

## 7 2026-01-28 | Week 03 | Lecture 07

This lecture is based on section 2.3 in the textbook.

### 7.1 Review of multiplication principle

**Definition 19** (*k-tuple*). A *k-tuple* is an ordered list of  $k$  objects.

**Theorem 20** (Multiplication Principle). Suppose  $S$  consist of  $k$ -tuples and there are  $n_1$  choices for the first element,  $n_2$  choices for the second, etc. Then there are

$$n_1 \times n_2 \times \cdots \times n_k$$

possible  $k$ -tuples.

### 7.2 The permutation principle and the combination principle

**Proposition 21** (Counting permutations). The total number of ways to order  $n$  distinct objects is

$$n! = n \times (n - 1) \times (n - 2) \times \cdots \times 2 \times 1.$$

(Note that we define  $0! = 1$ .)

**Definition 22.** A *set* is an *unordered* collection of elements, all of which are *distinct*. A *permutation* is an ordered subset. An unordered subset is called a *combination*.

**Theorem 23** (The permutation principle). The number of permutations of size  $k$  that can be formed from  $n$  objects is

$$(n)_k := n \cdot (n - 1) \cdot (n - 2) \cdots (n - k + 1) = \frac{n!}{(n - k)!}.$$

There are  $k$  terms in this product. (The book uses the notation  $P_{k,n}$  instead of  $(n)_k$ .)

*Proof.* Suppose our  $n$  objects are  $n$  balls in an urn, labeled  $1, \dots, n$ . We draw  $n$  balls sequentially, each ball drawn is left out of the urn. The number permutations is the number of ways that  $k$  balls can be drawn out of the urn. We are dealing with ordered  $k$ -tuples

$$(a_1, \dots, a_k)$$

where  $a_1, \dots, a_k \in \{1, \dots, n\}$  are integers between 1 and  $n$  that must all be different. (Obviously,  $k \leq n$  since there are  $n$  balls in the urn and we seek to draw out  $k$  of them).

For the first ball, we have  $n$  choices. For the second ball we have  $n - 1$  choice (since there remain only  $n - 1$  balls in the urn after our first draw). For the third ball we have  $n - 2$  choices, and so forth. By the  $k^{\text{th}}$  ball (our final draw), there are  $n - k + 1$  balls to choose from in the urn.

Therefore, by the multiplication principle,

$$\text{the number of permutations} = n \cdot (n - 1) \cdot (n - 2) \cdots (n - k + 1) = \frac{n!}{(n - k)!}.$$

□

**Theorem 24** (The combination principle). Let  $S$  be a set with  $n$  elements. The number of (unordered) subsets of size  $k$  is

$$\binom{n}{k} = \frac{n!}{k!(n - k)!}$$

*Proof.* To understand why the formula of Theorem 24 is correct, we begin by making two observations:

- **Observation 1.** For every unordered subset of size  $k$ , there are  $k!$  ways to order it by Proposition 17. Therefore the following equation holds:

$$k! \times (\text{number of unordered subsets of size } k) = (\text{number of ordered subsets of size } k)$$

- **Observation 2.** The permutation principle (Theorem 23) tells us that

$$(\text{number of ordered subsets of size } k) = \frac{n!}{(n-k)!}.$$

We now put these two observations together. Let  $X$  and  $Y$  be the following quantities:

$$\begin{aligned} X &= (\text{number of ordered subsets of size } k) \\ Y &= (\text{number of unordered subsets of size } k). \end{aligned}$$

Then

$$\begin{aligned} k! \cdot Y &= X && \text{by Observation 1} \\ &= \frac{n!}{(n-k)!} && \text{by Observation 2} \end{aligned}$$

Dividing both sides by  $k!$  gives

$$Y = \frac{n!}{k!(n-k)!},$$

and this proves Theorem 24.  $\square$

### 7.3 Examples of the three combinatorial principles

**Example 25.** A dessert company has 32 distinct desserts on its menu: 6 types of pie, 9 types of cake, and 17 flavors of ice cream.

- (a) **Question:** After purchasing 4 different desserts, a customer decides he will eat them all in a row, and he cares about the order in which he eats them. How many different ways can he eat his 4 desserts?

**Solution:** Here we are counting the number of ways to order set of four objects. This number is  $4!$  by Proposition 17.

- (b) **Question:** How many ways are there for the customer to choose four desserts and then eat them in a particular order of his choice?

**Solution 1:** By the combination principle, there are  $\binom{32}{4}$  combinations of desserts that the customer could choose. Moreover, by part (a), for each combination of 4 desserts, he can order them  $4!$  ways. Therefore by the multiplication principle, there are

$$\binom{32}{4} \times 4! = 32 \cdot 31 \cdot 30 \cdot 29$$

ways that the customer could choose and then eat four desserts.

**Solution 2:** Another solution would be to note that we want to count the number of permutations of size  $k = 4$  from a set of  $n = 32$  distinct objects. (Think: the customer is selecting 4 desserts from an urn with 32 distinct desserts in it). By the permutation principle, this number is

$$(32)_4 = 32 \cdot 31 \cdot 30 \cdot 29$$

which agrees with our answer from solution 1.

(c) **Question:** If 9 desserts are randomly selected, how many ways are there to obtain 3 deserts of each type (pie, cake, and ice cream)?

**Solution:** For pie, there are  $\binom{6}{3}$  combinations possible. For cake, there are  $\binom{9}{3}$  combinations possible, and for ice cream, there are  $\binom{17}{3}$ .

By the multiplication principle, there are

$$\binom{6}{3} \times \binom{9}{3} \times \binom{17}{3}$$

ways to simultaneously select 3 pies, 3 cakes, and 3 ice cream flavors.

End of Example 25.  $\square$