50.039 Theory and Practice of Deep Learning

W8-S3 Word Embedding Biases, Evaluation, Attention and Transformers

Matthieu De Mari



About this week (Week 8)

- 1. Why are **embeddings** an essential component of Neural Networks (NNs)?
- 2. Why are **good embeddings** difficult to produce?
- 3. What are the conventional approaches to embeddings in NLP? What can we learn from these approaches?
- 4. What are the **typical issues with embeddings** and how do we address them?
- **5. State-of-the-art** of current embedding problems, and **open questions** in research.

About this week (Week 8)

- 6. How do we evaluate the quality/performance of an embedding?
- 7. Can embeddings be **biased**?
- 8. Can we help the neural networks **identify the important parts of the context** to focus on?
- 9. What is **attention** in Neural Networks? What are **transformers** in Neural Networks?
- 10. What are the typical uses for attention these days?
- 11. What are the **limits of attention** and the **current research directions** on this topic?

In the last episode...

We have discussed the **embedding** problem, and its aspects in Natural Language Processing.

Many approaches can be used to obtain word embedding.

• Unsupervised: CBoW & SkipGram (2013), GloVe (2014), FastText (2018), ELMo (2018).

Question: What makes a word embedding better than another? How do we measure the performance of an embedding?

Evaluating the performance of an embedding is a difficult task and still an open question in research [Schnabel2015].

• **Reason:** it is very difficult to come up with a performance **measure** to objectively evaluate the quality of a word embedding.

Overall, two big families of approaches:

- Extrinsic methods
- Intrinsic Methods

Method #1 (Extrinsic Evaluation):

Methods of extrinsic evaluation are based on the ability of word embeddings to be used as the feature vectors of supervised machine learning algorithms.

The more able a word embedding is on a given (complex) task, the better it might be.

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Typically, training a CBoW requires to predict a missing word, in the middle of a given sentence.

This is an easier task to train on, compared to predicting the possible context words for a given single word (SkipGram)

For this reason, we often assume that **SkipGram > CBoW**.

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The more able a word embedding is on a given (complex) task, the better it might be.

In general, we train an embedding on a task and extract a layer from our Neural Network, corresponding to the embedding.

This embedding is then reused on another task layer on (transfer learning).

For this reason, it is often preferable to check how good the embedding is on the second task.

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Researchers have identified a set of typical NLP tasks and datasets on which we need word embeddings [Schnabel2015], e.g.

- Sentiment Analysis
- Translation
- Etc.

These have often been used as reference for measuring the performance of a given word embedding.

Method #2 (Intrinsic Evaluation):

Methods of intrinsic evaluation are experiments in which word embeddings are compared with human judgments on words relations.

Typically, we will rely on a human expert to judge whether the word embedding is good or not.

Definition (cosine angle between two vectors):

Researchers have tried to come up with an "objective" metric to compute the similarity between two word embeddings vectors.

For two vectors u, v, we define the **cosine angle** between both vectors as:

$$\cos(u, v) = \frac{\langle u, v \rangle}{\|u\| \|v\|}$$

The cosine angle formula verifies

$$\cos(u,v) = \begin{cases} 1 & if \ u = v \\ -1 & if \ u = -v \\ 0 & if \ u \ and \ v \ are \\ orthogonal \end{cases}$$

A value close to 1 (resp. -1) indicate two vectors carrying a similar (resp. opposite) semantic meaning. A value 0 indicates two uncorrelated words.

 Rule #1: A "good" word embedding should model semantic proximity.

One can check that word analogies are preserved by the word embedding, by e.g. looking for the top 10 words $(u_1, ..., u_{10})$ with the largest cosine distance for any given word v.

These should make sense (somewhat)

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Query word? asparagus beetroot 0.812384 tomato 0.806688 horseradish 0.805928 spinach 0.801483 licorice 0.791697 lingonberries 0.781507 asparagales 0.780756 lingonberry 0.778534 celery 0.774529 beets 0.773984 Query word? pidgey
pidgeot 0.891801
pidgeotto 0.885109
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pidgeon 0.787351
pok 0.781068
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One can check that word

Are these top10 lists good or bad answers?

Your answer to this question will probably be very subjective and not a reliable performance evaluation metric...!

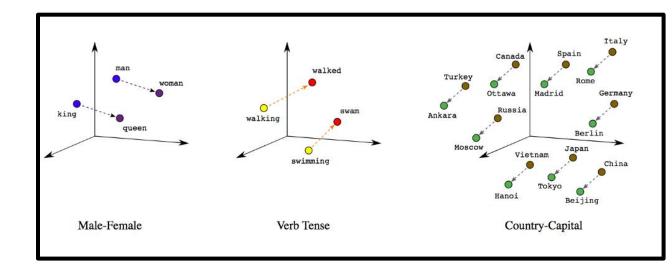
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 Rule #2: A "good" word embedding should preserve word analogies.

Word analogies are sentences reading as "A is to B, what C is to D", with A, B, C and D being four words.



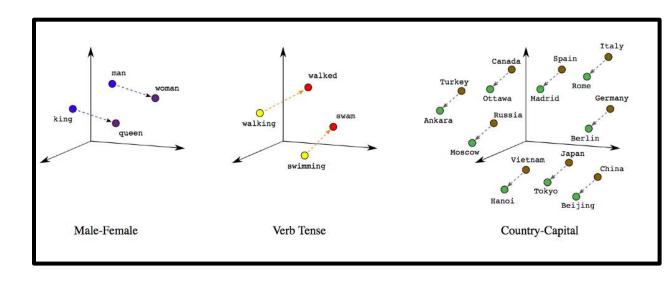
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Analogies are typically modeled by relations such as:

$$w_A - w_B = w_C - w_D$$

$$w_A = w_C - w_D + w_B$$



 Rule #2: A "good" word embedding should preserve word analogies.

Word analogies are sentences

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 For instance (from the FastText tutorial https://fasttext.cc/docs/en/uns

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```
$ ./fasttext analogies result/fil9.bin
Pre-computing word vectors... done.
Query triplet (A - B + C)? berlin germany france
paris 0.896462
bourges 0.768954
louveciennes 0.765569
toulouse 0.761916
valenciennes 0.760251
montpellier 0.752747
strasbourg 0.744487
meudon 0.74143
bordeaux 0.740635
pigneaux 0.736122
```



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More specifically, because we are unsure what the word embedding values represent in terms of semantics.

There have been observed cases where the word embedding understands some "sort of relationship" but cannot properly distinguish between the different types of relationships, e.g.

$$w_{driver} - w_{car} = w_{king} - w_{queen}$$

 Rule #3: A "good" word embedding should be able to operate on variations on the words (color/colour, typos, plural forms, conjugation, etc.). For instance (from the FastText tutorial

https://fasttext.cc/docs/en/unsup
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Query word? accomodation accomodations 0.96342 accommodation 0.942124 accommodations 0.915427 accommodative 0.847751 accommodating 0.794353 accommodated 0.740381 amenities 0.729746 catering 0.725975 accomodate 0.703177 hospitality 0.701426

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Restricted

Word embedding biases

Important: A quick note on word embeddings **biases**.

- As mentioned before, we value the quality of a word embedding by how good it manages to capture similarities and analogies between words, by extracting it from a given corpus.
- **Problem:** if the corpus is **biased** (sexist, racist, etc.), it will catch these **biases** as well! (See [Bolukbasi2016]).

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$w_{man_job} - w_{man} + w_{woman} = ?$

Extreme she occupations

1. homemaker 2. nurse 3. receptionist
4. librarian 5. socialite 6. hairdresser
7. nanny 8. bookkeeper 9. stylist

housekeeper 11. interior designer 12. guidance counselor

Extreme he occupations

1. maestro 2. skipper 3. protege 4. philosopher 5. captain 6. architect 7. financier 8. warrior 9. broadcaster

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AI expert calls for end to UK use of 'racially biased' algorithms

AI Bias Could Put Women's Lives At Risk - A Challenge For Regulators

Gender bias in Al: building fairer algorithms

Bias in Al: A problem recognized but still unresolved

Amazon, Apple, Google, IBM, and Microsoft worse at transcribing black people's voices than white people's with Al voice recognition, study finds

Millions of black people affected by racial bias in health-care algorithms

When It Comes to Gorillas, Google Photos Remains Blind

and highlights ways to correct it.

The Week in Tech: Algorithmic Bias Is Bad. Uncovering It Is Good.

Google 'fixed' its racist algorithm by removing

Artificial Intelligence has a gender bias problem – just ask Siri

Word embeddings evaluation

Question: What makes a word embedding better than another? How do we measure the performance of an embedding? How do we decide on the best word embedding to use?

Answer: Still an open question at the moment...

No perfect qualitative method for evaluating Word Embeddings.

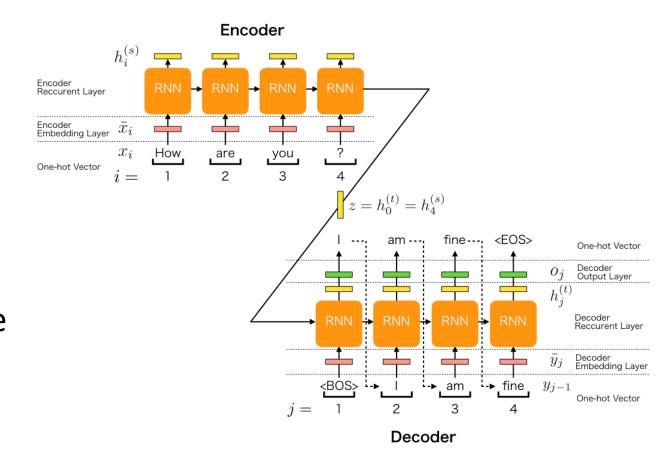
More of a "consensus", which changes over the years.

Still a lot of work needed to come up with a "perfect" universal embedding (if that is even possible?)

Definition (Seq2Seq):

A Sequence-to-Sequence task (Seq2Seq) is a family of machine learning tasks which turns an input sequence into another output sequence.

For instance, language to language translation (French sentence into an English sentence), answers to questions Chatbots, etc.



Definition (Encoder-Decoder architecture):

An Encoder-Decoder architecture typically consists of two parts:

- An encoder, which converts a sequence into an embedding vector, presumably encapsulating the context of the sequence.
- A **decoder**, which reads the embedding vector for **context** and constructs an output sequence.
- Both the encoder and the decoder will be modeled as RNNs as they both operate on sequences.

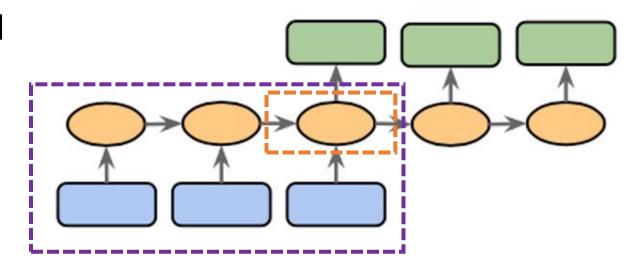
Encoder and Decoder models

Definition (encoder and decoder models):

In general, the first part of the model will focus on analysing the input, eventually producing a final memory vector output after having seen all inputs. **This is** called an encoder.

Can be done with a RNN of some sort, where the final memory state consists of the encoding vector.

E.g. read an English sentence, progressively encoding each word's meaning, to obtain the entire sentence meaning in a single encoding vector.



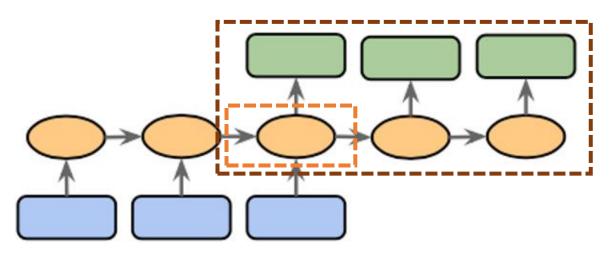
Encoder and Decoder models

Definition (encoder and decoder models):

In general, the second part of the model will focus on analysing the produced encoding vector, and producing a sequence of some sort as output. This is called an decoder.

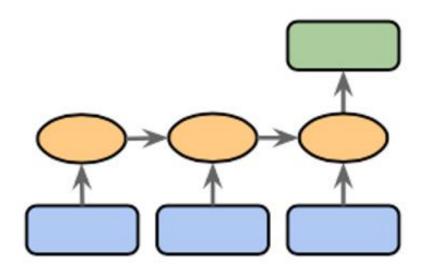
Can be done with the same (or another) RNN of some sort, where the first memory state consists of the encoding vector.

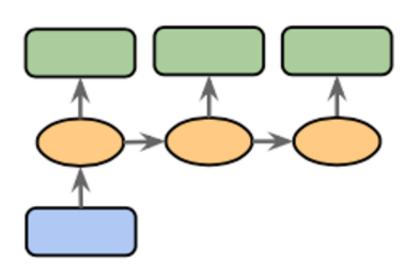
E.g. read an encoding vector describing an English sentence and produce an output sequence being the French translation.



Encoder and Decoder models

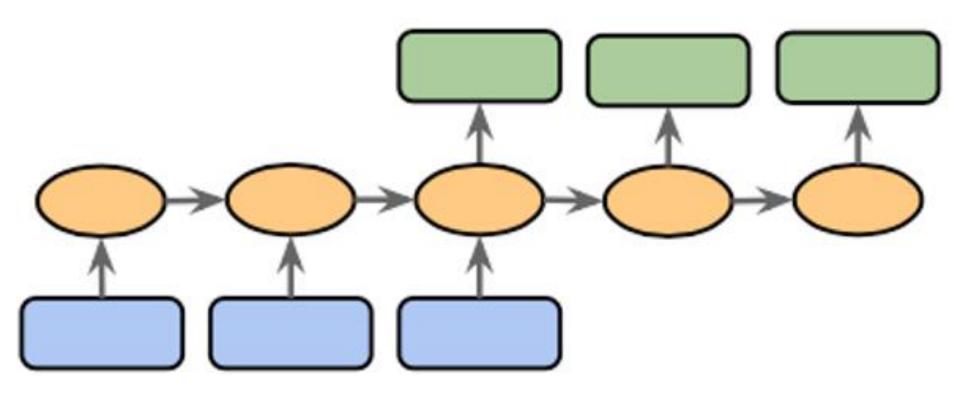
- Encoder model: can be seen as a (many-to-one) model of some sort, receiving a sequence as input and producing a single encoding vector as output.
- Decoder model: would be a (one-to-many) model of some sort, receiving this single encoding vector as input and producing a sequence as output.





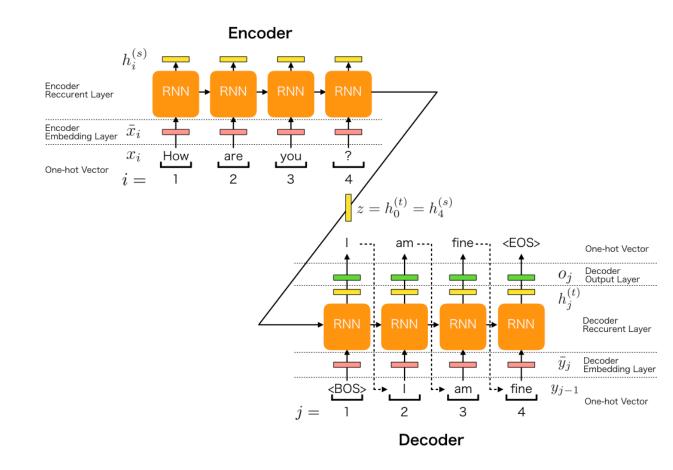
Encoder + Decoder = Seq2Seq?

• Eventually both the **encoder** and the **decoder** models will assemble into a **Seq2Seq** model.



In the classical Seq2Seq architecture,

- a word embedding is used for each word of the sentence,
- An (often bi-directional RNN)
 encoder is used to propagate
 and encode context among
 words in the sentence,
- A decoder RNN reads the context and produces a new sentence.

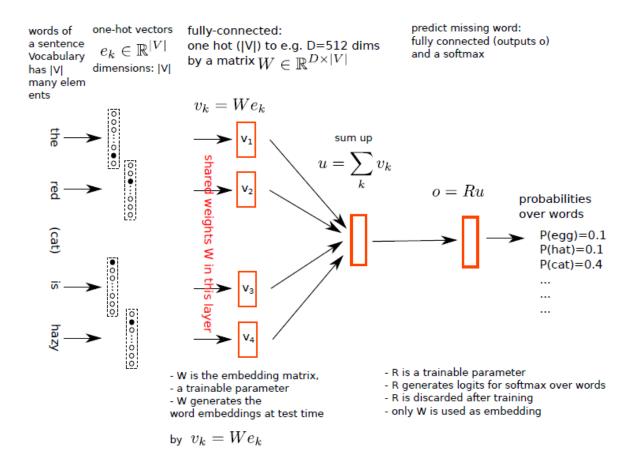


How is our context vector modeled again?

 Averaging the words embedding vectors for the words in the context (CBoW)

$$u = \sum_{k} v_k$$

Simple, but words might cancel each other out. Averaging effect if large number of words.



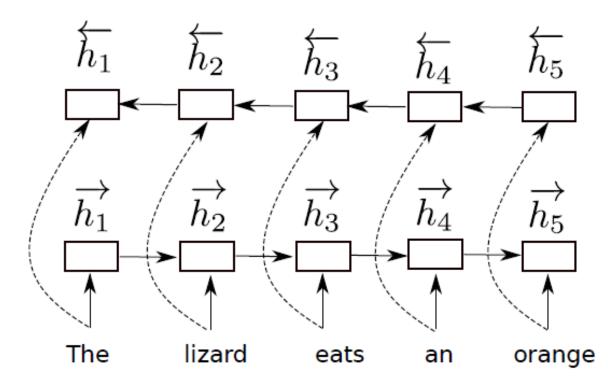
How is our context vector modeled again?

• Concatenating the hidden states of the bi-RNN gives surrounding context to word w_k (ELMo)

$$h_k = [\overleftarrow{h_k}, \overrightarrow{h_k}]$$

Preserves meaning of all surrounding words.

$$h_k = \left[\overleftarrow{h_k}, \overrightarrow{h_k}\right]$$



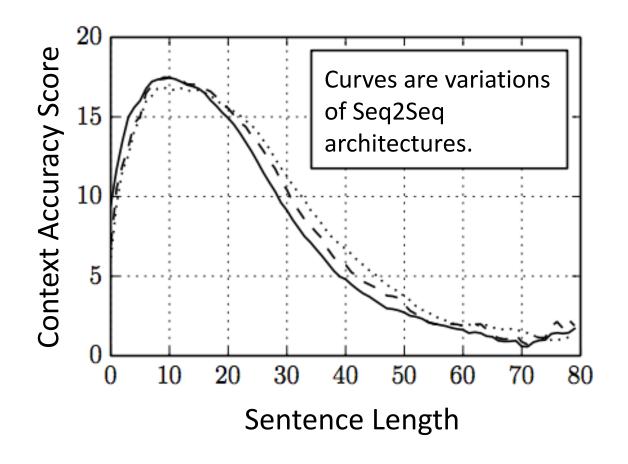
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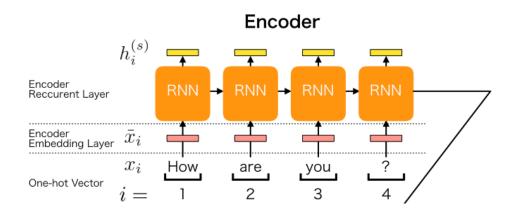
Vanishing effect of memory between the successive hidden states if large number of words in sentence [Vaswani2017].



From: https://www.kaggle.com/sarthakvajpayee/attention-mechanism-japanese-english/notebook

What is the reason for the loss of context meaning in large sentences?

Words that are far away from each other will have their context lost by the hidden state of the LSTM RNN.

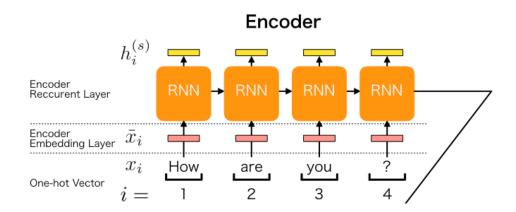


For instance,

"Paris was a universe whole and entire unto herself, hollowed and fashioned by history; so she seemed in this age of Napoleon III with her towering buildings, her massive cathedrals, her grand boulevards, and ancient winding medieval streets—as vast and indestructible as nature itself. All was embraced by her, by her volatile and enchanted populace thronging the galleries, the theaters, the cafes, giving birth over and over to genius and sanctity, philosophy and war, frivolity and the finest art; so it seemed that if all the world outside her were to sink into darkness, what was fine, what was beautiful, what was essential might there still come to its finest flower."

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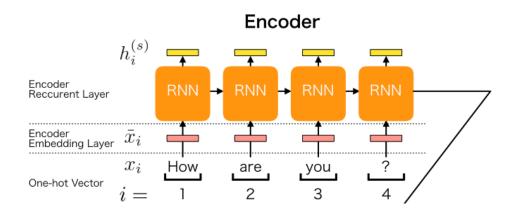
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Sequence to sequence tasks

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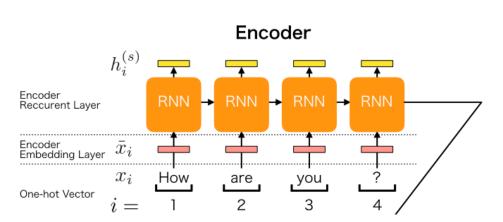
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 Can we train our Neural Networks to get a better grasp of the important words that matter in the sequence and how to connect them, even when far away from each other?

- Yes!
- That is exactly what the attention layer does!

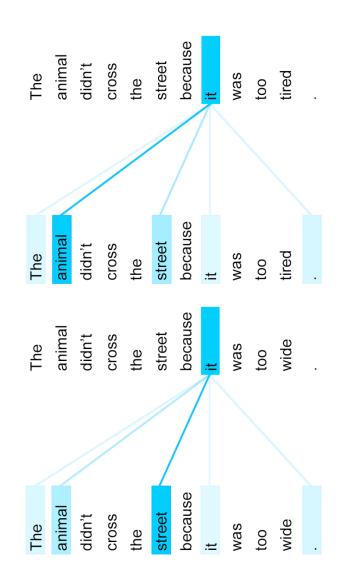
Attention: definition and motivation

Definition (attention):

In the context of neural networks, attention (as introduced in [Vaswani2017]) is a technique that mimics cognitive attention.

The effect enhances the important parts of the input data and fades out the rest.

The thought being that the network should devote more computing power on that small but important part of the data.



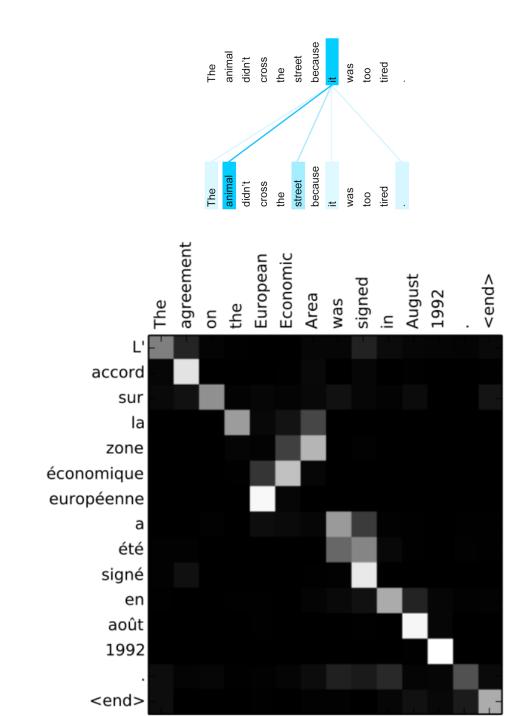
Attention coefficients

Definition (attention coefficient):

Attention coefficients identify which elements of the input sequence $x = (x_1, ..., x_N)$ is relevant to compute an element y_k in the output sequence $y = (y_1, ..., y_M)$.

$$a_{i,j} = importance \ of \ x_i \ wrt. y_j$$

For instance, attention helps with shenanigans such as adjective-noun placement in English and French.



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Attention: definition and motivation

Definition (transformer):

A transformer network make extensive use of attention mechanisms and more specifically attention layers to achieve their expressive power.

Transformers are currently considered as one of the most promising directions for Computer Vision, and Natural Language Processing.

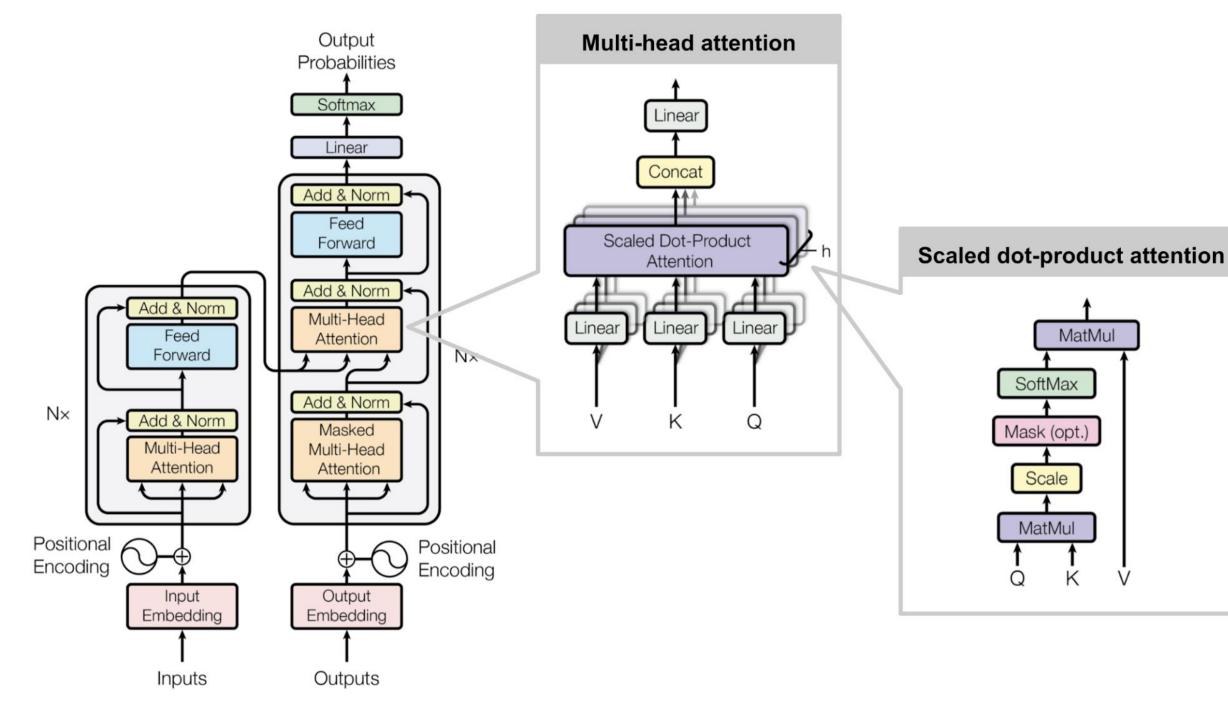


Figure 27: Don't you even think about it. Seriously, don't.

Definition (the transformer architecture):

But first, a disclaimer from our beloved SUTD sponsor.



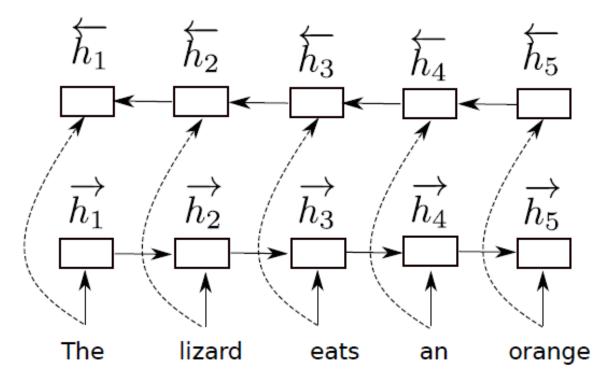


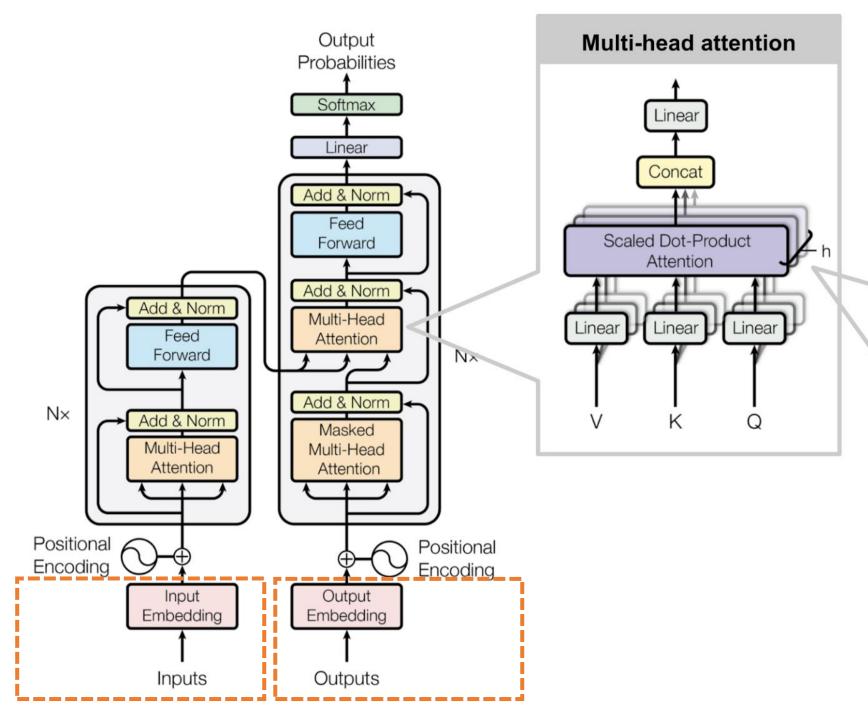
Definition (the transformer architecture):

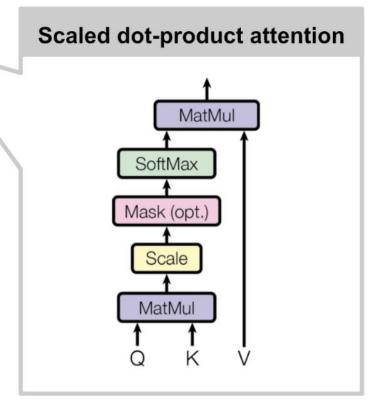
 It starts with a bidirectional RNN for input embedding, which is used to propagate context between words of an input sequence.

Obtain a first **embedding vector** for any word w_k , denoted h_k (e.g. using ELMo for instance). $h_k = [\overleftarrow{h_k}, \overrightarrow{h_k}]$

$$h_k = \left[\overleftarrow{h_k}, \overrightarrow{h_k}\right]$$







Definition (the transformer architecture):

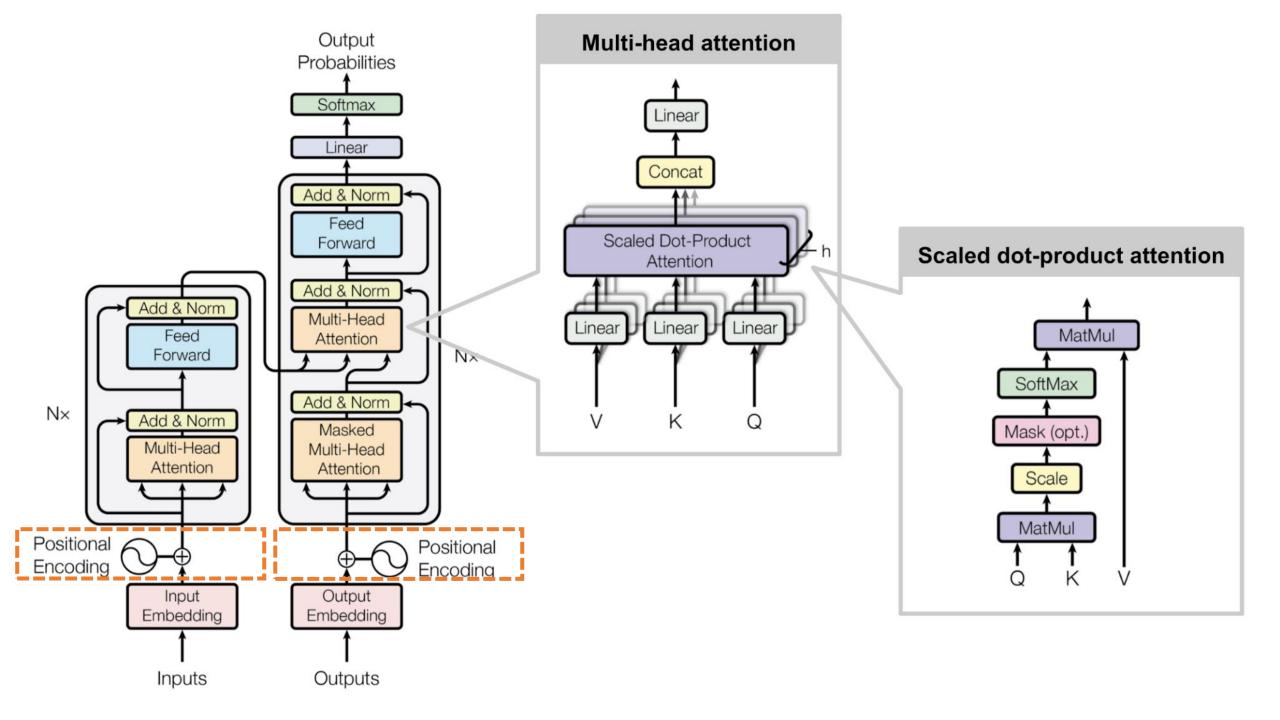
2. If you observe, the selfattention computations we will define later have no notion of the ordering of words among the sequences.

This is where **positional encoding** comes into play.

(However, out-of-scope for this class, will be skipped).

Core idea: Some languages have different positioning of words in sentences (Japanese tends to put verbs at the end of sentence, French tends to place adjectives after nouns, etc.)

To elicit this notion of positioning of words in the sequence, positional encoding can be added to the regular input embeddings.



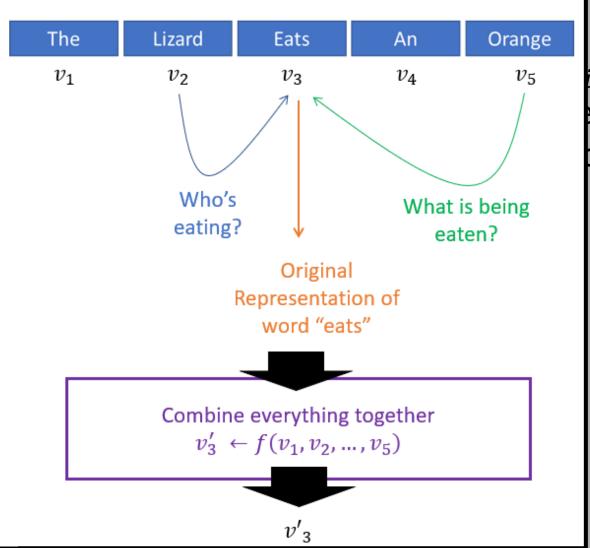
Basically, we want a matrix A that contains coefficients $a_{i,j} \in [0,1]$, telling the proportion of the meaning of word v_j that needs to be transferred to word v_i to produce a more relevant embedding v_i' .

- Before attention layer: embeddings for word i is v_i
- After attention layer: new updated embeddings for word i is v_i'

$$v_i' = \sum_j a_{i,j} v_j$$

Basically, we want telling the propor transferred to wo

- Before attention
- After attention



 $v_{i,j} \in [0,1],$ eds to be adding v_i' .

rd i is v_i^\prime

Restricted

Definition (the multi-head selfattention layer a.k.a. the attention layer):

3. Getting vectors V, K, Q

 $q_i \in Q$ is the query, i.e. the word I am currently looking at.

V and K refer to values and keys.

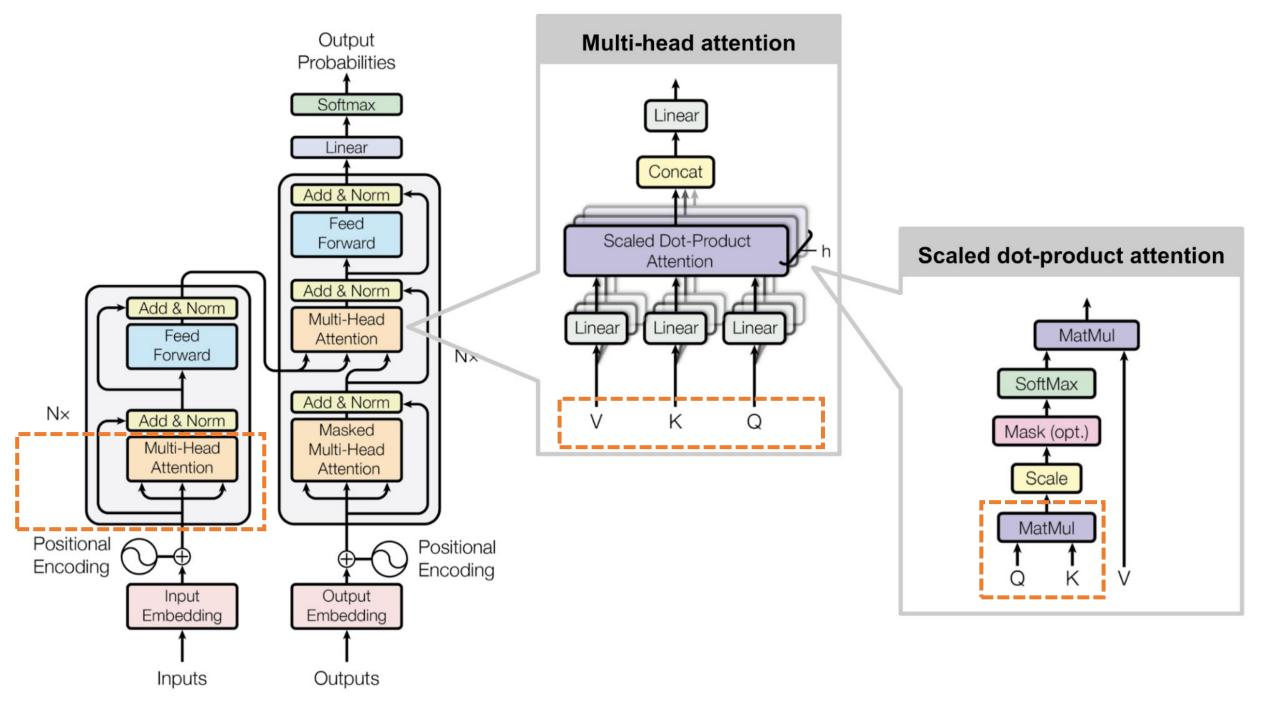
For simplicity, we will assume that

$$V = K = Q = words in sent.$$

Let us consider the sentence
"Paris is the capital city of France."
When I multiply

- the context vector of the word "city" (i.e. one query word q_i),
- with any other word of the sentence, e.g. "Paris" (as one key word k_i),
- we obtain a similarity score $s_{i,j}$, as in the dot-product/cosine angle formula from earlier.
- Repeat for all pairs of query/key words.

$$S = QK^T$$



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Applying a softmax gives you a distribution over these similarities

$$S = QK^{T}$$
$$S_{2} = softmax(S)$$

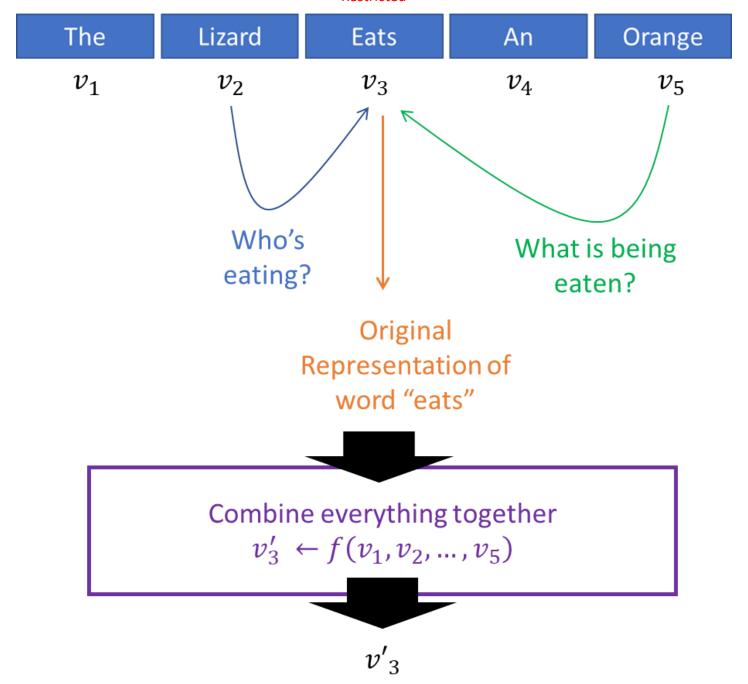
In general, we also like to normalize the QK^T multiplication, as in the cosine angle.

$$S = \frac{QK^{T}}{d}$$
$$S_{2} = softmax(S)$$

Basically, we obtained a matrix A (or S_2 here) that contains coefficients $a_{i,j} \in [0,1]$, telling the proportion of the meaning of word v_j that needs to be transferred to word v_i to produce a more relevant embedding v_i' .

- **Before attention layer:** embeddings for word i is v_i
- After attention layer: new updated embeddings for word i is v_i^\prime

$$v_i' = \sum_j a_{i,j} v_j$$



Restricted

Definition (the multi-head selfattention layer a.k.a. the attention layer):

3. Getting vectors V, K, Q

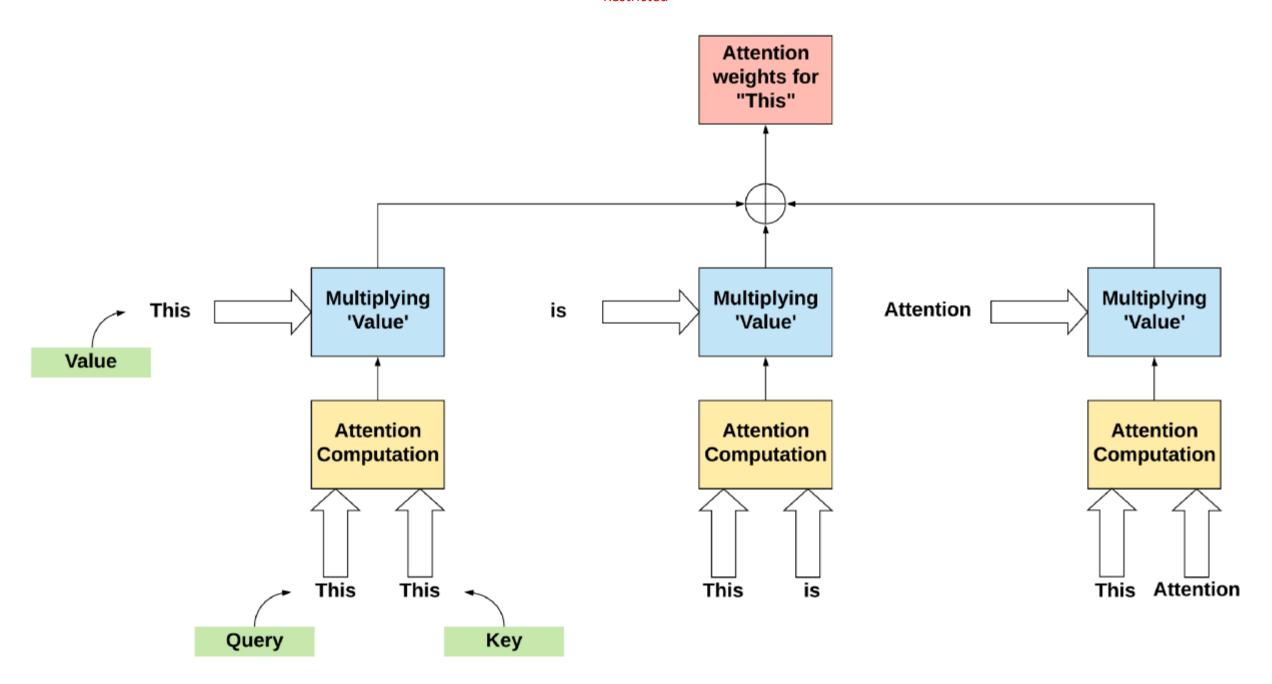
Q is the query, i.e. the word I am currently looking at.

V and K refer to values and keys, and for simplicity will first consist of all the words you have in your sentence.

Multiply this vector of distribution with the words in V, and you obtain a matrix of attention V'!

$$V' = softmax\left(\frac{QK^T}{d}\right)V$$

This matrix V' encapsulates the inter-dependencies between the words of your sentence!



Definition (the multi-head selfattention layer a.k.a. the attention layer):

3. Getting vectors V, K, Q

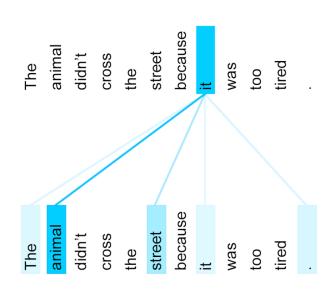
Q is the query, i.e. the words I am currently looking at.

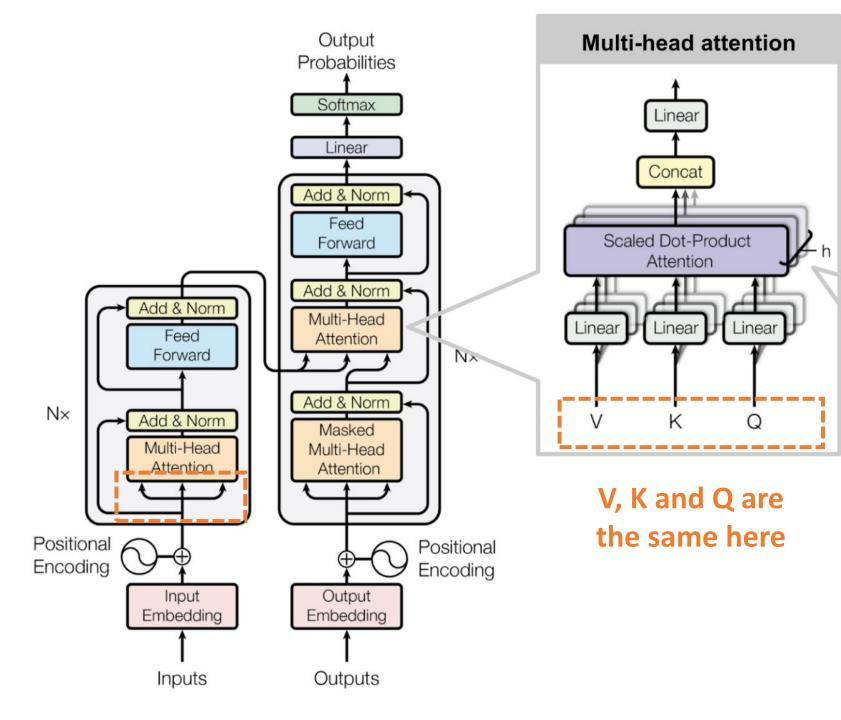
V and K refer to values and keys.

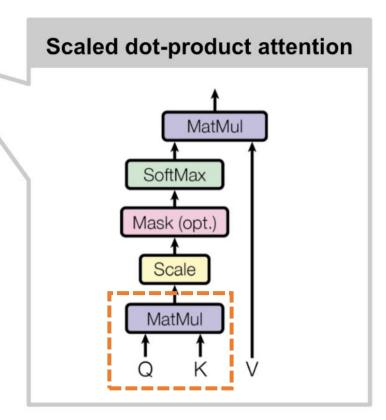
For simplicity, we will assume that

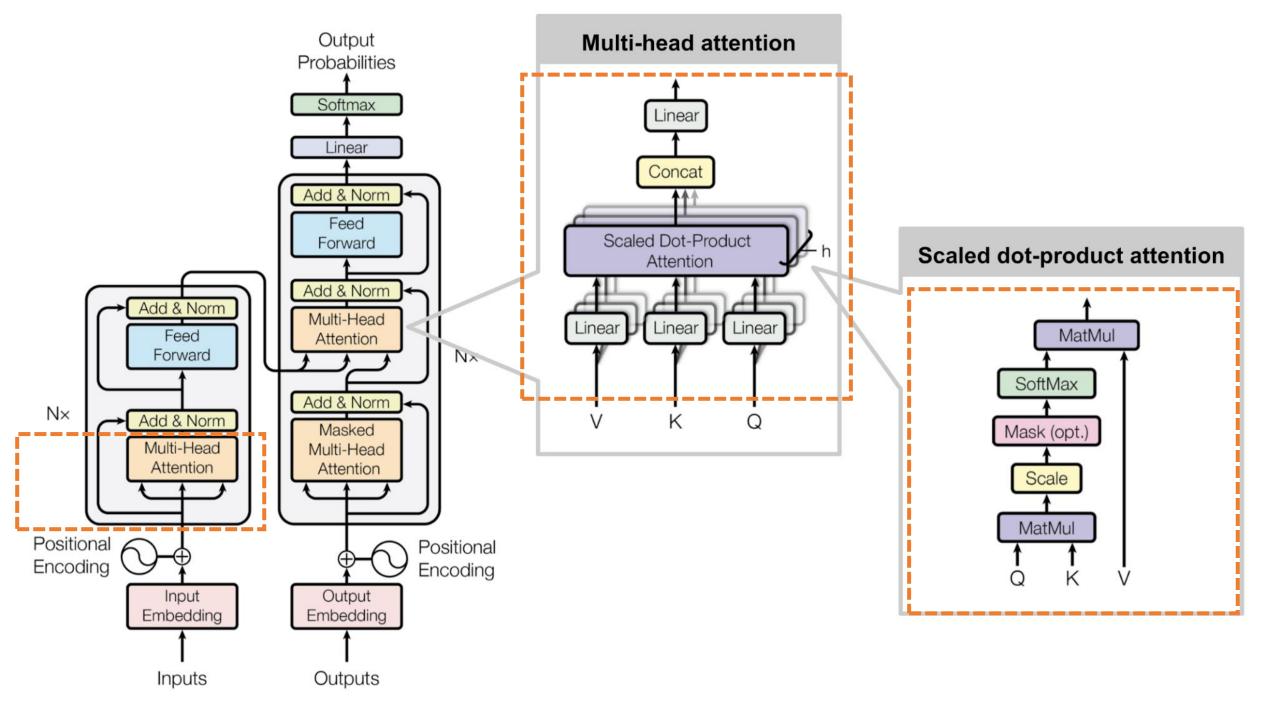
$$V = K = Q = words in sent.$$

This calculation is often referred to as **self-attention**, as it gives inter-dependencies between the words of a single sentence.



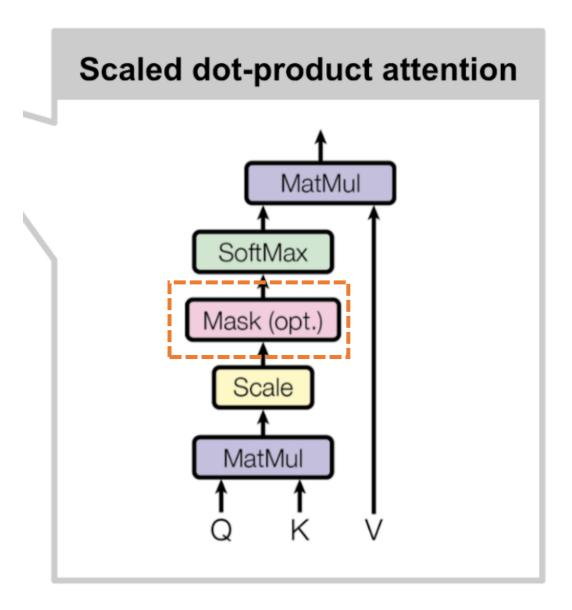


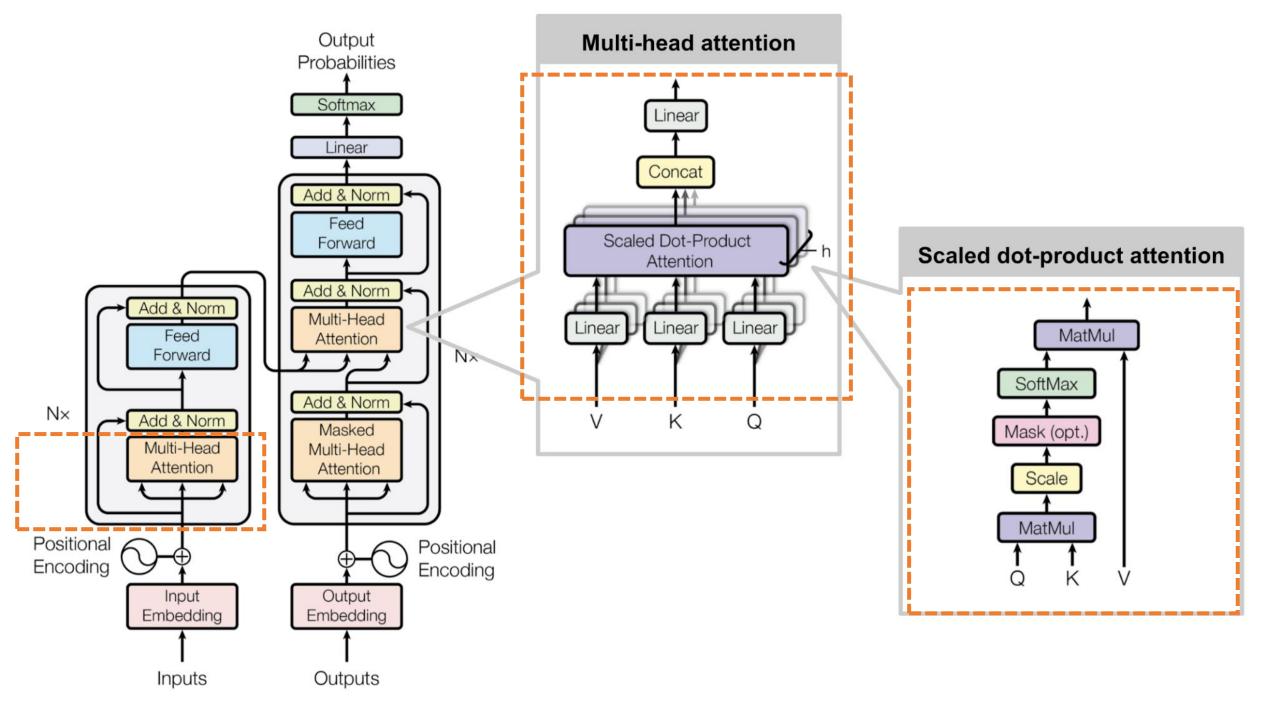




Definition (the multi-head selfattention layer a.k.a. the attention layer):

4. Masking is only used in the decoder part (and it will be discussed later).





```
class SelfAttention(nn.Module):
        11 11 11
       Description: SelfAttention layer Class, describing the attention layers to
       be used in the transformer.
 4
 5
       Attributes list:
 6
       - d model: An integer, defining the dimension of the attention layer
       - output size: An integer, defining the dimension of the output
 8
       for the attention layer.
 9
        - droupout rate: A float value between 0 and 1, corresponding to the Dropout rate
10
       used in the Dropout layers of the Feed Forward layers.
11
       - mask: A boolean. If set to True, a triangular mask will be applied.
12
        11 11 11
13
14
       def init (self, d model, output size, dropout rate = 0.3, mask = None):
15
16
            Init Method for attention layer, mostly defining attributes.
            11 11 11
17
18
            super(). init ()
            self.query = nn.Linear(d model, output size)
19
20
            self.key = nn.Linear(d model, output size)
21
            self.value = nn.Linear(d model, output size)
            self.dropout = nn.Dropout(dropout rate)
22
23
            self.mask = mask
```

```
24
25
        def forward(self, q, k, v):
            77 77 77
26
27
            Forward pass for the attention layer.
            11 11 11
28
29
30
            # Compute query, key and value parameters
31
            q shape = q.shape[0]
            y len = q.shape[1]
32
33
            seq len = k.shape[1]
34
            query out = self.query(q)
35
            key out = self.key(k)
36
            value out = self.value(v)
37
            key dim = key.size(-1)
38
            transposed key out = key.transpose (1,2)
39
40
            # Calculate attention scores
            scores = torch.bmm(query, transposed_key_out)/np.sqrt(key dim)
41
42
```

District and dis-

(masking part to be discussed

```
# Final touches

weights = F.softmax(scores, dim = -1)

out = torch.bmm(weights, value_out)

return out
```

Restricted

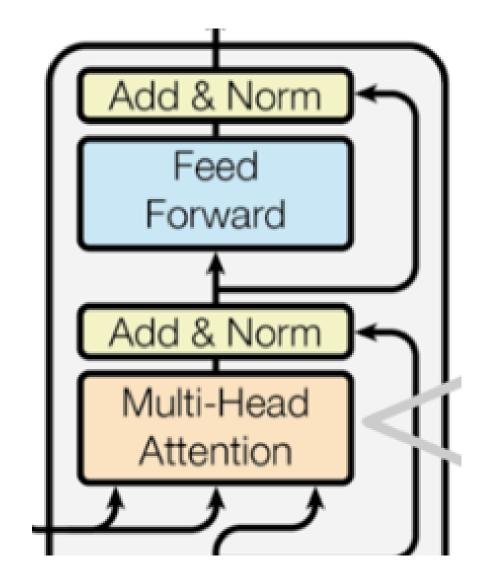
Doorbalaka al class MultiHeadAttentionLayer(nn.Module): Description: MultiHeadAttention layer Class, describing the attention layers to be used in the transformer. 4 5 Attributes list: - d model: An integer, defining the dimension of the attention layer 6 - num heads: An integer, defining the number of attention heads 8 to be used in both the encoder and decoder layers. 9 - droupout rate: A float value between 0 and 1, corresponding to the Dropout rate 10 used in the Dropout layers of the Feed Forward layers. 11 - mask: A boolean. If set to True, a triangular mask will be applied. 12 ** ** ** 13 14 def init (self, d model, num heads, dropout rate, mask = None): 11 11 11 15 16 Init method, mostly defining attributes. 11 11 11 17 18 super(). init () self.d model = d model 19 self.num heads = num heads 20 21 self.droupout rate = droupout rate 22 self.attention output size = d model/num heads 23 layers = [SelfAttention(d model, self.attention output size, dropout rate, mask) \ 24 for i in range(num heads)] 25 self.attention layers = nn.Module(layers,) self.final layer = nn.Linear(d model, d model)

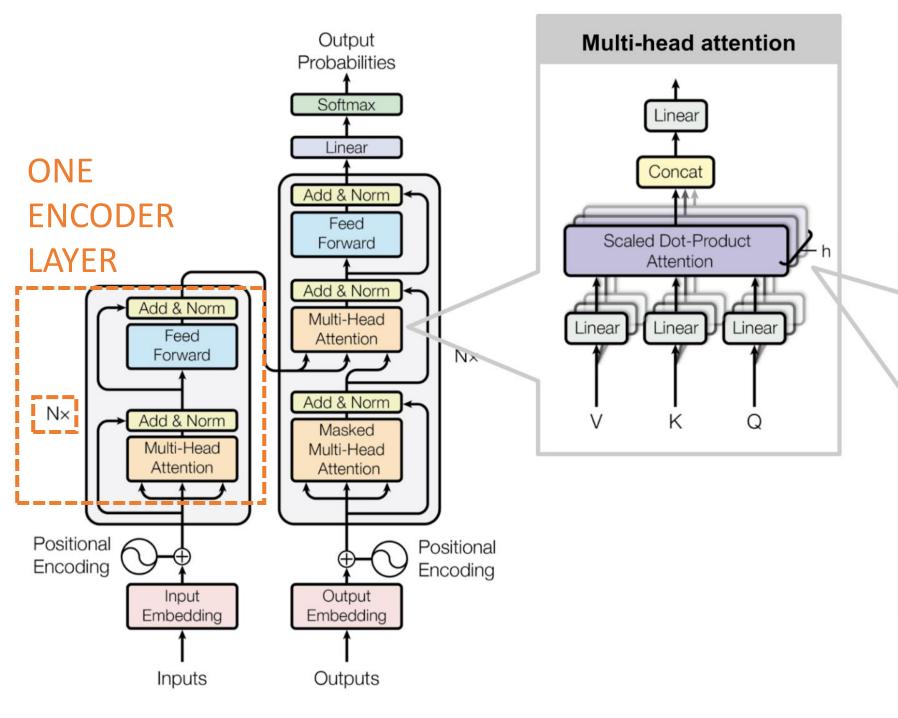
```
21
28
        def forward(self, q, k, v):
            ** ** **
29
30
            Forward pass, simply going through each attention layer.
31
            Applying one linear for final touch.
32
            ** ** **
33
            x = torch.cat([layer(q, k, v) for layer in self.attention layers], dim = -1)
34
            x = self.final layer(x)
35
            return x
```

Definition (the multi-head selfattention layer a.k.a. the attention layer):

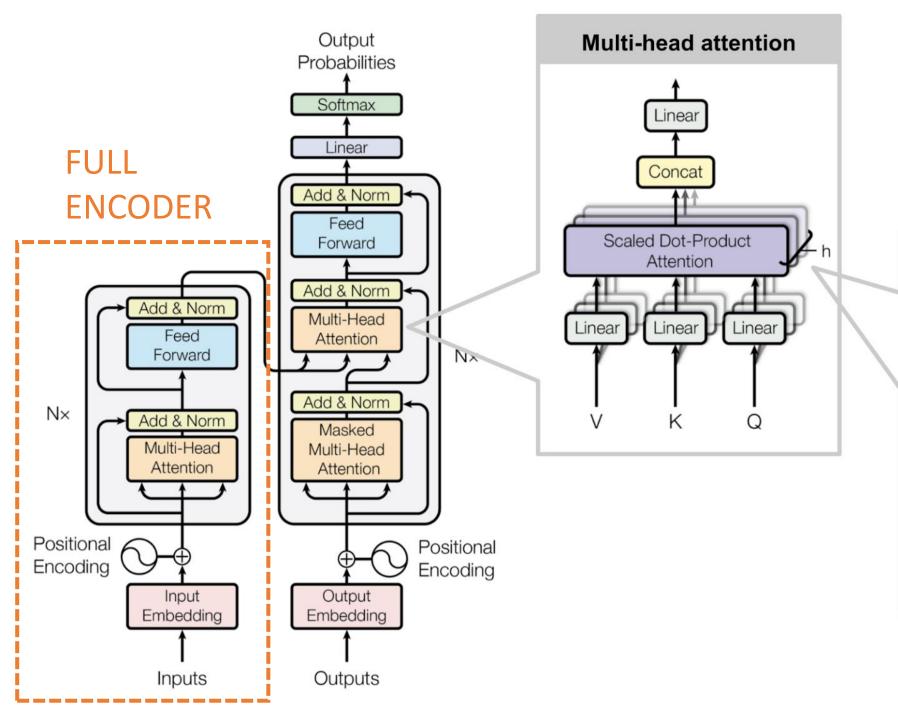
5. Feedforward is a set of linear/fully connected layers, whose main purpose is to reshape the attention vectors so that it is acceptable by the next encoder or decoder layer.

Also uses a skip/residual connection as in ResNet!











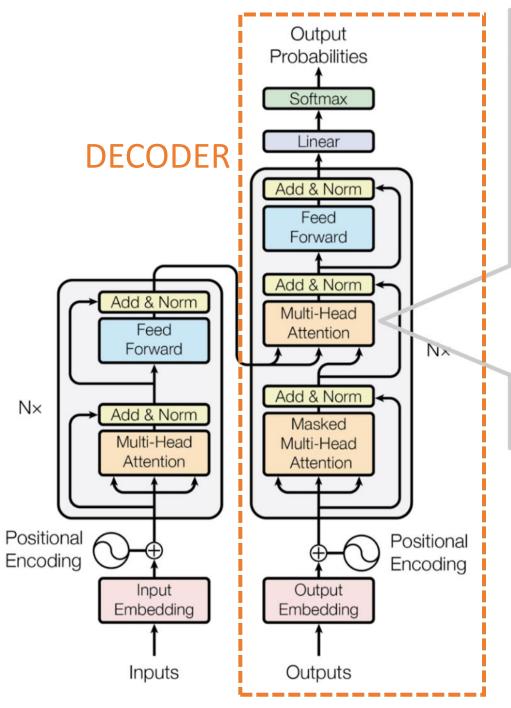
```
class EncoderLayer(nn.Module):
        11 11 11
       Description: EncoderLayer Class, describing the encoder layers to
 4
       be used in the Encoder part of the transformer.
       Attributes list:
 6
       - d model: An integer, defining the dimension of the encoder layer.
       - num heads: An integer, defining the number of attention heads
 8
       to be used in both the encoder and decoder layers.
        - d inner: An integer, defining the number of neurons in the Feed Forward layers.
       - droupout rate: A float value between 0 and 1, corresponding to the Dropout rate
10
11
       used in the Dropout layers of the Feed Forward layers.
        11 11 11
12
```

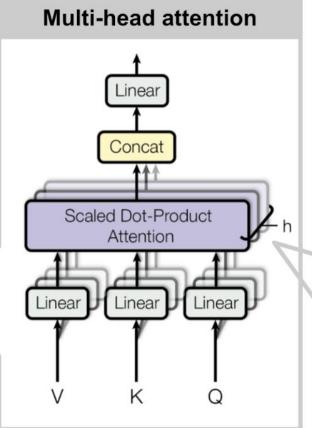
Restricted

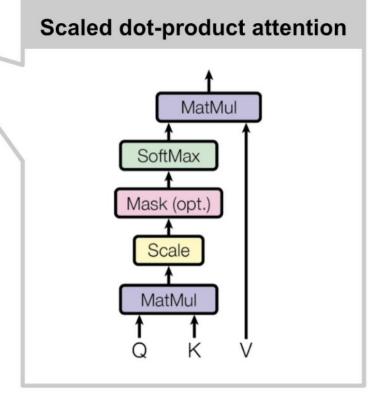
```
13
14
        def init (self, d model, num heads, d inner = 2048, droupout rate = 0.3):
            ** ** **
15
16
            Init Method, which adds up
17
            - one MultiHeadAttentionLayer, with an expanded mask,
18
            - one Feed Forward layer,
19
            - and Normalization Layers after each one of them.
            77 77 77
20
21
            super(). init ()
            # First Multi Head Attention Layer
22
23
            self.attention = MultiHeadAttentionLayer(d model, num heads, droupout rate)
24
            # Feed Forward Network Layer
            self.ffn = nn.Sequential(nn.Linear(d model, d inner),
25
26
                                      nn.ReLU(inplace = True),
27
                                      nn.Dropout (droupout rate),
28
                                      nn.Linear(d inner, d model),
29
                                      nn.Dropout(droupout rate),)
30
            # Normalization Layers
            # (Roughly identical to BatchNorm)
31
32
            self.attention normalization = nn.LayerNorm(d model)
            self.ffn normalization = nn.LayerNorm(d model)
33
```

```
34
35
        def forward(self, x):
36
            ** ** **
37
            Forward pass for the encoder layer.
38
            77 77 77
39
            # Attention Layer forward pass and normalization
40
            out = x + self.attention(q = x,
41
                                     k = x
42
                                     v = x
43
            out = self.attention normalization(out)
44
            # Feed Forward Network Layer forward pass and normalization
45
            out = out + self.ffn(out)
46
            out = self.ffn normalization(out)
47
            return out
```

```
class Encoder(nn.Module):
        11 11 11
        Description: Encoder Class, describing the Encoding part of the transformer.
        Attributes list:
 4
        - d model: An integer, defining the dimension of the encoder layers.
        - num heads: An integer, defining the number of attention heads
 6
        to be used in both the encoder and decoder layers.
        - num encoders: An integer, defining the number of encoder layers
 8
 9
        to be used in the transformer.
10
        11 11 11
11
12
        def init (self, d model, num heads, num encoders):
            11 11 11
13
14
            Init Method, which adds up num encoders EncoderLayers in a row.
15
            11 11 11
16
            super(). init ()
17
            layers list = [EncoderLayer(d model, num heads) for i in range(num encoders)]
18
            self.enc layers = nn.ModuleList(layers list, )
19
20
        def forward(self, x):
            11 11 11
21
22
            Forward pass for the Encoder, simply repeating the EncoderLayers in a row.
            11 11 11
23
24
            out = x
25
            for layers in self.enc layers:
26
                out = layer(out)
27
            return out
```







Restricted

The attention layer

Definition (the multi-head selfattention layer a.k.a. the attention layer):

6. Same multi-head block as before but with **masking** this time!

Why masking?

While generating target sequences at the decoder, since the transformer uses self-attention, it tends to include all the words from the decoder inputs.

But, practically, this is incorrect and "cheating" for the network.

The transformer must not use the current or future output to predict the next one, so the output sequence must be partially masked to prevent this reverse information flow.

Masking Multi-Head Attention ensures this.

The attention layer

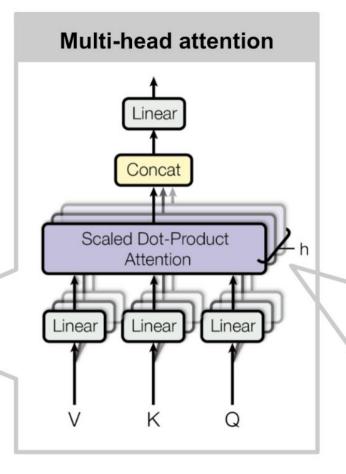
```
itian /tha multi haad calf
                                                                   es at
                    The \rightarrow The big red dog
attei
                                                                  er
attei
                    big → The big red dog
                                                                   clude
6. S Encoder
                    red → The big red dog
                    dog - The big red dog
                                                 Masked Input
                                                                  Only
                                                                   word
                    Le \rightarrow Le gros chien rouge
   V
                   gros → Le gros chien rouge
    Decoder
                   chien → Le gros chien rouge
                                                                   sures
                   rouge → Le gros chien rouge
```

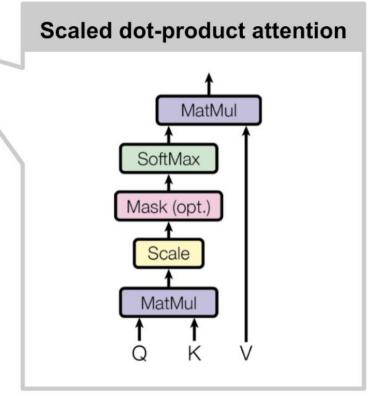
(Extra step in the attention layer class, forward method!)

Note: I played around with a few different masks, just for fun. Paper suggests to use the triu (upper triangular) mask.

```
value out = self.value(v)
36
                                                                                                        The → The big red dog
             key dim = key.size(-1)
37
                                                                                                        big → The big red dog
                                                                                              Encoder
                                                                                                        red → The big red dog
             transposed key out = key.transpose(1,2)
38
                                                                                                        dog - The big red dog
39
40
             # Calculate attention scores
                                                                                                        Le → Le gros chien rouge
                                                                                                        gros → Le gros chien rouge
             scores = torch.bmm(query, transposed key out)/np.sqrt(key dim)
41
                                                                                              Decoder
                                                                                                       chien → Le gros chien rouge
42
                                                                                                       rouge → Le gros chien rouge
             # Apply masks if needed
43
             if self.mask is not None:
44
                 mask = torch.triu(torch.ones(seq len, seq len), diagonal=1).to(device)
45
                 mask = mask.unsqueeze(0).repeat(q shape, 1, 1)
46
                 scores = scores.masked_fill(mask == 0, float('-inf'))
47
48
             # Final touches
49
             weights = F.softmax(scores, dim = -1)
50
             out = torch.bmm(weights, value out)
51
52
             return out
```

Output **Probabilities** Softmax Linear **DECODER** Add & Norm **BLOCK!** Feed Forward Add & Norm Add & Norm Multi-Head Feed Attention Forward NX Add & Norm N× Add & Norm Masked Multi-Head Multi-Head Attention Attention Positional Positional Encoding Encoding Input Output Embedding Embedding Inputs Outputs



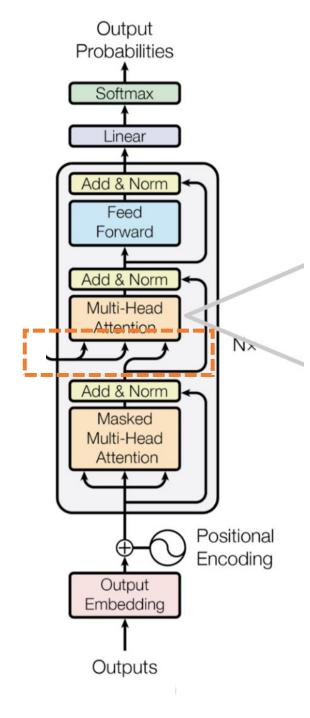


The attention layer

Definition (the multi-head selfattention layer a.k.a. the attention layer):

7. The second attention block is special as it uses *V* and *K* from the encoder part and *Q* from the decoder part.

$$A = softmax\left(\frac{QK^T}{d}\right)V$$

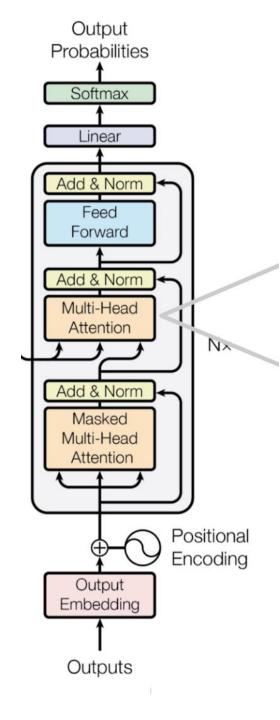


The attention layer

Definition (the multi-head selfattention layer a.k.a. the attention layer):

8. Repeat the attention block (multi-head attention + add/norm + feed forward) a few times.

Finish with a fully connected and softmax giving probabilities for the words in each position of the output sequence!



Restricted

```
class DecoderLayer(nn.Module):

"""

Description: DecoderLayer Class, describing the decoder layers to
be used in the Decoder part of the transformer.

Attributes list:

- d_model: An integer, defining the dimension of the encoder layers.

- num_heads: An integer, defining the number of attention heads
to be used in the decoder layer.

- d_inner: An integer, defining the number of neurons in the Feed Forward layers.

- droupout_rate: A float value between 0 and 1, corresponding to the Dropout rate
used in the Dropout layers of the Feed Forward layers.

"""
```

```
14
       def init (self, d model, num heads, d inner = 2048, droupout rate = 0.3):
15
16
           Init Method, which adds up
17
           - one MultiHeadAttentionLayer, with a triangular mask,
18
           - one MultiHeadAttentionLayer, with no mask,
19
           - one Feed Forward layer,
20
           - and Normalization Layers after each one of them.
            11 11 11
21
22
           super(). init ()
23
           # Masked First Attention Layer
24
            self.masked attention = MultiHeadAttentionLayer(d model, \
25
                                                             num heads, \
26
                                                             droupout rate, \
27
                                                             mask = True)
28
            # Subsequent Attention Layer
29
            self.subsequent attention = MultiHeadAttentionLayer(d model, \
30
                                                                 num heads, \
31
                                                                 droupout rate)
32
            # Feed Forward Network Layer
33
            self.ffn = nn.Sequential(nn.Linear(d model, d inner),
34
                                     nn.ReLU(inplace = True),
35
                                     nn.Dropout (droupout rate),
36
                                     nn.Linear(d inner, d model),
                                     nn.Dropout (droupout rate),)
37
38
            # Normalization Layers
39
            # (Roughly identical to BatchNorm)
40
            self.masked attention normalization = nn.LayerNorm(d model)
41
            self.subsequent attention normalization = nn.LayerNorm(d model)
            self.ffn normalization = nn.LayerNorm(d model)
42
```

```
44
        def forward(self, y, x):
45
            17 17 17
46
            Forward pass for the decoder layer.
            77 77 77
47
48
            # Masked First Attention Layer
49
            out = y
50
            out = out + self.masked attention(q = out, k = out, v = out)
            out = self.masked attention normalization(out)
51
52
            # Subsequent Attention Layer
            out = out + self.subsequent attention(q = out, k = x, v = x)
53
            out = self.subsequent attention normalization(out)
54
55
            # Feed Forward Network Layer
56
            out = out + self.ffn(out)
57
            out = self.ffn normalization(out)
58
            return out.
```

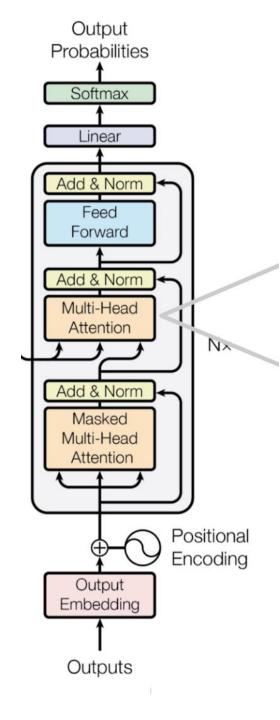
```
class Decoder(nn.Module):
        11 11 11
        Description: Encoder Class, describing the Encoding part of the transformer.
       Attributes list:
 4
        - d model: An integer, defining the dimension of the decoder layers.
        - num heads: An integer, defining the number of attention heads
 6
        to be used in both the decoder layers.
        - num decoders: An integer, defining the number of decoder layers
        to be used in the transformer.
        11 11 11
10
11
12
        def init (self, d model, num heads, num decoders):
            ** ** **
13
14
            Init Method, which adds up num decoders DecoderLayers in a row.
            11 11 11
15
            super(). init ()
16
            layers list = [DecoderLayer(d model, num heads) for i in range(num decoders)]
17
            self.dec layers = nn.ModuleList(layers list, )
18
19
20
        def forward(self, y, enc):
            11 11 11
21
22
            Forward pass for the Decoder, simply repeating the DeccoderLayers in a row.
            11 11 11
23
24
            out = v
25
            for layers in self.dec layers:
26
                out = layer(out, enc)
27
            return out
```

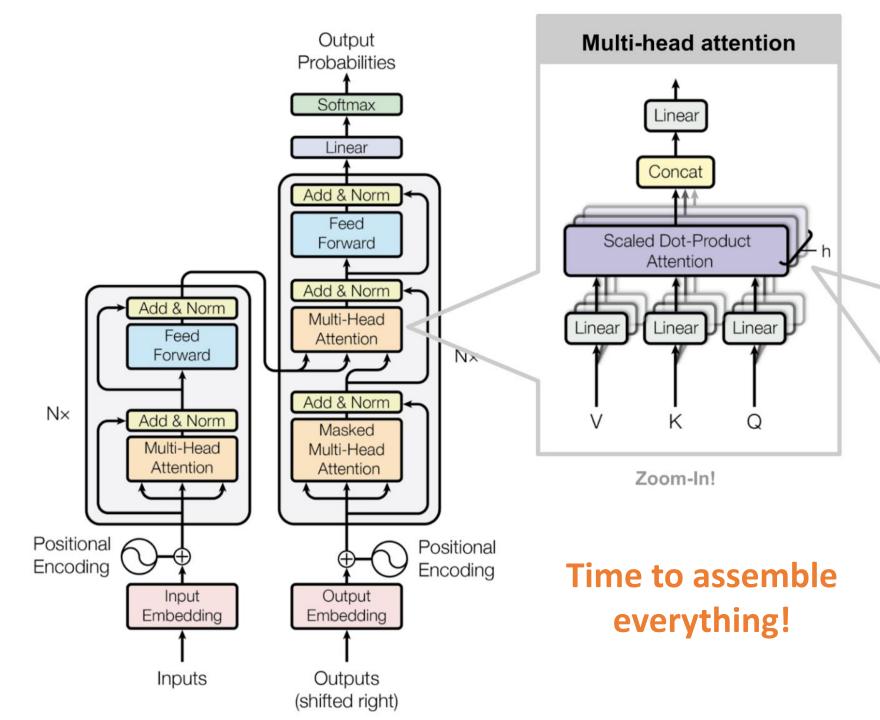
The attention layer

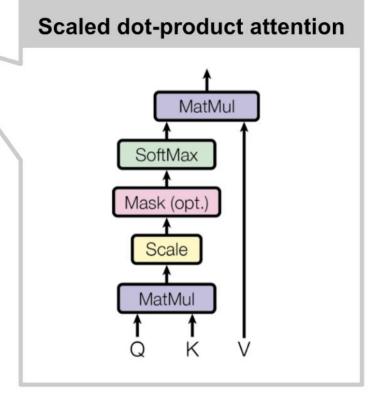
Definition (the multi-head selfattention layer a.k.a. the attention layer):

8. Train it with a dataset consisting of several pairs (x, y) of sentences. For instance, chatbot with x being question prompts and y being expected answers.

Or translation with x and y being sentences in two different languages, etc...







Zoom-In!

```
class Transformer(nn.Module):
        Description: Full Transformer Class, combining the Encoder and Decoder parts.
 4
       Attributes list:
 5
        - d model: An integer, defining the dimension of the encoder
 6
        and decoder lavers.
        - num heads: An integer, defining the number of attention heads
 8
        to be used in both the encoder and decoder layers.
 9
        - num encoders: An integer, defining the number of encoder layers
        to be used in the transformer.
10
11
        - num decoders: An integer, defining the number of decoder layers
12
        to be used in the transformer.
13
        11 11 11
14
15
        def init (self, d model = 512, num heads = 8, num encoders = 6, num decoders = 6):
16
17
            Init Method, establishing the Decoder and Encoder parts as attributes of the transfo
18
            Later on, we will extract the encoder part for our Embeddings.
19
            11 11 11
20
            super(). init ()
21
            self.encoder = Encoder(d model, num heads, num encoders)
            self.decoder = Decoder(d model, num heads, num decoders)
22
22
```

Restricted

```
Z4
25
        def forward(self, x, y):
26
             17 17 17
27
             Forward pass for transformer.
28
             - Will encoder the input with the Encoder part first.
29
             - Then use the Decoder, combining the encoded input and its target,
30
             along with masks.
31
             11 11 11
                                                                           Add & Norm
                                                                             Feed
32
             enc out = self.encoder(x)
                                                                            Forward
```

Add & Norm

Multi-Head

Attention

Add & Norm

Masked

Multi-Head

Attention

Output

Embedding

Outputs (shifted right)

Nx

Position

Encodii

Add & Norm

Feed

Forward

Add & Norm

Multi-Head

Attention

Input Embedding

Inputs

 $N \times$

Positional

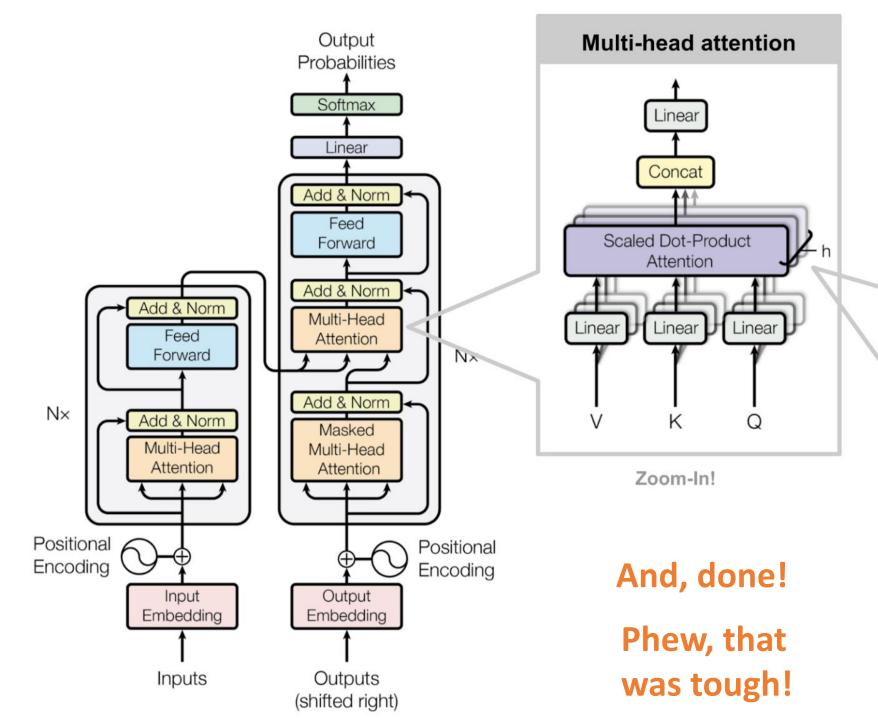
Encoding

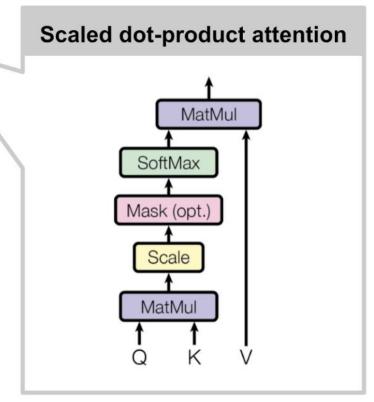
dec out = self.decoder(y, enc out)

return dec out

33

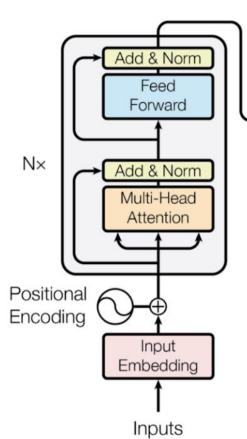
34

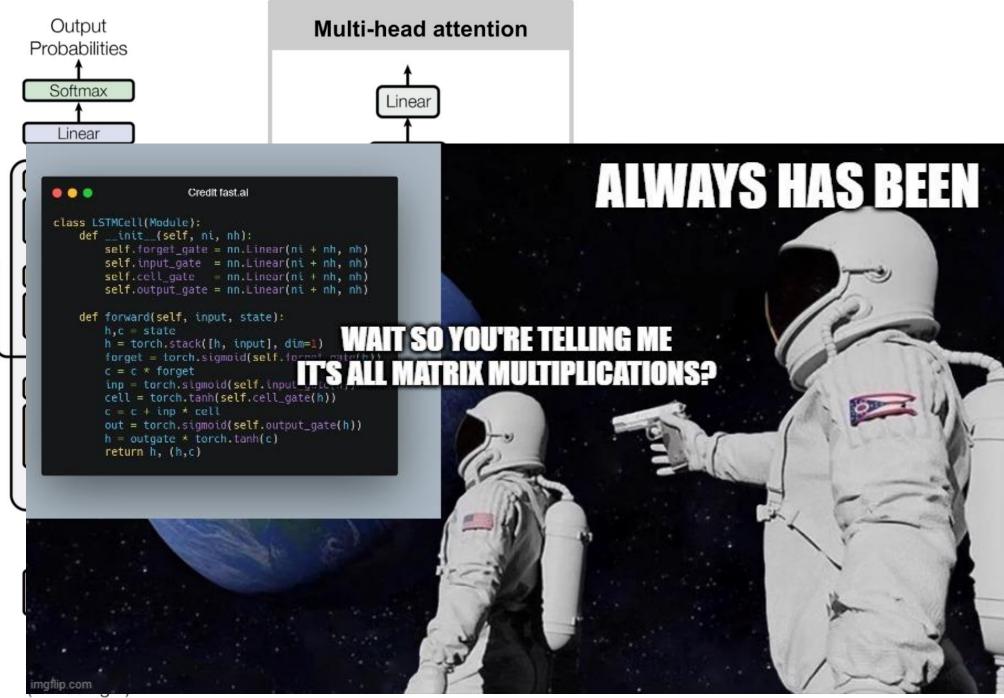




Zoom-In!

Well, at the end of the day, it is "just" matrix multiplications...





Great news!

A recent release of PyTorch COMES WITH TRANSFORMERS CLASSES!

https://pytorch.org/docs/stable/generated/torch.nn.Transformer.html

TRANSFORMER

CLASS torch.nn.Transformer(d_model=512, nhead=8, num_encoder_layers=6, num_decoder_layers=6, dim_feedforward=2048, dropout=0.1, activation=<function relu>, custom_encoder=None, custom_decoder=None, layer_norm_eps=1e-05, batch_first=False, norm_first=False, device=None, dtype=None) [SOURCE]

A transformer model. User is able to modify the attributes as needed. The architecture is based on the paper "Attention Is All You Need". Ashish Vaswani, Noam Shazeer, Niki Parmar, Jakob Uszkoreit, Llion Jones, Aidan N Gomez, Lukasz Kaiser, and Illia Polosukhin. 2017. Attention is all you need. In Advances in Neural Information Processing Systems, pages 6000-6010. Users can build the BERT(https://arxiv.org/abs/1810.04805) model with corresponding parameters.

Applications for attention/transformers

In NLP: Sentences are typical examples of sequences where computing attention coefficients make sense as a way to help with contextualization.

- Other applications for attention exist, typically with images, where attention coefficients can help understand how pixels interact with each other! Technically attention layers could "replace" CNNs!
- Or how pixels could affect a caption decision (image and video captioning, handwritten recognition, etc.)
- Will also be used in graphs next week!

The BERT word embedding

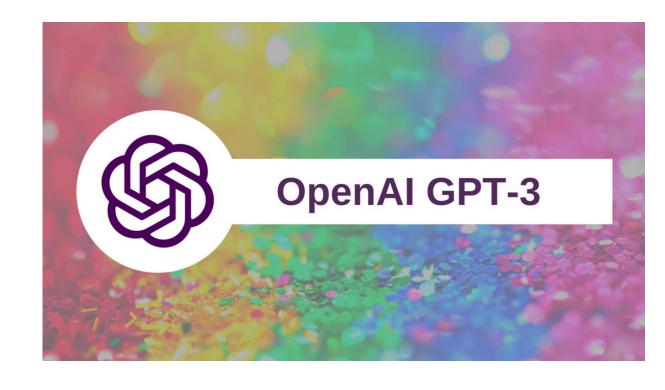
- Why discuss transformers during this (long) lecture on embeddings?
- Well, let us assume we train a transformer for a meaningless linguistics task...
- Can we extract the encoder part, as we did with all the unsupervised approaches to word embedding (CBoW, SkipGram, FastText, ELMo)?

- Yes! And you obtain one of the current best word embeddings, BERT and GPT! [Devlin2019]!
- Python package: https://pypi.org/project/bert-embedding/



Also, GPT-n embeddings

- On another note, OpenAI has also produced an important embedding, also relying on transformers, called GPT-3 [Brown2020][GPT-3].
- GPT, being the acronym for Generative Pretrained Transformer.
- Similar to BERT, it is also considered one of the current state-of-the-art embeddings.



GPT3 and ChatGPT

• In fact, GPT3 (and even 4!) is the **encoder model** that is used in ChatGPT to process the text prompt you have entered!

 This encoding vector is later passed to a decoder, whose objective is to produce an answer to your text prompt, in the form of a text sequence!

- Moving closer and closer to understanding ChatGPT fully, but more concepts are needed for the decoder part!
- (Something for later!)

A pointer on GPT-4

Speaking of, the next state-of-theart embedding, GPT-4, is coming!

Announced on March 9th, 2023, and got released in April 2023!

https://openai.com/research/gpt-4

- GPT-4 is expected to have a (much) larger number of parameters, allowing it to model even more complex patterns in natural language.
- It may also incorporate new techniques or architectural improvements that could lead to better performance on specific tasks or improved efficiency.

Can we use Transformers for MNIST?

Definition (Vision Transformer model):

A Vision Transformer model (ViT) is a neural network architecture that applies the transformer ideas and attention layers, originally introduced for natural language processing, to image classification tasks.

Often using attention layers in place of, or – even better – combined with, the typical image convolutional operations.

This is a typical example of how an idea from a field (here, NLP) can be stolen and reused in a completely different field (here, Computer Vision!).

Implementing a self-attention layer (short)

```
1 # Define a self-attention layer implementation
   class SelfAttentionLayer(nn.Module):
       def init (self, in features):
           super(SelfAttentionLayer, self). init ()
           self.in features = in features
           self.query = nn.Linear(in features, in features)
 6
           self.key = nn.Linear(in features, in features)
           self.value = nn.Linear(in features, in features)
 9
       def forward(self, x):
10
           batch size = x.size(0)
11
           query = self.query(x).view(batch size, -1, self.in features)
12
           key = self.key(x).view(batch size, -1, self.in features)
13
           value = self.value(x).view(batch size, -1, self.in features)
14
           attention weights = F.softmax(torch.bmm(query, key.transpose(1, 2))/(self.in features**0.5), dim = 2)
15
           out = torch.bmm(attention weights, value).view(batch size, -1)
16
           return out
17
```

Implementing a simple vision transformer

Our MNIST transformer

- Linear(28*28, 128) to be used on flattened images,
- Self-attention,
- Linear(128, 64),
- Self-attention again,
- Linear(64, 10), to get the right number of classes,
- ReLU activations after each Linear except the last one.

```
# Neural network definition using self-attention
   class Transformer(nn.Module):
        def init (self):
            super(Transformer, self). init ()
            self.fc1 = nn.Linear(28*28, 128)
            self.attention1 = SelfAttentionLayer(128)
            self.fc2 = nn.Linear(128, 64)
            self.attention2 = SelfAttentionLayer(64)
            self.fc3 = nn.Linear(64, 10)
10
        def forward(self, x):
11
            x = x.view(-1, 28*28)
12
            x = F.relu(self.fc1(x))
13
            x = self.attention1(x)
14
            x = F.relu(self.fc2(x))
15
            x = self.attention2(x)
16
            x = self.fc3(x)
17
            return x
18
```

Will it train?

```
1 # Create model.
 2 model = Transformer()
 3 # Define the loss function and optimizer
 4 criterion = nn.CrossEntropyLoss()
   optimizer = torch.optim.Adam(model.parameters(), lr = 0.001)
   # Train the model
   n epochs = 5
   for epoch in range(n epochs):
        for i, (images, labels) in enumerate(train loader):
10
11
            # Flatten image
            images = images.reshape(-1, 28*28)
12
13
           # Forward pass
14
            outputs = model(images)
            loss = criterion(outputs, labels)
15
            # Backprop
16
            optimizer.zero_grad()
17
            loss.backward()
18
            optimizer.step()
19
            # Display
20
            if (i + 1) % 100 == 0:
21
22
                print("Epoch [{}/{}], Step [{}/{}], Loss: {:.4f}".format(epoch + 1, \
23
                                                                          n epochs, \
                                                                          i + 1, \
24
                                                                          len(train loader), \
25
                                                                          loss.item()))
26
```

Will it train? Yes, and it seems to do ok!

```
Epoch [1/10], Step [100/1875], Loss: 0.6941
Epoch [1/10], Step [200/1875], Loss: 0.2044
Epoch [1/10], Step [300/1875], Loss: 0.7688
Epoch [1/10], Step [400/1875], Loss: 0.4087
Epoch [1/10], Step [500/1875], Loss: 0.3414
Epoch [1/10], Step [600/1875], Loss: 0.0827
Epoch [1/10], Step [700/1875], Loss: 0.1491
Epoch [1/10], Step [800/1875], Loss: 0.5172
Epoch [1/10], Step [900/1875], Loss: 0.1956
Epoch [1/10], Step [1000/1875], Loss: 0.2963
Epoch [1/10], Step [1100/1875], Loss: 0.1300
Epoch [1/10], Step [1200/1875], Loss: 0.4159
Epoch [1/10], Step [1300/1875], Loss: 0.0367
Epoch [1/10], Step [1400/1875], Loss: 0.3448
Epoch [1/10], Step [1500/1875], Loss: 0.2060
Epoch [1/10], Step [1600/1875], Loss: 0.0879
```

```
1 # Test the model
   with torch.no grad():
       correct = 0
       total = 0
       for images, labels in test loader:
           # Flatten images
           images = images.reshape(-1, 28 * 28)
           # Forward pass and accuracy calculation
           outputs = model(images)
           _, predicted = torch.max(outputs.data, 1)
10
           total += labels.size(0)
11
           correct += (predicted == labels).sum().item()
12
       # Final display
13
        print("Test Accuracy: {} %".format(100*correct/total))
14
```

Test Accuracy: 97.04 %

Question: Can we get better performance by combining convolutions (preferred operations for images) and attentions operations?

Out-of-NLP applications for transformers: Image captioning



A woman is throwing a frisbee in a park.



A dog is standing on a hardwood floor.



A <u>stop</u> sign is on a road with a mountain in the background.



A little <u>girl</u> sitting on a bed with a teddy bear.

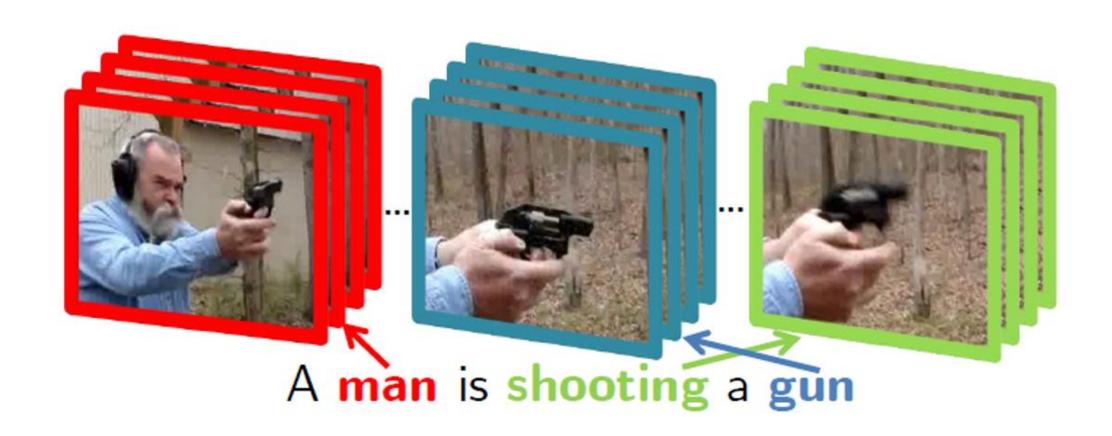


A group of <u>people</u> sitting on a boat in the water.

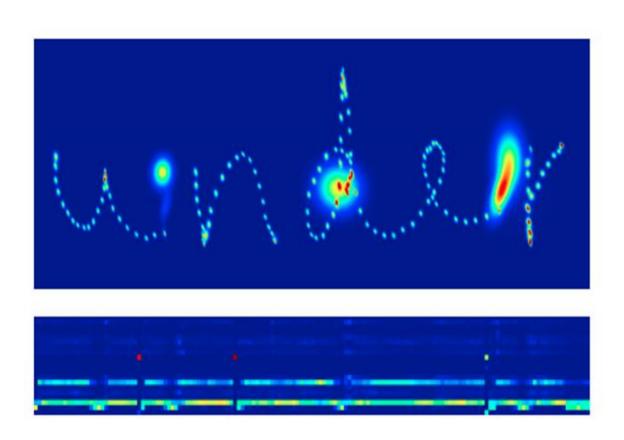


A giraffe standing in a forest with trees in the background.

Out-of-NLP applications for transformers: Video captioning



Out-of-NLP applications for transformers: Handrwitten recognition



more of national temperement more of national temperament more of national temperament more of natural temperament more of national temperament more of nutional remperchaent

Conclusion (W9S3)

We have seen a few approaches to embeddings.

- Train an Al to figure out embeddings?
 - Basic approaches.
- Out of dictionary entries?
 - Use ELMo or FastText!

(Alternative supervised methods?)

- (Supervised: InferSent)
- (Multi-task: Google's Universal Embedding.)

A few more problems are still open at the moment for these word embeddings.

- Can these embedding be biased? Yes, unfortunately, active research on this.
- Can we help the network in computing context? Yes, with attention and transformers!
- Best word embedding at the moment?
 Probably GPT4!

Learn more about these topics

Out of class, for those of you who are curious

- [Schnabel 2015] Schnabel et al., "Evaluation methods for unsupervised word embeddings", 2015. https://www.aclweb.org/anthology/D15-1036/
- [Bolukbasi2016] **Bolukbasi** et al., "Man is to Computer Programmer as Woman is to Homemaker? Debiasing Word Embeddings", 2016. https://arxiv.org/abs/1607.06520
- [Vaswani2017] Vaswani et al., "Attention Is All You Need", 2017. https://arxiv.org/abs/1706.03762

Learn more about these topics

Out of class, for those of you who are curious

• [Conneau2018] **Conneau** et al., "Supervised Learning of Universal Sentence Representations from Natural Language Inference Data", 2018.

https://arxiv.org/abs/1705.02364

- [Cer2019] **Cer** et al., "Universal Sentence Encoder", 2019. https://arxiv.org/abs/1803.11175
- [Wang2018] Wang et al., "GLUE: A Multi-Task Benchmark and Analysis Platform for Natural Language Understanding" https://arxiv.org/abs/1804.07461

Learn more about these topics

Out of class, for those of you who are curious

- [Devlin2019] **Devlin** et al., "BERT: Pre-training of Deep Bidirectional Transformers for Language Understanding", 2019. https://arxiv.org/abs/1810.04805
- [Brown2020] Brown et al. (20 of them or so), "Language Models are Few-Shot Learners", 2020.

https://arxiv.org/abs/2005.14165

Out of class, for those of you who are curious

- [Dong2021] Dong et al., "Attention is Not All You Need: Pure Attention Loses Rank Doubly Exponentially with Depth", 2021. https://arxiv.org/abs/2103.03404
- [Bello2021] Bello et al., "LambdaNetworks: Modeling Long-Range Interactions Without Attention", 2021.

https://arxiv.org/abs/2102.08602

Out of class, for those of you who are curious

- [GPT-3] Read more about GPT-3and OpenAI, here:

 https://www.vox.com/future-perfect/21355768/gpt-3-ai-openai-turing-test-language

 https://www.technologyreview.com/2020/07/20/1005454/openai-machine-learning-language-generator-gpt-3-nlp/
- [Forbes-GPT4] Read more about the GPT-4 model, next big update and future candidate for the state-of-the-art of language models, in this article, here (but more importantly, track it and do not miss it): https://www.forbes.com/sites/bernardmarr/2023/02/24/gpt-4-is-coming--what-we-know-so-far/

Out of class, for those of you who are curious

• [GPT4OAI] The GPT-4 announcement from Open AI (made today, as of March 15th 2023!)

https://openai.com/research/gpt-4

• [Cohere] A great article about word and sentence embeddings with cool visualization.

https://txt.cohere.ai/sentence-word-embeddings/

Tracking important names (Track their works and follow them on Scholar, Twitter, or whatever works for you!)

- Alexis Conneau: Senior Researcher at Google.
 https://scholar.google.fr/citations?user=45KfCpgAAAAJ&hl=fr
- Daniel Cer: Researcher at Google and Lecturer at UC Berkeley.
 He has a really good course about NLP and Embeddings.
 https://www.ischool.berkeley.edu/user/4406
 https://www.ischool.berkeley.edu/courses/datasci/266

Tracking important names (Track their works and follow them on Scholar, Twitter, or whatever works for you!)

- Tobias Schnabel: Senior researcher at Microsoft.
 https://scholar.google.com/citations?user=o_i419UAAAAJ&hl=en
 https://www.microsoft.com/en-us/research/people/toschnab
- Tolga Bolukbasi: Senior researcher at Google Brain. https://scholar.google.com/citations?user=3rF9gtAAAAAJ&hl=en
- Anish Vaswani: Researcher at Google Brain. Highest cited paper in DL. https://scholar.google.com/citations?user=oR9sCGYAAAAJ&hl=en
- Jacob Devlin: Researcher at Google.
 https://research.google/people/106320/

Tracking important names (Track their works and follow them on Scholar, Twitter, or whatever works for you!)

• Greg Brockman: CEO of OpenAl.

https://gregbrockman.com/ https://dblp.org/pid/74/10715.html

• Sam Altman: Former CEO of OpenAI, still involved in research. Very active on Twitter and technically, the social media face of OpenAI? https://blog.samaltman.com/

Tracking important names (Track their works and follow them on Scholar, Twitter, or whatever works for you!)

- Alec Radford: Former research scientist at OpenAI and was one of the primary authors of the GPT. Also worked on Dall-E.
 - https://scholar.google.com/citations?user=dOad5HoAAAAJ&hl=enhttps://openai.com/blog/authors/alec/
- OpenAI: The company behind ChatGPT. Already mentioned it on W4S2. https://openai.com/

In the last episode...

We have discussed the **embedding** problem, and its aspects in Natural Language Processing.

Many approaches can be used to obtain word embedding.

• Unsupervised: CBoW & SkipGram (2013), GloVe (2014), FastText (2018), ELMo (2018).

All tasks we investigated were a sequence-to-singular output (CBoW) or singular input-to-sequence tasks (SkipGram).

Supervised and combined learning?

- Word2Vec, GloVe and ELMo approaches are commonly referred to as unsupervised (or semi-supervised) approaches to embedding, both relying on the distributional hypothesis we mentioned on the previous lecture.
- Unsupervised representation learning of sentences had been the norm for quite some time.
- More advanced versions of unsupervised approaches exist!

 However, the last few years have seen a shift toward supervised and multi-task learning schemes with a number of notably interesting proposals in late 2017/early 2018.

From unsupervised to combined learning

For a long time, there was a general consensus (see [Wang2018] for details) in the field that

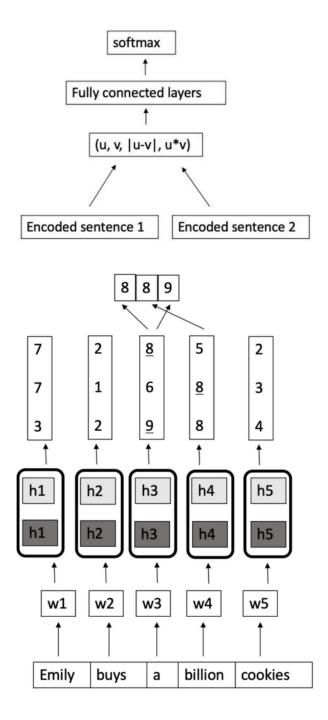
- the simple approach of **simply averaging a sentence's word vectors** (as in CBoW approach) gives a strong baseline for context, which is good enough for many downstream tasks.
- **supervised** learning of sentence/word embeddings was costly and gave lower-quality embeddings than unsupervised/semi-supervised approaches.

But these assumptions have recently been overturned, in part following the publication of the InferSent ([Conneau2018]) results.

Counter-example: InferSent (out-of-class)

Simple key takeaways

- The InferSent model (in [Conneau2018]) is an example of a supervised classification model, with three classes: Entailment, Neutral, Contradiction.
- It uses the same forward-backward LSTM architecture as ELMo, and attempts to compare two sentences and classify the pair.
- Feature representation extracted from model showed good performance.



From unsupervised to combined learning

- Following these recent results, showing that supervised approaches are not necessarily bad...
- The current direction in research for word embeddings suggests to combine both supervised and unsupervised learning techniques. This is commonly referred to as a **multi-task learning based embedding**.
- The most notable work in this direction would be **Google's Universal Sentence and Word Encoder** (in [Cer2019]). Kept rather hidden, have only seen a pre-release on Tensorflow:
 - https://www.tensorflow.org/hub/tutorials/semantic similarity with tf hub universal encoder
- (But nothing for PyTorch yet?)

On the limits of attention?

- Active research following the BERT and GPT embeddings, wondering what might be the next big revolution? ([Devlin2019])
- In the paper [Dong2021], a research team from Google and EPFL proposed a novel approach that sheds light on the operation and inductive biases of self-attention/transformer networks and finds that pure attention performance decays in rank doubly exponentially with respect to depth.
- Recent works suggest that a new type of transformer layer, called Lambda layers could become the next hype for the future of NLP embeddings [Bello2021]?
- Or simply GPT-4? ([Forbes-GPT4]).