

What is a tree?

↳ A connected graph with no cycles

What is a binary tree?

↳ A tree of binary nodes

What is a binary node?

↳ A linked node container having a constant number of fields:

- A pointer to an item stored at the node.
- A pointer to a parent node (possibly None)
- A pointer to a left child node (possibly None).
- A pointer to a right child node (possibly None).

Code of Binary Node:

```
class Binary-Node:  
    def __init__(self, x):  
        self.item = x  
        self.left = None  
        self.right = None  
        self.parent = None
```

# O(1)

- A binary node can actually be connected to 3 other nodes (parent node, left node, right node)

- We call a node "binary" based on the number of children the node has (max 2).

What is the root of a tree?

↳ The only node in the tree lacking a parent

- All nodes in a tree that are not root nodes can reach the node traversing the parent pointers

- The nodes passed when traversing parent pointers from node  $\langle x \rangle$  back to the root are called **ancestors** for  $\langle x \rangle$  in the tree

- The **depth** of a node  $\langle x \rangle$  in the subtree rooted at  $\langle R \rangle$  is the length of the path from  $\langle x \rangle$  back to  $\langle R \rangle$  (number of edges).

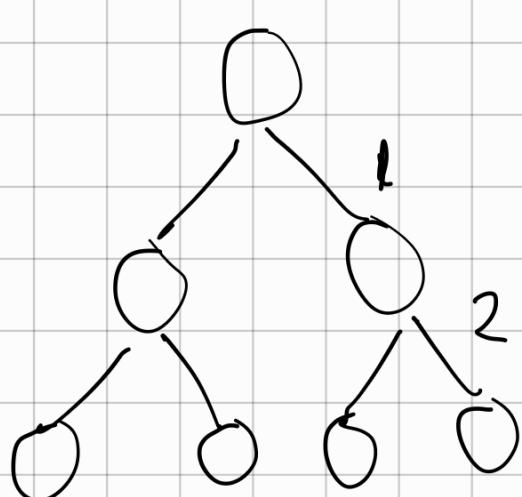
- The **height** of node  $\langle x \rangle$  is the maximum depth of any node in the subtree rooted at  $\langle x \rangle$ .

- A leaf is a node with no children

Linked Lists v.s. Trees  
Why store items in a binary tree?



Many linked list nodes can be  $O(n)$   
pointers hops away from the head  
of the list, so it may take  $O(n)$   
time to reach them.



$$\log_2 7 \approx 2.8$$

$$\log_2 \text{floor} = 2$$

It is possible to construct a binary tree  
with  $n$  nodes such that no node is  
more than  $O(\log n)$  pointer hops away  
from the root  $\rightarrow$  There exists binary

trees with logarithmic height.

Power  $\rightarrow$  if we can keep the Binary Tree height low  $O(\log n)$ , and only perform operations on the tree that run in time on the order of the height of the tree, these operations will run  $O(h) = O(\log n)$  time

$$O(1) < O(\log n) < O(n)$$

Constant      ideal BT      Linked List

Power:

- Keep the height of the BT low  $\rightarrow O(\log n)$ 
  - ↳ Only perform operations that run in time on the order of the height  $\rightarrow O(h) = O(\log n)$

# Tree Traversals

## Inorder traversal :

- Nodes in a binary tree have a natural order base on the fact that we distinguish one child to be left and one child to be right.

Rules for inorder traversal;

- every node in the left subtree of node  $(x)$  comes before  $(x)$  in the traversal order
- every node in the right subtree of node  $(x)$  comes after  $(x)$  in the traversal order

left  $\rightarrow$  root  $\rightarrow$  right

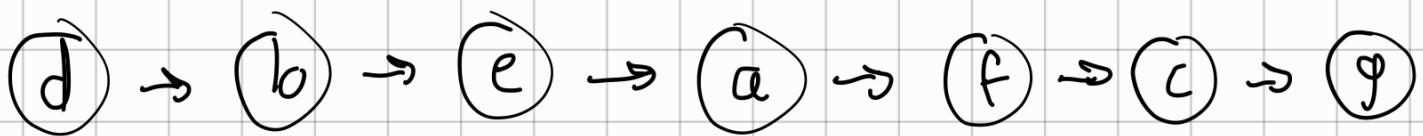
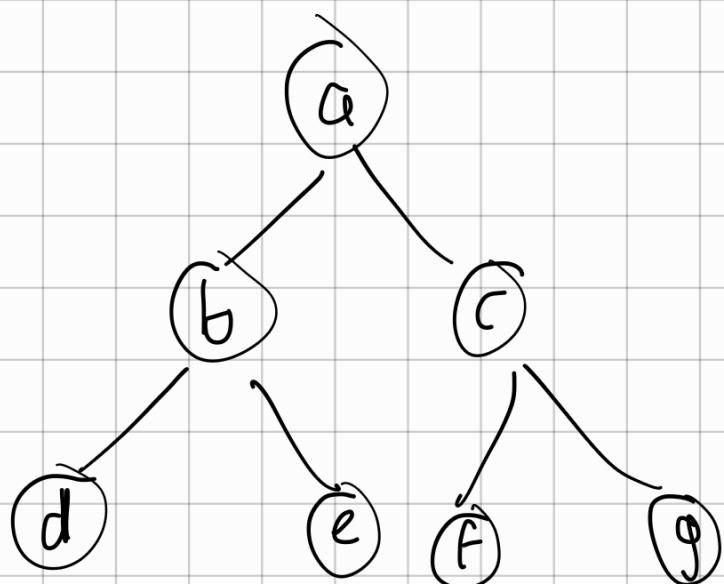
## Algorithm:

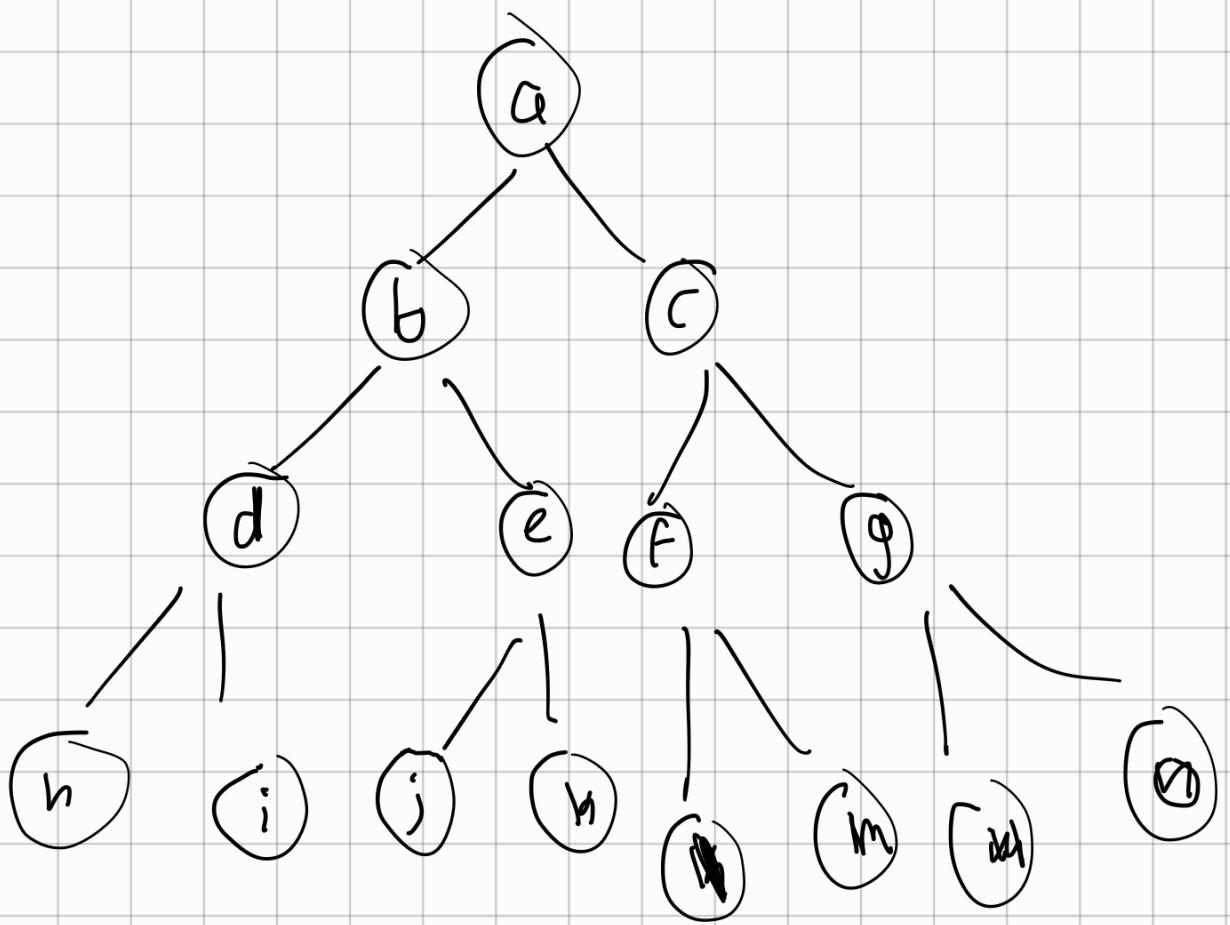
Given a binary node  $(A)$ , we can list the nodes in  $(A)$ 's subtree by:

1. Recursively list the nodes in  $\langle A \rangle$ 's left subtree
2. List  $\langle A \rangle$  itself
3. Recursively list the nodes in  $\langle A \rangle$ 's right subtree

Code:

```
def subtree_iter(A):
    if A.left:
        yield from A.left.subtree_iter()
    yield A
    if A.right:
        yield from A.right.subtree_iter()
```





$(h) \rightarrow (d) \rightarrow (i) \rightarrow (b) \rightarrow (j) \rightarrow (e) \rightarrow (n) \rightarrow (a) \rightarrow (l) \rightarrow (f)$   
 $\rightarrow (m) \rightarrow (c) \rightarrow (n) \rightarrow (g) \rightarrow (o)$

## Tree Navigation

It will be useful to be able to navigate the nodes in their traversal order efficiently.

Most straightforward operation is to find the node in a given node's subtree that appears first or last in traversal order.

First node in inorder traversal order

↳ keep walking left if a left child exists  
 $O(h)$  time; each step of the recursion  
moves down the tree

```
def subtree-first(A):  
    if A.left:  
        return A.left.subtree-first()  
    else:  
        return A
```

Second node in inorder traversal order

↳ keep walking right if a right child exists  
 $O(h)$  time; each step of the recursion  
moves down the tree.

```
def subtree-last(A):  
    if A.right:  
        return A.right.subtree-last()  
    else:  
        return A
```

- Node's Successor: The next node in the traversal order

To find the successor of a node

$\langle A \rangle$ , if  $\langle A \rangle$  has a right child, then  $\langle A \rangle$ 's successor will be the first node in the right child's subtree.

$\# O(h) \rightarrow$  the algorithm only walks down the tree to find the successor

Otherwise,  $\langle A \rangle$ 's successor cannot exist in  $\langle A \rangle$ 's subtree, so we walk up the tree to find the lowest ancestor of  $\langle A \rangle$  such that  $\langle A \rangle$  is in the ancestor's left subtree.

$\# O(h) \rightarrow$  the algorithm only walks up the tree to find the successor

Code:

```
def successor(A):  
    if A.right:  
        return A.right.subtree-first()
```

```
    while A.parent and (A is A.parent.right):  
        A = A.parent  
    return A.parent
```

- Predecessor of a node: The previous node in inorder traversal order

To find the predecessor of a node  $\langle A \rangle$ :

If the node  $\langle A \rangle$  has a left child, then  $\langle A \rangle$ 's predecessor will be the last node in the left subtree.

# O(h): The algorithm walks down the tree to find the node's predecessor

Otherwise,  $\langle A \rangle$ 's predecessor cannot exist in  $\langle A \rangle$ 's right subtree, so we walk up the tree to find  $\langle A \rangle$ 's lowest ancestor such that  $\langle A \rangle$  is in the ancestor's right subtree.

~~O(h)~~ → The algorithm only walks up the tree  
to find the predecessor.