter for correct values (used for accuracy)
```

```
3     correct_counter = 0
```

```
4     # List of successful adversarial samples
```

```
5     adv_examples_list = []
```

```
6     # Loop over all examples in test set
```

```
7     for image, label in test_loader:
```

```
8         # Send the data and label to the device
```

```
9         image, label = image.to(device), label.to(device)
```

```
10        # Pass the image through the model
```

```
11        output = model(image)
```

```
12        # Get the index of the max log-probability
```

```
13        init_pred = output.max(1, keepdim = True)[1]
```

```
14        # If the initial prediction is wrong, do not
```

```
15        # bother attacking, skip current image
```

```
16        if init_pred.item() != label.item():
```

```
17            continue
```

```
18        # Calculate the loss
```

```
19        loss = F.nll_loss(output, label)
```

```
20        # Zero all existing gradients
```

```
21        model.zero_grad()
```

```
22        # Backpropagate
```

```
23        loss.backward()
```

```
24        # Call ENM Attack
```

```
25        eps_image = enm_attack(image, epsilon)
```

```
26        # Re-classify the epsilon image
```

```
27        output2 = model(eps_image)
```

```
28        # Get the index of the max log-probability
```

```
29        eps_pred = output2.max(1, keepdim = True)[1]
```

```
30        # Check for successful attack
```

```
31        # (Successful meaning eps_pred label different from init_pred)
```

```
32        if eps_pred.item() == label.item():
```

```
33            correct_counter += 1
```

```
34            # Special case for saving 0 epsilon examples
```

```
35            # (Maximal number of saved samples is set to 5)
```

```
36            if (epsilon == 0) and (len(adv_examples_list) < 5):
```

```
37                adv_ex = eps_image.squeeze().detach().cpu().numpy()
```

```
38                adv_examples_list.append((init_pred.item(), eps_pred.item(), adv_ex))
```

```
39        else:
```

```
40            # Save some adv examples for visualization later
```

```
41            # (Maximal number of saved samples is set to 5)
```

```
42            if len(adv_examples_list) < 5:
```

```
43                adv_ex = eps_image.squeeze().detach().cpu().numpy()
```

```
44                adv_examples_list.append((init_pred.item(), eps_pred.item(), adv_ex))
```

```
45        # Calculate final accuracy for this epsilon value
```

```
46        final_acc = correct_counter/float(len(test_loader))
```

```
47        # Display for progress
```

```
48        print("Epsilon: {} - Test Accuracy = {}/{}/{} = {}".format(epsilon, \
49                                                                    correct_counter, \
50                                                                    len(test_loader), \
51                                                                    final_acc))
```

```
49        # Return the accuracy and an adversarial example
```

```
50        return final_acc, adv_examples_list
```



# Testing Function

This will store up to 5 attack samples that made the model malfunction (used for visualization later).

```
1 def test(model, device, test_loader, epsilon):
2
3     # Counter for correct values (used for accuracy)
4     correct_counter = 0
5
6     # List of successful adversarial samples
7     adv_examples_list = []
8
9     # Loop over all examples in test set
10    for image, label in test_loader:
11
12        # Send the data and label to the device
13        image, label = image.to(device), label.to(device)
14
15        # Pass the image through the model
16        output = model(image)
17        # Get the index of the max log-probability
18        init_pred = output.max(1, keepdim = True)[1]
19
20        # If the initial prediction is wrong, do not
21        # bother attacking, skip current image
22        if init_pred.item() != label.item():
23            continue
24
25        # Calculate the loss
26        loss = F.nll_loss(output, label)
27
28        # Zero all existing gradients
29        model.zero_grad()
30
31        # Backpropagate
32        loss.backward()
33
34        # Call ENM Attack
35        eps_image = enm_attack(image, epsilon)
36
37        # Re-classify the epsilon image
38        output2 = model(eps_image)
39        # Get the index of the max log-probability
40        eps_pred = output2.max(1, keepdim = True)[1]
41
42        # Check for successful attack
43        # (Successful meaning eps_pred label different from init_pred)
44        if eps_pred.item() == label.item():
45            correct_counter += 1
46            # Special case for saving 0 epsilon examples
47            # (Maximal number of saved samples is set to 5)
48            if (epsilon == 0) and (len(adv_examples_list) < 5):
49                adv_ex = eps_image.squeeze().detach().cpu().numpy()
50                adv_examples_list.append((init_pred.item(), eps_pred.item(), adv_ex))
51        else:
52            # Save some adv examples for visualization later
53            # (Maximal number of saved samples is set to 5)
54            if len(adv_examples_list) < 5:
55                adv_ex = eps_image.squeeze().detach().cpu().numpy()
56                adv_examples_list.append((init_pred.item(), eps_pred.item(), adv_ex))
57
58        # Calculate final accuracy for this epsilon value
59        final_acc = correct_counter/float(len(test_loader))
60
61        # Display for progress
62        print("Epsilon: {} - Test Accuracy = {}/{}/{} = {}".format(epsilon, \
63                                                                    correct_counter, \
64                                                                    len(test_loader), \
65                                                                    final_acc))
66
67        # Return the accuracy and an adversarial example
68        return final_acc, adv_examples_list
```

# Testing Function

This is very typical for our test functions so far, just browsing through (normal) test samples and trying those on our model.

```
1 def test(model, device, test_loader, epsilon):
2
3     # Counter for correct values (used for accuracy)
4     correct_counter = 0
5
6     # List of successful adversarial samples
7     adv_examples_list = []
8
9     # Loop over all examples in test set
10    for image, label in test_loader:
11
12        # Send the data and label to the device
13        image, label = image.to(device), label.to(device)
14
15        # Pass the image through the model
16        output = model(image)
17        # Get the index of the max log-probability
18        init_pred = output.max(1, keepdim = True)[1]
19
20        # If the initial prediction is wrong, do not
21        # bother attacking, skip current image
22        if init_pred.item() != label.item():
23            continue
24
25        # Calculate the loss
26        loss = F.nll_loss(output, label)
27
28        # Zero all existing gradients
29        model.zero_grad()
30
31        # Backpropagate
32        loss.backward()
33
34        # Call ENM Attack
35        eps_image = enm_attack(image, epsilon)
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38        output2 = model(eps_image)
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43        # (Successful meaning eps_pred label different from init_pred)
44        if eps_pred.item() == label.item():
45            correct_counter += 1
46            # Special case for saving 0 epsilon examples
47            # (Maximal number of saved samples is set to 5)
48            if (epsilon == 0) and (len(adv_examples_list) < 5):
49                adv_ex = eps_image.squeeze().detach().cpu().numpy()
50                adv_examples_list.append((init_pred.item(), eps_pred.item(), adv_ex))
51        else:
52            # Save some adv examples for visualization later
53            # (Maximal number of saved samples is set to 5)
54            if len(adv_examples_list) < 5:
55                adv_ex = eps_image.squeeze().detach().cpu().numpy()
56                adv_examples_list.append((init_pred.item(), eps_pred.item(), adv_ex))
57
58        # Calculate final accuracy for this epsilon value
59        final_acc = correct_counter/float(len(test_loader))
60
61        # Display for progress
62        print("Epsilon: {} - Test Accuracy = {}/{}/{} = {}".format(epsilon, \
63                                                                    correct_counter, \
64                                                                    len(test_loader), \
65                                                                    final_acc))
66
67        # Return the accuracy and an adversarial example
68    return final_acc, adv_examples_list
```

# Testing Function

If the model already misclassifies the sample, do not bother attacking (Attack could make the model right!).

```
1 def test(model, device, test_loader, epsilon):
2
3     # Counter for correct values (used for accuracy)
4     correct_counter = 0
5
6     # List of successful adversarial samples
7     adv_examples_list = []
8
9     # Loop over all examples in test set
10    for image, label in test_loader:
11
12        # Send the data and label to the device
13        image, label = image.to(device), label.to(device)
14
15        # Pass the image through the model
16        output = model(image)
17        # Get the index of the max log-probability
18        init_pred = output.max(1, keepdim = True)[1]
19
20        # If the initial prediction is wrong, do not
21        # bother attacking, skip current image
22        if init_pred.item() != label.item():
23            continue
24
25        # Calculate the loss
26        loss = F.nll_loss(output, label)
27
28        # Zero all existing gradients
29        model.zero_grad()
30
31        # Backpropagate
32        loss.backward()
```

```
34    # Call ENM Attack
35    eps_image = enm_attack(image, epsilon)
36
37    # Re-classify the epsilon image
38    output2 = model(eps_image)
39    # Get the index of the max log-probability
40    eps_pred = output2.max(1, keepdim = True)[1]
41
42    # Check for successful attack
43    # (Successful meaning eps_pred label different from init_pred)
44    if eps_pred.item() == label.item():
45        correct_counter += 1
46        # Special case for saving 0 epsilon examples
47        # (Maximal number of saved samples is set to 5)
48        if (epsilon == 0) and (len(adv_examples_list) < 5):
49            adv_ex = eps_image.squeeze().detach().cpu().numpy()
50            adv_examples_list.append((init_pred.item(), eps_pred.item(), adv_ex))
51    else:
52        # Save some adv examples for visualization later
53        # (Maximal number of saved samples is set to 5)
54        if len(adv_examples_list) < 5:
55            adv_ex = eps_image.squeeze().detach().cpu().numpy()
56            adv_examples_list.append((init_pred.item(), eps_pred.item(), adv_ex))
57
58    # Calculate final accuracy for this epsilon value
59    final_acc = correct_counter/float(len(test_loader))
60
61    # Display for progress
62    print("Epsilon: {} - Test Accuracy = {}/{}/{} = {}".format(epsilon, \
63                                                                correct_counter, \
64                                                                len(test_loader), \
65                                                                final_acc))
66
67    # Return the accuracy and an adversarial example
68    return final_acc, adv_examples_list
```

# Testing Function

This is again very typical. Right now, it does not appear necessary, but more advanced attacks will rely on the gradients of the model, and that would be the way to compute them (more on this later!).

```
1 def test(model, device, test_loader, epsilon):
2
3     # Counter for correct values (used for accuracy)
4     correct_counter = 0
5
6     # List of successful adversarial samples
7     adv_examples_list = []
8
9     # Loop over all examples in test set
10    for image, label in test_loader:
11
12        # Send the data and label to the device
13        image, label = image.to(device), label.to(device)
14
15        # Pass the image through the model
16        output = model(image)
17        # Get the index of the max log-probability
18        init_pred = output.max(1, keepdim = True)[1]
19
20        # If the initial prediction is wrong, do not
21        # bother attacking, skip current image
22        if init_pred.item() != label.item():
23            continue
24
25        # Calculate the loss
26        loss = F.nll_loss(output, label)
27
28        # Zero all existing gradients
29        model.zero_grad()
30
31        # Backpropagate
32        loss.backward()
33
34        # Call ENM Attack
35        eps_image = enm_attack(image, epsilon)
36
37        # Re-classify the epsilon image
38        output2 = model(eps_image)
39        # Get the index of the max log-probability
40        eps_pred = output2.max(1, keepdim = True)[1]
41
42        # Check for successful attack
43        # (Successful meaning eps_pred label different from init_pred)
44        if eps_pred.item() == label.item():
45            correct_counter += 1
46            # Special case for saving 0 epsilon examples
47            # (Maximal number of saved samples is set to 5)
48            if (epsilon == 0) and (len(adv_examples_list) < 5):
49                adv_ex = eps_image.squeeze().detach().cpu().numpy()
50                adv_examples_list.append((init_pred.item(), eps_pred.item(), adv_ex))
51        else:
52            # Save some adv examples for visualization later
53            # (Maximal number of saved samples is set to 5)
54            if len(adv_examples_list) < 5:
55                adv_ex = eps_image.squeeze().detach().cpu().numpy()
56                adv_examples_list.append((init_pred.item(), eps_pred.item(), adv_ex))
57
58        # Calculate final accuracy for this epsilon value
59        final_acc = correct_counter/float(len(test_loader))
60
61        # Display for progress
62        print("Epsilon: {} - Test Accuracy = {}/{}/{} = {}".format(epsilon, \
63                                                                    correct_counter, \
64                                                                    len(test_loader), \
65                                                                    final_acc))
66
67        # Return the accuracy and an adversarial example
68    return final_acc, adv_examples_list
```



# Testing Function

Generate an attack sample, using our ENM attack function.



```
1 def test(model, device, test_loader, epsilon):
2
3     # Counter for correct values (used for accuracy)
4     correct_counter = 0
5
6     # List of successful adversarial samples
7     adv_examples_list = []
8
9     # Loop over all examples in test set
10    for image, label in test_loader:
11
12        # Send the data and label to the device
13        image, label = image.to(device), label.to(device)
14
15        # Pass the image through the model
16        output = model(image)
17        # Get the index of the max log-probability
18        init_pred = output.max(1, keepdim = True)[1]
19
20        # If the initial prediction is wrong, do not
21        # bother attacking, skip current image
22        if init_pred.item() != label.item():
23            continue
24
25        # Calculate the loss
26        loss = F.nll_loss(output, label)
27
28        # Zero all existing gradients
29        model.zero_grad()
30
31        # Backpropagate
32        loss.backward()
33
34        # Call ENM Attack
35        eps_image = enm_attack(image, epsilon)
36
37        # Re-classify the epsilon image
38        output2 = model(eps_image)
39        # Get the index of the max log-probability
40        eps_pred = output2.max(1, keepdim = True)[1]
41
42        # Check for successful attack
43        # (Successful meaning eps_pred label different from init_pred)
44        if eps_pred.item() == label.item():
45            correct_counter += 1
46            # Special case for saving 0 epsilon examples
47            # (Maximal number of saved samples is set to 5)
48            if (epsilon == 0) and (len(adv_examples_list) < 5):
49                adv_ex = eps_image.squeeze().detach().cpu().numpy()
50                adv_examples_list.append((init_pred.item(), eps_pred.item(), adv_ex))
51        else:
52            # Save some adv examples for visualization later
53            # (Maximal number of saved samples is set to 5)
54            if len(adv_examples_list) < 5:
55                adv_ex = eps_image.squeeze().detach().cpu().numpy()
56                adv_examples_list.append((init_pred.item(), eps_pred.item(), adv_ex))
57
58        # Calculate final accuracy for this epsilon value
59        final_acc = correct_counter/float(len(test_loader))
60
61        # Display for progress
62        print("Epsilon: {} - Test Accuracy = {}/{}/{} = {}".format(epsilon, \
63                                                                    correct_counter, \
64                                                                    len(test_loader), \
65                                                                    final_acc))
66
67        # Return the accuracy and an adversarial example
68    return final_acc, adv_examples_list
```

# Testing Function

Try attack sample on our model.

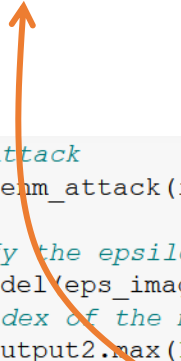


```
1 def test(model, device, test_loader, epsilon):
2
3     # Counter for correct values (used for accuracy)
4     correct_counter = 0
5
6     # List of successful adversarial samples
7     adv_examples_list = []
8
9     # Loop over all examples in test set
10    for image, label in test_loader:
11
12        # Send the data and label to the device
13        image, label = image.to(device), label.to(device)
14
15        # Pass the image through the model
16        output = model(image)
17        # Get the index of the max log-probability
18        init_pred = output.max(1, keepdim = True)[1]
19
20        # If the initial prediction is wrong, do not
21        # bother attacking, skip current image
22        if init_pred.item() != label.item():
23            continue
24
25        # Calculate the loss
26        loss = F.nll_loss(output, label)
27
28        # Zero all existing gradients
29        model.zero_grad()
30
31        # Backpropagate
32        loss.backward()
```

```
34    # Call ENM Attack
35    eps_image = enm_attack(image, epsilon)
36
37    # Re-classify the epsilon image
38    output2 = model(eps_image)
39    # Get the index of the max log-probability
40    eps_pred = output2.max(1, keepdim = True)[1]
41
42    # Check for successful attack
43    # (Successful meaning eps_pred label different from init_pred)
44    if eps_pred.item() == label.item():
45        correct_counter += 1
46        # Special case for saving 0 epsilon examples
47        # (Maximal number of saved samples is set to 5)
48        if (epsilon == 0) and (len(adv_examples_list) < 5):
49            adv_ex = eps_image.squeeze().detach().cpu().numpy()
50            adv_examples_list.append((init_pred.item(), eps_pred.item(), adv_ex))
51    else:
52        # Save some adv examples for visualization later
53        # (Maximal number of saved samples is set to 5)
54        if len(adv_examples_list) < 5:
55            adv_ex = eps_image.squeeze().detach().cpu().numpy()
56            adv_examples_list.append((init_pred.item(), eps_pred.item(), adv_ex))
57
58    # Calculate final accuracy for this epsilon value
59    final_acc = correct_counter/float(len(test_loader))
60
61    # Display for progress
62    print("Epsilon: {} - Test Accuracy = {}/{}/{} = {}".format(epsilon, \
63                                                                correct_counter, \
64                                                                len(test_loader), \
65                                                                final_acc))
66
67    # Return the accuracy and an adversarial example
68    return final_acc, adv_examples_list
```

# Testing Function

If attack sample is correctly classified, the attack is a failure.  
Increase correct score by one.



```
1 def test(model, device, test_loader, epsilon):
2
3     # Counter for correct values (used for accuracy)
4     correct_counter = 0
5
6     # List of successful adversarial samples
7     adv_examples_list = []
8
9     # Loop over all examples in test set
10    for image, label in test_loader:
11
12        # Send the data and label to the device
13        image, label = image.to(device), label.to(device)
14
15        # Pass the image through the model
16        output = model(image)
17        # Get the index of the max log-probability
18        init_pred = output.max(1, keepdim = True)[1]
19
20        # If the initial prediction is wrong, do not
21        # bother attacking, skip current image
22        if init_pred.item() != label.item():
23            continue
24
25        # Calculate the loss
26        loss = F.nll_loss(output, label)
27
28        # Zero all existing gradients
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33
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35        eps_image = enm_attack(image, epsilon)
36
37        # Re-classify the epsilon image
38        output2 = model(eps_image)
39        # Get the index of the max log-probability
40        eps_pred = output2.max(1, keepdim = True)[1]
41
42        # Check for successful attack
43        # (Successful meaning eps_pred label different from init_pred)
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45            correct_counter += 1
46            # Special case for saving 0 epsilon examples
47            # (Maximal number of saved samples is set to 5)
48            if (epsilon == 0) and (len(adv_examples_list) < 5):
49                adv_ex = eps_image.squeeze().detach().cpu().numpy()
50                adv_examples_list.append((init_pred.item(), eps_pred.item(), adv_ex))
51        else:
52            # Save some adv examples for visualization later
53            # (Maximal number of saved samples is set to 5)
54            if len(adv_examples_list) < 5:
55                adv_ex = eps_image.squeeze().detach().cpu().numpy()
56                adv_examples_list.append((init_pred.item(), eps_pred.item(), adv_ex))
57
58        # Calculate final accuracy for this epsilon value
59        final_acc = correct_counter/float(len(test_loader))
60
61        # Display for progress
62        print("Epsilon: {} - Test Accuracy = {}/{}/{} = {}".format(epsilon, \
63                                                                    correct_counter, \
64                                                                    len(test_loader), \
65                                                                    final_acc))
66
67        # Return the accuracy and an adversarial example
68        return final_acc, adv_examples_list
```



# Testing Function

Add sample to adversarial samples list if epsilon = 0 and list not full  
(attacking with epsilon = 0 will always fail as it will not modify the image).  
This gives the baseline accuracy of the model before attacks.

```
1 def test(model, device, test_loader, epsilon):
2
3     # Counter for correct values (used for accuracy)
4     correct_counter = 0
5
6     # List of successful adversarial samples
7     adv_examples_list = []
8
9     # Loop over all examples in test set
10    for image, label in test_loader:
11
12        # Send the data and label to the device
13        image, label = image.to(device), label.to(device)
14
15        # Pass the image through the model
16        output = model(image)
17        # Get the index of the max log-probability
18        init_pred = output.max(1, keepdim = True)[1]
19
20        # If the initial prediction is wrong, do not
21        # bother attacking, skip current image
22        if init_pred.item() != label.item():
23            continue
24
25        # Calculate the loss
26        loss = F.nll_loss(output, label)
27
28        # Zero all existing gradients
29        model.zero_grad()
30
31        # Backpropagate
32        loss.backward()
33
34        # Call ENM Attack
35        eps_image = enm_attack(image, epsilon)
36
37        # Re-classify the epsilon image
38        output2 = model(eps_image)
39        # Get the index of the max log-probability
40        eps_pred = output2.max(1, keepdim = True)[1]
41
42        # Check for successful attack
43        # (Successful meaning eps_pred label different from init_pred)
44        if eps_pred.item() == label.item():
45            correct_counter += 1
46            # Special case for saving 0 epsilon examples
47            # (Maximal number of saved samples is set to 5)
48            if (epsilon == 0) and (len(adv_examples_list) < 5):
49                adv_ex = eps_image.squeeze().detach().cpu().numpy()
50                adv_examples_list.append((init_pred.item(), eps_pred.item(), adv_ex))
51            else:
52                # Save some adv examples for visualization later
53                # (Maximal number of saved samples is set to 5)
54                if len(adv_examples_list) < 5:
55                    adv_ex = eps_image.squeeze().detach().cpu().numpy()
56                    adv_examples_list.append((init_pred.item(), eps_pred.item(), adv_ex))
57
58        # Calculate final accuracy for this epsilon value
59        final_acc = correct_counter/float(len(test_loader))
60
61        # Display for progress
62        print("Epsilon: {} - Test Accuracy = {}/{}/{} = {}".format(epsilon, \
63                                                                    correct_counter, \
64                                                                    len(test_loader), \
65                                                                    final_acc))
66
67        # Return the accuracy and an adversarial example
68    return final_acc, adv_examples_list
```

# Testing Function

If attack sample makes the model misclassify, it is a successful attack.  
Do not increase correct\_counter, and store sample in adversarial samples list if not already full.

```
1 def test(model, device, test_loader, epsilon):
2
3     # Counter for correct values (used for accuracy)
4     correct_counter = 0
5
6     # List of successful adversarial samples
7     adv_examples_list = []
8
9     # Loop over all examples in test set
10    for image, label in test_loader:
11
12        # Send the data and label to the device
13        image, label = image.to(device), label.to(device)
14
15        # Pass the image through the model
16        output = model(image)
17        # Get the index of the max log-probability
18        init_pred = output.max(1, keepdim = True)[1]
19
20        # If the initial prediction is wrong, do not
21        # bother attacking, skip current image
22        if init_pred.item() != label.item():
23            continue
24
25        # Calculate the loss
26        loss = F.nll_loss(output, label)
27
28        # Zero all existing gradients
29        model.zero_grad()
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31        # Backpropagate
32        loss.backward()
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34        # Call ENM Attack
35        eps_image = enm_attack(image, epsilon)
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37        # Re-classify the epsilon image
38        output2 = model(eps_image)
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40        eps_pred = output2.max(1, keepdim = True)[1]
41
42        # Check for successful attack
43        # (Successful meaning eps_pred label different from init_pred)
44        if eps_pred.item() == label.item():
45            correct_counter += 1
46            # Special case for saving 0 epsilon examples
47            # (Maximal number of saved samples is set to 5)
48            if (epsilon == 0) and (len(adv_examples_list) < 5):
49                adv_ex = eps_image.squeeze().detach().cpu().numpy()
50                adv_examples_list.append((init_pred.item(), eps_pred.item(), adv_ex))
51        else:
52            # Save some adv examples for visualization later
53            # (Maximal number of saved samples is set to 5)
54            if len(adv_examples_list) < 5:
55                adv_ex = eps_image.squeeze().detach().cpu().numpy()
56                adv_examples_list.append((init_pred.item(), eps_pred.item(), adv_ex))
57
58        # Calculate final accuracy for this epsilon value
59        final_acc = correct_counter/float(len(test_loader))
60
61        # Display for progress
62        print("Epsilon: {} - Test Accuracy = {}/{}/{} = {}".format(epsilon, \
63                                                                    correct_counter, \
64                                                                    len(test_loader), \
65                                                                    final_acc))
66
67        # Return the accuracy and an adversarial example
68        return final_acc, adv_examples_list
```

# Testing Function

After the for loop, compute accuracy of model after attack.



```
1 def test(model, device, test_loader, epsilon):
2
3     # Counter for correct values (used for accuracy)
4     correct_counter = 0
5
6     # List of successful adversarial samples
7     adv_examples_list = []
8
9     # Loop over all examples in test set
10    for image, label in test_loader:
11
12        # Send the data and label to the device
13        image, label = image.to(device), label.to(device)
14
15        # Pass the image through the model
16        output = model(image)
17        # Get the index of the max log-probability
18        init_pred = output.max(1, keepdim = True)[1]
19
20        # If the initial prediction is wrong, do not
21        # bother attacking, skip current image
22        if init_pred.item() != label.item():
23            continue
24
25        # Calculate the loss
26        loss = F.nll_loss(output, label)
27
28        # Zero all existing gradients
29        model.zero_grad()
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31        # Backpropagate
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34        # Call ENM Attack
35        eps_image = enm_attack(image, epsilon)
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37        # Re-classify the epsilon image
38        output2 = model(eps_image)
39        # Get the index of the max log-probability
40        eps_pred = output2.max(1, keepdim = True)[1]
41
42        # Check for successful attack
43        # (Successful meaning eps_pred label different from init_pred)
44        if eps_pred.item() == label.item():
45            correct_counter += 1
46            # Special case for saving 0 epsilon examples
47            # (Maximal number of saved samples is set to 5)
48            if (epsilon == 0) and (len(adv_examples_list) < 5):
49                adv_ex = eps_image.squeeze().detach().cpu().numpy()
50                adv_examples_list.append((init_pred.item(), eps_pred.item(), adv_ex))
51        else:
52            # Save some adv examples for visualization later
53            # (Maximal number of saved samples is set to 5)
54            if len(adv_examples_list) < 5:
55                adv_ex = eps_image.squeeze().detach().cpu().numpy()
56                adv_examples_list.append((init_pred.item(), eps_pred.item(), adv_ex))
57
58        # Calculate final accuracy for this epsilon value
59        final_acc = correct_counter/float(len(test_loader))
60
61        # Display for progress
62        print("Epsilon: {} - Test Accuracy = {}/{} = {}".format(epsilon, \
63                                                                correct_counter, \
64                                                                len(test_loader), \
65                                                                final_acc))
66
67        # Return the accuracy and an adversarial example
68    return final_acc, adv_examples_list
```

# Testing Function

Display accuracy for given epsilon value.

Return accuracy score and the list of five adversarial samples.

```
1 def test(model, device, test_loader, epsilon):
2
3     # Counter for correct values (used for accuracy)
4     correct_counter = 0
5
6     # List of successful adversarial samples
7     adv_examples_list = []
8
9     # Loop over all examples in test set
10    for image, label in test_loader:
11
12        # Send the data and label to the device
13        image, label = image.to(device), label.to(device)
14
15        # Pass the image through the model
16        output = model(image)
17        # Get the index of the max log-probability
18        init_pred = output.max(1, keepdim = True)[1]
19
20        # If the initial prediction is wrong, do not
21        # bother attacking, skip current image
22        if init_pred.item() != label.item():
23            continue
24
25        # Calculate the loss
26        loss = F.nll_loss(output, label)
27
28        # Zero all existing gradients
29        model.zero_grad()
30
31        # Backpropagate
32        loss.backward()
33
34        # Call ENM Attack
35        eps_image = enm_attack(image, epsilon)
36
37        # Re-classify the epsilon image
38        output2 = model(eps_image)
39        # Get the index of the max log-probability
40        eps_pred = output2.max(1, keepdim = True)[1]
41
42        # Check for successful attack
43        # (Successful meaning eps_pred label different from init_pred)
44        if eps_pred.item() == label.item():
45            correct_counter += 1
46            # Special case for saving 0 epsilon examples
47            # (Maximal number of saved samples is set to 5)
48            if (epsilon == 0) and (len(adv_examples_list) < 5):
49                adv_ex = eps_image.squeeze().detach().cpu().numpy()
50                adv_examples_list.append((init_pred.item(), eps_pred.item(), adv_ex))
51        else:
52            # Save some adv examples for visualization later
53            # (Maximal number of saved samples is set to 5)
54            if len(adv_examples_list) < 5:
55                adv_ex = eps_image.squeeze().detach().cpu().numpy()
56                adv_examples_list.append((init_pred.item(), eps_pred.item(), adv_ex))
57
58        # Calculate final accuracy for this epsilon value
59        final_acc = correct_counter/float(len(test_loader))
60
61        # Display for progress
62        print("Epsilon: {} - Test Accuracy = {}/{} = {}".format(epsilon, \
63                                                                correct_counter, \
64                                                                len(test_loader), \
65                                                                final_acc))
66
67        # Return the accuracy and an adversarial example
68        return final_acc, adv_examples_list
```

# Before we start

- Please refer to Notebook 1.  
Using Epsilon Noising Attack to Generate Attack Samples.
- All notebooks this week follow the same structure
  - Dataset and Dataloader
  - (Pre-trained) Model
  - Attack function
  - **Testing effect of attack on model**

```
1  epsilons = [0, .1, .2, .5, 1, 2, 5, 10]
2  accuracies = []
3  examples = []
4
5  # Run test() function for each epsilon
6  for eps in epsilons:
7      acc, ex = test(model, device, test_loader, eps)
8      accuracies.append(acc)
9      examples.append(ex)
```

```
Epsilon: 0 - Test Accuracy = 9810/10000 = 0.981
Epsilon: 0.1 - Test Accuracy = 9792/10000 = 0.9792
Epsilon: 0.2 - Test Accuracy = 9775/10000 = 0.9775
Epsilon: 0.5 - Test Accuracy = 9578/10000 = 0.9578
Epsilon: 1 - Test Accuracy = 6367/10000 = 0.6367
Epsilon: 2 - Test Accuracy = 2203/10000 = 0.2203
Epsilon: 5 - Test Accuracy = 1162/10000 = 0.1162
Epsilon: 10 - Test Accuracy = 1074/10000 = 0.1074
```



# Before we start

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- All notebooks this week follow the same structure
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  - Attack function
  - Testing effect of attack on model
  - **Accuracy drop and attack samples visualization**

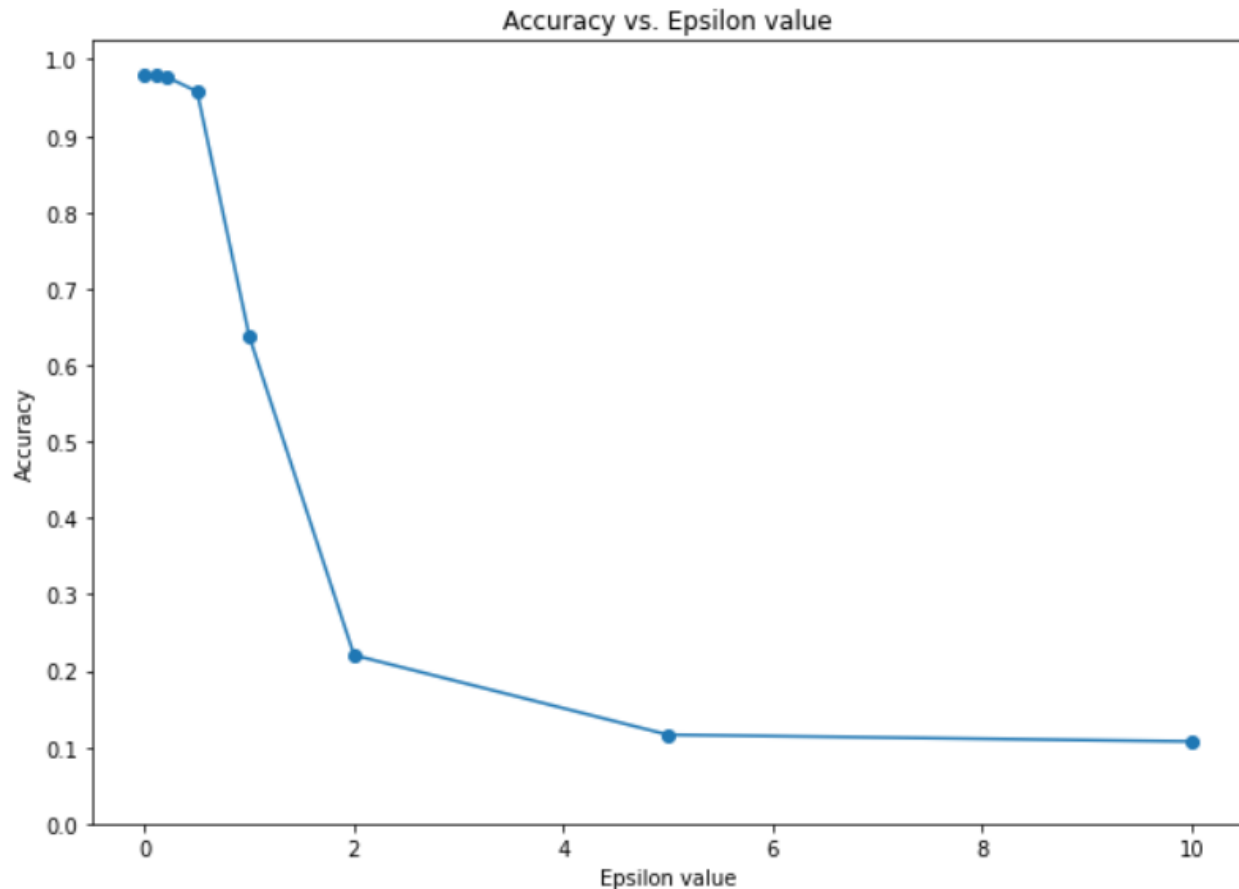
Display a simple plot of accuracy vs. epsilon value for our given attack and model.

```
1  # Initialize figure
2  plt.figure(figsize = (7, 10))
3
4  # Display accuracy vs. Epsilon values plot
5  plt.plot(epsilons, accuracies, "o-")
6
7  # Adjust x-axis and y-axis labels and ticks
8  plt.yticks(np.arange(0, 1.1, step = 0.1))
9  #plt.xticks(np.arange(0, .35, step = 0.05))
10 plt.title("Accuracy vs. Epsilon value")
11 plt.xlabel("Epsilon value")
12 plt.ylabel("Accuracy")
13
14 # Display
15 plt.show()
```

# Before we start

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  - Attack function
  - Testing effect of attack on model
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Display a simple plot of accuracy vs. epsilon value for our given attack and model.



# Before we start

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  - Attack function
  - Testing effect of attack on model
  - Accuracy drop and attack samples visualization

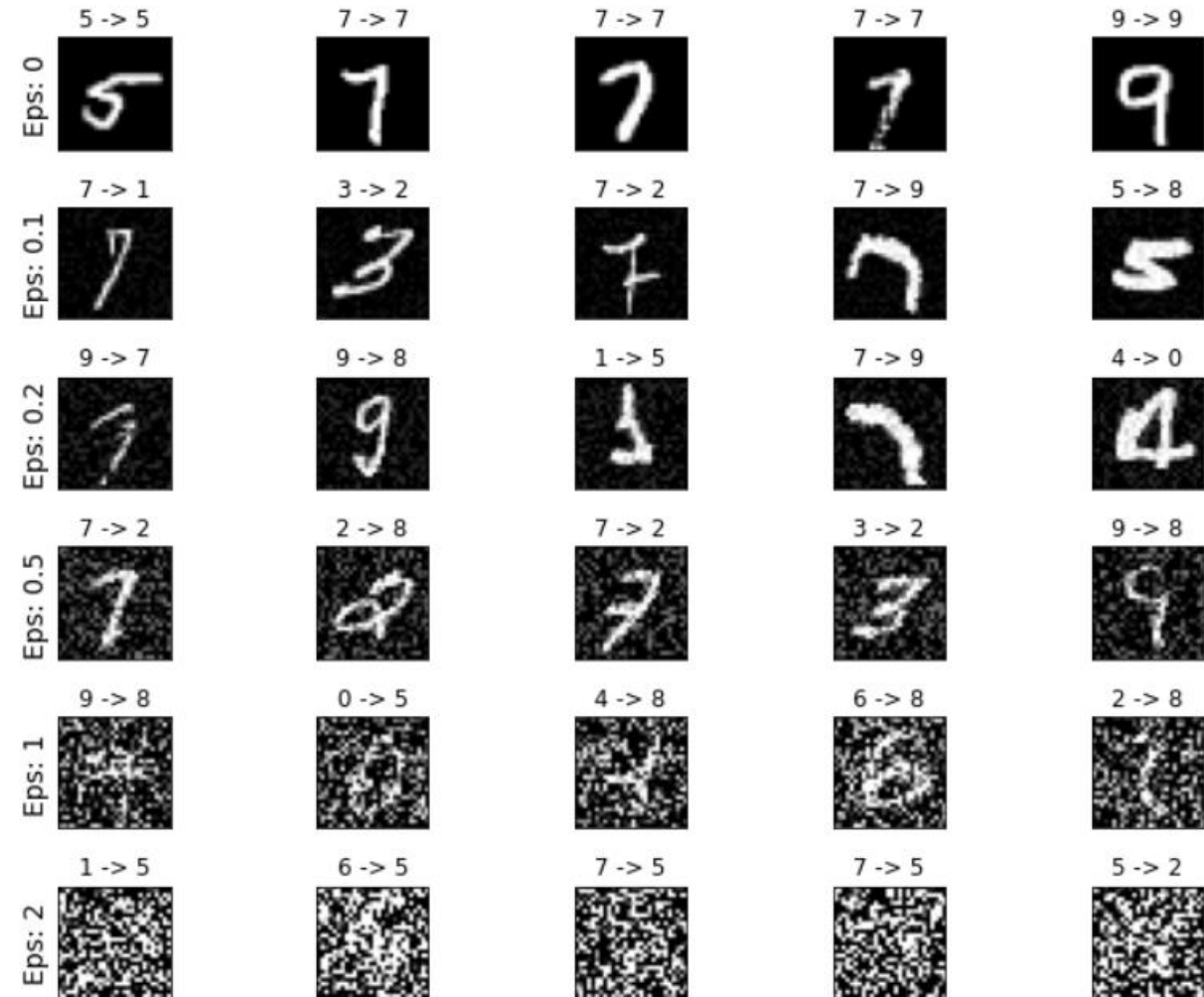
Display some adversarial samples for each value of epsilon.

```
1 # Plot several examples of adversarial samples at each epsilon
2 cnt = 0
3
4 # Initialize figure
5 plt.figure(figsize = (10, 10))
6
7 # Browse through epsilon values and adversarial examples
8 for i in range(len(epsilons)):
9     for j in range(len(examples[i])):
10         cnt += 1
11         plt.subplot(len(epsilons), len(examples[0]), cnt)
12
13         # Remove x-axis and y-axis ticks from plot
14         plt.xticks([], [])
15         plt.yticks([], [])
16
17         # Labels for y axis
18         if j == 0:
19             plt.ylabel("Eps: {}".format(epsilons[i]), fontsize = 14)
20
21         # Labels for each image subplot
22         orig, adv, ex = examples[i][j]
23         plt.title("{} -> {}".format(orig, adv))
24
25         # Display image
26         plt.imshow(ex, cmap = "gray")
27
28 # Display full plot
29 plt.tight_layout()
30 plt.show()
```

# Before we start

Display some adversarial samples for each value of epsilon.

- Please refer to Notebook 1.  
Using Epsilon Noising Attack to Generate Attack Samples.
- All notebooks this week follow the same structure
  - Dataset and Dataloader
  - (Pre-trained) Model
  - Attack function
  - Testing effect of attack on model
  - Accuracy drop and attack samples visualization



# Before we start

- Please refer to Notebook 1.  
Using Epsilon Noising Attack to  
Generate Attack Samples.
- All notebooks this week follow  
the same structure
  - Dataset and Dataloader
  - (Pre-trained) Model
  - Attack function
  - Testing effect of attack on model
  - Accuracy drop and attack samples  
visualization
  - **Defense against such an attack**



# Before we start

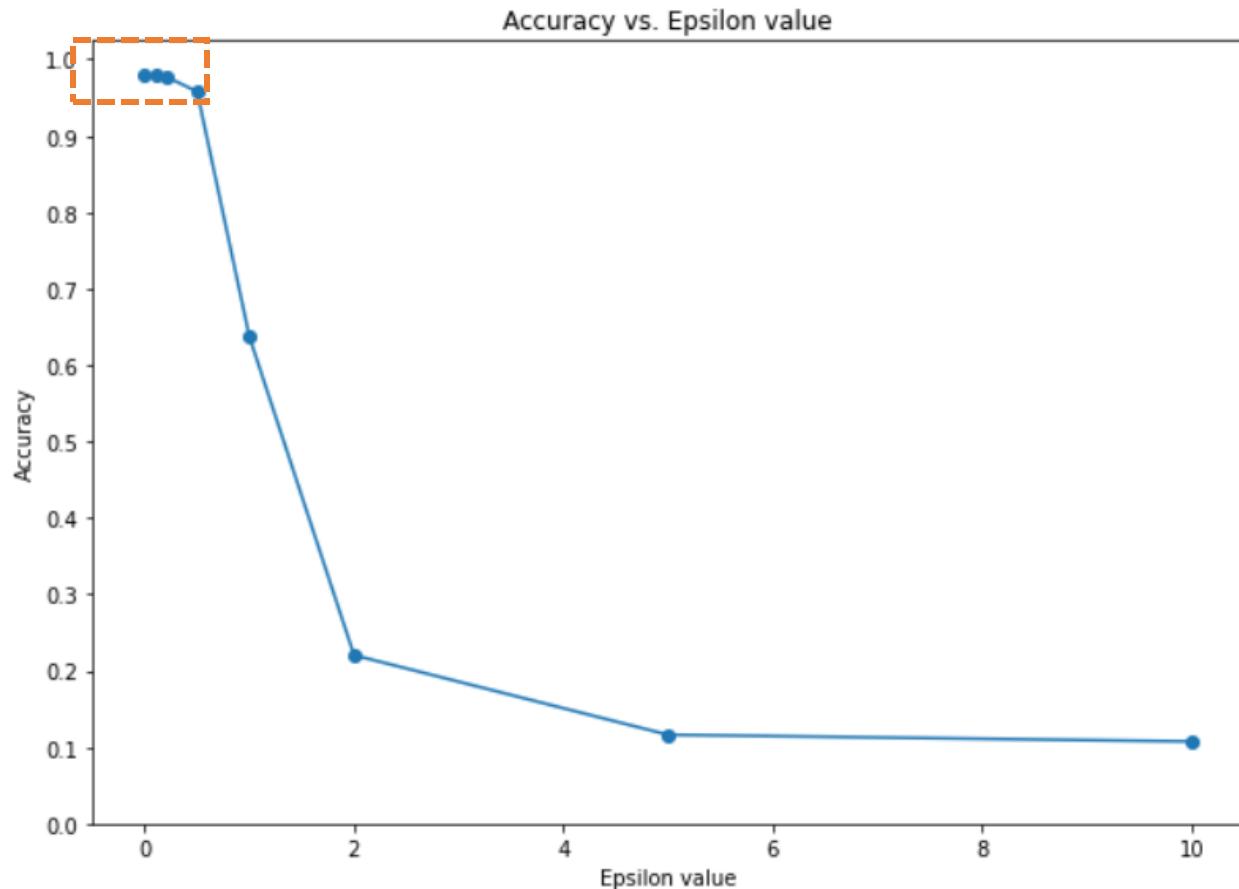
- Please refer to Notebook 1.  
Using Epsilon Noising Attack to Generate Attack Samples.
- All notebooks this week follow the same structure
  - Dataset and Dataloader
  - (Pre-trained) Model
  - Attack function
  - Testing effect of attack on model
  - Accuracy drop and attack samples visualization
  - ~~Defense against such an attack~~

SOMETHING  
FOR LATER...

# Effect of ENM on accuracy

Adding noise to an image tends to make the model malfunction.

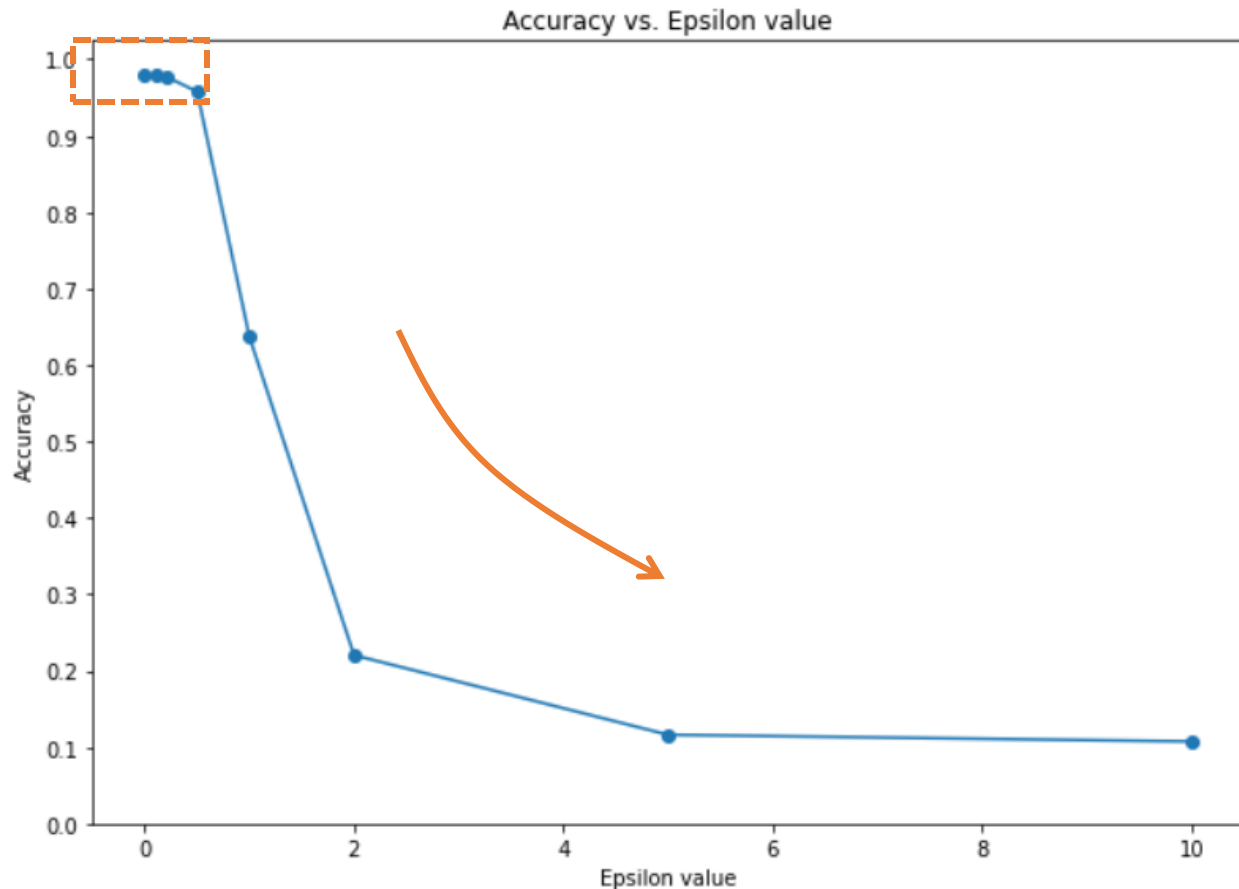
- When no noise (epsilon = 0), 98.1% accuracy.
  - This is our **baseline accuracy**.



# Effect of ENM on accuracy

Adding noise to an image tends to make the model malfunction.

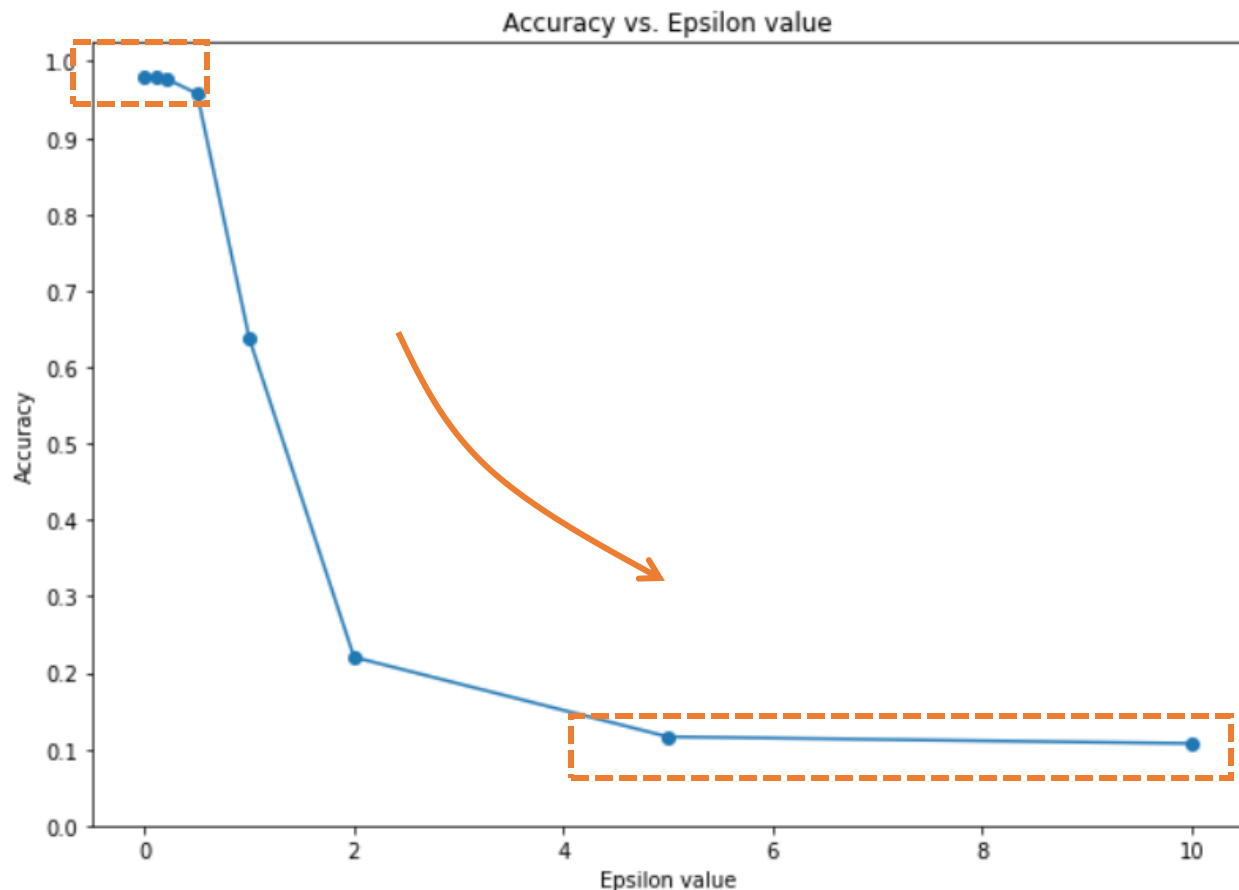
- When no noise (epsilon = 0), 98.1% accuracy.
  - This is our **baseline accuracy**.
- Accuracy decreases, the further we increment the noise amplitude epsilon.



# Effect of ENM on accuracy

Adding noise to an image tends to make the model malfunction.

- When no noise (epsilon = 0), 98.1% accuracy.
  - This is our **baseline accuracy**.
- Accuracy decreases, the further we increment the noise amplitude epsilon.
- Eventually, with full noise (large epsilon), the image will be **randomly classified** (Accuracy  $\sim 10\%$ ).



# What makes a good attack sample?

- However, for large values of epsilon, the attack samples simply become random noise.





# What makes a good attack sample?

- However, for large values of epsilon, the attack samples **simply become random noise**.
- This is mostly why the classifier ends up struggling.



# What makes a good attack sample?

- However, for large values of epsilon, the attack samples **simply become random noise**.
- This is mostly why the classifier ends up struggling.
- These are **NOT considered good attack samples!**
- (Think: humans would struggle to classify those as well!)

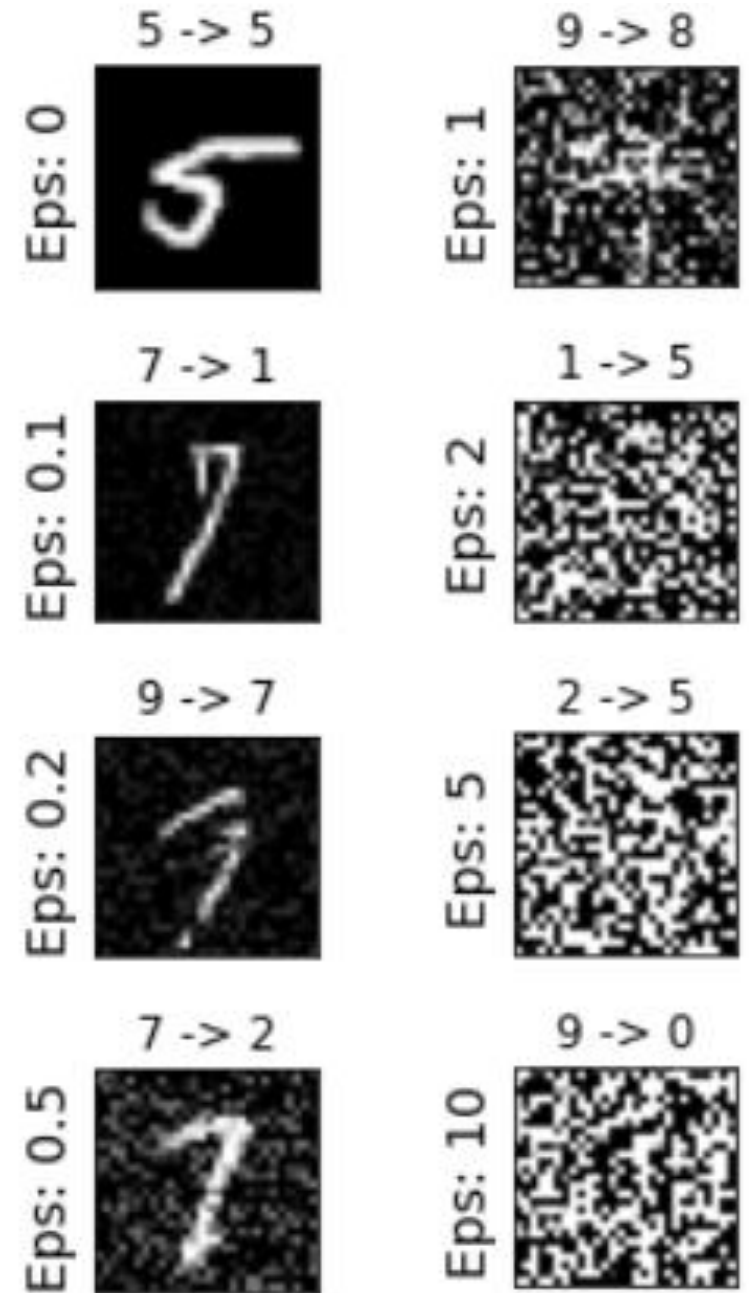


# What makes a good attack sample?

**Definition (what makes a “good” attack sample):**

A “good” attack sample satisfies two properties:

1. **Model failure:** it makes the model malfunction.
2. **Plausibility:** It looks “plausible” or “normal” to a human. It looks like it could have been a sample from the dataset.

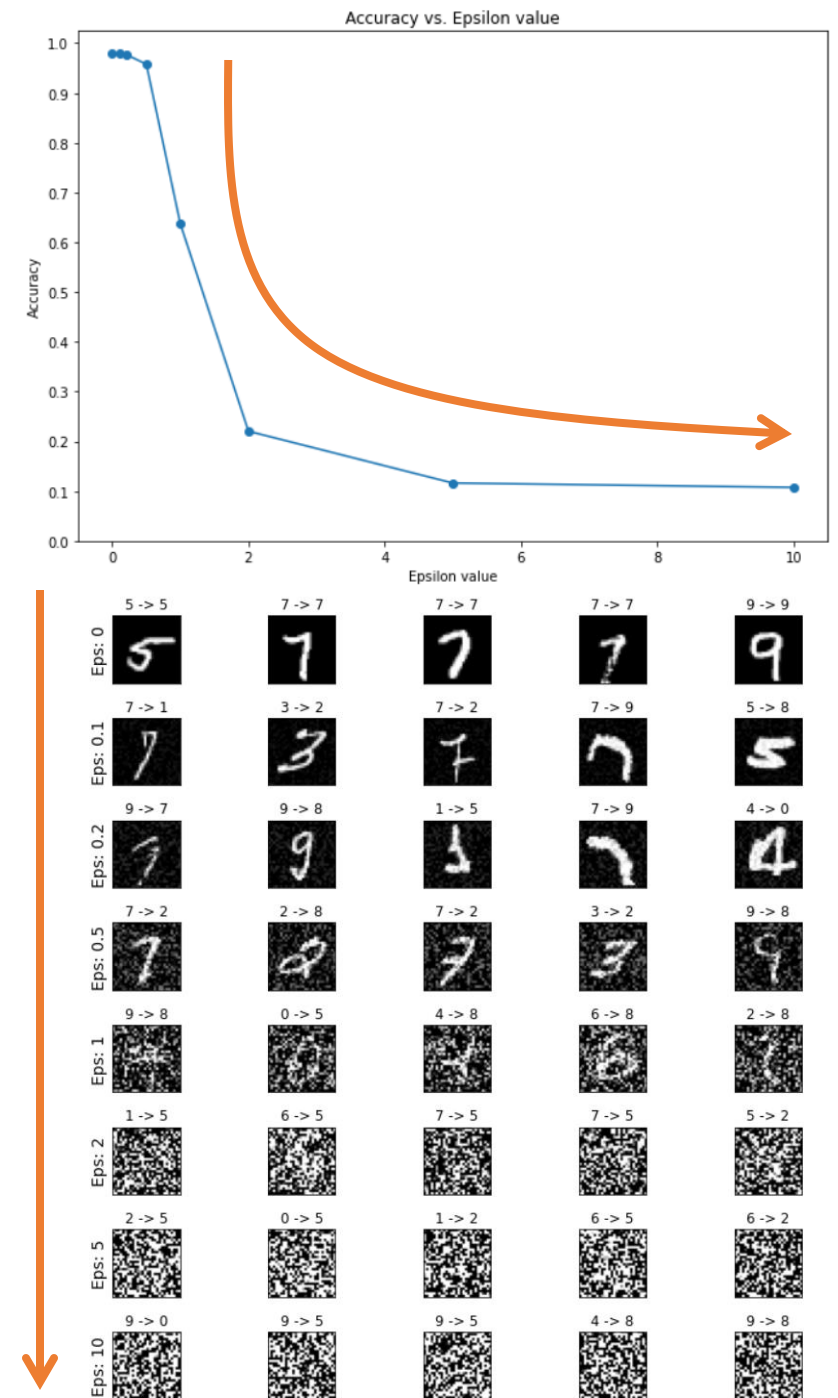
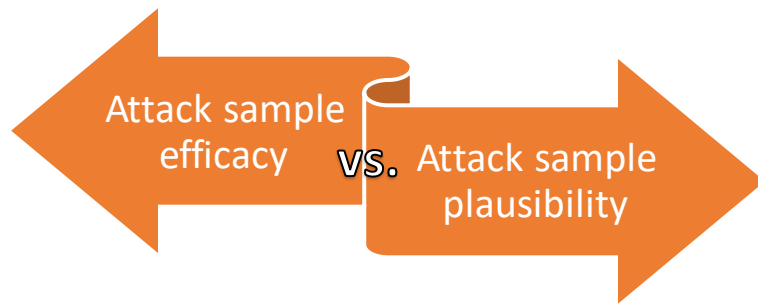


# Attack samples tradeoff

**Definition (attack samples tradeoff in adversarial ML):**

Attack samples are subject to a **tradeoff**. In general,

- the higher the odds of the attack sample to make the model malfunction,
- the less plausible it will look.



# Plausibility

- In general, we would like to have the generated attack sample  $\tilde{x}$ , to be “**close enough**” to the original sample  $x$ .

$$\begin{aligned} & \forall i, j \in Pixel\_Indexes_x \\ & \quad \tilde{x}_{i,j} = x_{i,j} + \omega_{i,j} \\ & \left\{ \begin{array}{ll} \omega_{i,j} \rightarrow U([- \epsilon, \epsilon]) & (Unif. Dist.) \\ \omega_{i,j} \rightarrow N([- \epsilon, \epsilon]) & (Normal Dist.) \end{array} \right. \end{aligned}$$



# Plausibility

- In general, we would like to have the generated attack sample  $\tilde{x}$ , to be “**close enough**” to the original sample  $x$ .
- This is a simple way to ensure **plausibility** for the attack sample.

$$\begin{aligned} & \forall i, j \in Pixel\_Indexes_x \\ & \quad \tilde{x}_{i,j} = x_{i,j} + \omega_{i,j} \\ & \left\{ \begin{array}{ll} \omega_{i,j} \rightarrow U([- \epsilon, \epsilon]) & (Unif. Dist.) \\ \omega_{i,j} \rightarrow N([- \epsilon, \epsilon]) & (Normal Dist.) \end{array} \right. \end{aligned}$$

# Plausibility

- In general, we would like to have the generated attack sample  $\tilde{x}$ , to be “**close enough**” to the original sample  $x$ .
- This is a simple way to ensure **plausibility** for the attack sample.
- In practice, we often enforce a **constraint** on a **distance metric** (or norm) between both the **original image**  $x$  and **attack sample**  $\tilde{x}$ .

$$\begin{aligned} & \forall i, j \in Pixel\_Indexes_x \\ & \quad \tilde{x}_{i,j} = x_{i,j} + \omega_{i,j} \\ & \left\{ \begin{array}{ll} \omega_{i,j} \rightarrow U([- \epsilon, \epsilon]) & (Unif. Dist.) \\ \omega_{i,j} \rightarrow N([- \epsilon, \epsilon]) & (Normal Dist.) \end{array} \right. \end{aligned}$$

$$\|\tilde{x} - x\| \leq \alpha,$$

**with  $\alpha$  chosen arbitrarily**

# A reminder about norms

- **$L^0$  norm:** bounds the total number of pixels in  $\tilde{x}$  that can be modified with respect to  $x$  (though they can be modified by any amount).

$$\|\tilde{x} - x\|_0 = \text{card}(\{(i, j) \text{ s.t. } x_{i,j} \neq \tilde{x}_{i,j}\})$$

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$$\|\tilde{x} - x\|_1 = \frac{1}{N} \sum_{i,j} |\tilde{x}_{i,j} - x_{i,j}|, \quad \text{with } N \text{ the number of pixels}$$

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- **$L^2$  norm:** bounds the total squared distance between the values of pixels in  $\tilde{x}$  and the corresponding pixels in  $x$ . Most commonly referred to as Euclidean distance.

$$\|\tilde{x} - x\|_2 = \frac{1}{N} \sqrt{\sum_{i,j} (\tilde{x}_{i,j} - x_{i,j})^2}, \quad \text{with } N \text{ the number of pixels}$$

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- **$L^2$  norm:** bounds the total squared distance between the values of pixels in  $\tilde{x}$  and the corresponding pixels in  $x$ . Often referred to as the Euclidean distance.
- **$L^\infty$  norm:** bounds the maximum difference between any pixel in  $\tilde{x}$  and the corresponding pixel in  $x$ . Often referred to as max norm.

$$\|\tilde{x} - x\|_\infty = \max_{i,j} (|\tilde{x}_{i,j} - x_{i,j}|)$$



# A reminder about norms

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Preferred one!

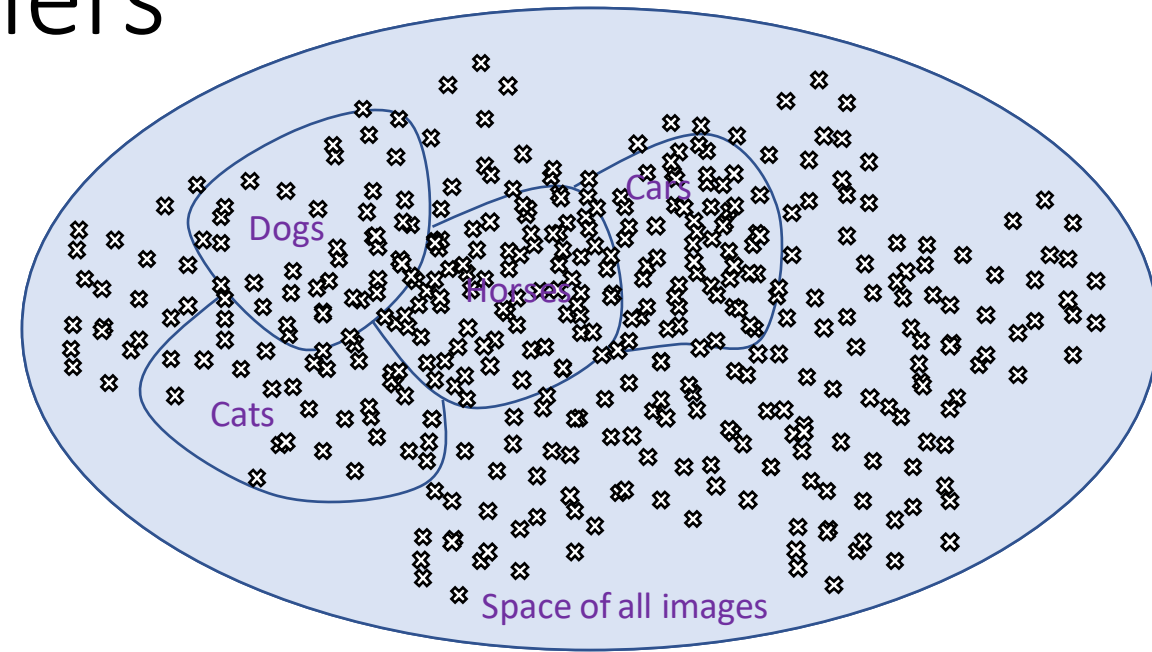
# Impact of noise on classifiers

- **Expectation for Neural Networks:** Just like with human perception, small changes on inputs should not yield a different label!
- **Unfortunately, deep learning predictions are different:** Deep learning algorithms process data differently from humans, with strong discontinuities in the change of prediction as a function the inputs.
- **And that is the reason for their vulnerability to attacks.**
- **Following: A tentative of explaining why that is the case (not the absolute truth, but my intuition as to why this happens!)**

# Impact of noise on classifiers

Consider the arbitrary representation, with regions and boundaries between them.

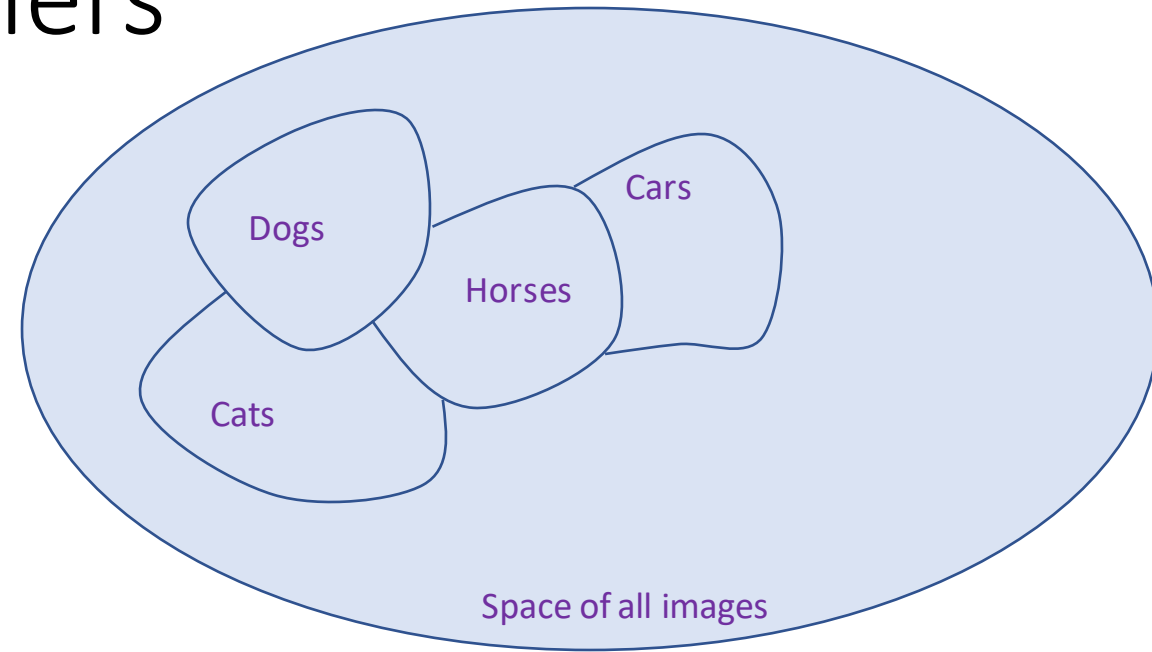
- **Misconception #1:** the whole space of possible inputs was densely filled with training examples during training.



# Impact of noise on classifiers

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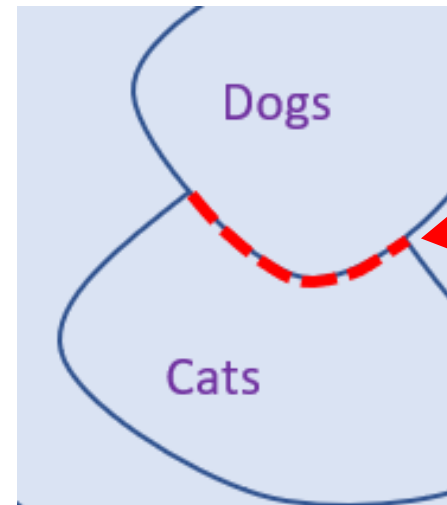
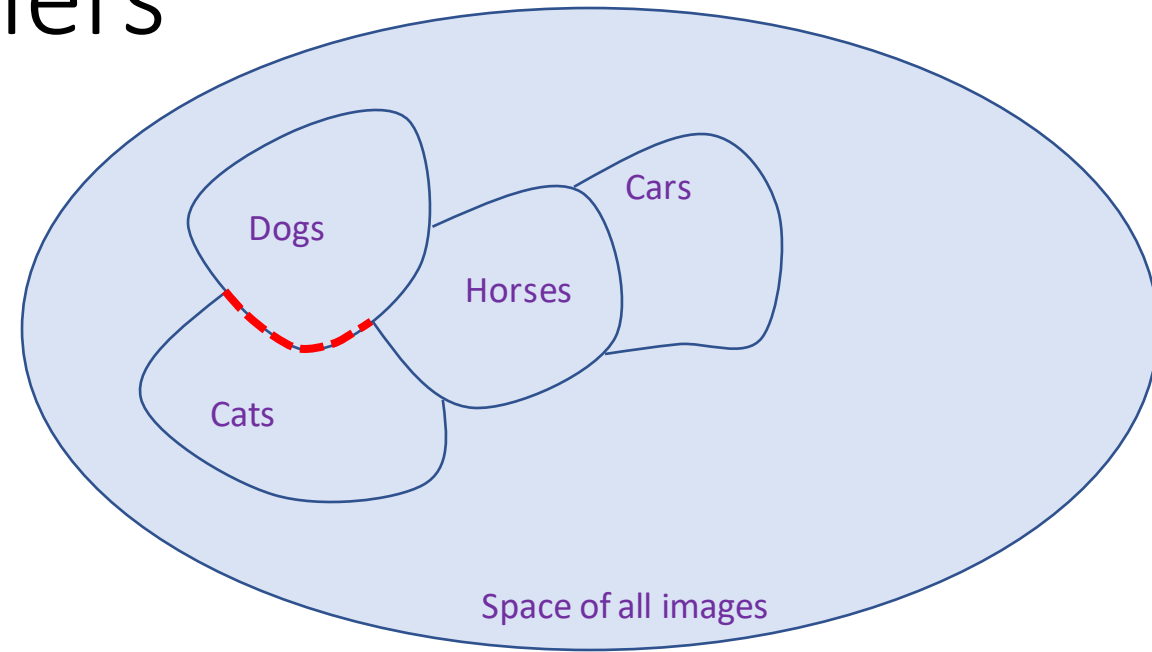
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- **Misconception #2:** regions are contiguous and filled with samples.



# Impact of noise on classifiers

Consider the arbitrary representation, with regions and boundaries between them.

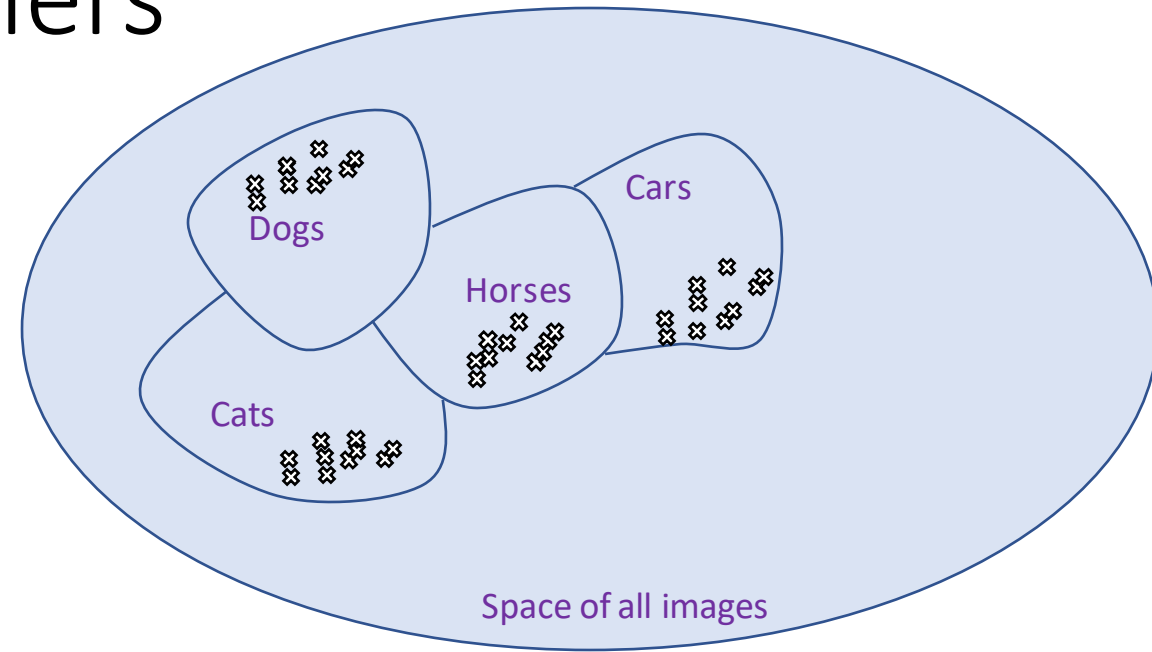
- **Misconception #1:** the whole space of possible inputs was densely filled with training examples during training.
- **Misconception #2:** regions are contiguous and filled with samples.
- **Misconception #3:** the decision boundaries between classes are smooth and make perfect sense.



Has a clear logic,  
explaining the  
difference  
between dogs and  
cats

# Impact of noise on classifiers

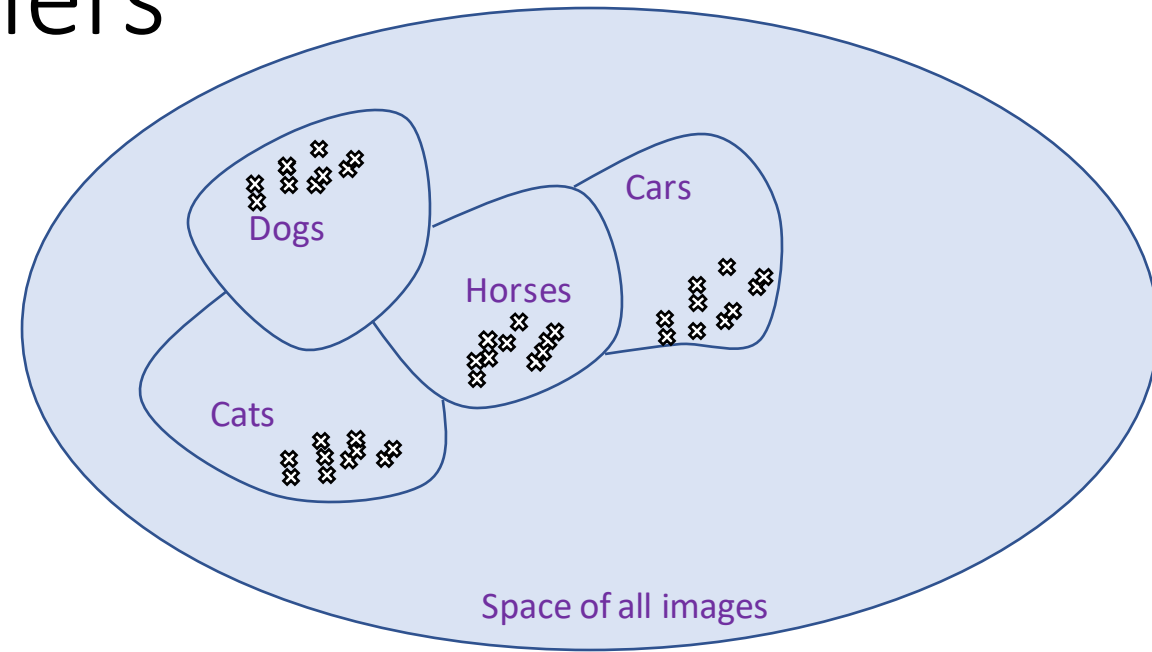
- **Misconception #1:** the whole space of possible inputs was densely filled with training examples during training.
- **Correction #1:** the space is mostly noise images and sparsely filled with relevant training examples.
- Also, the training samples do not cover for all possible relevant images.





# Impact of noise on classifiers

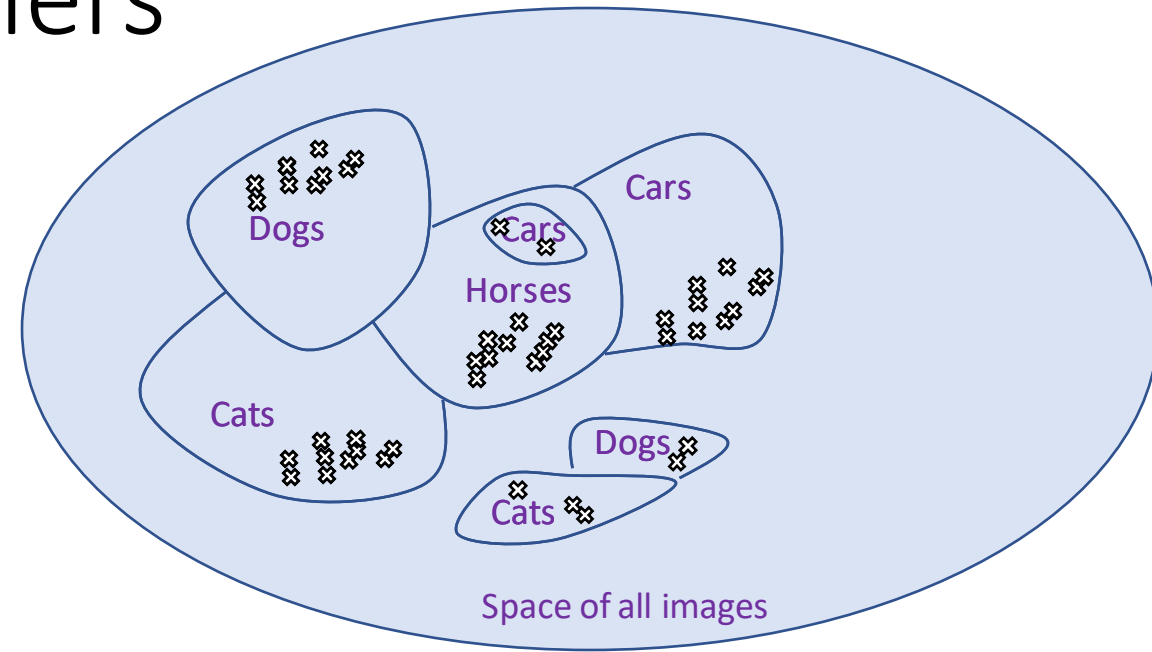
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**E.g.** MNIST contains 28 by 28 pixels images, with pixel values in  $[0, 255]$ . The whole space is roughly  $(256)^{28 \times 28} \approx 10^{1888}$  images, and most of them are noise. The MNIST dataset contains 60000 images only.

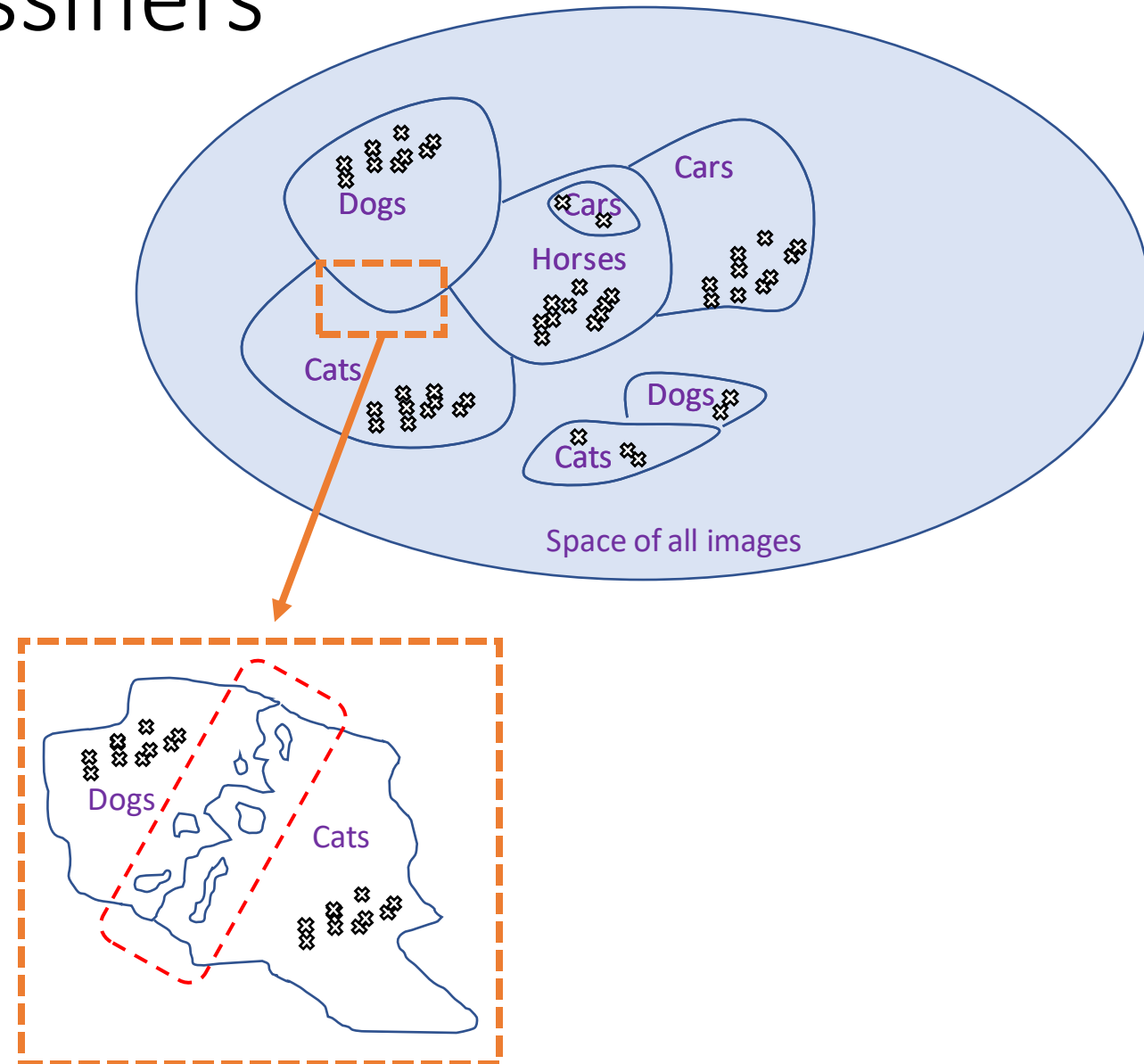
# Impact of noise on classifiers

- **Misconception #2:** regions are contiguous and filled with samples.
- **Correction #2:** regions will not necessarily be contiguous.



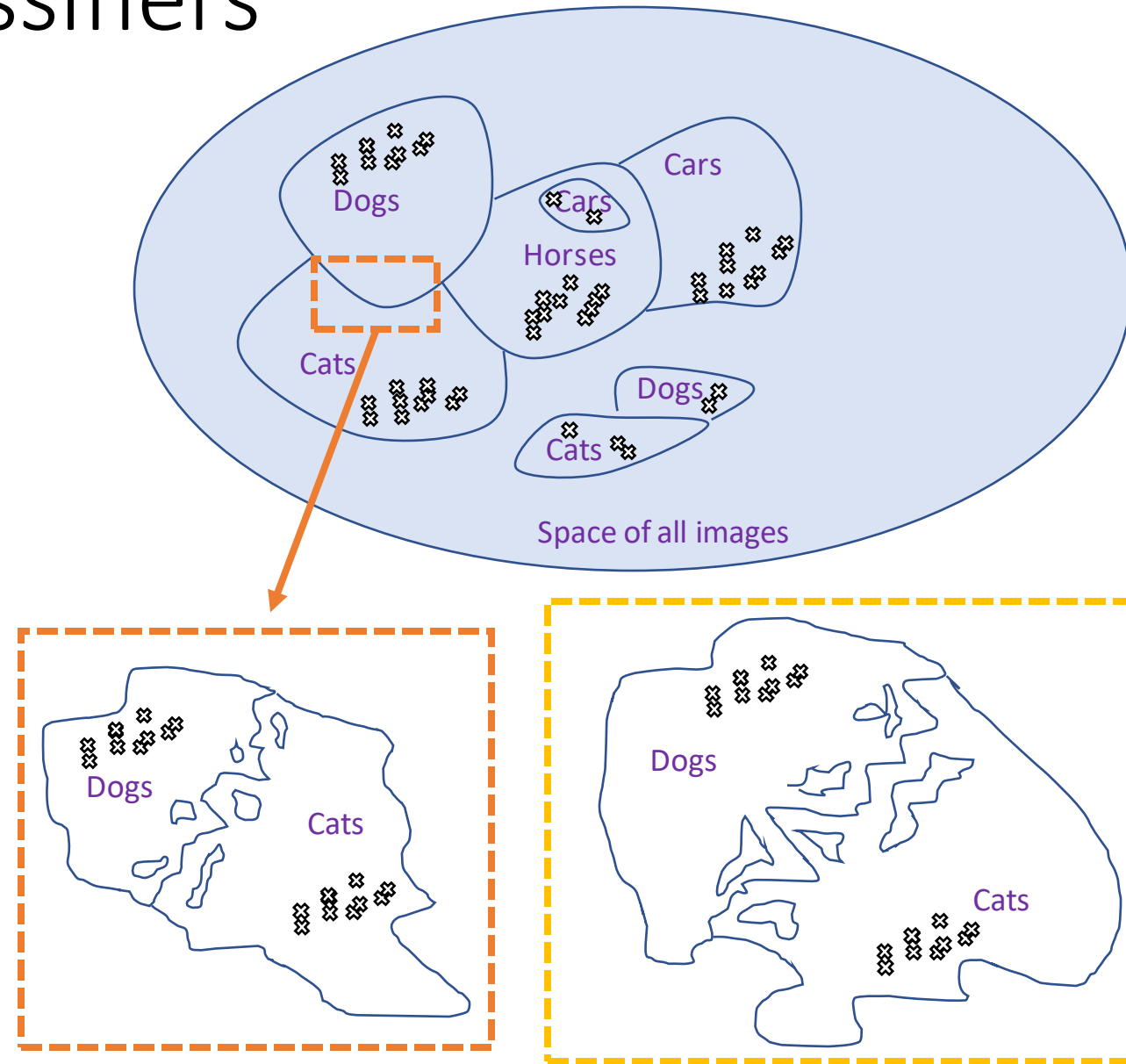
# Impact of noise on classifiers

- **Misconception #2:** regions are contiguous and filled with samples.
- **Correction #2:** regions will not necessarily be contiguous. Boundaries between classes might even be very **erratic**!



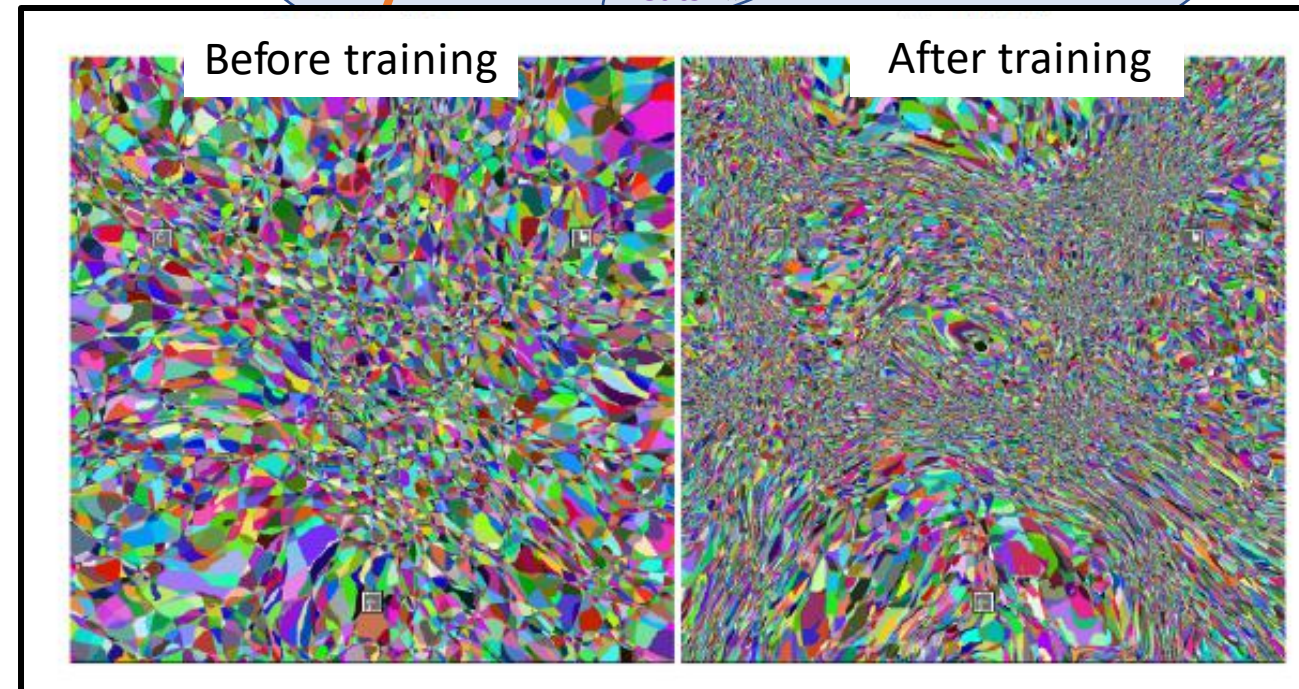
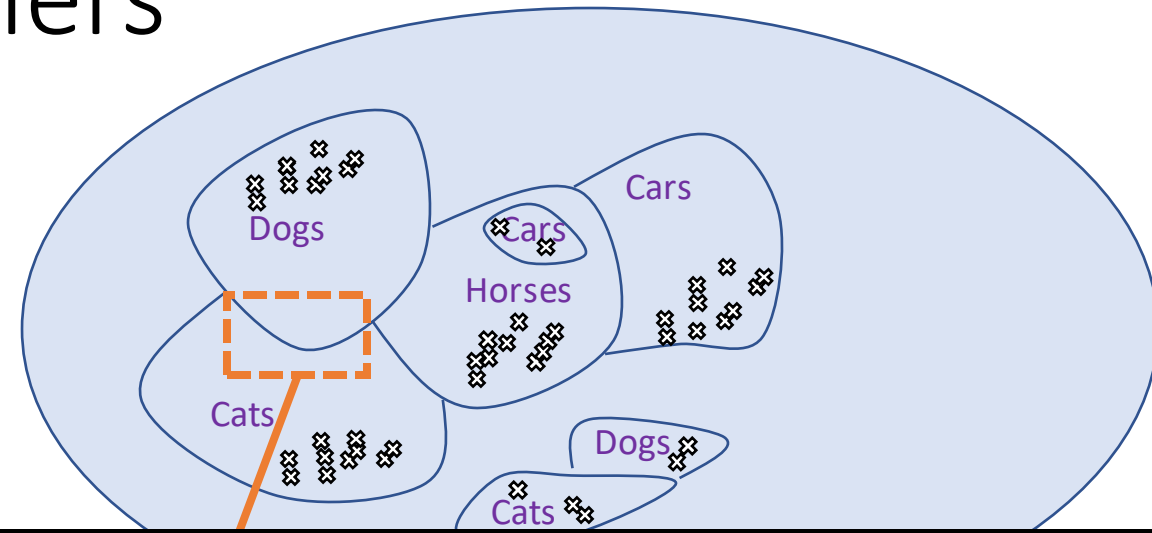
# Impact of noise on classifiers

- **Misconception #3:** the decision boundaries between classes are smooth and make perfect sense.
- **Correction #3:** In fact, the boundaries between samples are often “randomly” decided.
- On different epochs, the boundaries might change randomly.



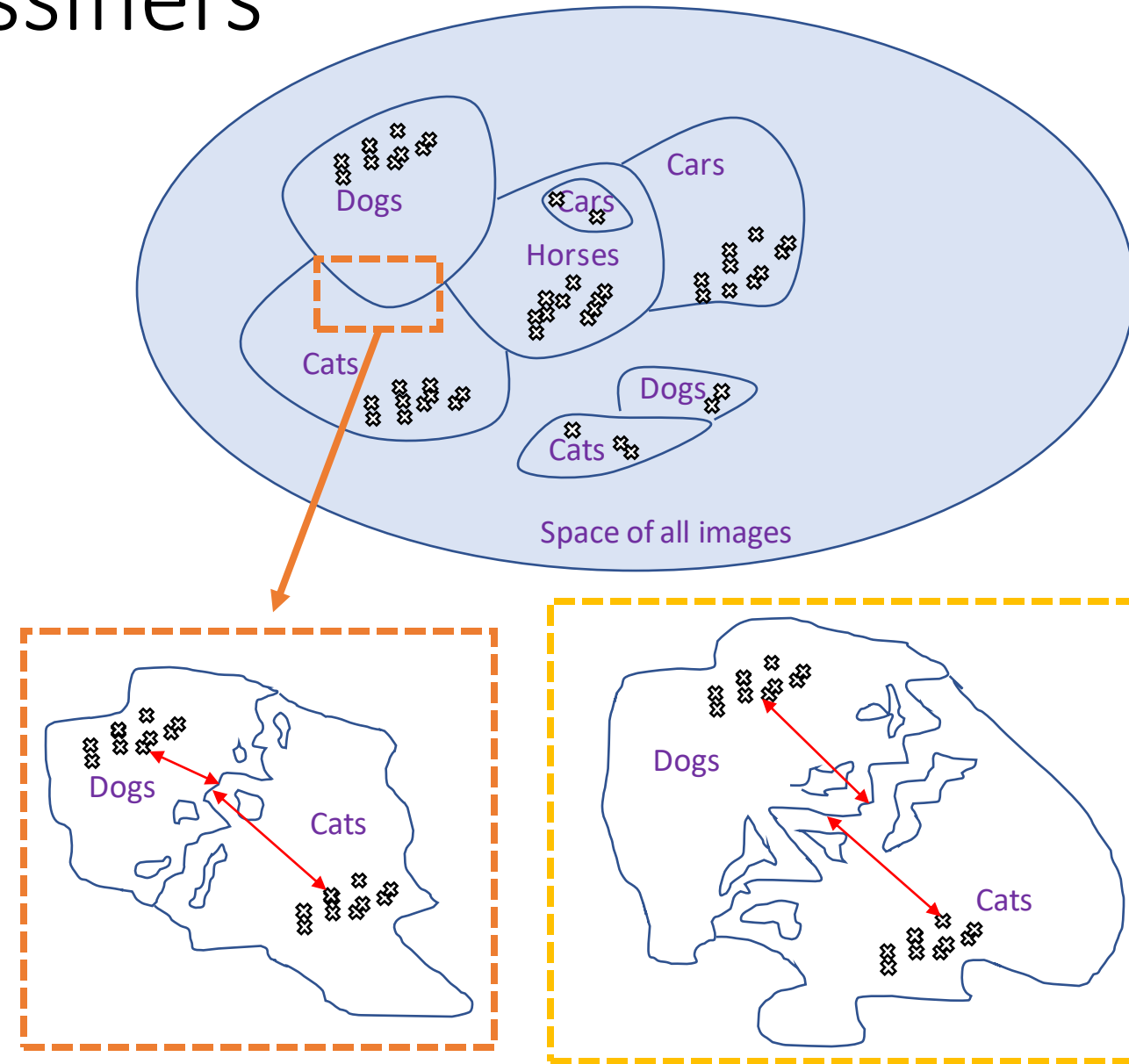
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# Impact of noise on classifiers

- **Misconception #3:** the decision boundaries between classes are smooth and make perfect sense.
- **Correction #3-bis:** Training samples are often condensed, far away from the boundaries.





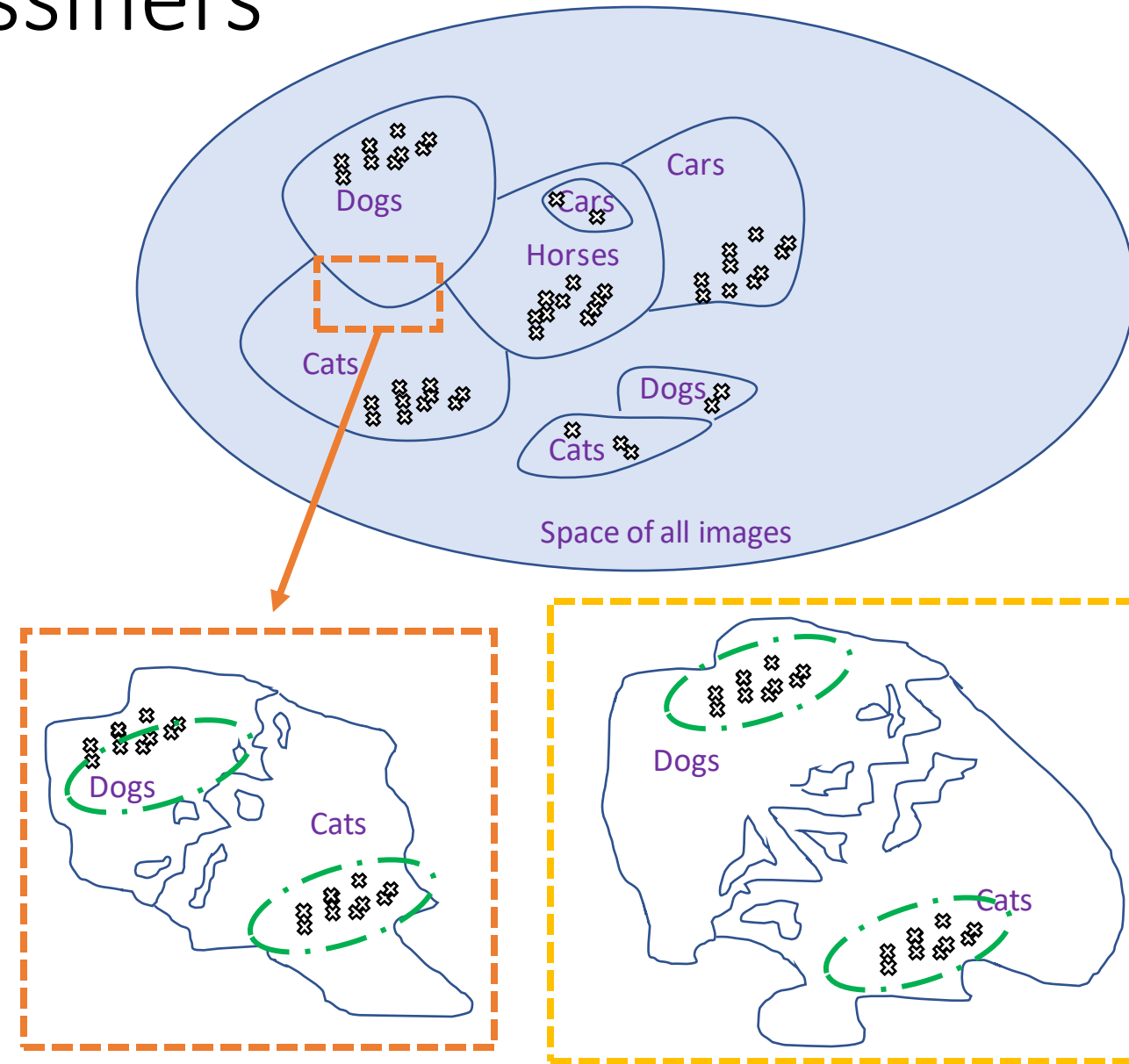
# Impact of noise on classifiers

**Correction #3-bis:** Training samples are often condensed, far away from the boundaries.

**Definition (manifolds):**

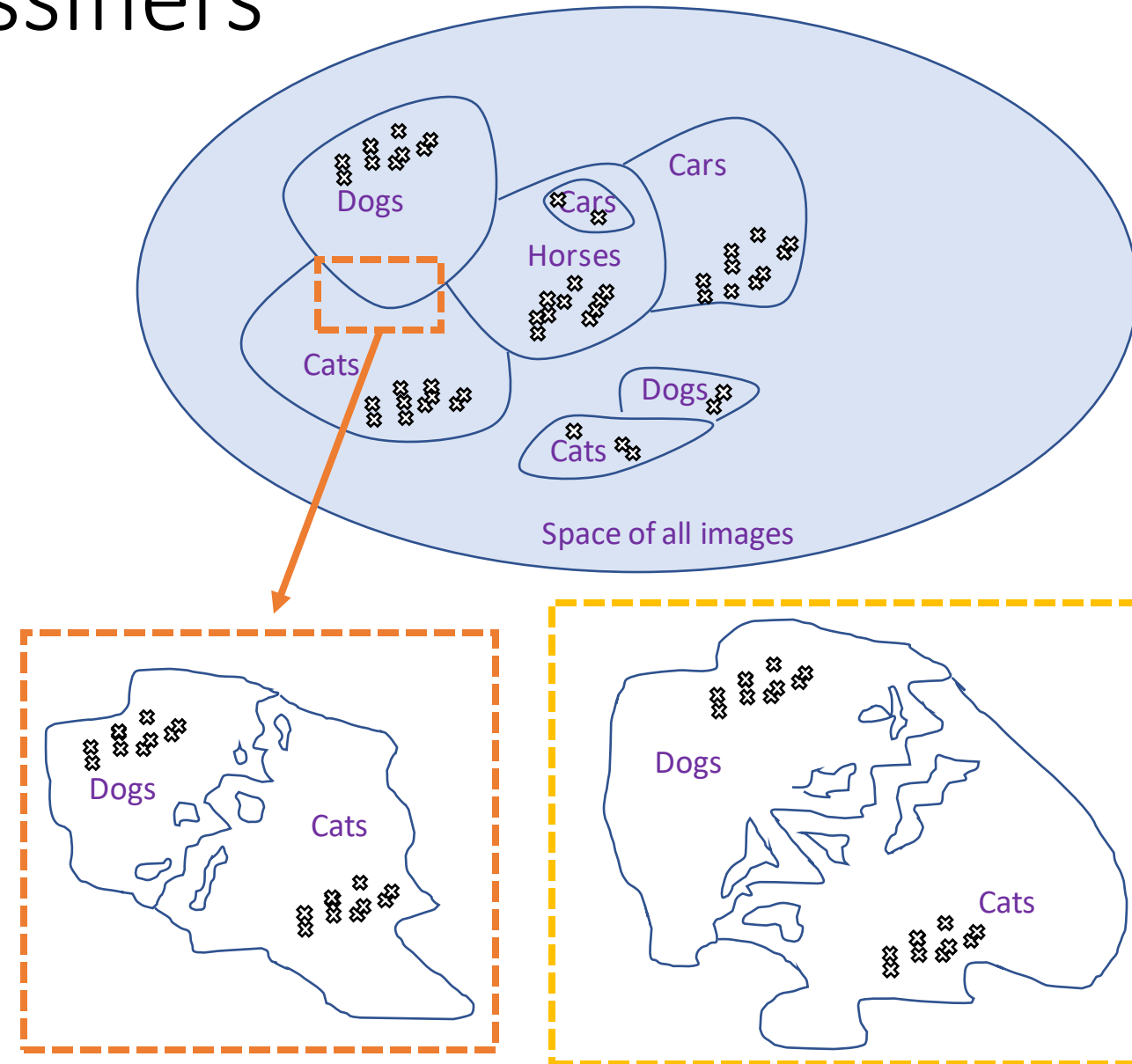
These **small regions containing a large number of training examples** are mathematically called **manifolds**.

See [TDS1], if curious.



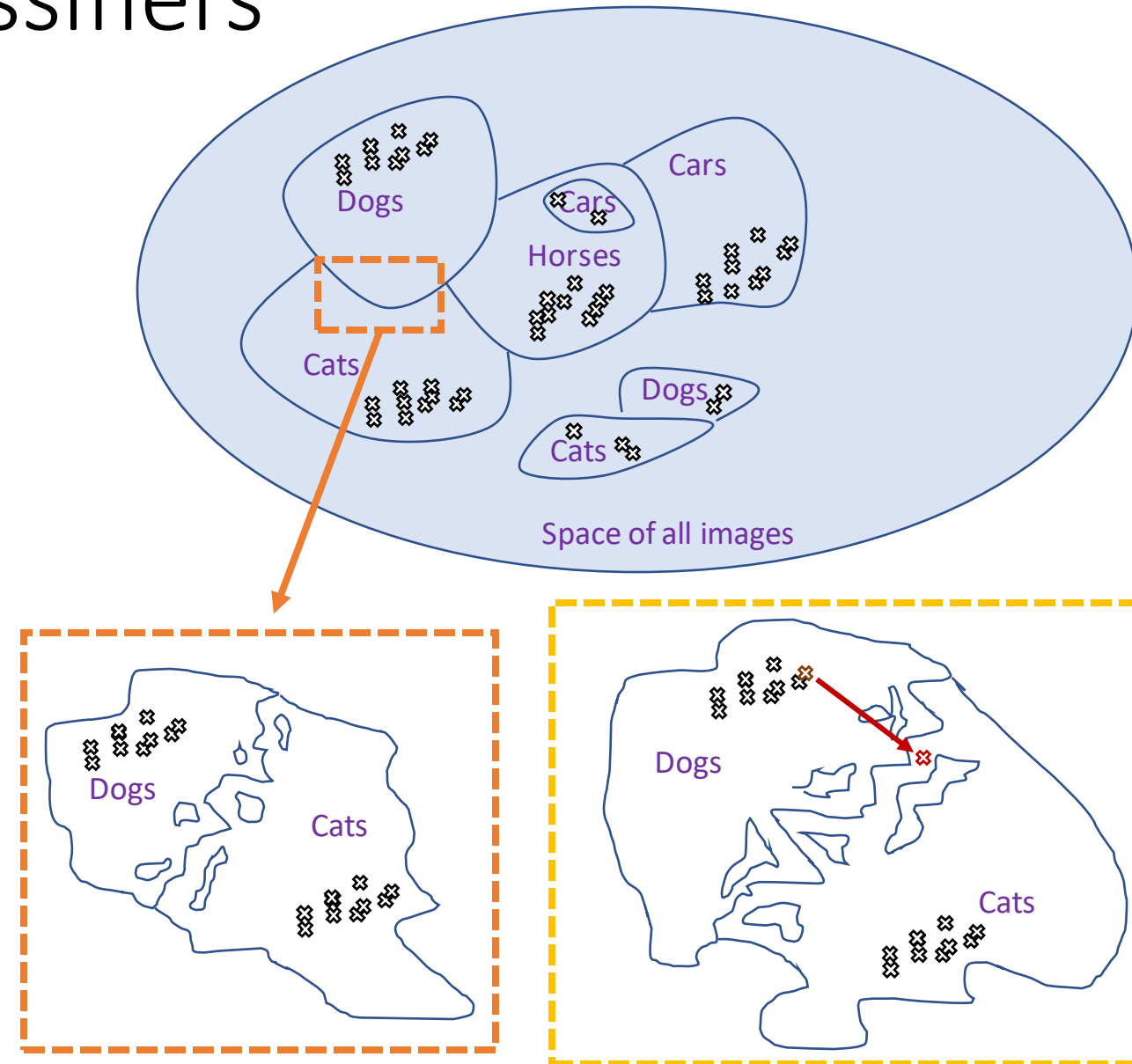
# Impact of noise on classifiers

- **Misconception #3:** the decision boundaries between classes are smooth and make perfect sense.
- **Correction #3-bis:** Training samples are often condensed, far away from the boundaries.
- Boundaries decided by the Deep Learning models often exhibit the same behavior as the **Support Vector Machines** boundaries. But in a more random manner.



# Impact of noise on classifiers

- **ENM procedure, explained:**  
When randomly noising an **original sample** to make an **attack sample**, we move randomly in the feature map.
- We may even move in the boundary region, where the sample might become misclassified.
- The **attack sample** will therefore look similar to a dog picture, but will be misclassified as a cat.



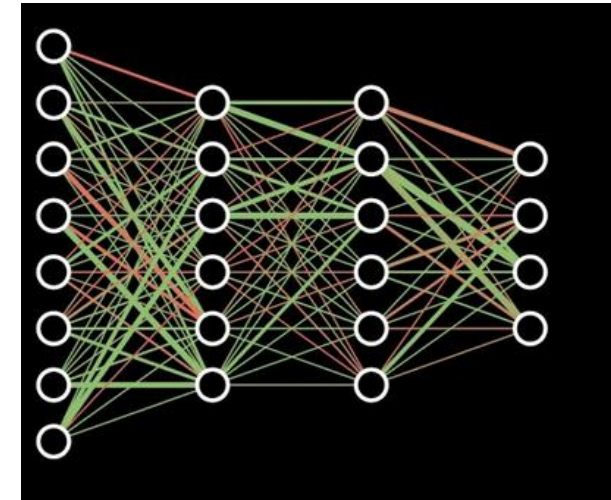
# Lessons from ENM attacks

Here is my intuition about attacks.  
(Again, not claiming it is the universal truth, free from counter examples.)

- In Deep Learning, to learn means to understand that some objects are similar on certain aspects and use that for classification.
- It means to discard irrelevant information which does not contribute to understand those similarities.



Cat or Dog Picture (high dimensional input)



Neural Network, processing input image



Is the **presence of fur** relevant for discerning cats from dogs? **No, discard info.**



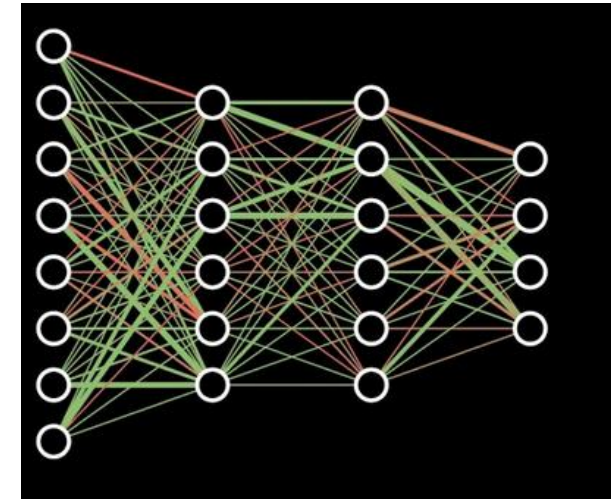
Is the **shape of eyes** relevant for discerning cats from dogs? **Yes, process info.**

# Lessons from ENM attacks

- Discarding information is achieved by progressively compressing input data into a space of lower dimensionality using several Neural Networks layers.
- A linear neuron would achieve this, by mapping inputs onto a lower-dimension hyperplane, and learns projections of the training data.



Cat or Dog Picture (high dimensional input)



Neural Network, processing input image



$$\mathbf{a} = [a_1 \quad a_2 \quad \dots \quad a_n].$$

Lower dimensional vector produced by final hidden layer of Neural Network.

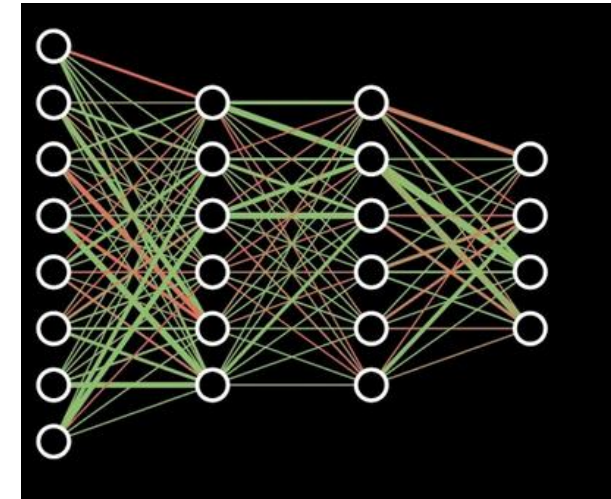


# Lessons from ENM attacks

- Neural Network then aims to map similar objects close together in this low dimensionality space.
- I.e., all pictures of cats will produce vectors  $a$ , with roughly similar values. Same for dogs.
- Cats vectors and dogs vectors will, however, be very different!
- Final layer implements binary decision on vector  $a$ .



Cat or Dog Picture (high dimensional input)



Neural Network, processing input image



$$\mathbf{a} = [a_1 \quad a_2 \quad \dots \quad a_n].$$

Lower dimensional vector produced by final hidden layer of Neural Network.



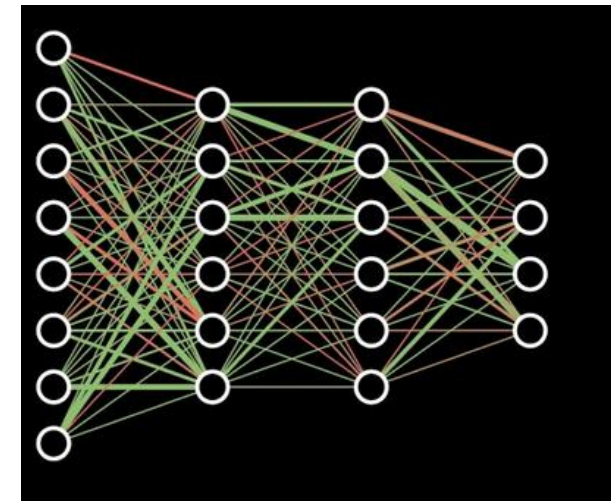
# Lessons from ENM attacks

- Due to compression, there are many directions in a high dimension feature space along which a small step might lead to big changes in predictions.
- In zones with low training data densities, the decision boundaries can lie very close together, because they were never properly learned from training samples!



Cat or Dog Picture (high dimensional input)

Small  
change  
here...



Neural Network, processing input image

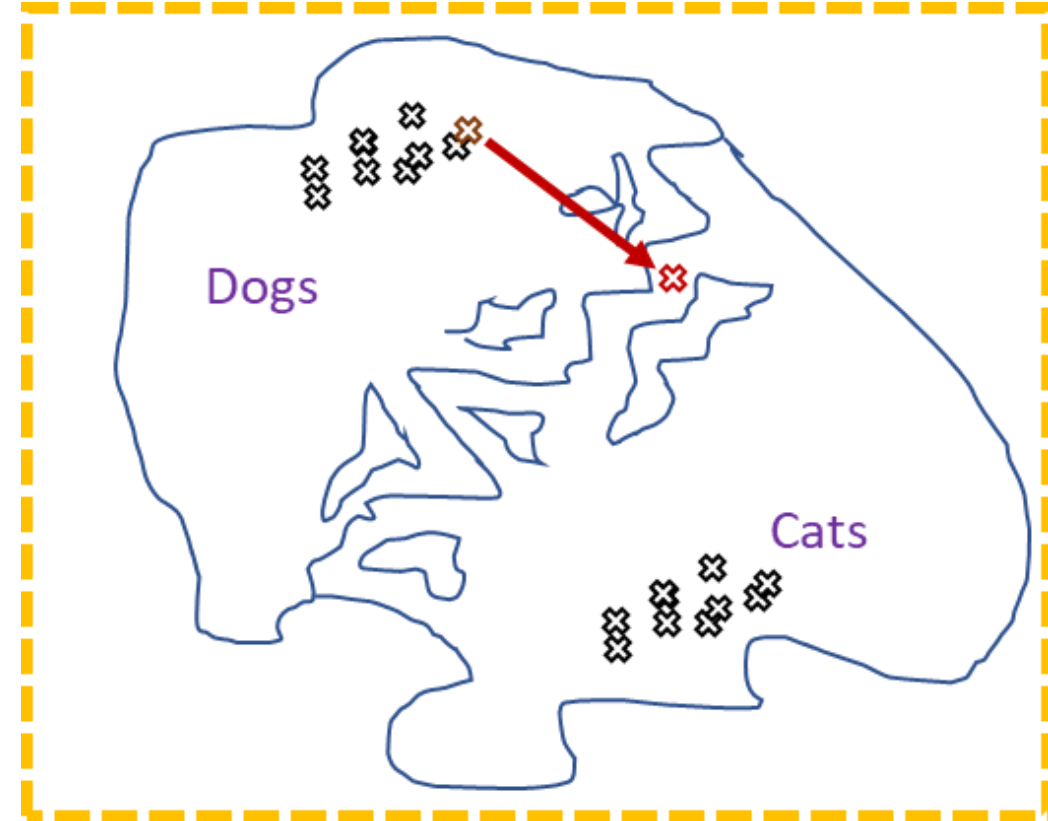
... Huge  
change  
here!

$$\mathbf{a} = [a_1 \quad a_2 \quad \dots \quad a_n]$$

Lower dimensional vector produced by final hidden layer of Neural Network.

# Lessons from ENM attacks

- In zones with low training data densities, some small changes on an input can then lead to big changes in predictions produced by the trained Neural Network.
- And that is what we exploit to generate **attack samples**!



“panda”  
57.7% confidence

+ .007 ×



“nematode”  
8.2% confidence

=



“gibbon”  
99.3 % confidence

# Lessons from ENM attacks

- **Unfortunately, this means that all deep learning models will always be susceptible to attacks.  
(And that is something we have to accept.)**

# Lessons from ENM attacks

**→ Unfortunately, this means that all deep learning models will always be susceptible to attacks.  
(And that is something we have to accept.)**

**So what? Is that it then?**

**Are Neural Networks flawed beyond repair?**

**Are we giving up on Neural Networks then?**

# Reason #2: Defense

## Definition (**Defense** on Neural Networks):

In adversarial machine learning, **defense** refers to machine learning techniques that attempt to **protect models from being attacked** by malicious attempts.

## Reason #2: Defense

### Definition (**Defense** on Neural Networks):

In adversarial machine learning, **defense** refers to machine learning techniques that attempt to **protect models from being attacked** by malicious attempts.

**Important:** defense mechanisms often rely on an understanding of how attacks work.

SOMETHING  
FOR LATER...



# Conclusion (W8S1)

- Attacks on Neural Networks, a definition.
- Our first attack, simply by noising images.
- Attacks exploits intrinsic limitations that deep learning models will always have.
- We can defend against attacks.
- The random noise attacks are very random with unpredictable efficacy.
- These random noise attacks will not necessarily move the samples towards the boundary region.
- Advanced attacks techniques will improve on this matter. More on this later!
- Defense mechanics will attempt to prevent these attacks from working.

# Learn more about these topics

Out of class, supporting papers, for those of you who are curious.

- [Xie2017] Xie et al., “Adversarial Examples for Semantic Segmentation and Object Detection”, 2017.  
<https://arxiv.org/abs/1703.08603>
- [Szegedy2013] Szegedy et al., “Intriguing properties of neural networks”, 2013.  
<https://arxiv.org/abs/1312.6199>

# Learn more about these topics

- [Moosavi2017] Moosavi-Dezfooli et al., “Universal adversarial perturbations”, 2017.  
<https://arxiv.org/abs/1610.08401>
- [Hayes2017] Hayes et al., “Learning Universal Adversarial Perturbations with Generative Models”, 2017.  
<https://arxiv.org/abs/1708.05207>
- [Goodfellow2018] Goodfellow et al., “Making machine learning robust against adversarial inputs”, 2018.  
<https://dl.acm.org/doi/10.1145/3134599>

# Learn more about these topics

Some extra (easy) reading and videos for those of you who are curious.

- [Verge1] Google's AI thinks this turtle looks like a gun, which is a problem:

<https://www.theverge.com/2017/11/2/16597276/google-ai-image-attacks-adversarial-turtle-rifle-3d-printed>

- [Spectrum1] Slight Street Sign Modifications Can Completely Fool Machine Learning Algorithms:

<https://spectrum.ieee.org/cars-that-think/transportation/sensors/slight-street-sign-modifications-can-fool-machine-learning-algorithms>

# Learn more about these topics

- [Verge2] These glasses trick facial recognition software into thinking you're someone else:  
<https://www.theverge.com/2016/11/3/13507542/facial-recognition-glasses-trick-impersonate-fool>
- [YTB1] Defeating Facial Recognition:  
<https://www.youtube.com/watch?v=tbdcl5Ux-9Y>
- [TDS1] Manifolds in Data Science — A Brief Overview:  
<https://towardsdatascience.com/manifolds-in-data-science-a-brief-overview-2e9dde9437e5>