# **Preliminary Research Justification**

## Extending Transformer-Based Inverse Models for FD-DOT

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## 1. Methodological Justifications

#### 1.1 Randomising Source-Detector Geometry

The original work by Dale introduced a transformer-based DOT model capable of real-time imaging under freehand scanning conditions. However, while the scan path was allowed to vary freely, the underlying source—detector (SD) geometry remained fixed during training. This simplification served to reduce computational complexity and preserve architectural consistency, but it implicitly encouraged the model to learn layout-specific mappings between measurements and tissue reconstructions. As a result, the trained model risks overfitting to a single probe configuration, limiting its ability to generalise across different devices, probe layouts, or clinical scenarios.

To address this limitation, this project introduces SD geometry randomisation during training — varying both the separation distances and angular orientations of the SD pairs within each sample. This strategy forces the model to learn a layout-invariant inverse mapping, grounded in the physics of photon transport rather than in memorised geometric patterns. By encountering a wide range of measurement geometries during training, the network becomes robust to variations in scanning hardware, positioning, and scan protocols. Randomising geometry also lays the necessary foundation for spatial-context-aware learning, ensuring the model can properly interpret tissue structure across multiple geometrical configurations — a critical step toward developing a reusable foundation model for generalisable FD-DOT.

#### 1.2 Incorporating Spatial Tissue Context

In conventional DL-DOT models, each source—detector measurement is treated as an isolated signal characterised primarily by its amplitude, phase, and positional metadata. However, this approach overlooks a key factor in optical image reconstruction: the tissue lying between the source and detector significantly influences the light's propagation, attenuation, and scattering behaviour. Two measurements with identical geometry can yield very different signals if one passes through a tumour while the other passes through healthy tissue. Without knowledge of this intervening anatomical context, the model must infer it purely from the FD signal — a difficult and ambiguous task, especially in heterogeneous tissue environments.

To address this, we propose augmenting each measurement token with spatial tissue context — in the form of a local image patch or voxel block extracted from the region between the

source and detector. This additional prior provides the model with explicit information about the tissue structure the light has traversed, allowing it to reason more accurately about how the signal relates to the underlying optical properties. It enhances the network's interpretability and reduces reliance on the FD signal alone. Moreover, this anatomical context can help disambiguate measurements that may otherwise appear similar due to noise or geometric alignment. In combination with transformer-based measurement encoding and geometry randomisation, spatial tissue context makes the inverse model more physically grounded, robust to domain shift, and ultimately more clinically applicable.

## 2. Research Pipeline and Roadmap

Having justified the inclusion of both randomised source—detector geometry and spatial tissue context, we now describe the proposed implementation pathway in detail. The roadmap below outlines what will be implemented, how it will be evaluated, and why each step is critical for developing a reusable foundation model for FD-DOT.

#### **Stage 1: Baseline Reproduction**

*Objective:* Recreate the transformer-based DL-DOT model described in Dale's work, using fixed SD geometry and no anatomical context.

We begin with a simplified training environment using synthetic 2D tissue phantoms (e.g.,  $256 \times 256$  pixels), generated with NIRFAST. Tumour inclusions will be placed randomly within the domain, and a fixed probe layout will be used — for example, SDS separations of 20 mm, 30 mm, and 40 mm. The forward model will simulate frequency-domain (FD) light transport at multiple wavelengths (e.g., 690 nm and 830 nm), generating both amplitude and phase components for each SD pair.

Each token will be formed from the source and detector coordinates  $(x_s,y_s),(x_d,y_d)$  and the corresponding FD measurements:

Inputs to the model will consist of a separate token for each SD pair and each wavelength. That is, for every SD pair, we construct two tokens — one for each wavelength (e.g., 690 nm and 830 nm). Each token includes:

Token<sub>i,
$$\lambda$$</sub> = [ $x_s, y_s, x_d, y_d; A_{\lambda}, \phi_{\lambda}$ ]

where  $(x_s, y_s)$  and  $(x_d, y_d)$  denote the coordinates of the source and detector respectively, and  $A_{\lambda}, \phi_{\lambda}$  are the amplitude and phase values at wavelength  $\lambda$ .

This formulation ensures that each wavelength-specific signal is treated independently, allowing the transformer to learn how different wavelengths interact with tissue without entangling them prematurely. It also supports modular extension to additional wavelengths in future versions of the model.

These tokens are passed into a transformer encoder that learns global relationships across all SD measurements. The latent representation is then decoded via a CNN into the output volumes for  $\mu_a$  and  $\mu_s'$ .

The model will be trained with a composite loss combining voxel-wise RMSE and Dice coefficient:

$$\mathcal{L}_{\mathsf{baseline}} = \lambda_{\mathsf{MSE}} \cdot \mathsf{RMSE}(\hat{\mu}_a, \mu_a) + \lambda_{\mathsf{Dice}} \cdot \mathsf{Dice}(\hat{\mu}_a, \mu_a)$$

This stage acts as a reference implementation and provides a performance baseline for comparison against subsequent stages.

#### Stage 2: Randomised Source–Detector Geometry

*Objective:* Improve generalisation by training the model over a broader range of SDS distances and coordinate placements.

Here, we move beyond fixed probe layouts by introducing geometric variation in the source and detector positions. Each training example will sample source and detector locations randomly within the valid tissue boundary. The SDS distance d will be drawn from a uniform distribution, e.g.,  $d \sim \mathcal{U}(10\,\mathrm{mm}, 50\,\mathrm{mm})$ . The orientation will remain fixed — that is, sources and detectors will always be positioned perpendicular to the tissue surface, in accordance with NIRFAST's assumptions. This means the angle  $\theta$  will not be varied, and the vertical incident assumption will be preserved.

This modification removes the model's dependence on any single probe layout, forcing it to learn spatially invariant mappings from arbitrary SD pairings to tissue property reconstructions. It also reflects more realistic variation in clinical probe placement, without violating simulation constraints.

## **Stage 3: Integrating Localised Tissue Context**

Objective: Improve reconstruction fidelity by explicitly encoding the anatomical structure surrounding each source and detector.

Rather than relying on signal and geometry alone, we introduce local anatomical information to each SD token. Specifically, for each SD pair, we extract two independent tissue patches from the  $\mu_a$  and/or  $\mu_s'$  maps — one centred around the source coordinates, and the other around the detector. Each patch (e.g.,  $32\times32$  pixels) captures local optical heterogeneity, allowing the model to infer how tissue structure affects light propagation.

Each patch is encoded using a shared CNN encoder:

$$\phi_s = \phi(\mathsf{patch}_{\mathsf{source}}), \quad \phi_d = \phi(\mathsf{patch}_{\mathsf{detector}})$$

These are concatenated to form a context embedding:

$$\phi_{\text{context}} = [\phi_s; \phi_d]$$

The final input token becomes:

Token<sub>i</sub> = 
$$[x_s, y_s, x_d, y_d; \mathbf{x}_i; \phi_{context}]$$

This approach allows the model to reason about photon-tissue interactions in a more physically grounded manner, especially in complex or ambiguous regions. It also promotes robustness to noise, spatial distortions, and measurement variance by anchoring the input to known tissue structure.

## **Stage 4: Transfer Learning and Domain Adaptation (Optional)**

*Objective:* Evaluate the reusability of the trained inverse model across new tissue domains, probe types, or anatomical targets.

Once the full model has been trained with geometry-randomised, context-enriched inputs, we explore its adaptability to more realistic use cases. Fine-tuning will be performed on MRI-derived breast or brain tissue volumes, using a small number of new training samples. Lower layers of the transformer and patch encoder may be frozen, with only the CNN decoder and later attention blocks updated.

This strategy allows the model to serve as a general-purpose inverse solver with minimal overhead for domain-specific deployment. If successful, it could pave the way for robust clinical applications using heterogeneous imaging setups.

Possible extensions include zero-shot evaluation, multi-domain finetuning, or uncertainty-aware learning strategies to further evaluate model robustness.