

# An implementation of Cubical Type Theory

Mattia Furlan

20 aprile 2022

## Indice

<b>1</b>	<b>Syntax of the language</b>	<b>2</b>
<b>2</b>	<b>Contexts and environments</b>	<b>3</b>
<b>3</b>	<b>Evaluation</b>	<b>3</b>
<b>4</b>	<b>Type checking/inference rules</b>	<b>5</b>
4.1	Type inference . . . . .	5
4.2	Type checking . . . . .	6
4.3	$\alpha$ -conversion . . . . .	7

# 1 Syntax of the language

The syntax of the language is given by the following grammar, where  $A, B, M, K$  are meta-variables for terms and  $K$  represents (possibly) neutral terms.

$$\begin{aligned}
A, B, M, K &::= \mathbf{U} \mid K \mid [x : A]M \\
&\mid \mathbf{N} \mid \mathbf{0} \mid \mathbf{S} \\
&\mid \mathbb{I} \mid \mathbf{0}_{\mathbb{I}} \mid \mathbf{1}_{\mathbb{I}} \mid \textit{Sys} \mid \textit{Partial} \mid \textit{Restr} \\
K &::= x \mid KM \mid \textit{ind } MMMK \\
\textit{Sys} &::= [\varphi_1 \mapsto M_1, \dots, \varphi_n \mapsto M_n] \\
\textit{Partial} &::= [\varphi]A \\
\textit{Restr} &::= [\varphi_1 \mapsto M_1, \dots, \varphi_n \mapsto M_n]A \\
\\
\varphi, \psi &::= (i = 0) \mid (i = 1) \mid (i = j) \\
&\mid \varphi \vee \psi \mid \varphi \wedge \psi \\
\\
\textit{Program} &::= [\textit{TopLevel}] \\
\textit{TopLevel} &::= \textit{Definition} \mid \textit{Declaration} \mid \textit{Example} \\
\textit{Definition} &::= x : A = B \\
\textit{Declaration} &::= x : A \\
\textit{Example} &::= M
\end{aligned}$$

In more detail, the language has symbols:

- $\mathbf{U}$  for the universe of types.<sup>1</sup>
- $\mathbf{N}$  for the type of natural numbers, with costants  $\mathbf{0}$  and  $\mathbf{S}$  respectively for zero and the successor operator.  $\textit{ind } F \ c_0 \ c_s \ n$  names the induction principle for naturals (where  $F : \mathbf{N} \rightarrow \mathbf{U}$  is a type family).
- $[x : A]M$  both for the  $\lambda$  and  $\Pi$  abstractions.
- $\mathbb{I}$  for the formal  $[0, 1]$  interval, with the endpoints  $\mathbf{0}_{\mathbb{I}}$  and  $\mathbf{1}_{\mathbb{I}}$ .

We consider values, i.e. the results of the evaluation of terms, as terms extended with a closure operator of the form  $\langle x : ty^{val}, M, (\Gamma; \Theta) \rangle$ , where the abstracted variable  $x$  is paired with its evaluated type  $ty$ , the abstraction body is  $M$  (unevaluated) and the closure environment is specified by the context  $\Gamma$  and the directions environment  $\Theta$  (see next section).

The only change we make in the abstract syntax is that we annotate each variable with its (evaluated) type, written as  $x^t$ . Variables get annotated during evaluation, so the eval function needs the context to lookup the types; instead of having to pass both the context and the environment, we use only the context, adding values bindings to it. Type annotations are required in order to simplify cubical expressions: for example if the variable  $x$  has type  $[i : \mathbb{I}][i = 0] \mapsto a, (i = 1) \mapsto b]A$ , then  $x \ \mathbf{0}_{\mathbb{I}}$  shall reduce to  $a$ .

<sup>1</sup>As of now, it holds (inconsistently) that  $\mathbf{U} : \mathbf{U}$ .

## 2 Contexts and enviroments

The implementation uses two kinds of similar data structures to store information: a directions enviroment, which is only used to store bindings of the form  $i \mapsto 0$ ,  $i \mapsto 1$ ,  $i \mapsto j$ , and a context, which is used during typechecking and evaluation; a context stores definitions, declarations and values bindings.

**Definition 2.1** (Context).

1.  $()$  is the empty context;
2.  $\Gamma, x : A = B$  extends the context  $\Gamma$  with a definition, where both the type  $A$  and the body  $B$  are terms;
3.  $\Gamma, x : A$  extends the context  $\Gamma$  with a declaration, where the type  $A$  is a term;
4.  $\Gamma, x : A^{val}$  extends the context  $\Gamma$  with a declaration, where the type  $A$  is a value;
5.  $\Gamma, x \mapsto t^{val}$  extends the context  $\Gamma$  binding the variable  $x$  to the value  $t$ .

Item (4) is used to optimize the code, since when type-checking a  $\lambda$ -abstraction of the form  $[x : A]B$ ,  $A$  gets already evaluated by the type-checker, and it shall not be evaluated again later during the evaluation of the body  $B$  (this may happen when the eval function wants to know the type of  $x$ ). Item (5) is used only in the evaluation.

**Definition 2.2** (Directions enviroment).

1.  $()$  is the empty directions enviroment;
2.  $\Theta, i \mapsto 0_{\mathbb{I}}$  (or  $i \mapsto 1_{\mathbb{I}}$ ) extends the directions enviroment  $\Theta$  binding the name  $i$  to  $0_{\mathbb{I}}$  (or to  $1_{\mathbb{I}}$ );
3.  $\Theta, i \mapsto j$  extends the directions enviroment  $\Theta$  binding the name  $i$  to the name  $j$  (diagonal).

In the program the directions enviroment is kept distinct from the context because the former is implemented efficiently using a partitions list.

## 3 Evaluation

Given a context  $\Gamma$  and a directions enviroment  $\Theta$ , the function  $\text{EVAL}_{\Gamma;\Theta}$  gets a term as input and gives the corresponding value as output. Instead of writing  $\text{EVAL}_{\Gamma;\Theta}(t)$ , we shall simply write  $t_{\Gamma;\Theta}$ . I denote with  $\varphi_{\Theta}$  the substitution in the formula  $\varphi$  for the bindings stored in  $\Theta$ .

$$\begin{aligned}
x_{\Gamma;\Theta} &= x^{\text{LOOKUPTYPE}(\Gamma,x)} \\
U_{\Gamma;\Theta} &= U \\
([x : ty]e)_{\Gamma;\Theta} &= \langle x : ty_{\Gamma;\Theta}, e, (\Gamma; \Theta) \rangle \\
(fun\ a)_{\Gamma;\Theta} &= \text{APP}(fun_{\Gamma;\Theta}, a_{\Gamma;\Theta}) \\
N_{\Gamma;\Theta} &= \mathbb{N} \\
0_{\Gamma;\Theta} &= 0 \\
(Sn)_{\Gamma;\Theta} &= \mathbb{S}(n_{\Gamma;\Theta}) \\
(\text{ind } F\ c_0\ c_s\ n)_{\Gamma;\Theta} &= \text{IND}(\text{ind } F_{\Gamma;\Theta}\ (c_0)_{\Gamma;\Theta}\ (c_s)_{\Gamma;\Theta}\ n_{\Gamma;\Theta}) \\
\mathbb{I}_{\Gamma;\Theta} &= \mathbb{I} \\
(0_{\mathbb{I}})_{\Gamma;\Theta} &= 0_{\mathbb{I}} \\
(1_{\mathbb{I}})_{\Gamma;\Theta} &= 1_{\mathbb{I}} \\
([\varphi_1 \mapsto M_1, \dots, \varphi_n \mapsto M_n]_{\Gamma;\Theta}) &= \text{SYS}([\varphi_1]_{\Theta} \mapsto (M_1)_{\Gamma;\Theta}, \dots, [\varphi_n]_{\Theta} \mapsto (M_n)_{\Gamma;\Theta}) \\
([\varphi]A)_{\Gamma;\Theta} &= \text{PARTIAL}([\varphi]_{\Theta} A_{\Gamma;\Theta}) \\
([\varphi_1 \mapsto M_1, \dots, \varphi_n \mapsto M_n]A)_{\Gamma;\Theta} &= [(\varphi_1)_{\Theta} \mapsto (M_1)_{\Gamma;\Theta}, \dots, (\varphi_n)_{\Theta} \mapsto (M_n)_{\Gamma;\Theta}]A_{\Gamma;\Theta}
\end{aligned}$$

The evaluation function uses some helpers:

- APP applied to  $fun^{val}$  and  $a^{val}$  first checks if  $fun^{val}$  is a closure; in that case it evaluates the closure, otherwise it checks if  $fun^{val}$  is a variable annotated with a type of the form  $[i : I]A$  (where  $A$  may depend on  $i$ ); if that's the case, it reduces the input to the appropriate value, otherwise it does nothing.

$$\begin{aligned}
\text{APP}(\langle x : \mathbb{I}, e, (\Gamma; \Theta) \rangle, a^{val}) &= (e)_{\Gamma, x:\mathbb{I}; \Theta, s \mapsto a^{val}} \\
\text{APP}(\langle x : ty^{val}, e, (\Gamma; \Theta) \rangle, a^{val}) &= (e)_{\Gamma, x \mapsto a^{val}; \Theta} \\
\text{APP}(x^{[i:\mathbb{I}, e, (\Gamma; \Theta)]}, j^{val}) &= \begin{cases} u^{val} & \text{if } \text{APP}(\langle i : \mathbb{I}, e, (\Gamma; \Theta) \rangle, j^{val}) = [\text{True} \mapsto u^{val}]_- \\ x^{[i:\mathbb{I}, e, (\Gamma; \Theta)]} j^{val} & \text{otherwise} \end{cases} \\
\text{APP}(k^{val}, a^{val}) &= k^{val} a^{val}
\end{aligned}$$

- IND evaluates an induction by pattern-matching on the argument  $n$ .

$$\begin{aligned}
\text{IND}(ty^{val}, c_0^{val}, c_s^{val}, 0) &= c_0^{val} \\
\text{IND}(ty^{val}, c_0^{val}, c_s^{val}, \mathbb{S}\ m^{val}) &= \text{APP}(\text{APP}(c_s^{val}, m^{val}), \text{IND}(ty^{val}, c_0^{val}, c_s^{val}, m^{val})) \\
\text{IND}(ty^{val}, c_0^{val}, c_s^{val}, n^{val}) &= \text{ind } ty^{val}\ c_0^{val}\ c_s^{val}\ n^{val}
\end{aligned}$$

- SYS checks if in a system  $[\varphi_1 \mapsto t_1^{val}, \dots, \varphi_n \mapsto t_n^{val}]$  one of the formulas is true; if it finds one, lets say  $\varphi_i$ , then it returns  $t_i^{val}$ , otherwise it returns the system untouched. Type checking ensures that when more than one formula is true, the corresponding values are  $\alpha$ -equivalent.

$$\begin{aligned}
\text{SYS}([\varphi_1 \mapsto t_1^{val}, \dots, \varphi_{i-1} \mapsto t_{i-1}^{val}, \text{True} \mapsto t_i^{val}, \varphi_{i+1} \mapsto t_{i+1}^{val}, \dots, \varphi_n \mapsto t_n^{val}]) &= t_i^{val} \\
\text{SYS}([\varphi_1 \mapsto t_1^{val}, \dots, \varphi_n \mapsto t_n^{val}]) &= [\varphi_1 \mapsto t_1^{val}, \dots, \varphi_n \mapsto t_n^{val}]
\end{aligned}$$

- PARTIAL checks if the formula  $\varphi$  in a partial type  $[\varphi]A^{val}$  is true and eventually returns just  $A^{val}$ .

$$\begin{aligned}\text{PARTIAL}([\text{True}]A^{val}) &= A^{val} \\ \text{PARTIAL}([\varphi]A^{val}) &= [\varphi]A^{val}\end{aligned}$$

## 4 Type checking/inference rules

The implementation uses a bidirectional type checker: I denote type inference with  $e \Rightarrow t^{val}$  (the input is a term  $a$  and the output is a value  $t$ ) and type checking with  $e \Leftarrow t^{val}$  (both are inputs, where the term  $e$  is checked against value  $t$ ). I use the following notations:

- $\Gamma \vdash \varphi : \mathbb{F}$  means that  $\varphi$  is a formula whose variables are declared in  $\Gamma$ .
- $t_1^{val} \sim_{\tau(\Gamma)} t_2^{val}$  means that  $t_1^{val}$  and  $t_2^{val}$  are  $\alpha$ -equivalent;  $\tau(\Gamma)$  is the list of names declared in the context  $\Gamma$ .  $t_1^{val} \sim_{\tau(\Gamma)}^{\varphi} t_2^{val}$  means that the  $\alpha$ -equivalence holds under the constraint formula  $\varphi$ .
- $\Gamma; \Theta \vdash_{\varphi} J$  means that the judgment  $J$  is valid when  $\Gamma; \Theta$  is extended with the formula  $\varphi$ . The two used rules are (where each  $\psi_i$  is a conjunction of atomic formulas, so that  $\psi_1 \vee \dots \vee \psi_n$  is in disjunctive normal form):

$$\begin{aligned}\frac{\Gamma; \Theta, \psi_i \vdash e \Leftarrow A^{type}}{\Gamma; \Theta \vdash_{\psi_1 \vee \dots \vee \psi_n} e \Leftarrow A^{type}} \quad (1 \leq i \leq n) \\ \frac{\text{SIMPLIFY}_{\Theta, \psi_i}(t_1^{val}) \sim_{\tau(\Gamma)} \text{SIMPLIFY}_{\Theta, \psi_i}(t_2^{val})}{t_1^{val} \sim_{\tau(\Gamma)}^{\psi_1 \vee \dots \vee \psi_n} t_2^{val}} \quad (1 \leq i \leq n)\end{aligned}$$

SIMPLIFY is a function that applies substitutions inside normal values (see the Haskell code).

### 4.1 Type inference

$$\overline{\Gamma; \Theta \vdash x \Rightarrow \text{LOOKUPTYPE}(\Gamma, x)} \quad (\text{Var})$$

$$\overline{\Gamma; \Theta \vdash \mathbb{U} \Rightarrow \mathbb{U}} \quad (\text{Universe})$$

$$\frac{\Gamma; \Theta \vdash f \Rightarrow \langle s : ty^{val}, e, \Gamma'; \Theta' \rangle \quad \Gamma; \Theta \vdash a \Leftarrow ty^{val}}{\Gamma; \Theta \vdash f \ a \Rightarrow \text{APP}(\langle s : ty^{val}, e, \Gamma'; \Theta' \rangle, a_{\Gamma; \Theta})} \quad (\text{App})$$

$$\overline{\Gamma; \Theta \vdash \mathbb{N} \Rightarrow \mathbb{U}} \quad (\text{Nat})$$

$$\overline{\Gamma; \Theta \vdash 0 \Rightarrow \mathbb{N}} \quad (\text{Nat-Zero})$$

$$\frac{\Gamma; \Theta \vdash n \Leftarrow \mathbb{N}}{\Gamma; \Theta \vdash \mathbf{S}n \Rightarrow \mathbb{N}} \quad (\text{Nat-Succ})$$

$$\frac{\begin{array}{c} \Gamma; \Theta \vdash F \Leftarrow (\mathbb{N} \rightarrow U)_{();()} \quad \Gamma; \Theta \vdash c_0 \Leftarrow \text{APP}(F_{\Gamma; \Theta}, 0) \\ \Gamma; \Theta \vdash n \Leftarrow \mathbb{N} \quad \Gamma; \Theta \vdash c_s \Leftarrow ([m : \mathbb{N}] Fm \rightarrow F(\mathbf{S}m))_{\Gamma; \Theta} \end{array}}{\Gamma; \Theta \vdash \mathbf{ind} F c_0 c_s n \Rightarrow \text{APP}(F_{\Gamma; \Theta}, n_{\Gamma; \Theta})} \quad (\text{Nat-Ind})$$

$$\overline{\Gamma; \Theta \vdash \mathbb{I} \Rightarrow \mathbb{U}} \quad (\text{Interval})$$

$$\overline{\Gamma; \Theta \vdash 0_{\mathbb{I}} \Rightarrow \mathbb{I}} \quad (\text{Interval-0})$$

$$\overline{\Gamma; \Theta \vdash 1_{\mathbb{I}} \Rightarrow \mathbb{I}} \quad (\text{Interval-1})$$

## 4.2 Type checking

$$\frac{\Gamma; \Theta \vdash t \Leftarrow \mathbb{U} \quad \Gamma, x : t; \Theta \vdash e \Leftarrow \mathbb{U}}{\Gamma; \Theta \vdash [x : t]e \Leftarrow \mathbb{U}} \quad (\text{Pi})$$

$$\frac{\Gamma; \Theta \vdash ty \Leftarrow \mathbb{U} \quad ty_{\Gamma; \Theta} \sim_{\tau(\Gamma)} ty_1^{val} \quad \Gamma, x : ty_{\Gamma; \Theta} = v; \Theta \vdash e \Leftarrow (e_1)_{\Gamma_1, x_1 : ty_1^{val} = v; \Theta_1}}{\Gamma; \Theta \vdash [x : ty]e \Leftarrow \langle x_1 : ty_1^{val}, e_1, (\Gamma_1, \Theta_1) \rangle} \quad (v = \text{NEWVAR}(x, \Gamma \cup \Gamma_1)) \quad (\text{Lambda})$$

$$\frac{\Gamma, i : \mathbb{I}; \Theta, i \mapsto i_1 \vdash e \Leftarrow (e_1)_{\Gamma, i_1 : \mathbb{I}; \Theta_1}}{\Gamma; \Theta \vdash [i : \mathbb{I}]e \Leftarrow \langle i_1 : \mathbb{I}, e_1, (\Gamma_1, \Theta_1) \rangle} \quad (\text{Lambda-}\mathbb{I})$$

$$\frac{\Gamma \vdash \varphi_i : \mathbb{F} \quad (\varphi_1 \vee \dots \vee \varphi_n) \sim_{\tau(\Gamma)} \varphi \quad \Gamma; \Theta \vdash_{\varphi_i} t_i \Leftarrow A^{val} \quad t_i \sim_{\tau(\Gamma)}^{\varphi_i \wedge \varphi_j} t_j \quad (1 \leq i, j \leq n)}{\Gamma; \Theta \vdash [\varphi_1 \mapsto t_1, \dots, \varphi_n \mapsto t_n] \Leftarrow [\varphi]A^{val}} \quad (A \neq \mathbb{I}) \quad (\text{Sys})$$

$$\frac{\Gamma; \Theta \vdash_{\varphi} A : \mathbb{U}}{\Gamma; \Theta \vdash [\varphi]A : \mathbb{U}} \quad (A \neq \mathbb{I}) \quad (\text{Partial})$$

$$\frac{\Gamma; \Theta \vdash A : \mathbb{U} \quad \Gamma; \Theta \vdash_{\varphi_1 \vee \dots \vee \varphi_n} [\varphi_1 \mapsto t_1, \dots, \varphi_n \mapsto t_n] \Leftarrow A_{\Gamma; \Theta}}{\Gamma; \Theta \vdash [\varphi_1 \mapsto t_1, \dots, \varphi_n \mapsto t_n]A : \mathbb{U}} \quad (A \neq \mathbb{I}) \quad (\text{Restr-U})$$

$$\frac{\Gamma; \Theta \vdash A : \mathbb{U} \quad \Gamma; \Theta \vdash_{\varphi_1 \vee \dots \vee \varphi_n} [\varphi_1 \mapsto t_1, \dots, \varphi_n \mapsto t_n] \Leftarrow A_{\Gamma; \Theta}}{\Gamma; \Theta \vdash e : [\varphi_1 \mapsto t_1^{val}, \dots, \varphi_n \mapsto t_n^{val}]A^{val}} \quad (A \neq \mathbb{I}) \quad (\text{Restr})$$

$$\frac{\Gamma; \Theta \vdash e \Rightarrow [\varphi_1 \mapsto t_1^{val}, \dots, \varphi_n \mapsto t_n^{val}] A^{val}}{\Gamma; \Theta \vdash e \Leftarrow A^{val}} \quad (\text{Restr-inv})$$

$$\frac{\Gamma; \Theta \vdash e \Rightarrow A^{val}}{\Gamma; \Theta \vdash e \Leftarrow A^{val}} \quad (\text{Infer})$$

### 4.3 $\alpha$ -conversion

The function  $\cdot \sim_{ns} \cdot$  tests  $\alpha$ -conversion between two values; it takes also as input a list of already used names, to avoid conflicts when reading-back closures.

To simplify the notation, here I will suppress the superscript “val”.

$\varphi \sim \psi$  denotes equivalence of formulas.<sup>2</sup>

$$\overline{U \sim_{ns} U}$$

$$\overline{x \sim_{ns} x}$$

$$\overline{\langle x : ty, e, (\Gamma; \Theta) \rangle \sim_{ns} \langle y : ty', e', (\Gamma'; \Theta') \rangle}$$

$$\frac{fun \sim_{ns} fun' \quad a \sim_{ns} a'}{fun \ a \sim_{ns} fun' \ a'}$$

$$\overline{N \sim_{ns} N}$$

$$\overline{0 \sim_{ns} 0}$$

$$\frac{n \sim_{ns} n'}{S \ n \sim_{ns} S \ n'}$$

$$\overline{I \sim_{ns} I}$$

$$\overline{0_I \sim_{ns} 0_I}$$

$$\overline{1_I \sim_{ns} 1_I}$$

---

<sup>2</sup>This is checked by computing and then comparing the (simplified) disjunctive normal form of the two formulas.

$$\frac{F \sim_{ns} F' \quad c_0 \sim_{ns} c'_0 \quad c_s \sim_{ns} c'_s \quad n \sim_{ns} n'}{\text{ind } F \ c_0 \ c_s \ n \sim_{ns} \text{ind } F' \ c'_0 \ c'_s \ n'}$$

$$\frac{(\varphi_1 \vee \dots \vee \varphi_n) \sim (\psi_1 \vee \dots \vee \psi_m) \quad t_i \sim_{ns}^{\varphi_i \wedge \psi_j} t'_j}{[\varphi_1 \mapsto t_1, \dots, \varphi_n \mapsto t_n] \sim_{ns} [\psi_1 \mapsto t'_1, \dots, \psi_m \mapsto t'_m]} \quad (1 \leq i \leq n, 1 \leq j \leq m)$$

$$\frac{\varphi \sim \psi \quad A \sim_{ns} A'}{[\varphi]A \sim_{ns} [\psi]A'}$$

$$\frac{[\varphi_1 \mapsto t_1, \dots, \varphi_n \mapsto t_n] \sim_{ns} [\psi_1 \mapsto t'_1, \dots, \psi_m \mapsto t'_m] \quad A \sim_{ns} A'}{[\varphi_1 \mapsto t_1, \dots, \varphi_n \mapsto t_n]A \sim_{ns} [\psi_1 \mapsto t'_1, \dots, \psi_m \mapsto t'_m]A'}$$