# Computer Science NEA Report

An investigation into how Artificial Neural Networks work, the effects of their hyper-parameters and their applications in Image Recognition.

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# 1 Introduction

Artificial Intelligence is a branch of Computer Science that attempts to mimic human cognition in order to perform tasks, understand data and predict outcomes. Machine Learning is a subfield of Artificial Intelligence that uses statistical algorithms, which take as an input training datasets, to produce mathematical models that allow prediction of the outcome of unseen datasets. Deep Learning is a further subfield of Machine Learning that uses Artificial Neural Networks, a process of learning from data inspired by the human brain. Artificial Neural Networks can be trained to operate on, "learn", a vast number of problems, such as Image Recognition, and have uses across multiple fields, such as medical imaging in hospitals.

# 1.1 Project Aims

This project is an investigation into how Artificial Neural Networks work, the effects of changing the hyper-parameters (such as the shape of the network and the learning rate) used to tune the models, and particularly their applications in Image Recognition. To achieve this, I have derived and researched all the fundamental theory behind the project, using sources such as IBM's online research, and developed Neural Networks from first principles without the use of any third-party Machine Learning libraries. I have then implemented the Artificial Neural Networks in the domain of Image Recognition, by creating trained models and have allowed for experimentation in varying the hyper-parameters of each model to provide for comparison and experimentation between different model performances. A Graphical User Interface has been developed to provide a mechanism for a researcher, or interested student, to train and test models and alter key hyper-parameters to explore the effect on performance and results.

# 2 Analysis

# 2.1 Theory Behind Artificial Neural Networks

From an abstract perspective, Artificial Neural Networks are inspired by the anatomy of the human brain, consisting of layers of 'neurons' all interconnected via different links, 'axons with connecting synapses', each with their own strengths, 'weights'. By adjusting these links and weights, Artificial Neural Networks can be trained to take in an input and give its best prediction as an output.

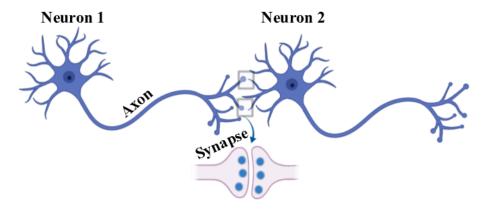


Figure 1: Two connected biological neurons sourced from https://www.researchgate.net

### 2.1.1 Structure

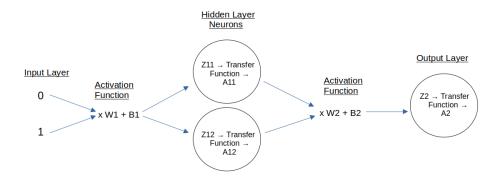


Figure 2: This shows an Artificial Neural Network with one single hidden layer and is known as a Shallow Neural Network.

I have focused on Feed-Forward Artificial Neural Networks, where values are entered to the input layer and passed forwards repetitively to the next

layer until reaching the output layer. Within this, I have investigated two types of Feed-Forward Artificial Neural Networks: Perceptron Artificial Neural Networks, that contain no hidden layers and are suitable for addressing simple linear problems, and Multi-Layer Perceptron Artificial Neural Networks, that contain at least one hidden layer. The expanded complexity of the Multi-Layer Perceptron Artificial Neural Network increases the non-linearity in the Artificial Neural Network allowing it to address more complex / non-linear problems.

Multi-Layer Perceptron Artificial Neural Networks consist of:

- An input layer of input neurons, where the input values are entered.
- Hidden layers of hidden neurons.
- An output layer of output neurons, which outputs the final prediction.

To implement an Artificial Neural Network, matrices are typically used to represent the layers, where each layer is a matrix of the layer's neuron's values. In order to use matrices for this, the following basic theory must be known about them:

- When Adding two matrices, both matrices must have the same number of rows and columns. Alternatively, a single column matrix with the same number of rows can be added by element-wise addition where each element is added to all of the elements of the corresponding rows in the associated matrix.
- In order to multiply matrices, the 'dot product' of the matrices is computed which multiplies the rows of one matrice with the columns of the other, multiplying matching members and then summing up.
- When calculating the dot product of two matrices, the number of columns of the 1st matrix must equal the number of rows of the 2nd matrix. The resulting matrix will have the same number of rows as the 1st matrix, and the same number of columns as the 2nd matrix. This is important in implementing an Artificial Neural Network, as the output of one layer must be formatted correctly to be used with the next layer.
- Alternatively, the Hadamard product of two matrices can be utilised which performs element-wise multiplication of the matrices. For this, both matrices must have the same number of rows and columns.
- Transposing a matrix is also utilised which switches all rows of the matrix into columns and all columns into rows in an output matrix.
- A matrix of values can be classified as a rank of Tensors, depending on the number of dimensions of the matrix. (Eg: A 2-dimensional matrix is a Tensor of rank 2)

I have focused on using Fully-Connected layers, that input values and apply the following functions to produce an output value for the layer:

• Activation function which calculates the dot product of an input matrix with a weight matrix, then sums the result with a bias matrix.

• Transfer function which takes the result of the activation function and calculates a suitable output value as well as adding more non-linearity to the Neural Network. For example, the Sigmoid Transfer function converts the input value to an output number between zero and one - this makes it useful for logistic regression where the output value can be rounded to allow for a binary classification.

#### 2.1.2 How Artificial Neural Networks learn

To train an Artificial Neural Network, the following processes are carried out for each of a number of training iterations called epochs:

- Forward Propagation which is the process of feeding inputs in and getting a prediction (moving forward through the network).
- Back Propagation, the process of calculating the error, known as the loss, in the prediction and then adjusting the weights and biases accordingly.

I have used Supervised Learning to train the Artificial Neural Networks, where the output prediction of the Artificial Neural Network is compared to the theoretical values it should have predicted. With this, I can calculate the loss value of the prediction. I then move back through the network and update the weights and biases via Gradient Descent which aims to reduce the Loss value of the prediction to a minimum, by subtracting the rate of change of Loss with respect to the weights / biases, multiplied with a learning rate, from the weights / biases. To calculate the rate of change of Loss with respect to the weights / biases, I used the following calculus methods:

- Partial Differentiation, which allows differentiation of multi-variable functions, by differentiating with respect to one variable and treating the rest as constants.
- The Chain Rule, where for y=f(u) and u=g(x),  $\frac{\partial y}{\partial x}$  can be calculated as:  $\frac{\partial y}{\partial x}=\frac{\partial y}{\partial u}*\frac{\partial u}{\partial x}$ .

This repetitive process will continue to reduce the Loss to a minimum, if the learning rate is set to an appropriate value

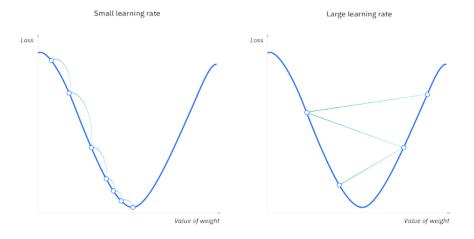


Figure 3: Gradient Descent sourced from https://www.ibm.com/topics/gradient-descent

However, during backpropagation some issues can occur, such as the following:

- Finding a false local minimum rather than the global minimum of the function
- Having an 'Exploding Gradient', where the gradient value grows exponentially to the point of overflow errors
- Having a 'Vanishing Gradient', where the gradient value decreases to a very small value or zero, resulting in a lack of updating values during training.

# 2.2 Theory Behind Deep Artificial Neural Networks

# 2.2.1 Network Architecture and Training

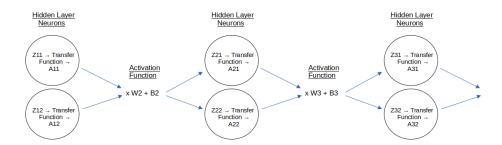


Figure 4: This shows an Artificial Neural Network with multiple hidden layers and is known as a Deep Neural Network.

Figure 5 below shows a simplified view of an Artificial Neural Network with multiple hidden layers, known as a Deep Neural Network, where:

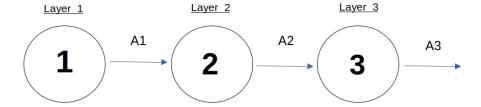


Figure 5: Showing an abstracted view of an Artificial Neural Network with multiple hidden layers.

- Each layer takes the previous layer's output as its input X
- An Activation function is applied to X to obtain Z, by taking the dot product of X with a weight matrix W, and sums the result with a bias matrix B. At first when the Neural Network is initialised pre-training the weights are initialised to random values and the biases are set to zeros. Z = W \* X + B
- A Transfer function is then applied to Z to obtain the layer's output A
  - For the output layer, the sigmoid function (explained previously) can be used for either for binary classification via logistic regression, or for multi- class classification where the output neuron, and the associated class, that has the highest value between zero and one is selected.
    - \* Where  $sigmoid(Z) = \frac{1}{1+e^{-Z}}$
  - However, for the input layer and the hidden layers, another transfer function known as ReLu (Rectified Linear Unit) can be better suited as it produces larger values of  $\frac{\partial L}{\partial W}$  and  $\frac{\partial L}{\partial B}$  for Gradient Descent than Sigmoid, so updates at a quicker rate.
    - \* Where relu(Z) = max(0, Z)

#### 2.2.2 Training

Training takes place through a series of forward and backward propagation called epochs. The forward propagation generates a potential output and associated error known as the loss. Backward propagation then adjusts the weights and biases in an attempt to reduce this loss.

# 2.2.3 Forward Propagation:

- For each epoch the input layer is presented with a matrix of input values, which are fed through the network to obtain a final prediction A from the output layer.
- Using the process described in the previous section, backpropagation trains the network by adjusting weights and biases.

#### 2.2.4 **Back Propagation:**

- First the Loss value L is calculated using the following Log-Loss function, which calculates the average difference between A and the value it should have predicted Y. The average is then found by summing the result of the Loss function for each value in the matrix A, then dividing by the number of predictions m, resulting in a Loss value indicating how well the network is performing. Where  $L = -(\frac{1}{m}) * \sum (Y * log(A) + (1 - Y) * log(1 - A))$ and "log()" is the natural logarithm
- The network is then trained by moving back through the layers, adjusting the weights and biases via Gradient Descent. For each layer, the weights and biases are updated with the following formulae:

$$-W = W - learningRate * \frac{\partial L}{\partial W}$$

$$-B = B - learningRate * \frac{\partial L}{\partial B}$$

- The derivation for Layer 2's  $\frac{\partial L}{\partial W}$  and  $\frac{\partial L}{\partial B}$  is shown below:
  - Functions used so far:

1. 
$$Z = W * X + B$$

2. 
$$A_{relu} = max(0, Z)$$

3. 
$$A_{sigmoid} = \frac{1}{1+e^{-z}}$$

3. 
$$A_{sigmoid} = \frac{1}{1+e^{-Z}}$$
  
4.  $L = -(\frac{1}{m}) * \sum (Y * log(A) + (1-Y) * log(1-A))$ 

$$- \frac{\partial L}{\partial A2} = \frac{\partial L}{\partial A3} * \frac{\partial A3}{\partial Z3} * \frac{\partial Z3}{\partial A2}$$

By using function 1, where A2 is X for the 3rd layer,  $\frac{\partial Z3}{\partial A2} = W3$ 

$$= > \frac{\partial L}{\partial A2} = \frac{\partial L}{\partial A3} * \frac{\partial A3}{\partial Z3} * W3$$
$$- \frac{\partial L}{\partial W2} = \frac{\partial L}{\partial A2} * \frac{\partial A2}{\partial Z2} * \frac{\partial Z2}{\partial W2}$$

$$- \frac{\partial L}{\partial W^2} = \frac{\partial L}{\partial A^2} * \frac{\partial A^2}{\partial Z^2} * \frac{\partial Z^2}{\partial W^2}$$

By using function 1, where A1 is X for the 2nd layer,  $\frac{\partial Z2}{\partial W2} = A1$ 

$$=>\frac{\partial L}{\partial W^2}=\frac{\partial L}{\partial A^2}*\frac{\partial A^2}{\partial Z^2}*A^2$$

$$- \frac{\partial L}{\partial B2} = \frac{\partial L}{\partial A2} * \frac{\partial A2}{\partial Z2} * \frac{\partial Z2}{\partial B2}$$

By using function 1,  $\frac{\partial Z2}{\partial B2} = 1$ 

$$=>\frac{\partial L}{\partial W2}=\frac{\partial L}{\partial A2}*\frac{\partial A2}{\partial Z2}*1$$

- As can be seen, when moving back through the network,  $\frac{\partial L}{\partial W}$  and  $\frac{\partial L}{\partial B}$  can be calculated for each layer with the rate of change of loss with respect to its output, which is calculated by the previous layer using the above formula; the derivative of the layer's transfer function, and the layers input (which in this case is A1)
  - Where by using function 2,  $\frac{\partial A_{relu}}{\partial Z} = 1$  when Z >= 0 otherwise
  - Where by using function 3,  $\frac{\partial A_{sigmoid}}{\partial Z} = A*(1-A)$
- At the start of backpropagation, the rate of change of loss with respect to the output layer's output has no previous layer's calculations, so instead it can be found with the derivative of the Log-Loss function, as shown in the following:
  - Using function 4,  $\frac{\partial L}{\partial A} = (-\frac{1}{m})(\frac{Y-A}{A*(1-A)})$

### 2.3 Theory behind training the Artificial Neural Networks

Training an Artificial Neural Network's weights and biases to predict on a dataset, will create a trained model for that dataset. This model can be used to create predictions based on future data / images inputted. However, training Artificial Neural Networks involves problems such as Overfitting, where the trained model learns the patterns of the training dataset too well, resuting in poor predictions on new datasets. This can occur when the training dataset does not cover enough situations of inputs and the desired outputs (by being too small for example), if the model is trained for too many epochs on the poor dataset or by having too many layers in the Neural Network.

Another common problem is Underfitting, where the model has not learnt the patterns of the training dataset well enough, often when it has been trained for too few epochs, or when the Neural Network is too linear.

#### 2.3.1 Datasets

I have utilised a series of open source datasets on which to train and test by Neural Networks.

#### 2.3.2 XOR dataset

As a first step in developing and testing Artificial Neural Networks, I have utilised the XOR gate problem, where the Neural Network is fed input pairs of zeros and ones and learns to predict the output of a XOR gate used in circuits. This takes far less computation time than image datasets and is extremely useful in debugging. It is a good example of a relatively simple problem whilst not being linearly separable.

#### 2.3.3 MNIST dataset

The MNIST dataset is a well known dataset consisting of images of handwritten digits from zero to ten and is commonly used to test the performance of an Artificial Neural Network. The dataset consists of 60,000 input images representing single digits made up from 28x28 pixels with each pixel having an RGB value from 0 to 255. To format the images into a suitable format to be inputted into the Artificial Neural Networks, each image's matrix of RGB values is commonly 'flattened' into a 1 dimensional matrix of values, where each element is also divided by 255 (the max RGB value) to produce a number between 0 and 1, to standardize the dataset. The output dataset is also loaded which represents the actual value of the number in the image. This is commonly implemented by using an array for each image where the index of the array represesents the number in an image (i.e. a 1 in column 2 could represent a 2, or a 1 if zero indexed).

To create a trained Artificial Neural Network model on this dataset, the model requires 10 output neurons (one for each digit). The Sigmoid Transfer function is then utilized to output a number between one and zero to each neuron - whichever neuron received the highest value is selected as the predicted outcome. This an example of a multi-class

classification, where the model must predict one of 10 classes (in this case, each class is one of the digits from zero to ten).

#### 2.3.4 Cat dataset

I have also used a dataset of images of cats sourced from https://github.com/marcopeix, where each image is classified as either a cat or not a cat. The dataset consists of 209 input images, made up from 64x64 pixels with each pixel having an RGB value from 0 to 255. To normalise the images into a suitable format to be input into the Artificial Neural Network, each image's matrix of RGB values is 'flattened' into a 1 dimensional matrix of values, where each element is divided by 255 (the max RGB value) to a number between 0 and 1, to standardize the dataset.

The output dataset represents an array of binary values representing the output of each image (1 for a cat, 0 for not a cat). To create a trained Artificial Neural Network model on this dataset, the model requires only 1 output neuron - representing the chance of being a cat. By using the Sigmoid Transfer function, a number is outputted between one and zero for the neuron, if the neuron's value is closer to 1 it predicts a cat, otherwise it predicts not a cat - this is binary classification.

# 2.3.5 Theory behind using Graphics Cards to train Artificial Neural Networks

Graphics Cards have been designed essentially to undertake parallel matrix computations utilising many Tensor cores - which are processing units specialised for matrix operations calculating the co-ordinates of 3D graphics, however they can be used for operating on the matrices in the network at a much faster speed compared to CPUs. GPUs also include CUDA cores which act as an API to the GPU's computing to be used for any operations (in this case training the Artificial Neural Networks).

#### 2.4 Interview

In order to gain a better foundation for my investigation, and ensure my project would allow a user interested in Artificial Neural Networks to experiment with the fundamentals of the network and conduct experiments, I presented my prototype code and interviewed the head of Artificial Intelligence at Cambridge Consultants. These were their responses:

- Q:"Are there any good resources you would recommend for learning the theory behind how Artificial Neural Networks work?"
  - A:"There are lots of useful free resources on the internet to use. I particularly like the platform 'Medium' which offers many scientific articles as well as more obvious resources such as IBMs'."
- Q:"What do you think would be a good goal for my project?"
   A:"I think it would be great to aim for applying the Neural Networks on Image Recognition for some famous datasets. For you, I would recommend the MNIST dataset as a goal."
- Q:"What features of the Artificial Neural Networks would you like to be able to experiment with?"

A:"I'd like to be able to experiment with the number of layers and the number of neurons in each layer, and then be able to see how these changes effect the performance of the model. I can see that you've utilised the Sigmoid transfer function and I would recommend having the option to test alternatives such as the ReLu transfer function, which will help stop issues such as a vanishing gradient."

• Q:"What are some practical constraints of AI?"

A:"Training AI models can require a large amount of computing power, also large datasets are needed for training models to a high accuracy which can be hard to obtain."

- Q:"What would you say increases the computing power required the most?"
   A:"The number of layers and neurons in each layer will have the greatest effect on the computing power required. This is another reason why I recommend adding the ReLu transfer function as it updates the values of the weights and biases faster than the Sigmoid transfer function."
- Q:"Do you think I should explore other computer architectures for training the models?"

A:"Yes, it would be great to add support for using graphics cards for training models, as this would be a vast improvement in training time compared to using just CPU power."

- Q:"I am also creating a user interface for the program, what hyper-parameters would you like to be able to control through this?"
  - A:"It would be nice to control the transfer functions used, as well as the general hyper-parameters of the model. I also think you could add a progress tracker to be displayed during training for the user."
- Q:"How do you think I should measure the performance of models?"
  - A:"You should show the accuracy of the model's predictions, as well as example incorrect and correct prediction results for the trained model. Additionally, you could compare how the size of the training dataset effects the performance of the model after training, to see if a larger dataset would seem beneficial."
- $\bullet~$  Q:"Are there any other features you would like add?"

A:"Yes, it would be nice to be able to save a model after training and have the option to load in a trained model for testing."

Based on the interview above, the following high-level objectives were formulated:

# 2.5 Project Objectives

Objective	Description
ID	
1	Learn how Artificial Neural Networks work and develop them from
	first principles
2	Implement the Artificial Neural Networks by creating trained models
	based on image datasets
2.1	Allow use of Graphics Cards for faster training
2.2	Allow for the saving and loading of trained models
3	Develop a Graphical User Interface
3.1	Provide controls for hyper-parameters of models
3.2	Display and compare the results each model's predictions

# 2.6 Requirements

The following sets out the steps that must be taken to accomplish the above objectives:

ĬĎ	Description	Satisfied	Tested
		by	$\mathbf{b}\mathbf{y}$
1	Learn how Artificial Neural Networks work	Page 4	N/A
2	Develop Artificial Neural Networks from first principles		
2.1	Provide utilities for creating Artificial Neural Networks	Page 36	Page 86
2.2	Allow for the saving and loading of trained models' weights and biases	Page 40	Page 86
2.3	Allow use of Graphics Cards for faster training	Code not	Page 124
		included	
		in report	
3	Implement the Artificial Neural Networks on image		
	datasets		
3.1	Allow input of unique hyper-parameters	Page 47	Page 106
3.2	Allow unique datasets and train dataset size to be loaded	Page 47	Page 113
4	Use a database to store a model's features and the location	Page 64	Page 80
	of its weights and biases		
5	Develop a Graphical User Interface		
5.1	Provide controls for hyper-parameters of models	Page 52	Page 73
5.2	Display details of models' training	Page 52	N/A
5.3	Display the results of each model's predictions	Page 97	User
			Tested
5.4	Allow for the saving of trained models	Page 97	Page 78
5.5	Allow for the loading of saved trained models	Page 59	Page 77

# 3 Design

### 3.1 Introduction

The following design focuses have been made for the project:

- The program will support multiple platforms to run on, including Windows and Linux.
- The program will use python3 as its main programming language.
- I will take an object-orientated approach to the project.
- I will give an option to use either a Graphics Card or a CPU to train and test the Artificial Neural Networks.

I will also be using SysML for designing the following diagrams.

### 3.2 System Architecture

The project is architected using object-orientated design principles, it was then implemented in Python. A SysML block diagram showing the key architectural components is shown in the figure below with detailed SysML class diagrams shown in section 3.3.1.

As shown in the Figure ?? the User interacts with the software through a User Interface (UI) which is written in tkinter. The UI classes interface with the Model block which contains all the classes necessary for representing the Artificial Neural Network models. As such functional separation is maintained between the user interface elements and the Neural Network representation.

**bdd** [block] School Project Frame [System Architecture Diagram]

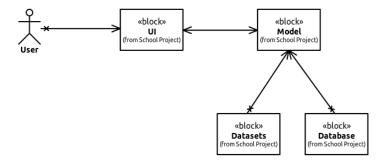


Figure 6: High level system architecture

# 3.3 Class Diagrams

### 3.3.1 UI Class Diagram

The classes utilised to implement the UI are shown in Figure ??. As can be seen the School\_Project\_Frame inherits the tk.Frame class - a tkinter base class which provides the basic frame functionality. The School\_Project\_Frame then contains a series of UI interaction specific Frames.

bdd [package] School Project [UI Class Diagram]

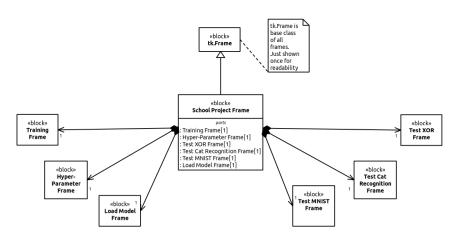


Figure 7: UI SysML Class Diagam

# 3.3.2 Model Class Diagram

The Model class diagram is shown below in figure 8. An AbstractModel class contains a linked list of FullyConnectedLayer classes which represent the layers within the Artificial Neural Network.

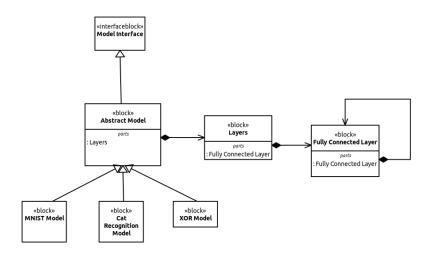


Figure 8: Artificial Neural Network and Layers SysML Class Diagram

# 3.4 System Flow chart

The system flow is shown in Figure 9 below. When the program is initialised the Home Frame is displayed. The left-hand route - *Hyper-Parameter Frame* and *Training Frame* - provide the functionality to set hyper-parameters and train a new model. This model can be saved and loaded, following the right-hand route, if desired before entering the *Testing Frame* where the model can be applied to testing data and the model performance assessed. The ability to save models is important as it allows for model training on a computer with a GPU and utilisation on a non-GPU-accelerated computer. This allowed an efficient build test cycle as I could build models on a desk-based, GPU accelerated PC, and undertake testing / demonstration on a laptop.

### 3.5 Algorithms

The detail of algorithms underpinning the Artificial Neural Network are outlined in section 2.1. To implement the algorithm each layer within the Artificial Neural Network is represented as an instance of a *FullyConnectedLayer* class each of which:

- Contains a weight and bias matrix.
- Has a *transfer\_function* which be selected by the user to be either Sigmoid or ReLu but can be easily extended to other functions.
- Has a forward\_propagation function which takes an input matrix which it then multiplies by the weight matrix and sums the result with the bias matrix, this is then put through the transfer\_function.

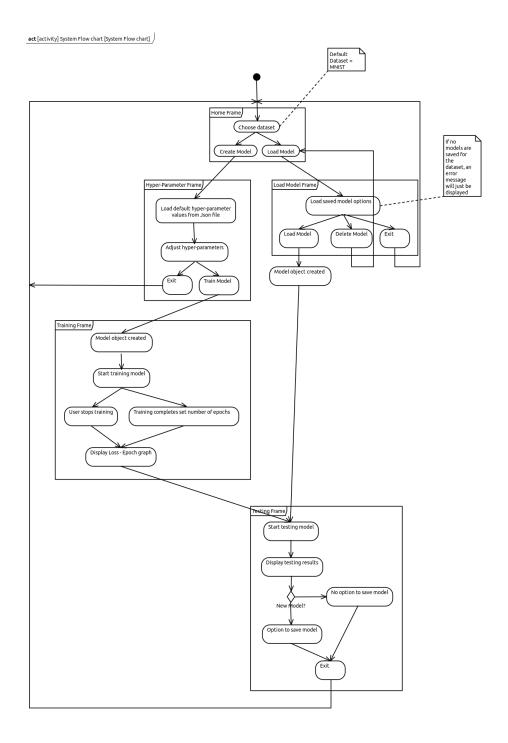


Figure 9: System flow chart

 $\bullet$  Has a  $backward\_propagation$  function which passes the loss with respect to each layers output allowing adjustment of the weights and biases.

Each FullyConnectedLayer class is then held within a doubly linked list contained within the Abstract Model Class, which is a parent class of the data-specific classes MNIST Model, Cat Recognition Model and XOR Model. The use of a doubly linked list allows for a user defined number of hidden layers from 0 upwards and allows easy propagation of the list.

The algorithm to train the Artificial Neural Network iterates over a user-defined number of epochs.

Each epoch starts with the presentation of data / an image to the input layer. The doubly linked list of layers is traversed calling the *forward\_propagation* function on each. When the output layer is reached the derivative of the *Log-Loss* function is calculated alongside the absolute *Loss* for debugging and learning rate plotting.

Following the calculation of the derivative of the *Log-Loss* function, the doubly linked list is then iterated in reverse back through the layers calculating the loss with respect to the weights and biases of each layer. This process is then used to adjust the weights and biases in each layer using the specified learning rate in an attempt to reduce the loss value.

# 3.6 Data Structures and Techniques used

The following data structures are utilised in implementing the Artificial Neural Network:

- Standard lists for storing data, for example storing the shape of the Artificial Neural Network's layers.
- Tuples where tuple unpacking is useful, such as returning multiple values from methods.
- Dictionaries for loading the default hyper-parameter values from a JSON file.
- Matrices to represent the layers and allow for a varied number of neurons in each layer. To represent the Matrices I will use both numpy arrays and cupy arrays.
- A Doubly linked list to represent the Artificial Neural Network, where each node is a layer of the network. This allows traversing both forwards and backwards through the network, as well as storing the first and last layer to start forward and backward propagation respectively.

Some techniques used include:

- Object-oriented design including inheritance, abstract classes and interfaces
- Encapsulation
- Decorators to wrap functions and modify behaviour
- tkinter for interface design
- SQL for database access

# 3.7 File Structure

I will use the following file structures to store necessary data for the program:

- A JSON file for storing the default hyper-parameters for creating a new model for each dataset.
- Image dataset files are stored in either a compressed archive file (such as .pkl.gz files) or of the Hierarchical Data Format (such as .h5) for storing large datasets with fast retrieval.
- Weights and biases of saved models are stored as numpy arrays in .npz files (a zipped archive file format) in a 'saved-models' directory, which allows compatibility with the standard numpy library.

# 3.8 Database Design

The following Relational database design is used for saving models, where the dataset, name and features of the saved model (including the location of the saved models' weights and biases and the saved models' hyper-parameters) are saved. The Model\_ID field is the primary key.

Models			
Model_ID	integer		
Dataset	text		
File_Location	text		
Hidden_Layers_Shape	text		
Learning_Rate	float		
Name	text		
Train_Dataset_Size	integer		
Use_ReLu	bool		

Figure 10: Database design

The following unique constraint is also used so that each dataset can not have more than one model with the same name:

UNIQUE (Dataset, Name)

The following constraint is applied to ensure no attribute is left empty:  $\tt NOT\ NULL$ 

# 3.9 Queries

Below are some example queries used for interacting with the database:

• Query the names of all saved models for a dataset with:

```
SELECT Name FROM Models WHERE Dataset=?;
```

• Query the file location of a saved model with:

```
SELECT File_Location FROM Models WHERE Dataset=? AND Name=?;
```

• Query the features of a saved model with:

```
SELECT * FROM Models WHERE Dataset=? AND Name=?;
```

# 3.10 Human-Computer Interaction

Below are the designs of each tkinter frame in the User Interface. The flow of the frames is described in Figure 9. The final implementation of the frames is shown in the technical solution section.

• The home Frame design which acts as the entry point to the program, the implemented version is shown in Figure 22.

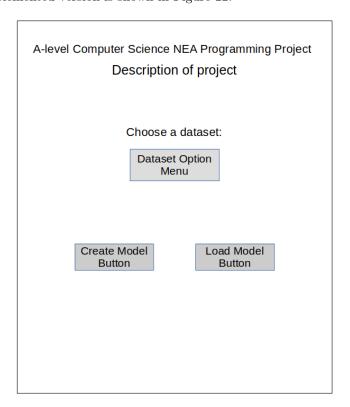


Figure 11: Home frame design - the entry point to the program

 $\bullet\,$  Hyper-Parameter Frame design which allows setting of the Hyper-parameters, the implemented version is shown in Figure 17.

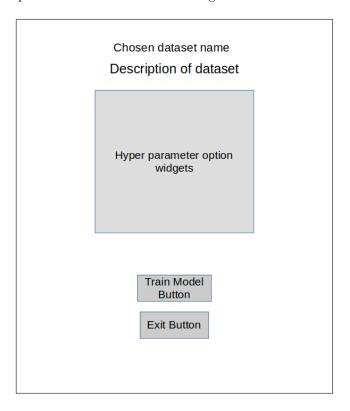


Figure 12: Hyper-Parameter Frame design which allows setting of parameters

# $\bullet\,$ Training Frame design:

 During training, the following is displayed on the Training Frame, the implemented version is shown in Figure 18

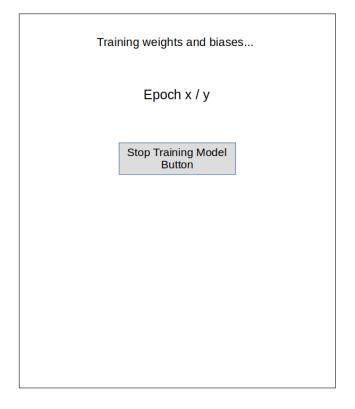


Figure 13: Training frame layout during training

 Once training has finished, the following is displayed on the Training Frame, the implemented version is shown in Figure 19.

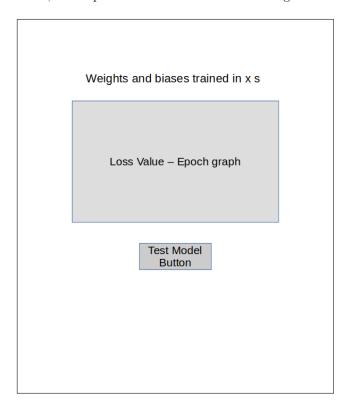


Figure 14: Training frame layout after training

 $\bullet\,$  Load Model Frame design, the implemented version is shown in Figure 20.

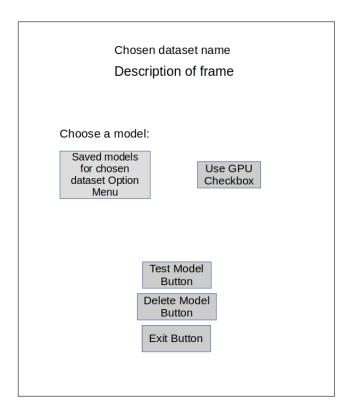


Figure 15: Load model frame design

• Test Frame design, the implemented version is shown in Figure 34.

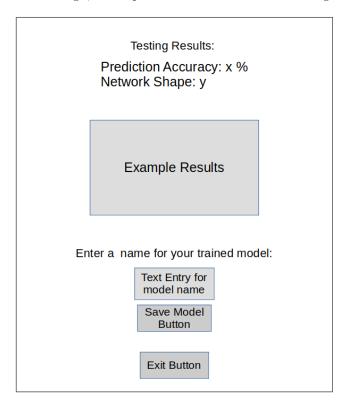


Figure 16: Test frame design

# 3.11 Hardware Design

To allow for faster training of an Artificial Neural Network, I will give the option to use a Graphics Card to train the Artificial Neural Network where available. I will also give the option to load pretrained weights to run on less computationally powerful hardware using just the CPU as standard. This was implemented by using the CuPy library.

# 3.12 Workflow and source control

Git and GitHub were used to manage the workflow and provide source control as the project was developed. In particular the following features were utilised:

- $\bullet$  Commits and branches for adding features and fixing bugs separately.
- Using GitHub to back up the project as a repository.
- Automated testing on GitHub after each pushed commit.
- Providing the necessary instructions and information for the installation and usage of this project, as well as creating releases of the project with new patches.

# 4 Technical Solution

# 4.1 Source file organisation and management

# 4.1.1 File Structure

The following file structure is used to organise the code for the project, where school\_project is the main package and is constructed of two main subpackages:

- The models package, which is a self-contained package for creating trained Artificial Neural Network models.
- The frames package, which consists of tkinter frames for the User Interface.

```
|-- Dockerfile
|--| .github
| `-- workflows
| `-- tests.yml
|-- .gitignore
|-- LICENSE
|-- notebooks
|-- epoch-count-analysis.ipynb
  |-- layer-count-analysis.ipynb
| |-- learning-rate-analysis.ipynb
   |-- neuron-count-analysis.ipynb
  -- relu-analysis.ipynb
   `-- train-dataset-size-analysis.ipynb
|-- README.md
|-- school_project
  |-- frames
   | |-- create_model.py
| |-- hyper-parameter-defaults.json
| |-- __init__.py
   | |-- load_model.py
| `-- test_model.py
   |-- __init__.py
|-- __main__.py
   |-- models
       |-- cpu
       | |-- cat_recognition.py
           |-- __init__.py
          |-- mnist.py
       | |-- utils
          | |-- __init__.py
| |-- model.py
       1
       | | `-- tools.py
           `-- xor.py
       -- datasets
       | |-- mnist.pkl.gz
       | |-- test-cat.h5
           `-- train-cat.h5
       |-- gpu
          |-- cat_recognition.py
           |-- __init__.py
          |-- mnist.py
          |-- utils
       `-- xor.py
       -- __init__.py
   |-- saved-models
   `-- test
       |-- __init__.py
        |-- models
           |-- cpu
               |-- __init__.py

-- utils
                  |-- __init__.py
|-- test_model.py

-- test_tools.py
       1
           - 1
        1
          |-- gpu
```

18 directories, 50 files

Each package within the school\_project package contains a \_\_init\_\_.py file, which allows the school\_project package to be installed to a virtual environment so that the modules of the package can be imported from the installed package.

Show below are the contents of the frames package's \_\_init\_\_.py for example, which allows the classes of all modules in the package to be imported simultaneously:

```
"""Package of tkinter frames for the main window."""

from .create_model import HyperParameterFrame, TrainingFrame
from .load_model import LoadModelFrame
from .test_model import TestMNISTFrame, TestCatRecognitionFrame, TestXORFrame

-_all__ = ['create_model', 'load_model', 'test_model']
```

I have omitted the source code for this report, which included a Makefile for its compilation.

#### 4.1.2 Dependencies

The python dependencies for the project can be installed by running the following setup.py file (as described in the README.md in the next section). Instructions on installing external dependencies, such as the CUDA Toolkit for using a GPU, are explained in the README.md in the following section.

• setup.py code:

```
from setuptools import setup, find_packages
3
        name='school-project',
        version='2.0.0',
5
        packages=find_packages(),
6
        url='https://github.com/mcttn22/school-project.git',
        author='Max Cotton',
        author_email='maxcotton22@gmail.com',
9
10
        description='Year 13 Computer Science Programming Project',
11
        install_requires=[
12
                            'cupy-cuda12x',
                           'h5py',
13
                            'matplotlib',
14
                            'numpy==1.26.4'
15
        ],
16
    )
17
```

# 4.1.3 Git and Github files

Git and Github were used extensively to manage the codebase and utilised the following files:

• A .gitignore file for specifying which files and directories should be ignored by Git:

```
# Byte compiled files
__pycache__/

# Packaging
* *.egg-info

# Database file
s chool_project/saved_models.db
```

- A README.md markdown file to give installation and usage instructions for the repository on GitHub:
  - Markdown code:

```
<!-- The following lines generate badges showing the current status of
    \hookrightarrow the automated testing (Passing or Failing) and a Python3 badge
        correspondingly.) -->
    [![tests](https://github.com/mcttn22/school-project/actions/workflows/tests.yml/badge.svg)](https://
     \hbox{\tt [![python](https://img.shields.io/badge/Python-3-3776AB.svg?style=flat\&logo=python\&logoColor=white)]} \\
    # A-level Computer Science NEA Programming Project
5
    This project is an investigation into how Artificial Neural Networks
        (ANNs) work and their applications in Image Recognition, by
     \hookrightarrow documenting all theory behind the project and developing
        applications of the theory, that allow for experimentation via a
        {\tt GUI.} The ANNs are created without the use of any 3rd party Machine
     \hookrightarrow Learning Libraries and I currently have been able to achieve a
         prediction accuracy of 99.6% on the MNIST dataset. The report for
         this project is also included in this repository.
9
    ## Installation
10
    1. Download the Repository with:
11
12
13
          git clone https://github.com/mcttn22/school-project.git
14
15
        - Or by downloading as a ZIP file
16
17
18
19
20
    2. Create a virtual environment (venv) with:
        - Windows:
21
22
23
          python -m venv {venv name}
24
        - Linux:
25
26
          python3 -m venv {venv name}
27
28
29
    3. Enter the veny with:
30
31
        - Windows:
32
          . \verb|\ensuremath{|} \text{Scripts}| 
33
        - Linux:
35
36
          source ./{venv name}/bin/activate
37
38
```

```
39
40
    4. Enter the project directory with:
41
        cd school-project/
42
43
44
45
    5. For normal use, install the dependencies and the project to the
     \hookrightarrow venv with:
       - Windows:
46
47
          python setup.py install
48
49
50
        - Linux:
51
52
          python3 setup.py install
53
54
    *Note: In order to use an Nvidia GPU for training the networks, the
     \,\hookrightarrow\, latest Nvdia drivers must be installed and the CUDA Toolkit must
    \hookrightarrow be installed from
    <a href="https://developer.nvidia.com/cuda-downloads">here</a>.*
56
57
58
    ## Usage
59
    Run with:
60
61
    - Windows:
62
63
      python school_project
64
    - Linux:
65
      python3 school_project
67
68
    ## Development
70
71
72
    Install the dependencies and the project to the venv in developing
    \hookrightarrow mode with:
    - Windows:
73
74
      python setup.py develop
75
76
    - Linux:
77
78
79
      python3 setup.py develop
80
81
    Run Tests with:
82
    - Windows:
83
      python -m unittest discover .\school_project\test\
85
86
87
88
       python3 -m unittest discover ./school_project/test/
89
90
91
92
    Use Docker with:
    - Build the Docker Image with:
93
94
95
       sudo docker build -t mcttn22/school-project ./
96
```

```
- Run the Docker Image with:
97
98
       sudo apt-get install x11-xserver-utils
99
100
       sudo docker run -v /tmp/.X11-unix:/tmp/.X11-unix -e
101
       → DISPLAY=unix$DISPLAY mcttn22/school-project
103
     Compile Project Report PDF with:
104
     make all
106
107
108
     *Note: This requires the Latexmk, pdflatek and Pygments libraries*
```

- Which will generate the following:

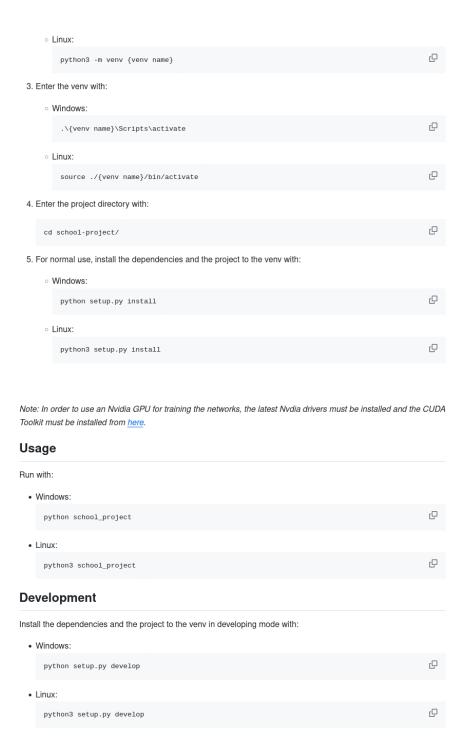


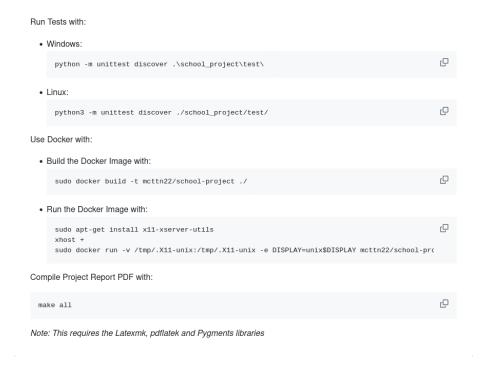
# **A-level Computer Science NEA Programming Project**

This project is an investigation into how Artificial Neural Networks (ANNs) work and their applications in Image Recognition, by documenting all theory behind the project and developing applications of the theory, that allow for experimentation via a GUI. The ANNs are created without the use of any 3rd party Machine Learning Libraries and I currently have been able to achieve a prediction accuracy of 99.6% on the MNIST dataset. The report for this project is also included in this repository.

#### Installation







• A LICENSE file that describes how others can use my code.

# 4.1.4 Organisation

I also utilise a TODO.md file for keeping track of what features and/or bugs need to be worked on.

### 4.2 models package

This package is a self-contained package for creating the trained Artificial Neural Networks and can either be used with a CPU or a GPU, as well as containing the test and training data for all three datasets in a datasets directory. Whilst both the cpu and gpu subpackage are similar in functionality, the cpu subpackage uses NumPy for matrices whereas the gpu subpackage utilises NumPy alongisde the library CuPy which requires a GPU to be utilised for operations with the matrices. I have only shown the code for the cpu subpackage - the GPU subpackage is identical apart from calling CuPy instead of NumPy.

Both the cpu and gpu subpackage contain a utils subpackage that provides the tools for creating Artificial Neural Networks, and three modules that are the implementation of Artificial Neural Networks for each dataset.

#### 4.2.1 utils subpackage

The utils subpackage consists of a tools.py module that provides a ModelInterface class and helper functions for the model.py module, that contains an AbstractModel class that implements every method from the ModelInterface except for the load\_dataset method.

## • tools.py module:

```
"""Helper functions and ModelInterface class for model module."""
    from abc import ABC, abstractmethod
3
    import numpy as np
    class ModelInterface(ABC):
         """Interface for ANN models."""
        @abstractmethod
9
10
        def _setup_layers(setup_values: callable) -> None:
              """Decorator that sets up model layers and sets up values of each
11
     \hookrightarrow layer
                with the method given.
13
14
                 setup_values (callable): the method that sets up the values of
15
     \hookrightarrow each
16
                 layer.
             Raises:
17
                 {\it NotImplementedError: if this method is not implemented.}
18
19
20
21
             raise NotImplementedError
22
23
        @abstractmethod
         def create_model_values(self) -> None:
24
             """Create weights and bias/biases
25
26
                NotImplementedError: if this method is not implemented.
28
29
30
             raise NotImplementedError
31
32
        @abstractmethod
33
34
         def load_model_values(self, file_location: str) -> None:
             """Load weights and bias/biases from .npz file.
36
37
                file_location (str): the location of the file to load from.
38
             Raises:
39
                 {\it NotImplementedError: if this method is not implemented.}
41
42
             raise NotImplementedError
44
45
        @abstractmethod
        def load_datasets(self, train_dataset_size: int) -> tuple[np.ndarray,
46
         47
                                                                      → np.ndarray]:
             """Load input and output datasets. For the input dataset, each
48
        column
               should represent a piece of data and each row should store the
49
       values
                of the piece of data.
50
51
                 train_dataset_size (int): the number of train dataset inputs to
53
     \hookrightarrow use.
```

```
54
             Returns:
                  tuple of train_inputs, train_outputs,
                  test\_inputs \ and \ test\_outputs.
56
57
             Raises:
                  NotImplementedError: if this method is not implemented.
58
59
60
             raise NotImplementedError
61
62
63
         def back_propagation(self, prediction: np.ndarray) -> None:
64
              """ Adjust the weights and bias/biases via gradient descent.
65
66
67
                 prediction (numpy.ndarray): the matrice of prediction values
             Raises:
69
                  NotImplementedError: if this method is not implemented.
70
71
72
             raise NotImplementedError
73
74
         @abstractmethod
75
76
         def forward_propagation(self) -> np.ndarray:
              """Generate a prediction with the weights and bias/biases.
77
78
79
                 numpy.ndarray of prediction values.
80
81
             Raises:
                  {\it NotImplementedError: if this method is not implemented.}
82
83
             raise NotImplementedError
85
86
         @abstractmethod
         def test(self) -> None:
88
              """Test trained weights and bias/biases.
89
90
             Raises:
91
                 NotImplementedError: if this method is not implemented.
92
93
94
95
             raise NotImplementedError
96
97
         @abstractmethod
98
         def train(self, epochs: int) -> None:
              """Train weights and bias/biases.
99
100
101
             Args:
                 epochs (int): the number of forward and back propagations to
102
         do.
             Raises:
103
                 NotImplementedError: if this method is not implemented.
104
105
106
107
             raise NotImplementedError
108
         @abstractmethod
109
         def save_model_values(self, file_location: str) -> None:
110
              """Save the model by saving the weights then biases of each layer
111
        t.o
                 a .npz file with a given file location.
112
113
```

```
114
                Args:
                    file_location (str): the file location to save the model to.
115
116
117
             raise NotImplementedError
118
119
120
     def relu(z: np.ndarray | int | float) -> np.ndarray | float:
          """Transfer function, transform input to max number between 0 and z.
121
122
123
             z (numpy.ndarray | int | float):
124
             the numpy.ndarray | int | float to be transferred.
125
126
         Returns:
             numpy.ndarray / float,
127
128
             with all values / the value transferred to max number between 0-z.
129
         Raises:
             TypeError: if z is not of type numpy.ndarray | int | float.
130
131
132
         return np.maximum(0.1*z, 0) # Divide by 10 to stop overflow errors
133
134
     def relu_derivative(output: np.ndarray) -> np.ndarray:
135
136
          """Calculate derivative of ReLu Transfer function with respect to z.
137
138
         Args:
139
             output (numpy.ndarray):
             the numpy.ndarray output of the ReLu transfer function.
140
141
         Returns:
142
             numpy.ndarray,
             derivative of the ReLu transfer function with respect to z.
143
144
         Raises:
             TypeError: if output is not of type numpy.ndarray.
145
146
147
         output[output <= 0] = 0</pre>
148
         output[output > 0] = 1
149
150
         return output
151
152
     def sigmoid(z: np.ndarray | int | float) -> np.ndarray | float:
153
154
          """Transfer function, transform input to number between 0 and 1.
155
         Args:
156
             z (numpy.ndarray | int | float):
157
158
             the numpy.ndarray | int | float to be transferred.
         Returns:
159
160
             numpy.ndarray / float,
             with all values | the value transferred to a number between 0-1.
161
         Raises:
162
             TypeError: if z is not of type numpy.ndarray / int / float.
163
164
165
         return 1 / (1 + np.exp(-z))
166
167
     def sigmoid_derivative(output: np.ndarray | int | float) -> np.ndarray |
168
     \hookrightarrow float:
          """Calculate derivative of sigmoid Transfer function with respect to z.
169
170
171
172
             output (numpy.ndarray | int | float):
             the numpy.ndarray | int | float output of the sigmoid transfer
```

```
174
         Returns:
            numpy.ndarray / float,
175
             derivative of the sigmoid transfer function with respect to z.
176
177
             TypeError: if output is not of type numpy.ndarray | int | float.
178
179
180
         return output * (1 - output)
181
182
     def calculate_loss(input_count: int,
183
                       outputs: np.ndarray,
184
                        prediction: np.ndarray) -> float:
185
         """Calculate average loss/error of the prediction to the outputs.
186
187
188
             input_count (int): the number of inputs.
189
190
             outputs (np.ndarray):
             the train/test outputs array to compare with the prediction.
191
            prediction (np.ndarray): the array of prediction values.
192
193
         Returns:
            float loss.
194
         Raises:
195
             ValueError:
             if outputs is not a suitable multiplier with the prediction
197
             (incorrect shapes)
198
199
200
201
         return np.squeeze(- (1/input_count) * np.sum(outputs *
         202
203
     def calculate_prediction_accuracy(prediction: np.ndarray,
                                       outputs: np.ndarray) -> float:
204
         """Calculate the percentage accuracy of the predictions.
205
206
207
         Args:
             prediction (np.ndarray): the array of prediction values.
208
209
             outputs (np.ndarray):
            the train/test outputs array to compare with the prediction.
210
211
         Returns:
            float prediction accuracy
212
213
214
         return 100 - np.mean(np.abs(prediction - outputs)) * 100
215
```

• model.py module:

```
"""Provides an abstract class for Artificial Neural Network models."""
    from collections.abc import Generator
3
4
    import time
    import numpy as np
6
    from .tools import (
9
                         ModelInterface.
10
                         relu_derivative,
11
12
                         sigmoid,
                         sigmoid_derivative,
13
                         calculate loss.
14
15
                         calculate_prediction_accuracy
```

```
)
16
17
    class _FullyConnectedLayer():
18
         """Fully connected layer for Deep ANNs,
19
           represented as a node of a Doubly linked list."""
20
        def __init__(self, learning_rate: float, input_neuron_count: int,
21
22
                      output_neuron_count: int, transfer_type: str) -> None:
             """Initialise layer values.
23
24
25
                 learning_rate (float): the learning rate of the model.
26
27
                 input_neuron_count (int):
28
                 the number of input neurons into the layer.
                 output_neuron_count (int):
29
30
                 the number of output neurons into the layer.
                 transfer_type (str): the transfer function type
31
                 ('sigmoid' or 'relu')
32
34
             # Setup layer attributes
35
             self.previous_layer = None
36
             self.next_layer = None
37
             self.input_neuron_count = input_neuron_count
38
             self.output_neuron_count = output_neuron_count
39
             self.transfer_type = transfer_type
40
41
             self.input: np.ndarray
             self.output: np.ndarray
42
43
             # Setup weights and biases
44
             self.weights: np.ndarray
45
             self.biases: np.ndarray
46
             self.learning_rate = learning_rate
47
48
        def __repr__(self) -> str:
49
              """Read values of the layer.
50
51
52
                 a string description of the layers's
53
54
                 weights, bias and learning rate values.
55
56
57
             return (f"Weights: {self.weights.tolist()}\n" +
                     f"Biases: {self.biases.tolist()}\n")
58
59
60
        def init_layer_values_random(self) -> None:
             """Initialise weights to random values and biases to Os"""
61
             np.random.seed(1) # Sets up pseudo random values for layer weight
62
             \hookrightarrow arrays
             self.weights = np.random.rand(self.output_neuron_count,
63
             \rightarrow self.input_neuron_count) - 0.5
             self.biases = np.zeros(shape=(self.output_neuron_count, 1))
64
65
        def init_layer_values_zeros(self) -> None:
66
             """Initialise weights to Os and biases to Os"""
67
             self.weights = np.zeros(shape=(self.output_neuron_count,
68

    self.input_neuron_count))
             self.biases = np.zeros(shape=(self.output_neuron_count, 1))
69
70
        def back_propagation(self, dloss_doutput) -> np.ndarray:
71
72
             """Adjust the weights and biases via gradient descent.
73
             Args:
74
```

```
dloss_doutput (numpy.ndarray): the derivative of the loss of
75
      \hookrightarrow the
                  layer's output, with respect to the layer's output.
76
77
              Returns:
                  a numpy.ndarray derivative of the loss of the layer's input,
78
                  with respect to the layer's input.
79
80
              Raises:
                  ValueError:
81
                  if \ dloss\_doutput
82
                  is not a suitable multiplier with the weights
83
                  (incorrect shape)
84
85
86
              match self.transfer_type:
87
                  case 'sigmoid':
                       dloss_dz = dloss_doutput *
89
                       \ \hookrightarrow \ \ \texttt{sigmoid\_derivative}(\texttt{output} \texttt{=} \texttt{self.output})
                  case 'relu':
                       dloss_dz = dloss_doutput *
91

→ relu_derivative(output=self.output)

92
              dloss_dweights = np.dot(dloss_dz, self.input.T)
93
94
              dloss_dbiases = np.sum(dloss_dz)
95
              assert dloss_dweights.shape == self.weights.shape
96
97
              dloss_dinput = np.dot(self.weights.T, dloss_dz)
98
99
              # Update weights and biases
100
              self.weights -= self.learning_rate * dloss_dweights
101
              self.biases -= self.learning_rate * dloss_dbiases
102
103
              return dloss_dinput
104
          def forward_propagation(self, inputs) -> np.ndarray:
106
              """Generate a layer output with the weights and biases.
107
108
109
              Args:
110
                  inputs (np.ndarray): the input values to the layer.
              Returns:
111
                  a numpy.ndarray of the output values.
112
113
              11 11 11
114
              self.input = inputs
115
116
              z = np.dot(self.weights, self.input) + self.biases
              if self.transfer_type == 'sigmoid':
117
118
                  self.output = sigmoid(z)
              elif self.transfer_type == 'relu':
119
                  self.output = relu(z)
120
121
              return self.output
122
123
     class _Layers():
          """Manages linked list of layers."""
124
          def __init__(self) -> None:
125
               """Initialise linked list."""
126
              self.head = None
127
              self.tail = None
128
129
          def __iter__(self) -> Generator[_FullyConnectedLayer, None, None]:
130
               """Iterate forward through the network."""
131
              current_layer = self.head
132
              while True:
133
```

```
134
                 yield current_layer
                 if current_layer.next_layer is not None:
135
                     current_layer = current_layer.next_layer
136
137
                 else:
138
                     break
139
         def __reversed__(self) -> Generator[_FullyConnectedLayer, None, None]:
              """Iterate back through the network."""
141
             current_layer = self.tail
142
             while True:
143
                 yield current_layer
144
                 if current_layer.previous_layer is not None:
145
                     current_layer = current_layer.previous_layer
146
                 else:
147
148
                     break
149
     class AbstractModel(ModelInterface):
150
         """ANN model with variable number of hidden layers"""
151
         def __init__(self,
152
153
                      hidden_layers_shape: list[int],
                      train_dataset_size: int,
154
                      learning_rate: float,
155
                      use_relu: bool) -> None:
156
             """ Initialise\ {\it model values}.
157
158
                 hidden_layers_shape (list[int]):
160
161
                 list of the number of neurons in each hidden layer.
                 train_dataset_size (int): the number of train dataset inputs to
162
         use.
                 learning_rate (float): the learning rate of the model.
163
                 use_relu (bool): True or False whether the ReLu Transfer
164
         function
                 should be used.
165
166
167
             # Setup model data
168
             self.train_inputs, self.train_outputs,\
169
170
             self.test_inputs, self.test_outputs = self.load_datasets(
171
                                               172
             self.train_losses: list[float]
173
174
             self.test_prediction: np.ndarray
175
             self.test_prediction_accuracy: float
             self.training_progress = ""
176
177
             self.training_time: float
178
             # Setup model attributes
179
             self.__running = True
180
             self.input_neuron_count: int = self.train_inputs.shape[0]
181
182
             self.input_count = self.train_inputs.shape[1]
             self.hidden_layers_shape = hidden_layers_shape
183
             self.output_neuron_count = self.train_outputs.shape[0]
184
             self.layers_shape = [f'{layer}' for layer in (
185
                                  [self.input_neuron_count] +
186
                                  self.hidden_layers_shape +
187
                                  [self.output_neuron_count]
                                  )]
189
             self.use_relu = use_relu
190
191
             # Setup model values
192
```

```
self.layers = _Layers()
193
             self.learning_rate = learning_rate
194
195
         def __repr__(self) -> str:
196
              """Read current state of model.
197
198
199
             Returns:
                 a string description of the model's shape,
200
                 weights, bias and learning rate values.
201
202
203
             204
205
                      f"Learning Rate: {self.learning_rate}")
206
207
         def set_running(self, value: bool) -> None:
              """Set the running attribute to the given value.
208
209
                 value (bool): the value to set the running attribute to.
211
212
213
             self.__running = value
214
215
         def _setup_layers(setup_values: callable) -> None:
216
               ""Decorator that sets up model layers and sets up values of each
217
                with the method given.
218
219
220
                 setup_values (callable): the method that sets up the values of
221
         each
                 layer.
222
223
224
             def decorator(self, *args, **kwargs) -> None:
225
                  \# Check if setting up Deep Network
226
227
                  if len(self.hidden_layers_shape) > 0:
                      if self.use_relu:
228
229
                          # Add input layer
230
                          self.layers.head = _FullyConnectedLayer(
231
232

    learning_rate=self.learning_rate,
233
                                                      input_neuron_count=self.input_neuron_count,
234
                                                   \  \  \, \to \  \  \, output\_neuron\_count=self.hidden\_layers\_shape\, \hbox{\tt [0]}\, ,
                                                   transfer_type='relu'
235
236
237
                          current_layer = self.layers.head
238
                          # Add hidden layers
239
                          for layer in range(len(self.hidden_layers_shape) - 1):
240
                              current_layer.next_layer = _FullyConnectedLayer(
241
242
                                           learning_rate=self.learning_rate,
243

    input_neuron_count=self.hidden_layers_shape[layer],

244

→ output_neuron_count=self.hidden_layers_shape[layer
                                           \hookrightarrow + 1],
                                           transfer_type='relu'
^{245}
246
```

```
247
                                 current_layer.next_layer.previous_layer =
                                 \hookrightarrow current_layer
                                 current_layer = current_layer.next_layer
248
249
                       else:
250
                            # Add input layer
251
252
                            self.layers.head = _FullyConnectedLayer(
253

→ learning_rate=self.learning_rate,

    input_neuron_count=self.input_neuron_count,
255
                                                           output_neuron_count=self.hidden_layers_shape[0],
                                                       transfer_type='sigmoid'
256
257
                                                       )
                            current_layer = self.layers.head
258
259
                            # Add hidden layers
260
                            for layer in range(len(self.hidden_layers_shape) - 1):
261
262
                                 current_layer.next_layer = _FullyConnectedLayer(
                                              learning_rate=self.learning_rate,
263
264

→ input_neuron_count=self.hidden_layers_shape[layer],
265
                                              \ \hookrightarrow \ \ output\_neuron\_count=self.hidden\_layers\_shape[layer
                                                  + 1],
                                              transfer_type='sigmoid'
266
267
                                 current_layer.next_layer.previous_layer =
268
                                 \hookrightarrow current laver
269
                                 current_layer = current_layer.next_layer
270
                        # Add output layer
271
                        current_layer.next_layer = _FullyConnectedLayer(
272
                                                  learning_rate=self.learning_rate,
273
274
                                                   \hookrightarrow input_neuron_count=self.hidden_layers_shape[-1],
275
                                                   \ \hookrightarrow \ \ \text{output\_neuron\_count=self.output\_neuron\_count}\,,
                                                   transfer_type='sigmoid'
276
277
                        current_layer.next_layer.previous_layer = current_layer
278
                        self.layers.tail = current_layer.next_layer
279
280
281
                   # Setup Perceptron Network
                   else:
282
283
                       self.layers.head = _FullyConnectedLayer(
                                                   learning_rate=self.learning_rate,
284
285

    input_neuron_count=self.input_neuron_count,

286
                                                   \ \hookrightarrow \ \ \text{output\_neuron\_count} = \texttt{self.output\_neuron\_count} \,,
                                                   transfer_type='sigmoid'
287
288
                        self.layers.tail = self.layers.head
289
290
                   setup_values(self, *args, **kwargs)
291
292
              return decorator
293
294
          @_setup_layers
295
          def create_model_values(self) -> None:
296
```

```
"""Create weights and bias/biases"""
297
              # Check if setting up Deep Network
298
              if len(self.hidden_layers_shape) > 0:
299
300
                  # Initialise Layer values to random values
301
                  for layer in self.layers:
302
303
                      layer.init_layer_values_random()
304
              # Setup Perceptron Network
305
              else:
306
307
                  # Initialise Layer values to zeros
308
309
                  for layer in self.layers:
                      layer.init_layer_values_zeros()
310
311
         @_setup_layers
312
         def load_model_values(self, file_location: str) -> None:
313
              """Load weights and bias/biases from .npz file.
314
315
316
              Args:
                  file_location (str): the location of the file to load from.
317
318
319
              data: dict[str, np.ndarray] = np.load(file=file_location)
320
321
322
              # Initialise Layer values
              i = 0
323
324
              keys = list(data.keys())
              for layer in self.layers:
325
                  layer.weights = data[keys[i]]
326
327
                  layer.biases = data[keys[i + 1]]
328
329
330
         def back_propagation(self, dloss_doutput) -> None:
              """Train each layer's weights and biases.
331
332
333
              Args:
                  dloss_doutput (np.ndarray): the derivative of the loss of the
334
335
                  output\ layer's\ output,\ with\ respect\ to\ the\ output\ layer's
         output.
336
337
              for layer in reversed(self.layers):
338
339
                  dloss_doutput =
                  \rightarrow \quad \texttt{layer.back\_propagation(dloss\_doutput=dloss\_doutput)}
340
341
         def forward_propagation(self) -> np.ndarray:
              """Generate a prediction with the layers.
342
343
344
              Returns:
                  a numpy.ndarray of the prediction values.
345
346
347
              output = self.train_inputs
348
349
              for layer in self.layers:
                  output = layer.forward_propagation(inputs=output)
350
              return output
351
352
         def test(self) -> None:
353
              """Test the layers' trained weights and biases."""
354
              output = self.test_inputs
355
              for layer in self.layers:
356
```

```
357
                  output = layer.forward_propagation(inputs=output)
              self.test_prediction = output
358
359
360
              # Calculate performance of model
             self.test_prediction_accuracy = calculate_prediction_accuracy(
361
362
                                                      \hookrightarrow \quad \texttt{prediction=self.test\_prediction,}
                                                      outputs=self.test\_outputs
363
364
365
         def train(self, epoch_count: int) -> None:
366
367
              """Train layers' weights and biases.
368
369
                 Aras:
                     epoch_count (int): the number of training epochs.
371
372
              self.layers_shape = [f'{layer}' for layer in (
373
                                   [self.input_neuron_count] +
374
375
                                   self.hidden_layers_shape +
                                   [self.output_neuron_count]
376
377
                                   )1
             self.train_losses = []
378
              training_start_time = time.time()
379
380
             for epoch in range(epoch_count):
381
                  if not self.__running:
                      break
382
383
                  self.training_progress = f"Epoch {epoch} / {epoch_count}"
                  prediction = self.forward_propagation()
384
                  loss = calculate_loss(input_count=self.input_count,
385
                                         outputs=self.train_outputs,
                                         prediction=prediction)
387
                  self.train_losses.append(loss)
388
                  if not self.__running:
389
390
                      break
                  dloss_doutput = -(1/self.input_count) * ((self.train_outputs -
391
                  \hookrightarrow prediction)/(prediction * (1 - prediction)))
                  self.back_propagation(dloss_doutput=dloss_doutput)
392
393
              self.training_time = round(number=time.time()
              ndigits=2)
394
395
         def save_model_values(self, file_location: str) -> None:
396
397
              """Save the model by saving the weights then biases of each layer
                 a .npz file with a given file location.
398
399
                 Args:
400
                     file_location (str): the file location to save the model to.
401
403
             saved_model: list[np.ndarray] = []
404
              for layer in self.layers:
405
                  saved_model.append(layer.weights)
406
407
                  saved_model.append(layer.biases)
             np.savez(file_location, *saved_model)
408
```

## 4.2.2 Artificial Neural Network implementations

The following three modules implement the AbstractModel class from the above model.py module from the utils subpackage, on the three datasets.

• cat\_recognition.py module:

```
"""Implementation of Artificial Neural Network model on Cat Recognition

    → dataset."""

    import h5py
    import numpy as np
    from .utils.model import AbstractModel
    class CatRecognitionModel(AbstractModel):
         """ANN model that trains to predict if an image is a cat or not a
        cat."""
10
         def __init__(self,
                      hidden_layers_shape: list[int],
11
                      train_dataset_size: int,
12
13
                      learning_rate: float,
                      use_relu: bool) -> None:
14
             """Initialise Model's Base class.
15
16
             Aras:
17
                 hidden_layers_shape (list[int]):
18
19
                 list of the number of neurons in each hidden layer.
                 train_dataset_size (int): the number of train dataset inputs to
20

    use.

                 learning_rate (float): the learning rate of the model.
21
                 use_relu (bool): True or False whether the ReLu Transfer
22
     \hookrightarrow function
                 should be used.
23
24
             super().__init__(hidden_layers_shape=hidden_layers_shape,
26
27
                               train_dataset_size=train_dataset_size,
                               learning_rate=learning_rate,
28
                               use_relu=use_relu)
29
30
        def load_datasets(self, train_dataset_size: int) -> tuple[np.ndarray,
31

→ np.ndarray,

                                                                      np.ndarray,
                                                                      → np.ndarray]:
             """Load image input and output datasets.
33
34
35
             Args:
                 train_dataset_size (int): the number of train dataset inputs to
        use.
             Returns:
37
                 tuple of image train_inputs, train_outputs,
38
                 test_inputs and test_outputs numpy.ndarrys.
39
40
41
                 {\it FileNotFoundError:}\ if\ file\ does\ not\ exist.
42
43
             11 11 11
44
             # Load datasets from h5 files
45
             # (h5 files stores large amount of data with quick access)
             train_dataset: h5py.File = h5py.File(
47
                  r'school_project/models/datasets/train-cat.h5',
48
49
50
             test_dataset: h5py.File = h5py.File(
                   r'school_project/models/datasets/test-cat.h5',
52
53
```

```
)
54
            # Load input arrays.
56
            # containing the RGB values for each pixel in each 64x64 pixel
57
            # for 209 images
58
59
            train_inputs: np.ndarray =
             → np.array(train_dataset['train_set_x'][:])
            test_inputs: np.ndarray = np.array(test_dataset['test_set_x'][:])
60
61
            # Load output arrays of 1s for cat and 0s for not cat
62
63
            train_outputs: np.ndarray =
            → np.array(train_dataset['train_set_y'][:])
            test_outputs: np.ndarray = np.array(test_dataset['test_set_y'][:])
64
65
            # Reshape input arrays into 1 dimension (flatten),
66
            # then divide by 255 (RGB)
67
            \# to standardize them to a number between 0 and 1
            train_inputs = train_inputs.reshape((train_inputs.shape[0],
69
                                                   -1)).T / 255
70
            test_inputs = test_inputs.reshape((test_inputs.shape[0], -1)).T /
71
72
            # Reshape output arrays into a 1 dimensional list of outputs
73
            train_outputs = train_outputs.reshape((1, train_outputs.shape[0]))
74
            test_outputs = test_outputs.reshape((1, test_outputs.shape[0]))
76
            # Reduce train datasets' sizes to train_dataset_size
77
            train_inputs = (train_inputs.T[:train_dataset_size]).T
            train_outputs = (train_outputs.T[:train_dataset_size]).T
79
            return train_inputs, train_outputs, test_inputs, test_outputs
81
```

• mnist.py module:

```
"""Implementation of Artificial Neural Network model on MNIST dataset."""
    import pickle
    import gzip
    import numpy as np
    from .utils.model import AbstractModel
    class MNISTModel(AbstractModel):
10
11
         """ANN model that trains to predict Numbers from images."""
        def __init__(self, hidden_layers_shape: list[int],
12
                      train_dataset_size: int,
13
                      learning_rate: float,
14
                      use_relu: bool) -> None:
15
             """Initialise Model's Base class.
16
17
                hidden_layers_shape (list[int]):
19
20
                list of the number of neurons in each hidden layer.
                 train_dataset_size (int): the number of train dataset inputs to
21
        use.
                learning_rate (float): the learning rate of the model.
22
                use_relu (bool): True or False whether the ReLu Transfer
23
        function
                should be used.
24
```

```
25
             super().__init__(hidden_layers_shape=hidden_layers_shape,
27
28
                               train_dataset_size=train_dataset_size,
                               learning_rate=learning_rate,
29
                               use relu=use relu)
30
31
         def load_datasets(self, train_dataset_size: int) -> tuple[np.ndarray,
32

→ np.ndarray,

33
                                                                      → np.ndarray]:
             """Load image input and output datasets.
34
35
             Args:
                 train_dataset_size (int): the number of dataset inputs to use.
36
37
             Returns:
                 tuple of image train_inputs, train_outputs,
38
                 test_inputs and test_outputs numpy.ndarrys.
39
             Raises:
41
                 FileNotFoundError: if file does not exist.
42
43
44
45
             # Load datasets from pkl.gz file
             with gzip.open(
46
                   'school_project/models/datasets/mnist.pkl.gz',
47
                   'rb'
                   ) as mnist:
49
50
                 (train_inputs, train_outputs),\
                 (test_inputs, test_outputs) = pickle.load(mnist,
51

    encoding='bytes')

52
             # Reshape input arrays into 1 dimension (flatten),
53
             # then divide by 255 (RGB)
54
             # to standardize them to a number between 0 and 1
55
             train_inputs =
56
             \ \hookrightarrow \ \ np.array(train_inputs.reshape((train_inputs.shape[0],
57
                                                    -1)).T / 255)
             test_inputs = np.array(test_inputs.reshape(test_inputs.shape[0],
58
             \hookrightarrow -1).T / 255)
59
             # Represent number values
60
             # with a one at the matching index of an array of zeros
61
             train_outputs = np.eye(np.max(train_outputs) + 1)[train_outputs].T
62
             test_outputs = np.eye(np.max(test_outputs) + 1)[test_outputs].T
63
64
             # Reduce train datasets' sizes to train_dataset_size
65
66
             train_inputs = (train_inputs.T[:train_dataset_size]).T
             train_outputs = (train_outputs.T[:train_dataset_size]).T
67
68
             return train_inputs, train_outputs, test_inputs, test_outputs
```

• xor.py module

```
"""Implementation of Artificial Neural Network model on XOR dataset."""

import numpy as np

from .utils.model import AbstractModel

class XORModel(AbstractModel):

"""ANN model that trains to predict the output of a XOR gate with two
```

```
inputs."""
9
        def __init__(self,
10
                      hidden_layers_shape: list[int],
11
12
                      train_dataset_size: int,
                      learning_rate: float,
13
                      use_relu: bool) -> None:
14
             """Initialise Model's Base class.
15
16
17
            Args:
                 hidden_layers_shape (list[int]):
18
                 list of the number of neurons in each hidden layer.
19
20
                 train_dataset_size (int): the number of train dataset inputs to
        use.
                 learning_rate (float): the learning rate of the model.
21
                 use_relu (bool): True or False whether the ReLu Transfer
        function
                 should be used.
23
24
25
            super().__init__(hidden_layers_shape=hidden_layers_shape,
26
                              train_dataset_size=train_dataset_size,
27
                              learning_rate=learning_rate,
28
29
                              use_relu=use_relu)
30
        def load_datasets(self, train_dataset_size: int) -> tuple[np.ndarray,
31
         np.ndarray,
32
                                                                      \hookrightarrow np.ndarray]:
             """Load XOR input and output datasets.
33
34
35
                train_dataset_size (int): the number of dataset inputs to use.
36
            Returns:
37
                 tuple of XOR train_inputs, train_outputs,
                 test_inputs and test_outputs numpy.ndarrys.
39
40
41
            inputs: np.ndarray = np.array([[0, 0, 1, 1],
42
43
                                             [0, 1, 0, 1]])
             outputs: np.ndarray = np.array([[0, 1, 1, 0]])
44
45
46
             # Reduce train datasets' sizes to train_dataset_size
            inputs = (inputs.T[:train_dataset_size]).T
47
            outputs = (outputs.T[:train_dataset_size]).T
48
49
            return inputs, outputs, inputs, outputs
50
```

## 4.3 frames package

I have used tkinter for the User Interface and the frames package which consists of tkinter frames to be loaded onto the main window when needed. The package also includes a hyper-parameter-defaults.json file, which stores optimum default values for the hyper-parameters to be set to.

• hyper-parameter-defaults.json file contents:

```
"epochCount": 150,
             "hiddenLayersShape": [1000, 1000],
             "minTrainDatasetSize": 1,
6
             "maxTrainDatasetSize": 60000,
             "maxLearningRate": 1
        }.
9
10
         "Cat Recognition": {
             "description": "An Image model trained on recognising if an image
11
        is a cat or not.",
             "epochCount": 3500,
12
             "hiddenLayersShape": [100, 100],
13
             "minTrainDatasetSize": 1,
14
15
             "maxTrainDatasetSize": 209,
             "maxLearningRate": 0.3
16
17
         "XOR": {
18
             "description": "For experimenting with Artificial Neural Networks,
19
        a XOR gate model has been used for its lesser computation time.",
             "epochCount": 4700,
20
             "hiddenLayersShape": [100, 100],
21
             "minTrainDatasetSize": 2,
22
             "maxTrainDatasetSize": 4,
23
24
             "maxLearningRate": 1
25
    }
26
```

• create\_model.py module:

```
"""Tkinter frames for creating an Artificial Neural Network model."""
3
    import json
    import threading
    import tkinter as tk
    import tkinter.font as tkf
    from matplotlib.figure import Figure
    from matplotlib.backends.backend_tkagg import FigureCanvasTkAgg
    import numpy as np
10
11
12
    class HyperParameterFrame(tk.Frame):
         """Frame for hyper-parameter page."""
13
        def __init__(self, root: tk.Tk, width: int,
14
                      height: int, bg: str, dataset: str) -> None:
15
             """Initialise hyper-parameter frame widgets.
16
            Args:
18
19
                root (tk.Tk): the widget object that contains this widget.
                 width (int): the pixel width of the frame.
20
                 height (int): the pixel height of the frame.
21
                 bg (str): the hex value or name of the frame's background
22
        colour.
                 dataset (str): the name of the dataset to use
23
                 ('MNIST', 'Cat Recognition' or 'XOR')
24
25
            Raises:
26
                 \textit{TypeError: if root, width or height are not of the correct}
        type.
27
28
            super().__init__(master=root, width=width, height=height, bg=bg)
29
            self.root = root
30
            self.WIDTH = width
```

```
self.HEIGHT = height
32
            self.BG = bg
33
34
            # Setup hyper-parameter frame variables
35
            self.dataset = dataset
36
            self.use_gpu: bool
37
38
            self.default_hyper_parameters = self.load_default_hyper_parameters(
39
                                                                         dataset=dataset
40
41
            # Setup widgets
42
43
            self.title_label = tk.Label(master=self,
                                         bg=self.BG,
44
                                         font=('Arial', 20),
45
                                         text=dataset)
46
            self.about_label = tk.Label(
47
                                  master=self,
                                  bg=self.BG,
49
                                  font=('Arial', 14),
50
51

    text=self.default_hyper_parameters['description']

                                  )
52
            self.learning_rate_scale = tk.Scale(
53
                               master=self.
54
                               bg=self.BG,
                               orient='horizontal',
56
                               label="Learning Rate",
57
                               length=185,
58
                               from =0.
59
                               resolution=0.01
61
62
            self.learning_rate_scale.set(value=0.1)
63
64
            self.epoch_count_scale = tk.Scale(master=self,
65
                                                bg=self.BG,
                                                orient='horizontal',
66
                                                label="Epoch Count",
67
                                                length=185,
68
                                                from_=0,
69
70
                                                to=10_000,
                                                resolution=100)
71
            self.epoch_count_scale.set(
72
73

→ value=self.default_hyper_parameters['epochCount']

74
                                  )
            self.train_dataset_size_scale = tk.Scale(
75
                        master=self,
76
77
                        bg=self.BG,
                        orient='horizontal',
78
                        label="Train Dataset Size",
79
                        length=185,
80
81
                        \  \, \hookrightarrow \  \, \text{from\_=self.default\_hyper\_parameters['minTrainDatasetSize']}\,,
                        to=self.default_hyper_parameters['maxTrainDatasetSize'],
82
                        resolution=1
83
84
            self.train_dataset_size_scale.set(
85
86
                            value=self.default_hyper_parameters['maxTrainDatasetSize']
87
```

```
self.hidden_layers_shape_label = tk.Label(
 88
                                       master=self,
                                       bg=self.BG.
90
                                       font=('Arial', 12),
91
                                       text="Enter the number of neurons in
92

    each\n" +

93
                                                "hidden layer, separated by
                                                )
94
              self.hidden_layers_shape_entry = tk.Entry(master=self)
95
             self.hidden_layers_shape_entry.insert(0, ",".join(
96
97
                  f"{neuron_count}" for neuron_count in
                      self.default_hyper_parameters['hiddenLayersShape']
                  ))
98
             self.use_relu_check_button_var = tk.BooleanVar(value=True)
             self.use_relu_check_button = tk.Checkbutton(
100
101
                                               master=self.
                                                width=13, height=1,
102
                                                font=tkf.Font(size=12),
103
104
                                                text="Use ReLu",
105

→ variable=self.use relu check button var

106
             self.use_gpu_check_button_var = tk.BooleanVar()
107
             self.use_gpu_check_button = tk.Checkbutton(
108
109
                                                width=13, height=1,
110
111
                                                font=tkf.Font(size=12),
                                                text="Use GPU",
112
113
                                                \hookrightarrow \quad {\tt variable=self.use\_gpu\_check\_button\_var}
114
             self.model_status_label = tk.Label(master=self,
115
                                                   bg=self.BG,
116
                                                   font=('Arial', 15))
117
118
              # Pack widgets
119
             self.title_label.grid(row=0, column=0, columnspan=3)
120
121
             self.about_label.grid(row=1, column=0, columnspan=3)
             self.learning_rate_scale.grid(row=2, column=0, pady=(50,0))
122
123
             self.epoch_count_scale.grid(row=3, column=0, pady=(30,0))
             self.train_dataset_size_scale.grid(row=4, column=0, pady=(30,0))
124
             self.hidden_layers_shape_label.grid(row=2, column=1,
125
                                                    padx=30, pady=(50,0))
126
127
              self.hidden_layers_shape_entry.grid(row=3, column=1, padx=30)
             self.use_relu_check_button.grid(row=2, column=2, pady=(30, 0))
128
129
             self.use_gpu_check_button.grid(row=3, column=2, pady=(30, 0))
             self.model_status_label.grid(row=5, column=0,
130
                                            columnspan=3, pady=50)
131
132
         def load_default_hyper_parameters(self, dataset: str) -> dict[
133
134
                                                         str,
                                                         str | int | list[int] |
135
                                                         \hookrightarrow float
136
                                                         1:
              """Load the dataset's default hyper-parameters from the json file.
137
138
139
                     dataset (str): the name of the dataset to load
140
         hyper-parameters
                     for. ('MNIST', 'Cat Recognition' or 'XOR')
141
                  Returns:
142
```

```
143
                        a dictionary of default hyper-parameter values.
144
               with open('school_project/frames/hyper-parameter-defaults.json') as
145
               \hookrightarrow f:
                   return json.load(f)[dataset]
146
147
          def create_model(self) -> object:
               """Create and return a Model using the hyper-parameters set.
149
150
151
                       a Model object.
152
153
               self.use_gpu = self.use_gpu_check_button_var.get()
154
155
               # Validate hidden layers shape input
               hidden_layers_shape_input = [layer for layer in
157
               \hookrightarrow \quad \texttt{self.hidden\_layers\_shape\_entry.get().replace('\ ', \\
               → '').split(',')]
               for layer \underline{in} hidden_layers_shape_input:
158
159
                   if not layer.isdigit():
                        self.model_status_label.configure(
160
                                                    text="Invalid hidden layers shape",
161
                                                    fg='red'
162
163
                        raise ValueError
164
165
               # Create Model
166
167
               if not self.use_gpu:
                    if self.dataset == "MNIST":
168
                        from school_project.models.cpu.mnist import MNISTModel as
169
                        \hookrightarrow \quad \texttt{Model}
                   elif self.dataset == "Cat Recognition":
170
                        {\tt from \ school\_project.models.cpu.cat\_recognition \ import}
171
                         \hookrightarrow CatRecognitionModel as Model
                   elif self.dataset == "XOR":
172
                        from school_project.models.cpu.xor import XORModel as Model
173
174
                   model = Model(
                        hidden_layers_shape = [int(neuron_count) for neuron_count
175
                         \hookrightarrow in hidden_layers_shape_input],
                        train_dataset_size = self.train_dataset_size_scale.get(),
176
                        learning_rate = self.learning_rate_scale.get(),
177
                        use_relu = self.use_relu_check_button_var.get()
178
179
180
                   model.create_model_values()
181
               else:
182
183
                        if self.dataset == "MNIST":
184
                             {\tt from \ school\_project.models.gpu.mnist \ import \ MNISTModel}
185
                             \hookrightarrow \quad \text{as Model} \quad
                        elif self.dataset == "Cat Recognition":
186
187
                             from school_project.models.gpu.cat_recognition import
                              \hookrightarrow CatRecognitionModel as Model
                        elif self.dataset == "XOR":
188
                             from school_project.models.gpu.xor import XORModel as
                             \hookrightarrow Model
                        model = Model(hidden_layers_shape = [int(neuron_count) for
190
                         \hookrightarrow neuron_count in hidden_layers_shape_input],
                                        train_dataset_size =
191
                                         \hookrightarrow \quad \texttt{self.train\_dataset\_size\_scale.get()}\,,
192
                                        learning_rate =

    self.learning_rate_scale.get(),
```

```
193
                                     use_relu =

    self.use_relu_check_button_var.get())

                      model.create_model_values()
194
195
                  except ImportError as ie:
                      self.model_status_label.configure(
196
                                                text="Failed to initialise GPU",
197
198
                                                fg='red'
199
                      raise ImportError
200
              return model
201
202
     class TrainingFrame(tk.Frame):
203
          """Frame for training page."""
204
         def __init__(self, root: tk.Tk, width: int,
205
206
                       height: int, bg: str,
              model: object, epoch_count: int) -> None:
"""Initialise training frame widgets.
207
208
209
210
              Args:
                  root (tk.Tk): the widget object that contains this widget.
211
                  width (int): the pixel width of the frame.
212
                  height (int): the pixel height of the frame.
213
                  bg (str): the hex value or name of the frame's background
         colour.
                  model (object): the Model object to be trained.
215
216
                  epoch_count (int): the number of training epochs.
              Raises:
217
218
                  TypeError: if root, width or height are not of the correct
         type.
219
220
              super().__init__(master=root, width=width, height=height, bg=bg)
221
              self.root = root
222
              self.WIDTH = width
223
              self.HEIGHT = height
224
225
              self.BG = bg
226
              # Setup widgets
227
228
              self.model_status_label = tk.Label(master=self,
                                                   bg=self.BG,
229
                                                   font=('Arial', 15))
230
              self.training_progress_label = tk.Label(master=self,
231
                                                        bg=self.BG,
232
                                                        font=('Arial', 15))
233
234
              self.loss_figure: Figure = Figure()
              self.loss_canvas: FigureCanvasTkAgg = FigureCanvasTkAgg(
235
236

    figure=self.loss_figure,

                                                               master=self
237
238
239
              # Pack widgets
240
              self.model_status_label.pack(pady=(30,0))
241
              self.training_progress_label.pack(pady=30)
242
243
              # Start training thread
244
              self.model_status_label.configure(
245
                                                text="Training weights and
246

    biases...",

                                                fg='red'
247
248
              self.train_thread: threading.Thread = threading.Thread(
249
```

```
250
                                                                  \hookrightarrow target=model.train,
251
                                                                      args=(epoch_count,)
252
             self.train_thread.start()
253
254
         def plot_losses(self, model: object) -> None:
255
              """Plot losses of Model training.
256
258
                    model (object): the Model object thats been trained.
259
260
             11 11 11
261
             self.model_status_label.configure(
                      text=f"Weights and biases trained in
263
                      fg='green'
264
265
             graph: Figure.axes = self.loss_figure.add_subplot(111)
266
             graph.set_title("Learning rate: " +
267
                             f"{model.learning_rate}")
268
             graph.set_xlabel("Epochs")
269
             graph.set_ylabel("Loss Value")
270
             {\tt graph.plot(np.squeeze(model.train\_losses))}
271
             self.loss_canvas.get_tk_widget().pack()
```

Which outputs the following for the hyper-parameter frame:

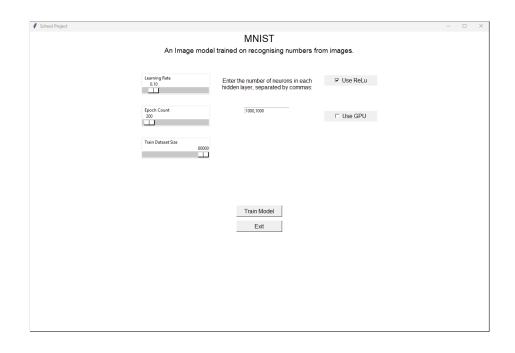


Figure 17: Hyper parameter frame - showing MNIST parameters

And outputs the following for the training frame during training:

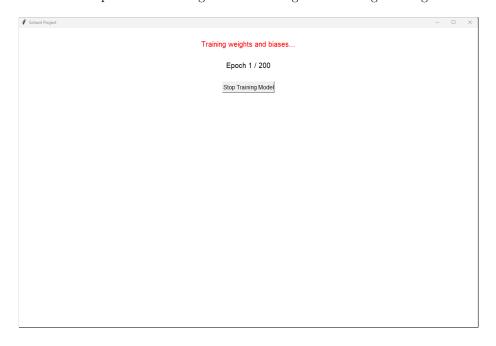


Figure 18: Training frame showing epoch count

And outputs the following for the training frame once training has completed:

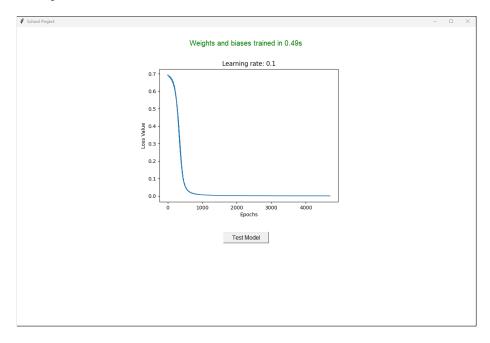


Figure 19: Training frame showing loss value against epochs

• load\_model.py module:

```
"""Tkinter frames for loading a saved Artificial Neural Network Model."""
    import sqlite3
    import tkinter as tk
    import tkinter.font as tkf
    class LoadModelFrame(tk.Frame):
         """Frame for load model page."""
        def __init__(self, root: tk.Tk,
9
10
                      width: int, height: int,
11
                      bg: str, connection: sqlite3.Connection,
12
                      cursor: sqlite3.Cursor, dataset: str) -> None:
             """Initialise load model frame widgets.
13
14
15
             Args:
                 root (tk.Tk): the widget object that contains this widget.
16
                 \  \  width \ (int)\colon \ the \ pixel \ width \ of \ the \ frame.
17
                 height (int): the pixel height of the frame.
                 bg (str): the hex value or name of the frame's background
19
        colour.
                 connection (sqlite3.Connection): the database connection
20
        object.
                 cursor (sqlite3.Cursor): the database cursor object.
21
22
                 dataset (str): the name of the dataset to use
                 ('MNIST', 'Cat Recognition' or 'XOR')
23
             Raises:
```

```
25
                  \textit{TypeError: if root, width or height are not of the correct}
     \hookrightarrow type.
26
27
              super().__init__(master=root, width=width, height=height, bg=bg)
28
              self.root = root
29
30
              self.WIDTH = width
              self.HEIGHT = height
31
              self.BG = bg
32
33
              # Setup load model frame variables
34
              self.connection = connection
35
36
              self.cursor = cursor
              self.dataset = dataset
37
              self.use_gpu: bool
              self.model_options = self.load_model_options()
39
40
              # Setup widgets
41
              self.title_label = tk.Label(master=self,
42
43
                                             bg=self.BG,
                                             font=('Arial', 20),
44
                                             text=dataset)
45
46
              self.about_label = tk.Label(
                           master=self,
47
                           bg=self.BG,
48
49
                           font=('Arial', 14),
                           {\tt text=f"Load\ a\ pretrained\ model\ for\ the\ \{dataset\}}
50
                               dataset."
51
              self.model_status_label = tk.Label(master=self,
52
53
                                                     bg=self.BG,
                                                     font=('Arial', 15))
54
55
              # Don't give loaded model options if no models have been saved for
              \hookrightarrow the
              # dataset.
57
              if len(self.model_options) > 0:
58
                  self.model_option_menu_label = tk.Label(
59
60
                                                           master=self,
                                                           bg=self.BG,
61
                                                           font=('Arial', 14),
62
63
                                                           text="Select a model to
                                                           \hookrightarrow load or delete:"
64
65
                  self.model_option_menu_var = tk.StringVar(
                                                              master=self.
66
67
                                                               \hookrightarrow value=self.model_options[0]
                                                               )
68
69
                  self.model_option_menu = tk.OptionMenu(
                                                                self,
70
71
                                                                \ \hookrightarrow \ \texttt{self.model\_option\_menu\_var,}
                                                                *self.model_options
72
73
                  self.use_gpu_check_button_var = tk.BooleanVar()
74
                  self.use_gpu_check_button = tk.Checkbutton(
75
76
                                                  master=self,
                                                  width=7, height=1,
77
78
                                                  font=tkf.Font(size=12),
                                                  text="Use GPU",
79
80
                                                  \hookrightarrow \quad {\tt variable=self.use\_gpu\_check\_button\_var}
```

```
81
             else:
82
                 self.model_status_label.configure(
83
84
                                            text='No saved models for this

    dataset.',

                                            fg='red'
85
86
87
             # Pack widgets
88
             self.title_label.grid(row=0, column=0, columnspan=3)
89
             self.about_label.grid(row=1, column=0, columnspan=3)
90
             if len(self.model_options) > 0: # Check if options should be given
91
92
                 self.model_option_menu_label.grid(row=2, column=0, padx=(0,30),
                  \rightarrow pady=(30,0))
                 self.use_gpu_check_button.grid(row=2, column=2, rowspan=2,

→ pady=(30,0))
                 self.model_option_menu.grid(row=3, column=0, padx=(0,30),
94
                  → pady=(10,0))
             self.model_status_label.grid(row=4, column=0,
95
96
                                            columnspan=3, pady=50)
97
         def load_model_options(self) -> list[str]:
98
99
              """Load the model options from the database.
100
                Returns:
101
102
                     a list of the model options.
103
             sql = f"""
104
             SELECT Name FROM Models WHERE Dataset=?
105
106
             parameters = (self.dataset.replace(" ", "_"),)
107
             self.cursor.execute(sql, parameters)
108
109
             # Save the string value contained within the tuple of each row
110
             model_options = []
111
             for model_option in self.cursor.fetchall():
112
113
                 model_options.append(model_option[0])
114
115
             return model_options
116
         def load_model(self) -> object:
117
              """Create model using saved weights and biases.
119
120
                Returns:
121
                    a Model object.
122
123
             self.use_gpu = self.use_gpu_check_button_var.get()
124
125
126
             # Query data of selected saved model from database
             sql = """
127
             SELECT * FROM Models WHERE Dataset=? AND Name=?
128
129
             parameters = (self.dataset.replace(" ", "_"),
130

→ self.model_option_menu_var.get())
             self.cursor.execute(sql, parameters)
131
             data = self.cursor.fetchone()
132
133
             hidden_layers_shape_input = [layer for layer in data[3].replace('
              → ', '').split(',') if layer != '']
134
              # Create Model
135
             if not self.use_gpu:
136
```

```
if self.dataset == "MNIST":
137
                        from school_project.models.cpu.mnist import MNISTModel as
138
                         \hookrightarrow Model
                    elif self.dataset == "Cat Recognition":
139
                        from school_project.models.cpu.cat_recognition import
140
                         \,\hookrightarrow\,\, \texttt{CatRecognitionModel} \,\, \texttt{as Model}
                    elif self.dataset == "XOR":
                        {\tt from \ school\_project.models.cpu.xor \ import \ XORModel \ as \ Model}
142
                    model = Model(
143
                        hidden_layers_shape=[int(neuron_count) for neuron_count in
144
                         \hookrightarrow hidden_layers_shape_input],
145
                        train_dataset_size=data[6],
                        learning_rate=data[4],
146
                        use_relu=data[7]
147
                    model.load_model_values(file_location=data[2])
149
150
               else:
151
152
                    try:
                        if self.dataset == "MNIST":
153
                             from school_project.models.gpu.mnist import MNISTModel
154
                             \hookrightarrow as Model
                         elif self.dataset == "Cat Recognition":
                             from school_project.models.gpu.cat_recognition import
156
                             \,\hookrightarrow\,\, \texttt{CatRecognitionModel} \,\, \texttt{as Model}
157
                         elif self.dataset == "XOR":
                             from school_project.models.gpu.xor import XORModel as
158
                             \hookrightarrow \quad \texttt{Model}
                        model = Model(
159
                             hidden_layers_shape=[int(neuron_count) for neuron_count
160
                             \hookrightarrow in hidden_layers_shape_input],
                             train_dataset_size=data[6],
161
                             learning_rate=data[4],
162
                             use_relu=data[7]
163
                             )
164
                        model.load_model_values(file_location=data[2])
165
166
                    except ImportError as ie:
                        self.model_status_label.configure(
167
                                                            text="Failed to initialise

→ GPU",

                                                            fg='red'
169
170
                                                            )
                        raise ImportError
171
172
               return model
```

Which outputs the following for the load model frame when models have been saved for the dataset:

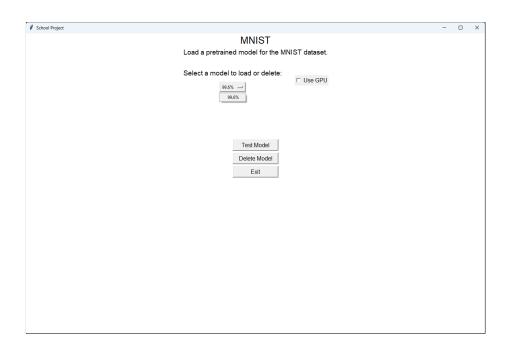


Figure 20: Load model frame

And outputs the following for the load model frame when no models have been saved for the dataset:

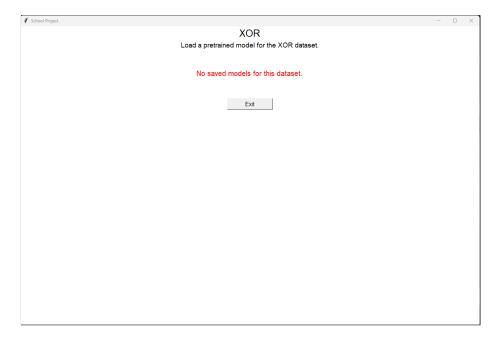


Figure 21: Load model frame showing error condition for an attempted load of a non-existent model

## 4.4 \_\_main\_\_.py module

This module is the entrypoint to the project and loads the main window of the User Interface:

```
"""The entrypoint of A-level Computer Science NEA Programming Project."""
 3
         import os
         import sqlite3
         import threading
         import tkinter as tk
          import tkinter.font as tkf
         import uuid
10
         {\tt from \ school\_project.frames \ import \ (HyperParameterFrame, \ TrainingFrame, \ Train
                                                                                        LoadModelFrame, TestMNISTFrame,
11
                                                                                        {\tt TestCatRecognitionFrame,\ TestXORFrame)}
12
13
         class SchoolProjectFrame(tk.Frame):
14
                   """Main frame of school project."""
                  def __init__(self, root: tk.Tk, width: int, height: int, bg: str) -> None:
    """Initialise school project pages.
16
17
                           Args:
19
                                    root (tk.Tk): the widget object that contains this widget.
20
                                     width (int): the pixel width of the frame.
                                    height (int): the pixel height of the frame.
22
23
                                    bg (str): the hex value or name of the frame's background colour.
24
                                     TypeError: if root, width or height are not of the correct type.
25
26
27
28
                            super().__init__(master=root, width=width, height=height, bg=bg)
                            self.root = root.title("School Project")
29
                           self.WIDTH = width
30
                           self.HEIGHT = height
31
                           self.BG = bg
32
33
                            # Setup school project frame variables
34
                            self.hyper_parameter_frame: HyperParameterFrame
35
                           self.training_frame: TrainingFrame
36
                            self.load_model_frame: LoadModelFrame
                           self.test_frame: TestMNISTFrame | TestCatRecognitionFrame | TestXORFrame
38
39
                           self.connection, self.cursor = self.setup_database()
                            self.model = None
40
41
                            # Record if the model should be saved after testing,
42
                            # as only newly created models should be given the option to be saved.
43
                            self.saving_model: bool
44
45
                            # Setup school project frame widgets
46
47
                            self.exit_hyper_parameter_frame_button = tk.Button(
                                                                                                 master=self,
48
                                                                                                 width=13.
49
50
                                                                                                 height=1,
                                                                                                 font=tkf.Font(size=12),
51
                                                                                                 text="Exit",
52
                                                                                                 {\tt command=self.exit\_hyper\_parameter\_frame}
54
                           self.exit_load_model_frame_button = tk.Button(
55
                                                                                                            master=self,
56
                                                                                                            width=13,
57
```

```
58
                                                    height=1,
                                                    font=tkf.Font(size=12),
                                                    text="Exit",
60
61
                                                    command=self.exit_load_model_frame
62
              self.train_button = tk.Button(master=self,
63
64
                                              width=13,
                                              height=1,
65
                                              font=tkf.Font(size=12),
66
67
                                              text="Train Model",
                                              command=self.enter_training_frame)
68
              self.stop_training_button = tk.Button(
 69
 70
                                                master=self,
                                                width=15, height=1,
71
 72
                                                font=tkf.Font(size=12),
                                                text="Stop Training Model",
73
                                                command=lambda: self.model.set_running(
74
                                                                             value=False
 75
76
77
              self.test_created_model_button = tk.Button(
78
                                                        master=self.
79
 80
                                                        width=13, height=1,
                                                        font=tkf.Font(size=12),
81
                                                        text="Test Model",
 82
 83
                                                        {\tt command=self.test\_created\_model}
84
 85
              self.test_loaded_model_button = tk.Button(
                                                         master=self,
86
                                                         width=13. height=1.
87
                                                         font=tkf.Font(size=12),
                                                         text="Test Model",
89
                                                         {\tt command=self.test\_loaded\_model}
90
              self.delete_loaded_model_button = tk.Button(
92
                                                         master=self,
93
                                                         width=13, height=1,
94
                                                         font=tkf.Font(size=12),
95
96
                                                         text="Delete Model",
                                                         command=self.delete_loaded_model
97
98
99
              self.save_model_label = tk.Label(
                                         master=self,
100
                                          text="Enter a name for your trained model:",
101
102
                                          bg=self.BG,
                                         font=('Arial', 15)
103
104
              self.save_model_name_entry = tk.Entry(master=self, width=13)
105
              self.save_model_button = tk.Button(master=self,
106
107
                                                   width=13,
                                                   height=1,
108
                                                   font=tkf.Font(size=12),
109
                                                   text="Save Model",
110
                                                   command=self.save_model)
111
112
              self.exit_button = tk.Button(master=self,
                                             width=13, height=1,
113
                                             font=tkf.Font(size=12),
114
115
                                             text="Exit",
                                             command=self.enter_home_frame)
116
117
              # Setup home frame
118
              self.home_frame = tk.Frame(master=self,
119
```

```
120
                                          width=self.WIDTH.
                                          height=self.HEIGHT,
121
                                          bg=self.BG)
122
              self.title_label = tk.Label(
123
124
                             master=self.home_frame,
                              bg=self.BG.
125
126
                              font=('Arial', 20),
                              text="A-level Computer Science NEA Programming Project"
127
128
              self.about_label = tk.Label(
                 master=self.home_frame,
130
131
                 bg=self.BG,
                 font=('Arial', 14),
132
                 text="An investigation into how Artificial Neural Networks work, " +
133
                 "the effects of their hyper-parameters and their applications " \boldsymbol{+}
                 "in Image Recognition.\n\n" +
135
                 " - Max Cotton"
136
                 )
              self.model_menu_label = tk.Label(master=self.home_frame,
138
139
                                                 bg=self.BG,
                                                 font=('Arial', 14),
140
                                                 text="Create a new model " +
141
                                                 "or load a pre-trained model "
142
                                                 "for one of the following datasets:")
143
              self.dataset_option_menu_var = tk.StringVar(master=self.home_frame,
144
                                                             value="MNIST")
              self.dataset_option_menu = tk.OptionMenu(self.home_frame,
146
147
                                                         self.dataset_option_menu_var,
148
                                                          "Cat Recognition",
149
                                                         "XOR")
150
              self.create_model_button = tk.Button(
151
                                             master=self.home_frame,
152
                                              width=13, height=1,
                                              font=tkf.Font(size=12),
154
                                              text="Create Model",
155
                                              command=self.enter_hyper_parameter_frame
156
157
158
              self.load_model_button = tk.Button(master=self.home_frame,
                                                   width=13, height=1,
159
160
                                                   font=tkf.Font(size=12).
                                                   text="Load Model",
161
                                                   command=self.enter_load_model_frame)
162
163
164
              # Grid home frame widgets
              self.title_label.grid(row=0, column=0, columnspan=4, pady=(10,0))
165
              self.about_label.grid(row=1, column=0, columnspan=4, pady=(10,50))
166
              self.model_menu_label.grid(row=2, column=0, columnspan=4)
167
              self.dataset_option_menu.grid(row=3, column=0, columnspan=4, pady=30)
168
              self.create_model_button.grid(row=4, column=1)
169
              self.load_model_button.grid(row=4, column=2)
170
171
              self.home_frame.pack()
^{172}
173
174
              # Setup frame attributes
              self.grid_propagate(flag=False)
175
              self.pack_propagate(flag=False)
176
177
         @staticmethod
178
179
         def setup_database() -> tuple[sqlite3.Connection, sqlite3.Cursor]:
              """Create a connection to the pretrained_models database file and
180
                 setup base table if needed.
181
```

```
182
183
                     a tuple of the database connection and the cursor for it.
184
185
186
              connection = salite3.connect(
187
                                       database='school_project/saved_models.db'
189
              cursor = connection.cursor()
190
              cursor.execute("""
              CREATE TABLE IF NOT EXISTS Models
192
              (Model_ID INTEGER PRIMARY KEY,
193
              Dataset TEXT NOT NULL,
194
              File_Location TEXT NOT NULL,
195
              Hidden_Layers_Shape TEXT NOT NULL,
              Learning_Rate FLOAT NOT NULL,
197
              Name TEXT NOT NULL,
198
              Train_Dataset_Size INTEGER NOT NULL,
              Use_ReLu INTEGER NOT NULL,
200
201
              UNIQUE (Dataset, Name))
              """)
202
              return (connection, cursor)
203
204
         def enter_hyper_parameter_frame(self) -> None:
205
              """Unpack home frame and pack hyper-parameter frame."""
206
207
              self.home_frame.pack_forget()
              self.hyper_parameter_frame = HyperParameterFrame(
208
209
                                           root=self,
                                           width=self.WIDTH,
210
                                           height=self.HEIGHT,
211
212
                                           bg=self.BG,
                                           dataset=self.dataset_option_menu_var.get()
213
214
              self.hyper_parameter_frame.pack()
215
              self.train_button.pack()
216
              self.exit_hyper_parameter_frame_button.pack(pady=(10,0))
217
218
         def enter_load_model_frame(self) -> None:
219
              """Unpack home frame and pack load model frame."""
220
              self.home_frame.pack_forget()
221
              self.load_model_frame = LoadModelFrame(
222
                                           root=self,
223
                                           width=self.WIDTH,
224
225
                                           height=self.HEIGHT,
226
                                           bg=self.BG,
                                           connection=self.connection,
227
228
                                           cursor=self.cursor,
                                           dataset=self.dataset_option_menu_var.get()
229
230
              self.load_model_frame.pack()
231
232
              # Don't give option to test loaded model if no models have been saved
233
              # for the dataset.
234
              if len(self.load_model_frame.model_options) > 0:
235
236
                  self.test_loaded_model_button.pack()
                  self.delete_loaded_model_button.pack(pady=(5,0))
237
238
239
              self.exit_load_model_frame_button.pack(pady=(5,0))
240
241
         def exit_hyper_parameter_frame(self) -> None:
              """Unpack hyper-parameter frame and pack home frame."""
242
              self.hyper_parameter_frame.pack_forget()
243
```

```
244
              self.train_button.pack_forget()
              self.exit_hyper_parameter_frame_button.pack_forget()
245
              self.home_frame.pack()
246
247
         def exit_load_model_frame(self) -> None:
248
              """Unpack load model frame and pack home frame."""
249
250
              self.load_model_frame.pack_forget()
              self.test_loaded_model_button.pack_forget()
251
              self.delete_loaded_model_button.pack_forget()
252
              self.exit_load_model_frame_button.pack_forget()
              self.home_frame.pack()
254
255
         def enter_training_frame(self) -> None:
256
              """Load untrained model from hyper parameter frame,
257
258
                 unpack hyper-parameter frame, pack training frame
                 and begin managing the training thread.
259
260
                  self.model = self.hyper_parameter_frame.create_model()
262
263
              except (ValueError, ImportError) as e:
                 return
264
              self.hyper_parameter_frame.pack_forget()
265
              self.train_button.pack_forget()
              self.exit_hyper_parameter_frame_button.pack_forget()
267
              self.training_frame = TrainingFrame(
268
269
                      root=self,
                      width=self.WIDTH,
270
271
                      height=self.HEIGHT,
272
                      bg=self.BG,
                      model=self.model.
273
                      epoch_count=self.hyper_parameter_frame.epoch_count_scale.get()
274
275
              self.training_frame.pack()
276
              self.stop_training_button.pack()
277
              self.manage_training(train_thread=self.training_frame.train_thread)
278
279
280
         def manage_training(self, train_thread: threading.Thread) -> None:
               """Wait for model training thread to finish,
281
282
                 then plot training losses on training frame.
283
284
                  train_thread (threading.Thread):
                  the thread running the model's train() method.
286
287
              Raises:
288
                  TypeError: if train_thread is not of type threading. Thread.
289
290
              if not train_thread.is_alive():
291
                  {\tt self.training\_frame.training\_progress\_label.pack\_forget()}
292
                  self.training_frame.plot_losses(model=self.model)
                  self.stop_training_button.pack_forget()
294
295
                  self.test_created_model_button.pack(pady=(30,0))
296
                  {\tt self.training\_frame.training\_progress\_label.configure(}
297
                                                     text=self.model.training_progress
298
299
                  self.after(100, self.manage_training, train_thread)
300
301
         def test_created_model(self) -> None:
302
              """Unpack training frame, pack test frame for the dataset
303
                 and begin managing the test thread."""
304
              self.saving_model = True
305
```

```
306
              self.training_frame.pack_forget()
              self.test_created_model_button.pack_forget()
307
              if self.hyper_parameter_frame.dataset == "MNIST":
308
309
                  self.test_frame = TestMNISTFrame(
310
                                          root=self,
                                          width=self.WIDTH,
311
312
                                          height=self.HEIGHT,
                                          bg=self.BG,
313
                                          use_gpu=self.hyper_parameter_frame.use_gpu,
314
                                          model=self.model
315
316
              elif self.hyper_parameter_frame.dataset == "Cat Recognition":
317
                  self.test_frame = TestCatRecognitionFrame(
318
                                          root=self.
319
320
                                          width=self.WIDTH,
                                          height=self.HEIGHT,
321
                                          bg=self.BG,
322
                                          use_gpu=self.hyper_parameter_frame.use_gpu,
                                          model=self.model
324
325
              elif self.hyper_parameter_frame.dataset == "XOR":
326
                  self.test_frame = TestXORFrame(root=self,
327
                                                   width=self.WIDTH,
328
                                                   height=self.HEIGHT,
329
                                                   bg=self.BG.
330
331
                                                   model=self.model)
              self.test_frame.pack()
332
333
              {\tt self.manage\_testing(test\_thread=self.test\_frame.test\_thread)}
334
         def test_loaded_model(self) -> None:
335
              """Load saved model from load model frame, unpack load model frame,
336
                 pack test frame for the dataset and begin managing the test thread."""
337
              self.saving_model = False
338
339
              try:
                  self.model = self.load_model_frame.load_model()
340
341
              except (ValueError, ImportError) as e:
342
                  return
              self.load_model_frame.pack_forget()
343
344
              self.test_loaded_model_button.pack_forget()
              self.delete_loaded_model_button.pack_forget()
345
              self.exit_load_model_frame_button.pack_forget()
346
347
              if self.load_model_frame.dataset == "MNIST":
                  self.test_frame = TestMNISTFrame(
348
349
                                                root=self.
350
                                                width=self.WIDTH,
                                                height=self.HEIGHT,
351
352
                                                bg=self.BG,
                                                use_gpu=self.load_model_frame.use_gpu,
353
                                                model=self.model
354
355
              elif self.load_model_frame.dataset == "Cat Recognition":
356
                  self.test_frame = TestCatRecognitionFrame(
357
                                                root=self,
358
                                                width=self.WIDTH,
359
360
                                                height=self.HEIGHT,
                                                bg=self.BG,
361
                                                use_gpu=self.load_model_frame.use_gpu,
362
                                                model=self.model
363
364
365
              elif self.load_model_frame.dataset == "XOR":
                  self.test_frame = TestXORFrame(root=self,
366
                                                   width=self.WIDTH,
367
```

```
height=self.HEIGHT,
368
                                                   bg=self.BG,
369
                                                   model=self.model)
370
371
              self.test_frame.pack()
              self.manage_testing(test_thread=self.test_frame.test_thread)
372
373
374
         def manage_testing(self, test_thread: threading.Thread) -> None:
              """Wait for model test thread to finish,
375
                 then plot results on test frame.
376
378
              Args:
                  test\_thread (threading. Thread):
379
                  the thread running the model's predict() method.
380
              Raises:
381
                  \textit{TypeError: if test\_thread is not of type threading.} Thread.
383
              11 11 11
384
              if not test_thread.is_alive():
                  self.test_frame.plot_results(model=self.model)
386
387
                  if self.saving_model:
                      self.save_model_label.pack(pady=(30,0))
388
                      self.save_model_name_entry.pack(pady=10)
389
                      self.save_model_button.pack()
390
                  self.exit_button.pack(pady=(20,0))
391
              else:
392
393
                  self.after(1_000, self.manage_testing, test_thread)
394
395
         def save_model(self) -> None:
              """Save the model, save the model information to the database, then
396
                 enter the home frame."""
397
              model_name = self.save_model_name_entry.get().strip()
399
              # Check if model name is empty
400
              if len(model_name) == 0:
401
                  self.test_frame.model_status_label.configure(
402
403
                                                   text="Model name can not be blank",
                                                   fg='red'
404
405
406
                  return
407
              # Check if model contains double spaces or greater
408
              elif ' 'in model_name:
409
                  self.test_frame.model_status_label.configure(
410
                                                   text="Only single spaces are allowed",
411
412
                                                   fg='red'
413
414
                  return
415
416
417
              # Check if model name has already been taken
              dataset = self.dataset_option_menu_var.get().replace(" ", "_")
418
              sql = """
419
              SELECT Name FROM Models WHERE Dataset=?
420
421
422
              parameters = (dataset,)
              self.cursor.execute(sql, parameters)
423
              for saved_model_name in self.cursor.fetchall():
424
425
                  if saved_model_name[0] == model_name:
                      self.test_frame.model_status_label.configure(
426
427
                                                                text="Model name taken",
                                                                fg='red'
428
429
```

```
430
                      return
431
              # Save model to random hex file name
432
              file_location = f"school_project/saved-models/{uuid.uuid4().hex}.npz"
433
              self.model.save_model_values(file_location=file_location)
434
435
436
              # Save the model information to the database
              sql = """
437
              INSERT INTO Models
438
              (Dataset, File_Location, Hidden_Layers_Shape, Learning_Rate, Name,
439
     → Train_Dataset_Size, Use_ReLu)
440
              VALUES (?, ?, ?, ?, ?, ?)
441
             parameters = (
442
443
                          dataset,
444
                          file_location,
                          {\tt self.hyper\_parameter\_frame.hidden\_layers\_shape\_entry.get()}\,,
445
                          self.hyper_parameter_frame.learning_rate_scale.get(),
446
                          model name.
447
448
                          self.hyper_parameter_frame.train_dataset_size_scale.get(),
449
                          self.hyper_parameter_frame.use_relu_check_button_var.get()
450
              self.cursor.execute(sql, parameters)
451
              self.connection.commit()
452
453
454
              self.enter_home_frame()
455
456
         def delete_loaded_model(self) -> None:
              """Delete saved model file and model data from the database."""
457
              dataset = self.dataset_option_menu_var.get().replace(" ", "_")
458
              model_name = self.load_model_frame.model_option_menu_var.get()
459
460
              # Delete saved model
461
              sql = f"""SELECT File_Location FROM Models WHERE Dataset=? AND Name=?"""
462
              parameters = (dataset, model_name)
463
464
              self.cursor.execute(sql, parameters)
465
              os.remove(self.cursor.fetchone()[0])
466
467
              # Remove model data from database
              sql = """DELETE FROM Models WHERE Dataset=? AND Name=?"""
468
469
              parameters = (dataset, model_name)
470
              self.cursor.execute(sql, parameters)
              self.connection.commit()
471
472
473
              # Reload load model frame with new options
              self.exit_load_model_frame()
474
475
              self.enter_load_model_frame()
476
         def enter home frame(self) -> None:
477
              """Unpack test frame and pack home frame."""
478
              self.model = None # Free up trained Model from memory
479
480
              self.test_frame.pack_forget()
              if self.saving_model:
481
                  self.save_model_label.pack_forget()
482
                  self.save_model_name_entry.delete(0, tk.END) # Clear entry's text
483
                  self.save_model_name_entry.pack_forget()
484
                  self.save_model_button.pack_forget()
485
486
              self.exit_button.pack_forget()
              self.home_frame.pack()
487
488
     def main() -> None:
489
          """Entrypoint of project."""
490
```

```
root = tk.Tk()
491
          school_project_frame = SchoolProjectFrame(root=root, width=1280,
492
          height=835, bg='white')
school_project_frame.pack(side='top', fill='both', expand=True)
493
494
          root.mainloop()
495
496
          # Stop model training when GUI closes
497
          if school_project_frame.model is not None:
498
              school_project_frame.model.set_running(value=False)
499
500
     if __name__ == "__main__":
501
          main()
502
```

Which outputs the following for the home frame:

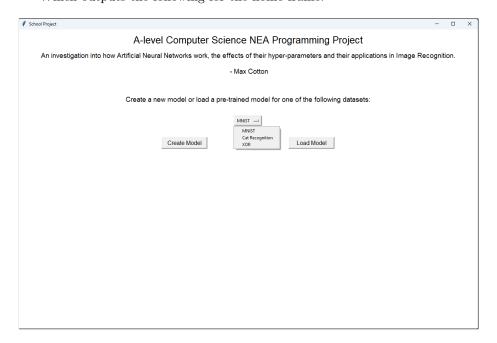


Figure 22: Home frame - the entry point to the program

# 5 Testing

Testing on the project consists of manual testing, where the inputs to frames were tested to ensure correct behaviour and error handling, and automated testing through the use of unit tests that run upon every commit to GitHub.

## 5.1 Manual Testing - Input Validation Testing

The following tests check the input validation of each frames' inputs.

## 5.1.1 Hyper Parameter Frame

• Use GPU Validation:

Description	Select Use GPU checkbox without a GPU present.
Expected Result	The exception should be handled and a useful error mes-
	sage should be displayed.
Actual Result	Expected Result
Test Status	Pass

### Evidence:



Figure 23: Use GPU Validation evidence

Link to video evidence: https://github.com/mcttn22/school-project/blob/main/project-report/testing-videos.md/#use-gpu-validation

## • Non-Numeric Hidden Layers Shape Validation:

Description	Enter a non-numeric hidden layers shape.
Data Value	"test"
Data Type	Erroneous
Expected Result	The exception should be handled and a useful error mes-
	sage should be displayed.
Actual Result	Expected Result
Test Status	Pass

## Evidence:

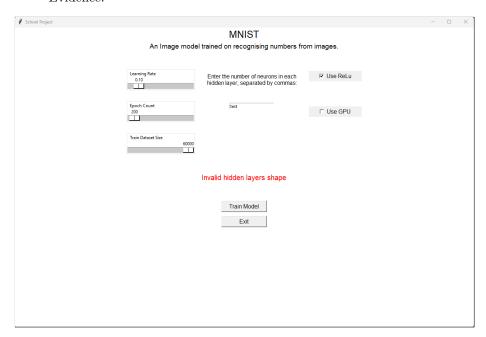


Figure 24: Non-Numeric Hidden Layers Shape Validation evidence

Link to video evidence: https://github.com/mcttn22/school-project/blob/main/project-report/testing-videos.md/#non-numeric-hidden-layers-shape-validation

# $\bullet\,$ Negative Hidden Layers Shape Validation:

Description	Enter a negative hidden layers shape.
Data Value	"-100"
Data Type	Erroneous
Expected Result	The exception should be handled and a useful error mes-
	sage should be displayed.
Actual Result	Expected Result
Test Status	Pass

## Evidence:

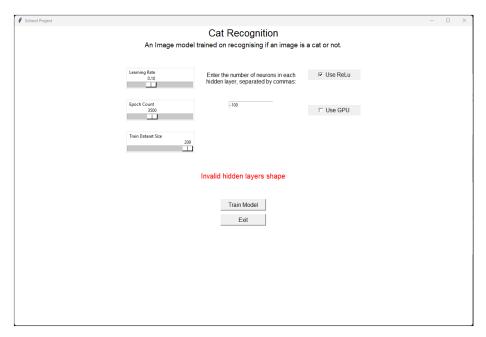


Figure 25: Negative Hidden Layers Shape Validation evidence

Link to video evidence: https://github.com/mcttn22/school-project/blob/main/project-report/testing-videos.md/#negative-hidden-layers-shape-validation

• Invalid Delimiter Hidden Layers Shape Validation:

Description	Enter a hidden layers shape with invalid delimiters.
Data Value	"100,,100"
Data Type	Erroneous
Expected Result	The exception should be handled and a useful error mes-
	sage should be displayed.
Actual Result	Expected Result
Test Status	Pass

## Evidence:

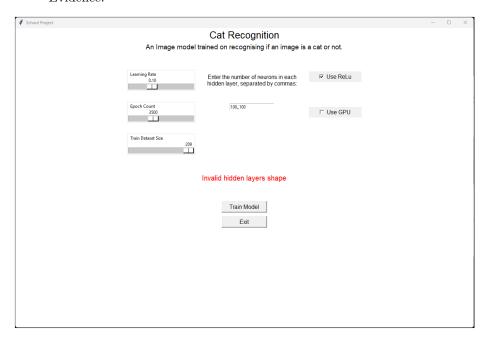


Figure 26: Invalid Delimiter Hidden Layers Shape Validation evidence

Link to video evidence: https://github.com/mcttn22/school-project/blob/main/project-report/testing-videos.md/#invalid-delimiter-hidden-layers-shape-validation

## 5.1.2 Load Model Frame

## • Use GPU Validation:

Description	Select Use GPU checkbox without a GPU present.
Expected Result	The exception should be handled and a useful error mes-
	sage should be displayed.
Actual Result	Expected Result
Test Status	Pass

## Evidence:

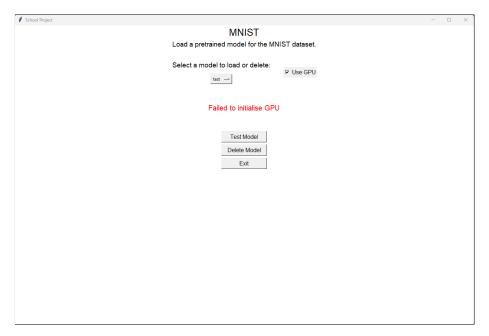


Figure 27: Use GPU Validation evidence

Link to video evidence: https://github.com/mcttn22/school-project/blob/main/project-report/testing-videos.md/#use-gpu-validation-1

### 5.1.3 Test Frames

• Taken Trained Model Name Validation:

Description	Try to save a trained model with an already taken name.
Data Value	"test"
Data Type	Erroneous
Expected Result	The exception should be handled and a useful error mes-
	sage should be displayed.
Actual Result	Expected Result
Test Status	Pass

## Evidence:

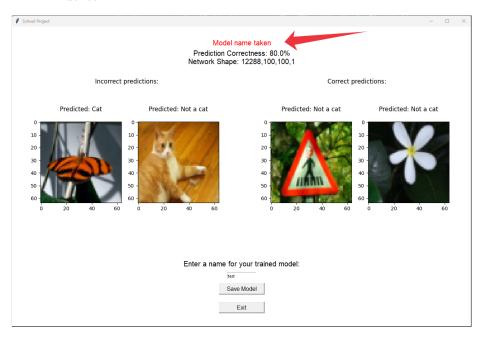


Figure 28: Taken Trained Model Name Validation evidence

Link to video evidence: https://github.com/mcttn22/school-project/blob/main/project-report/testing-videos.md/#taken-trained-model-name-validation

## • Empty Trained Model Name Validation:

Description	Try to save a trained model with blank name.
Data Value	""
Expected Result	The exception should be handled and a useful error mes-
	sage should be displayed.
Actual Result	Expected Result
Test Status	Pass

## Evidence:

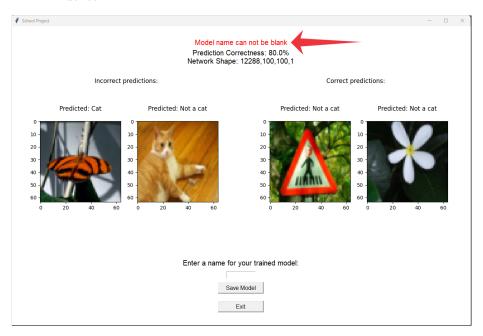


Figure 29: Empty Trained Model Name Validation evidence

Link to video evidence: https://github.com/mcttn22/school-project/blob/main/project-report/testing-videos.md/#empty-trained-model-name-validation

• Invalid Delimiter Trained Model Name Validation:

Description	Try to save a trained model with a name with incorrect
	delimiters.
Data Value	"test test"
Expected Result	The exception should be handled and a useful error mes-
	sage should be displayed.
Actual Result	Expected Result
Test Status	Pass

## Evidence:

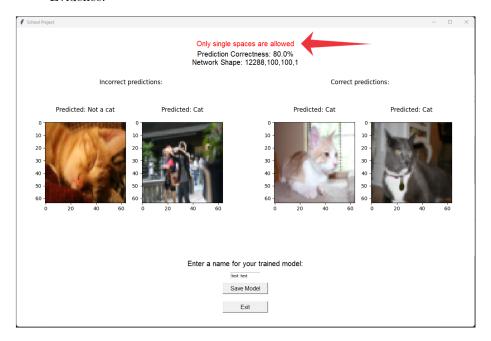


Figure 30: Invalid Delimiter Trained Model Name Validation evidence

Link to video evidence: https://github.com/mcttn22/school-project/blob/main/project-report/testing-videos.md/#invalid-delimiter-trained-model-name-validation

# 5.2 Automated Testing

## 5.2.1 Unit Tests

Within the test package, I have written the following unit tests:

- Unit tests for the database in a test\_database.py module:
  - test\_database\_structure:

Description	Test that the database tables are set up correctly.
Expected Result	Check that the 'Models' table exists in the database
	and that the table's info matches the following for-
	mat:
	[(0, 'Model_ID', 'INTEGER', 0, None, 1),
	(1, 'Dataset', 'TEXT', 1, None, 0),
	(2, 'File_Location', 'TEXT', 1, None, 0),
	(3, 'Hidden_Layers_Shape', 'TEXT', 1, None, 0),
	(4, 'Learning_Rate', 'FLOAT', 1, None, 0),
	(5, 'Name', 'TEXT', 1, None, 0),
	(6, 'Train_Dataset_Size', 'INTEGER', 1, None, 0),
	(7, 'Use_ReLu', 'INTEGER', 1, None, 0)]
Actual Result	Expected Result
Test Status	Pass

## - test\_not\_null\_constraint:

Description	Test that the NOT NULL constraint is setup.
Data Value	("Test_Dataset",
	f"school_project/saved-
	models/uuid.uuid4().hex.npz",
	"100, 100",
	0.1,
	"Test_Name",
	100)
Data Type	Erroneous
Expected Result	A sqlite3.IntegrityError should be raised.
Actual Result	Expected Result
Test Status	Pass

# - test\_unique\_constraint:

Description	Test that the UNIQUE (Dataset, Name) constraint
	is setup.
Data Value	("Test_Dataset",
	f'school_project/saved-
	models/uuid.uuid4().hex.npz",
	"100, 100",
	0.1,
	"Test_Name",
	100,
	True)
Data Type	Erroneous
Expected Result	A sqlite3.IntegrityError should be raised.
Actual Result	Expected Result
Test Status	Pass

# $-\ test\_save\_load\_consistency:$

Description	Test that data is not changed between saving and
	loading.
Data Value	("Test_Dataset",
	f'school_project/saved-
	models/uuid.uuid4().hex.npz",
	"100, 100",
	0.1,
	"Test_Name",
	100,
	True)
Data Type	Normal
Expected Result	Data is not changed between saving and loading.
Actual Result	Expected Result
Test Status	Pass

#### - Evidence:

```
"""Unit tests for database."""
    import sqlite3
3
    import unittest
4
    import uuid
    {\tt class} \  \, {\tt TestDatabase} ({\tt unittest.TestCase}) : \\
         """Unit tests for database."""
        def __init__(self, *args, **kwargs) -> None:
    """Initialise unit tests."""
9
10
            super(TestDatabase, self).__init__(*args, **kwargs)
11
12
        def test_database_strucure(self) -> None:
13
             """Test that the database tables are set up correctly."""
14
            \verb|connection| =
             cursor = connection.cursor()
16
17
            # Check that 'Models' table is in the database
18
            cursor.execute("SELECT name FROM sqlite_master WHERE
19

    type='table'")

            self.assertIn(member="Models", container=cursor.fetchall()[0])
20
21
             # Check that 'Models' table has the correct attributes
22
            23
25
                                    \hookrightarrow 0),
                                    (3, 'Hidden_Layers_Shape', 'TEXT', 1,
26
                                    \hookrightarrow None, 0),
27
                                    (4, 'Learning_Rate', 'FLOAT', 1, None,
                                    \hookrightarrow 0),
                                    (5, 'Name', 'TEXT', 1, None, 0),
28
                                    (6, 'Train_Dataset_Size', 'INTEGER', 1,
                                    \hookrightarrow None, 0),
                                    (7, 'Use_ReLu', 'INTEGER', 1, None, 0)]
30
            cursor.execute("PRAGMA table_info(Models)")
31
            table_info = cursor.fetchall()
32
            for expected_attribute, attribute in\ zip\ (expected\_table\_info,
33
                                                        table_info):
```

```
35
                for expected_info, info in zip(expected_attribute,
                \hookrightarrow attribute):
                    self.assertEqual(first=expected_info, second=info)
36
37
        def test_not_null_constraint(self) -> None:
38
             """Test that the NOT NULL constraint is setup."""
39
            connection =
            cursor = connection.cursor()
41
42
            # Try to insert record with the last attribute missing
43
            test_data = ("Test_Dataset",
44
45

    f"school_project/saved-models/{uuid.uuid4().hex}.npz",

                         "100, 100",
46
                         0.1,
47
                         "Test_Name",
48
                         100)
            sql = """
50
            INSERT INTO Models
51
            (Dataset, File_Location, Hidden_Layers_Shape, Learning_Rate,
52
       Name, Train_Dataset_Size)
            VALUES (?, ?, ?, ?, ?)
53
54
            self.assertRaises(sqlite3.IntegrityError, cursor.execute, sql,
55
            \hookrightarrow \quad \texttt{test\_data)}
56
57
        def test_unique_constraint(self) -> None:
            """Test that the UNIQUE (Dataset, Name) constraint is
58

    setup.""

            connection =
            cursor = connection.cursor()
60
61
            # Save test data
62
            test_data = ("Test_Dataset",
63
64

    f"school_project/saved-models/{uuid.uuid4().hex}.npz",

                         "100, 100",
65
                         0.1,
66
                         "Test_Name",
67
68
                         100,
                         True)
69
            sql = """
70
71
            INSERT INTO Models
            (Dataset, File_Location, Hidden_Layers_Shape, Learning_Rate,
72
       Name, Train_Dataset_Size, Use_ReLu)
            VALUES (?, ?, ?, ?, ?, ?)
73
74
            cursor.execute(sql, test_data)
            connection.commit()
76
77
            # Try to save the same data again
78
            test_data = ("Test_Dataset",
79
80

→ f"school_project/saved-models/{uuid.uuid4().hex}.npz",

                         "100, 100",
81
82
                         0.1,
                         "Test_Name",
83
                         100,
84
                         True)
85
            sql = """
86
```

```
INSERT INTO Models
87
              (Dataset, File_Location, Hidden_Layers_Shape, Learning_Rate,
88
     \,\, \hookrightarrow \,\, \text{Name, Train\_Dataset\_Size, Use\_ReLu)}
              VALUES (?, ?, ?, ?, ?, ?)
89
              0.00
90
              self.assertRaises(sqlite3.IntegrityError, cursor.execute, sql,
91
              \hookrightarrow test_data)
92
              # Remove test data from database
sql = """
93
94
              DELETE FROM Models WHERE Dataset=? AND Name=?
95
96
97
              parameters = (test_data[0], test_data[4])
              cursor.execute(sql, parameters)
98
99
              connection.commit()
100
          def test_save_load_consistency(self) -> None:
101
              """Test that data is not changed between saving and
102
         loading."""
103
              connection =

→ sqlite3.connect(database='school_project/saved_models.db')

              cursor = connection.cursor()
104
105
              test_data = ("Test_Dataset",
106
107

    f"school_project/saved-models/{uuid.uuid4().hex}.npz",
                            "100, 100",
108
109
                            0.1,
                            "Test_Name",
110
                            100,
111
112
                            True)
113
              # Save test data
114
              sql = """
115
              INSERT INTO Models
116
              (Dataset, File_Location, Hidden_Layers_Shape, Learning_Rate,
117
      → Name, Train_Dataset_Size, Use_ReLu)
              VALUES (?, ?, ?, ?, ?, ?, ?)
118
119
              cursor.execute(sql, test_data)
120
              connection.commit()
121
122
              # Load test data
123
              sql = """
124
125
              SELECT * FROM Models WHERE Dataset=? AND Name=?
126
127
              cursor.execute(sql, (test_data[0], test_data[4]))
              loaded_data = cursor.fetchall()[0]
128
129
130
              # Delete test data from database
              sql = """
131
              DELETE FROM Models WHERE Dataset=? AND Name=?
132
133
              parameters = (test_data[0], test_data[4])
134
135
              cursor.execute(sql, parameters)
              connection.commit()
136
137
              # Compare test data with loaded data
138
              for test_value, loaded_value in zip(test_data,
139
              → loaded_data[1:]):
                  self.assertEqual(first=test_value, second=loaded_value)
140
141
```

```
142    if __name__ == "__main__":
143         unittest.main()
```

```
(venv) max@max-ThinkPad-T14-Gen-1:-/programming/projects/school-project/school-project(main)$ ls

Dockerfile LICENSE Makefile notebooks project-report README.md school_project school_project.egg-info setup.py TODO.md

(venv) max@max-ThinkPad-T14-Gen-1:-/programming/projects/school-project/school-project(main)$ python3 -m unittest school_project/test/test_database.py

...

Ran 4 tests in 0.030s

OK

(venv) max@max-ThinkPad-T14-Gen-1:-/programming/projects/school-project/school-project(main)$
```

Figure 31: Unit tests for the database in a test\_database.py module evidence

Link to video evidence: https://github.com/mcttn22/school-project/blob/main/project-report/testing-videos.md/#test\_databasepy

- Unit tests for the utils subpackage of both the cpu and gpu subpackage of the models package. Similarly to the code for the cpu and gpu subpackage, it is only worth showing the code for the cpu version as both are very similar in functionality.
  - test\_model.py module:
    - \* test\_train\_dataset\_size:

Description	Test the size of training dataset to be value chosen.
Data Value	$hidden\_layers\_shape = [100, 100],$
	$train_dataset_size = 4,$
	$learning\_rate = 0.1,$
	use_relu = True
Data Type	Normal
Expected Result	The number of columns of the training input matrix
	should be equal to 4.
Actual Result	Expected Result
Test Status	Pass

## $*\ test\_network\_shape:$

Description	Test the neuron count of each layer to match the
	set shape of the network.
Data Value	$hidden\_layers\_shape = [100, 100],$
	$train_dataset_size = 4,$
	learning_rate = $0.1$ ,
	use_relu = True
Data Type	Normal
Expected Result	The input neuron count of each layer should match
	[2, 100, 100, 1].
Actual Result	Expected Result
Test Status	Pass

\* test\_learning\_rates:

Description	Test learning rate of each layer to be the same.
Data Value	$hidden\_layers\_shape = [100, 100],$
	$train_dataset_size = 4,$
	learning_rate = $0.1$ ,
	use_relu = True
Data Type	Normal
Expected Result	The learning rate of each layer should be 0.1.
Actual Result	Expected Result
Test Status	Pass

# $*\ test\_relu\_model\_transfer\_types:$

Description	Test transfer type of each layer to match whats set.
Data Values	$hidden\_layers\_shape = [100, 100],$
	$train_{dataset\_size} = 4,$
	$learning\_rate = 0.1,$
	use_relu = True
Data Type	Normal
Expected Result	The transfer type of each layer should follow a pat-
	tern of ['relu', 'relu', 'sigmoid'].
Actual Result	Expected Result
Test Status	Pass

# $*\ test\_sigmoid\_model\_transfer\_types:$

Description	Test transfer type of each layer to match whats set.
Data Values	$hidden\_layers\_shape = [100, 100],$
	$train_dataset_size = 4,$
	learning_rate = $0.1$ ,
	use_relu = False
Data Type	Normal
Expected Result	The transfer type of each layer should follow a pat-
	tern of ['sigmoid', 'sigmoid', 'sigmoid']
Actual Result	Expected Result
Test Status	Pass

# $*\ test\_weight\_matrice\_shapes:$

Description	Test that each layer's weight matrix has the same
	number of columns as the layer's input matrix's
	number of rows, for the matrice multiplication.
Data Values	$hidden\_layers\_shape = [100, 100],$
	$train_dataset\_size = 4,$
	$  learning\_rate = 0.1,$
	use_relu = True
Data Type	Normal
Expected Result	Each layer's weight matrix has the same number
	of columns as the layer's input matrix's number of
	rows.
Actual Result	Expected Result
Test Status	Pass

# $*\ test\_bias\_matrice\_shapes:$

Description  Data Values	Test that each layer's bias matrix has the same number of rows as the result of the layer's weights and input multiplication, for element-wise addition of the biases.  hidden_layers_shape = [100, 100], train_dataset_size = 4, learning_rate = 0.1, use_relu = True
Data Type	Normal
Expected Result	Each layer's bias matrix has the same number of rows as the result of the layer's weights and input multiplication.
Actual Result	Expected Result
Test Status	Pass

# $*\ test\_layer\_output\_shapes:$

Description	Test the shape of each layer's activation function's
	output.
Data Values	$hidden\_layers\_shape = [100, 100],$
	$train_{dataset\_size} = 4,$
	learning_rate = $0.1$ ,
	use_relu = True
Data Type	Normal
Expected Result	The shape of each layer's activation function's out-
	put should have the same number of rows as the
	layer's weight matrix and the same number of
	columns as the layer's input matrix.
Actual Result	Expected Result
Test Status	Pass

## $* \ test\_save\_model:$

Description	Test that the weights and biases are saved correctly.
Data Values	$hidden\_layers\_shape = [100, 100],$
	$train_dataset_size = 4,$
	learning_rate = $0.1$ ,
	$use\_relu = True,$
	file_location = f'school_project/saved-
	models/uuid.uuid4().hex.npz"
Data Type	Normal
Expected Result	The weights and biases of each layer should not
	change between saving and loading.
Actual Result	Expected Result

#### \* Evidence:

```
"""Unit tests for model module."""
    import os
    import unittest
    import uuid
    import numpy as np
    \# Test XOR implementation of Model for its lesser computation time
9
    from school_project.models.cpu.xor import XORModel
10
11
    class TestModel(unittest.TestCase):
12
         """Unit tests for model module."""
13
        def __init__(self, *args, **kwargs) -> None:
             """Initialise unit tests and inputs."""
15
            super(TestModel, self).__init__(*args, **kwargs)
16
17
        def test_train_dataset_size(self) -> None:
18
             """Test the size of training dataset to be value
19
       chosen."""
            train_dataset_size = 4
20
21
            model = XORModel(hidden_layers_shape = [100, 100],
                              train_dataset_size = train_dataset_size,
22
23
                              learning_rate = 0.1,
24
                              use_relu = True)
            model.create_model_values()
25
26
            model.train(epoch_count=1)
            self.assertEqual(first=model.layers.head.input.shape[1],
27
                              second=train_dataset_size)
28
        def test_network_shape(self) -> None:
30
            """Test the neuron count of each layer to match the set
31

→ shape of the

               network."""
32
            layers_shape = [2, 100, 100, 1]
33
            model = XORModel(hidden_layers_shape = [100, 100],
34
                              train_dataset_size = 4,
35
36
                              learning_rate = 0.1,
                              use_relu = True)
37
38
            model.create_model_values()
            model.train(epoch_count=1)
39
            for count, layer in enumerate(model.layers):
40
41
                 self.assertEqual(first=layer.input_neuron_count,
                                  second=layers_shape[count])
42
```

```
43
        def test_learning_rates(self) -> None:
44
             """Test learning rate of each layer to be the same."""
45
46
             learning_rate = 0.1
            model = XORModel(hidden_layers_shape = [100, 100],
47
                              train_dataset_size = 4,
48
49
                              learning_rate = learning_rate,
                              use_relu = True)
50
             model.create_model_values()
51
             model.train(epoch_count=1)
             for layer in model.layers:
53
                 self.assertEqual(first=layer.learning_rate,

    second=learning_rate)

55
        def test_relu_model_transfer_types(self) -> None:
             """Test transfer type of each layer to match whats set."""
57
             transfer_types = ['relu', 'relu', 'sigmoid']
58
             model = XORModel(hidden_layers_shape = [100, 100],
                                   train_dataset_size = 4,
60
61
                                   learning_rate = 0.1,
                                   use_relu = True)
62
             model.create model values()
63
             model.train(epoch_count=1)
             for count, layer in enumerate(model.layers):
65
                 self.assertEqual(first=layer.transfer_type,
66
67
                                  second=transfer_types[count])
68
69
        def test_sigmoid_model_transfer_types(self) -> None:
             """Test transfer type of each layer to match whats set."""
70
             transfer_types = ['sigmoid', 'sigmoid', 'sigmoid']
71
72
             model = XORModel(hidden_layers_shape = [100, 100],
                                       train_dataset_size = 4,
73
                                      learning_rate = 0.1,
74
                                       use_relu = False)
             model.create_model_values()
76
77
            model.train(epoch_count=1)
78
             for count, layer in enumerate(model.layers):
                 self.assertEqual(first=layer.transfer_type,
79
80
                                  second=transfer_types[count])
81
82
        def test_weight_matrice_shapes(self) -> None:
             """Test that each layer's weight matrix has the same

    → number of columns

            as the layer's input matrix's number of rows, for the
84
       matrice
            multiplication."""
85
            model = XORModel(hidden_layers_shape = [100, 100],
86
                              train_dataset_size = 4,
87
                              learning_rate = 0.1,
88
                              use_relu = True)
             model.create_model_values()
90
91
            model.train(epoch_count=1)
             for layer in model.layers:
92
                 self.assertEqual(first=layer.weights.shape[1],
93
94
                                  second=layer.input.shape[0])
95
        def test_bias_matrice_shapes(self) -> None:
96
             """Test that each layer's bias matrix has the same number
97
        of rows
98
             as the result of the layer's weights and input
       multiplication, for
             element-wise addition of the biases."""
```

```
100
              model = XORModel(hidden_layers_shape = [100, 100],
                                train_dataset_size = 4,
101
                                learning_rate = 0.1,
102
103
                                use relu = True)
              model.create_model_values()
104
              model.train(epoch_count=1)
105
              for layer in model.layers:
                  self.assertEqual(first=layer.biases.shape[0],
107
                                    second=layer.weights.shape[0])
108
109
          def test_layer_output_shapes(self) -> None:
110
              """Test the shape of each layer's activation function's
111
         output."""
              model = XORModel(hidden_layers_shape = [100, 100],
112
113
                                train_dataset_size = 4,
                                learning_rate = 0.1,
114
                                use_relu = True)
115
              model.create_model_values()
116
              model.train(epoch_count=1)
117
118
              for layer in model.layers:
                  self.assertEqual(
119
                                first=(layer.weights.shape[0],
120
                                → layer.input.shape[1]),
                                second=layer.output.shape
121
122
123
          def test_save_model(self) -> None:
124
              """Test that the weights and biases are saved
125
          correctly."""
              initial_model = XORModel(hidden_layers_shape = [100,
126

→ 100],

                                         train_dataset_size = 4,
127
                                         learning_rate = 0.1,
128
                                         use_relu = True)
129
              initial_model.create_model_values()
130
131
              initial_model.train(epoch_count=1)
132
              # Save model values
133
134
              file_location =

    f"school_project/saved-models/{uuid.uuid4().hex}.npz"

135
              \  \, \hookrightarrow \  \, initial\_model.save\_model\_values(file\_location=file\_location)
136
137
              \# Create model from the saved values
138
              loaded_model = XORModel(hidden_layers_shape = [100, 100],
                                        train_dataset_size = 4,
139
140
                                        learning_rate = 0.1,
                                        use_relu = True)
141
142
              \ \hookrightarrow \ \ loaded\_model\_values(file\_location=file\_location)
143
              # Remove the saved model values
144
              os.remove(path=file_location)
145
146
147
              # Compare initial and loaded model values
              for layer1, layer2 in zip(initial_model.layers,
148
              \hookrightarrow loaded_model.layers):
                  \verb|self.assertTrue(np.array_equal(a1=layer1.weights,
                                                    a2=layer2.weights))
150
151
                  self.assertTrue(np.array_equal(a1=layer1.biases,
                                                    a2=layer2.biases))
152
153
```

```
154 if __name__ == '__main__':
155 unittest.main()
```

Figure 32: Unit tests for model module evidence

Link to video evidence: https://github.com/mcttn22/school-project/blob/main/project-report/testing-videos.md/#test\_modelpy

### - test\_tools.py module:

### \* test\_relu:

Description	Test ReLu output range to be greater than or equal
	to zero.
Data Values	[-100, 0, 100]
Data Type	Boundary
Expected Result	The output of the ReLu transfer function should be
	greater than or equal to zero.
Actual Result	Expected Result
Test Status	Pass

### \* test\_sigmoid:

Description	Test sigmoid output range to be within 0-1.
Data Values	[-100, 0, 100]
Data Type	Boundary
Expected Result	The output of Sigmoid transfer function should be
	between zero and one.
Actual Result	Expected Result
Test Status	Pass

### \* Evidence:

```
"""Unit tests for tools module."""
    import unittest
    from school_project.models.cpu.utils import tools
    class TestTools(unittest.TestCase):
         """Unit tests for the tools module."""
         def __init__(self, *args, **kwargs) -> None:
    """Initialise unit tests."""
9
10
             super(TestTools, self).__init__(*args, **kwargs)
11
12
         def test_relu(self) -> None:
13
             """Test ReLu output range to be >=0."""
14
             test_inputs = [-100, 0, 100]
15
             for test_input in test_inputs:
                 output = tools.relu(z=test_input)
17
```

Figure 33: Unit tests for tools module evidence

Link to video evidence: https://github.com/mcttn22/school-project/blob/main/project-report/testing-videos.md/#test\_toolspy

### 5.2.2 GitHub Automated Testing

With the following configuration programmed in the .github/workflows/tests.yml file, the unit tests are run automatically on GitHub servers after each commit that is pushed to GitHub, and the status of the tests (either passing or failing) can be viewed on the repository's page. This automatic testing allows for a faster workflow and allows me to identify which changes (commits) cause issues within the code, allowing for easier maintenance of the project.

```
name: Tests
3
    on:
      push:
        branches: [ "main" ]
5
      pull_request:
6
         branches: [ "main" ]
    permissions:
       contents: read
10
11
    jobs:
12
       build:
13
14
15
         runs-on: ubuntu-latest
16
17
         steps:
         - uses: actions/checkout@v3
18
         - name: Set up Python 3.10
19
20
          uses: actions/setup-python@v3
21
             python-version: "3.10"
22
         - name: Install dependencies
23
          run: |
24
25
             python -m pip install --upgrade pip
             pip install numpy
26
          name: Test
27
28
           run: |
             python -m unittest discover ./school_project/test/models/cpu
29
```

### 5.2.3 Docker

I also provide a basic Dockerfile and instructions for its use in the README.md file, so that the project can be quickly run and tested in Docker containers. Below shows the contents of the basic Dockerfile:

```
FROM python:3.11

# Set a directory for the app

WORKDIR /usr/src/app

# Copy all the files to the container

COPY . .

# Install dependencies

RUN python setup.py install

# Run the project

CMD ["python", "./school_project"]
```

# 6 Investigation

This section outlines the code utilised to test the performance of a trained network. It then utilises this functionality to explore the effects of Hyper-Parameters on the Artificial Neural Network performance.

### 6.1 test\_model module

The test\_model module is contained within the frames package, and contains tkinter frames for testing the trained Artificial Neural Network models for each dataset. For each training dataset that an Artificial Neural Network is trained on, there is a corresponding test dataset with completely new images to be tested on to judge the performance of the trained model. As fewer images are needed for testing than for training, the Cat dataset only has 50 test images (compared to the 209 images for training) and the MNIST dataset only has 10,000 test images (compared to the 60,000 images for training). Each frame displays the results of the testing along with a random selection of incorrect and correct predictions.

```
"""Tkinter frames for testing a saved Artificial Neural Network model."""
1
2
    import random
    import threading
    import tkinter as tk
    from matplotlib.figure import Figure
    from matplotlib.backends.backend_tkagg import FigureCanvasTkAgg
    import numpy as np
9
10
    class TestMNISTFrame(tk.Frame):
11
        """Frame for Testing MNIST page."""
12
13
        def __init__(self, root: tk.Tk, width: int,
14
                      height: int, bg: str,
                      use_gpu: bool, model: object) -> None:
15
             """Initialise test MNIST frame widgets.
16
17
18
             Args:
                 root (tk.Tk): the widget object that contains this widget.
19
                 width (int): the pixel width of the frame.
20
21
                 height (int): the pixel height of the frame.
                 bg (str): the hex value or name of the frame's background colour.
22
                 use_gpu (bool): True or False whether the GPU should be used.
23
24
                 model (object): The Model object to be tested.
             Raises:
25
                 TypeError: if root, width or height are not of the correct type.
26
27
28
             super().__init__(master=root, width=width, height=height, bg=bg)
29
             self.root = root
30
             self.WIDTH = width
31
             self.HEIGHT = height
32
33
             self.BG = bg
34
             # Setup test MNIST frame variables
             self.use_gpu = use_gpu
36
37
              # Setup widgets
38
             self.model_status_label = tk.Label(master=self,
39
```

```
40
                                                 bg=self.BG,
                                                 font=('Arial', 15))
41
             self.results_label = tk.Label(master=self,
42
43
                                            bg=self.BG,
44
                                            font=('Arial', 15))
             self.correct_prediction_figure = Figure()
45
46
             self.correct_prediction_canvas = FigureCanvasTkAgg(
                                              figure=self.correct_prediction_figure,
47
                                              master=self
48
49
             self.incorrect_prediction_figure = Figure()
50
             self.incorrect_prediction_canvas = FigureCanvasTkAgg(
51
52
                                            figure=self.incorrect_prediction_figure,
                                            master=self
53
55
             # Grid widgets
56
             self.model_status_label.grid(row=0, columnspan=3, pady=(30,0))
             self.results_label.grid(row=1, columnspan=3)
58
             self.incorrect_prediction_canvas.get_tk_widget().grid(row=2, column=0)
59
             self.correct_prediction_canvas.get_tk_widget().grid(row=2, column=2)
60
61
62
             # Start test thread
             self.model_status_label.configure(text="Testing trained model",
63
                                                fg='red')
64
65
             self.test_thread = threading.Thread(target=model.test)
             self.test_thread.start()
66
67
        def plot_results(self, model: object) -> None:
68
             """Plot results of Model test.
69
70
                Args:
71
                    model (object): the Model object thats been tested.
72
73
74
             self.model_status_label.configure(text="Testing Results:", fg='green')
75
             if not self.use_gpu:
76
                 self.results_label.configure(
77
                  text="Prediction Correctness: " +
78
                  f"{round(number=100 - np.mean(np.abs(model.test_prediction.round() -
79

    model.test_outputs)) * 100, ndigits=1)}%\n" +

                  f"Network Shape: " +
80
                  f"{','.join(model.layers_shape)}\n"
81
82
83
                 test_inputs = np.squeeze(model.test_inputs).T
84
                 test_outputs = np.squeeze(model.test_outputs).T.tolist()
                 test_prediction = np.squeeze(model.test_prediction).T.tolist()
86
87
                 \# Randomly shuffle order of test_inputs, test_outputs and
                 \hookrightarrow \quad test\_prediciton
                 # whilst maintaining order between them
89
                 test_data = list(zip(test_inputs,
90
                                       test outputs.
91
92
                                       test_prediction))
                 random.shuffle(test_data)
93
                 test_inputs, test_outputs, test_prediction = zip(*test_data)
94
95
             elif self.use_gpu:
96
97
98
                 import cupy as cp
```

99

```
100
                 self.results_label.configure(
                  text="Prediction Correctness: " +
101
                   f"{round(number=100
102
                   → np.mean(np.abs(cp.asnumpy(model.test_prediction).round() -
                      cp.asnumpy(model.test_outputs))) * 100, ndigits=1)}%\n" +
                  f"Network Shape: " +
103
                  f"{','.join(model.layers_shape)}\n"
105
106
                 test_inputs = cp.asnumpy(cp.squeeze(model.test_inputs)).T
                 test_outputs = cp.asnumpy(cp.squeeze(model.test_outputs)).T.tolist()
108
109
                 test_prediction = cp.squeeze(model.test_prediction).T.tolist()
110
                 # Randomly shuffle order of test_inputs, test_outputs and
111
                  \hookrightarrow test_prediciton
                  # whilst maintaining order between them
112
                 test_data = list(zip(test_inputs,
113
                                       test_outputs,
114
                                       test_prediction))
115
116
                 random.shuffle(test_data)
                 test_inputs, test_outputs, test_prediction = zip(*test_data)
117
118
              # Setup incorrect prediction figure
119
             self.incorrect_prediction_figure.suptitle("Incorrect predictions:")
120
121
             image_count = 0
122
             for i in range(len(test_prediction)):
                 if test_prediction[i].index(max(test_prediction[i])) !=
123
                  \ \hookrightarrow \ \ test\_outputs[i].index(max(test\_outputs[i])):
124
                      if image_count == 2:
                          break
125
                      elif image_count == 0:
126
                          image = self.incorrect_prediction_figure.add_subplot(121)
127
                      elif image_count == 1:
128
                          image = self.incorrect_prediction_figure.add_subplot(122)
129
                      image.set_title(f"Predicted:
130
                         {test_prediction[i].index(max(test_prediction[i]))}\n" +
                                      f"Should have predicted:
131
                                      132
                      image.imshow(test_inputs[i].reshape((28,28)))
                      image_count += 1
133
134
              # Setup correct prediction figure
135
             self.correct_prediction_figure.suptitle("Correct predictions:")
136
137
             image_count = 0
138
             for i in range(len(test_prediction)):
                 if test_prediction[i].index(max(test_prediction[i])) ==
139

    test_outputs[i].index(max(test_outputs[i])):

                      if image_count == 2:
140
                          break
141
                      elif image_count == 0:
142
                          image = self.correct_prediction_figure.add_subplot(121)
143
144
                      elif image_count == 1:
                          image = self.correct_prediction_figure.add_subplot(122)
145
                      image.set_title(f"Predicted:
146
                         {test_prediction[i].index(max(test_prediction[i]))}")
                      image.imshow(test_inputs[i].reshape((28,28)))
147
                      image_count += 1
148
149
     class TestCatRecognitionFrame(tk.Frame):
150
          """Frame for Testing Cat Recognition page."""
151
         def __init__(self, root: tk.Tk, width: int,
152
                       height: int, bg: str,
153
```

```
154
                       use_gpu: bool, model: object) -> None:
              \hbox{\it """Initialise test cat recognition frame widgets}.
155
156
157
              Args:
                  root (tk.Tk): the widget object that contains this widget.
158
                  width (int): the pixel width of the frame.
159
160
                  height (int): the pixel height of the frame.
                  bg (str): the hex value or name of the frame's background colour.
161
                  use_gpu (bool): True or False whether the GPU should be used.
162
                  model (object): the Model object to be tested.
163
              Raises:
164
165
                  TypeError: if root, width or height are not of the correct type.
166
167
              super().__init__(master=root, width=width, height=height, bg=bg)
              self.root = root
169
              self.WIDTH = width
170
              self.HEIGHT = height
171
              self.BG = bg
172
173
              # Setup image recognition frame variables
174
              self.use_gpu = use_gpu
175
176
              # Setup widgets
177
              self.model_status_label = tk.Label(master=self,
178
179
                                                   bg=self.BG,
                                                   font=('Arial', 15))
180
181
              self.results_label = tk.Label(master=self,
182
                                              bg=self.BG,
                                             font=('Arial', 15))
183
              self.correct_prediction_figure = Figure()
              self.correct_prediction_canvas = FigureCanvasTkAgg(
185
                                               figure=self.correct_prediction_figure,
186
                                                master=self
187
188
              self.incorrect_prediction_figure = Figure()
189
190
              self.incorrect_prediction_canvas = FigureCanvasTkAgg(
                                             figure=self.incorrect_prediction_figure,
191
192
                                              master=self
193
194
              # Grid widgets
195
              self.model_status_label.grid(row=0, columnspan=3, pady=(30,0))
196
197
              self.results_label.grid(row=1, columnspan=3)
198
              self.incorrect_prediction_canvas.get_tk_widget().grid(row=2, column=0)
              self.correct_prediction_canvas.get_tk_widget().grid(row=2, column=2)
199
200
              # Start test thread
201
              self.model_status_label.configure(text="Testing trained model...",
202
                                                  fg='red')
203
              self.test_thread = threading.Thread(target=model.test)
204
205
              self.test_thread.start()
206
         def plot_results(self, model: object) -> None:
207
              """Plot results of Model test
208
209
210
                 Args:
                     model (object): the Model object thats been tested.
211
212
213
              self.model_status_label.configure(text="Testing Results:", fg='green')
214
              if not self.use gpu:
215
```

```
216
                  self.results_label.configure(
                   text="Prediction Correctness: " +
217
                   f"{round(number=100 - np.mean(np.abs(model.test_prediction.round() -
218

→ model.test_outputs)) * 100, ndigits=1)}%\n" +
                   f"Network Shape: " +
219
                   f"{','.join(model.layers_shape)}\n"
220
221
                   )
222
                  \# Randomly shuffle order of test_inputs, test_outputs and
223
                  \hookrightarrow test_prediciton
                  # whilst maintaining order between them
224
225
                  test_data = list(zip(model.test_inputs.T,
                                        np.squeeze(model.test_outputs).T.tolist(),
226
227
                                         \  \, \hookrightarrow \  \, \text{np.squeeze(model.test\_prediction.round()).T.tolist()))}
                  random.shuffle(test_data)
228
229
                  (test inputs.
                   test_outputs,
                   test_prediction) = map(lambda arr: np.array(arr).T,
231
232
                                            zip(*test_data))
233
              elif self.use_gpu:
234
235
                  import cupy as cp
236
237
                  self.results_label.configure(
                   text="Prediction Correctness: " +
239
240
                   f"{round(number=100 -
                   → np.mean(np.abs(cp.asnumpy(model.test_prediction).round() -
                   \hookrightarrow cp.asnumpy(model.test_outputs))) * 100, ndigits=1)}%\n" +
                   f"Network Shape: " +
241
                   f"{','.join(model.layers_shape)}\n"
242
243
                  # Randomly shuffle order of test_inputs, test_outputs and
245
                  \hookrightarrow \quad test\_prediciton
                  # whilst maintaining order between them
246
                  test_data = list(zip(cp.asnumpy(model.test_inputs).T,
247
                                         249
                                         \  \, \hookrightarrow \  \, \text{cp.asnumpy(cp.squeeze(model.test\_prediction)).round().T.tolist()))}
                  random.shuffle(test_data)
250
251
                  (test_inputs,
252
                   test_outputs,
                   test_prediction) = map(lambda arr: np.array(arr).T,
253
254
                                           zip(*test_data))
255
              # Setup incorrect prediction figure
256
              self.incorrect_prediction_figure.suptitle("Incorrect predictions:")
257
              image_count = 0
258
259
              for i in range(len(test_prediction)):
                  if test_prediction[i] != test_outputs[i]:
260
                      if image_count == 2:
261
262
                           break
                      elif image_count == 0:
263
                          image = self.incorrect_prediction_figure.add_subplot(121)
264
                       elif image_count == 1:
265
                          image = self.incorrect_prediction_figure.add_subplot(122)
266
267
                      image.set_title(f"Predicted: {'Cat' if test_prediction[i] == 1

    else 'Not a cat'}\n")

                      image.imshow(test_inputs[:,i].reshape((64,64,3)))
268
```

```
269
                      image_count += 1
270
              # Setup correct prediction figure
271
              self.correct_prediction_figure.suptitle("Correct predictions:")
272
273
              image_count = 0
              for i in range(len(test_prediction)):
274
275
                  if test_prediction[i] == test_outputs[i]:
                      if image_count == 2:
276
277
                          break
                       elif image_count == 0:
                           image = self.correct_prediction_figure.add_subplot(121)
279
280
                      elif image_count == 1:
                          image = self.correct_prediction_figure.add_subplot(122)
281
                      image.set_title(f"Predicted: {'Cat' if test_prediction[i] == 1
282
                       \hookrightarrow else 'Not a cat'}\n")
                      image.imshow(test_inputs[:,i].reshape((64,64,3)))
283
284
                      image_count += 1
285
     class TestXORFrame(tk.Frame):
286
          """Frame for Testing XOR page."""
287
          def __init__(self, root: tk.Tk, width: int,
288
                       height: int, bg: str, model: object) -> None:
289
              \verb"""Initialise test XOR frame widgets.
290
291
292
              Args:
293
                  root (tk.Tk): the widget object that contains this widget.
                  width (int): the pixel width of the frame.
294
295
                  height (int): the pixel height of the frame.
                  bg (str): the hex value or name of the frame's background colour.
296
                  model (object): the Model object to be tested.
297
              Raises:
298
                  TypeError: if root, width or height are not of the correct type.
299
300
301
              super().__init__(master=root, width=width, height=height, bg=bg)
self.root = root
302
303
304
              self.WIDTH = width
              self.HEIGHT = height
305
306
              self.BG = bg
307
308
              # Setup widgets
              self.model_status_label = tk.Label(master=self,
309
                                                   bg=self.BG,
310
                                                   font=('Arial', 15))
311
312
              self.results_label = tk.Label(master=self,
                                              bg=self.BG,
313
314
                                              font=('Arial', 20))
315
              # Pack widgets
316
              self.model_status_label.pack(pady=(30,0))
317
318
              # Start test thread
319
              self.model_status_label.configure(text="Testing trained model...",
320
                                                  fg='red')
321
322
              self.test_thread = threading.Thread(target=model.test)
              self.test_thread.start()
323
324
325
          def plot_results(self, model: object):
              """Plot results of Model test.
326
327
328
                 Args:
                     model (object): the Model object thats been tested.
329
```

```
330
331
             self.model_status_label.configure(text="Testing Results:", fg='green')
332
             results = (
333
                   f"Prediction Accuracy: " +
334
                    f'' \{ round(number=model.test\_prediction\_accuracy, \ ndigits=1) \} \ \ \ + \\
335
                   f"Network Shape: " +
336
                   f"{','.join(model.layers_shape)}\n"
337
338
339
              for i in range(model.test_inputs.shape[1]):
                  results += f"{model.test_inputs[0][i]},"
340
                  results += f"{model.test_inputs[1][i]} = "
341
342
                  if np.squeeze(model.test_prediction)[i] >= 0.5:
                      results += "1\n"
343
344
                      results += "0\n"
345
              self.results_label.configure(text=results)
346
347
              self.results_label.pack()
```

Which outputs the following results depending on the dataset used:

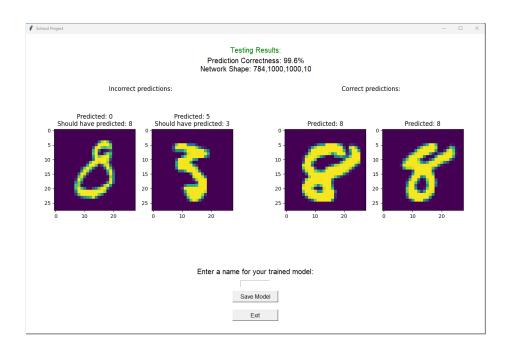


Figure 34: Model test results for MNIST database

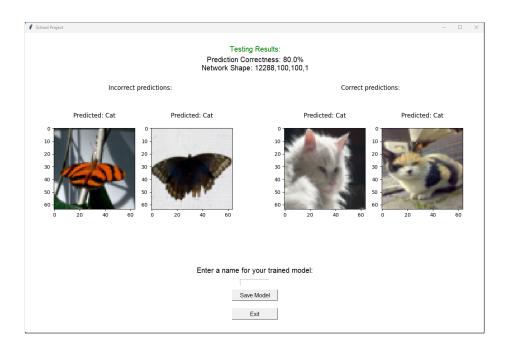


Figure 35: Model test results for Cat recognition database



Figure 36: Model test results for XOR dataset

## 6.2 Effects of Hyper-Parameters

As discussed in section 2.1 Artificial Neural Networks have a number of critical hyper-parameters which describe the shape of the network and the nature in which it learns. To explore this, I have conducted a series of experiments utilising the program to explore the fundamental impact of these hyper-parameters. In particular I explored the impact of the:

- Learning rate
- Number of Epochs undertaken during training
- Training dataset size
- Number of hidden layers
- Number of neurons in each layer
- Use of the ReLu vs Sigmoid transfer function
- Use of GPU vs CPU

For these investigations, I utilised Jupyter Notebook to run blocks of code and display the results. The output of each Jupyter Notebook is shown in the analysis below.

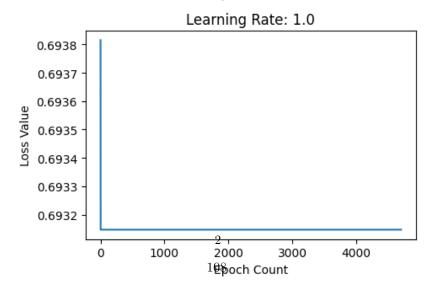
Analysis of the impact of the learning rate on the reduction of the loss value

The following code trains and tests models on the XOR dataset with varying learning rates, and then plots graphs of Loss Value against Epoch Count.

```
[17]: import os
      import matplotlib.pyplot as plt
      import numpy as np
      from school_project.models.cpu.xor import XORModel as Model
      # Change to root directory of project
      os.chdir(os.getcwd())
      # Set width and height of figure
      plt.rcParams["figure.figsize"] = [5, 10]
      learning_rates = [0.01, 0.1, 1.0]
      figure, axis = plt.subplots(nrows=len(learning_rates), ncols=1)
      for count, learning_rate in enumerate(learning_rates):
          model = Model(hidden_layers_shape=[100, 100],
                        train_dataset_size=4,
                        learning_rate=learning_rate,
                        use relu=True)
          model.create_model_values()
          model.train(epoch_count=4_700)
          model.test()
          axis[count].set_title(f"Learning Rate: {model.learning_rate}")
          axis[count].set_xlabel("Epoch Count")
          axis[count].set_ylabel("Loss Value")
          axis[count].plot(np.squeeze(model.train_losses))
      plt.tight_layout()
      plt.show()
```







As shown above, if the learning rate is set to too low of a value (0.01 in this case) the model will take more epochs to reduce the loss value, and may even get stuck in unwanted local minimums. If the learning rate is set to an optimal value (0.1 in this case) the model reduces the loss value efficiently and to a small enough value for predictions. On the other hand, if the learning rate is set to too high of a value (1.0 in this case) the model may learn too quickly and even 'jump over' minima, causing the loss value to stop reducing.

## Analysis of the impact of training epoch count on network performance and training time taken

The following code trains models on the Cat Recognition dataset and tests the model at regular Epoch Count intervals, and then plots graphs of Test Prediction Accuracy against Epoch Count and Training Time against Epoch Count.

```
[6]: from IPython.display import clear_output, display
     import os
     import matplotlib.pyplot as plt
     import numpy as np
     from school_project.models.gpu.cat_recognition import CatRecognitionModel as_
     # Change to root directory of project
     os.chdir(os.getcwd())
     # Set width and height of figure
     plt.rcParams["figure.figsize"] = [10, 5]
     # Generate list of Epoch Counts from 1 to 5000, incremented by 500
     epoch_count_interval = 500
     epoch_counts = np.array(list(range(0, 5_000, epoch_count_interval)))
     test_prediction_accuracies = np.array([])
     training_times = np.array([])
     # Create model object
     model = Model(hidden_layers_shape=[100, 100],
                   train_dataset_size=209,
                   learning_rate=0.1,
                   use_relu=True)
     model.create_model_values()
     for index, epoch_count in enumerate(epoch_counts):
        clear_output(wait=True)
```

```
display(f"Progress: {round(number=index/len(epoch_counts) * 100,__
 model.train(epoch_count=epoch_count_interval)
   test_prediction_accuracies = np.append(test_prediction_accuracies,
                                          model.test_prediction_accuracy)
    # Add training times cumulatively
   if len(training_times) != 0:
       training_times = np.append(training_times,
                                  training_times[-1] + model.training_time)
   else:
       training_times = np.append(training_times,
                                  model.training_time)
clear_output(wait=True)
display("Progress: Complete")
figure, axis = plt.subplots(nrows=1, ncols=2)
axis[0].set_xlabel("Epoch Count")
axis[0].set_ylabel("Test Prediction Accuracy (%)")
# Plot regression line
axis[0].plot(epoch_counts, test_prediction_accuracies, marker='x')
# Determine gradient and y-intercept of training times regression line
m, c = np.polyfit(epoch_counts, training_times, deg=1)
print(f"Training Times Regression Line Gradient: {round(number=m, ndigits=2)}")
axis[1].set_xlabel("Epoch Count")
axis[1].set_ylabel("Training Time (s)")
# Plot scatter graph of epoch counts and training times
axis[1].scatter(epoch_counts, training_times, marker='x')
# Plot regression line
axis[1].plot(epoch_counts, m * epoch_counts + c)
plt.tight_layout()
plt.show()
```

'Progress: Complete'
Training Times Regression Line Gradient: 0.01



As shown above, as the epoch count increases so does both the test prediction accuracy and the training time taken.

## Analysis of the impact of training dataset size on network performance and training time taken

The following code trains and tests models on the Cat Recognition dataset with varying Train Dataset Sizes, and then plots graphs of Test Prediction Accuracy against Train Dataset Size and Training Time against Train Dataset Size.

```
[1]: from IPython.display import clear_output, display
     import os
     import matplotlib.pyplot as plt
     import numpy as np
    from school_project.models.gpu.cat_recognition import CatRecognitionModel as_
      →Model
     # Change to root directory of project
    os.chdir(os.getcwd())
     # Set width and height of figure
    plt.rcParams["figure.figsize"] = [10, 5]
     # Generate list of train dataset sizes from 1 to 210, incremented by 13
    train_dataset_sizes = np.array(list(range(1, 210, 13)))
     test_prediction_accuracies = np.array([])
     training_times = np.array([])
    for index, train_dataset_size in enumerate(train_dataset_sizes):
        clear_output(wait=True)
        display(f"Progress: {round(number=index/len(train_dataset_sizes) * 100,__
      model = Model(hidden_layers_shape=[100, 100],
                      train_dataset_size=train_dataset_size,
                      learning_rate=0.1,
                      use relu=True)
        model.create_model_values()
        model.train(epoch_count=2_000)
```

```
model.test()
   test_prediction_accuracies = np.append(test_prediction_accuracies,
                                           model.test_prediction_accuracy)
   training_times = np.append(training_times,
                              model.training_time)
clear_output(wait=True)
display("Progress: Complete")
figure, axis = plt.subplots(nrows=1, ncols=2)
\# Determine gradient and y-intercept of prediction accuracies regression line
m, c = np.polyfit(train_dataset_sizes, test_prediction_accuracies, deg=1)
print(f"Test Prediction Accuracies Regression Line Gradient: {round(number=m, u
 axis[0].set_xlabel("Train Dataset Size")
axis[0].set_ylabel("Test Prediction Accuracy (%)")
# Plot scatter graph of train dataset sizes and prediction accuracies
axis[0].scatter(train_dataset_sizes, test_prediction_accuracies, marker='x')
axis[0].plot(train_dataset_sizes, m * train_dataset_sizes + c)
# Determine gradient and y-intercept of training times regression line
m, c = np.polyfit(train_dataset_sizes, training_times, deg=1)
print(f"Training Times Regression Line Gradient: {round(number=m, ndigits=2)}")
axis[1].set_xlabel("Train Dataset Size")
axis[1].set_ylabel("Training Time (s)")
# Plot scatter graph of train dataset sizes and training times
axis[1].scatter(train_dataset_sizes, training_times, marker='x')
# Plot regression line
axis[1].plot(train_dataset_sizes, m * train_dataset_sizes + c)
plt.tight_layout()
plt.show()
```

'Progress: Complete'

Test Prediction Accuracies Regression Line Gradient: 0.18 Training Times Regression Line Gradient: 0.08



As shown above, as the train dataset size increases so does both the prediction accuracy and the training time taken. Therefore, I can predict that if I increase the size of the Cat Recognition dataset, I could improve the accuracy of the model trained on the dataset.

# Analysis of the impact of layer count on network performance and training time taken

The following code trains and tests models on the Cat Recognition dataset with a varying number of layers, and then plots graphs of Test Prediction Accuracy against Layer Count and Training Time against Layer Count.

```
[1]: from IPython.display import clear_output, display
     import os
     import matplotlib.pyplot as plt
     import numpy as np
     from school_project.models.gpu.cat_recognition import CatRecognitionModel as_
     # Change to root directory of project
     os.chdir(os.getcwd())
     # Set width and height of figure
     plt.rcParams["figure.figsize"] = [10, 5]
     layer_counts = np.array(list(range(1, 5)))
     neuron_count = 100
     test_prediction_accuracies = np.array([])
     training_times = np.array([])
     for index, layer_count in enumerate(layer_counts):
         clear_output(wait=True)
         display(f"Progress: {round(number=index/len(layer_counts) * 100,__

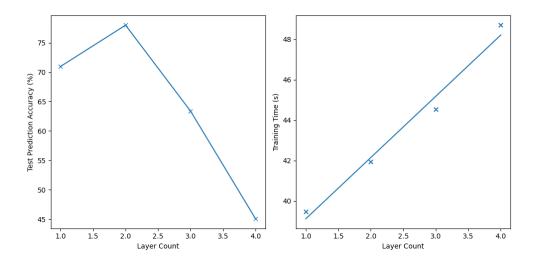
¬ndigits=2)}%")

         model = Model(
                hidden_layers_shape=[neuron_count for layer in range(layer_count)],
                train_dataset_size=209,
                learning_rate=0.1,
                use_relu=True
                )
         model.create_model_values()
```

```
model.train(epoch_count=3_500)
    model.test()
    test_prediction_accuracies = np.append(test_prediction_accuracies,
                                            model.test_prediction_accuracy)
    training_times = np.append(training_times,
                                model.training_time)
clear_output(wait=True)
display("Progress: Complete")
figure, axis = plt.subplots(nrows=1, ncols=2)
axis[0].set_xlabel("Layer Count")
axis[0].set_ylabel("Test Prediction Accuracy (%)")
axis[0].plot(layer_counts, test_prediction_accuracies, marker='x')
# Determine gradient and y-intercept of training times regression line
m, c = np.polyfit(layer_counts, training_times, deg=1)
print(f"Training Times Regression Line Gradient: {round(number=m, ndigits=2)}")
axis[1].set_xlabel("Layer Count")
axis[1].set_ylabel("Training Time (s)")
# Plot scatter graph of layer Counts and training times
axis[1].scatter(layer_counts, training_times, marker='x')
# Plot regression line
axis[1].plot(layer_counts, m * layer_counts + c)
plt.tight_layout()
plt.show()
```

'Progress: Complete'

Training Times Regression Line Gradient: 3.03



As shown above, as the layer count increases so does the training time taken and the test prediction accuracy at first. However, as the layer count continued to increase the prediction accuracy began to drop greatly (after 2 layers in this case). This is most likely due to the model overfitting and learning the training dataset too closely, causing it to fail on the new inputs of the test dataset.

## Analysis of the impact of neuron count on network performance and training time taken

The following code trains and tests models on the Cat Recognition dataset with a varying number of neurons in each layer, and then plots graphs of Test Prediction Accuracy against Neuron Count and Training Time against Neuron Count.

```
[1]: from IPython.display import clear_output, display
    import os
     import matplotlib.pyplot as plt
     import numpy as np
    from school_project.models.gpu.cat_recognition import CatRecognitionModel as_
     # Change to root directory of project
    os.chdir(os.getcwd())
     # Set width and height of figure
    plt.rcParams["figure.figsize"] = [10, 5]
    # Generate list of neuron counts from 1 to 501, incremented by 100
    neuron_counts = np.array(list(range(1, 501, 100)))
    layer_count = 2
    test_prediction_accuracies = np.array([])
    training_times = np.array([])
    for index, neuron_count in enumerate(neuron_counts):
        clear output(wait=True)
        display(f"Progress: {round(number=index/len(neuron_counts) * 100,__
      model = Model(
               hidden_layers_shape=[neuron_count for layer in range(layer_count)],
               train_dataset_size=209,
               learning_rate=0.1,
               use_relu=True
```

```
model.create_model_values()
   model.train(epoch_count=3_500)
   model.test()
   test_prediction_accuracies = np.append(test_prediction_accuracies,
                                            model.test_prediction_accuracy)
   training_times = np.append(training_times,
                                model.training_time)
clear_output(wait=True)
display("Progress: Complete")
figure, axis = plt.subplots(nrows=1, ncols=2)
axis[0].set_xlabel("Neuron Count")
axis[0].set_ylabel("Test Prediction Accuracy (%)")
axis[0].plot(neuron_counts, test_prediction_accuracies, marker='x')
# Determine gradient and y-intercept of training times regression line
m, c = np.polyfit(neuron_counts, training_times, deg=1)
print(f"Training Times Regression Line Gradient: {round(number=m, ndigits=2)}")
axis[1].set_xlabel("Neuron Count")
axis[1].set_ylabel("Training Time (s)")
# Plot scatter graph of neuron counts and training times
axis[1].scatter(neuron_counts, training_times, marker='x')
# Plot regression line
axis[1].plot(neuron_counts, m * neuron_counts + c)
plt.tight_layout()
plt.show()
```

'Progress: Complete'

Training Times Regression Line Gradient: 0.31



As shown above, as the neuron count of each layer increases so does the training time taken and the test prediction accuracy at first. However, as the neuron count continued to increase the prediction accuracy began to drop greatly (after 200 neurons in this case). This is most likely due to the model overfitting and learning the training dataset too closely, causing it to fail on the new inputs of the test dataset.

Analysis of the impact of the transfer function on the reduction of the loss value

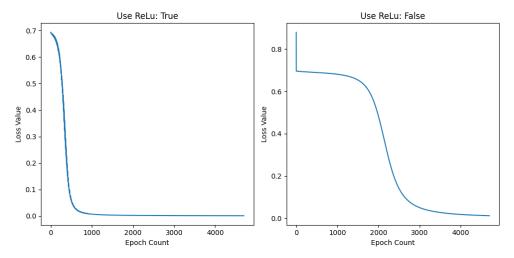
The following code trains and tests models on the XOR dataset using ReLu and then not using ReLu, and then plots graphs of Loss Value against Epoch Count.

```
[1]: import os
     import matplotlib.pyplot as plt
     import numpy as np
     from school_project.models.cpu.xor import XORModel as Model
     # Change to root directory of project
     os.chdir(os.getcwd())
     # Set width and height of figure
     plt.rcParams["figure.figsize"] = [10, 5]
     figure, axis = plt.subplots(nrows=1, ncols=2)
     model = Model(hidden_layers_shape=[100, 100],
                     train_dataset_size=4,
                     learning_rate=0.1,
                     use_relu=True)
     model.create_model_values()
     model.train(epoch_count=4_700)
     model.test()
     axis[0].set_title("Use ReLu: True")
     axis[0].set_xlabel("Epoch Count")
     axis[0].set_ylabel("Loss Value")
     axis[0].plot(np.squeeze(model.train_losses))
     model = Model(hidden_layers_shape=[100, 100],
                     train_dataset_size=4,
                     learning_rate=0.1,
                     use_relu=False)
     model.create_model_values()
```

```
model.train(epoch_count=4_700)
model.test()

axis[1].set_title("Use ReLu: False")
axis[1].set_xlabel("Epoch Count")
axis[1].set_ylabel("Loss Value")
axis[1].plot(np.squeeze(model.train_losses))

plt.tight_layout()
plt.show()
```



As shown above, when using the ReLu transfer function along with the Sigmoid transfer function, the loss value decreases at a much faster rate than without. The model without the ReLu transfer function does reach the same accuracy but takes far more training epochs to do so.

### Analysis of the impact of using a CPU vs GPU on training time taken

The following code trains a model on the XOR dataset using the CPU and then using the GPU to train, and then outputs the training time taken.

```
[5]: import os
     from school_project.models.cpu.cat_recognition import CatRecognitionModel as_
     from \ school\_project.models.gpu.cat\_recognition \ import \ CatRecognition Model \ as_{\sqcup}
      ⊶GPUModel
     # Change to root directory of project
     os.chdir(os.getcwd())
     model = CPUModel(hidden_layers_shape=[100, 100],
                     train_dataset_size=209,
                     learning_rate=0.1,
                     use_relu=True)
     model.create_model_values()
     model.train(epoch_count=3_500)
     print(f"CPU Training Time: {model.training_time}s")
     model = GPUModel(hidden_layers_shape=[100, 100],
                     train_dataset_size=209,
                     learning_rate=0.1,
                     use_relu=True)
     model.create_model_values()
     model.train(epoch_count=3_500)
     print(f"GPU Training Time: {model.training_time}s")
```

CPU Training Time: 160.33s GPU Training Time: 43.24s

As shown above, the GPU is almost four times faster at training the model than the CPU, showing how beneficial it is to utilise the parallel computations of the GPU

#### 6.2.1 Conclusions

The principle conclusion from this analysis is that both the shape of the network and selection of other hyper-parameters is critical to develop an optimum Artificial Neural Network.

Firstly, when considering the shape of the network, higher numbers of neurons per layer and higher layer counts do not necessarily equate to an increase in network performance. As can be seen on page 119, increasing neuron count passes through an ideal value before prediction accuracy begins to drop. In a similar manner, as can be seen on page 116, increasing the number of layers passes through an optimum value before performance decreases. Both of these behaviours are likely due to overfitting of the network to the training dataset and was an outcome predicted during the interview I undertook at the beginning of the project. In fact, it was described to me that finding the optimum network shape is part analysis and part trial and error.

Secondly, key parameters within the forward and backward propagation methods have an impact on network performance. Most importantly, is the learning rate where selecting too small a value results in an increase in required epochs and training time - the model is also at risk of converging on a local minimum resulting in a suboptimal conclusion. Alternatively, selecting too high a learning rate can lead to an oscillation around the optimal solution. The nature of the transfer function similarly has a significant effect, with the ReLu transfer function reaching a lower loss value faster - it should be noted that the Sigmoid function did also converge but required more epochs.

With regard to the size of the training dataset, a larger dataset does appear to generate a better solution. I would expect the effect of this to reduce with a significant training dataset size but the size of the training dataset for Cat recognition did not reach this level.

Lastly the use of a GPU decreased training time by approximately a factor of 4, which is the result of the GPU architecture being optimized for matrix calculations.

#### 7 Evaluation

#### 7.1 Third Party Feedback

I demonstrated the final version of my program to the same third party that I interviewed in the analysis, and their response is shown below:

"In my opinion, Max has definitely met the primary and secondary goals of this project. Firstly, and most importantly, he has researched and implemented, from first principles, an Artificial Neural Network that is flexible and abstracted to the point that it can tackle a range of problems. Max started the analysis for this project from a very theoretical and mathematical point of view before implementing code which has allowed him to extend its implementation to a range of datasets from the XOR problem to image analysis.

I was particularly impressed at the level of analysis he undertook into how Artificial Neural Networks work and the impact that different kinds of design decisions can have on implementation. He took on board suggestions to explore different types of transfer functions such as the ReLu function to increase the speed of training and it was nice to see comparative studies of this and other techniques. It was also great to see the ability to save and load trained models which has allowed him to train models on a desktop PC equipped with a graphics card to be utilized on a lower power laptop.

The analysis section exploring the impact on both learning rates and epoch count was very nice to see, as well as the identification of an optimal learning rate suitable for the image dataset he was working with.

In summary, it was fantastic to see a true maths-to-code example of implementing Artificial Neural Networks that didn't rely on the use of external AI libraries. I am certain he has learned a great deal regarding the fundamental properties and limitations of Artificial Neural Networks. I was also impressed by the usage of software engineering tools such as GitHub and Jupyter Notebook throughout the project."

#### 7.2 Project Objectives Evaluation

#### 7.2.1 Project Objectives

For the reader's convenience, I have restated the project objectives below:

Objective	Description
ID	
1	Learn how Artificial Neural Networks work and develop them from
	first principles
2	Implement the Artificial Neural Networks by creating trained models
	on image datasets
2.1	Allow use of Graphics Cards for faster training
2.2	Allow for the saving and loading of trained models
3	Develop a Graphical User Interface
3.1	Provide controls for hyper-parameters of models
3.2	Display and compare the results each model's predictions

### 7.2.2 Project Objective Evaluations

Objective	Evaluation	Status	3rd Party
ID			Evaluation
1	I have learnt how Artificial Neural Networks work from online resources, reports and inter- viewing a subject matter expert. I have proven the key mathematical principles from first prin- ciples and implemented these structures within	Fully met	Fully met
	Python code.		
2	I have implemented trainable Artificial Neural Networks with configurable numbers of layers, number of neurons in each layer and the nature of the Transfer Functions. The Artificial Neural Networks have been trained and tested on a variety of datasets and operates at an accuracy level comparable with the resources learnt from.	Fully met	Fully met
2.1	The Artificial Neural Networks allow the use of a graphics card where applicable.	Fully met	Fully met
2.2	The trained Artificial Neural Networks' weights and biases can be saved to a data file and the features of the corresponding Artificial Neural Networks are saved to a database. These saved Artificial Neural Networks can be loaded independently.	Fully met	Fully met
3	A Graphical User Interface allowing configura- tion of all hyper-parameters, loading and sav- ing of trained models and testing has been de- veloped.	Fully met	Fully met
3.1	The Graphical User Interface allows user configuration of all utilised model hyperparameters.	Fully met	Fully met
3.2	The model predictions can be compared in terms of both learning rate and overall accuracy.	Fully met	Fully met

#### 7.3 Requirements Evaluation

ID	Description	Status	3rd Party
			Evaluation
1	Learn how Artificial Neural Networks work	Fully met	Fully met
2	Develop Artificial Neural Networks from first principles		
2.1	Provide utilities for creating Artificial Neural Networks	Fully met	Fully met
2.2	Allow for the saving and loading of trained models'	Fully met	Fully met
	weights and biases		
2.3	Allow use of Graphics Cards for faster training	Fully met	Fully met
3	Implement the Artificial Neural Networks on image		
	datasets		
3.1	Allow input of unique hyper-parameters	Fully met	Fully met
3.2	Allow unique datasets and train dataset size to be	Fully met	Fully met
	loaded		
4	Use a database to store a model's features and the lo-	Fully met	Fully met
	cation of its weights and biases		
5	Develop a Graphical User Interface		
5.1	Provide controls for hyper-parameters of models	Fully met	Fully met
5.2	Display details of models' training	Fully met	Fully met
5.3	Display the results of each model's predictions	Fully met	Fully met
5.4	Allow for the saving of trained models	Fully met	Fully met
5.5	Allow for the loading of saved trained models	Fully met	Fully met

### 7.4 Future Improvements

By taking into consideration my evaluation of the objectives and feedback from the third party, I believe that the following future improvements could be made for the project:

- For the analysis of the effects of hyper-parameters, repeated tests seeded with different parts of the training dataset could provide a more accurate analysis of the average behaviour of the Artificial Neural Networks.
- Performing data augmentation to expand the size of the training datasets, such as by shifting, rotating, cropping and zooming into training images or by adding noise to training images to produce more.
- Exploring Convolutional Neural Networks.
- Utilising a standardized file format for storing trained Artificial Neural Networks, so that they can be integrated with other machine learning libraries.