

从代数三角范畴到预三角微分分次范畴

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§1. Frobenius categories

§2. Algebraic triangulated categories

§3. DG categories

§4. DG enhancements

DG = differential / graded

§1. Frobenius categories

1.1. Exact categories

\mathcal{A} an add. cat.

a kernel-cokernel pair (i, p)

$$X \xrightarrow{i} Y \xrightarrow{p} Z$$

$i = \text{Ker } p$ and $p = \text{Coker } i$.

Def. (Quillen 1973) An exact structure E on \mathcal{A} is a chosen class of kernel-cokernel pairs,

$[(i, p) \in E \text{ conflation}, i \text{ inflation}, p \text{ deflation}]$

add. mono.

add. epi

Subject to

(Ex_0) Id_0 deflation $\text{Id}_0 : 0 \rightarrow 0$

(Ex_1) $\text{def} \circ \text{def} \subseteq \text{def}$

$(Ex_1)^{\text{op}}$ $\text{inf} \circ \text{inf} \subseteq \text{inf}$

(Ex_2) def closed under pullback

$$\begin{array}{ccc} X' & \xrightarrow{\sim} & Y' \\ \downarrow t & \nearrow p' & \downarrow t \\ X & \xrightarrow{p} & Y \end{array}$$

$p \text{ def.} \Rightarrow p' \text{ def.}$

$(Ex_2)^{\text{op}}$ inf closed under pushout

$$\begin{array}{ccc} X & \xrightarrow{t} & X' \\ i \downarrow & \nearrow p.o. & \downarrow i' \\ Y & \xrightarrow{\sim} & Y' \end{array}$$

$i \text{ inf.}$ $i' \text{ inf.}$

RMK. [Keller 1991, Appendix A]

• [Bühler, Exact categories, Expo. Math. 2010]

e.g. B abelian, $\mathcal{A} \subseteq B$ ext-closed $\Rightarrow \mathcal{A}$ is exact cat.

RMK. Gabriel-Quillen's embedding theorem: \mathcal{A} small exact cat., $\exists \mathcal{A} \cong \mathcal{A}'$. $\mathcal{A}' \subseteq B$ abelian, ext-closed,

→ as exact function

fix an exact category (A, \mathcal{E})

- (1) projective obj.: $\text{Hom}_A(P, -)$ sends inflation to s.e.s.
- (2) have enough proj.: $\forall X. \exists \text{deflation } f: P \rightarrow X$
 $P \text{ projective}$

Def (Heller/1960) (A, \mathcal{E}) Frobenius category if

(1) \exists enough proj., enough inj.

(2) $\boxed{\text{proj} = \text{inj}}$. □

e.g. ① $A\text{-mod}$, A self injective

② $C(A)$, A additive

inflations: $0 \rightarrow X \rightarrow Y \rightarrow Z \rightarrow 0$
 $0 \rightarrow X^n \rightarrow Y^n \rightarrow Z^n \rightarrow 0$
split exact in A

$(C(A))$ is Frobenius! ($\text{proj} = \text{inj} = \underline{\text{contractible complex}}$)

③ P an additive cat.

$\text{GP}(P) \supseteq \text{add}(P)$

Gorenstein-projective $P\text{-mod}$

[$\text{mod-}P$, GP objects]

$\Rightarrow \text{GP}(P)$ is Frobenius

($\text{proj} = \text{inj} = \text{add}(P)$, proj. mod. over P)

Theorem (C. 2012) Assume that A is Frobenius. Then

$A \xrightarrow{\Theta} \text{GP}(P) \quad X \mapsto \underline{\text{Hom}}(-, X)/P$

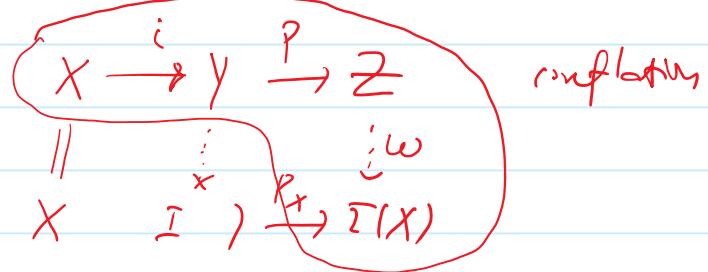
S.t. $A \simeq \text{Im } \Theta$ as exact cat.
 $P = \text{the full subcat. of proj. in } A$ □

(A, Σ) Frobenius

Theorem: The stable category \underline{A} is canonically tr.

$$\textcircled{1} \quad \Sigma : \underline{A} \xrightarrow{\sim} \underline{A} \quad \left(\begin{array}{c} A \\ \xrightarrow{\quad X \quad} \\ \xrightarrow{\quad 0 \quad} X \rightarrow I(X) \rightarrow \Sigma(X) \xrightarrow{\quad 0 \quad} \\ \downarrow \quad \downarrow \quad \downarrow \\ \xrightarrow{\quad Y \quad} \\ \xrightarrow{\quad 0 \quad} Y \rightarrow I(Y) \rightarrow \Sigma(Y) \end{array} \right)$$

(2) conflations in A induce Δ in \underline{A}



a brief history:

- ① Heller 1968 (without TR4)
- ② Happel 1987 (received in 1985)
- ③ Linckelmann (1987), NOT well-known
- ④ Keller - Vossieck 1987 (in French, no detail, one-sided triang.)
- ⑤ Gelfand - Manin 1988 (in Russian, transl. 1996)

Def. (Keller 2006 I(M)) A tri. cat. \mathcal{T} is algebraic

if $\mathcal{T} \cong \mathcal{A}$, \mathcal{A} Frobenius. \square

\downarrow
△-equ.

Rmk. ① in algebra, all tri. cat. are algebraic

② More "topological" tri. cat. are NOT algebraic.

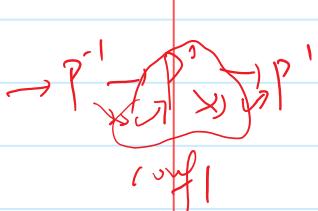
[Muro - Schwede - Strickland, Invent. Math. 207]

[Rizzardo - Van den Bergh, Ann. Math. 2020]

§2. Algebraic tri. cat.

\hookleftarrow proj. obj

Fact: A Frobenius. $\mathcal{P} \subseteq \mathcal{A}$



induces

$$\begin{array}{ccc} C^{\text{ac}}(\mathcal{P}) & \longrightarrow & \mathcal{A} \\ P \longrightarrow Z'(\mathcal{P}) \\ \downarrow \text{triv. equi.} \\ K^{\text{ac}}(\mathcal{P}) \simeq \mathcal{A} \\ \text{(image of } P \xrightarrow{\quad} P') \end{array}$$

\therefore Frobenius "enhancements" are NOT unique!

Theorem (Keller 1994) Let \mathcal{T} be an algebraic compactly generated tri. cat. Then

\exists a small DG category \mathcal{C} , s.t.,
 $\mathcal{T} \simeq D(\mathcal{C}^{\text{op}})$

\hookrightarrow algebraic, compact, gen.

Proof: $\mathcal{T} \simeq \mathcal{A} \simeq K^{\text{ac}}(\mathcal{P})$

a set of generators $\mathcal{C} \subseteq C^{\text{ac}}(\mathcal{P})$
 \hookrightarrow dg structure

Hom \hookrightarrow $\forall T \in \mathcal{T}, \text{Hom}(-, T) /_{\mathcal{C}}$ a right dg \mathcal{P} -module

$$\Phi: \mathcal{T} \xrightarrow{\sim} D(\mathcal{C}^{\text{op}})$$

$$T \mapsto \text{Hom}(-, T) /_{\mathcal{C}}$$

- Φ preserves \sqcup

- Φ sends compact to compact! \square

a tilting version

Thm: $T \simeq D(R\text{-Mod})$ for some ring R
 $\Leftrightarrow T$ is algebraic, compactly generated
 with a tilting object (i.e., $T \in \mathcal{T}$)

Pf \Rightarrow $T \simeq D(A)$ A dg alg
 $\simeq D(R\text{-Mod})$ \square $R = E(T) \cong \mathbb{C}^{\oplus \text{rank } T}$

finite version

\square \vdash $\begin{cases} \text{compact} \\ T \text{ generates } T \\ \text{Hom}_q(T, \Sigma^i(T)) = 0 \forall i \neq 0 \end{cases}$

Prop: $T \simeq K^b(R\text{-proj})$ for some ring R
 $(\text{Bondal-Kapranov}) \Leftrightarrow T$ is idempotent-split, algebraic and has
 a tilting object (i.e., $T \in \mathcal{T}$)

$\bullet \underline{\text{thick}(T) = T}$
 $\bullet \text{Hom}_q(T, \Sigma^i(T)) = 0 \forall i \neq 0$

Rmk: "tilting" originated from the reflection functors
 of BGP 1973

- a recent paper by [keller-krause, 2020]
 CRM

§3. DG categories

k - a comm. ring
 a DG k -category \mathcal{C} is a category s.t.

$$\textcircled{1} \forall x, y. \quad \mathcal{C}(x, y) = (\bigoplus_{\text{reg}} \mathbb{Z}(x, y)^P, d_e) \quad \text{a complex} \quad d_e^2 = 0$$

(2) Composition

$$\mathcal{C}(y, z) \otimes \mathcal{C}(x, y) \xrightarrow{\quad g \otimes f \quad} \mathcal{C}(x, z)$$

is a cochain map

- $|g \circ f| = |g| + |f|$
- $d_e(g \circ f) = (d_e g) \circ f + (-1)^{|g|} g \circ (d_e f)$

Rmk: Kelly 1965, Kleiner-Roiter 1974, [Bondal-Kapranov 1990
 Keller 1994, Drinfeld 2004]

Rmk 1. $\mathcal{C}(x, x)$ is a DG alg.. d_e

2. $\forall x, y \in \text{obj } \mathcal{C}$

$$\begin{array}{c} \mathcal{C}(y, y) \xrightarrow{\mathcal{C}(x, x)} \mathcal{C}(y, y) - \mathcal{C}(x, x) - \text{bimodule} \\ \text{a/DG} \end{array}$$

Rmk: the ordinary cat.

$$\mathcal{Z}^0(\mathcal{C}) \quad \left\{ \begin{array}{l} \text{obj} = \text{obj } \mathcal{C} \\ \mathcal{Z}^0 \mathcal{C}(x, y) = \mathcal{Z}^0(\mathcal{C}(x, y)) \end{array} \right. \quad \text{--- k-linear}$$

the homotopy cat

$$\mathcal{H}^0(\mathcal{C}) \leftarrow \mathcal{Z}^0(\mathcal{C})$$

$$H^*(e)(x, y) = H^*(e(x, y))$$

Example: $C_{dg}(k)$

- objects: cochain complex X, Y, \dots
- the Hom-complex

$$\text{Hom}(X, Y) = \prod_{p \in \mathbb{Z}} \text{Hom}(X^p, Y^{p+p})$$

$$f = (f_p)_{p \in \mathbb{Z}}$$

$$d: \text{Hom}(X, Y)^n \rightarrow \text{Hom}(X, Y)^{n+1}$$

$$f \longmapsto df \quad \xrightarrow{\qquad} |f|$$

$$(df)_p = d_y \circ f_p - (-1)^n f_{p+1} \circ d_X \quad X^p \rightarrow Y^{p+1}$$

$$t \in \mathbb{Z}$$

$$\begin{aligned} \text{Key Fact} = & Z^0 C_{dg}(k) = C(k\text{-Mod}) \\ H^0 C_{dg}(k) = & K(k\text{-Mod}) \end{aligned}$$

$$\begin{cases} |f|=0 \\ df=0 \end{cases}$$

|coboundary=0

Example: \mathcal{C} a small dg category

a left dg \mathcal{C} -module $M: \mathcal{C} \rightarrow (dg(k))$ a dg functor

$$M = \bigoplus_{X \in \text{obj}(\mathcal{C})} M(X) \xrightarrow{\sim} \begin{cases} X \mapsto M(X) \\ f: X \rightarrow Y \mapsto M(f) \end{cases}$$

$$f_*: M(X) \xrightarrow{\cong} M(Y)$$

the dg cat. $\mathcal{C}\text{-DGMod}$ of left dg \mathcal{C} -modules

$$\text{Hom}_{\mathcal{C}}(M, N) = \prod_{X \in \text{obj}(\mathcal{C})} \text{Hom}(M(X), N(X))$$

$$\text{s.t. } \beta_X: M(X) \rightarrow N(X) \quad |\beta_X| = 1$$

$$\text{naturality}$$

$$\forall f: X \rightarrow Y \in \mathcal{C}$$

$$\text{up to } \sim_{\text{DGLA}}$$

$$\begin{array}{ccc} M(X) & \xrightarrow{\beta_X} & N(X) \\ M(Y) & \xrightarrow{\beta_Y} & N(Y) \\ M(Y) & \xrightarrow{\beta_X} & N(Y) \end{array}$$

$\text{Hom}(M, N)$
complex!

$\forall f: x \rightarrow y \in \mathcal{C}$

up to $(-1)^{\text{ht}(f)}$!!

$\text{ht}(f)$ $M(y) \xrightarrow{?} N(y)$

$\xrightarrow{?} J^m(F)$

The dg cat. $\mathcal{C}\text{-DGMod}$ has rich structures.

$$\textcircled{1} \quad \Sigma : \mathcal{C}\text{-DGMod} \xrightarrow{\sim} \mathcal{C}\text{-DGMod} \quad \text{a dg functor}$$

$$M \longmapsto \Sigma M$$

$$\begin{matrix} \Sigma \\ \downarrow \end{matrix} \qquad \begin{matrix} \Sigma \\ \downarrow \end{matrix}$$

$$N \longmapsto \Sigma N$$

The definition of ΣM :

$$\begin{aligned} \Sigma M : \mathcal{C} &\rightarrow (\text{dg}(k)) \\ x &\mapsto \Sigma(M(x)) \\ f \downarrow &\qquad \downarrow \Sigma(M(f)) \leftarrow (-1)^{|f|} \\ y &\mapsto \Sigma(M(y)) \end{aligned}$$

$$\textcircled{2} \quad \forall \varphi : M \rightarrow N \quad |\varphi| = 0, \quad d\varphi = 0$$

$$\exists \quad \text{Con}(\varphi) = N \oplus \Sigma M \quad \text{as graded modules}$$

$\forall x \in \text{obj } \mathcal{C}$

one of φ !

$$\text{Con}(\varphi)(x) = N(x) \oplus \Sigma M(x)$$

$$d_{\text{Con}(\varphi)(x)} = \begin{pmatrix} d_{N(x)} & 0 \\ \xi_x & d_{\Sigma M(x)} \end{pmatrix}$$

Fact :

- $\mathcal{Z}^0(\mathcal{C}\text{-DGMod})$ is a Frobenius cat.
- $H^0(\mathcal{C}\text{-DGMod}) = \underline{\mathcal{Z}^0(\mathcal{C}\text{-DGMod})}$ is fr. cat.
- $k(\ell) \cong \mathcal{D}(\mathcal{C}\text{-Mod})$

(conflation
= graded right
s.e.s.)

$\mathcal{D}(\mathcal{C}) = k(\ell)[\text{quasi-isom}]$, derived cat.
 of dg \mathcal{C} -modules.

a dg-iso in \mathcal{C} : a morphism $f: X \rightarrow Y$
 $\left\{ \begin{array}{l} |f| = 0, df = 0 \\ \exists g: Y \rightarrow X \quad g \circ f = \text{Id}_X \\ f \circ g = \text{Id}_Y \end{array} \right.$

a homotopy equivalence $f: X \rightarrow Y$ in \mathcal{C} if
 • $|f| = 0, df = 0$
 • f is an iso. in $H^0(\mathcal{C})$.

"C-DGM.d"

BK, strongly

pretri.

↑
Def. (Keller 1999) a dg cat. \mathcal{C} is exact if

① $\forall X, \exists$ closed iso. \nparallel degree one

$$X \xrightarrow{\sim} X_1, \quad X_2 \xrightarrow{\sim} X \quad \text{for some } X_1, X_2$$

② $\forall f: X \rightarrow Y, df = 0, |f| = 0, \exists$ a diagram

$$\begin{array}{ccc} Y & \xrightarrow{i} & C & \xrightarrow{p} & X \\ & \dashleftarrow s & & \dashleftarrow t & \end{array}$$

st. $|i| = 0 = |s|, \quad |p| = 1, |t| = -1$

$di = 0 = dp$

$p \circ i = 0, \quad t \circ s = 0, \quad \text{Id}_X = i \circ s + t \circ p$

$s \circ (dt) = f$ $i \circ s = \text{Id}_Y, \quad \text{Id}_X = p \circ t$ \square

Rmk X_i : the internal shifts of X [$X_i = \Sigma^i(X)$]

C : the internal cone of f

Proposition: \mathcal{C} exact dg cat.

Then $Z^0(\mathcal{C})$ has a natural Frobenius exact structure \mathcal{D} .

$$H^0(\mathcal{C}) = \underline{Z^0(\mathcal{C})}.$$

$\therefore H^0(\mathcal{C})$ is canonically triangulated! \square

Problem: exact dg categories are NOT invariant under quasi-equivalences !!

Def: a dg functor $F: \mathcal{C} \rightarrow \mathcal{D}$ is quasi-fully faithful, if $\forall x, y \in \text{obj } \mathcal{C}$

$$\mathcal{C}(x, y) \xrightarrow[\sim]{F} \mathcal{D}(Fx, Fy) \text{ quasi-iso.}$$

a dg functor $F: \mathcal{C} \rightarrow \mathcal{D}$ is quasi-equivalence if it is quasi-f.f. and $H^0(F): H^0(\mathcal{C}) \rightarrow H^0(\mathcal{D})$ is equivalence dense. \square

Slogan: quasi-equivalent dg cat. should be identified!

Fact: $\forall \mathcal{C}$, \exists a universal dg functor
 $\mathcal{C} \hookrightarrow \mathcal{C}^{\text{ex}}$
 into an exact dg cat, the exact hull

Rmk: ① explicit construction by Bondal-Kapranov 1990

② the Yoneda embedding

$$\begin{aligned} \mathcal{C} &\hookrightarrow \mathcal{C}^{\text{op}}\text{-DGMod} \\ x &\mapsto \mathcal{C}(-, x) \\ \mathcal{C}^{\text{ex}} &= \mathcal{C}(-, x), \text{ closure under } \Sigma^{\pm}, \text{ cone.} \end{aligned}$$

Def: (Bondal-Kapranov 1990) \mathcal{C} is pretriangulated
 if $\mathcal{C} \hookrightarrow \mathcal{C}^{\text{ex}}$ is a quasi-equivalence.

$\Rightarrow H^0(\mathcal{C})$ is triangulated!

$D(\mathcal{C}^{\text{op}})$ \downarrow Δ -subcat.

Fact: $\forall \mathcal{C} \xrightarrow{F} \mathcal{D}$ $\check{\vee}^0$ dg functor between pretri.
 dg cat.

Then $H^0(F)$: $H^0(\mathcal{C}) \rightarrow H^0(\mathcal{D})$ is
 naturally a triangle functor!

Fact: $\forall F: \mathcal{C} \rightarrow \mathcal{D}$ a quasi-equivalence.
 Then \mathcal{C} is pretri. \Leftrightarrow so is \mathcal{D} .

S4. DG enhancements

$\text{dgcat} = \text{the cat. of small dg cat.}$

$\text{Hodgcat} = \text{dgcat} [\text{quasi-equivalence}'] \xrightarrow{\sim} \text{the homotopy cat. of dgcat.}$

Rmk. • dgcat has a model structure [Tabuada 2005]

• a morphism $\mathcal{C} \dashrightarrow \mathcal{D}$ in Hodgcat ,

$$\mathcal{C} \xleftarrow{F} \mathcal{C}' \xrightarrow{G} \mathcal{D}$$

F quasi-equivalence

called a dg quasi-functor

Def: \mathcal{T} a tri. cat.

a dg enhancement $(\mathcal{C}, \mathcal{E})$, \mathcal{C} pretri. dg. cat.

$$E : H^0(\mathcal{C}) \xrightarrow{\sim} \mathcal{T}.$$

□

Prop: \mathcal{T} has a dg enhancement iff \mathcal{T} is algebraic

$$\begin{aligned} \text{Prof: } & \Leftarrow \mathcal{T} \simeq \underline{\mathcal{A}} \simeq K^{\alpha}(P) \\ & = H^0(C_{\text{dg}}^{\alpha}(P)) \end{aligned}$$

exact

$$\begin{aligned} & \Rightarrow \mathcal{C} \xrightarrow{\sim} \mathcal{C}^{\text{ex}}, \quad \underline{H^0(\mathcal{C}^{\text{ex}})} = \underline{\mathbb{Z}^0(\mathcal{C}^{\text{ex}})} \\ & q \simeq \underline{H^0(\mathcal{C})} \end{aligned}$$

is

□

$$\Rightarrow \mathcal{C} \xrightarrow{\sim} \mathcal{C}^{\text{ex}}. \quad \underline{H^0(\mathcal{C}^{\text{ex}})} = \underline{Z^0(\mathcal{C}^{\text{ex}})}$$

$$g \simeq \underline{H^0(\mathcal{C})}$$

□

Theorem (keller 1999 / Drinfeld 2004)

Assume that $\mathcal{T} = H^0(\mathcal{C})$ and $\mathcal{N} = H^0(\mathcal{D})$ with $\mathcal{D} \leq \mathcal{C}$

Then \exists a dg cat \mathcal{C}/\mathcal{D} s.t.

$$H^0(\mathcal{C}/\mathcal{D}) = \mathcal{T}/\mathcal{N}$$

→ Verdier

\mathcal{C}/\mathcal{D} = the dg quotient \Leftrightarrow \mathcal{A} algebraic !!

□

Example: $D^b(\mathcal{A}) = K^b(\mathcal{A}) / K^{b, ac}(\mathcal{A})$, \mathcal{A} abelian

$$D_{dg}^b(\mathcal{A}) = C_{dg}^b(\mathcal{A}) / C_{dg}^{b, ac}(\mathcal{A})$$

the bounded dg derived category of \mathcal{A}

$$H^0(D_{dg}^b(\mathcal{A})) = D^b(\mathcal{A})$$

Rmk: the uniqueness of enhancements is important!

[Lunts - Orlov, JAMS 2010]

[Canonaco - Stellari, JEMS 2016]

[Canonaco - Neeman - Stellari, 2021]

- Related to an open question by Rickard 1991: is any derived equivalence standard?

Def.: Assume that $F: D^b(A) \rightarrow D^b(B)$ is a triangle functor.
By a dg lift of F , we mean

$\tilde{F}: D_{dg}^b(A) \dashrightarrow D_{dg}^b(B)$ in Hodgecat
s.t. $H^0(\tilde{F}) \simeq F$.

RMK. \exists a triangle functor, NOT liftable
[Rizzardo - Van den Bergh - Neeman, Invent. Math. 2019]

Prop.: a tri. functor $F: D^b(A\text{-mod}) \rightarrow D^b(B\text{-mod})$
is liftable iff $F \simeq X \otimes_A^L -$. $\xrightarrow{\text{standard}} \square$

RMK.: Keller 2005 / Toen 2007 / Chen-C. 2020.
BLMS

$$\begin{array}{ccc}
 & \boxed{\text{Geigle-Lenzing 1987}} & \\
 \begin{matrix} \text{proj} \\ \text{perf} \end{matrix} & \downarrow & \\
 \mathbb{P}(\text{HepS}, -) & D^b(\text{mod-}\Rightarrow) & \\
 & \downarrow \text{IS} & \\
 & \boxed{D(\text{Aod}(P))} & \\
 & \text{TS} & \\
 & \downarrow & \\
 & k(I_n j/P') & \\
 & \downarrow & \\
 & H^0(G(I_n j/P')) & \\
 & \downarrow & \\
 & D_{dg}(A) &
 \end{array}$$

$$D_{dg}(A) = D^b(A\text{-mod}) / \text{perf}(A)$$

$$\boxed{S_{dg}(A)} \cong D_{dg}^b(A\text{-mod}) / \text{fdg} = \text{"winit"}$$