

0100101010010101010101001100101010101000010101010101010100010100100—●

Encryption & Decryption — 3

Sujeet Shenoi

Tandy School of Computer Science
University of Tulsa, Tulsa, OK 74104
sujeet@utulsa.edu



Secure Encryption Systems

0100101010010101010101001100101010101000010101010101010100010100100—●

- Modern techniques are based on “Hard Problems” (NP-Complete Problems)
- Involve heuristic search (2^n possibilities)
- Satisfiability
 - Pick v_1, v_2, v_3 : Boolean such that $(v_1) \wedge (v_2 \vee v_3) \wedge (\neg v_3 \vee \neg v_1)$ is True
- Knapsack
 - Pick $v_1, v_2, v_3 \in \{0,1\}$ such that $v_1 * a_1 + v_2 * a_2 + v_3 * a_3 = T$ (Target sum)



Classes P, NP and EXP

Class P

0100101010010101010101001100101010101000010101010101010100010100100—●

- Set of problems whose solutions run in time bounded by “polynomial functions” of the size of the problems

Class NP

- Set of problems whose solutions run in time bounded by polynomial functions of the size of the problems “assuming the ability to guess perfectly”

Class EXP

- Set of problems whose solutions run in time bounded by “exponential functions” of the size of the problems



Classes P, NP and EXP (contd.)

0100101010010101010101001100101010101000010101010101010100010100100—●

Fundamental Result: $P \subseteq NP \subset EXP$

Is: $P \subseteq NP$ or $P = NP$? Not known!

Some Comments

- NP-Complete problem does not guarantee that there is no solution easier than exponential
- Every NP-Complete problem has a solution that runs in time proportional to 2^n ; feasible if n is small
- Non-determinism can be modeled by “threads”
- Interceptors may use other information to simplify the task of breaking the encryption



Secret & Public Encryption Algorithms

0100101010010101010101001100101010101000010101010101010100010100100—●

Secret Key Algorithms (Symmetric)

- One key for encryption and decryption ($K_E = K_D = K$)
- $C = \{ P \}_K$ and $P = \{ C \}_K$
- One key per channel ($\#keys = n*(n-1)/2$)

Public Key Algorithms (Asymmetric)

- Separate keys for encryption and decryption ($K_E \neq K_D$)
- $C = \{ P \}_{K_E}$ and $P = \{ C \}_{K_D}$
- $C = \{ P \}_{K_D}$ and $P = \{ C \}_{K_E}$
- Two keys per user ($\#keys = 2*n$)



Public Key Algorithms

0100101010010101010101001100101010101000010101010101010100010100100—●

Public Key Algorithms (Asymmetric)

- Key Pair: $(K_A^{\text{priv}}, K_A^{\text{pub}})$
- K_A^{priv} : Private Key; K_A^{pub} : Public Key
- K_A^{priv} is kept by secret by A
- K_A^{pub} is distributed widely by A
- A → Receiver: $C = \{ P \}_{K_A^{\text{priv}}}$ (and $P = \{ C \}_{K_A^{\text{pub}}}$)
- Sender → A: $C = \{ P \}_{K_A^{\text{pub}}}$ (and $P = \{ C \}_{K_A^{\text{priv}}}$)



Merkle-Hellman Algorithm

0100101010010101010101001100101010101000010101010101010100010100100—●

Merkle-Hellman (1978)

- Encodes a binary message as a solution to the knapsack problem
- NP-complete problem
- Simple knapsack (linear time)
- Hard knapsack (exponential time)
- “One way” encryption

Merkle-Hellman Algorithm (contd.)

01001010100101010101001100101010101000010101010101010100010100100—●

General Knapsack

- Given $S = [a_1, a_2, \dots, a_n]$ and target sum T ,
- Find $V = [v_1, v_2, \dots, v_n]$, $v_i \in \{0, 1\}$ such that $\sum_{i=1}^n a_i * v_i = T$
- E.g., if $S = [9, 5, 2, 13]$ and $T = 24$, then $V = [1, 0, 1, 1]$

Superincreasing Knapsack

- Each $a_k \in S$ satisfies the condition: $a_k > \sum_{j=1}^{k-1} a_j$
- E.g., $S = [1, 2, 5, 13]$ is a superincreasing knapsack



Merkle-Hellman Algorithm (contd.)

01001010100101010101001100101010101000010101010101010100010100100—●

Sending an Encrypted Message

- Receiver picks a simple (superincreasing) knapsack (S), multiplier (w) and modulus (n) (w and n are co-prime)
- $S = [1, 2, 6]$; $w = 11$; $n = 13$ (n prime; larger than 9)
- Receiver computes “hard knapsack” ($H = [h_1, h_2, h_3]$)
- $h_i = w * s_i \text{ mod } n$
- $h_1 = w * s_1 \text{ mod } n = 11 * 1 \text{ mod } 13 = 11 \text{ mod } 13 = 11$
- $h_2 = w * s_2 \text{ mod } n = 11 * 2 \text{ mod } 13 = 22 \text{ mod } 13 = 9$
- $h_3 = w * s_3 \text{ mod } n = 11 * 6 \text{ mod } 13 = 66 \text{ mod } 13 = 1$



Merkle-Hellman Algorithm (contd.)

0100101010010101010101001100101010101000010101010101010100010100100—●

Sending an Encrypted Message (contd.)

- Receiver sends $H = [11, 9, 1]$ to sender
- Receiver keeps S , w and n secret
- Suppose sender wishes to transmit $P = 101\ 010\ 011$

• P: 1 0 1 0 1 0 0 1 1

 11 9 1 11 9 1 11 9 1

• C: 12 9 10



Merkle-Hellman Algorithm (contd.)

01001010100101010101001100101010101000010101010101010100010100100—●

Decrypting an Encrypted Message

- $H = w * S \text{ mod } n$
- $C = H * P = w * S * P \text{ mod } n$
- $w^{-1} * C = w^{-1} * H * P = w^{-1} * w * S * P = S * P \text{ mod } n$
- $w^{-1} * C_i = S * P_i \text{ mod } n$ (note: $S = [1, 2, 6]$)
- $6 * 12 = 72 \text{ mod } 13 = 7 = 101$
- $6 * 9 = 54 \text{ mod } 13 = 2 = 010$
- $6 * 10 = 60 \text{ mod } 13 = 8 = 011$



Merkle-Hellman Algorithm (contd.)

010010101001010101010100110010101010100001010101010101010100010100100—●

Cryptanalysis

- Modulus n : 200 bits long
- s_i are chosen to be approx. 2^{200} apart!
- Knapsack has approx. 200 terms ($m = 200$)
 - Choose m random numbers between 0 and 2^{200}
 - $s_i = 2^{200 \cdot i - 1} + r_i$
- Each term is 200 to 400 bits long
- 1 opn/ μ s: 10^{47} years to try 2^{200} choices for each s_i
- Hard to break for large values of n & m

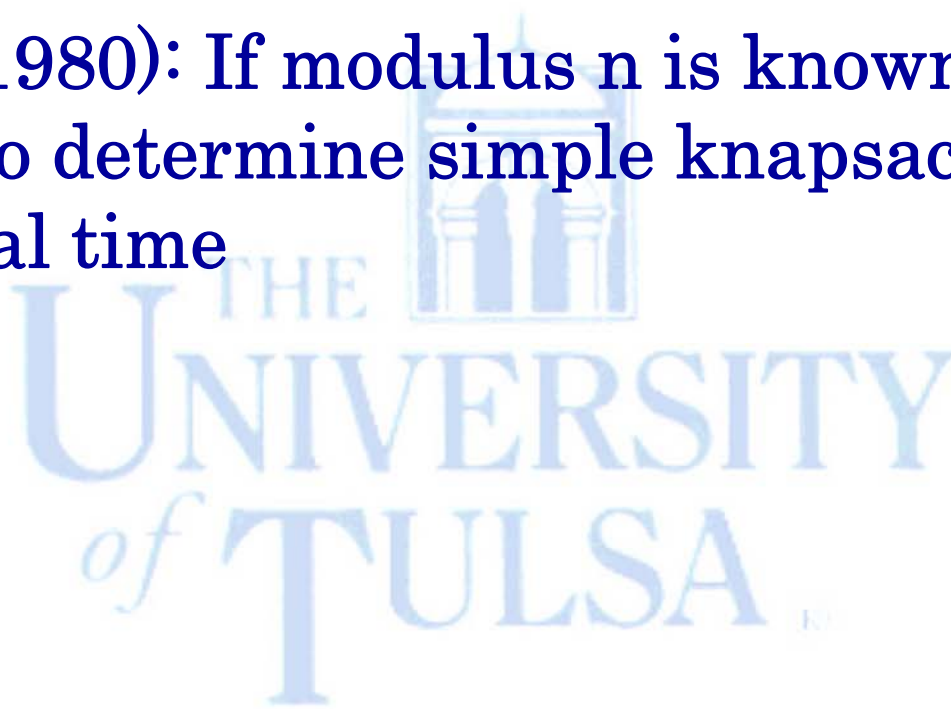


Merkle-Hellman Algorithm (contd.)

0100101010010101010101001100101010101000010101010101010100010100100—●

Weaknesses

- Shamir (1980): If modulus n is known, it is possible to determine simple knapsack S in polynomial time



RSA Algorithm

0100101010010101010101001100101010101000010101010101010100010100100—●

Rivest-Shamir-Adelman (1978)

- Based on factoring large numbers (200 digits)
- Best factorization algorithm is exponential
- No known weaknesses
 - Choose large $n = p * q$ (p, q : prime numbers)
 - Choose e relatively prime to $\phi(n) = (p-1)*(q-1)$
 - $d = e^{-1} \bmod \phi(n)$
- Encryption key: (e, n) ; Decryption key: (d, n)
- $C = P^e \bmod n$; $P = C^d \bmod n$
- $C = P^d \bmod n$; $P = C^e \bmod n$



RSA Algorithm (contd.)

0100101010010101010101001100101010101000010101010101010100010100100—●

RSA Mathematics

- Euler totient function ($\phi(n)$): number of positive integers less than n that are relatively prime to n
- If p : prime, then $\phi(p) = p - 1$
- If $n = p * q$ and p, q : prime, then
$$\phi(n) = \phi(p) * \phi(q) = (p - 1) * (q - 1)$$

Euler-Fermat Result

- For any integer x , if n and x are rel. prime, then
$$x^{\phi(n)} \equiv 1 \pmod{n}$$



RSA Algorithm (contd.)

0100101010010101010101001100101010101000010101010101010100010100100—●

Main Result: $(P^e)^d \equiv (P^d)^e \equiv P \pmod{n}$

- $e * d \equiv 1 \pmod{\phi(n)}$ where $n = p * q$
- $e * d \equiv k * \phi(n) + 1$ for some integer k
- $P^{p-1} \equiv 1 \pmod{p}$ E-F result: P, p rel prime
- $P^{k\phi(n)} \equiv 1 \pmod{p}$ $(p-1)$ is a factor of $\phi(n)$
- $P^{k\phi(n)+1} \equiv P \pmod{p}$ multiplying by P
- $P^{k\phi(n)+1} \equiv P \pmod{q}$ same result for q
- $(P^e)^d = P^{ed} = P^{k\phi(n)+1} = P \pmod{p} = P \pmod{q}$
- $(P^e)^d = P \pmod{n}$ $n = p * q$



RSA Algorithm (contd.)

0100101010010101010101001100101010101000010101010101010100010100100—●

RSA Encryption

- Suppose $p = 3$ and $q = 11$
- $n = 33$ and $\phi(n) = (p-1)*(q-1) = 20$
- Choose $e = 13$ (relatively prime to 20)
- Find d such that $e * d \equiv 1 \pmod{20} \Rightarrow d = 17$
- Public key $(e, n) = (13, 33)$
- Private key $(d, n) = (17, 33)$
- Plaintext $P = 7$
- $C = 7^{13} \pmod{33} = 13$
- $P = 13^{17} \pmod{33} = 7$



RSA Algorithm (contd.)

Using RSA

0100101010010101010101001100101010101000010101010101010100010100100—●

- Choose primes p, q (100 digits each)
- Calculate $n = p * q$ (200 digits/512 bits; 1024bits recommended for secure applications)
- Choose large e relatively prime to $\phi(n)$
- Compute d such that $e * d \equiv 1 \pmod{\phi(n)}$
- Public key (e, n)
- Private key (d, n)
- Can discard $p, q, \phi(n)$
- Primality Test (iteration k : $\text{Prob}(p \text{ is not prime}) = 1/2^k$)
 - $\text{gcd}(p, r) = 1$
 - Jacobi Function: $J(r, p) \equiv r^{(p-1)/2} \pmod{p}$



Digital Signature Algorithms

0100101010010101010101001100101010101000010101010101010100010100100—●

- El Gamal Algorithm (1984)
 - Pick p : prime; $a < p$ and $x < p$; $(p-1)$ has a large prime factor: q
 - Compute: $y = a^x \bmod p$
 - Private key: x ; Public key: y (and p, a)
- Message Signing (m : message)
 - Pick k : $0 < k < p-1$ (relatively prime to $p-1$)
 - Compute: $r = a^k \bmod p$
 - Compute: $s = k^{-1} * (m - x * r) \bmod (p-1)$ ($k * k^{-1} \equiv 1 \bmod (p-1)$)
 - Message Signature: r & s
- Signature Verification
 - Compute: $y^r r^s \bmod p$
 - Compute: $a^m \bmod p$
 - Check: $y^r r^s \bmod p \equiv a^m \bmod p$



Digital Signature Algorithms (contd.)

0100101010010101010101001100101010101000010101010101010100010100100—●

- U.S. Digital Signature Algorithm (1994)
 - DSS (Digital Signature Standard)
 - El Gamal Algorithm with restrictions
 - p : 170 digits long ($2^{511} < p < 2^{512}$)
 - q : prime factor of $p-1$ ($2^{159} < q < 2^{160}$)
 - Hash value of m : $H(m)$ used instead of m
 - Computations of r and s taken mod q
 - Changes simplify the algorithm
 - Changes weaken encryption



Cryptographic Hash Algorithms

0100101010010101010101001100101010101000010101010101010100010100100—●

- Simpler than Digital Signature Algorithms
- Hash function (f) produces “digest” of data/message
- $S \rightarrow R$: $m, f(m)$
- R : computes new $f(m)$ & compares with old $f(m)$
- Difficult to “invert,” i.e., change m and $f(m)$
- XOR bits: $10101010 \ 00101111 \rightarrow 1$
(Prob = $1/2$)
- XOR bytes: $10101010 \ 00101111 \rightarrow 10000101$
(Prob = $1/2^8$)
- Most digests are between 100 to 1,000 bits



Secure Hash Algorithm (SHA)

0100101010010101010101001100101010101000010101010101010100010100100—●

- Designed for Digital Signature Standard (DSS)
- NIST (1992-1995)
- Input: $\leq 2^{64}$ bits; Digest: 160 bits
- Operations: XOR, $+ \text{mod } 2^{32}$, left circular shift(n,v)
- Algorithm: Non-linear function; interweaves bits
 - Pad message: Multiple of 512 bits (msg 1 0...0 <64-bit length>)
(512 bits = 16 32-bit words: $W_0 \dots W_{15}$)
 - Expand to 80 words: $W_0 \dots W_{79}$
 - Initialize 5 32-bit pattern constants: $H_0^0 \dots H_4^0$
 - Perform 80-step 4-round diffusion algorithm: digest = $H_0^{80} \dots H_4^{80}$



MD4 and MD5 Hash Algorithms

0100101010010101010101001100101010101000010101010101010100010100100—●

- MD4 (Rivest, 1991-92)
 - Exceptionally fast, less secure
 - 16-word block (512 bits)
 - 48-step 3-round diffusion algorithm
 - 4 pattern constants (128 bits)
- MD5 (Rivest, 1992)
 - Slower, more secure
 - 16-word block (512 bits)
 - 64-step 4-round diffusion algorithm
 - 4 pattern constants (128 bits)



Quantum Cryptography

0100101010010101010101001100101010101000010101010101010100010100100—●

Rationale

- One-Time Pad: Only provably unbreakable system
- Requires a long, unpredictable string of numbers
 - String generation
 - String communication
- Quantum cryptography addresses both problems
- Based on physics instead of mathematics
- Quantum Key Distribution (QKD)



Quantum Cryptography (contd.)

01001010100101010101010011001010101010000101010101010101010100010100100—●

- Photons

- Assume four directional orientations ($-$, $|$, $<$, $>$,)
- Orientations $-$ and $|$ can be distinguished with high certainty, but $<$ and $>$ sometimes appear as $-$ or $|$
- Orientations $<$ and $>$ can be distinguished with high certainty, but $-$ and $|$ sometimes appear as $<$ or $>$

- Polarizing Filters (+ and o)

- + Rectilinear Filter: Discriminates $-$ and $|$, but has 50% probability of counting $<$ and $>$ as $-$ or $|$
- o Circular Filter: Discriminates $<$ and $>$, but has 50% probability of counting $-$ and $|$ as $<$ or $>$



Quantum Cryptography (contd.)

01001010100101010101010011001010101010000101010101010101010100010100100—●

- **BB84: Protocol: Bennett and Brassard (1984)**
 - Sender sends a stream of photons to receiver
 - Sender uses + or o filter to control each photon being sent
 - Receiver uses + or o filter and records photon orientation
 - Nobody can eavesdrop without disrupting communication
 - Using a filter to view a photon disrupts the communication
 - E.g., A rectilinear + filter allows — photons, and some < and > photons, but blocks all | photons



— <http://fredhenle.net/bb84/>

Quantum Cryptography (contd.)

010010101001010101010100110010101010100001010101010101010100010100100—●

- **BB84 Protocol**

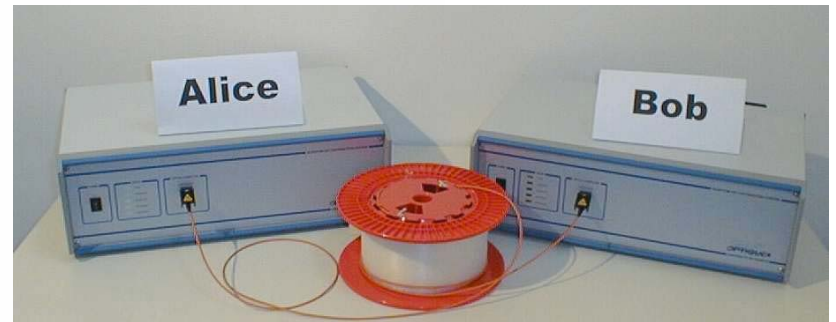
- S & R: — & < represent 0; | & > represent 1
- S: Sends series of photons to R and records orientation
- R: Uses + or o filters at random and records orientation
- R: Sends series of filters used to S (public channel)
- S: Sends the correct filters used to R (public channel)
- R: Determines which photons received were correct
- Inefficient, only half the bandwidth of the communications channel carries meaningful data
- Problems with sending and receiving photons



Quantum Cryptography (contd.)

01001010100101010101010011001010101000010101010101010100010100100—●

- IBM T.J. Watson Research Center (U.S.)
 - Aunt Martha's Coffin (1989): 1 foot
- Id Quantique (Switzerland)
 - Optical fiber system: 20 miles
- MagiQ Technologies (U.S.)
 - Optical fiber system: 65 miles
 - Cost: \$70,000 to \$100,000



Quantum Cryptography (contd.)

01001010100101010101010011001010101010000101010101010101010100010100100—●

- Los Alamos/NIST (U.S.)
 - Optical fiber system (2007): 100 miles
- European Consortium (Canary Islands, Spain)
 - Air (2007): 90 miles
- Satellite Transmission (250 miles) is a possibility