

# 50.039 Theory and Practice of Deep Learning

## W6-S1 Introduction to Attacks and Defense on Neural Networks

Matthieu De Mari



# About this week (Week 6)

1. What are **attacks** on Neural Networks (NNs)?
2. Why are attacks an **important concept** when studying NNs?
3. What are the different **types of attacks** and what is the intuition behind basic attacks?
4. How to **defend** against such attacks?
5. **State-of-the-art** of attacks and defense, **open questions** in research.

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# Attacks: definition

**Definition (Attacks on Neural Networks):**

**Adversarial machine learning, or attacks on Neural Networks,** refers to machine learning techniques that attempt to fool models by supplying deceptive input.

The most common reason is to cause a malfunction in a machine learning model.

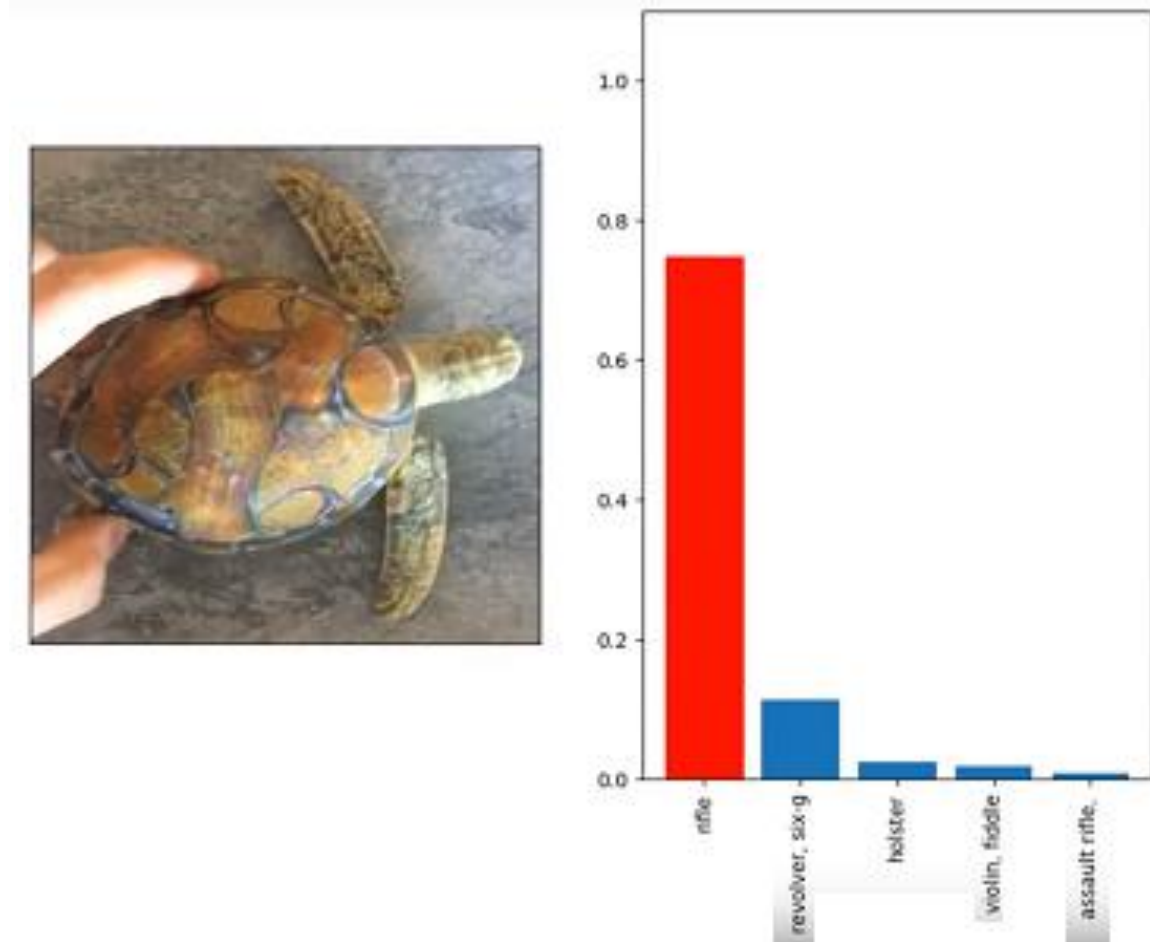


# Attack/Adversarial samples: definition

## Definition (**Attack samples, adversarial samples**):

An **input sample** is considered an **attack sample** (or **adversarial sample**) for a given trained model, if and only if, it makes this model malfunction on purpose.

**Example:** this picture of a turtle has been altered on some of its pixels to be misclassified as a weapon (rifle, revolver, etc.).



Source: Google's AI thinks this turtle looks like a gun, which is a problem [Verge1].

# On the ethics of **attacking** Neural Networks

This week's lectures and notebooks will introduce techniques, whose objective is to **make a trained Neural Network malfunction on purpose**.

- These techniques are NOT, so to speak, illegal.
- But let us keep in mind what the consequences of using these attacks could be...



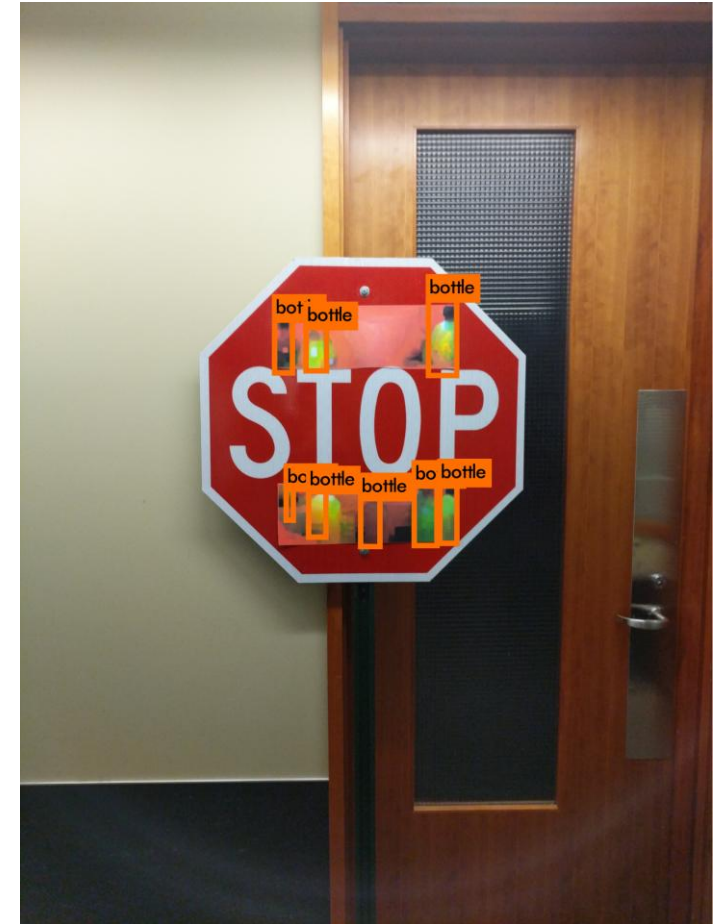


# On the ethics of **attacking** Neural Networks

**Example #1:** This stop sign has stickers put in specific locations.

- It is an **attack sample** as it can no longer be detected as a stop sign, and is instead misclassified as several bottles.

**Think: What would be the effect/consequence of such an attack sample on a self-driving car using computer vision?**



*Source: Slight Street Sign Modifications Can Completely Fool Machine Learning Algorithms [Spectrum1].*

# On the ethics of **attacking** Neural Networks

**Example #2:** Covering some areas of your face with paint or glasses with specific patterns can fool facial recognition algorithms.

- These facial recognition AIs are no longer able to detect a face, let alone recognize the identity of the person.

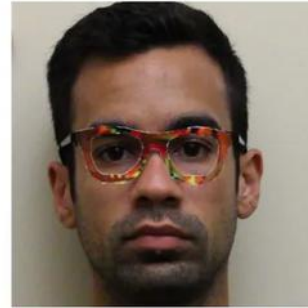
**Think: Is that a good or a bad discovery for computer vision?**



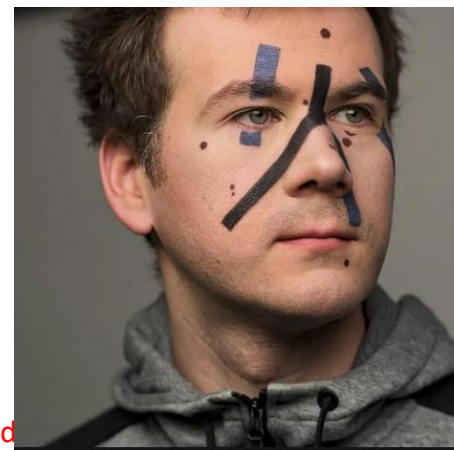
(b)



(c)



(d)



*Source: These glasses trick facial recognition software into thinking you're someone else [Verge2].*

*Source: Defeating Facial Recognition [YTB1].*



A person wearing a dark hoodie is sitting at a desk, typing on a laptop. The background is a blurred image of a computer screen displaying lines of code, likely HTML or CSS, in a light blue font. The overall scene is dimly lit, with the laptop screen providing the primary light source.

Are you going to teach us about  
“hacking” Neural Networks then?!



Are you going to teach us about  
“hacking” Neural Networks then?!

No...?



# Are you going to teach us about “hacking” Neural Networks then?!

Okay, yes, fine.

But only for **two** reasons.

**To teach you about limits/vulnerabilities of Neural Networks  
and how to defend them against such attacks.**

# Reason #1: Limits

**Reason #1:** Neural networks are **limited and vulnerable**, by design.

- They will always be at risk of attacks making them malfunction, no matter how many safeguards you decide to put in place.

# Reason #1: Limits

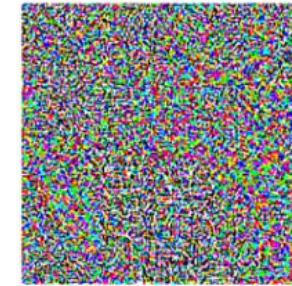
**Reason #1:** Neural networks are **limited and vulnerable**, by design.

- They will always be at risk of attacks making them malfunction, no matter how many safeguards you decide to put in place.
- For instance, adding noise to an image is often enough to fool any image recognition algorithm.



“panda”  
57.7% confidence

+ .007 ×



“nematode”  
8.2% confidence

=



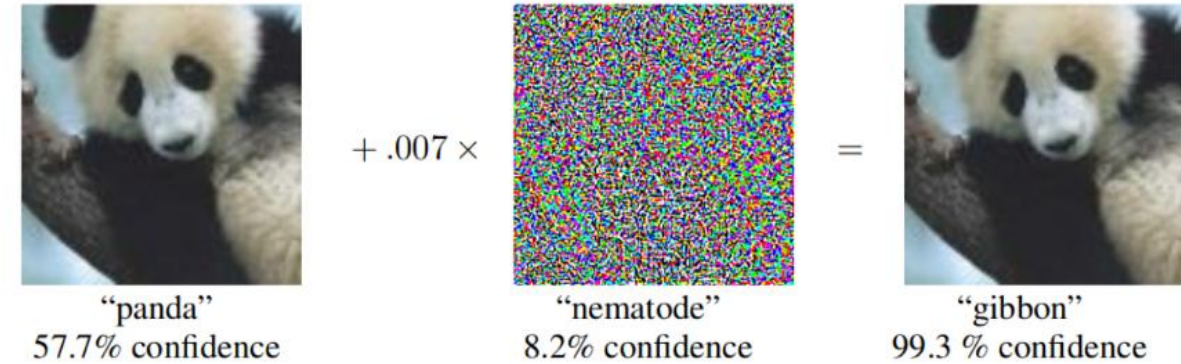
“gibbon”  
99.3 % confidence



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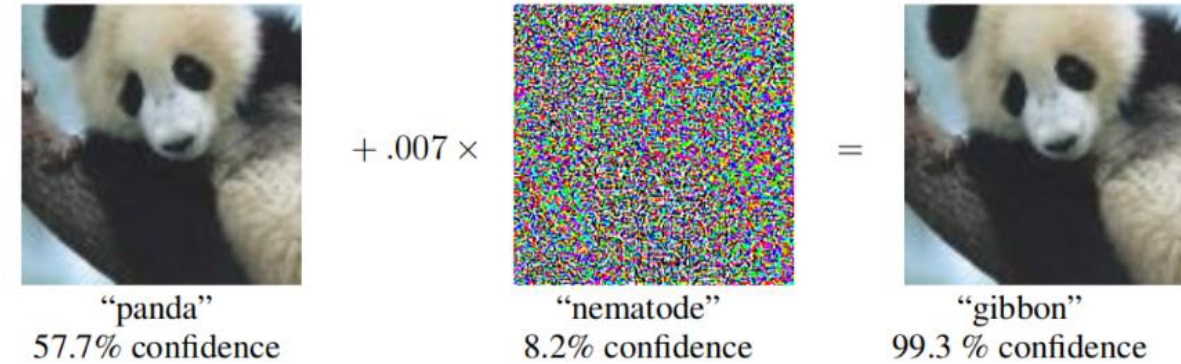


## WHO WOULD WIN?

# Reason #1: Limits

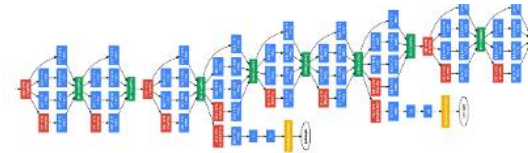
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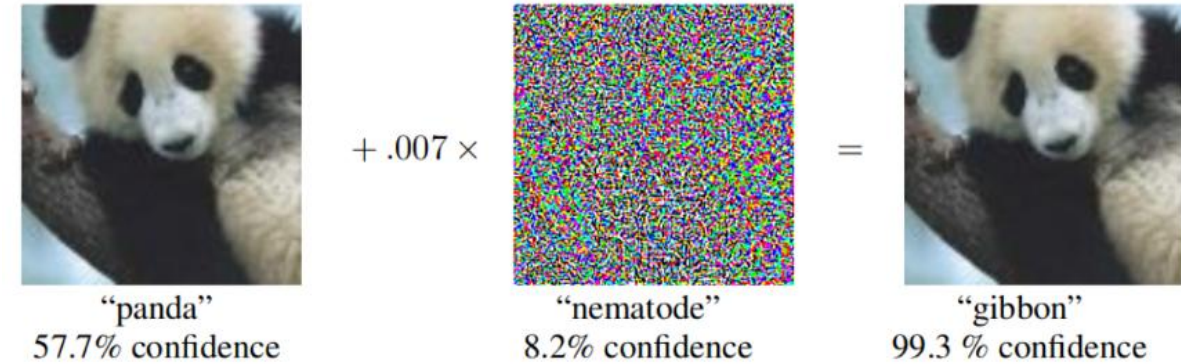
A deep convolutional network with 1 million parameters, trained for days on 64 GPUs, using a dataset consisting of millions of images



# Reason #1: Limits

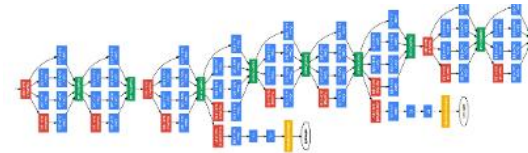
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## WHO WOULD WIN?

A deep convolutional network with 1 million parameters, trained for days on 64 GPUs, using a dataset consisting of millions of images



One small noise image boi, added to an original image



# Reason #1: Limits

**Reason #1:** Neural networks are limited



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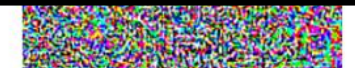
$=$



- That at minimum, the purpose of the model is to learn the underlying structure of the data.
- For image classification, the model is trained to learn the underlying structure of the data.

This raises two questions.

1. Shall we give up on neural networks then?
2. But, wait, how does that even work?!



# Reason #1: Limits

Reason #1: Neural networks are limited



$+ .007 \times$



$=$



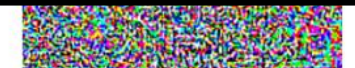
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**No, because of reason #2, defense (more on this later).**

2. But, wait, how does that even work?!





# Reason #1: Limits

Reason #1: Neural networks are limited



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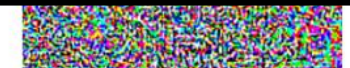
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**No, because of reason #2, defense (more on this later).**

2. **But, wait, how does that even work?!**



# Notebooks structure

Please refer to Notebook 1. Using Epsilon Noising Attack to Generate Attack Samples.

- All notebooks this week follow the same structure
  - **Dataset and Dataloader**

## Dataset and Dataloader

```
1 # Transform definition
2 # (Basic: only convert image to torch tensor)
3 tf = transforms.Compose([transforms.ToTensor()])
```

```
1 # MNIST dataset and dataloader
2 # (For testing only, we will use a pre-trained model)
3 ds = datasets.MNIST('./data', train = False, \
4                     download = True, transform = tf)
5 test_loader = torch.utils.data.DataLoader(ds, batch_size = 1, \
6                                           shuffle = True)
```

# Notebooks structure

Please refer to Notebook 1. Using Epsilon Noising Attack to Generate Attack Samples.

- All notebooks this week follow the same structure
  - Dataset and Dataloader
  - **Model**

```

1  # Model definition
2  class Net(nn.Module):
3
4      def __init__(self):
5          super(Net, self).__init__()
6          # Conv. 1
7          self.conv1 = nn.Conv2d(1, 10, kernel_size = 5)
8          # Conv. 2
9          self.conv2 = nn.Conv2d(10, 20, kernel_size = 5)
10         # Dropout for Conv. layers
11         self.conv2_drop = nn.Dropout2d()
12         # FC 1
13         self.fc1 = nn.Linear(320, 50)
14         # FC 2
15         self.fc2 = nn.Linear(50, 10)
16
17     def forward(self, x):
18         # Conv. 1 + ReLU + Dropout
19         x = F.relu(F.max_pool2d(self.conv1(x), 2))
20         # Conv. 2 + ReLU + Dropout
21         x = F.relu(F.max_pool2d(self.conv2_drop(self.conv2(x)), 2))
22         # Flatten
23         x = x.view(-1, 320)
24         # FC 1 + ReLU + Dropout
25         x = F.relu(self.fc1(x))
26         x = F.dropout(x, training = self.training)
27         # FC 2 + Log-Softmax
28         x = self.fc2(x)
29         return F.log_softmax(x, dim = 1)

```

# Notebooks structure

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- All notebooks this week follow the same structure
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  - **(Pre-trained) Model**

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```

```

1  # Load the pretrained model
2  model = Net().to(device)
3  pretrained_model = "./mnist_model.data"
4  model.load_state_dict(torch.load(pretrained_model, \
5                                  map_location = 'cpu'))

```

<All keys matched successfully>

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1 def enm_attack(image, epsilon):
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3     # Generate noise matrix, with same shape as image,
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7     epsilon_mat = np.asarray([[[2*(np.random.random() - 0.5)*epsilon
8                                for i in range(img_rows)]
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10
11     # Create the attack image by adjusting each pixel of the input image
12     eps_image = image.detach().numpy() + epsilon_mat
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14     # Clipping eps_image to maintain pixel values into the [0, 1] range
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# Our first attack: Epsilon Noising

## Definition (Epsilon Noising Method):

The **Epsilon Noising Method (ENM)** is the simplest type of attack. It consists of generating an image  $\tilde{x}$ , by **adding a random noise to each pixel of an image  $x$ , with amplitude  $[-\epsilon, \epsilon]$ .**

$$\forall i, j \in \text{Pixel\_Indexes}_x$$

$$\tilde{x}_{i,j} = x_{i,j} + \omega_{i,j}$$

$$\begin{cases} \omega_{i,j} \rightarrow U([- \epsilon, \epsilon]) & (\text{Unif. Dist.}) \\ \omega_{i,j} \rightarrow N([- \epsilon, \epsilon]) & (\text{Normal Dist.}) \end{cases}$$

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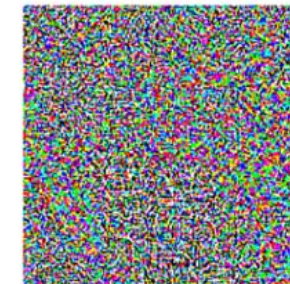
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```



“panda”  
57.7% confidence

+ .007 ×



“nematode”  
8.2% confidence

=



“gibbon”  
99.3 % confidence

Generate noise vector  
with same size as  
image, and amplitude  
in  $[-\epsilon, \epsilon]$ .

# Our first attack: Epsilon Noising

## Definition (Epsilon Noising Method):

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```

$$\forall i, j \in \text{Pixel\_Indexes}_x$$

$$\tilde{x}_{i,j} = x_{i,j} + \omega_{i,j}$$

$$\begin{cases} \omega_{i,j} \rightarrow U([- \epsilon, \epsilon]) & (\text{Unif. Dist.}) \\ \omega_{i,j} \rightarrow N([- \epsilon, \epsilon]) & (\text{Normal Dist.}) \end{cases}$$



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# Our first attack: Epsilon Noising

Add noise to original image.

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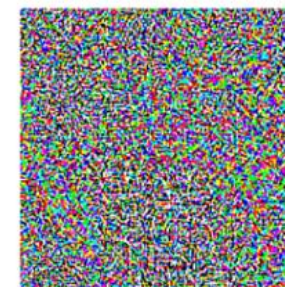
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# Our first attack: Epsilon Noising

Clipping to prevent unwanted pixel values.

Reminder (**Clipping** a value):

**Clipping** a value  $x$  forces it to remain in an interval  $[a, b]$ , with  $a \leq b$ .

We define the **clipping function**  $\gamma_{a,b}(x)$ , as follows.

$$\gamma_{a,b}(x) = \max(a, \min(x, b))$$

$$\gamma_{a,b}(x) = \begin{cases} a & \text{if } x \leq a \\ b & \text{if } x \geq b \\ x & \text{otherwise} \end{cases}$$

```

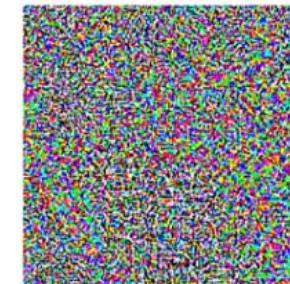
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# Our first attack: Epsilon Noising

Clipping to prevent pixel values to go out of [0, 1].  
(Normalization taken into account)

## Definition (Epsilon Noising Method):

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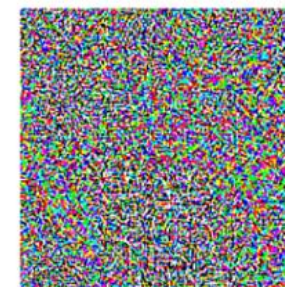
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# Notebooks structure

- Please refer to Notebook 1.  
Using Epsilon Noising Attack to Generate Attack Samples.
- All notebooks this week follow the same structure
  - Dataset and Dataloader
  - (Pre-trained) Model
  - **Attack function**

```

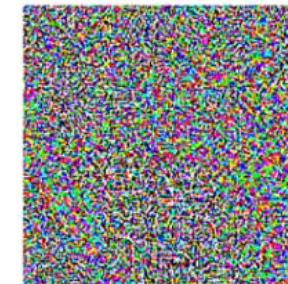
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  - (Pre-trained) Model
  - Attack function
  - **Testing effect of attack on model**

Restricted

# Testing Function

This function attempts to 1) track how an attack with amplitude epsilon, used on the samples in the test\_loader will affect our model;

```
1 def test(model, device, test_loader, epsilon):
2     # Counter for correct values (used for accuracy)
3     correct_counter = 0
4
5     # List of successful adversarial samples
6     adv_examples_list = []
7
8     # Loop over all examples in test set
9     for image, label in test_loader:
10
11         # Send the data and label to the device
12         image, label = image.to(device), label.to(device)
13
14         # Pass the image through the model
15         output = model(image)
16         # Get the index of the max log-probability
17         init_pred = output.max(1, keepdim = True)[1]
18
19         # If the initial prediction is wrong, do not
20         # bother attacking, skip current image
21         if init_pred.item() != label.item():
22             continue
23
24         # Calculate the loss
25         loss = F.nll_loss(output, label)
26
27         # Zero all existing gradients
28         model.zero_grad()
29
30         # Backpropagate
31         loss.backward()
32
33         # Call ENM Attack
34         eps_image = enm_attack(image, epsilon)
35
36         # Re-classify the epsilon image
37         output2 = model(eps_image)
38         # Get the index of the max log-probability
39         eps_pred = output2.max(1, keepdim = True)[1]
40
41         # Check for successful attack
42         # (Successful meaning eps_pred label different from init_pred)
43         if eps_pred.item() == label.item():
44             correct_counter += 1
45             # Special case for saving 0 epsilon examples
46             # (Maximal number of saved samples is set to 5)
47             if (epsilon == 0) and (len(adv_examples_list) < 5):
48                 adv_ex = eps_image.squeeze().detach().cpu().numpy()
49                 adv_examples_list.append((init_pred.item(), eps_pred.item(), adv_ex))
50             else:
51                 # Save some adv examples for visualization later
52                 # (Maximal number of saved samples is set to 5)
53                 if len(adv_examples_list) < 5:
54                     adv_ex = eps_image.squeeze().detach().cpu().numpy()
55                     adv_examples_list.append((init_pred.item(), eps_pred.item(), adv_ex))
56
57         # Calculate final accuracy for this epsilon value
58         final_acc = correct_counter/float(len(test_loader))
59
60         # Display for progress
61         print("Epsilon: {} - Test Accuracy = {}/{}/{} = {}".format(epsilon, \
62                                                                    correct_counter, \
63                                                                    len(test_loader), \
64                                                                    final_acc))
65
66         # Return the accuracy and an adversarial example
67         return final_acc, adv_examples_list
68
```

Restricted

# Testing Function

This function attempts to 1) track how an attack with amplitude epsilon, used on the samples in the test\_loader will affect our model; and 2) return attack samples that worked for later visualization.

```

1 def test(model, device, test_loader, epsilon):
2
3     # Counter for correct values (used for accuracy)
4     correct_counter = 0
5
6     # List of successful adversarial samples
7     adv_examples_list = []
8
9     # Loop over all examples in test set
10    for image, label in test_loader:
11
12        # Send the data and label to the device
13        image, label = image.to(device), label.to(device)
14
15        # Pass the image through the model
16        output = model(image)
17        # Get the index of the max log-probability
18        init_pred = output.max(1, keepdim = True)[1]
19
20        # If the initial prediction is wrong, do not
21        # bother attacking, skip current image
22        if init_pred.item() != label.item():
23            continue
24
25        # Calculate the loss
26        loss = F.nll_loss(output, label)
27
28        # Zero all existing gradients
29        model.zero_grad()
30
31        # Backpropagate
32        loss.backward()

```

```

34    # Call ENM Attack
35    eps_image = enm_attack(image, epsilon)
36
37    # Re-classify the epsilon image
38    output2 = model(eps_image)
39    # Get the index of the max log-probability
40    eps_pred = output2.max(1, keepdim = True)[1]
41
42    # Check for successful attack
43    # (Successful meaning eps_pred label different from init_pred)
44    if eps_pred.item() == label.item():
45        correct_counter += 1
46        # Special case for saving 0 epsilon examples
47        # (Maximal number of saved samples is set to 5)
48        if epsilon == 0 and (len(adv_examples_list) < 5):
49            adv_ex = eps_image.squeeze().detach().cpu().numpy()
50            adv_examples_list.append((init_pred.item(), eps_pred.item(), adv_ex))
51        else:
52            # Save some adv examples for visualization later
53            # (Maximal number of saved samples is set to 5)
54            if len(adv_examples_list) < 5:
55                adv_ex = eps_image.squeeze().detach().cpu().numpy()
56                adv_examples_list.append((init_pred.item(), eps_pred.item(), adv_ex))
57
58    # Calculate final accuracy for this epsilon value
59    final_acc = correct_counter/float(len(test_loader))
60
61    # Display for progress
62    print("Epsilon: {} - Test Accuracy = {}/{}/{} = {}".format(epsilon, \
63                                                                correct_counter, \
64                                                                len(test_loader), \
65                                                                final_acc))
66
67    # Return the accuracy and an adversarial example
68    return final_acc, adv_examples_list

```

# Testing Function

Restricted  
This will track how many samples were correctly classified despite the attack being performed on the test samples.

```
1 def test(model, device, test_loader, epsilon):
```

```
2     # Counter for correct values (used for accuracy)
3     correct_counter = 0
```

```
4     # List of successful adversarial samples
5     adv_examples_list = []
```

```
6     # Loop over all examples in test set
7     for image, label in test_loader:
```

```
8
9     # Send the data and label to the device
10    image, label = image.to(device), label.to(device)
```

```
11
12    # Pass the image through the model
13    output = model(image)
14    # Get the index of the max log-probability
15    init_pred = output.max(1, keepdim = True)[1]
```

```
16
17    # If the initial prediction is wrong, do not
18    # bother attacking, skip current image
19    if init_pred.item() != label.item():
20        continue
```

```
21
22    # Calculate the loss
23    loss = F.nll_loss(output, label)
```

```
24
25    # Zero all existing gradients
26    model.zero_grad()
```

```
27
28    # Backpropagate
29    loss.backward()
```

```
30     # Call ENM Attack
```

```
31     eps_image = enm_attack(image, epsilon)
```

```
32
33     # Re-classify the epsilon image
34     output2 = model(eps_image)
```

```
35     # Get the index of the max log-probability
36     eps_pred = output2.max(1, keepdim = True)[1]
```

```
37
38     # Check for successful attack
39     # (Successful meaning eps_pred label different from init_pred)
40     if eps_pred.item() == label.item():
```

```
41         correct_counter += 1
42         # Special case for saving 0 epsilon examples
43         # (Maximal number of saved samples is set to 5)
44         if (epsilon == 0) and (len(adv_examples_list) < 5):
45             adv_ex = eps_image.squeeze().detach().cpu().numpy()
46             adv_examples_list.append((init_pred.item(), eps_pred.item(), adv_ex))
```

```
47     else:
48         # Save some adv examples for visualization later
49         # (Maximal number of saved samples is set to 5)
50         if len(adv_examples_list) < 5:
51             adv_ex = eps_image.squeeze().detach().cpu().numpy()
52             adv_examples_list.append((init_pred.item(), eps_pred.item(), adv_ex))
```

```
53
54     # Calculate final accuracy for this epsilon value
55     final_acc = correct_counter/float(len(test_loader))
```

```
56
57     # Display for progress
58     print("Epsilon: {} - Test Accuracy = {}/{}/{} = {}".format(epsilon, \
59                                                                correct_counter, \
60                                                                len(test_loader), \
61                                                                final_acc))
```

```
62
63     # Return the accuracy and an adversarial example
64     return final_acc, adv_examples_list
```



# Testing Function

Restricted  
This will store up to 5 attack samples that made the model malfunction (used for visualization later).

```
1 def test(model, device, test_loader, epsilon):
2
3     # Counter for correct values (used for accuracy)
4     correct_counter = 0
5
6     # List of successful adversarial samples
7     adv_examples_list = []
8
9     # Loop over all examples in test set
10    for image, label in test_loader:
11
12        # Send the data and label to the device
13        image, label = image.to(device), label.to(device)
14
15        # Pass the image through the model
16        output = model(image)
17        # Get the index of the max log-probability
18        init_pred = output.max(1, keepdim = True)[1]
19
20        # If the initial prediction is wrong, do not
21        # bother attacking, skip current image
22        if init_pred.item() != label.item():
23            continue
24
25        # Calculate the loss
26        loss = F.nll_loss(output, label)
27
28        # Zero all existing gradients
29        model.zero_grad()
30
31        # Backpropagate
32        loss.backward()
33
34        # Call ENM Attack
35        eps_image = enm_attack(image, epsilon)
36
37        # Re-classify the epsilon image
38        output2 = model(eps_image)
39        # Get the index of the max log-probability
40        eps_pred = output2.max(1, keepdim = True)[1]
41
42        # Check for successful attack
43        # (Successful meaning eps_pred label different from init_pred)
44        if eps_pred.item() == label.item():
45            correct_counter += 1
46            # Special case for saving 0 epsilon examples
47            # (Maximal number of saved samples is set to 5)
48            if (epsilon == 0) and (len(adv_examples_list) < 5):
49                adv_ex = eps_image.squeeze().detach().cpu().numpy()
50                adv_examples_list.append((init_pred.item(), eps_pred.item(), adv_ex))
51            else:
52                # Save some adv examples for visualization later
53                # (Maximal number of saved samples is set to 5)
54                if len(adv_examples_list) < 5:
55                    adv_ex = eps_image.squeeze().detach().cpu().numpy()
56                    adv_examples_list.append((init_pred.item(), eps_pred.item(), adv_ex))
57
58        # Calculate final accuracy for this epsilon value
59        final_acc = correct_counter/float(len(test_loader))
60
61        # Display for progress
62        print("Epsilon: {} - Test Accuracy = {}/{} = {}".format(epsilon, \
63                                                                correct_counter, \
64                                                                len(test_loader), \
65                                                                final_acc))
66
67        # Return the accuracy and an adversarial example
68        return final_acc, adv_examples_list
```

# Testing Function

Restricted

This is very typical for our test functions so far, just browsing through (normal) test samples and trying those on our model.

```
1 def test(model, device, test_loader, epsilon):
2
3     # Counter for correct values (used for accuracy)
4     correct_counter = 0
5
6     # List of successful adversarial samples
7     adv_examples_list = []
8
9     # Loop over all examples in test set
10    for image, label in test_loader:
11
12        # Send the data and label to the device
13        image, label = image.to(device), label.to(device)
14
15        # Pass the image through the model
16        output = model(image)
17        # Get the index of the max log-probability
18        init_pred = output.max(1, keepdim = True)[1]
19
20        # If the initial prediction is wrong, do not
21        # bother attacking, skip current image
22        if init_pred.item() != label.item():
23            continue
24
25        # Calculate the loss
26        loss = F.nll_loss(output, label)
27
28        # Zero all existing gradients
29        model.zero_grad()
30
31        # Backpropagate
32        loss.backward()
33
34        # Call ENM Attack
35        eps_image = enm_attack(image, epsilon)
36
37        # Re-classify the epsilon image
38        output2 = model(eps_image)
39        # Get the index of the max log-probability
40        eps_pred = output2.max(1, keepdim = True)[1]
41
42        # Check for successful attack
43        # (Successful meaning eps_pred label different from init_pred)
44        if eps_pred.item() == label.item():
45            correct_counter += 1
46            # Special case for saving 0 epsilon examples
47            # (Maximal number of saved samples is set to 5)
48            if (epsilon == 0) and (len(adv_examples_list) < 5):
49                adv_ex = eps_image.squeeze().detach().cpu().numpy()
50                adv_examples_list.append((init_pred.item(), eps_pred.item(), adv_ex))
51        else:
52            # Save some adv examples for visualization later
53            # (Maximal number of saved samples is set to 5)
54            if len(adv_examples_list) < 5:
55                adv_ex = eps_image.squeeze().detach().cpu().numpy()
56                adv_examples_list.append((init_pred.item(), eps_pred.item(), adv_ex))
57
58        # Calculate final accuracy for this epsilon value
59        final_acc = correct_counter/float(len(test_loader))
60
61        # Display for progress
62        print("Epsilon: {} - Test Accuracy = {}/{}/{} = {}".format(epsilon, \
63                                                                    correct_counter, \
64                                                                    len(test_loader), \
65                                                                    final_acc))
66
67        # Return the accuracy and an adversarial example
68        return final_acc, adv_examples_list
```

# Testing Function

Restricted  
If the model already misclassifies the sample, do not bother attacking  
(Attack could make the model right!).

```
1 def test(model, device, test_loader, epsilon):
2
3     # Counter for correct values (used for accuracy)
4     correct_counter = 0
5
6     # List of successful adversarial samples
7     adv_examples_list = []
8
9     # Loop over all examples in test set
10    for image, label in test_loader:
11
12        # Send the data and label to the device
13        image, label = image.to(device), label.to(device)
14
15        # Pass the image through the model
16        output = model(image)
17        # Get the index of the max log-probability
18        init_pred = output.max(1, keepdim = True)[1]
19
20        # If the initial prediction is wrong, do not
21        # bother attacking, skip current image
22        if init_pred.item() != label.item():
23            continue
24
25        # Calculate the loss
26        loss = F.nll_loss(output, label)
27
28        # Zero all existing gradients
29        model.zero_grad()
30
31        # Backpropagate
32        loss.backward()
```

```
34    # Call ENM Attack
35    eps_image = enm_attack(image, epsilon)
36
37    # Re-classify the epsilon image
38    output2 = model(eps_image)
39    # Get the index of the max log-probability
40    eps_pred = output2.max(1, keepdim = True)[1]
41
42    # Check for successful attack
43    # (Successful meaning eps_pred label different from init_pred)
44    if eps_pred.item() == label.item():
45        correct_counter += 1
46        # Special case for saving 0 epsilon examples
47        # (Maximal number of saved samples is set to 5)
48        if (epsilon == 0) and (len(adv_examples_list) < 5):
49            adv_ex = eps_image.squeeze().detach().cpu().numpy()
50            adv_examples_list.append((init_pred.item(), eps_pred.item(), adv_ex))
51    else:
52        # Save some adv examples for visualization later
53        # (Maximal number of saved samples is set to 5)
54        if len(adv_examples_list) < 5:
55            adv_ex = eps_image.squeeze().detach().cpu().numpy()
56            adv_examples_list.append((init_pred.item(), eps_pred.item(), adv_ex))
57
58    # Calculate final accuracy for this epsilon value
59    final_acc = correct_counter/float(len(test_loader))
60
61    # Display for progress
62    print("Epsilon: {} - Test Accuracy = {}/{}/{} = {}".format(epsilon, \
63                                                                correct_counter, \
64                                                                len(test_loader), \
65                                                                final_acc))
66
67    # Return the accuracy and an adversarial example
68    return final_acc, adv_examples_list
```

# Testing Function

Restricted

This is again very typical. Right now, it does not appear necessary, but more advanced attacks will rely on the gradients of the model, and that would be the way to compute them (more on this later!).

```
1 def test(model, device, test_loader, epsilon):
2
3     # Counter for correct values (used for accuracy)
4     correct_counter = 0
5
6     # List of successful adversarial samples
7     adv_examples_list = []
8
9     # Loop over all examples in test set
10    for image, label in test_loader:
11
12        # Send the data and label to the device
13        image, label = image.to(device), label.to(device)
14
15        # Pass the image through the model
16        output = model(image)
17        # Get the index of the max log-probability
18        init_pred = output.max(1, keepdim = True)[1]
19
20        # If the initial prediction is wrong, do not
21        # bother attacking, skip current image
22        if init_pred.item() != label.item():
23            continue
24
25        # Calculate the loss
26        loss = F.nll_loss(output, label)
27
28        # Zero all existing gradients
29        model.zero_grad()
30
31        # Backpropagate
32        loss.backward()
```

```
34    # Call ENM Attack
35    eps_image = enm_attack(image, epsilon)
36
37    # Re-classify the epsilon image
38    output2 = model(eps_image)
39    # Get the index of the max log-probability
40    eps_pred = output2.max(1, keepdim = True)[1]
41
42    # Check for successful attack
43    # (Successful meaning eps_pred label different from init_pred)
44    if eps_pred.item() == label.item():
45        correct_counter += 1
46        # Special case for saving 0 epsilon examples
47        # (Maximal number of saved samples is set to 5)
48        if (epsilon == 0) and (len(adv_examples_list) < 5):
49            adv_ex = eps_image.squeeze().detach().cpu().numpy()
50            adv_examples_list.append((init_pred.item(), eps_pred.item(), adv_ex))
51        else:
52            # Save some adv examples for visualization later
53            # (Maximal number of saved samples is set to 5)
54            if len(adv_examples_list) < 5:
55                adv_ex = eps_image.squeeze().detach().cpu().numpy()
56                adv_examples_list.append((init_pred.item(), eps_pred.item(), adv_ex))
57
58    # Calculate final accuracy for this epsilon value
59    final_acc = correct_counter/float(len(test_loader))
60
61    # Display for progress
62    print("Epsilon: {} - Test Accuracy = {}/{}/{} = {}".format(epsilon, \
63                                                                correct_counter, \
64                                                                len(test_loader), \
65                                                                final_acc))
66
67    # Return the accuracy and an adversarial example
68    return final_acc, adv_examples_list
```

Restricted



Restricted

Generate an attack sample, using our ENM attack function.



# Testing Function

```
1 def test(model, device, test_loader, epsilon):
2
3     # Counter for correct values (used for accuracy)
4     correct_counter = 0
5
6     # List of successful adversarial samples
7     adv_examples_list = []
8
9     # Loop over all examples in test set
10    for image, label in test_loader:
11
12        # Send the data and label to the device
13        image, label = image.to(device), label.to(device)
14
15        # Pass the image through the model
16        output = model(image)
17        # Get the index of the max log-probability
18        init_pred = output.max(1, keepdim = True)[1]
19
20        # If the initial prediction is wrong, do not
21        # bother attacking, skip current image
22        if init_pred.item() != label.item():
23            continue
24
25        # Calculate the loss
26        loss = F.nll_loss(output, label)
27
28        # Zero all existing gradients
29        model.zero_grad()
30
31        # Backpropagate
32        loss.backward()
```

```
34    # Call ENM Attack
35    eps_image = enm_attack(image, epsilon)
36
37    # Re-classify the epsilon image
38    output2 = model(eps_image)
39    # Get the index of the max log-probability
40    eps_pred = output2.max(1, keepdim = True)[1]
41
42    # Check for successful attack
43    # (Successful meaning eps_pred label different from init_pred)
44    if eps_pred.item() == label.item():
45        correct_counter += 1
46        # Special case for saving 0 epsilon examples
47        # (Maximal number of saved samples is set to 5)
48        if (epsilon == 0) and (len(adv_examples_list) < 5):
49            adv_ex = eps_image.squeeze().detach().cpu().numpy()
50            adv_examples_list.append((init_pred.item(), eps_pred.item(), adv_ex))
51        else:
52            # Save some adv examples for visualization later
53            # (Maximal number of saved samples is set to 5)
54            if len(adv_examples_list) < 5:
55                adv_ex = eps_image.squeeze().detach().cpu().numpy()
56                adv_examples_list.append((init_pred.item(), eps_pred.item(), adv_ex))
57
58    # Calculate final accuracy for this epsilon value
59    final_acc = correct_counter/float(len(test_loader))
60
61    # Display for progress
62    print("Epsilon: {} - Test Accuracy = {}/{}/{} = {}".format(epsilon, \
63                                                                correct_counter, \
64                                                                len(test_loader), \
65                                                                final_acc))
66
67    # Return the accuracy and an adversarial example
68    return final_acc, adv_examples_list
```

Restricted



Restricted

Try attack sample on our model.



# Testing Function

```
1 def test(model, device, test_loader, epsilon):
2
3     # Counter for correct values (used for accuracy)
4     correct_counter = 0
5
6     # List of successful adversarial samples
7     adv_examples_list = []
8
9     # Loop over all examples in test set
10    for image, label in test_loader:
11
12        # Send the data and label to the device
13        image, label = image.to(device), label.to(device)
14
15        # Pass the image through the model
16        output = model(image)
17        # Get the index of the max log-probability
18        init_pred = output.max(1, keepdim = True)[1]
19
20        # If the initial prediction is wrong, do not
21        # bother attacking, skip current image
22        if init_pred.item() != label.item():
23            continue
24
25        # Calculate the loss
26        loss = F.nll_loss(output, label)
27
28        # Zero all existing gradients
29        model.zero_grad()
30
31        # Backpropagate
32        loss.backward()
```

```
34    # Call ENM Attack
35    eps_image = enm_attack(image, epsilon)
36
37    # Re-classify the epsilon image
38    output2 = model(eps_image)
39    # Get the index of the max log-probability
40    eps_pred = output2.max(1, keepdim = True)[1]
41
42    # Check for successful attack
43    # (Successful meaning eps_pred label different from init_pred)
44    if eps_pred.item() == label.item():
45        correct_counter += 1
46        # Special case for saving 0 epsilon examples
47        # (Maximal number of saved samples is set to 5)
48        if (epsilon == 0) and (len(adv_examples_list) < 5):
49            adv_ex = eps_image.squeeze().detach().cpu().numpy()
50            adv_examples_list.append((init_pred.item(), eps_pred.item(), adv_ex))
51        else:
52            # Save some adv examples for visualization later
53            # (Maximal number of saved samples is set to 5)
54            if len(adv_examples_list) < 5:
55                adv_ex = eps_image.squeeze().detach().cpu().numpy()
56                adv_examples_list.append((init_pred.item(), eps_pred.item(), adv_ex))
57
58    # Calculate final accuracy for this epsilon value
59    final_acc = correct_counter/float(len(test_loader))
60
61    # Display for progress
62    print("Epsilon: {} - Test Accuracy = {}/{}/{} = {}".format(epsilon, \
63                                                                correct_counter, \
64                                                                len(test_loader), \
65                                                                final_acc))
66
67    # Return the accuracy and an adversarial example
68    return final_acc, adv_examples_list
```

Restricted

# Testing Function

Restricted  
If attack sample is correctly classified, the attack is a failure.  
Increase correct score by one.

```
1 def test(model, device, test_loader, epsilon):
2
3     # Counter for correct values (used for accuracy)
4     correct_counter = 0
5
6     # List of successful adversarial samples
7     adv_examples_list = []
8
9     # Loop over all examples in test set
10    for image, label in test_loader:
11
12        # Send the data and label to the device
13        image, label = image.to(device), label.to(device)
14
15        # Pass the image through the model
16        output = model(image)
17        # Get the index of the max log-probability
18        init_pred = output.max(1, keepdim = True)[1]
19
20        # If the initial prediction is wrong, do not
21        # bother attacking, skip current image
22        if init_pred.item() != label.item():
23            continue
24
25        # Calculate the loss
26        loss = F.nll_loss(output, label)
27
28        # Zero all existing gradients
29        model.zero_grad()
30
31        # Backpropagate
32        loss.backward()
33
34        # Call ENM Attack
35        eps_image = enm_attack(image, epsilon)
36
37        # Re-classify the epsilon image
38        output2 = model(eps_image)
39        # Get the index of the max log-probability
40        eps_pred = output2.max(1, keepdim = True)[1]
41
42        # Check for successful attack
43        # (Successful meaning eps_pred label different from init_pred)
44        if eps_pred.item() == label.item():
45            correct_counter += 1
46            # Special case for saving 0 epsilon examples
47            # (Maximal number of saved samples is set to 5)
48            if (epsilon == 0) and (len(adv_examples_list) < 5):
49                adv_ex = eps_image.squeeze().detach().cpu().numpy()
50                adv_examples_list.append((init_pred.item(), eps_pred.item(), adv_ex))
51        else:
52            # Save some adv examples for visualization later
53            # (Maximal number of saved samples is set to 5)
54            if len(adv_examples_list) < 5:
55                adv_ex = eps_image.squeeze().detach().cpu().numpy()
56                adv_examples_list.append((init_pred.item(), eps_pred.item(), adv_ex))
57
58        # Calculate final accuracy for this epsilon value
59        final_acc = correct_counter/float(len(test_loader))
60
61        # Display for progress
62        print("Epsilon: {} - Test Accuracy = {}/{}/{} = {}".format(epsilon, \
63                                                                    correct_counter, \
64                                                                    len(test_loader), \
65                                                                    final_acc))
66
67        # Return the accuracy and an adversarial example
68        return final_acc, adv_examples_list
```

# Testing Function

Add sample to adversarial samples list if epsilon = 0 and list not full  
(attacking with epsilon = 0 will always fail as it will not modify the image).  
This gives the baseline accuracy of the model before attacks.

```

1 def test(model, device, test_loader, epsilon):
2
3     # Counter for correct values (used for accuracy)
4     correct_counter = 0
5
6     # List of successful adversarial samples
7     adv_examples_list = []
8
9     # Loop over all examples in test set
10    for image, label in test_loader:
11
12        # Send the data and label to the device
13        image, label = image.to(device), label.to(device)
14
15        # Pass the image through the model
16        output = model(image)
17        # Get the index of the max log-probability
18        init_pred = output.max(1, keepdim = True)[1]
19
20        # If the initial prediction is wrong, do not
21        # bother attacking, skip current image
22        if init_pred.item() != label.item():
23            continue
24
25        # Calculate the loss
26        loss = F.nll_loss(output, label)
27
28        # Zero all existing gradients
29        model.zero_grad()
30
31        # Backpropagate
32        loss.backward()
33
34        # Call ENM Attack
35        eps_image = enm_attack(image, epsilon)
36
37        # Re-classify the epsilon image
38        output2 = model(eps_image)
39        # Get the index of the max log-probability
40        eps_pred = output2.max(1, keepdim = True)[1]
41
42        # Check for successful attack
43        # (Successful meaning eps_pred label different from init_pred)
44        if eps_pred.item() == label.item():
45            correct_counter += 1
46            # Special case for saving 0 epsilon examples
47            # (Maximal number of saved samples is set to 5)
48            if (epsilon == 0) and (len(adv_examples_list) < 5):
49                adv_ex = eps_image.squeeze().detach().cpu().numpy()
50                adv_examples_list.append((init_pred.item(), eps_pred.item(), adv_ex))
51            else:
52                # Save some adv examples for visualization later
53                # (Maximal number of saved samples is set to 5)
54                if len(adv_examples_list) < 5:
55                    adv_ex = eps_image.squeeze().detach().cpu().numpy()
56                    adv_examples_list.append((init_pred.item(), eps_pred.item(), adv_ex))
57
58        # Calculate final accuracy for this epsilon value
59        final_acc = correct_counter/float(len(test_loader))
60
61        # Display for progress
62        print("Epsilon: {} - Test Accuracy = {}/{}/{} = {}".format(epsilon, \
63                                                                    correct_counter, \
64                                                                    len(test_loader), \
65                                                                    final_acc))
66
67        # Return the accuracy and an adversarial example
68        return final_acc, adv_examples_list

```

# Testing Function

Restricted

If attack sample makes the model misclassify, it is a successful attack.  
Do not increase correct\_counter, and store sample in adversarial samples list if not already full.

```
1 def test(model, device, test_loader, epsilon):
2
3     # Counter for correct values (used for accuracy)
4     correct_counter = 0
5
6     # List of successful adversarial samples
7     adv_examples_list = []
8
9     # Loop over all examples in test set
10    for image, label in test_loader:
11
12        # Send the data and label to the device
13        image, label = image.to(device), label.to(device)
14
15        # Pass the image through the model
16        output = model(image)
17        # Get the index of the max log-probability
18        init_pred = output.max(1, keepdim = True)[1]
19
20        # If the initial prediction is wrong, do not
21        # bother attacking, skip current image
22        if init_pred.item() != label.item():
23            continue
24
25        # Calculate the loss
26        loss = F.nll_loss(output, label)
27
28        # Zero all existing gradients
29        model.zero_grad()
30
31        # Backpropagate
32        loss.backward()
```

```
34    # Call ENM Attack
35    eps_image = emn_attack(image, epsilon)
36
37    # Re-classify the epsilon image
38    output2 = model(eps_image)
39    # Get the index of the max log-probability
40    eps_pred = output2.max(1, keepdim = True)[1]
41
42    # Check for successful attack
43    # (Successful meaning eps_pred label different from init_pred)
44    if eps_pred.item() == label.item():
45        correct_counter += 1
46        # Special case for saving 0 epsilon examples
47        # (Maximal number of saved samples is set to 5)
48        if (epsilon == 0) and (len(adv_examples_list) < 5):
49            adv_ex = eps_image.squeeze().detach().cpu().numpy()
50            adv_examples_list.append((init_pred.item(), eps_pred.item(), adv_ex))
51        else:
52            # Save some adv examples for visualization later
53            # (Maximal number of saved samples is set to 5)
54            if len(adv_examples_list) < 5:
55                adv_ex = eps_image.squeeze().detach().cpu().numpy()
56                adv_examples_list.append((init_pred.item(), eps_pred.item(), adv_ex))
57
58    # Calculate final accuracy for this epsilon value
59    final_acc = correct_counter/float(len(test_loader))
60
61    # Display for progress
62    print("Epsilon: {} - Test Accuracy = {}/{}/{} = {}".format(epsilon, \
63                                                                correct_counter, \
64                                                                len(test_loader), \
65                                                                final_acc))
66
67    # Return the accuracy and an adversarial example
68    return final_acc, adv_examples_list
```

Restricted



# Testing Function

Restricted  
After the for loop, compute accuracy of model after attack.

```
1 def test(model, device, test_loader, epsilon):
2
3     # Counter for correct values (used for accuracy)
4     correct_counter = 0
5
6     # List of successful adversarial samples
7     adv_examples_list = []
8
9     # Loop over all examples in test set
10    for image, label in test_loader:
11
12        # Send the data and label to the device
13        image, label = image.to(device), label.to(device)
14
15        # Pass the image through the model
16        output = model(image)
17        # Get the index of the max log-probability
18        init_pred = output.max(1, keepdim = True)[1]
19
20        # If the initial prediction is wrong, do not
21        # bother attacking, skip current image
22        if init_pred.item() != label.item():
23            continue
24
25        # Calculate the loss
26        loss = F.nll_loss(output, label)
27
28        # Zero all existing gradients
29        model.zero_grad()
30
31        # Backpropagate
32        loss.backward()
33
34        # Call ENM Attack
35        eps_image = enm_attack(image, epsilon)
36
37        # Re-classify the epsilon image
38        output2 = model(eps_image)
39        # Get the index of the max log-probability
40        eps_pred = output2.max(1, keepdim = True)[1]
41
42        # Check for successful attack
43        # (Successful meaning eps_pred label different from init_pred)
44        if eps_pred.item() == label.item():
45            correct_counter += 1
46            # Special case for saving 0 epsilon examples
47            # (Maximal number of saved samples is set to 5)
48            if (epsilon == 0) and (len(adv_examples_list) < 5):
49                adv_ex = eps_image.squeeze().detach().cpu().numpy()
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51        else:
52            # Save some adv examples for visualization later
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54            if len(adv_examples_list) < 5:
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56                adv_examples_list.append((init_pred.item(), eps_pred.item(), adv_ex))
57
58        # Calculate final accuracy for this epsilon value
59        final_acc = correct_counter/float(len(test_loader))
60
61        # Display for progress
62        print("Epsilon: {} - Test Accuracy = {}/{} = {}".format(epsilon, \
63                                                                correct_counter, \
64                                                                len(test_loader), \
65                                                                final_acc))
66
67        # Return the accuracy and an adversarial example
68        return final_acc, adv_examples_list
```



# Testing Function

Restricted

Display accuracy for given epsilon value.

Return accuracy score and the list of five adversarial samples.

```
1 def test(model, device, test_loader, epsilon):
2
3     # Counter for correct values (used for accuracy)
4     correct_counter = 0
5
6     # List of successful adversarial samples
7     adv_examples_list = []
8
9     # Loop over all examples in test set
10    for image, label in test_loader:
11
12        # Send the data and label to the device
13        image, label = image.to(device), label.to(device)
14
15        # Pass the image through the model
16        output = model(image)
17        # Get the index of the max log-probability
18        init_pred = output.max(1, keepdim = True)[1]
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20        # If the initial prediction is wrong, do not
21        # bother attacking, skip current image
22        if init_pred.item() != label.item():
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24
25        # Calculate the loss
26        loss = F.nll_loss(output, label)
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40        eps_pred = output2.max(1, keepdim = True)[1]
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44        if eps_pred.item() == label.item():
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48            if (epsilon == 0) and (len(adv_examples_list) < 5):
49                adv_ex = eps_image.squeeze().detach().cpu().numpy()
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51        else:
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54            if len(adv_examples_list) < 5:
55                adv_ex = eps_image.squeeze().detach().cpu().numpy()
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58        # Calculate final accuracy for this epsilon value
59        final_acc = correct_counter/float(len(test_loader))
60
61        # Display for progress
62        print("Epsilon: {} - Test Accuracy = {}/{} = {}".format(epsilon, \
63                                                                correct_counter, \
64                                                                len(test_loader), \
65                                                                final_acc))
66
67        # Return the accuracy and an adversarial example
68        return final_acc, adv_examples_list
```

# Notebooks structure

Please refer to Notebook 1. Using Epsilon Noising Attack to Generate Attack Samples.

- All notebooks this week follow the same structure
  - Dataset and Dataloader
  - (Pre-trained) Model
  - Attack function
  - **Testing effect of attack on model**

```
1  epsilons = [0, .1, .2, .5, 1, 2, 5, 10]
2  accuracies = []
3  examples = []
4
5  # Run test() function for each epsilon
6  for eps in epsilons:
7      acc, ex = test(model, device, test_loader, eps)
8      accuracies.append(acc)
9      examples.append(ex)
```

```
Epsilon: 0 - Test Accuracy = 9810/10000 = 0.981
Epsilon: 0.1 - Test Accuracy = 9792/10000 = 0.9792
Epsilon: 0.2 - Test Accuracy = 9775/10000 = 0.9775
Epsilon: 0.5 - Test Accuracy = 9578/10000 = 0.9578
Epsilon: 1 - Test Accuracy = 6367/10000 = 0.6367
Epsilon: 2 - Test Accuracy = 2203/10000 = 0.2203
Epsilon: 5 - Test Accuracy = 1162/10000 = 0.1162
Epsilon: 10 - Test Accuracy = 1074/10000 = 0.1074
```

# Notebooks structure

Please refer to Notebook 1. Using Epsilon Noising Attack to Generate Attack Samples.

- All notebooks this week follow the same structure
  - Dataset and Dataloader
  - (Pre-trained) Model
  - Attack function
  - Testing effect of attack on model
  - **Accuracy drop and attack samples visualization**

Display a simple plot of accuracy vs. epsilon value for our given attack and model.

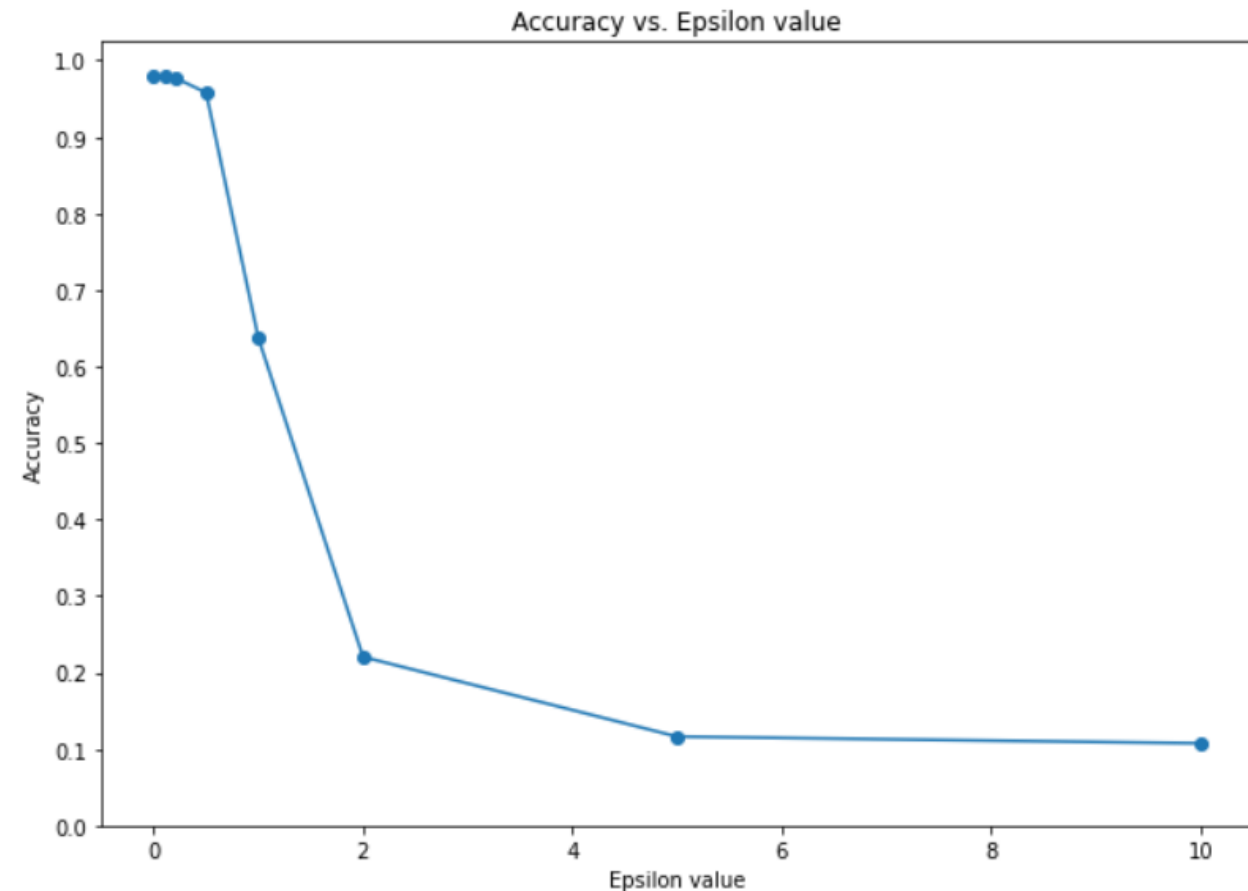
```
1  # Initialize figure
2  plt.figure(figsize = (7, 10))
3
4  # Display accuracy vs. Epsilon values plot
5  plt.plot(epsilons, accuracies, "o-")
6
7  # Adjust x-axis and y-axis labels and ticks
8  plt.yticks(np.arange(0, 1.1, step = 0.1))
9  #plt.xticks(np.arange(0, .35, step = 0.05))
10 plt.title("Accuracy vs. Epsilon value")
11 plt.xlabel("Epsilon value")
12 plt.ylabel("Accuracy")
13
14 # Display
15 plt.show()
```

# Notebooks structure

Please refer to Notebook 1. Using Epsilon Noising Attack to Generate Attack Samples.

- All notebooks this week follow the same structure
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# Notebooks structure

Please refer to Notebook 1. Using Epsilon Noising Attack to Generate Attack Samples.

- All notebooks this week follow the same structure
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  - (Pre-trained) Model
  - Attack function
  - Testing effect of attack on model
  - **Accuracy drop and attack samples visualization**

Display some adversarial samples for each value of epsilon.

```

1  # Plot several examples of adversarial samples at each epsilon
2  cnt = 0
3
4  # Initialize figure
5  plt.figure(figsize = (10, 10))
6
7  # Browse through epsilon values and adversarial examples
8  for i in range(len(epsilons)):
9      for j in range(len(examples[i])):
10         cnt += 1
11         plt.subplot(len(epsilons), len(examples[0]), cnt)
12
13         # Remove x-axis and y-axis ticks from plot
14         plt.xticks([], [])
15         plt.yticks([], [])
16
17         # Labels for y axis
18         if j == 0:
19             plt.ylabel("Eps: {}".format(epsilons[i]), fontsize = 14)
20
21         # Labels for each image subplot
22         orig, adv, ex = examples[i][j]
23         plt.title("{} -> {}".format(orig, adv))
24
25         # Display image
26         plt.imshow(ex, cmap = "gray")
27
28 # Display full plot
29 plt.tight_layout()
30 plt.show()

```

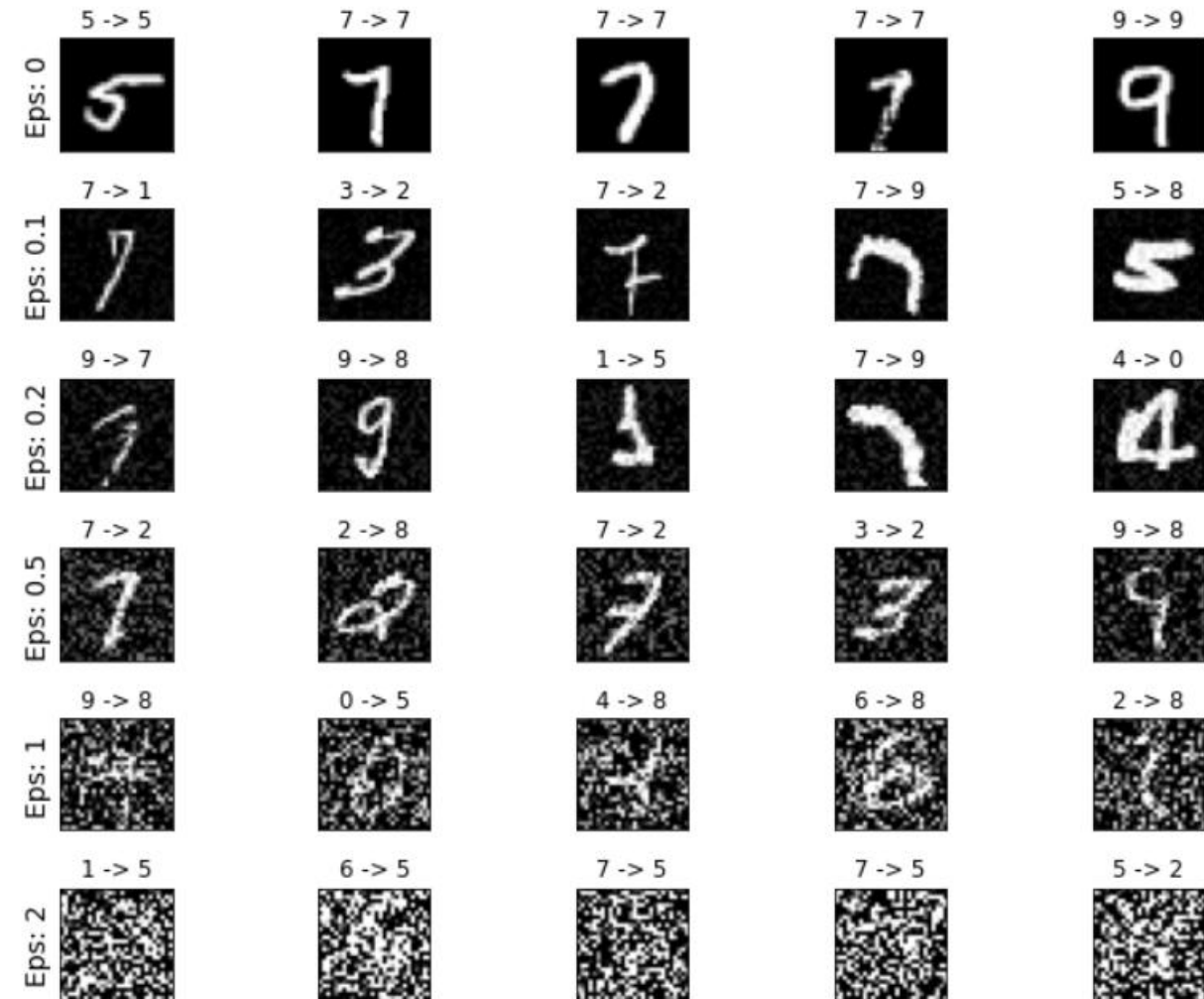


# Notebooks structure

Please refer to Notebook 1. Using Epsilon Noising Attack to Generate Attack Samples.

- All notebooks this week follow the same structure
  - Dataset and Dataloader
  - (Pre-trained) Model
  - Attack function
  - Testing effect of attack on model
  - **Accuracy drop and attack samples visualization**

Display some adversarial samples for each value of epsilon.



# Notebooks structure

Please refer to Notebook 1. Using Epsilon Noising Attack to Generate Attack Samples.

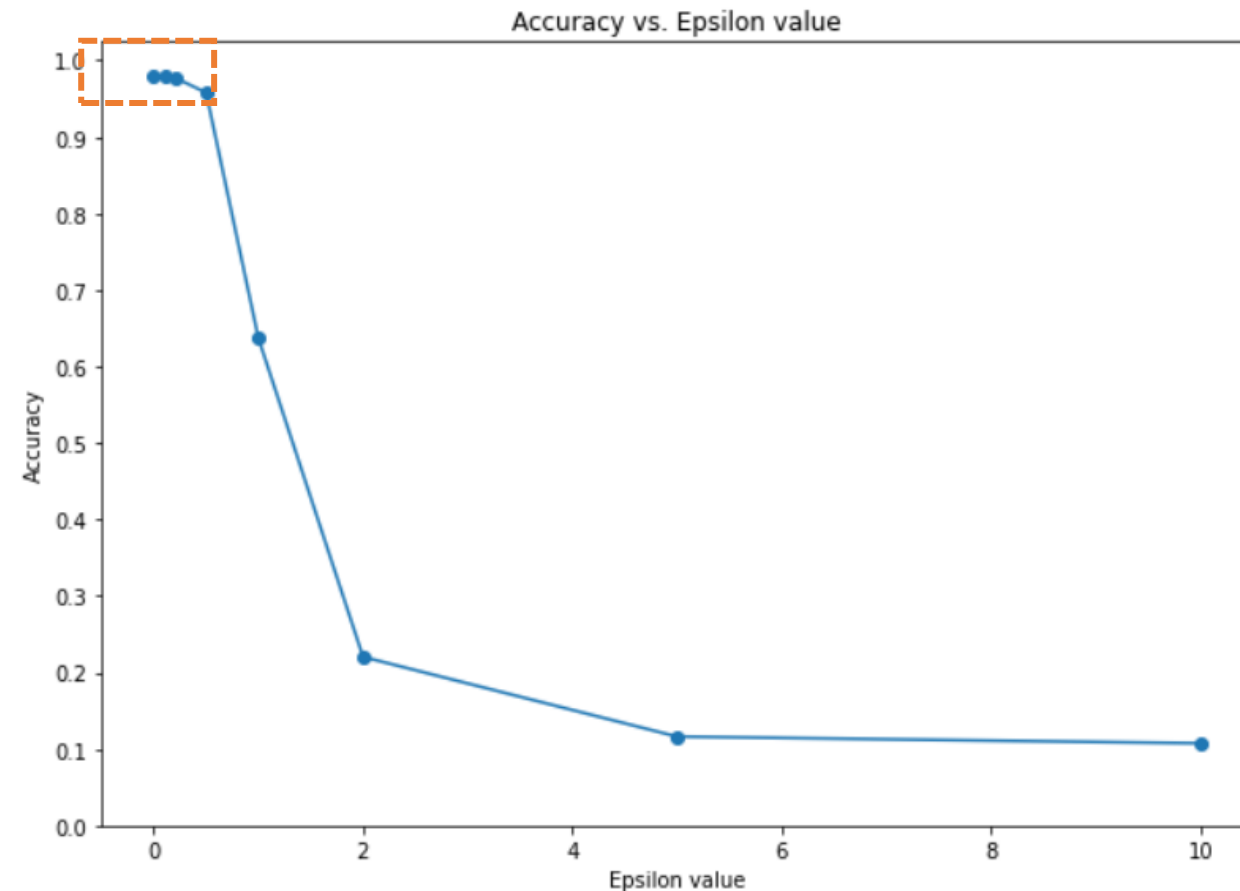
- All notebooks this week follow the same structure
  - Dataset and Dataloader
  - (Pre-trained) Model
  - Attack function
  - Testing effect of attack on model
  - Accuracy drop and attack samples visualization
  - ~~Defense against such an attack~~

SOMETHING  
FOR LATER...

# Effect of ENM on accuracy

Adding noise to an image tends to make the model malfunction.

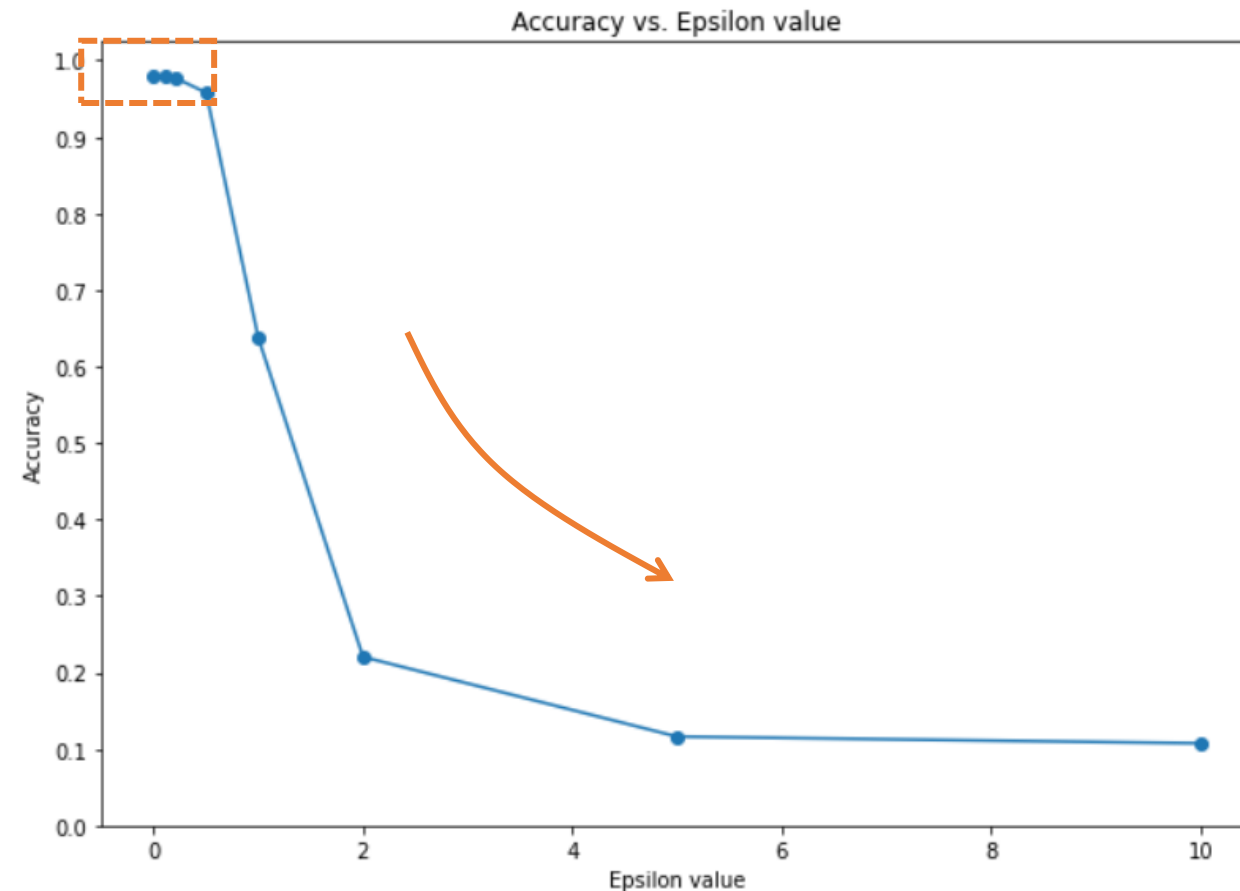
- When no noise (epsilon = 0), 98.1% accuracy.
  - This is our **baseline accuracy**.



# Effect of ENM on accuracy

Adding noise to an image tends to make the model malfunction.

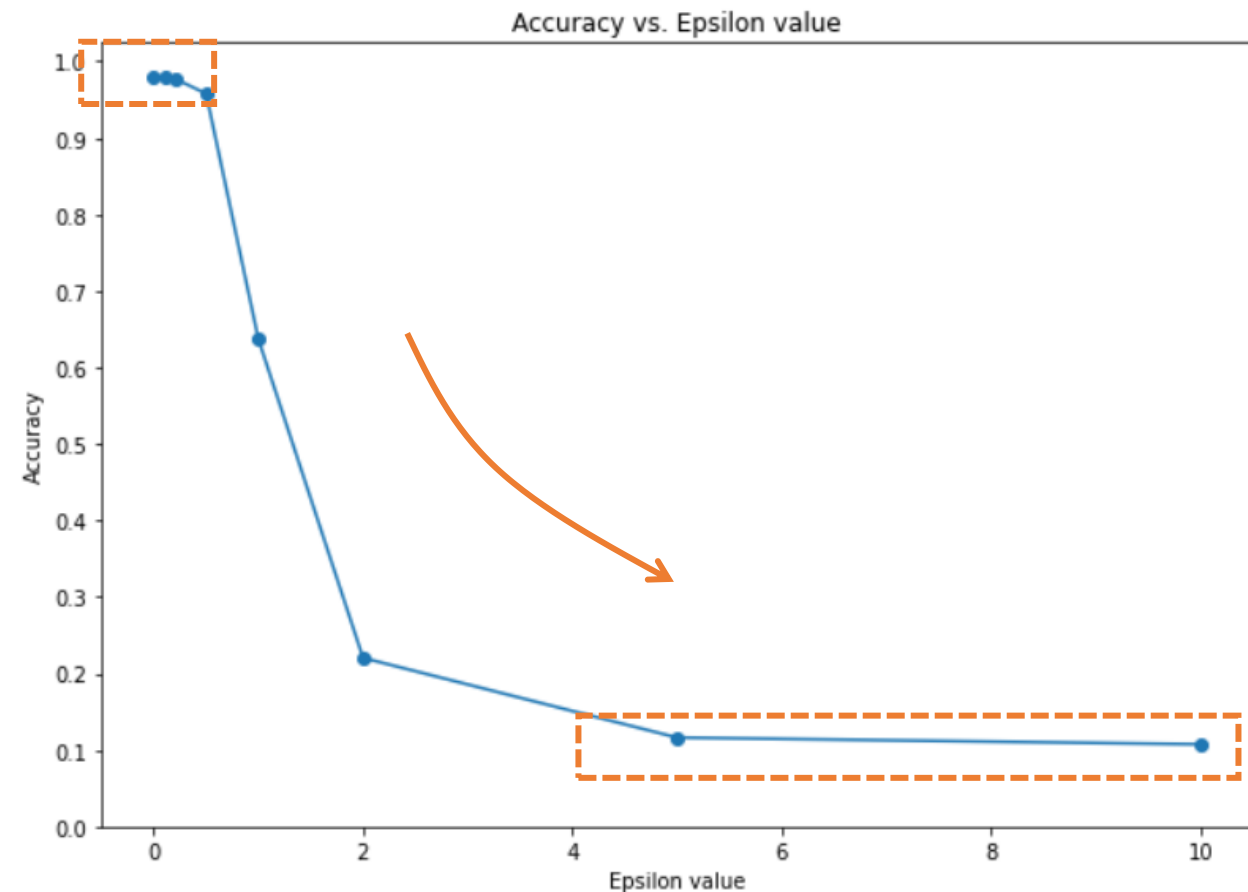
- When no noise (epsilon = 0), 98.1% accuracy.
  - This is our **baseline accuracy**.
- Accuracy decreases, the further we increment the noise amplitude epsilon.



# Effect of ENM on accuracy

Adding noise to an image tends to make the model malfunction.

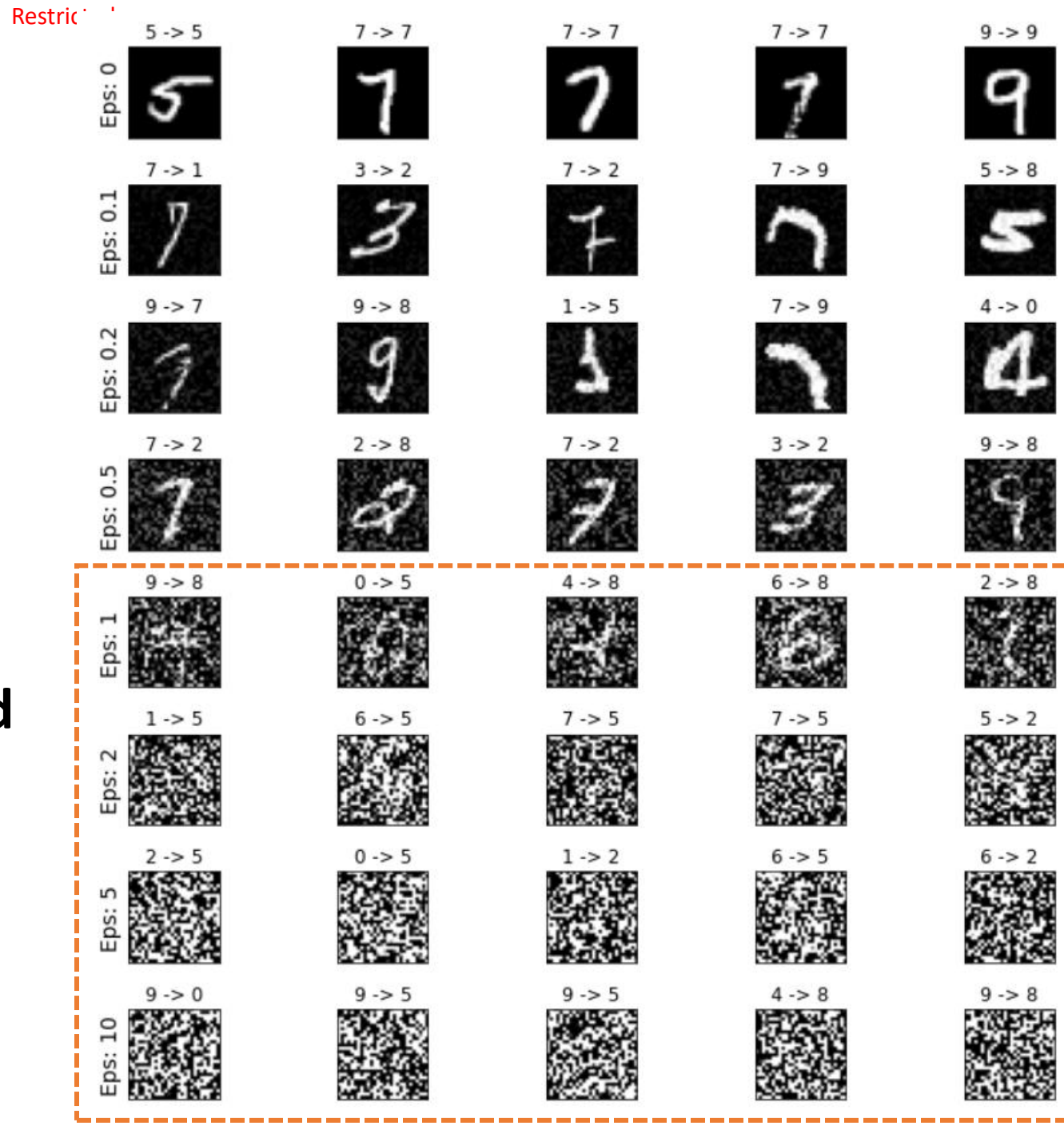
- When no noise (epsilon = 0), 98.1% accuracy.
  - This is our **baseline accuracy**.
- Accuracy decreases, the further we increment the noise amplitude epsilon.
- Eventually, with full noise (large epsilon), the image will be **randomly classified** (Accuracy  $\sim 10\%$ ).





# What makes a good attack sample?

- However, for large values of epsilon, the attack samples **simply become random noise**.
- This is mostly why the classifier ends up struggling.
- These are **NOT considered good attack samples!**
- (**Think:** humans would struggle to classify those as well!)

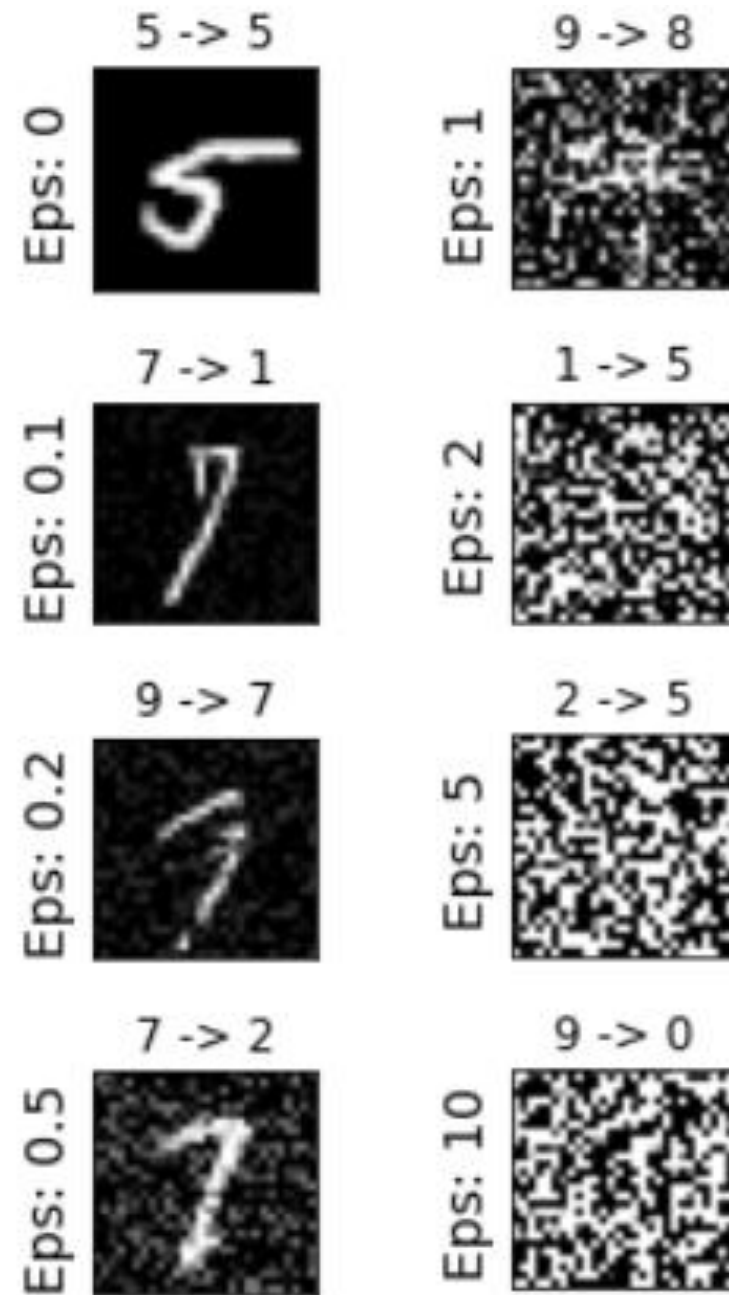


# What makes a good attack sample?

**Definition (what makes a “good” attack sample):**

A “good” attack sample satisfies two properties:

1. **Model failure:** it makes the model malfunction.
2. **Plausibility:** It looks “plausible” or “normal” to a human. Meaning that it looks like it could have been a sample from the dataset.

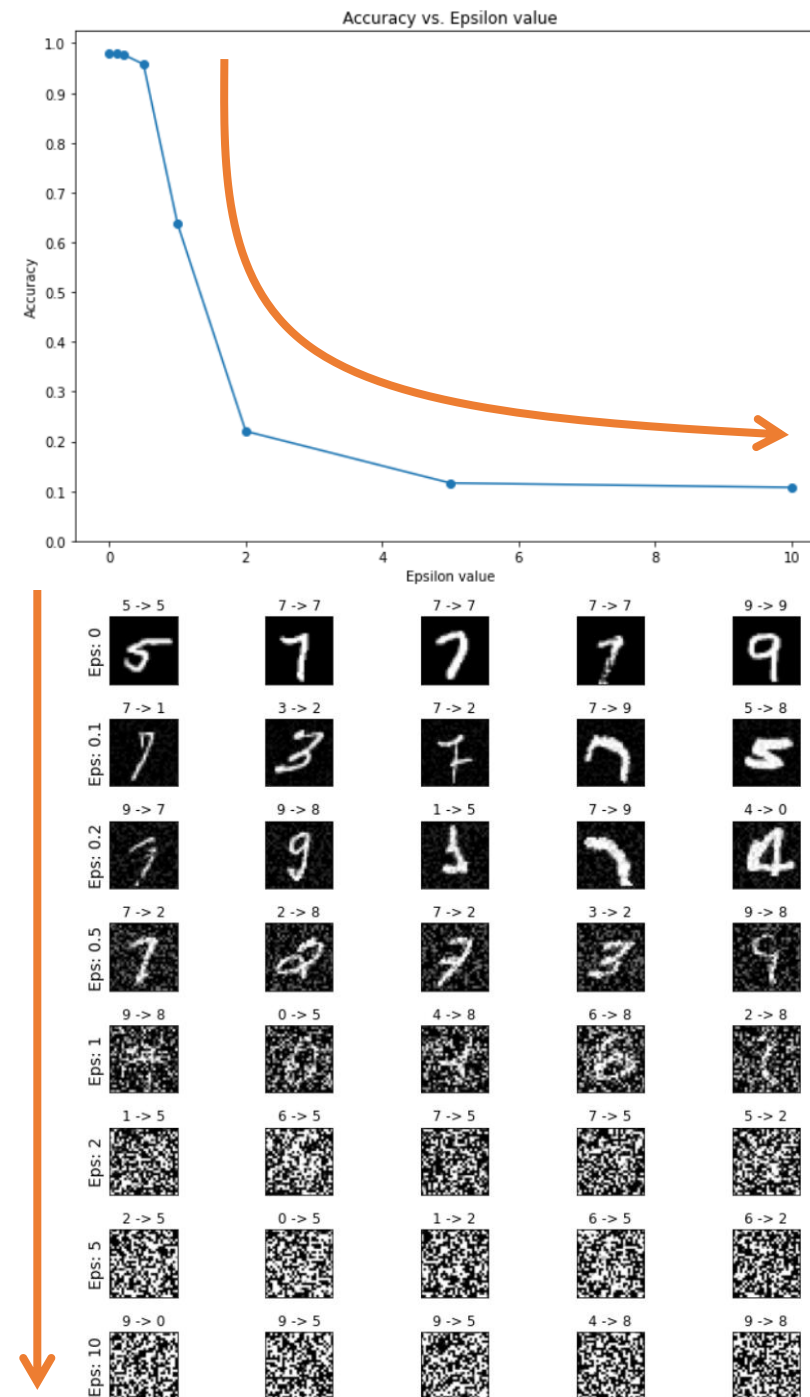
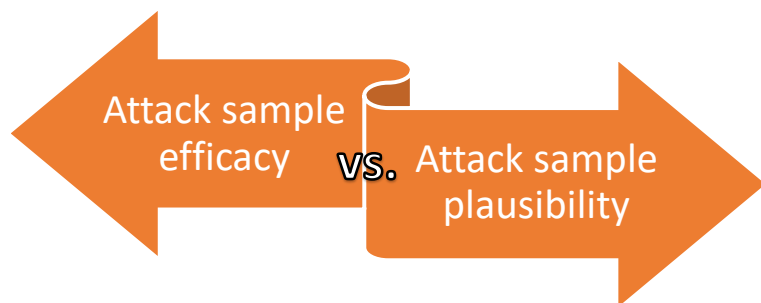


# Attack samples tradeoff

**Definition (attack samples tradeoff in adversarial ML):**

Attack samples are subject to a **tradeoff**. In general,

- the higher the odds of the attack sample to make the model malfunction,
- the less plausible it will look.



# Plausibility

In general, we would like to have the generated attack sample  $\tilde{x}$ , to be “**close enough**” to the original sample  $x$ .

- This is a simple way to ensure **plausibility** for the attack sample.

$$\begin{aligned} & \forall i, j \in Pixel\_Indexes_x \\ & \quad \tilde{x}_{i,j} = x_{i,j} + \omega_{i,j} \\ & \left\{ \begin{array}{ll} \omega_{i,j} \rightarrow U([- \epsilon, \epsilon]) & (Unif. Dist.) \\ \omega_{i,j} \rightarrow N([- \epsilon, \epsilon]) & (Normal Dist.) \end{array} \right. \end{aligned}$$

# Plausibility

In general, we would like to have the generated attack sample  $\tilde{x}$ , to be “**close enough**” to the original sample  $x$ .

- This is a simple way to ensure **plausibility** for the attack sample.
- In practice, we often enforce a **constraint** on a **distance metric** (or norm) between both the **original image**  $x$  and **attack sample**  $\tilde{x}$ .

$$\begin{aligned} & \forall i, j \in Pixel\_Indexes_x \\ & \tilde{x}_{i,j} = x_{i,j} + \omega_{i,j} \\ & \left\{ \begin{array}{ll} \omega_{i,j} \rightarrow U([- \epsilon, \epsilon]) & (Unif. Dist.) \\ \omega_{i,j} \rightarrow N([- \epsilon, \epsilon]) & (Normal Dist.) \end{array} \right. \end{aligned}$$

$$\|\tilde{x} - x\| \leq \alpha,$$

**with  $\alpha$  chosen arbitrarily**



# A reminder about norms

- **$L^0$  norm:** bounds the total number of pixels in  $\tilde{x}$  that can be modified with respect to  $x$  (though they can be modified by any amount).

$$\|\tilde{x} - x\|_0 = \text{card}(\{(i, j) \text{ s.t. } x_{i,j} \neq \tilde{x}_{i,j}\})$$

# A reminder about norms

- **$L^0$  norm:** bounds the total number of pixels in  $\tilde{x}$  that can be modified with respect to  $x$  (though they can be modified by any amount).
- **$L^1$  norm:** bounds the average absolute distance between the values of pixels in  $\tilde{x}$  and the corresponding pixels in  $x$ .

$$\|\tilde{x} - x\|_1 = \frac{1}{N} \sum_{i,j} |\tilde{x}_{i,j} - x_{i,j}|, \quad \text{with } N \text{ the number of pixels}$$

# A reminder about norms

- **$L^0$  norm:** bounds the total number of pixels in  $\tilde{x}$  that can be modified with respect to  $x$  (though they can be modified by any amount).
- **$L^1$  norm:** bounds the average absolute distance between the values of pixels in  $\tilde{x}$  and the corresponding pixels in  $x$ .
- **$L^2$  norm:** bounds the total squared distance between the values of pixels in  $\tilde{x}$  and the corresponding pixels in  $x$ . Most commonly referred to as Euclidean distance.

$$\|\tilde{x} - x\|_2 = \frac{1}{N} \sqrt{\sum_{i,j} (\tilde{x}_{i,j} - x_{i,j})^2}, \quad \text{with } N \text{ the number of pixels}$$

# A reminder about norms

- **$L^0$  norm:** bounds the total number of pixels in  $\tilde{x}$  that can be modified with respect to  $x$  (though they can be modified by any amount).
- **$L^1$  norm:** bounds the average absolute distance between the values of pixels in  $\tilde{x}$  and the corresponding pixels in  $x$ .
- **$L^2$  norm:** bounds the total squared distance between the values of pixels in  $\tilde{x}$  and the corresponding pixels in  $x$ . Often referred to as the Euclidean distance.
- **$L^\infty$  norm:** bounds the maximum difference between any pixel in  $\tilde{x}$  and the corresponding pixel in  $x$ . Often referred to as max norm.

$$\|\tilde{x} - x\|_\infty = \max_{i,j} (|\tilde{x}_{i,j} - x_{i,j}|)$$

# A reminder about norms

- **$L^0$  norm:** bounds the total number of pixels in  $\tilde{x}$  that can be modified with respect to  $x$  (though they can be modified by any amount).
- **$L^1$  norm:** bounds the average absolute distance between the values of pixels in  $\tilde{x}$  and the corresponding pixels in  $x$ .
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- **$L^\infty$  norm:** bounds the maximum difference between any pixel in  $\tilde{x}$  and the corresponding pixel in  $x$ . Often referred to as max norm.

$$\|\tilde{x} - x\|_\infty = \max_{i,j} (|\tilde{x}_{i,j} - x_{i,j}|)$$



# Impact of noise on classifiers

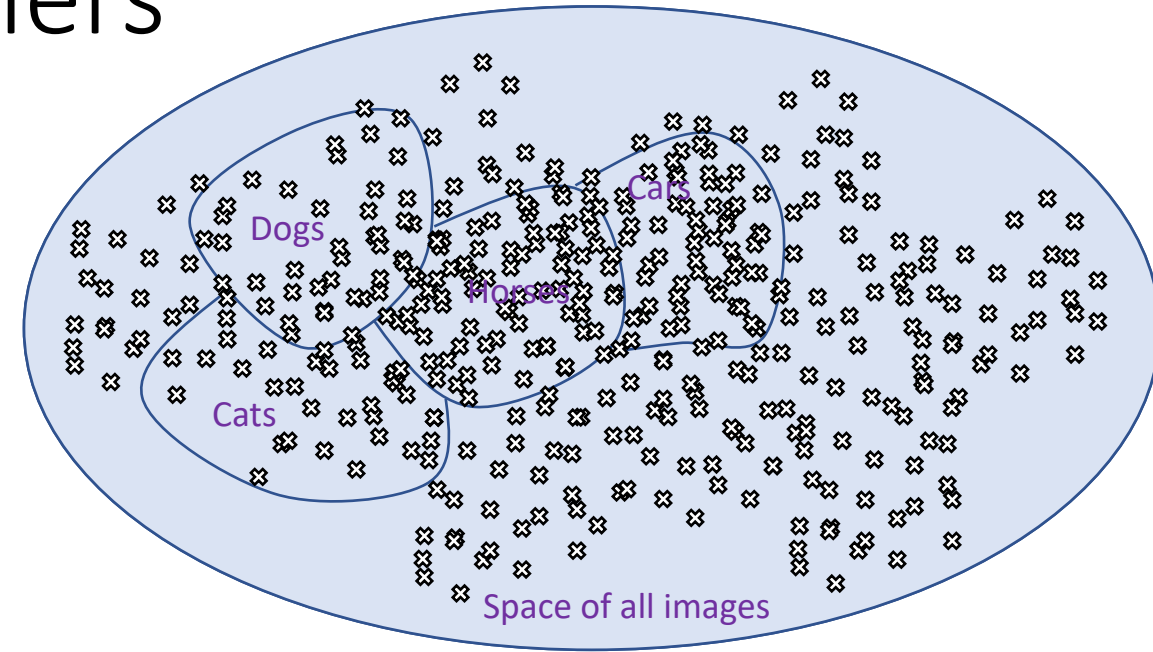
- **Expectation for Neural Networks:** Just like with human perception, small changes on inputs should not yield a different label!
- **Unfortunately, deep learning predictions are different:** Deep learning algorithms process data differently from humans, with strong discontinuities in the change of prediction as a function the inputs.
- **And that is the reason for their vulnerability to attacks.**

**Following: A tentative of explaining why that is the case (not the absolute truth, but my intuition as to why this happens!)**

# Impact of noise on classifiers

Consider the arbitrary representation, with regions and boundaries between them.

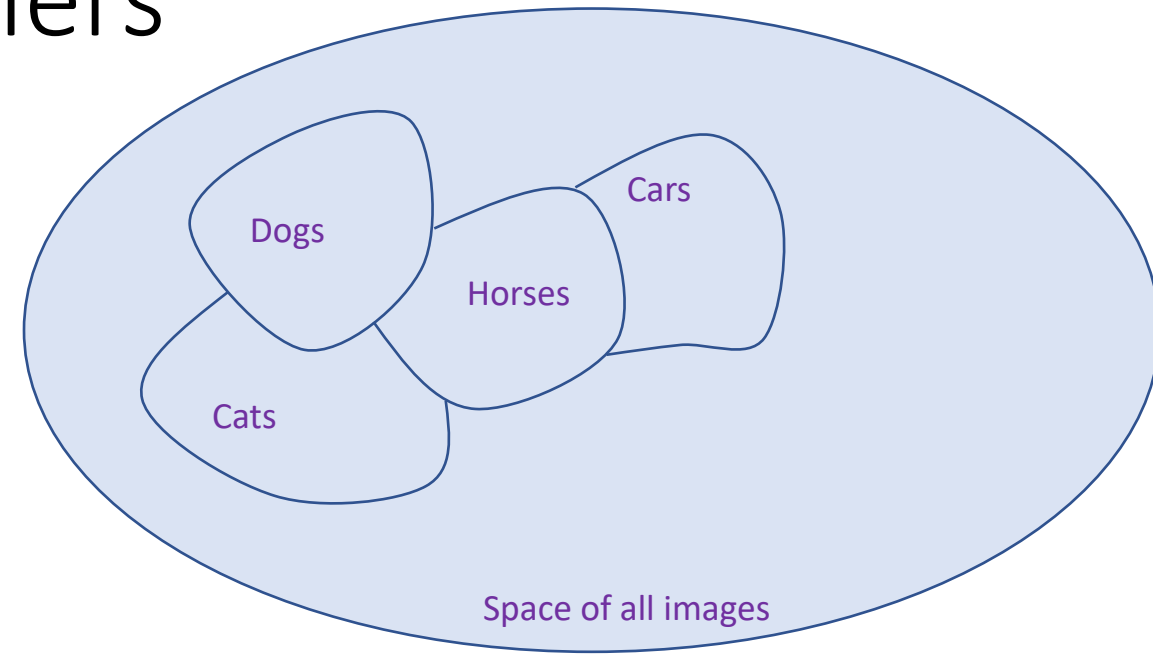
- **Misconception #1:** the whole space of possible inputs was densely filled with training examples during training.



# Impact of noise on classifiers

Consider the arbitrary representation, with regions and boundaries between them.

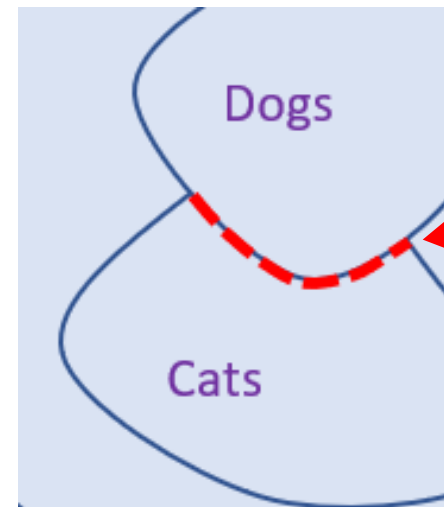
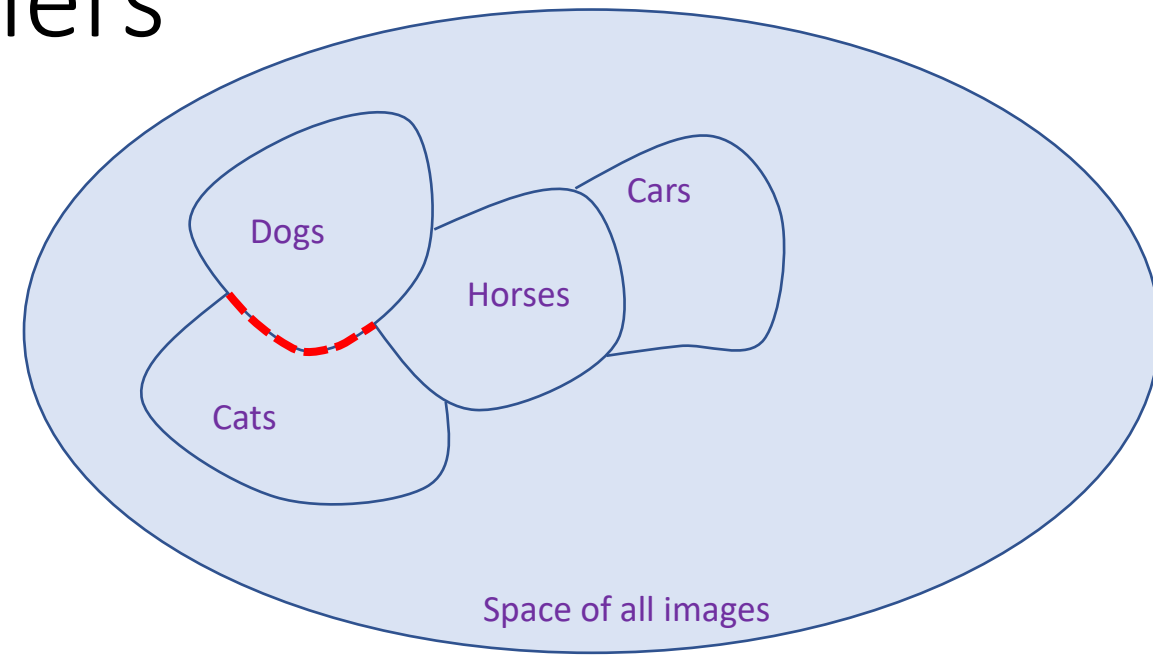
- **Misconception #1:** the whole space of possible inputs was densely filled with training examples during training.
- **Misconception #2:** regions are contiguous and filled with samples.



# Impact of noise on classifiers

Consider the arbitrary representation, with regions and boundaries between them.

- **Misconception #1:** the whole space of possible inputs was densely filled with training examples during training.
- **Misconception #2:** regions are contiguous and filled with samples.
- **Misconception #3:** the decision boundaries between classes are smooth and make perfect sense.

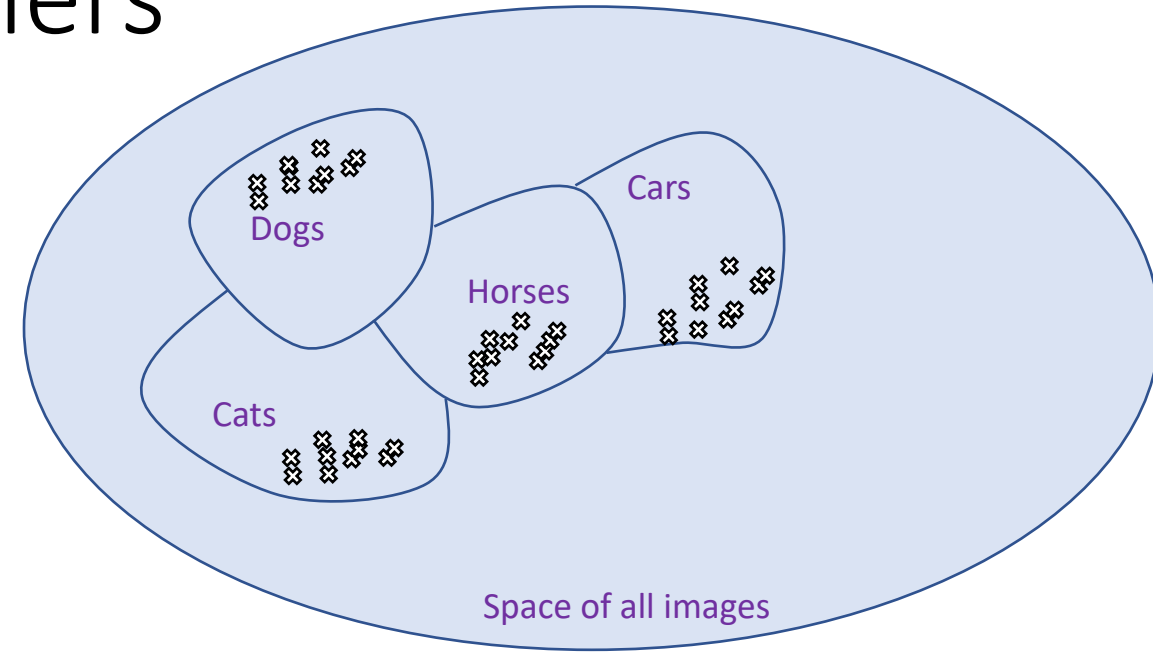


Has a clear logic, explaining the difference between dogs and cats?!  
NOPE.

# Impact of noise on classifiers

**Misconception #1:** the whole space of possible inputs was densely filled with training examples during training.

- **Correction #1:** the space is mostly noise images and sparsely filled with relevant training examples.

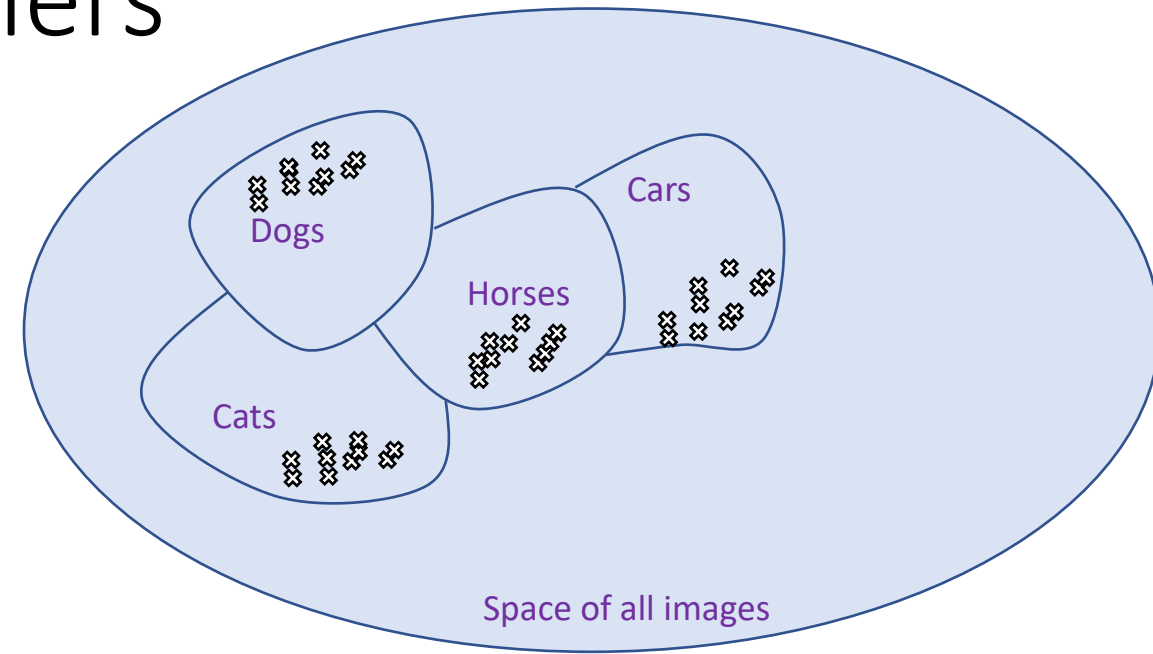




# Impact of noise on classifiers

**Misconception #1:** the whole space of possible inputs was densely filled with training examples during training.

- **Correction #1:** the space is mostly noise images and sparsely filled with relevant training examples.
- Also, the training samples do not cover for all possible relevant images.

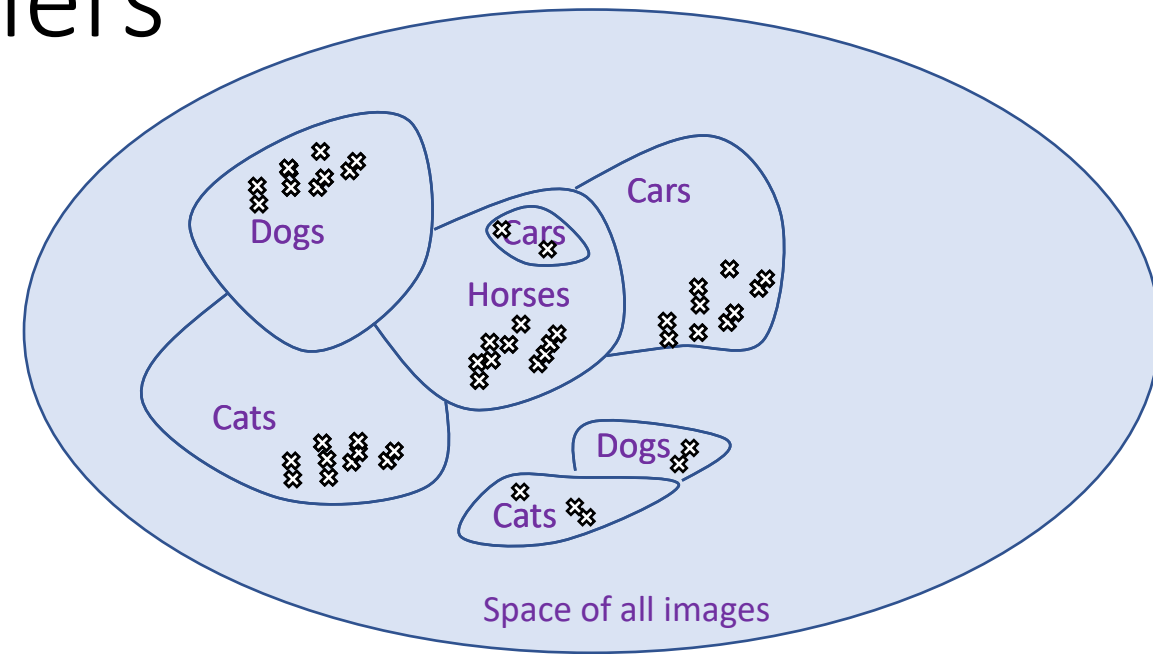


**E.g.** MNIST contains 28 by 28 pixels images, with pixel values in  $[0, 255]$ . The whole space is roughly  $(256)^{28 \times 28} \approx 10^{1888}$  images, and most of them are noise. The MNIST dataset contains 60000 images only.

# Impact of noise on classifiers

**Misconception #2:** regions are contiguous and filled with samples.

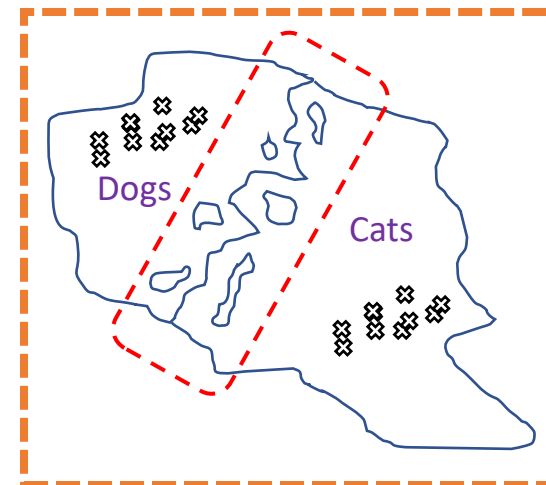
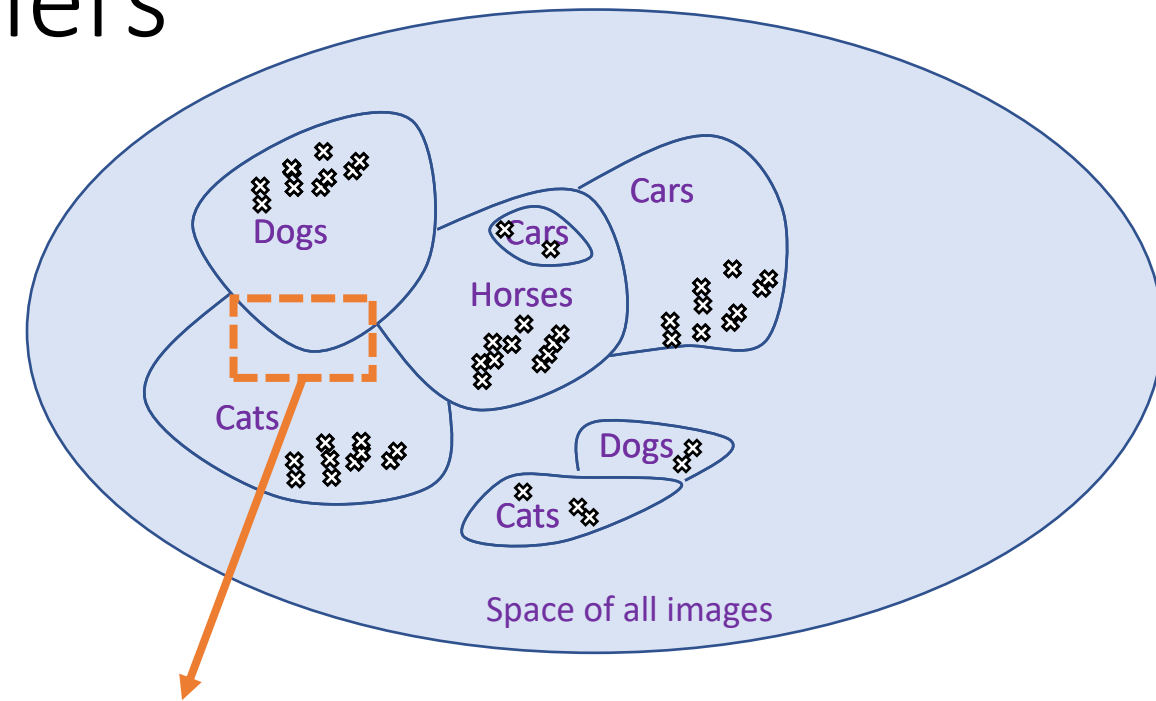
- **Correction #2:** regions will not necessarily be contiguous.



# Impact of noise on classifiers

**Misconception #2:** regions are contiguous and filled with samples.

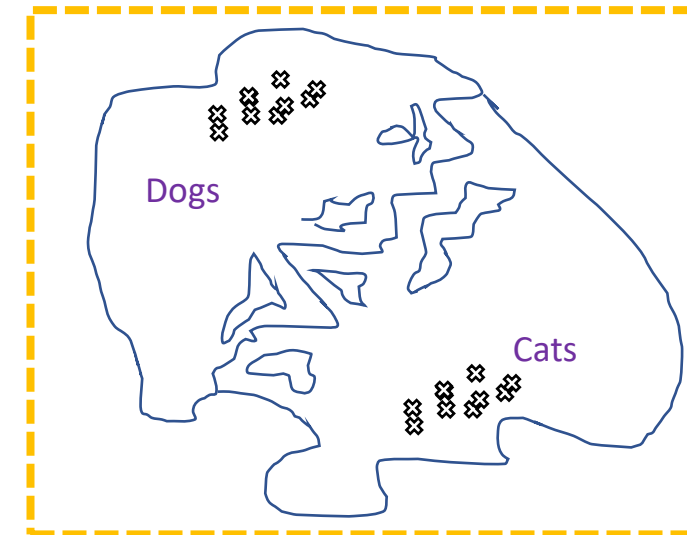
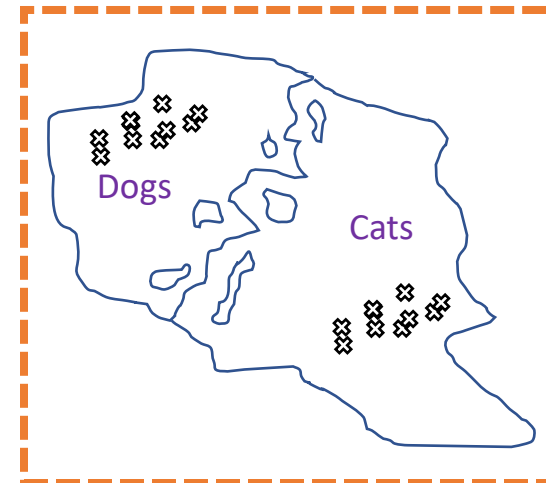
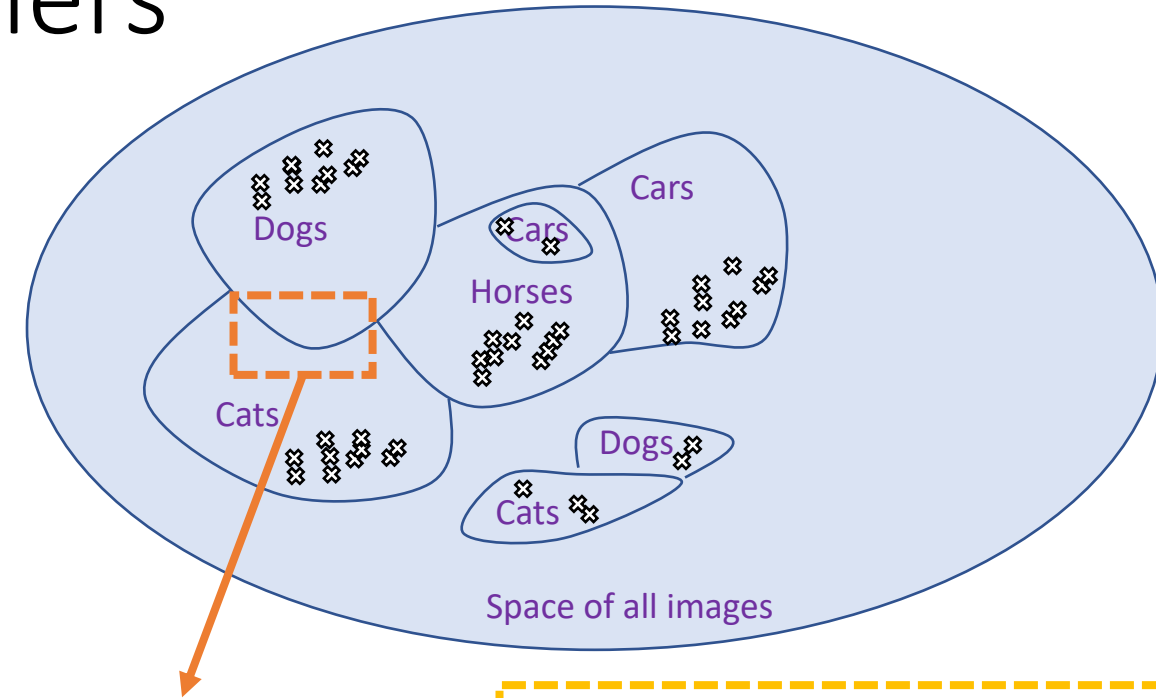
- **Correction #2:** regions will not necessarily be contiguous. Boundaries between classes might even be very **erratic**!



# Impact of noise on classifiers

**Misconception #3:** the decision boundaries between classes are smooth and make perfect sense.

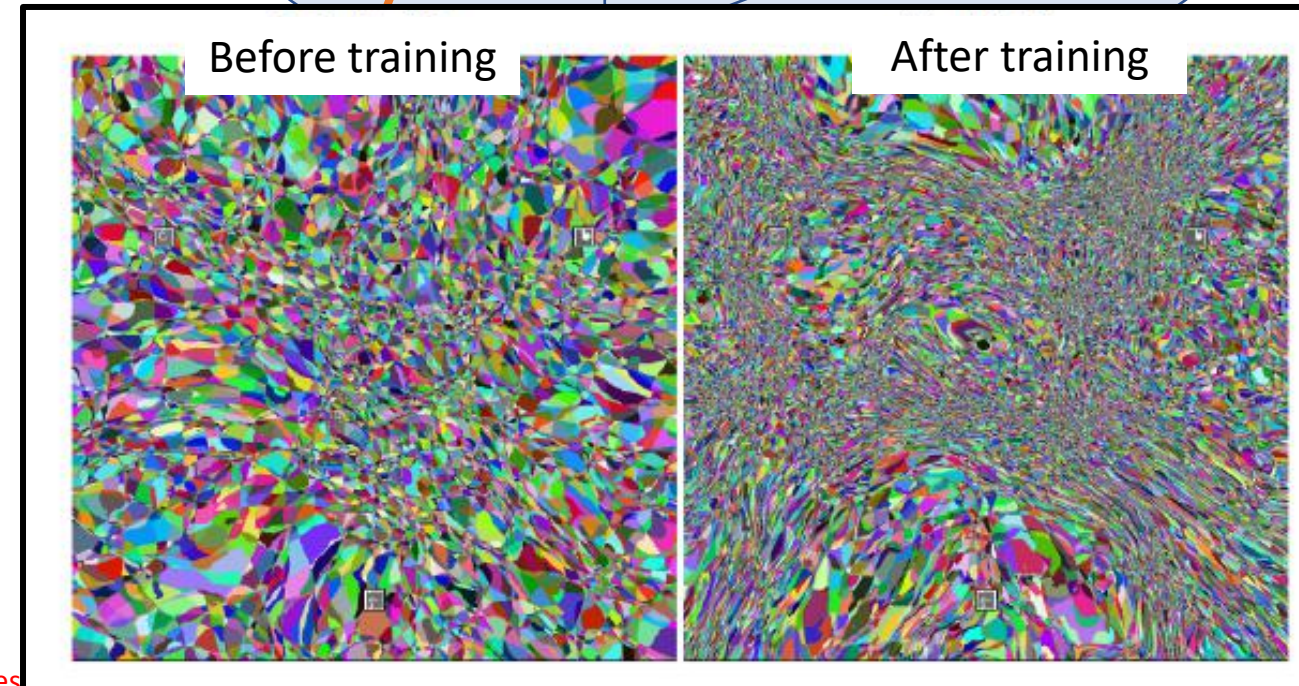
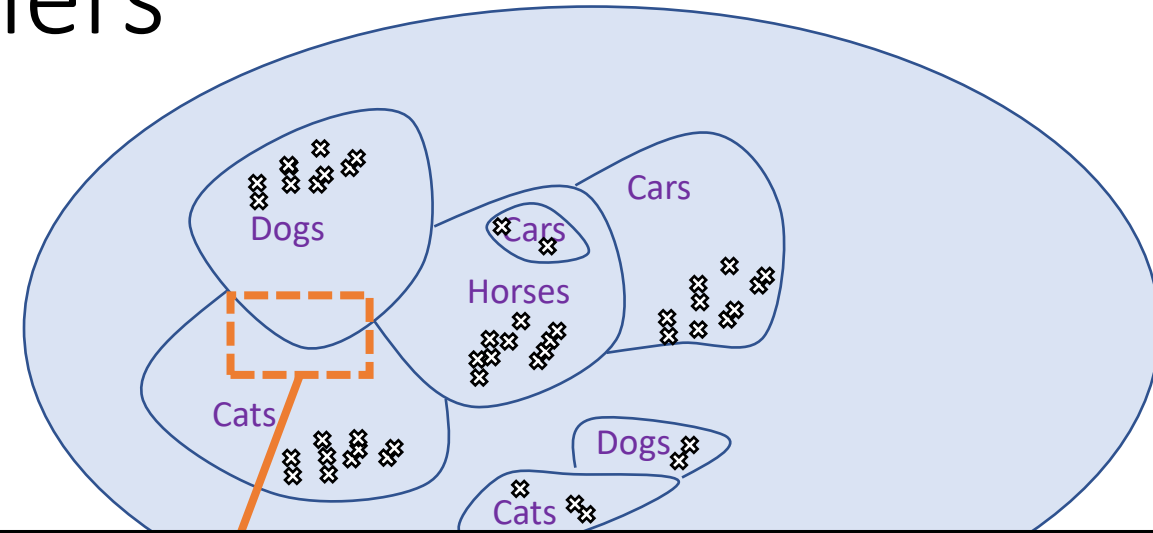
- **Correction #3:** In fact, the boundaries between samples are often “randomly” decided.
- On different epochs, the boundaries might change somewhat randomly (?!).



# Impact of noise on classifiers

**Misconception #3:** the decision boundaries between classes are smooth and make perfect sense.

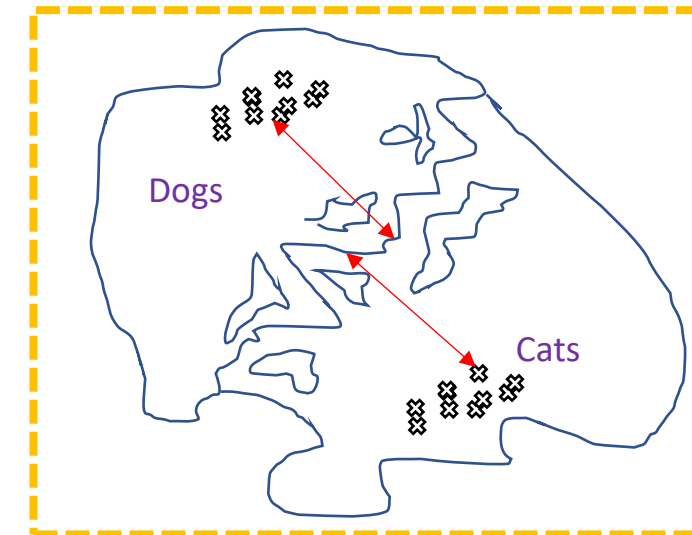
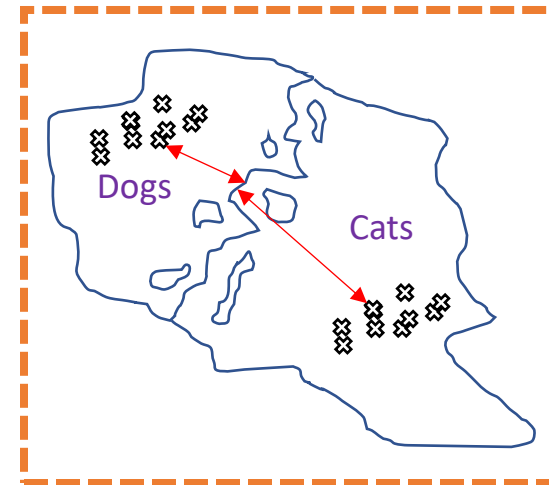
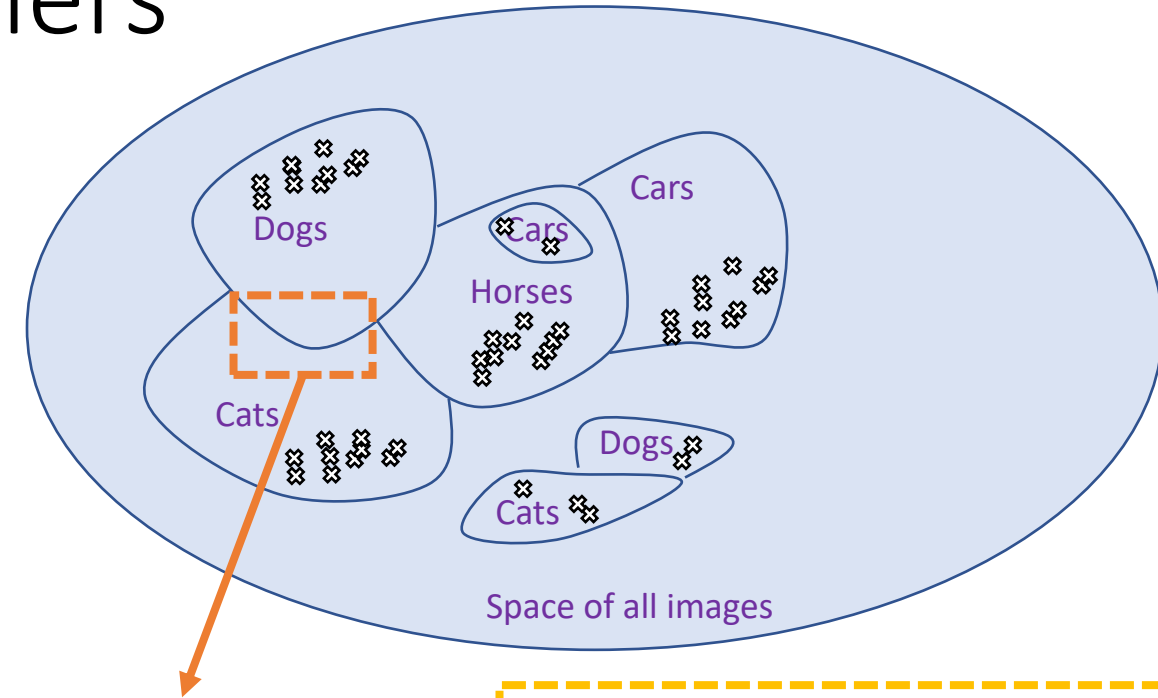
- **Correction #3:** In fact, the boundaries between samples are often “randomly” decided.
- On different epochs, the boundaries might change somewhat randomly (?!).



# Impact of noise on classifiers

**Misconception #3:** the decision boundaries between classes are smooth and make perfect sense.

- **Correction #3-bis:** Training samples are often condensed, far away from the boundaries.





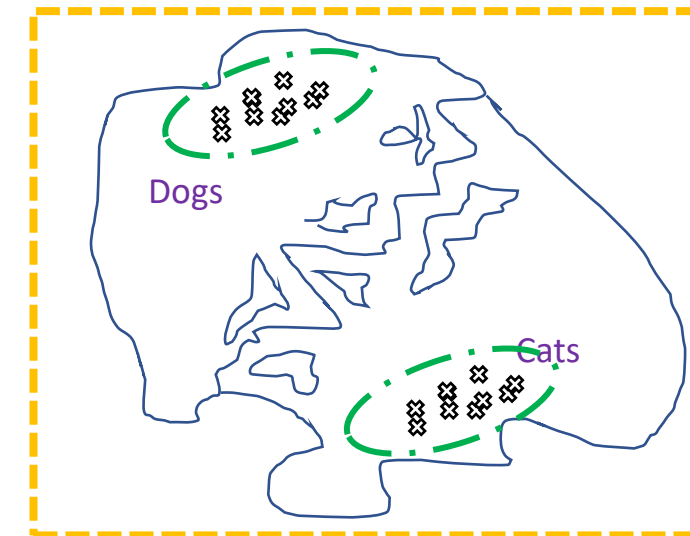
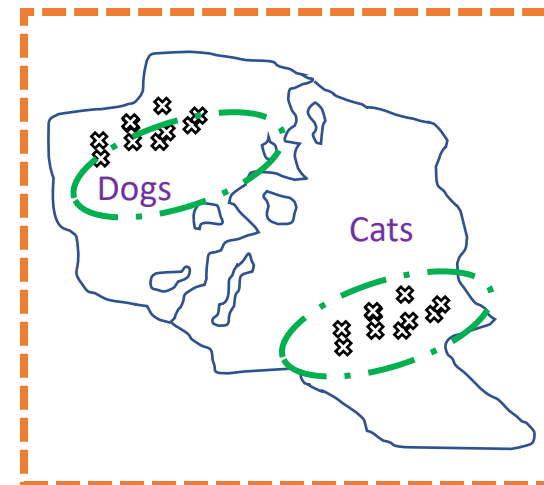
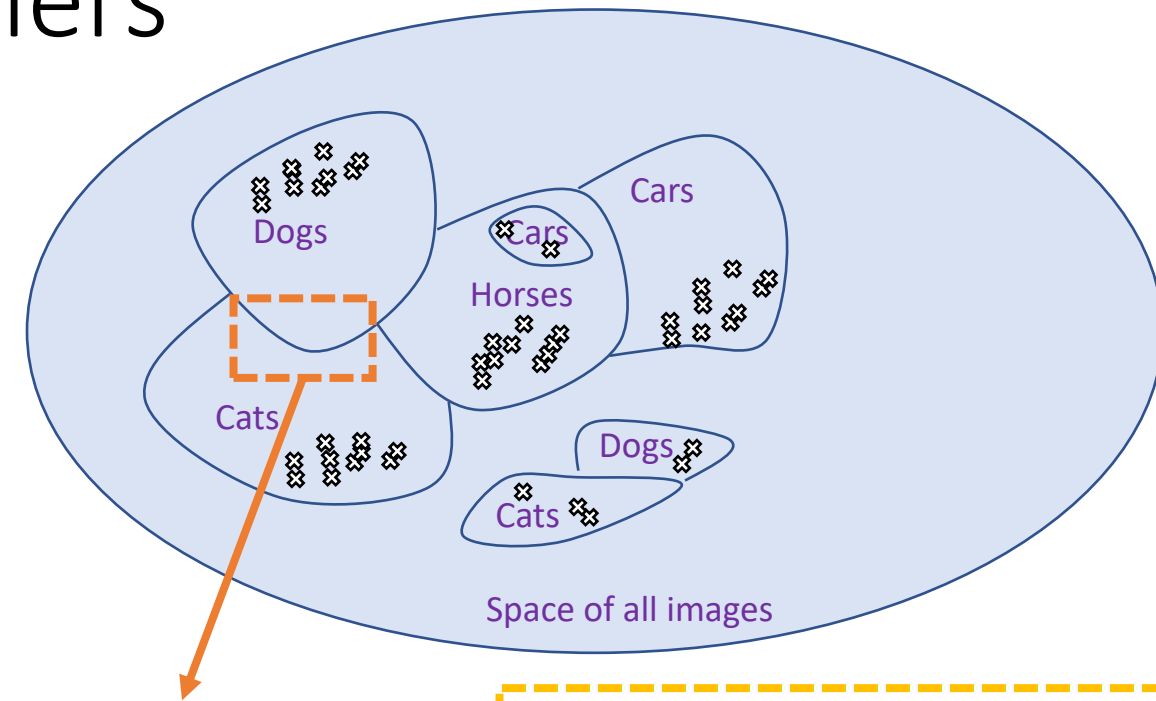
# Impact of noise on classifiers

**Correction #3-bis:** Training samples are often condensed, far away from the boundaries.

**Definition (manifolds):**

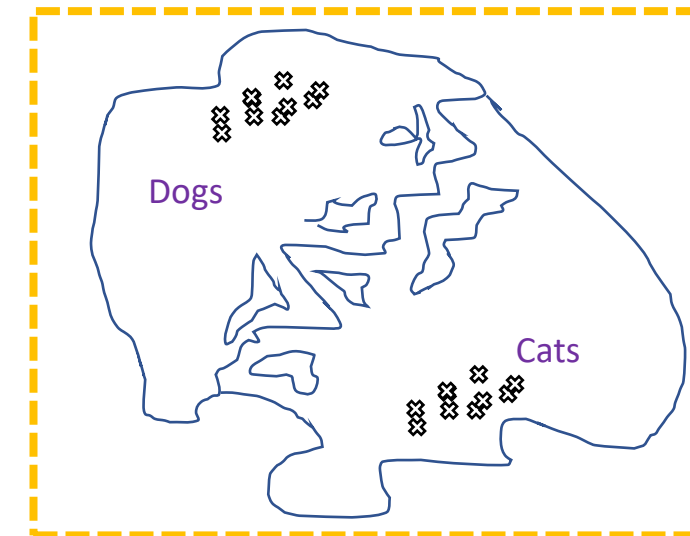
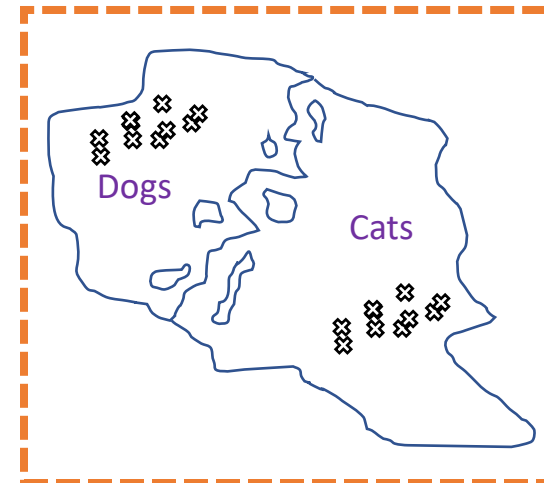
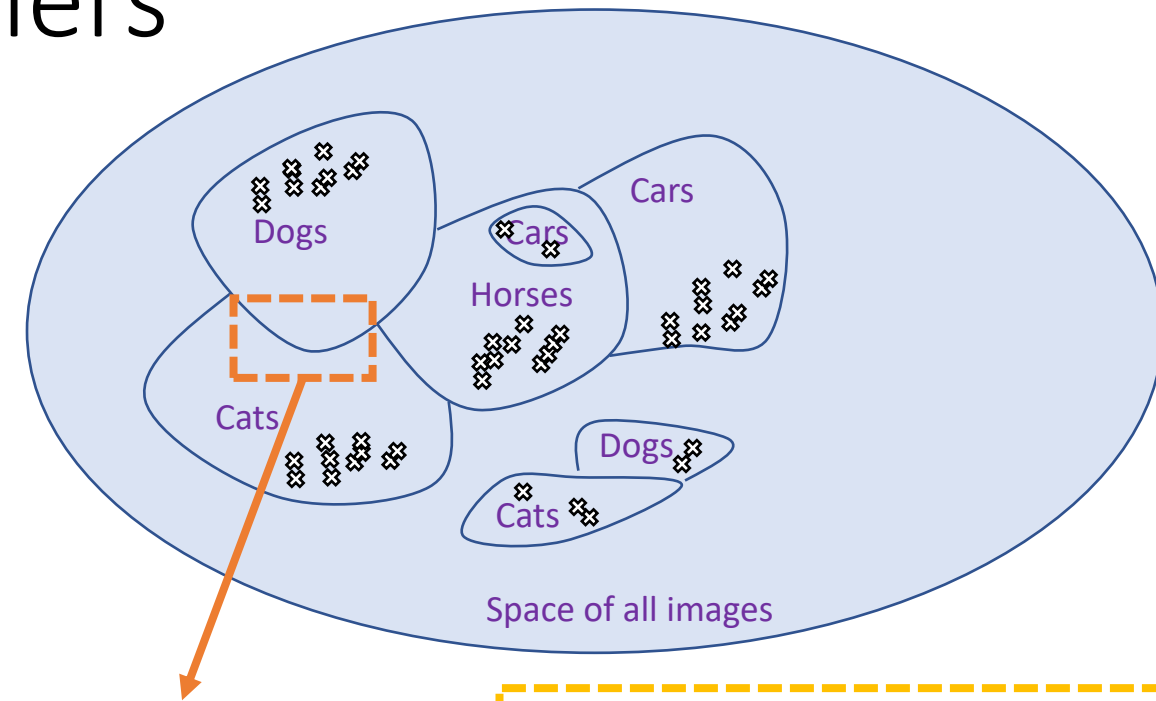
These small regions containing a large number of training examples are mathematically called **manifolds**.

See [TDS1], if curious.



# Impact of noise on classifiers

- **Misconception #3:** the decision boundaries between classes are smooth and make perfect sense.
- **Correction #3-bis:** Training samples are often condensed, far away from the boundaries.
- Boundaries decided by the Deep Learning models often exhibit the same behavior as the **Support Vector Machines** boundaries. But in a more random manner.

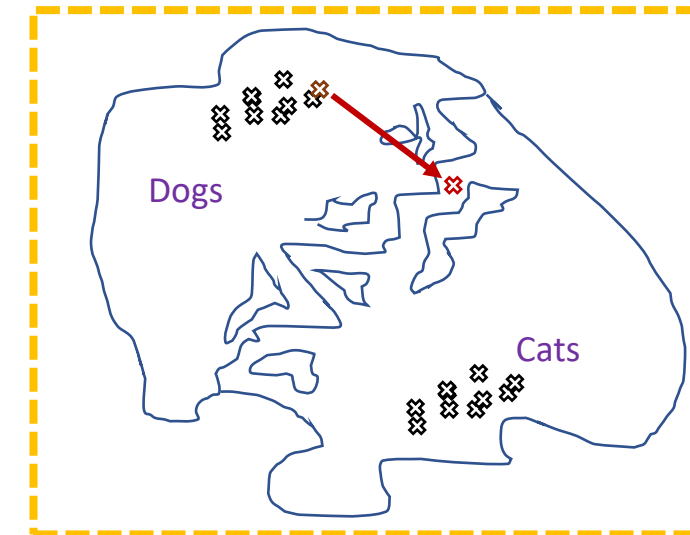
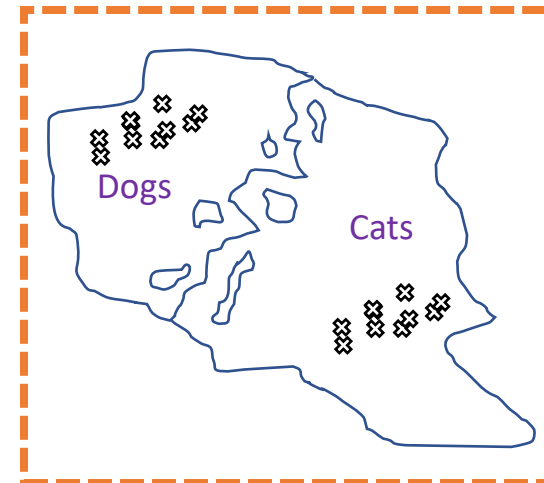
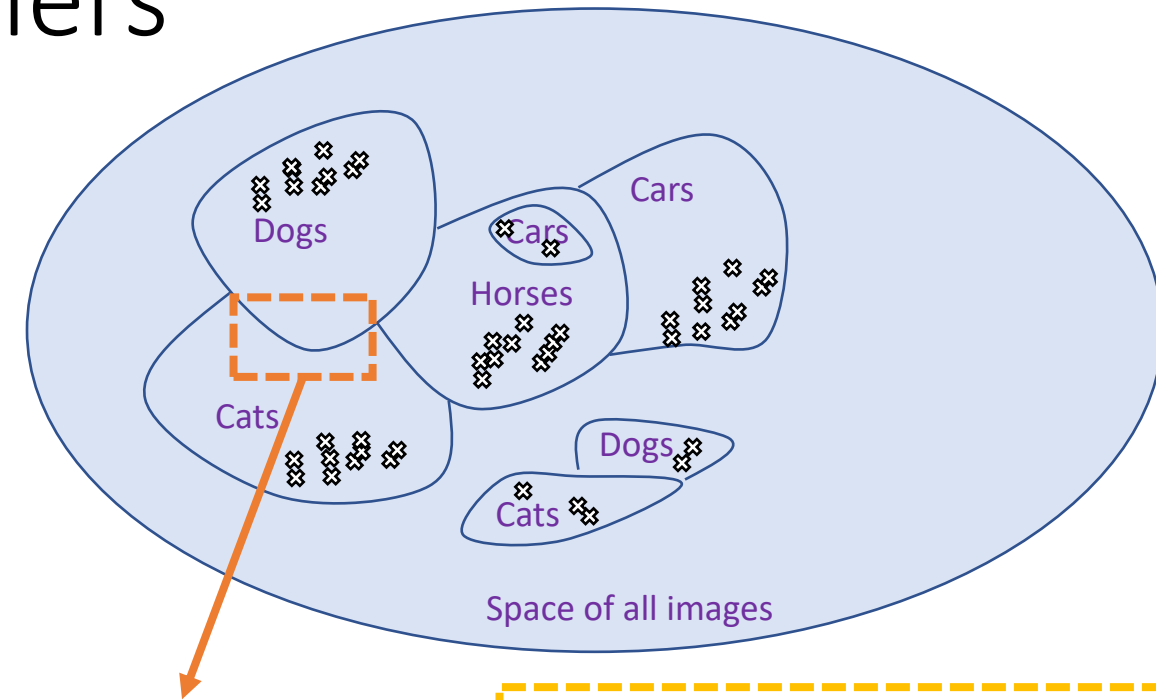


# Impact of noise on classifiers

## ENM procedure, explained:

When randomly noising an **original sample** to make an **attack sample**, we move randomly in the feature map.

- We may even move in the boundary region, where the sample might become misclassified.
- The **attack sample** will therefore look similar to a dog picture, but will be misclassified as a cat.



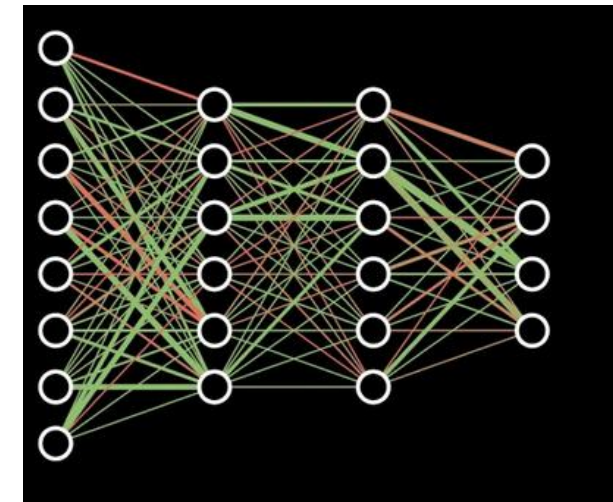
# Lessons from ENM attacks

Here is my intuition about attacks.  
(Not claiming it is the universal truth.)

- In Deep Learning, to **learn** means to **understand that some objects are similar on certain aspects/features** and **use those similarities for classification**.
- It means to discard irrelevant information which does not contribute to understand those similarities.



Cat or Dog Picture (high dimensional input)



Neural Network, processing input image



Is the **presence of fur** relevant for discerning cats from dogs? **No, discard info.**



Is the **shape of eyes** relevant for discerning cats from dogs? **Yes, process info.**

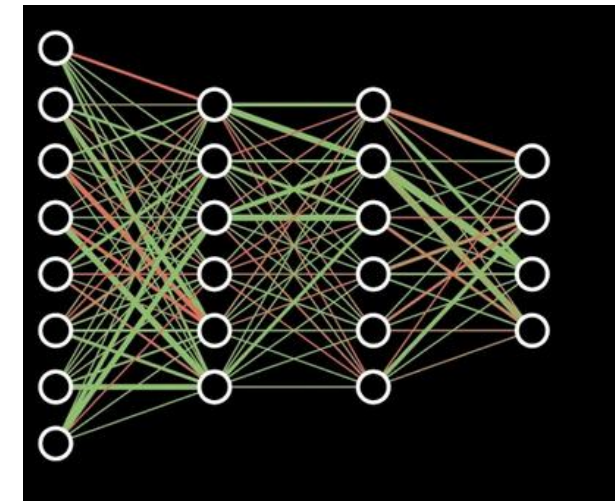
# Lessons from ENM attacks

Discarding information is achieved by progressively **compressing input data into a space of lower dimensionality** using several Neural Networks layers.

- A linear neuron would achieve this, by mapping inputs onto  $n$  output with less features, i.e. a lower-dimension hyperplane.
- During training, it learns to perform useful projections of the training data.



Cat or Dog Picture (high dimensional input)



Neural Network, processing input image



$$\mathbf{a} = [a_1 \quad a_2 \quad \dots \quad a_n]$$

Lower dimensional vector produced by final hidden layer of Neural Network.



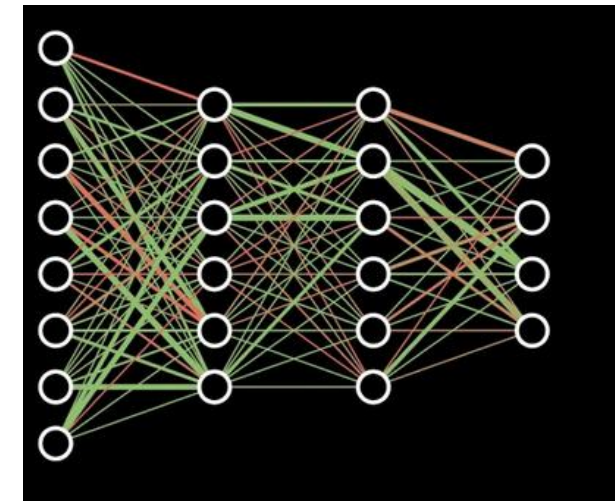
# Lessons from ENM attacks

Neural Networks then aim to map similar objects close together in this low dimensionality space.

- For instance, all pictures of cats will produce projection vectors  $a$ , with roughly similar values. Same thing for dogs pictures.
- Cats vectors and dogs vectors will, however, be very different!
- Final layer then implements a binary decision on vector  $a$ .



Cat or Dog Picture (high dimensional input)



Neural Network, processing input image



$$\mathbf{a} = [a_1 \quad a_2 \quad \dots \quad a_n].$$

Lower dimensional vector produced by final hidden layer of Neural Network.



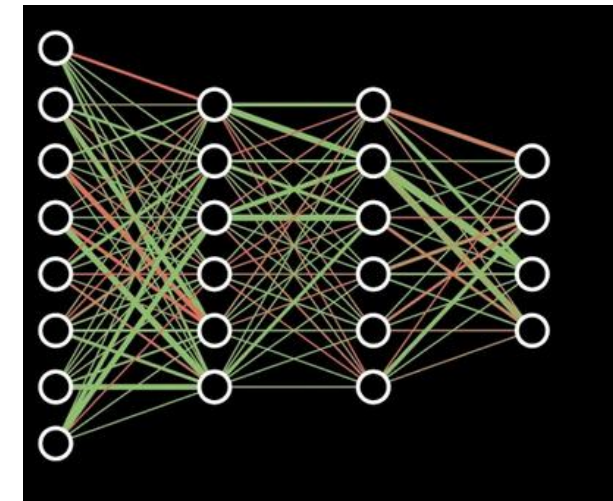
# Lessons from ENM attacks

- Due to compression, there are many directions in a high dimension feature space along which a small step might lead to big changes in predictions.
- In zones with low training data densities, the decision boundaries can lie very close together, because they were never properly learned from training samples!



Cat or Dog Picture (high dimensional input)

Small  
change  
here...



Neural Network, processing input image

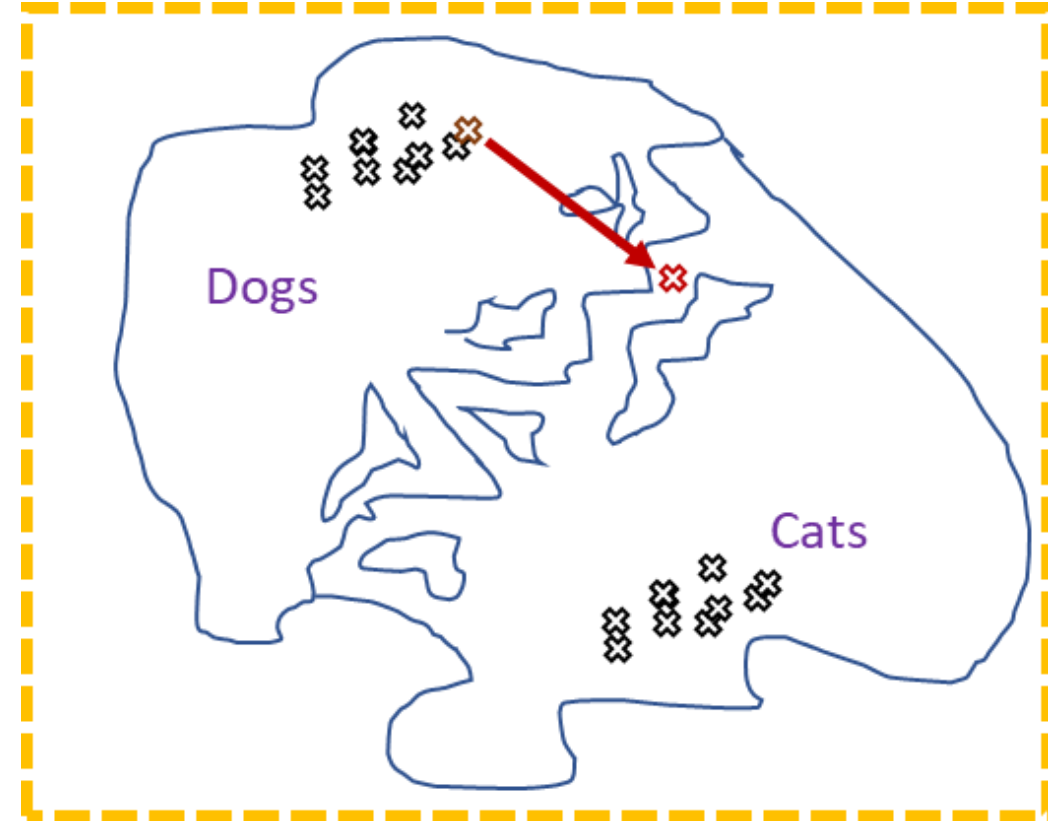
... Could  
result in a  
huge  
change  
here!

$$\mathbf{a} = [a_1 \quad a_2 \quad \dots \quad a_n]$$

Lower dimensional vector produced by final hidden layer of Neural Network.

# Lessons from ENM attacks

- In zones with low training data densities, some small changes on an input can then lead to big changes in predictions produced by the trained Neural Network.
- And that is what we exploit to generate **attack samples**!



“panda”  
57.7% confidence

+ .007 ×



“nematode”  
8.2% confidence

=



“gibbon”  
99.3 % confidence

# Lessons from ENM attacks

- **Unfortunately, this means that all deep learning models will always be susceptible to attacks and small changes on inputs data.**  
**(And that is something we have to accept.)**

# Lessons from ENM attacks

**→ Unfortunately, this means that all deep learning models will always be susceptible to attacks and small changes on inputs data.**

**(And that is something we have to accept.)**

**So what? Is that it then?**

**Are Neural Networks flawed beyond repair?**

**Are we giving up on Neural Networks then?**

## Reason #2: Defense

### Definition (**Defense** on Neural Networks):

In adversarial machine learning, **defense** refers to machine learning techniques that attempt to **protect models from being attacked** by malicious attempts.

**Important:** defense mechanisms often rely on an understanding of how attacks work.

SOMETHING  
FOR LATER...

# Conclusion (W6S1)

- Attacks on Neural Networks, a definition.
- Our first attack, simply by noising images.
- Attacks exploits intrinsic limitations that deep learning models will always have.
- We can defend against attacks.
- The random noise attacks are very random with unpredictable efficacy.
- These random noise attacks will not necessarily move the samples towards the boundary region.
- Advanced attacks techniques will improve on this matter. More on this later!
- Defense mechanics will attempt to prevent these attacks from working.



# Learn more about these topics

Out of class, supporting papers, for those of you who are curious.

- [Xie2017] Xie et al., “Adversarial Examples for Semantic Segmentation and Object Detection”, 2017.  
<https://arxiv.org/abs/1703.08603>
- [Szegedy2013] **Szegedy** et al., “Intriguing properties of neural networks”, 2013.  
<https://arxiv.org/abs/1312.6199>

# Learn more about these topics

- [Moosavi2017] **Moosavi-Dezfooli** et al., “Universal adversarial perturbations”, 2017.  
<https://arxiv.org/abs/1610.08401>
- [Hayes2017] Hayes et al., “Learning Universal Adversarial Perturbations with Generative Models”, 2017.  
<https://arxiv.org/abs/1708.05207>
- [Goodfellow2018] **Goodfellow** et al., “Making machine learning robust against adversarial inputs”, 2018.  
<https://dl.acm.org/doi/10.1145/3134599>

# Learn more about these topics

Some extra (easy) reading and videos for those of you who are curious.

- [Verge1] Google's AI thinks this turtle looks like a gun, which is a problem:

<https://www.theverge.com/2017/11/2/16597276/google-ai-image-attacks-adversarial-turtle-rifle-3d-printed>

- [Spectrum1] Slight Street Sign Modifications Can Completely Fool Machine Learning Algorithms:

<https://spectrum.ieee.org/cars-that-think/transportation/sensors/slight-street-sign-modifications-can-fool-machine-learning-algorithms>

# Learn more about these topics

- [Verge2] These glasses trick facial recognition software into thinking you're someone else:  
<https://www.theverge.com/2016/11/3/13507542/facial-recognition-glasses-trick-impersonate-fool>
- [YTB1] Defeating Facial Recognition:  
<https://www.youtube.com/watch?v=tbdcl5Ux-9Y>
- [TDS1] Manifolds in Data Science — A Brief Overview:  
<https://towardsdatascience.com/manifolds-in-data-science-a-brief-overview-2e9dde9437e5>