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# Calcium-based plasticity model explains sensitivity of synaptic changes to spike pattern, rate, and dendritic location

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**Multiple stimulation protocols have been found to be effective in changing synaptic efficacy by inducing long-term potentiation or depression. In many of those protocols, increases in postsynaptic calcium concentration have been shown to play a crucial role. However, it is still unclear whether and how the dynamics of the postsynaptic calcium alone determine the outcome of synaptic plasticity. Here, we propose a calcium-based model of a synapse in which potentiation and depression are activated above calcium thresholds. We show that this model gives rise to a large diversity of spike timing-dependent plasticity curves, most of which have been observed experimentally in different systems. It accounts quantitatively for plasticity outcomes evoked by protocols involving patterns with variable spike timing and firing rate in hippocampus and neocortex. Furthermore, it allows us to predict that differences in plasticity outcomes in different studies are due to differences in parameters defining the calcium dynamics. The model provides a mechanistic understanding of how various stimulation protocols provoke specific synaptic changes through the dynamics of calcium concentration and thresholds implementing in simplified fashion protein signaling cascades, leading to long-term potentiation and long-term depression. The combination of biophysical realism and analytical tractability makes it the ideal candidate to study plasticity at the synapse, neuron, and network levels.**

computational model | frequency-dependent plasticity | bistable synapse

**N**umerous experiments have shown how synaptic efficacy can be increased [long-term potentiation (LTP)] or decreased [long-term depression (LTD)] by the relative spike timing [spike timing dependent plasticity (STDP)] (1–4) and firing rate of pre- and postsynaptic neurons (5, 6). Studies in different brain regions and under varying experimental conditions have revealed a plethora of different types of STDP (7). Experimental protocols using a diversity of spike patterns have furthermore highlighted the complexity and nonlinearity of plasticity rules in different systems (6, 8–11). However, how the diversity and nonlinearity of plasticity results emerge from the interplay between the underlying biochemical synaptic machinery and activity patterns remains elusive.

Molecular studies have identified two key elements for the induction of synaptic plasticity in hippocampus and neocortex. First, postsynaptic calcium entry mediated by NMDA receptors (NMDARs) (12) and voltage-dependent  $\text{Ca}^{2+}$  channels (VDCCs) (13–15) has been shown in many cases to be a necessary (15–17) and sufficient (18–20) signal for the induction of synaptic plasticity. Second, calcium, in turn, triggers downstream signaling cascades involving protein kinases (mediating LTP) and phosphatases (mediating LTD) (10, 21–23). Another G protein-coupled LTD induction pathway involves retrograde signaling by endocannabinoids (15, 24), whose efficiency is greatly modulated by postsynaptic calcium (15, 25, 26). Depending on type of synapse, age, and induction protocol, different types and combinations of signaling cascades provide the link between the activity-dependent postsynaptic calcium signal and expression mechanisms of synaptic plasticity, such as number and/or phosphorylation level of

postsynaptic AMPA receptors or changes in presynaptic transmitter release probability (12).

Despite the large amount of modeling studies on abstract and detailed implementations of biochemical signaling cascades (review in ref. 27), a mechanistic understanding of whether and how the calcium signal, combined with the multitude of identified signaling cascades, can give rise to the observed phenomenology of synaptic plasticity is still lacking. To make progress on this issue, we followed the path pioneered by Shouval et al. (28) and devised a biologically plausible but simplified calcium-based model that provides a link between stimulation protocols, calcium transients, protein signaling cascades, and evoked synaptic changes. The model implements in a schematic fashion two opposing calcium-triggered pathways mediating increases of synaptic strength (LTP; i.e., protein kinase cascades) and decreases of synaptic strength (LTD; i.e., protein phosphatase cascades or G-protein cascades). The model is shown to be able to account for a wide range of experimental plasticity outcomes in hippocampal cultures and hippocampal as well as neocortical slices. Fitting this data quantitatively allows us to predict differences in the underlying calcium dynamics between these different studies.

## Results

**Synaptic Efficacy Changes Induced by Calcium.** We consider a model of a single synapse submitted to trains of pre- and postsynaptic action potentials (APs). The model represents the state of a synapse as a synaptic efficacy variable,  $\rho(t)$ , whose temporal evolution is described by a first-order differential equation (Eq. 1):

$$\tau \frac{d\rho}{dt} = -\rho(1-\rho)(\rho_* - \rho) + \gamma_p(1-\rho)\Theta[c(t) - \theta_p] - \gamma_d\rho\Theta[c(t) - \theta_d] + \text{Noise}(t) \quad [1]$$

In Eq. 1,  $\tau$  is the time constant of synaptic efficacy changes happening on the order of seconds to minutes. The first term on the right-hand side describes the dynamics of the synaptic efficacy in the absence of pre- and postsynaptic activity. Here, we choose a cubic function of  $\rho$  that endows the synapse with two stable states at rest: one at  $\rho = 0$ , a DOWN state corresponding to low efficacy, and one at  $\rho = 1$ , an UP state corresponding to high efficacy.  $\rho_* = 0.5$  is the boundary of the basins of attraction of the two stable states. This bistable behavior is consistent with some experiments (29–31) as well as some biochemically detailed models (32, 33). It could be easily modified to account for more stable states or even a continuum of states without qualitatively modifying most of the results reported below.

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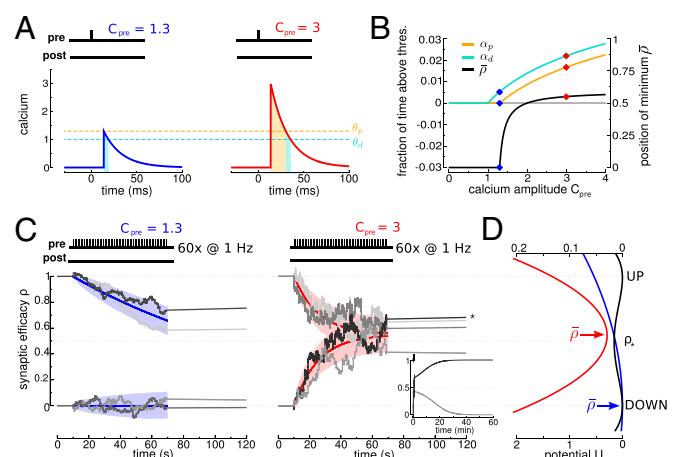
The next two terms in Eq. 1 describe (in a highly simplified fashion) calcium-dependent signaling cascades leading to synaptic potentiation (e.g., kinases) and depression (e.g., phosphatases or G protein-coupled pathways), respectively (similar to Wittenberg)\*. The synaptic efficacy variable tends to increase or decrease when the instantaneous calcium concentration,  $c(t)$ , is above the potentiation ( $\theta_p$ ) or depression threshold ( $\theta_d$ ), respectively ( $\Theta$  denotes the Heaviside function:  $\Theta[c - \theta] = 0$  for  $c < \theta$  and  $\Theta[c - \theta] = 1$  for  $c \geq \theta$ ).  $\gamma_p / \gamma_d$  measures the rates of synaptic increase/decrease when potentiation/depression thresholds are exceeded. The last term in Eq. 1 is an activity-dependent noise term,  $\text{Noise}(t) = \sigma\sqrt{\tau}\Theta[c(t) - \min(\theta_d, \theta_p)]\eta(t)$ , where  $\sigma$  measures the amplitude of the noise,  $\eta(t)$  is a Gaussian white noise process with unit variance density, and the  $\Theta$  function gives an activity dependence to noise (it is present whenever calcium is above the potentiation and/or depression thresholds). This term accounts for activity-dependent fluctuations stemming from stochastic neurotransmitter release, stochastic channel opening, and diffusion.

Changes in the synaptic efficacy are induced by the calcium concentration,  $c(t)$ , which is simply the linear sum of individual calcium transients elicited by pre- and postsynaptic APs. The calcium concentration makes a jump of size  $C_{\text{pre}}$  after each presynaptic spike (with a delay  $D$ ) and then decays exponentially with time constant  $\tau_{\text{Ca}}$ , which is on the order of milliseconds, modeling calcium influx induced by NMDAR activation (34) (Fig. 1A). Likewise, a calcium transient triggered by a postsynaptic spike mediated by VDCC activation is described by a jump of size  $C_{\text{post}}$  followed by an exponential decay with the same time constant as for the presynaptic spike,  $\tau_{\text{Ca}}$  (*SI Appendix, Simplified Calcium Model*). For simplicity, we neglect the NMDA nonlinearity, finite rise times, and different decay time constants for NMDA- and VDCC-mediated calcium transients here, and their impact on the model results is discussed in *SI Appendix*.

Importantly, the calcium-induced fast (approximately milliseconds) changes in the synaptic efficacy depend on the relative times spent by the calcium trace above the potentiation and depression thresholds. Increasing the evoked calcium amplitude increases the time spent above both thresholds (Fig. 1B). Repetitive presentations of the same calcium transients lead to the accumulation of changes in  $\rho$  caused by the slow time scale of  $\rho$  in the absence of activity (Fig. 1C, *Inset*). When calcium amplitudes and  $\gamma_p$  are sufficiently large, these accumulated changes provoke a transition from the DOWN to the UP state with high probability (that is, they induce LTP). Such a transition occurs stochastically because of the noise in the model (Fig. 1C). Also, the protocol has to be long enough for the variable  $\rho$  to have a chance to cross the unstable fixed point.

In the model, synaptic activity induces small but fast changes (within milliseconds) in the efficacy variable. In the absence of activity, the synaptic activity slowly decays to one of the stable steady states on a time scale of minutes (Fig. 1C, *Inset*). Slow dynamics after the induction protocol are seen in many experiments (3, 6, 10) but not experiments involving putative single synaptic connections (29, 31) that are consistent with abrupt changes between two discrete states. This discrepancy could be reconciled by a simple modification of the model, in which the synaptic efficacy would be determined by applying a threshold-nonlinearity to the  $\rho$ -variable.

The model is simple enough, so that the probabilities to induce LTP and LTD can be calculated analytically. The analytical results reproduce the model behavior under two assumptions: (i) single calcium transients induce small changes in the synaptic efficacy (Fig. 1C), and (ii) the depression and potentiation rates ( $\gamma_d$  and  $\gamma_p$ ) are sufficiently large so that one can neglect the cubic term in Eq. 1 during synaptic stimulation (Fig. 1D, note the different scales for quadratic and double-well potentials). These assumptions reduce Eq. 1 to an Ornstein–Uhlenbeck process for which the potential of  $\rho$  during stimulation is quadratic with the minimum at  $\bar{\rho}$  (Fig. 1B and D and *SI Appendix*). The outcome of



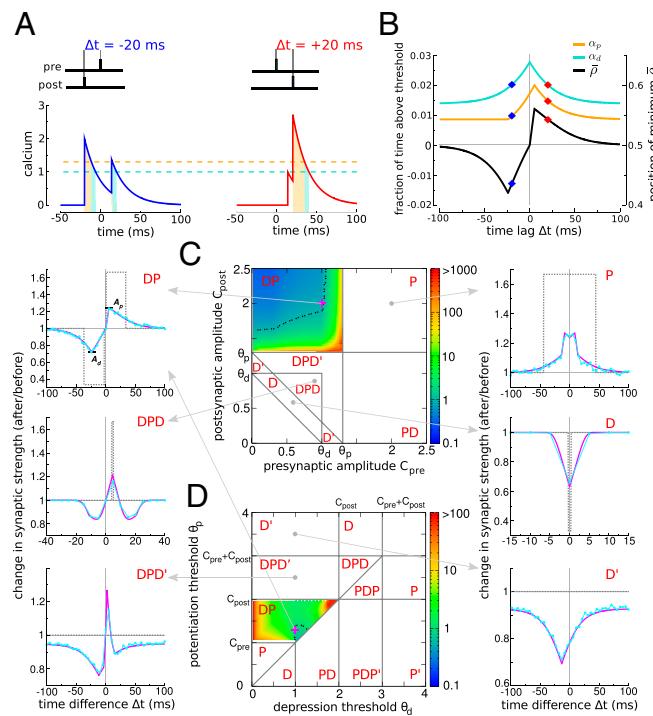
**Fig. 1.** Repeated calcium transients induce transitions between the two stable states of synaptic efficacy. (A) A presynaptic spike at time  $t = 0$  ms induces a postsynaptic calcium transient of amplitude  $C_{\text{pre}}$  after a delay  $D = 13.7$  ms. Left and Right show transients with two different amplitudes that are indicated above the panels. The times spent above the depression (turquoise) and potentiation (orange) thresholds are indicated by shaded regions. (B) The higher the induced calcium transient,  $C_{\text{pre}}$ , the more time is spent above the depression (turquoise) and potentiation (orange) thresholds (left-hand y axis). Depression and potentiation together determine the average asymptotic value of synaptic efficacy  $\bar{\rho}$  (black; right-hand y axis) (*SI Appendix*). The two examples from A are indicated (◆). (C) Repeated calcium transients of high amplitude can lead to a transition from the DOWN to the UP state. The dynamics of  $\rho$  are shown in response to 60 presynaptic spikes at 1 Hz inducing calcium transients of low (Left) and high (Right) amplitude.  $\rho$  resides initially in the UP or DOWN state. Two instances of noise are shown for each initial condition (gray lines). ★, A DOWN to UP transition occurs for this case (Right). Inset shows the temporal evolution of  $\rho$  on a longer time scale for the two cases starting at the DOWN state in Right. The dynamics of the mean (colored line) and SD (shaded area) for the corresponding Ornstein–Uhlenbeck processes are depicted for each stimulation protocol and the two initial conditions. (D) During stimulation, the potential of the synaptic efficacy is approximately quadratic and has a single minimum at  $\bar{\rho}$  (indicated by a colored arrow and shown for the two cases of C; scale at the bottom). In the absence of activity, the potential has two minima (the black line corresponds to two stable states; scale at the top). Note the different scales of the potential during (scale at the bottom) and in the absence of (scale at the top) synaptic activity (because  $\gamma_p, \gamma_d \gg 1$ ; see text).

a particular plasticity protocol will be largely determined by whether  $\bar{\rho}$  is above or below the unstable fixed point  $\rho_* = 0.5$ . LTP tends to be induced if  $\bar{\rho} > \rho_*$  (Fig. 1C, Right), whereas LTD tends to be induced if  $\bar{\rho} < \rho_*$  (Fig. 1C, Left).

**Spike Pair Stimulation Can Evoke a Plethora of Different STDP Curves.** We start by explaining how the model reproduces the classical STDP curve (that is, depression for post-pre pairs and potentiation for pre-post pairs). Such a curve can be obtained when the potentiation threshold is larger than the depression threshold ( $\theta_p > \theta_d$ , consistent with ref. 22), the amplitude of the postsynaptic calcium transient is larger than the potentiation threshold ( $C_{\text{post}} > \theta_p$ ), and the amplitude of the presynaptic transient is smaller than the potentiation threshold ( $C_{\text{pre}} < \theta_p$ ). In addition, we impose that spike pairs with a large time difference should not evoke efficacy changes, which is the case if potentiation and depression rates balance on average during the protocol (i.e.,  $\bar{\rho} = 0.5$ ) (*SI Appendix*). These conditions yield the classical STDP curve (Fig. 2B).

For large  $\Delta t$ , pre- and postsynaptic calcium transients do not interact, and contributions from potentiation (because of the postsynaptic spike) and depression (because of the post- and presynaptic spikes if  $C_{\text{pre}} > \theta_d$ ) cancel each other, leading to no synaptic changes on average. For short negative  $\Delta t$ , the presynaptically evoked calcium transient rises above the depression threshold. Consequently, depression increases, whereas potentiation remains constant, which brings the potential minimum

\*Wittenberg G (2009) Synaptic decision making: flipping switch-like synapses with cubic autocatalysis. *Front Syst Neurosci Conference Abstract*, 10.3389/conf.neuro.06.2009.03.273.



**Fig. 2.** Diversity of STDP curves in response to spike pair stimulation. **(A)** Compound calcium transients evoked by a pair of pre- and postsynaptic spikes for two values of  $\Delta t$  (indicated on top of the panels) for  $C_{\text{pre}} = 1$  and  $C_{\text{post}} = 2$ . **(B)** Fraction of time spent above the depression (turquoise line) and potentiation thresholds (orange) and average asymptotic value of the synaptic efficacy ( $\bar{p}$ ; black) as a function of  $\Delta t$  for the parameters of A. The two examples from A are indicated (◆). **(C and D)** The shape of the STDP curve varies as a function of the pre- and postsynaptic calcium amplitudes  $C_{\text{pre}}$  and  $C_{\text{post}}$  (C; shown for  $\theta_d = 1$  and  $\theta_p = 1.3$ ) and the depression and potentiation thresholds  $\theta_d$  and  $\theta_p$  (D; shown for  $C_{\text{pre}} = 1$  and  $C_{\text{post}} = 2$ ). We identify a total of 10 qualitatively different regions with respect to the occurrence of depression, D, and potentiation, P, along the  $\Delta t$  axis. The changes in synaptic strength for six representative parameter sets (parameters in *SI Appendix*, Table S1) are shown in Left and Right in the presence and absence of noise (simulations in the presence of noise are in cyan and analytical results are in magenta; analytical results without noise,  $\sigma = 0$ , are in dotted gray). For some of the cases, changes are exclusively driven by noise (DPD', DPD, and D'), whereas the presence of noise smoothes out the transitions and reduces the maximal potentiation and depression amplitudes for the DP, DPD, P, and D' cases. The color codes in both DP regions depict the ratio of the maximal potentiation,  $A_p$ , and the maximal depression,  $A_d$ , amplitudes (Top Left; dotted black line indicates a ratio = 1). All changes in synaptic strength in this figure are in response to the presentation of 60 spike pairs at 1 Hz.

closer to the DOWN state ( $\bar{p} < 0.5$ ) and leads to LTD induction (Fig. 2 A and B). For short positive  $\Delta t$ , however, the postsynaptically evoked calcium transient rides on top of the presynaptic transient and increases activation of both depression and potentiation. This brings the potential minimum closer to the UP state ( $\bar{p} > 0.5$ ) and in turn, gives rise to potentiation, because the rate of potentiation is larger than the rate of depression ( $\gamma_p > \gamma_d$ ) (Fig. 2 A and B). As observed in experiments, the transition from maximal potentiation to maximal depression occurs within a small range of time lags. Furthermore, in the case  $C_{\text{pre}} \leq \theta_d$ , no synaptic changes are evoked if presynaptically evoked calcium transients are blocked, reproducing the NMDA dependence of synaptic plasticity (3, 15). Extending the model to account for the NMDAR nonlinearity for pre-post spike pairs furthermore renders LTP VDCC-independent, as seen in experiments (3, 15) (*SI Appendix*).

We now turn to discuss how STDP curves change when amplitudes of calcium transients or thresholds for potentiation and depression are varied. We find that a total of 10 qualitatively

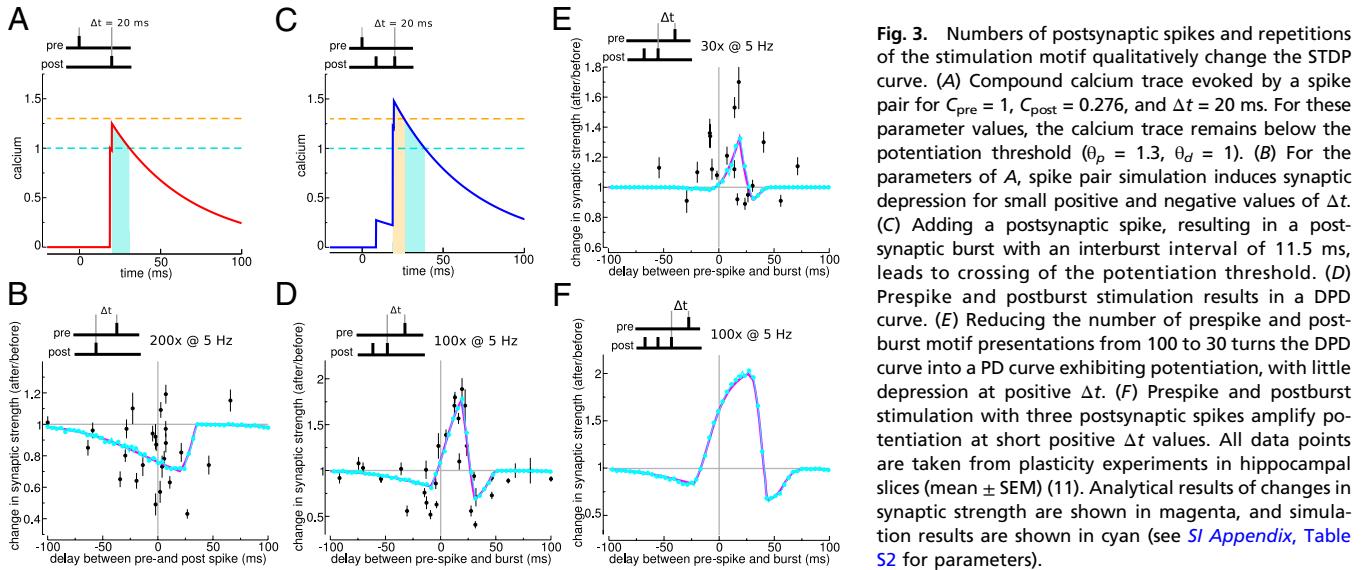
different STDP curves can be observed: D, D', DP, DPD, DPD', P, P', PD, PDP, and PDP', where D refers to depression and P refers to potentiation (Fig. 2 C and D) depending on parameters. For example, in region D, depression occurs at all values of  $\Delta t$ , whereas region DPD means that, when one increases  $\Delta t$  from large negative values, one first sees depression, then potentiation, and again depression. We impose no synaptic changes for large  $\Delta t$  (i.e.,  $\bar{p} = 0.5$ ) in regions where potentiation and depression are activated by individual calcium transients (P, DP, and PD). That requirement fixes the ratio  $\gamma_p/\gamma_d$  (*SI Appendix*). A prime (e.g., D') means that, in the corresponding region, potentiation and depression cannot be balanced for large  $\Delta t$ . This lack of balance occurs when single calcium transients cross the depression but not the potentiation threshold (and vice versa). Furthermore, we choose  $\gamma_p$  and  $\gamma_d$  to yield both potentiation and depression in the DPD, PDP, DPD', and PDP' regions. For example, in the DPD' region, D' behavior can also be observed if  $\gamma_p$  is not large enough.

In Fig. 2C, these regions are plotted in the  $C_{\text{pre}}-C_{\text{post}}$  plane for fixed values of the potentiation and depression thresholds ( $\theta_p = 1.3$ ,  $\theta_d = 1$ ). Starting from the already discussed DP region (Fig. 2C, classical STDP curve), we see that decreasing the amplitude of postsynaptic calcium transients leads to the DPD' and DPD regions, in which a second LTD window appears at positive  $\Delta t$ . Decreasing  $C_{\text{pre}}$  and  $C_{\text{post}}$  more so that their sum is below the potentiation threshold leads to the D and D' regions (depression occurs at all  $\Delta t$ ). If both calcium transients are individually larger than the potentiation threshold, then only potentiation occurs (P region). Finally, exchanging pre and post leads to an inversion of the curves along the  $\Delta t$  axis (for example,  $C_{\text{pre}} > \theta_p > C_{\text{post}}$  leads to an STDP curve that is inverted PD compared with the classical one DP, which is seen, for example, in ref. 35 in a cerebellum-like structure in fish). The different states are also represented in the  $\theta_d-\theta_p$  plane for fixed values of  $C_{\text{pre}} = 1$  and  $C_{\text{post}} = 2$ , revealing additional types of curves (Fig. 2D). For example, a DPD curve occurs if both thresholds are crossed by interacting calcium transients only, a region originally described in ref. 28.

The diversity of STDP curves emerges solely from a combination of linear superpositions of calcium transients from pre- and postsynaptic spikes followed by the potentiation/depression threshold nonlinearities. Note that taking into account the temporal dynamics of the calcium concentration variable is crucial in the model to determine the plasticity outcome as in more detailed models (27, 36). In fact, the maximal amplitude of the calcium transient alone does not predict whether a synapse will potentiate or depress. In other words, potentiation and depression can be seen for the same maximal calcium amplitude in an intermediate range (*SI Appendix*, Fig. S1), which was seen in the experiments in ref. 15.

**Pairings with Postsynaptic Spikes and Bursts.** The next challenge for the model is to account for a set of experimental data obtained under the same experimental conditions but with different stimulation protocols. We show here that the model can reproduce the data shown in ref. 11 from CA3-CA1 slices. In this preparation, pairs of single pre- and postsynaptic spikes repeated at 0-frequency yield LTD only (similar to our D region), whereas pairing a single presynaptic spike with a burst of two postsynaptic spikes yields curves that are similar to our DPD or P region, depending on the duration of the protocol.

We find that all of the results of this experiment can be reproduced by our model (Fig. 3) provided that the parameters of the model are such that it is located in the D region for single spike pairs at low frequency (*SI Appendix*, Fig. S2). In this region, the model naturally reproduces the results shown in ref. 11 for the protocol in which the postsynaptic neuron emits a single spike (Fig. 3 A and B). Adding a second postsynaptic spike with a short interspike interval between the two leads to a pronounced increase in the amplitude of the compound calcium trace (Fig. 3C), giving rise to LTP at short positive  $\Delta t$  (DPD curve) provided that the potentiation rate  $\gamma_p$  is large enough (Fig. 3D). Interestingly, the model then produces a faster induction of LTP than LTD (37), which explains why poten-



tiation is seen when the duration of the protocol is reduced (Fig. 3E). The model parameters can be fitted quantitatively to the data of ref. 11 (SI Appendix and Table S2). The model can then be used to predict the plasticity outcomes for arbitrary protocols in the same experimental setting. For example, we predict that adding a third spike in the burst would yield broader and stronger LTP at positive  $\Delta t$  and short negative  $\Delta t$  (Fig. 3F).

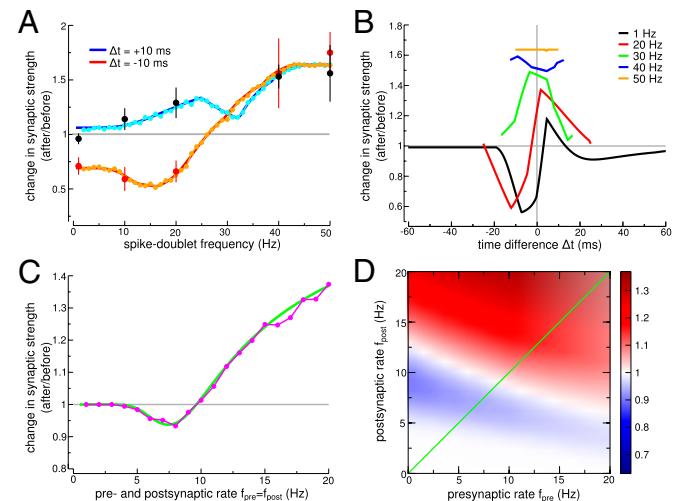
**Spike Triplets and Quadruplets.** We now show that our synapse model naturally reproduces nonlinearities of spike triplet and quadruplet experiments if calcium amplitudes of pre- and postsynaptically evoked transients have different amplitudes. In those experiments from hippocampal cultures, post-pre-post triplets and post-pre-pre-post quadruplets are shown to evoke LTP, whereas pre-post-pre triplets and pre-post-post-pre quadruplets induce no synaptic changes (or little potentiation) (10).

We fitted the synapse model to experimental plasticity results from protocols with spike triplets and quadruplets (SI Appendix, Fig. S3) (10). The resulting parameter sets are located in the DP region, consistent with the experimental results on spike pairs in hippocampal cultures (3, 10). The fit consistently yields a large, postsynaptically evoked calcium amplitude  $C_{\text{post}} > C_{\text{pre}}$  (Discussion and SI Appendix, Fig. S3A). Consequently, post-pre-post triplets lead to stronger activation of potentiation compared with pre-post-pre triplets (SI Appendix, Fig. S3B). Together with a potentiation rate that is larger than the depression rate ( $\gamma_p > \gamma_d$ ), this model creates an imbalance in plasticity outcomes between pre-post-pre and post-pre-post triplets (SI Appendix, Fig. S3C and D). The model is also able to fit the quadruplet data (SI Appendix, Fig. S3E), again because of the pronounced difference between pre- and postsynaptically evoked calcium transients. Finally, parameters that best fit triplet and quadruplet data also reproduce the pair data (SI Appendix, Fig. S3F).

**Plasticity Vs. Firing Rate.** Here, we show that the firing rate dependence of plasticity results emerges naturally in the model because of interactions between successive calcium transients. In visual cortex slices, spike pairs at very low frequency induce no significant changes for short positive  $\Delta t$  ( $\Delta t = 10 \text{ ms}$ ) (1, 6), whereas pronounced LTD is obtained for short negative  $\Delta t$  ( $\Delta t = -10 \text{ ms}$ ). However, pairings at high frequency induce LTP only (6).

We successfully fitted the synapse model to data obtained with pre-post ( $\Delta t = 10 \text{ ms}$ ) and post-pre spike pairs ( $\Delta t = -10 \text{ ms}$ ) presented at frequencies ranging from 0.1 to 50 Hz (Fig. 4) (6). The fit results reside in the DPD and DPD' regions (SI Appendix, Fig. S2) and lead to STDP curves for low frequencies, which are biased for depression for the small  $|\Delta t|$  except for short positive  $\Delta t$

values at which no or little potentiation is evoked (Fig. 4B). Increasing the stimulation frequency naturally leads to an increase in time spent by the calcium trace above the potentiation threshold, because successive calcium transients start to interact with each other, which progressively leads to LTP at all time differences, consistent with the information in ref. 6 (Fig. 4A; compare Fig. 4B



**Fig. 4.** Plasticity vs. firing frequency. (A) Periodic pre-post pairs ( $\Delta t = 10 \text{ ms}$ ) evoke no change at low-presentation frequencies and LTP at high frequencies, whereas post-pre pairs ( $\Delta t = -10 \text{ ms}$ ) lead to depression at low frequencies and potentiation at high frequencies. Data points are taken from plasticity experiments in cortical slices (6) (mean  $\pm$  SEM). Analytical results of changes in synaptic strength are shown in blue and red, and simulation results are shown in cyan and orange. (B) Change in synaptic strength as a function of  $\Delta t$  for various frequencies  $f$  (as indicated). Low presentation frequencies (black line) of spike pairs lead to a DPD curve with a narrow LTP region. Potentiation is recruited when consecutive calcium transients start to interact at high frequencies, leading to potentiation only above 29 Hz for all  $\Delta t$ . (C) Pre- and postsynaptic Poisson firing at equal rates ( $f_{\text{pre}} = f_{\text{post}}$ ) evokes no synaptic changes at low rates, LTD at intermediate rates, and LTP at high rates. Analytical results of changes in synaptic strength are shown in magenta, and simulation results are shown in green. (D) The change in synaptic strength (analytical results) in response to Poisson stimulation is shown for all combinations of pre- and postsynaptic rates. The green diagonal illustrates the values depicted in C (see SI Appendix, Table S2 for parameters).

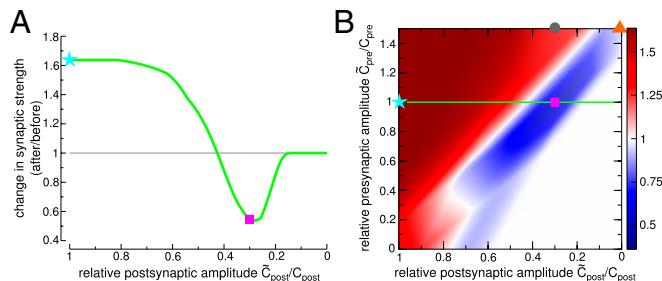
with figure 7 in ref. 6). Our model, furthermore, qualitatively reproduced experimental plasticity results in response to random firing in which spike times of both pre- and postsynaptic neurons are jittered and LTD is evoked at low frequencies, whereas high frequencies elicit LTP (*SI Appendix*, Fig. S4) (6).

The above-studied deterministic spike patterns are at odds with experimentally recorded spike trains *in vivo*, which show a pronounced temporal variability similar to a Poisson process. We, therefore, turn to investigate the model in response to uncorrelated Poisson spike trains of pre- and postsynaptic neurons (*SI Appendix*, Fig. S10). The synapse model predicts that pre- and postsynaptic firings contribute in a similar way to synaptic efficacy changes in visual cortex: no change for low pre- and post rates, LTD for intermediate rates, and LTP for high rates (Fig. 4 C and D). The model also predicts that LTP occurs for purely postsynaptic activity at high frequency. Such a behavior could, however, be prevented through a frequency-dependent attenuation of the postsynaptically induced calcium transients, modeling failure in backpropagating consecutive APs at high frequencies.

**Synaptic Plasticity and Dendritic Location.** While fitting our model to the plasticity results above, we have so far neglected any influence of dendritic filtering such as attenuation of the backpropagating AP. We show here that the model reproduces the switch from LTP, for proximal layer 5 to layer 5 synapses, to LTD for distal neocortical layer 2/3 to layer 5 synapses, since attenuation of AP backpropagation leads to reduced calcium influx (38).

Using the parameter set obtained from fitting our model to plasticity outcomes at proximal cortical synapses (Fig. 4) and varying only the evoked calcium amplitudes reproduces a bulk of experimental data on the location dependence of plasticity. (i) LTP turns into LTD at distal synapses when the postsynaptic calcium amplitude drops to 30%, which is in agreement with the experimentally observed magnitude of calcium influx reduction at distal dendrites (Fig. 5, magenta square). (ii) Large excitatory postsynaptic potentials (EPSPs) induced experimentally by extracellular stimulation or boosting of single synaptic inputs, leading to higher presynaptically evoked calcium influx ( $C_{\text{pre}}$ ) paired with APs, rescue LTP at distal dendrites (Fig. 5B, gray circle). (iii) Strong distal presynaptic input alone evokes LTD (Fig. 5B, orange triangle). All these results are naturally explained by the dependence of the amplitude of the calcium transient on dendritic location, and no parameter tuning is needed to reproduce them.

Another study on dendritic location dependence of plasticity in the somatosensory cortex showed that proximal LTP turns into LTD at distal synapses for pre-post pairing, whereas proximal LTD turns into distal LTP for post-pre pairings (39). These results can be explained in the framework of our model by a DPD plasticity window that shifts to negative  $\Delta t$  at distal synapses because of delayed NMDAR activation.



**Fig. 5.** LTP turns into LTD at distal synapses because of reduced calcium influx. (A) Reducing the postsynaptically evoked calcium amplitude because of the attenuation of the backpropagating AP turns LTP into LTD (magenta square, reduction to 30%). (B) Change in synaptic strength as a function of deviations of the pre- and postsynaptically evoked calcium amplitudes from the parameters used in Fig. 4 (*SI Appendix*, Table S2). The green line illustrates the dependence of plasticity on the postsynaptic amplitude as in A. LTP can be rescued by boosting presynaptic stimulation (gray circle), but strong presynaptic stimulation alone evokes LTD (orange triangle).

## Discussion

The model presented here posits that synaptic changes are driven by calcium transients evoked by pre- and postsynaptic spikes through potentiation and depression thresholds that represent (in a simplified fashion) protein signaling cascades leading to LTP and LTD. This model allows us to analytically compute plasticity outcomes as a function of model parameters for deterministic as well as stochastic protocols. This feature enabled us to fully characterize the behavior of the model in response to standard STDP protocols and show its ability to fit a large set of experimental data in different preparations. Because of the properties of calcium transients, our synaptic learning rule (as the experimental data) is naturally sensitive to both spike timing and firing rates of both pre- and postsynaptic neurons. The model illustrates that the calcium trace together with the nonlinear calcium-dependent activation of signaling cascades are potentially sufficient to explain the diversity and nonlinearity of plasticity outcomes.

Our model makes several predictions. We predict how Poisson stimulation shapes synaptic plasticity in cortical slices, hippocampal cultures, and hippocampal slices and predict that the plasticity results exhibit very different overall behaviors (Fig. 4D and *SI Appendix*, Fig. S10 C and D). For Poisson stimulation in cortical slices, we predict that decreasing calcium amplitudes (e.g., by partially blocking calcium intracellularly) shift the threshold for LTP induction to higher frequencies (*SI Appendix*, Fig. S5B). Conversely, boosting calcium amplitudes (e.g., by increasing extracellular calcium concentrations) should move the threshold to lower frequencies. We predict, furthermore, that reproducing the classical STDP curve (DP) requires single postsynaptic calcium transients to activate both potentiation and depression cascades. Contributions from both pathways cancel for single postsynaptic spikes, whereas blocking potentiating or depressing cascades should disrupt that balance and reveal LTD or LTP, respectively, for postsynaptic stimulation alone.

The model also allows us to infer information about the calcium transients using the stimulation protocol and the observed plasticity outcomes. For example, AP backpropagation seems to be more efficient (e.g., through less attenuation or broader APs) in hippocampal cultures, because only a single postsynaptic spike is required to elicit LTP as opposed to the requirement for postsynaptic bursts in hippocampal and cortical slices (11, 15). In line with that observation, fitting our model to hippocampal culture data yields a larger, postsynaptically evoked calcium amplitude for single APs (*SI Appendix*, Fig. S2). Furthermore, cortical slice parameters show that the maximal calcium amplitude does not predict the direction of synaptic changes, which was seen in cortical slice experiments (compare *SI Appendix*, Fig. S1 with ref. 15). We predict that synaptic changes should be much more correlated with the times spent above specific calcium thresholds than with the amplitudes of the calcium transients. Conversely, the model provides a tool to predict changes in synaptic strength when the calcium dynamics or stimulation protocols are varied. In particular, we have shown that it naturally reproduces the dependence of synaptic plasticity on dendritic location (Fig. 5).

The model bears similarities with a number of previous synaptic plasticity models (28, 40–42). Shouval et al. pioneered the study of calcium-based models and showed how such models can reproduce a variety of experimental protocols (28). Our model can be seen as an additional simplification of this model, which allows us to (i) analytically compute plasticity outcomes and (ii) find that the standard STDP curve (DP in our terminology) can naturally be reproduced without any need for additional detectors of synaptic activity other than calcium. Brader et al. introduced bistability in a calcium-based model but did not attempt to fit experimental data with such a model (41). Additionally, the works of Pfister et al. (40) and Clopath et al. (42) use a similar approach as our approach of fitting a variety of experimental protocols to a simplified model. However, in contrast to our model, the works in refs. 40 and 42 use a purely phenomenological model (based on adding triplet terms and a voltage dependence to a simple STDP rule) that cannot be easily related to the biophysical properties

of the synapse. Furthermore, such models fail to produce the plethora of STDP curves (Fig. 2) and the nonlinear summation of synaptic changes seen when changing the number of motif presentations (Fig. 3). The strength of the calcium-based approach used here is the fact that we can investigate how synaptic plasticity is affected when biophysical parameters, such as the calcium amplitudes, are varied (Fig. 5).

Finally, we emphasize that our model could easily be generalized in various directions. One of such directions is the implementation of a Bielenstock-Cooper-Munro (BCM)-like sliding threshold as shown in *SI Appendix*, Fig. S5. A second generalization would be to include the effects of various neuromodulators that are known to affect synaptic plasticity (43). One simple way of implementing neuromodulation would be to add neuromodulatory dependence to specific model parameters as the thresholds or rates. Such an implementation could potentially lead to a more biophysical ground of reinforcement learning theories.

To conclude, our synaptic learning rule provides a bridge between activity patterns, the calcium signal, biochemical signaling cascades, and plasticity results. Its simplicity and analytical tractability make it an ideal candidate for investigating the effects of learning at the network level.

## Materials and Methods

**Analytical solution for transition probabilities.** The behavior of the model is governed by  $\alpha_p$  and  $\alpha_d$ , the fraction of time the calcium concentration spends above the potentiation and depression thresholds, respectively.  $\alpha_p$  and

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$\alpha_d$  can be computed analytically for all the stimulation protocols considered here. The probability for a DOWN-to-UP transition,  $\mathcal{U}$ , and for the reverse transition,  $\mathcal{D}$ , can then be computed analytically using the Fokker-Planck formalism (*SI Appendix*, Eqs. S13 and S15).

**Synaptic strength, change in synaptic strength and simulations.** We take the synaptic strength linearly related to  $p$  as  $w = w_0 + p(w_1 - w_0)$ , where  $w_0/w_1$  is the synaptic strength of the DOWN/UP state. We assume that, before a stimulation protocol, a fraction  $\beta$  of the synapses are in the DOWN state. We consider the change in synaptic strength as the ratio between the average synaptic strengths after and before the stimulation, i.e.  $[(1 - \mathcal{U})\beta + \mathcal{D}(1 - \beta)] + b[\mathcal{U}\beta + (1 - \mathcal{D})(1 - \beta)] / (\beta + [1 - \beta]b)$ , where  $b = w_1/w_0$ .

**Fitting the synapse model to experimental data.** Fitting procedures are described in SI Appendix and parameters are summarized in *SI Appendix, Table S2*.

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**Supporting Information Corrected April 13, 2012**

## **Supplementary Information:**

# **A calcium-based plasticity model explains sensitivity of synaptic changes to spike pattern, rate and dendritic location**

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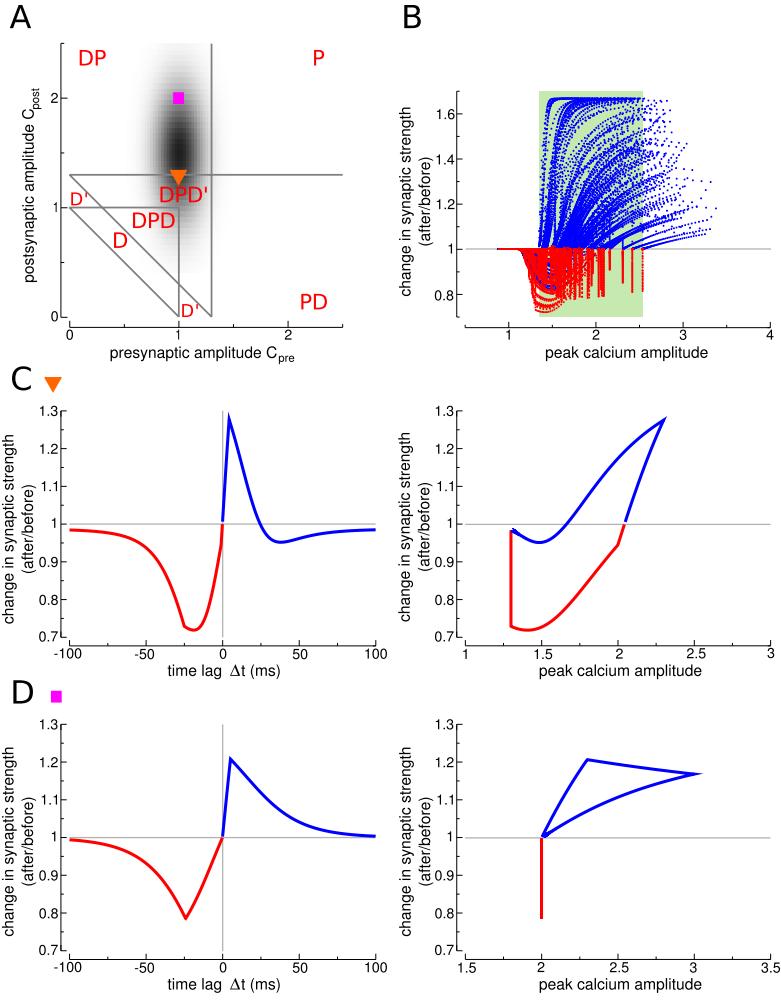
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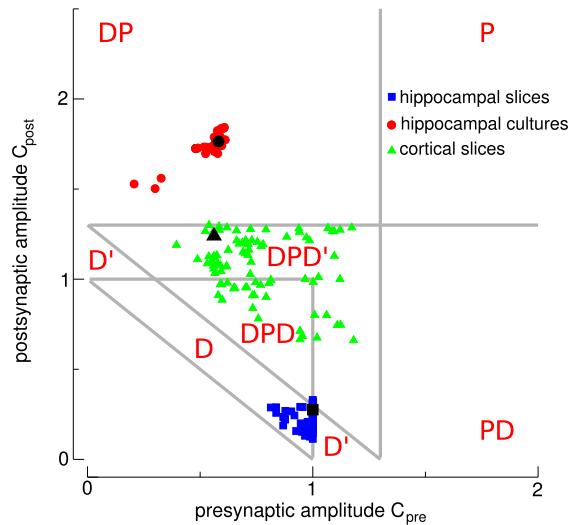
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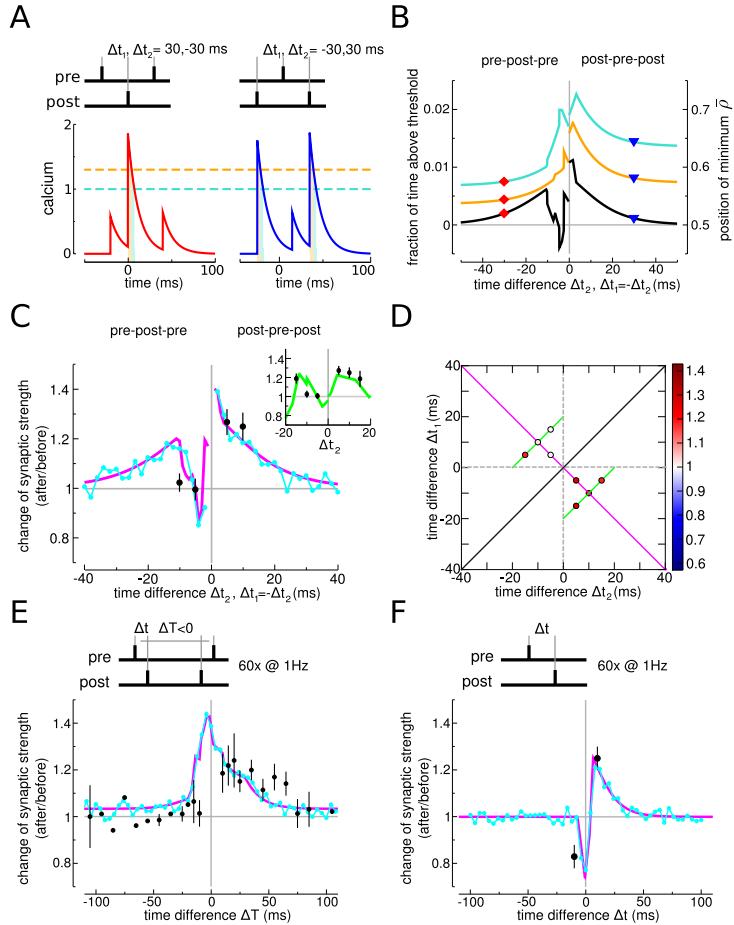
## **1 Supplementary Figures**



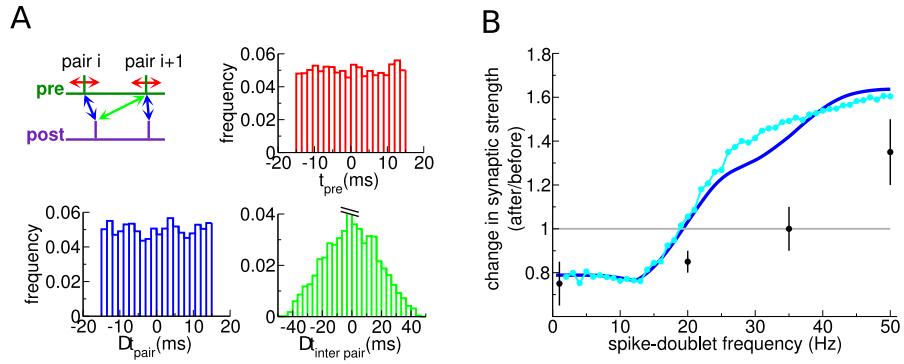
**Figure S1: Direction of synaptic changes and maximal calcium amplitude.** Which feature of the calcium transient predicts most reliably the direction and magnitude of synaptic changes? A long-standing hypothesis is that the maximal calcium amplitude induced by pre- and postsynaptic spikes is the key factor in determining the direction and magnitude of synaptic plasticity (Bear et al. 1987; Hansel et al. 1997; Yang et al. 1999; Cormier et al. 2001). Experimental data from Nevian and Sakmann (2006) show however that even though an elevation of calcium is necessary to induce synaptic changes, there is a large region of maximal calcium amplitudes for which both negative and positive weight changes are observed, depending on the order of pre- and postsynaptic activity (see Nevian and Sakmann 2006; Fig.8). We show here that our model naturally reproduces this phenomenon. (A) Location of the parameter sets in the  $C_{\text{pre}} - C_{\text{post}}$  plane (orange triangle:  $C_{\text{post}} = 1.3$ ; magenta square:  $C_{\text{post}} = 2$ ;  $C_{\text{pre}} = 1$  in both cases; gray shaded region: bivariate Gaussian centered at  $(\bar{C}_{\text{pre}} = 1, \bar{C}_{\text{post}} = 1.5)$ , with standard deviations ( $\sigma_{\text{pre}} = 0.15, \sigma_{\text{post}} = 0.4$ ); see Tab. S3 for other parameters). (B) Change in synaptic strength as a function of the peak calcium amplitude for 100 sets of pre- and postsynaptic calcium amplitudes drawn randomly from the bivariate Gaussian distribution shown by the gray shaded region in A;  $\gamma_p$  is chosen in each case such that the amplitudes of LTP and LTD are approximately balanced. Three different regions appear: (i) low peak calcium amplitudes evoke LTD only, (ii) intermediate calcium amplitudes (green shaded region) induce both LTP and LTD, depending on the order of pre- and postsynaptic spikes, and (iii) high calcium amplitudes evoke LTP only. In region (ii), a given peak calcium amplitude can lead to bidirectional synaptic changes, as in experiments (Nevian and Sakmann 2006). Hence, the temporal dynamics of the calcium concentration is crucial to determine the direction and magnitude of plasticity outcomes. (C,D) Left panels: Changes in synaptic strength for two examples of  $C_{\text{pre}}$  and  $C_{\text{post}}$  (see symbols) as a function of  $\Delta t$ . Right panels: Changes in synaptic strength as a function of the maximal calcium amplitude of the compound calcium trace. Each point of the curves correspond to a different value of  $\Delta t$ . The red (blue) portion of the curves correspond to  $\Delta t < 0$  ( $\Delta t > 0$ ), respectively. All synaptic changes shown in this figure are in response to 60 spike-pair stimulations ( $\Delta t \in [-100, 100]$ ) at 1 Hz.



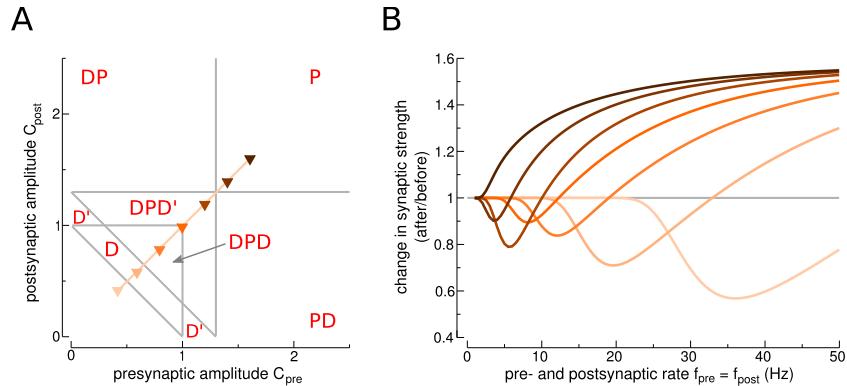
**Figure S2: Plasticity results from different experiments are accounted for by distinct parameter sets.** The  $C_{\text{pre}} \cdot C_{\text{post}}$  plane is shown for  $\theta_d = 1$ ,  $\theta_p = 1.3$  as in Fig. 2C. The seven regions of different possible STDP outcomes for spike-pair stimulation are indicated by the potentiation (P) and depression (D) nomenclature (see Fig. 2). The blue, red and green symbols show outcomes from fitting our model to experimental data obtained in hippocampal slices (Wittenberg and Wang 2006), hippocampal cultures (Wang et al. 2005) and cortical slices (Sjöström et al. 2001), respectively. Fit results obtained from 100 randomly drawn initial conditions are shown for each of the four systems (SI Materials and Methods). The fit results used in Fig. 3, 4, 5, S3, S4, and S10 are shown as black symbols (see Tab. S2). Fits of the data from hippocampal slices lie in the D region, with small amplitudes of the pre-synaptically triggered calcium transient (Wang et al. 2005). Fits from hippocampal cultures lie in the DP region, with large amplitudes of the post-synaptically triggered calcium transient (Discussion) (Wang et al. 2005). Finally, fits of the data from cortical slices (Sjöström et al. 2001) lie in the DPD and DPD' region. Interestingly, all fits to the different data sets yield comparable presynaptic calcium amplitudes.



**Figure S3: Nonlinearities in response to spike-triplet and -quadruplet stimulation in hippocampal cultures.** (A) Calcium transients evoked by a pre-post-pre triplet (red line,  $\Delta t_1 > 0$ ,  $\Delta t_2 < 0$ , see *SI Materials and Methods* for the convention of  $\Delta t_1$  and  $\Delta t_2$ ) and a post-pre-post triplet (blue line,  $\Delta t_1 < 0$ ,  $\Delta t_2 > 0$ ). Note the large calcium transients evoked by postsynaptic spikes ( $C_{\text{post}} = 1.7644$ ,  $C_{\text{pre}} = 0.5816$ ). (B) The fractions of time spent above the depression (turquoise) and the potentiation threshold (orange, left-hand y-axis) as well as position of the potential minimum,  $\bar{\rho}$ , (black, right-hand y-axis) are shown with respect to  $\Delta t_2$  for the case of symmetrical spike-triplets, *i.e.*,  $\Delta t_1 = -\Delta t_2$ . The two examples from A are indicated by symbols in the same color. (C) The change in synaptic strength for symmetrical spike-triplets ( $\Delta t_1 = -\Delta t_2$ ) shows a clear imbalance, where pre-post-pre triplets evoke no change or little potentiation and post-pre-post triplets induce potentiation. The inset shows triplets with  $\Delta t_1 = \Delta t_2 + 20$  ms for  $-20 < \Delta t_2 < 0$  ms and  $\Delta t_1 = \Delta t_2 - 20$  ms for  $0 < \Delta t_2 < 20$  ms (see D). (D) The imbalance in plasticity outcomes between pre-post-pre and post-pre-post triplets becomes more apparent in the  $\Delta t_1$  -  $\Delta t_2$  plane. The color code depicts the change in synaptic strength as given by analytical results. Post-pre-post triplets evoke strong synaptic potentiation for small  $|\Delta t_1|$  and  $|\Delta t_2|$ . The magenta and the green lines indicate the pairs of  $\Delta t_1$ ,  $\Delta t_2$  exemplified in C in the same color. The middle diagonal (black line) separates pre-post-pre and post-pre-post triplets. (E) In line with experiments, spike-quadruplet stimulation yields stronger potentiation for post-pre-pre-post quadruplets (convention:  $\Delta T > 0$ ) as compared to pre-post-post-pre quadruplets ( $\Delta T < 0$ ;  $\Delta t = 5$  ms and  $-5$  ms for pre-post and post-pre pairs, respectively). (F) Using the same parameter set as in A-E, the model reproduces the classical STDP curve (DP) in response to spike-pair stimulation as seen in experiments. All changes in synaptic strength are in response to the presentation of 60 motifs at 1 Hz. All data points in this figure are taken from Wang et al. (2005) (mean  $\pm$  SEM, if multiple points are available). Analytical results of changes in synaptic strength are shown in magenta and simulation results in cyan. The ‘hippocampal cultures’ parameter set is used in this figure (see Tab. S2).



**Figure S4: Synaptic changes for jittered spike-pairs.** (A) In this stimulation protocol, the time of the presynaptic spike,  $t_{\text{pre}}$ , is drawn from a flat distribution of the interval  $[-15, 15 \text{ ms}]$  (red arrow), and the time difference within one spike-pair,  $\Delta t_{\text{pair}}$ , is also drawn from a flat distribution of the interval  $[-15, 15 \text{ ms}]$  (blue arrows) (Sjöström et al. 2001). The distributions for  $t_{\text{pre}}$  and  $\Delta t_{\text{pair}}$  for 5000 spike-pairs are shown in red and blue, respectively. The distribution for pre-post ( $\Delta t > 0$ ) or post-pre ( $\Delta t < 0$ ) pairings with spikes from consecutive spike-pairs,  $\Delta t_{\text{inter pair}}$ , is shown in green for a presentation frequency of  $f = 50 \text{ Hz}$  (5000 spike-pairs). The peak at zero is discontinued and counts cases where a post-pre (pre-post) pair at time point  $i$  is followed by a pre-post pair (post-pre) at time point  $i + 1$ , that is, two presynaptic (postsynaptic) spikes follow one another in consecutive spike-pairs. (B) Jittered spike-pairs evoke depression at low spike-pair presentation frequencies ( $f < 19 \text{ Hz}$ ) and potentiation at high frequencies ( $f \geq 20 \text{ Hz}$ ). Data points (black) are adapted from plasticity experiment in cortical slices (Sjöström et al. 2001) (mean  $\pm$  SEM). Analytical results of change in synaptic strength are shown in blue and simulation results in cyan. Both are obtained using the ‘cortical slices’ parameter set (see Tab. S2). All transition probabilities are shown for the presentation of 75 spike-pairs.



**Figure S5: Activity-dependent calcium amplitudes lead to BCM rule (Bienenstock et al. 1982).** (A) Values of  $C_{\text{pre}}$  and  $C_{\text{post}}$  used in B are indicated by triangles with various colors ( $C_{\text{pre}} = C_{\text{post}} = 0.4, 0.6, 0.8, 1.0, 1.2, 1.4, 1.6$ ). All other parameters are kept constant (see Tab. S1). postsynaptic firing rates (for simplicity  $f_{\text{pre}} = f_{\text{post}}$ ), for the values of pre- and postsynaptic calcium amplitudes indicated in A (same color code). For low calcium amplitudes, the synapse model exhibits only LTD in the physiological range of firing rates. Increasing the calcium amplitudes ( $C_{\text{pre}} = C_{\text{post}}$ ) leads to the appearance of LTP at high frequencies, with a threshold between LTD and LTP that strongly depends on  $C_{\text{pre}} = C_{\text{post}}$ . Therefore, adding an activity dependence to the model, such that calcium amplitudes decrease when firing rates increase, would naturally leads to a BCM-like rule. A similar behavior can be obtained if potentiation and depression thresholds increase with firing rates.

## 2 Supplementary Tables

Parameter	unit	DP-curve	DPD-curve	DPD'-curve	P-curve	D -curve	D'-curve	BCM-example
$\tau_{\text{Ca}}$	ms	20	20	20	20	20	20	20
$C_{\text{pre}}$		1	0.9	1	2	0.6	1	varied
$C_{\text{post}}$		2	0.9	2	2	0.6	2	varied
$\theta_d$		1	1	1	1	1	1	1
$\theta_p$		1.3	1.3	2.5	1.3	1.3	3.5	1.3
$\gamma_d$		200	250	50	160	500	60	200
$\gamma_p$		321.808	550	600	257.447	550	600	400
$\sigma$		2.8284	2.8284	2.8284	2.8284	5.6568	2.8284	2.8284
$\tau$	s	150	150	150	150	150	150	150
$\rho_*$		0.5	0.5	0.5	0.5	0.5	0.5	0.5
$D$	ms	13.7	4.6	2.2	0	0	0	0
$\beta$		0.5	0.5	0.5	0.5	0.5	0.5	0.5
$b$		5	5	5	5	5	5	5

**Table S1: Parameters of the STDP curves depicted in Fig. 2C,D and the sliding threshold example in Fig. S5.** The calcium amplitudes ( $C_{\text{pre}}, C_{\text{post}}$ ) and the thresholds ( $\theta_d, \theta_p$ ) define the locations in the  $\theta_p$ - $\theta_d$  and the  $C_{\text{pre}}$ - $C_{\text{post}}$  planes in Fig. 2C,D. The activation thresholds for all examples in the  $C_{\text{pre}}$ - $C_{\text{post}}$  plane (DP, DPD, P and D, Fig. 2C) are  $\theta_d = 1$  and  $\theta_p = 1.3$ . The calcium amplitudes for all examples in the  $\theta_p$ - $\theta_d$  plane (DPD' and D', Fig. 2D) are  $C_{\text{pre}} = 1$  and  $C_{\text{post}} = 2$ .  $\gamma_d, \gamma_p$  and  $\sigma$  are adjusted such that all examples yield approximately similar magnitudes of synaptic changes. The time delay of the presynaptic calcium transient,  $D$ , is adjusted such that the transition from depression to potentiation occurs at  $\Delta t = 0$  ms for the DP, DPD and the DPD' examples,  $D = 0$  otherwise. For simplicity,  $\tau_{\text{Ca}}, \tau, \rho_*, \beta$  and  $b$  are kept the same for all examples.

Parameter	hippocampal slices (Wittenberg and Wang 2006) Fig. 3, S10	hippocampal cultures (Wang et al. 2005) Fig. S3, S10	cortical slices (Sjöström et al. 2001) Fig. 4, 5, S4
$\tau_{\text{Ca}}$ (ms)	48.8373	11.9536	22.6936
$C_{\text{pre}}$	1	0.58156	0.5617539
$C_{\text{post}}$	0.275865	1.76444	1.23964
$\theta_d$	<b>1</b>	<b>1</b>	<b>1</b>
$\theta_p$	<b>1.3</b>	<b>1.3</b>	<b>1.3</b>
$\gamma_d$	313.0965	61.141	331.909
$\gamma_p$	1645.59	113.6545	725.085
$\sigma$	9.1844	2.5654	3.3501
$\tau$ (sec)	688.355	33.7596	346.3615
$\rho_*$	<b>0.5</b>	<b>0.5</b>	<b>0.5</b>
$D$ (ms)	18.8008	10	4.6098
$\beta$	<b>0.7</b>	<b>0.5</b>	<b>0.5</b>
$b$	5.28145	36.0263	5.40988

**Table S2: Parameters obtained from fitting the synapse model to experimental data.** Values in bold were prefixed and were not allowed to be optimized by the fitting routine (SI Materials and Methods).

Parameter	unit	DPD'-curve (orange triangle)	DP-curve (magenta square)	heterogeneous curve (gray shaded area)
$\tau_{\text{Ca}}$	ms	20	20	20
$C_{\text{pre}}$		1	1	drawn
$C_{\text{post}}$		1.3	2	drawn
$\theta_d$		1	1	1
$\theta_p$		1.3	1.3	1.3
$\gamma_d$		150	150	150
$\gamma_p$		310	241.356	adjusted
$\sigma$		2.8284	2.8284	2.8284
$\tau$	s	150	150	150
$\rho_*$		0.5	0.5	0.5
$D$	ms	4.3	13.8	adjusted
$\beta$		0.5	0.5	0.5
$b$		5	5	5

**Table S3: Parameters of the examples for maximal calcium amplitude and direction of synaptic change depicted in Fig. S1.** We vary  $C_{\text{pre}}$  and  $C_{\text{post}}$  to obtain qualitatively different STDP curves in the DPD' and the DP regions (Fig. S1A).  $\gamma_p$  and  $\gamma_d$  are adjusted to yield approximately equal LTP and LTD magnitudes across the different cases.  $D$  is chosen such that the transition from LTD to LTP occurs at  $\Delta t = 0$  ms. For the examples illustrating synaptic heterogeneity (Fig. S1B), we draw the pre- and postsynaptic calcium amplitudes from a bivariate Gaussian distribution with means at ( $\bar{C}_{\text{pre}} = 1$ ,  $\bar{C}_{\text{post}} = 1.5$ ) and standard deviations ( $\sigma_{\text{pre}} = 0.15$ ,  $\sigma_{\text{post}} = 0.4$ ). All other parameters are kept constant across the cases.

<b>Parameter</b>	<b>unit</b>	<b>min</b>	<b>max</b>
$\tau_{\text{Ca}}$	ms	1	100
$C_{\text{pre}}$		0.1	20
$C_{\text{post}}$		0.1	50
$\theta_d$		<b>fixed</b>	
$\theta_p$		<b>fixed</b>	
$\gamma_d$		5	5000
$\gamma_p$		5	2500
$\sigma$		0.35	70.7
$\tau$	s	2.5	2500
$\rho_*$		<b>fixed</b>	
$D$	ms	0	50
$\beta$		<b>fixed</b>	
$b$		1	100

**Table S4: Parameter value ranges.** When fitting the synapse model to the different experimental datasets ('hippocampal slices' Wittenberg and Wang 2006, 'hippocampal cultures' Wang et al. 2005, and 'cortical slices' Sjöström et al. 2001, we draw the initial parameter values from an uniform distribution within the boundaries given here. After convergence to a minima of the gradient descent routine (see SI Materials and Methods), we discard the fit result if the final parameter values lie outside those boundaries. We choose the boundaries to assure that the parameter values lie in biological plausible ranges.

### 3 Supplementary Materials and Methods

#### 3.1 Calcium dynamics

We use two types of calcium models in this study. The simplified calcium model is used in the whole paper, except in Section 3.1.2, where we investigate the more realistic nonlinear calcium model.

##### 3.1.1 Simplified calcium model

The postsynaptic calcium dynamics is described by

$$\frac{dc}{dt} = -\frac{c}{\tau_{\text{Ca}}} + C_{\text{pre}} \sum_i \delta(t - t_i - D) + C_{\text{post}} \sum_j \delta(t - t_j), \quad (1)$$

where  $c$  is the total calcium concentration,  $\tau_{\text{Ca}}$  the calcium decay time constant, and  $C_{\text{pre}}, C_{\text{post}}$  the pre- and postsynaptically evoked calcium amplitudes. The sums go over all pre- and postsynaptic spikes occurring at times  $t_i$  and  $t_j$ , respectively. The time delay,  $D$ , between the presynaptic spike and the occurrence of the corresponding calcium transient (Fig. 1A) accounts for the slow rise time of the NMDAR-mediated calcium influx (see SI section 3.1.2 below). In practice, the delay is chosen such that the transition from LTD to LTP of the STDP curve occurs at  $\Delta t = 0$  ms. This leads to delays in the range 0-25 ms. Without loss of generality, we set the resting calcium concentration to zero, *i.e.*,  $c_0 = 0$ , and use dimensionless calcium concentrations.

##### 3.1.2 Nonlinear calcium model

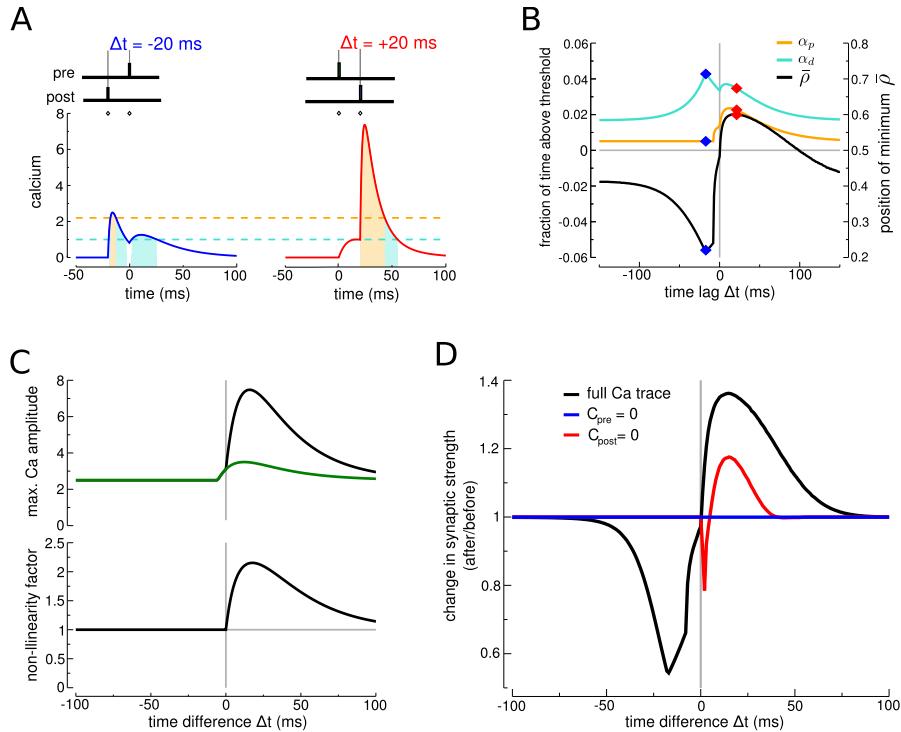
We implement a more realistic calcium model (called in the following ‘nonlinear’ calcium model) to account for the following properties of postsynaptic calcium dynamics: (i) calcium transients mediated by NMDA receptors and VDCC have distinct dynamics. The NMDA mediated transient has a slow rise and decay time, while the VDCC mediates a fast calcium transient (Sabatini et al. 2002). (ii) Summation of pre and post transients is nonlinear when the post spike occurs after the pre spike. Preceding presynaptic activation paired with postsynaptic depolarization from the backpropagating action potential generates a large calcium influx through the NMDA receptor (see Fig. S6A,C, Nevian and Sakmann 2006).

In the nonlinear model, calcium transients evoked by pre- and postsynaptic spikes are accounted for by a difference of exponentials. Presynaptic calcium transients are described as

$$\frac{dA}{dt} = \tilde{A} \left( -\frac{A}{\tau_{\text{pre}}^{\text{r}}} + B \right) \quad (2)$$

$$\frac{dB}{dt} = -\frac{B}{\tau_{\text{pre}}^{\text{d}}} + \sum_i \delta(t - t_i), \quad (3)$$

where the sum goes over all presynaptic spikes occurring at times  $t_i$ .  $\tau_{\text{pre}}^{\text{r}}$  and  $\tau_{\text{pre}}^{\text{d}}$  are the rise and the decay time constants of the calcium transient, respectively,  $\tau_{\text{pre}}^{\text{r}} = 10$  ms and  $\tau_{\text{pre}}^{\text{d}} = 30$



**Figure S6: Synaptic changes induced by nonlinear and finite rise time calcium transients.** (A) Calcium transients evoked by a post-pre (blue line) and a pre-post spike-pair (red line).  $\Delta t$  indicated in panel ( $C_{\text{pre}} = 1$ ,  $C_{\text{post}} = 2.5$ ). Note the nonlinear increase of the postsynaptically evoked calcium transient in case of a pre-post spike-pair. The large calcium influx stems from the voltage-dependence of the NMDA receptor (Nevian and Sakmann 2006, see SI Material and Methods for the ‘nonlinear’ calcium model). (B) Fraction of time spent above the depression (turquoise line) and potentiation thresholds (orange, left-hand y-axis), and the average asymptotic value of the synaptic efficacy ( $\bar{\rho}$ , black, right-hand y-axis) as a function of  $\Delta t$ . The two examples from A are indicated by diamonds. (C) Maximal amplitude and nonlinearity of the calcium transient. The upper panel compares the maximal amplitude of the full calcium trace (black line) with the maximal amplitude of the expected linear sum of pre- and postsynaptically evoked calcium transient (green line). The lower panel depicts the nonlinearity factor which is the peak calcium amplitude, normalized to the expected linear sum of pre- and postsynaptically evoked transients. A nonlinearity factor of one (gray line) indicates linear summation. (D) Change in synaptic strength generated by the nonlinear calcium model and with NMDA or VDCC blocked. The analytically calculated change in synaptic strength shows a DP behavior (black line). Blocking NMDA receptors (blue line,  $C_{\text{pre}} = 0$ ) abolishes plasticity, and blocking VDCC (red line,  $C_{\text{post}} = 0$ ) preserves LTP as seen in experiments (Bi and Poo 1998; Nevian and Sakmann 2006).

ms (Sabatini et al. 2002).  $\tilde{A}$  is a scaling factor such that the maximal amplitude is given by  $C_{\text{pre}}$ ,

$$\tilde{A} = C_{\text{pre}} \left( (1/\tau_{\text{pre}}^{\text{d}} - 1/\tau_{\text{pre}}^{\text{r}}) \left( \frac{\tau_{\text{pre}}^{\text{r}}}{\tau_{\text{pre}}^{\text{d}}}^{1/(1-\tau_{\text{pre}}^{\text{r}}/\tau_{\text{pre}}^{\text{d}})} - \frac{\tau_{\text{pre}}^{\text{r}}}{\tau_{\text{pre}}^{\text{d}}}^{1/(\tau_{\text{pre}}^{\text{d}}/\tau_{\text{pre}}^{\text{r}}-1)} \right) \right)^{-1}.$$

Postsynaptic calcium transients are given by

$$\frac{dE}{dt} = \tilde{E} \left( -\frac{E}{\tau_{\text{post}}^{\text{r}}} + F \right) \quad (4)$$

$$\frac{dF}{dt} = -\frac{F}{\tau_{\text{post}}^{\text{d}}} + \sum_j \delta(t - t_j) + \eta \sum_j \delta(t - t_j) \cdot A, \quad (5)$$

where the sum goes over all postsynaptic spikes occurring at times  $t_j$ .  $\tau_{\text{post}}^{\text{r}} = 2$  ms and  $\tau_{\text{post}}^{\text{d}} = 12$  ms (Sabatini et al. 2002).  $\eta$  implements the increase of the NMDA mediated current in case of coincident presynaptic activation and postsynaptic depolarization through the backpropagating action potential.  $\eta$  determines by which amount the postsynaptically evoked calcium transient is increased in case of preceding presynaptic stimulation, in which case  $A \neq 0$ .  $\tilde{D}$  is a scaling factor such that the maximal amplitude is given by  $C_{\text{post}}$ ,

$$\tilde{D} = C_{\text{post}} \left( (1/\tau_{\text{post}}^{\text{d}} - 1/\tau_{\text{post}}^{\text{r}}) \left( \frac{\tau_{\text{post}}^{\text{r}}}{\tau_{\text{post}}^{\text{d}}}^{1/(1-\tau_{\text{post}}^{\text{r}}/\tau_{\text{post}}^{\text{d}})} - \frac{\tau_{\text{post}}^{\text{r}}}{\tau_{\text{post}}^{\text{d}}}^{1/(\tau_{\text{post}}^{\text{d}}/\tau_{\text{post}}^{\text{r}}-1)} \right) \right)^{-1}.$$

The total calcium transient mediated by NMDA and VDCC activation is given by  $c = A + D$ . See Fig. S6A for two example calcium traces generated by the model described here. Using  $\eta = 4$  yields a maximal nonlinearity factor of about 2 consistent with data from Nevian and Sakmann (2006) (Fig. S6C). Note that in contrast to the simplified calcium model, the presynaptically evoked calcium transient is *not* delayed in the nonlinear model.

We show in Fig. S6D that the nonlinear calcium model in combination with the synapse model described by Eq. [1] reproduce the ‘classical’ STDP curve, that is, depression for post-pre and potentiation for pre-post pairs. The conditions to observe a DP curve with the nonlinear calcium model are the same as in with the simplified calcium model, that is, the potentiation threshold is larger than the depression threshold ( $\theta_p > \theta_d$ ), the amplitude of the postsynaptic calcium transient is larger than the potentiation threshold ( $C_{\text{post}} > \theta_p$ ), and the amplitude of the presynaptic transient is smaller than the potentiation threshold ( $C_{\text{pre}} < \theta_p$ ). Again, we impose that spike-pairs with large time differences do not evoke synaptic changes. This is the case if potentiation and depression evoked by a single postsynaptic spike cancel or nearly cancel each other (see Fig. S6B,D where  $\bar{\rho}$  is not exactly 0.5 but no synaptic changes are induced since changes in  $\rho$  are small and not sufficient to build up). As with the simplified calcium model, these conditions yield the ‘classical’ STDP curve induced by nonlinear and finite rise time calcium transients in response to spike-pairs (Fig. S6D).

Note that the finite rise time of the NMDA mediated calcium transient moves the transition from LTD to LTP to  $\Delta t \sim 0$  ms. In other words, the delay of the presynaptically evoked calcium transient introduced in the simplified calcium model can be seen as an effective implementation of the finite rise time of the NMDA-mediated calcium influx.

Importantly, the nonlinear synapse model reproduces the basic pharmacology of spike-pair evoked STDP. Blocking NMDA receptors, which is implemented by  $C_{\text{pre}} = 0$  in the model, abolishes LTD and LTP, as in experiments (Bi and Poo 1998; Nevian and Sakmann 2006). Note

that this NMDA dependence is also reproduced by the synapse model with simplified calcium dynamics, in large parameter regions (DP region where  $C_{\text{pre}} < \theta_d$ ). In addition, in the nonlinear model LTD is VDCC dependent, as in experiments (Bi and Poo 1998; Nevian and Sakmann 2006), whereas LTP is preserved for  $C_{\text{post}} = 0$  but with a smaller amplitude (Fig. S6D).

### 3.2 Analytical solution for transition probabilities

The behavior of the synapse model is governed by the fraction of time the calcium transient spends above the potentiation and the depression thresholds. In a given protocol, the average depression is given by  $\gamma_d$  times the fraction of time the calcium transient spends above  $\theta_d$ , *i.e.*  $\Gamma_d = \gamma_d \alpha_d$ , and likewise for potentiation. The average fraction of time spent above a given threshold is

$$\alpha_x = \frac{1}{nT} \int_0^{nT} \Theta[c(t) - \theta_x] dt, \quad (6)$$

where  $nT$  refers to the duration of the stimulation protocol ( $n$  presentations at interval  $T$ ;  $x = p, d$ ). Analytical expressions for  $\alpha_p$  and  $\alpha_d$  for the stimulation protocols considered and the simplified calcium model can be found below. For pre- and postsynaptic Poisson firing, the amplitude distribution of the compound calcium trace can be calculated analytically (Gilbert and Pollak 1960), which in turn allows us to calculate  $\alpha_p$  and  $\alpha_d$  also for that case (see below).

To compute the transition probabilities, we perform a ‘diffusion approximation’ of  $\rho$ . We consider a periodic protocol, with a period  $T \ll \tau$ . During a period  $T$ , we assume that the calcium transient spends times of duration  $t_p/t_d$  above the potentiation/depression thresholds, respectively. Integrating Eq. (1) (in manuscript) over the interval  $[t, t + T]$ , and neglecting the cubic term, we have

$$\rho(t + T) \sim \rho(t) + \frac{t_p \gamma_p}{\tau} (1 - \rho(t)) - \frac{t_d \gamma_d}{\tau} \rho(t) + \sigma \sqrt{\frac{\tau_p + \tau_d}{\tau}} z(t),$$

where  $z(t)$  is a Gaussian random variable of unit variance, or equivalently

$$\rho(t + T) \sim \rho(t) + \frac{T}{\tau} (\alpha_p \gamma_p (1 - \rho(t)) - \alpha_d \gamma_d \rho(t)) + \sigma \sqrt{\frac{T}{\tau}} \sqrt{\alpha_p + \alpha_d} z(t).$$

Hence, the conditional distribution  $\text{Prob}(\rho(t + T) | \rho(t))$  is a Gaussian with a mean  $(\alpha_p \gamma_p (1 - \rho(t)) - \alpha_d \gamma_d \rho(t)) T / \tau$  and a SD  $\sigma \sqrt{\frac{T}{\tau}} \sqrt{\alpha_p + \alpha_d}$ . This is the conditional distribution of the stochastic process given by

$$\tau \frac{d\rho}{dt} = \Gamma_p (1 - \rho) - \Gamma_d \rho - \rho(1 - \rho)(\rho_\star - \rho) + \sigma \sqrt{\tau} \sqrt{\alpha_p + \alpha_d} \eta(t). \quad (7)$$

Assuming  $\gamma_p$  and  $\gamma_d$  to be large allows us to neglect the cubic term, and turns equation (7) into an Ornstein-Uhlenbeck process. In that case, Eq. (7) can be solved analytically using the Fokker-Planck formalism (Risken 1996). The probability density function (pdf) of  $\rho$  is a time-dependent Gaussian,

$$P(\rho, t | \rho_0) = \frac{1}{\sqrt{\pi \sigma_\rho^2 (1 - e^{-2t/\tau_{\text{eff}}})}} \exp \left( -\frac{(\rho - \bar{\rho} + (\bar{\rho} - \rho_0)e^{-t/\tau_{\text{eff}}})^2}{\sigma_\rho^2 (1 - e^{-2t/\tau_{\text{eff}}})} \right), \quad (8)$$

where  $\rho_0$  is the initial value of  $\rho$  at  $t = 0$ , which is 0 or 1 in this study depending on whether the system is initially in the DOWN or the UP state, respectively.  $\bar{\rho}$  is the average value of  $\rho$  in the limit of a very long protocol equivalent to the minimum of the quadratic potential during the protocol,  $\sigma_\rho$  is the standard deviation of  $\rho$  in the same limit, and  $\tau_{\text{eff}}$  is the characteristic time scale of the temporal evolution of the pdf of  $\rho$ ,

$$\bar{\rho} = \frac{\Gamma_p}{\Gamma_p + \Gamma_d}, \quad (9)$$

$$\sigma_\rho^2 = \frac{\sigma^2(\alpha_p + \alpha_d)}{\Gamma_p + \Gamma_d}, \quad (10)$$

$$\tau_{\text{eff}} = \frac{\tau}{\Gamma_p + \Gamma_d}. \quad (11)$$

The integral of the pdf above or below the unstable fix-point,  $\rho_*$ , at time  $nT$ , which marks the end of the stimulation protocol, gives the probability that the system will converge to the UP or the DOWN state. We denote the UP and the DOWN transition probabilities as  $\mathcal{U}$  and  $\mathcal{D}$ , respectively. They are given by

$$\mathcal{U}(\rho_0) = \int_{\rho_*}^{\infty} P(\rho, nT | \rho_0) d\rho \quad (12)$$

$$= \frac{1}{2} \left( 1 + \operatorname{erf} \left( -\frac{\rho_* - \bar{\rho} + (\bar{\rho} - \rho_0)e^{-nT/\tau_{\text{eff}}}}{\sqrt{\sigma_\rho^2 (1 - e^{-2nT/\tau_{\text{eff}}})}} \right) \right), \quad (13)$$

as well as

$$\mathcal{D}(\rho_0) = \int_{-\infty}^{\rho_*} P(\rho, nT | \rho_0) d\rho \quad (14)$$

$$= \frac{1}{2} \left( 1 - \operatorname{erf} \left( -\frac{\rho_* - \bar{\rho} + (\bar{\rho} - \rho_0)e^{-nT/\tau_{\text{eff}}}}{\sqrt{\sigma_\rho^2 (1 - e^{-2nT/\tau_{\text{eff}}})}} \right) \right). \quad (15)$$

where  $\operatorname{erf}$  refers to the standard Error Function, defined as  $\operatorname{erf}(x) = \frac{2}{\sqrt{\pi}} \int_0^x e^{-t^2} dt$ .

### 3.3 No change in synaptic strength for spike-pairs with large time differences

Single pre- and postsynaptic spikes do not induce any synaptic changes in the model in two cases: (i) if they do not cross depression and potentiation thresholds (as for example in the DPD and PDP regions in Fig. 2D), (ii) or if contributions from depression and potentiation exactly cancel each other (as we impose in the DP and PD regions, for example). The latter is assured if the position of the quadratic potential during stimulation is at  $\bar{\rho} = \rho_* \equiv 0.5$ , or in other words, if the temporal averages of the potentiation and the depression rates are equal:  $\Gamma_p = \gamma_p \alpha_p = \Gamma_d = \gamma_d \alpha_d \Rightarrow \bar{\rho} = \Gamma_p / (\Gamma_p + \Gamma_d) = 0.5$ . That requirement determines the ratio of the potentiation and the depression rate. Here, we demonstrate how to calculate that ratio

for one example where only single postsynaptic calcium transients cross both thresholds (that is  $C_{\text{pre}} < \theta_d < \theta_p < C_{\text{post}}$ ) and give the ratios for all other cases. Note that the condition  $\bar{\rho} = 0.5$  cannot be satisfied if one of the thresholds is never reached by single calcium transients (e.g., D' in Fig. 2C,D).

A single post-synaptic spike induces a calcium transient described by  $C_{\text{post}} \exp(-t/\tau_{\text{Ca}})$  in the simplified calcium model (see above). This transient crosses the depression threshold for a fraction of time  $\alpha_d = \tau_{\text{Ca}} \ln(C_{\text{post}}/\theta_d)/T$ , and the potentiation threshold for a shorter fraction of time  $\alpha_p = \tau_{\text{Ca}} \ln(C_{\text{post}}/\theta_p)/T$ , where  $T$  is the interval within which one spike-pair is presented. To ensure that single post-synaptic spikes do not induce any synaptic changes, we impose

$$\gamma_p \alpha_p = \gamma_d \alpha_d \Rightarrow \gamma_p \tau_{\text{Ca}} \ln(C_{\text{post}}/\theta_p)/T - \gamma_d \tau_{\text{Ca}} \ln(C_{\text{post}}/\theta_d)/T = 0, \quad (16)$$

which determines the ratio of potentiation and depression rate to

$$\gamma_p/\gamma_d = \frac{\ln(C_{\text{post}}/\theta_d)}{\ln(C_{\text{post}}/\theta_p)}. \quad (17)$$

That ratio of  $\gamma_p$  and  $\gamma_d$  ensures  $\bar{\rho} = 0.5$  for large  $\Delta t$  in case  $C_{\text{pre}} < \theta_d < \theta_p < C_{\text{post}}$ .

The ratios of potentiation and depression rates for the other cases are given by

$$\gamma_p/\gamma_d = \begin{cases} \text{arbitrary} & C_{\text{pre}}, C_{\text{post}} < \theta_d, \theta_p, \\ \frac{\ln(C_{\text{post}}/\theta_d)}{\ln(C_{\text{post}}/\theta_p)} & C_{\text{pre}} < \theta_d < \theta_p < C_{\text{post}}, \\ \frac{\ln(C_{\text{post}}/\theta_d) + \ln(C_{\text{pre}}/\theta_d)}{\ln(C_{\text{post}}/\theta_p)} & \theta_d < C_{\text{pre}} < \theta_p < C_{\text{post}}, \\ \frac{\ln(C_{\text{post}}/\theta_d) + \ln(C_{\text{pre}}/\theta_d)}{\ln(C_{\text{post}}/\theta_p) + \ln(C_{\text{pre}}/\theta_p)} & \theta_d < \theta_p < C_{\text{pre}} < C_{\text{post}}. \end{cases} \quad (18)$$

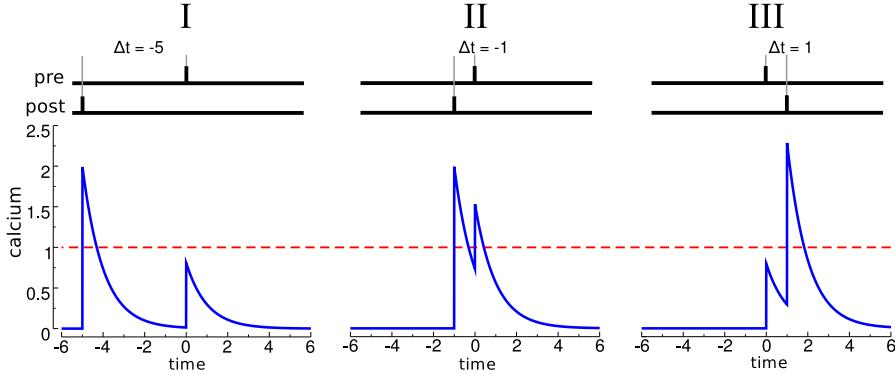
The ratios are given for the conditions  $C_{\text{pre}} < C_{\text{post}}$  and  $\theta_d < \theta_p$  but other cases can be derived in an equivalent way. Note that the ratios here are given for the simplified calcium model (see above).

### 3.4 Fraction of time spent above threshold for different stimulation protocols

We give here the analytical expressions for the fraction of time spent above threshold for the spike-pair, spike-triplet at low frequency, the spike-pair at varying frequencies and pre- and postsynaptic Poisson firing protocols. As an example, we focus on one particular case of calcium amplitudes and threshold, that is,  $C_{\text{pre}} < \theta < C_{\text{post}}$ . However, the expressions can be easily generalized to any relationship between calcium amplitudes and thresholds.

The fraction of time spent above threshold can be calculated analytically for the simplified calcium model. However, simple analytical expressions cannot be derived in the nonlinear model. All results presented in this section are derived for the simplified calcium model.

The fractions of time spent above threshold are used to calculate synaptic changes analytically in the model (see Methods section in manuscript). To simplify the expressions below, we rescale time with respect to the calcium time constant  $\tau_{\text{Ca}}$  as  $t' \rightarrow t/\tau_{\text{Ca}}$ . Hence, both times and calcium amplitudes are dimensionless variables in what follows.



**Figure S7: Single spike-pairs.** Calcium transients for three different time differences,  $\Delta t$ , illustrate the three qualitatively different regions for calculating the fraction of time above threshold (see Eq. (21)). The parameters in the example are  $C_{\text{pre}} = 0.8$ ,  $C_{\text{post}} = 2$  and  $\theta = 1$  (red dashed line).

**Single spike-pairs** We first consider a pair of one presynaptic spike at time  $t = 0$  and one postsynaptic spike at time  $t = \Delta t$ . In the post-pre case ( $\Delta t < 0$ ), the postsynaptic spike precedes the presynaptic spike and the calcium transient elicited by the spike-pair is given by

$$c(t) = \begin{cases} 0 & t < \Delta t, \\ C_{\text{post}} \exp(\Delta t - t) & t \in [\Delta t, 0], \\ \exp(-t) (C_{\text{post}} \exp(\Delta t) + C_{\text{pre}}) & t > 0. \end{cases} \quad (19)$$

When  $\Delta t > 0$ , we have a pre-post pair, and

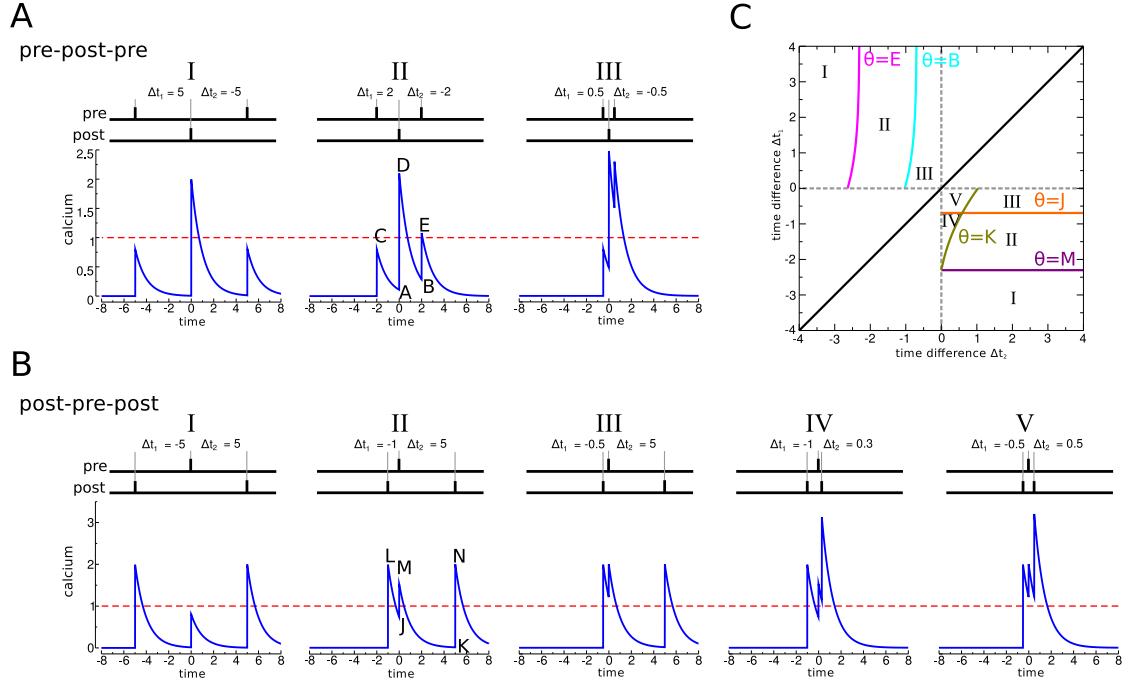
$$c(t) = \begin{cases} 0 & t < 0, \\ C_{\text{pre}} \exp(-t) & t \in [0, \Delta t], \\ \exp(-t) (C_{\text{pre}} \exp(\Delta t) + C_{\text{post}}) & t > \Delta t. \end{cases} \quad (20)$$

Synaptic changes are potentially induced whenever  $c(t)$  crosses the depression-, the potentiation-, or both thresholds. For  $C_{\text{pre}} < \theta < C_{\text{post}}$ , the fraction of time spent above a given threshold  $\theta$  is separated into three qualitatively different intervals (Fig. S7) and given by

$$\alpha T = \begin{cases} \text{I} & \ln(C_{\text{post}}/\theta) \\ & \text{for } \Delta t < \ln((\theta - C_{\text{pre}})/C_{\text{post}}), \\ \text{II} & \ln(C_{\text{post}}/\theta) + \ln((C_{\text{post}} \exp(\Delta t) + C_{\text{pre}})/\theta) \\ & \text{for } \Delta t \in [\ln((\theta - C_{\text{pre}})/C_{\text{post}}), \ln(\theta/C_{\text{post}})], \\ \text{III} & \ln(C_{\text{post}}/\theta) + \ln((C_{\text{post}} \exp(\Delta t) + C_{\text{pre}})/(C_{\text{post}} \exp(\Delta t))) \\ & \text{for } \Delta t > \ln(\theta/C_{\text{post}}). \end{cases} \quad (21)$$

$T$  is the interval within which one spike-pair is presented.

**Single spike-triplets** The triplet cases investigated in that study involve either two presynaptic spikes paired with a postsynaptic one, or one presynaptic spike paired with two postsynaptic spikes. Note that the latter also accounts for the pre-spike with post-burst pairing as utilized



**Figure S8: Single spike-triplets.** (A) Pre-post-pre triplets yield three qualitatively different regions with respect to the calculation of the time spent above threshold (see Eq. (27)). The analytical expression for the points A-E are given in Eqs. (22)-(26). (B) Post-pre-post triplets yield five qualitatively different regions with respect to the calculation of the time spent above threshold (see Eq. (33)). The analytical expression for the points J-N are given in Eqs. (28)-(32). (C) The  $\Delta t_1$ - $\Delta t_2$  space is separated into six different regions with respect to the occurrence of pre- and postsynaptic spikes. The pre-post-pre quadrant (upper left) is furthermore divided into three different regions, I, II, and III, with respect to the calculation of  $\alpha$  (illustrated in A). The post-pre-post quadrant (lower right) is divided into five different regions, I-V, with respect to the calculations of  $\alpha$  (illustrated in B). The colored lines mark the points where the tops and feet of the calcium transients hit the threshold  $\theta$  (as marked in panel). Those points mark the boundaries between the different regions for the calculation of  $\alpha$ . The parameters in the given example are  $C_{\text{pre}} = 0.8$ ,  $C_{\text{post}} = 2$  and  $\theta = 1$  (red dashed lines).

in Wittenberg and Wang (2006). In triplets, the single spike is used as a reference and  $\Delta t_1$  is the time difference to the first other spike and  $\Delta t_2$  the time difference to the second other spike with respect to the reference spike. Spike-triplets can be separated into six different regions with respect to the temporal order of spikes: (i) pre-pre-post, (ii) pre-post-pre, (iii) post-pre-pre, (iv) post-post-pre, (v) post-pre-post, and (vi) pre-post-post, where the former three are triplets with two presynaptic- and one postsynaptic spike and vice versa for the latter three (Fig. S3D). See Fig. S3D for the convention of the sign for  $\Delta t_1$  and  $\Delta t_2$  with respect to the spike order. Here, we illustrate the calculation of the fraction of time spent above threshold for the pre-post-pre and the post-pre-post examples, the other spike-triplet cases and the  $\alpha$ 's for spike-quadruplets can be calculated accordingly.

For pre-post-pre triplets, let us call A/B the values of the calcium amplitude at the foot of the second and the third transient, and C/D/E the values of the calcium amplitude at the top of the first, the second and the third transient (Fig. S8A). Those values are given by

$$A = C_{\text{pre}} \exp(-|\Delta t_1|), \quad (22)$$

$$B = C_{\text{pre}} \exp(-(|\Delta t_1| + |\Delta t_2|)) + C_{\text{post}} \exp(-|\Delta t_2|), \quad (23)$$

$$C = C_{\text{pre}}, \quad (24)$$

$$D = A + C_{\text{post}}, \quad (25)$$

$$E = B + C_{\text{pre}}. \quad (26)$$

For  $C_{\text{pre}} < \theta < C_{\text{post}}$ , the fraction of time spent above a given threshold  $\theta$  is separated into three qualitatively different intervals (Fig. S8A,C) and given by

$$\alpha T = \begin{cases} \text{I} & \ln(D/\theta) \\ & \text{for } |\Delta t_2| > \ln\left(\frac{C_{\text{post}} + C_{\text{pre}} \exp(-|\Delta t_1|)}{\theta - C_{\text{pre}}}\right), \\ \text{II} & \ln(D/\theta) + \ln(E/\theta) \\ & \text{for } |\Delta t_2| \in [\ln\left(\frac{C_{\text{post}} + C_{\text{pre}} \exp(-|\Delta t_1|)}{\theta}\right), \ln\left(\frac{C_{\text{post}} + C_{\text{pre}} \exp(-|\Delta t_1|)}{\theta - C_{\text{pre}}}\right)], \\ \text{III} & \ln(E/\theta) + |\Delta t_2| \\ & \text{for } |\Delta t_2| \leq \ln\left(\frac{C_{\text{post}} + C_{\text{pre}} \exp(-|\Delta t_1|)}{\theta}\right). \end{cases} \quad (27)$$

For post-pre-post triplets, let us call J/K the values of the calcium amplitude at the foot of the second and the third transient, and L/M/N the values of the calcium amplitude at the top of the first, the second and the third transient (Fig. S8B). Those values are given by

$$J = C_{\text{post}} \exp(-|\Delta t_1|), \quad (28)$$

$$K = C_{\text{post}} \exp(-(|\Delta t_1| + |\Delta t_2|)) + C_{\text{pre}} \exp(-|\Delta t_2|), \quad (29)$$

$$L = C_{\text{post}}, \quad (30)$$

$$M = J + C_{\text{pre}}, \quad (31)$$

$$N = K + C_{\text{post}}. \quad (32)$$

For  $C_{\text{pre}} < \theta < C_{\text{post}}$ , the fraction of time spent above a given threshold  $\theta$  is separated into five

qualitatively different intervals (Fig. S8B,C) and given by

$$\alpha T = \begin{cases} \text{I} & \ln(L/\theta) + \ln(N/\theta) \\ & \text{for } |\Delta t_1| > \ln\left(\frac{C_{\text{post}}}{\theta - C_{\text{pre}}}\right), \\ \text{II} & \ln(L/\theta) + \ln(M/\theta) + \ln(N/\theta) \\ & \text{for } |\Delta t_1| \in [\ln\left(\frac{C_{\text{post}}}{\theta}\right), \ln\left(\frac{C_{\text{post}}}{\theta - C_{\text{pre}}}\right)] \\ & \text{and } |\Delta t_2| > \ln\left(\frac{C_{\text{post}} \exp(-|\Delta t_1|) + C_{\text{pre}}}{\theta}\right), \\ \text{III} & \ln(M/\theta) + |\Delta t_1| + \ln(N/\theta) \\ & \text{for } |\Delta t_1| \leq \ln\left(\frac{C_{\text{post}}}{\theta}\right) \\ & \text{and } |\Delta t_2| > \ln\left(\frac{C_{\text{post}} \exp(-|\Delta t_1|) + C_{\text{pre}}}{\theta}\right), \\ \text{IV} & \ln(L/\theta) + \ln(N/\theta) + |\Delta t_2| \\ & \text{for } |\Delta t_1| > \ln\left(\frac{C_{\text{post}}}{\theta}\right) \\ & \text{and } |\Delta t_2| \leq \ln\left(\frac{C_{\text{post}} \exp(-|\Delta t_1|) + C_{\text{pre}}}{\theta}\right), \\ \text{V} & \ln(N/\theta) + |\Delta t_1| + |\Delta t_2| \\ & \text{for } |\Delta t_1| \leq \ln\left(\frac{C_{\text{post}}}{\theta}\right) \\ & \text{and } |\Delta t_2| \leq \ln\left(\frac{C_{\text{post}} \exp(-|\Delta t_1|) + C_{\text{pre}}}{\theta}\right). \end{cases} \quad (33)$$

$T$  is the interval within which one spike-triplet is presented.

**Spike-pairs at frequency  $f$**  We now consider the case where spike-pairs are repeatedly presented at a given frequency  $f$  (Sjöström et al. 2001). In contrast to single spike-pairs, calcium transients from successive spike pairs start to interact with each other at sufficiently high frequencies. Note that the time difference should always be smaller than the interval within which one spike pair is presented, *i.e.*,  $\Delta t < T = 1/f$ .

Here, we separately consider the post-pre and pre-post cases, that is,  $\Delta t < 0$  and  $\Delta t > 0$ . For post-pre pairs, let us call  $B/C$  the values of the calcium amplitude at the foot of the post/pre-synaptic transient, and  $D/E$  the values of the calcium amplitude at the top of the post/pre-synaptic transient (Fig. S9A). We have, for  $\Delta t < 0$ ,

$$B = (A(f) - 1)(C_{\text{post}} + C_{\text{pre}} \exp(-\Delta t)), \quad (34)$$

$$C = C_{\text{post}} A(f) \exp(\Delta t) + (A(f) - 1)C_{\text{pre}}, \quad (35)$$

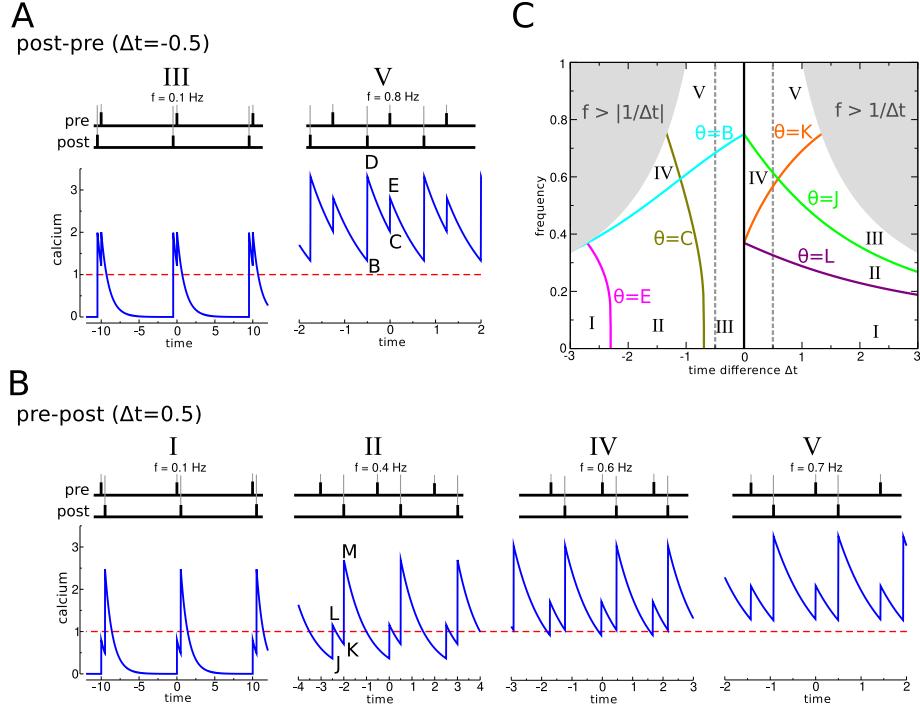
$$D = A(f)C_{\text{post}} + (A(f) - 1)C_{\text{pre}} \exp(-\Delta t), \quad (36)$$

$$E = A(f)(C_{\text{post}} \exp(\Delta t) + C_{\text{pre}}), \quad (37)$$

where

$$A(f) = \frac{1}{1 - \exp(-1/f)}, \quad (38)$$

represents the peak calcium concentration, which increases with  $f$  due to summation of calcium transients induced by successive spike-pairs.



**Figure S9: Spike-pairs vs frequency  $f$ .** (A) There are in total five different regions with respect to the calculation of the fraction of time spent above threshold for post-pre pairs and varying presentation frequencies  $f$  (see also C and Eqs. (39)). For  $\Delta t = -0.5$ , the two different cases are illustrated. The analytical expressions for B-E are given in Eqs. (34)-(37). (B) Again, there exist in total five different regions with respect to the calculation of the fraction of time spent above threshold for pre-post pairs vs  $f$  (see also C and Eqs. (44)). Spike-pairs with  $\Delta t = 0.5$  cover four of them which are illustrated here. The analytical expressions for J-M are given in Eqs. (40)-(43). (C) The  $f$ - $\Delta t$  space is divided in post-pre ( $\Delta t < 0$ ) and pre-post ( $\Delta t > 0$ ) regions, which are each further subdivided into five qualitatively different regions with respect to the calculation of  $\alpha$  (Eqs. (39) and (44)). The colored lines mark the points where the tops and feet of the calcium transients hit the threshold  $\theta$  (as marked in panel). Those points mark the boundaries between the five different regions for post-pre- and pre-post pairs. The space is restricted by the fact that  $\Delta t$  should be smaller than one presentation cycle, that is,  $|\Delta t| < 1/f$  (gray shaded regions). The gray dashed lines mark the examples  $\Delta t = -0.5$  and  $0.5$  from A and B, respectively. The parameters in the given example are  $C_{\text{pre}} = 0.8$ ,  $C_{\text{post}} = 2$  and  $\theta = 1$  (red dashed line).

For post-pre pairs and  $C_{\text{pre}} < \theta < C_{\text{post}}$ , the fraction of time spent above a given threshold  $\theta$  is separated into five qualitatively different intervals (Fig. S9C) and given by

$$\alpha T = \begin{cases} \text{I} & \ln(D/\theta) \\ \text{II} & \ln(D/\theta) + \ln(E/\theta) \\ \text{III} & \ln(E/\theta) + |\Delta t| \\ \text{IV} & \ln(D/\theta) + 1/f - |\Delta t| \\ \text{V} & 1/f \end{cases} \quad (39)$$

for  $f < -\ln(1-(C_{\text{post}} \exp(\Delta t) + C_{\text{pre}})/\theta)^{-1}$ ,  
 for  $f \in [-\ln(1-(C_{\text{post}} \exp(\Delta t) + C_{\text{pre}})/(\theta + C_{\text{pre}}))^{-1}, -\ln(1-(C_{\text{post}} \exp(\Delta t) + C_{\text{pre}})/\theta)^{-1}]$   
 and  $f < -\ln(1-(C_{\text{post}} + C_{\text{pre}} \exp(-\Delta t))/(\theta + C_{\text{post}} + C_{\text{pre}} \exp(-\Delta t)))^{-1}$ ,  
 for  $f > -\ln(1-(C_{\text{post}} \exp(\Delta t) + C_{\text{pre}})/(\theta + C_{\text{pre}}))^{-1}$   
 and  $f < -\ln(1-(C_{\text{post}} + C_{\text{pre}} \exp(-\Delta t))/(\theta + C_{\text{post}} + C_{\text{pre}} \exp(-\Delta t)))^{-1}$ ,  
 for  $f > -\ln(1-(C_{\text{post}} \exp(\Delta t) + C_{\text{pre}})/(\theta + C_{\text{pre}}))^{-1}$   
 and  $f > -\ln(1-(C_{\text{post}} + C_{\text{pre}} \exp(-\Delta t))/(\theta + C_{\text{post}} + C_{\text{pre}} \exp(-\Delta t)))^{-1}$ .

For pre-post pairs, let us call  $J/K$  the values of the calcium amplitude at the foot of the pre/post-synaptic transient, and  $L/M$  the values of the calcium amplitude at the top of the pre/post-synaptic transient (Fig. S9B). We have, for  $\Delta t > 0$ ,

$$J = (A(f) - 1)(C_{\text{post}} \exp(\Delta t) + C_{\text{pre}}), \quad (40)$$

$$K = (A(f) - 1)C_{\text{post}} + A(f)C_{\text{pre}} \exp(-\Delta t), \quad (41)$$

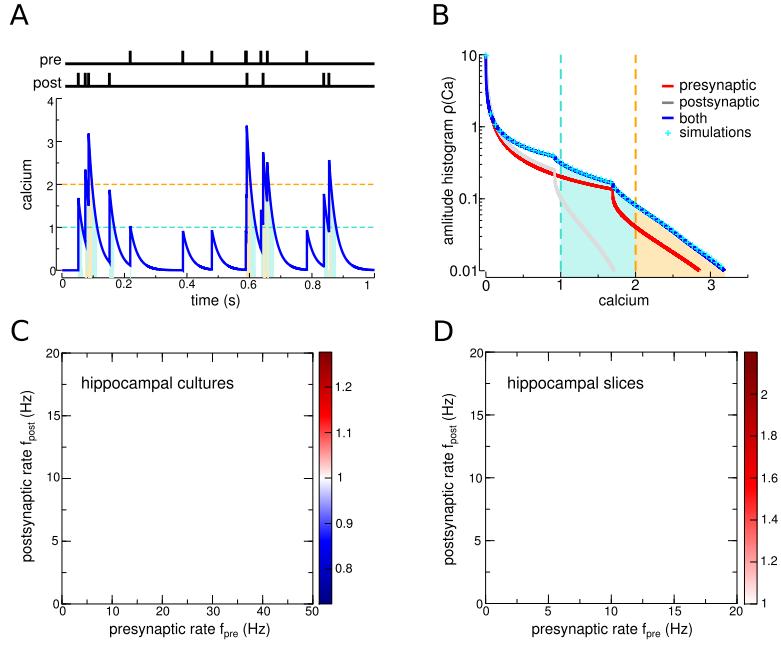
$$L = (A(f) - 1)C_{\text{post}} \exp(\Delta t) + A(f)C_{\text{pre}}, \quad (42)$$

$$M = A(f)(C_{\text{post}} + C_{\text{pre}} \exp(-\Delta t)). \quad (43)$$

For pre-post pairs and  $C_{\text{pre}} < \theta < C_{\text{post}}$ , the fraction of time spent above a given threshold  $\theta$  is also separated into five qualitatively different intervals (Fig. S9C) and given by

$$\alpha T = \begin{cases} \text{I} & \ln(M/\theta) \\ \text{II} & \ln(L/\theta) + \ln(M/\theta) \\ \text{III} & \ln(M/\theta) + |\Delta t| \\ \text{IV} & \ln(L/\theta) + 1/f - |\Delta t| \\ \text{V} & 1/f \end{cases} \quad (44)$$

for  $f < -\ln(1-(C_{\text{post}} \exp(\Delta t) + C_{\text{pre}})/(\theta + C_{\text{post}} \exp(\Delta t)))^{-1}$ ,  
 for  $f \in [-\ln(1-(C_{\text{post}} \exp(\Delta t) + C_{\text{pre}})/(\theta + C_{\text{post}} \exp(\Delta t)))^{-1}, -\ln(1-(C_{\text{post}} + C_{\text{pre}} \exp(-\Delta t))/(\theta + C_{\text{post}}))^{-1}]$   
 and  $f < -\ln(1-(C_{\text{post}} \exp(\Delta t) + C_{\text{pre}})/(\theta + C_{\text{post}} \exp(\Delta t) + C_{\text{pre}}))^{-1}$ ,  
 for  $f > -\ln(1-(C_{\text{post}} \exp(\Delta t) + C_{\text{pre}})/(\theta + C_{\text{post}}))^{-1}$   
 and  $f < -\ln(1-(C_{\text{post}} \exp(\Delta t) + C_{\text{pre}})/(\theta + C_{\text{post}} \exp(\Delta t) + C_{\text{pre}}))^{-1}$ ,  
 for  $f > -\ln(1-(C_{\text{post}} \exp(\Delta t) + C_{\text{pre}})/(\theta + C_{\text{post}}))^{-1}$   
 and  $f > -\ln(1-(C_{\text{post}} \exp(\Delta t) + C_{\text{pre}})/(\theta + C_{\text{post}} \exp(\Delta t) + C_{\text{pre}}))^{-1}$ .



**Figure S10: Dependence of plasticity on pre- and postsynaptic firing rates when both neurons fire as Poisson processes.** (A) Example of a compound calcium transient (1 sec) evoked by pre- and postsynaptic Poisson firing at 10 Hz. (B) The individual pre- (red) and postsynaptically (gray) evoked distributions of calcium amplitudes resulting from Poisson firing at 10 Hz fall off sharply beyond the pre- and the postsynaptically evoked calcium amplitudes  $C_{pre} = 0.921$  and  $C_{post} = 1.693$ , respectively. The amplitude distribution of the compound calcium trace (blue) is the convolution of the individual amplitude distributions (analytical result in blue and simulation results in cyan). (C,D) The change in synaptic strength (analytical results) in response to Poisson stimulation is shown for all combinations of pre- and postsynaptic rates for the ‘hippocampal cultures’ (C) and the ‘hippocampal slices’ (D) parameter sets (see Tab. S2). All results are induced by a stimulation lasting 10 sec.

$T = 1/f$  is the interval within which one spike-triplet is presented.

### 3.5 Pre- and postsynaptic Poisson firing

Most stimulation protocols utilize deterministic spike trains. These firing patterns are at odds with experimentally recorded spike trains *in vivo*, which show a pronounced temporal variability, similar to a Poisson process. We therefore investigated the behavior of the model in response to uncorrelated Poisson spike trains of pre- and postsynaptic neurons (Fig. S10A).

The amplitude distribution of a shot noise process, that is, a superposition of impulses occurring at random Poisson distributed times, can be calculated analytically for various shapes,  $F(t)$ , of the impulses (Gilbert and Pollak 1960). In the simplified calcium model, the shape function takes the form  $F(t) = \exp(-t)$  (with normalized amplitude and rescaled time constant). We illustrate here shortly how to calculate the amplitude distribution for a single Poisson process (*e.g.*, pre- or presynaptic).

For a single Poisson process, the calcium amplitude density function,  $P(c)$  is given in the

interval  $0 \leq c < 1$  by

$$P(c) = \kappa c^{f-1}. \quad (45)$$

where  $f$  is the frequency of the Poisson process and  $\kappa$  is given by

$$\kappa = \frac{\exp(-f\gamma)}{\Gamma(f)}, \quad (46)$$

where  $\gamma = 0.57721\dots$  is Euler's constant and  $\Gamma(f)$  the Gamma function.

The amplitude density function is given by an integral form for calcium amplitudes  $1 \leq c$

$$P(c) = c^{f-1} \left[ \kappa - f \int_1^c P(x-1)x^{-f} dx \right]. \quad (47)$$

Note that this equation has to be solved iteratively. That means that we can determine  $P(c)$  for  $n \leq c < n+1$  from the knowledge of  $P(c)$  for  $n-1 \leq c < n$  (see Gilbert and Pollak 1960 for more details).

The amplitude distribution induced by independent pre- and postsynaptic firing at rates  $f_{\text{pre}}$  and  $f_{\text{post}}$  and with calcium amplitudes  $C_{\text{pre}}$  and  $C_{\text{post}}$  is simply the convolution of the individual amplitude distributions (Gilbert and Pollak 1960) (see Fig. S10B). In turn, the integral of the compound amplitude distribution above  $\theta_d$  and  $\theta_p$  yields  $\alpha_d$  and  $\alpha_p$ , respectively, and in turn the changes in synaptic strength as a function of pre- and postsynaptic firing rates  $f_{\text{pre}}$  and  $f_{\text{post}}$ . As in the case of deterministic protocols, we find that many qualitatively distinct types of behaviors can be obtained, depending on parameters. In Fig. 4C,D and Fig. S10, we focus on the three types of behaviors produced by the parameter sets that fit the three experiments described in the main text: 'hippocampal cultures' (Wang et al. 2005), 'hippocampal slices' (Wittenberg and Wang 2006), and 'cortical slices' (Sjöström et al. 2001).

The synapse model predicts that pre-and postsynaptic firing contribute in a similar way to synaptic efficacy changes in the cortex: No change for low pre and post rates, LTD for intermediate rates, and LTP for high rates (Fig. 4C,D). Due to the amplitude difference ( $C_{\text{post}} > C_{\text{pre}}$ ), this behavior emerges at lower postsynaptic rates compared to presynaptic rates. In contrast, parameters fitting the hippocampal culture experiments lead to a completely different prediction for the dependence on pre and post firing. LTD is obtained for high presynaptic firing and low postsynaptic firing rates, whereas LTP occurs for large postsynaptic firing rates (Fig. S10C). This is again due to the imbalance between the amplitudes of the pre-and post-synaptically triggered calcium transients. Finally, parameters fitting the hippocampal slice experiments lead to qualitatively similar results as the visual cortex experiments at large pre and/or post rates, but yield no changes at low pre-post rates (Fig. S10D). This is due to the fact that the potentiation rate is much larger in hippocampal slices (see Tab. S2).

### 3.6 Synaptic Strength, Change in Synaptic Strength, and Simulations

We assume the synaptic strength is linearly related to  $\rho$  as  $w = w_0 + \rho(w_1 - w_0)$ , where  $w_0/w_1$  is the synaptic strength of the DOWN/UP state. Synaptic strength as used here is typically measured in experiments as the excitatory postsynaptic potential (EPSP)/excitatory postsynaptic current (EPSC) amplitude, the initial EPSP slope, or the current in a 2-ms window at the peak of

the EPSC. We assume that, before a stimulation protocol, a fraction  $\beta$  of the synapses is in the DOWN state. The average initial synaptic strength is, therefore, equal to  $\beta w_0 + [1 - \beta]w_1$ . After the stimulation protocol, the average synaptic strength is  $w_0[(1 - \mathcal{U})\beta + \mathcal{D}(1 - \beta)] + w_1[\mathcal{U}\beta + (1 - \mathcal{D})(1 - \beta)]$ . As in experiments, we consider the change in synaptic strength as the ratio between the average synaptic strengths after and before the stimulation (i.e.,  $[(1 - \mathcal{U})\beta + \mathcal{D}(1 - \beta)] + (b[\mathcal{U}\beta + (1 - \mathcal{D})(1 - \beta)]) / (\beta + [1 - \beta]b)$ , where  $b = w_1/w_0$ ). The average changes in synaptic strength were obtained by repeating simulations of the full model (Eq. 1) 1,000 times with identical model parameters but different random number generator seeds for the Gaussian white noise process.

### 3.7 Fitting the synapse model to experimental data, parameter choices

To fit hippocampal slice data (Wittenberg and Wang 2006), we include all three datasets into the cost function to be minimized (Fig. 3B, D, and E). To fit hippocampal culture results (Wang et al. 2005), we used the spike triplet as well as the quadruplet datasets to fit the parameters (Fig. S3C and E) and predict the spike pair data (Fig. S3F). To fit cortical slice results (Sjöström et al. 2001), only the data for regular spike pair presentations are taken into account (Fig. 4A). Here, jittered spike pair stimulations are qualitatively accounted for by the model without additional fitting (Fig. S4). The fitted parameters are shown in Table S2.

We define the goodness of the fit to the experimental data by a cost function which is the sum of all squared distances between data points and the analytical solution of the model. We draw the initial parameter values from a uniform distribution and use the Powell method of gradient descent to search for the minimum of the cost function (Press 2002). Parameter sets are rejected if the final values lie outside biologically realistic values (ranges given in Tab. S4). Note that different initial conditions lead to a diversity of parameter sets (Fig. S2), showing that the cost function is essentially flat close to its minima in parameter space. We furthermore included two terms in the cost function which assured that synaptic changes induced by single calcium transients are small ( $\gamma_p, \gamma_d \sim 50$ ), and that synaptic changes are slow compared to the calcium dynamics ( $\tau \gg 1$  sec).

To better compare fit results obtained from different experimental data sets, we chose to fix the potentiation and depression thresholds,  $\theta_p$  and  $\theta_d$ . That allowed us to project all results onto the same  $C_{\text{pre}}\text{-}C_{\text{post}}$  plane (Fig. S2). Note that  $\theta_p > \theta_d$  is consistent with (O'Connor et al. 2005) showing that blocking kinases reveals LTD for a protocol inducing LTP otherwise. Also, the unstable fix point,  $\rho_*$ , and the fraction of synapses initially in the DOWN state,  $\beta$ , were fixed. Allowing  $\theta_p, \theta_d, \rho_*$  and  $\beta$  to be optimized by the fit routine did not considerably improve the match with experimental data. All other parameters are free parameters optimized during the fit (see Tab. S2).

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