

Mini Internal Audit Report – ISO/IEC 27001 Checklist

This report summarizes the results of a sample internal audit based on selected ISO/IEC 27001 controls. The objective was to assess compliance, identify control gaps, and highlight areas for remediation.

Control Name	Evidence Required	Compliance Status
Access Control	User access logs, access request approvals	Yes
Encryption	Encryption policy, key management records	Partial
Backup & Recovery	Backup logs, recovery test reports	No
Incident Response	Incident response plan, incident logs	Yes
Logging & Monitoring	System logs, SIEM dashboard screenshots	Partial
Physical Security	CCTV logs, physical access records	Yes
Vendor Risk Management	Vendor contracts, risk assessment reports	No

Overall, the audit identified partial or missing compliance in Encryption, Backup & Recovery, Logging & Monitoring, and Vendor Risk Management. Corrective Action Plans (CAPAs) should be developed to address these areas and ensure full compliance with ISO/IEC 27001 requirements.