

# Evaluation

Rule 1: Do not write rules for things that do not evaluate

Rule 2: Each rule should capture exactly one step in the evaluation process

Rule 3: Evaluate subterms before terms

Rule 4: No term should have more than one matching inference rule

$t:: = true \mid false \mid (if\ t\ t\ t)$

$v:: = true \mid false$

$$\frac{}{(if\ true\ t_t\ t_e) \rightarrow t_t}$$

$$\frac{}{(if\ false\ t_t\ t_e) \rightarrow t_e}$$

$$\frac{t_c \rightarrow t'_c}{(if\ t_c\ t_t\ t_e) \rightarrow (if\ t'_c\ t_t\ t_e)}$$

A term is in normal form if no evaluation rule applies to it

A term is a value if it represents the conclusion of a computation

Every value is a normal form

Satisfies - for each instance of a rule either the conclusion is in a relation or one of its preconditions is not

One-step evaluation relating  $(t \rightarrow t')$  - the smallest operation satisfying all rules

Derivable - result of evaluation

Multi step:

$$\frac{}{t \rightarrow^* t} \text{ ERefI}$$

$$\frac{t \rightarrow t'}{t \rightarrow^* t'} \text{ EStep}$$

$$\frac{t \rightarrow^* t' \quad t' \rightarrow t''}{t \rightarrow^* t''} \text{ ETrans}$$

$$\frac{}{pred\ 0 \rightarrow 0} \text{ EPredZero}$$

$$\frac{}{pred\ (succ\ nv_1) \rightarrow nv_1} \text{ EPredSucc}$$

$$\frac{t_1 \rightarrow t'_1}{pred\ t_1 \rightarrow pred\ t'_1} \text{ EPred}$$

Binding instance - where variable is declared

Bound instance - variable used in scope

Free instance - variable used outside of scope

Combinator - lambda with no free variables

Redux - lambda that can be reduced (value)

Reducible form - evaluable

$$\begin{aligned}
& ((\lambda w. w)(\lambda x. x))((\lambda y. y)(\lambda z. z)) \\
& \rightarrow ((\lambda w. w)(\lambda x. x))(\lambda z. z) \\
& \rightarrow (\lambda x. x)(\lambda z. z) \\
& \rightarrow (\lambda z. z)
\end{aligned}$$

## Church Encoding

$$\begin{aligned}
true &= \lambda x. \lambda y. x \\
false &= \lambda x. \lambda y. y \\
and &= \lambda x. \lambda y. x y x \\
or &= \lambda x. \lambda y. x x y \\
not &= \lambda x. x false true \\
cons &= \lambda l. \lambda r. \lambda c. c l r \\
((cons v_l) v_r) &= \lambda l. \lambda r. \lambda c. c l r \\
&\rightarrow \lambda r. \lambda c. c v_l r \\
&\rightarrow \lambda c. c v_l v_r \\
succ &= \lambda n. \lambda s. \lambda z. s (n s z) \\
0 &= \lambda s. \lambda z. z \\
1 &= \lambda s. \lambda z. s z \\
2 &= \lambda s. \lambda z. s (s z) \\
plus &= \lambda m. \lambda n. \lambda s. \lambda z. (m S (n S) Z) \\
&(\lambda s. \lambda z. (s(s(s z))))(\lambda s. \lambda z. (s(s z))) \\
&\rightarrow \lambda s. \lambda z. ((\lambda z. (s(s z))))(((s(s(s z))))s)s) \\
&\rightarrow \lambda s. \lambda z. ((\lambda z. (s(s z))))(((s(s(s z)))))) \\
&\rightarrow \lambda s. \lambda z. (s(s(s(s(s z))))) \\
times &= \lambda m. \lambda n. \lambda s. \lambda z. m (n s z) z \\
\llbracket t_1 + t_2 \rrbracket &= \llbracket t_1 \rrbracket + \llbracket t_2 \rrbracket \\
\llbracket true \rrbracket &= \lambda a. \lambda b. a \\
\llbracket if t_1 then t_2 else t_3 \rrbracket &= ((\llbracket t_1 \rrbracket \llbracket t_2 \rrbracket) \llbracket t_3 \rrbracket) \\
\llbracket a + b \rrbracket &= \lambda s. \lambda z. (\llbracket a \rrbracket S (\llbracket b \rrbracket S) Z) \\
F &= \lambda g. \lambda z. if z = 0 then 1 else z * (g(z - 1)) \\
Y &= (\lambda f. (\lambda x. (f(x x))))(\lambda x. (f(x x))) \\
YF 3 &= F(YF)3 = \\
&\lambda f. \lambda x. (if x == 0 then 1 else x * f(x - 1))(YF)3 \\
&\lambda x. (if x == 0 then 1 else x * (YF)(x - 1))3 \\
&if 3 == 0 then 1 else 3 * (YF)(3 - 1) = 3 * (YF)2
\end{aligned}$$

# Simply Typed Lambda

$$\frac{}{0:Nat} TZero$$

$$\frac{}{true:Bool} TTrue$$

$$\frac{}{false:Bool} TFalse$$

$$\frac{t:Nat}{succ\ t:Nat} TSucc$$

$$\frac{t_1:Bool\ t_2:T\ t_3:T}{(if\ t_1\ t_2\ t_3):T} TIf$$

$$T::= T \rightarrow T | Bool$$

$$(\lambda x: Bool. x): Bool \rightarrow Bool$$

$$(((\lambda x: Bool. x): Bool \rightarrow Bool) true): Bool$$

$$\frac{t_1:T \rightarrow T_2\ t_2:T_1}{(t_1\ t_2):T_2} TApp$$

The context of a term  $\Gamma$  is a list of all bound variables and their types

$$\Gamma = [(x \mapsto Bool \rightarrow Bool), (y \mapsto Bool)]$$

$$\frac{(x \mapsto T) \in \Gamma}{\Gamma \vdash x:T} TVar$$

$$\frac{((x \mapsto T_1), \Gamma \vdash t_2:T_2)}{\Gamma \vdash \lambda x:T_1. t_2:T_1 \rightarrow T_2} TLambda$$

$$\frac{\frac{\frac{x \mapsto Bool \rightarrow Bool \in \Gamma}{\Gamma \vdash x: Bool \rightarrow Bool} \quad \frac{y \mapsto Bool \in \Gamma}{\Gamma \vdash y: Bool}}{[y \mapsto Bool, x \mapsto (Bool \rightarrow Bool)] \vdash (x\ y): Bool} \quad \frac{[x, \mapsto (Bool \rightarrow Bool)] \vdash \lambda y: Bool. (x\ y): Bool \rightarrow Bool}{\emptyset \vdash \lambda x: Bool \rightarrow Bool. \lambda y: Bool. (x\ y): (Bool \rightarrow Bool) \rightarrow (Bool \rightarrow Bool)}$$

$$t::= \lambda x: T. t \mid (tt) \mid x$$

$$v::= \lambda x: T. t$$

$$T::= T \rightarrow T$$

$$\Gamma::= \emptyset \mid (x \mapsto T), \Gamma$$

$$\frac{}{(\lambda: T_{11}. t_{12}. v_2) \rightarrow [x \mapsto v_2] t_{12}} Beta \quad \# \text{ Beta reduction}$$

$$\frac{t_1 \rightarrow t_1'}{t_1\ t_2 \rightarrow t_1'\ t_2} LambdaT1$$

$$\frac{t_2 \rightarrow t_2'}{v_1\ t_2 \rightarrow v_1\ t_2'} LambdaT2$$

$$\frac{z:T\ \lambda x:T. t:T \rightarrow R}{((\lambda x. T. t) z):R}$$

Progress:

$\Gamma \vdash (if\ t_1\ t_2\ t_3): T$  - assume progress for  $t_1, t_2, t_3$ .  $\Gamma \vdash t_1: Bool$  by type inversion. Canonical forms

gives:

$t_1 = true$  then  $ElfTrue$  applies and we take step

$t_1 = false$  then  $ElfFalse$  applies and we take step

$t_1 \mapsto t_1'$  then  $Elf$  applies and we take a step

Proof tools:

Induction over type derivations (looking at each type rule)

Canonical forms (type tells us values)

Unique types (only one type per term)

Induction hypothesis (parts imply the whole)

Type inversion (whole implies the parts)

*if  $(if\ t_1\ t_2\ t_3): R$  then  $t_1: Bool$ ,  $t_2: R$ , and  $t_3: R$*

*if  $\Gamma \vdash (if\ t_1\ t_2\ t_3): R$  then  $\Gamma \vdash t_1: Bool$  and  $\Gamma \vdash t_2, t_3: R$*

Progress + Preservation = Safety (soundness)

Progress - a well-typed term is value or reducible

Preservation - type does not change in evaluation for well-typed

$t: T \rightarrow t': T$

|                   |                             |                               |                               |              |
|-------------------|-----------------------------|-------------------------------|-------------------------------|--------------|
|                   |                             | Evaluation +<br>Induction Hyp |                               |              |
|                   | $t_1: T_1, t_2: T_2, \dots$ | $\rightarrow$                 | $t'_1: T_1, t'_2: T_2, \dots$ |              |
| Type<br>Inversion | $\uparrow$                  |                               | $\downarrow$                  | Type<br>Rule |
|                   | $t: T$                      | $\rightarrow$                 | $t': T$                       |              |
|                   |                             | Evaluation                    |                               |              |

$t_1: T_2 \rightarrow T_1 \quad t_2: T_2 \Rightarrow t'_1: T_2 \rightarrow T_1 \quad t'_2: T_2 \Downarrow$   
 $\Uparrow (t_1, t_2): T \Rightarrow t: T \quad (t'_1\ t'_2): T_1$

## Extension

There are three primary ways to enrich a language:

2. Derived Forms - Add new syntax and new types defined in terms of existing constructs.

3. Libraries - Add new functionality by using the language to define new reusable constructs.

1. Extension - Add new syntax, new values, new types, and associated inference rules. (eval, type, progress, preservation, determinicity)

Syntax

$T:: = \dots | A | T \rightarrow T$

$t:: = \dots | unit$

$v:: = \dots | unit$

$T:: = \dots | Unit$

$t:: = \dots | let\ x = t\ in\ t$

Type rules

$\Gamma \vdash unit: Unit$

$\frac{\Gamma \vdash t_1: Unit \quad \Gamma \vdash t_2: T}{\Gamma \vdash t_1; t_2: T} TSeq$

$\frac{\Gamma \vdash t_1: T_1 \quad (x \mapsto T_1), \Gamma \vdash t_2: T_2}{\Gamma \vdash let\ x = t_1\ in\ t_2: T} TLet$

Evaluation Rules

$$\frac{t_1 \rightarrow t_1'}{t_1; t_2 \rightarrow t_1'; t_2} \text{ESeq1}$$

$$\frac{}{unit; t_2 \rightarrow t_2} \text{ESeqUnit}$$

$$\frac{}{let\ x=v_1\ in\ t_2 \rightarrow [x \mapsto v_1] t_2} \text{ELetV}$$

$$\frac{t_1 \rightarrow t_1'}{let\ x=t_1\ in\ t_2 \rightarrow let\ x=t_1'\ in\ t_2} \text{ELet}$$

Derived Forms

$$let\ x = t_1\ in\ t_1 \equiv ((\lambda: T. t_2)\ t1)$$

$$\frac{a}{b} \Rightarrow a \vdash b$$