

Protocol Deviation Report

| Protocol: | EARLY- AC-NA-001 |
|--------------------------------------------------------------------------------------------------------------------|------------------------------------------------------|
| Investigator: | Dr. Fiona Duncan |
| Subject Number / Identifier: | FG-011 |
| Sponsor: | Advantage Clinial |
| Date of Report | 01September2016 |
| Describe the protocol Deviation: | |
| Subject did not have Day 30 labs drawn per protocol | |
| Describe the reason for the protocol deviation: | |
| The subject did not report to the lab to have Day 30 labs drawn as they were not reminded by the study coordinator | |
| Was this protocol deviation pre-approved from the sponsor? □ Yes X No | |
| If No; when was this protocol deviation reported to the sponsor: N/A | |
| When was this protocol deviation reported to the IRB: 300ctober2016 | |
| Was this protocol deviation incurred in the immediate interest of protecting patient / subject safety? □ Yes X No | |
| If No; describe the preventative action that will be printhe future: | ut in place to prevent this deviation from occurring |
| The study coordinator reviewed the protocol require | ements for Day 30 lab draws. Prompts were added |
| to the study coordinator's visit worksheet to remind subjects to attend the lab for blood draws within | |
| the protocol visit windows of +/- 5 days. | |
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| Form Completed by (print): Study Coordinator 1 | |
| Signature: Signature | |
| Date: 30October2016 | |
| Document Version 1.0 01Apr2016 | |

