Lecture 17

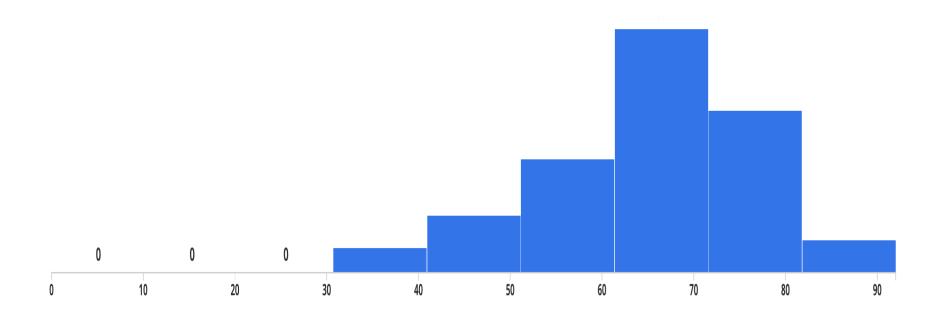
## EECS 483: COMPILER CONSTRUCTION

#### **Announcements**

- HW4: OAT v.1.0
  - Parsing & translation to LLVM IR
  - Helps to start early!
  - Due: Tuesday, March 26<sup>th</sup>
- Professor New (Me): taking family leave soon, guest lectures starting in 1 or 2 weeks

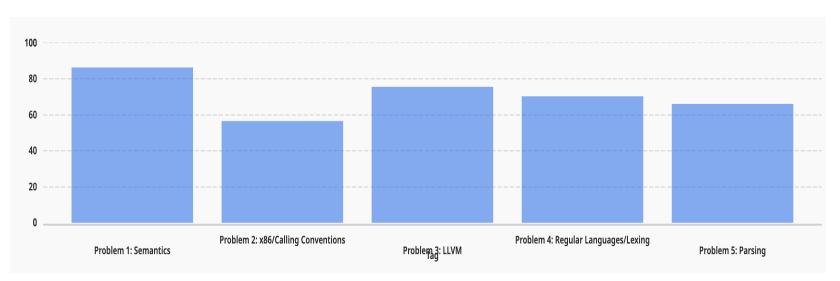
#### **Midterm**

- Average: 65.26/92 = 70.9%
- Median: 66.25/92 = 72.0%
- Std. Dev.: = 13%
- Will curve median grade to B+



#### Midterm

- Breakdown by question
  - All Qs received at least one perfect score answer
  - Lots of partial credit
  - Questions with lowest median:
    - Parsing T/F Questions
    - CBPV function call
    - LLVM DAGs vs Trees
    - Bounding number of states in NFA -> DFA conversion
    - LL(1) grammar



Scope, Types, and Context

#### **SEMANTIC ANALYSIS**

#### **Compilation in a Nutshell**

```
Source Code
(Character stream)
if (b == 0) { a = 1; }

Token stream:

if ( b == 0 ) } { a = 0 ; }

Parsing

Abstract Syntax Tree:

Intermediate code:

Analysis &
```

```
11:
    %cnd = icmp eq i64 %b,
0
    br i1 %cnd, label %12,
label %13
12:
    store i64* %a, 1
    br label %13
13:
```

Transformation

**Backend** 

#### **Assembly Code**

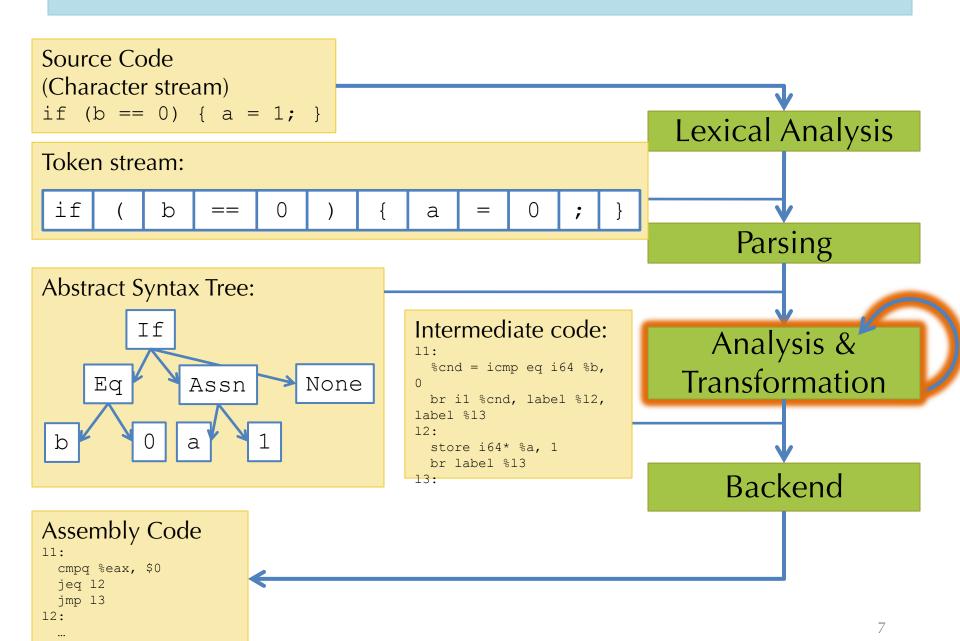
Εq

Assn

None

```
11:
    cmpq %eax, $0
    jeq 12
    jmp 13
12:
    ...
```

#### Most of the Remainder of the Course



## **Static Program Analysis**

- Static means the program is analyzed at compile-time
- Used for two main purposes in the compiler:
  - Last stage of the frontend: "Type checking" or "Semantic Analysis"
    - Not every program that passes parsing is valid

```
- int main() { return x; }
- int main() { return "hello world"; }
```

- If the type checker fails, the program is rejected, like a parse error
- After the program passes the frontend, we consider it well-formed and will compile it.
- During optimization: "static analysis"
  - We can do more optimizations if we know more about the program
  - Are these equivalent programs?

```
- int main() { int y = f(); return 0; }
- int main() { return 0; }
```

- We can optimize the first to the second if we establish that f is side-effect free.
- Since they take place after the frontend, the analysis never rejects the program
- Next few weeks: type checking, after that optimization and analyis

## **Variable Scoping**

- Consider the problem of determining whether a programmer-declared variable is in scope.
- Issues:
  - Which variables are available at a given point in the program?
  - Shadowing is it permissible to re-use the same identifier, or is it an error?
- Example: The following program is syntactically correct but not wellformed. (y and q are used without being defined anywhere)

```
int fact(int x) {
  var acc = 1;
  while (x > 0) {
    acc = acc * y;
    x = q - 1;
    }
  return acc;
}
```

Q: Can we solve this problem by changing the parser to rule out such programs?

## **Type Checking as Grammar**

	Specification	Implementation
Lexing	Regular Expressions	DFA
Parsing	CFG LL(1) grammars LR(1) grammars	Pushdown automata Recursive descent Shift/reduce parser
Type checking	Inference rules	Manual recursive descent Constraint solving

#### **Inference Rules**

- We can read a judgment G ⊢ e as "the expression e is well scoped and has free variables in G"
- For any environment G, expression e, and statements  $s_1$ ,  $s_2$ .

$$G \vdash if (e) s_1 else s_2$$

holds if  $G \vdash e$  and  $G \vdash s_1$  and  $G \vdash s_2$  all hold.

More succinctly: we summarize these constraints as an inference rule:

Premises 
$$G \vdash e \qquad G \vdash s_1 \qquad G \vdash s_2$$

Conclusion  $G \vdash if (e) s_1 \text{ else } s_2$ 

• Such a rule can be used for *any* substitution of the syntactic metavariables G, e,  $s_1$  and  $s_2$ .

## **Judgments**

- A *judgment* is a (meta-syntactic) notation that *names* a relation among one or more sets.
  - The sets are usually built from object-language syntax elements and other "math" sets (e.g., integers, natural numbers, etc.)
  - We usually describe them using metavariables that range over the sets.
  - Often use domain-specific notation to ease reading.
  - The meaning of judgments, *i.e.*, which sets they represent, is defined by (collections of) inference rules
- Example: When we say "G ⊢ e is a judgment where G is a context of variables and e is a term, defined by these [...] inference rules" that is shorthand for this "math speak":
  - Let Var be the set of all (syntactic) variables
  - Let Exp be the set {e | e is a term of the untyped lambda calculus}
  - Let  $\mathcal{P}(Var)$  be the (finite) powerset of variables (set of all finite sets)
  - Define well-scoped  $\subseteq (\mathcal{P}(Var), Exp)$  to be a relation satisfying the properties defined by the associated inference rules [...]
  - Then "G  $\vdash$  e" is notation that means that (G, e) ∈ well-scoped

#### **Scope-Checking Lambda Calculus**

- Consider how to identify "well-scoped" lambda calculus terms
  - Given: G, a set of variable identifiers, e, a term of the lambda calculus
  - Judgment:  $G \vdash e$  "the free variables of e are included in G"

$$x \in G$$

$$G \vdash x$$

"the variable x is free, but in scope"

$$\frac{G \vdash e_1 \qquad G \vdash e_2}{G \vdash e_1 e_2}$$

"G contains the free variables of e<sub>1</sub> and e<sub>2</sub>"

$$G \cup \{x\} \vdash e$$
  
 $G \vdash \text{fun } x \rightarrow e$ 

"x is available in the function body e"

## **Scope-checking Code**

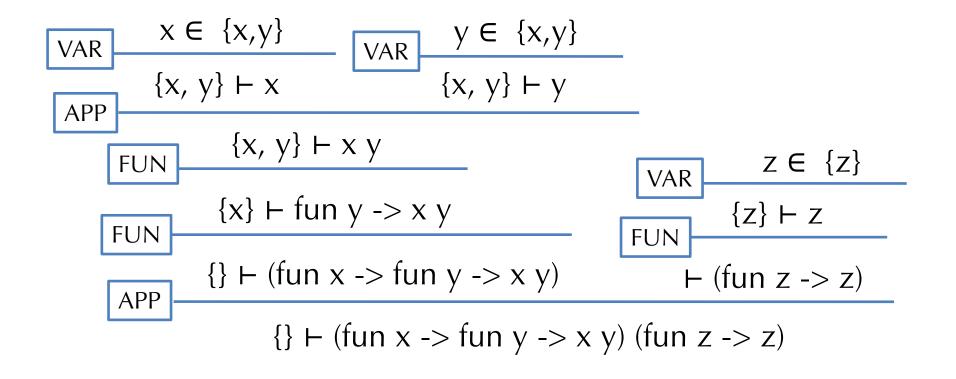
- Compare the OCaml code to the inference rules:
  - structural recursion over syntax
  - the check either "succeeds" or "fails"

```
let rec scope_check (g:VarSet.t) (e:exp) : unit =
  begin match e with
  | Var x -> if VarSet.member x g then () else failwith (x ^ "not in scope")
  | App(e1, e2) -> ignore (scope_check g e1); scope_check g e2
  | Fun(x, e) -> scope_check (VarSet.union g (VarSet.singleton x)) e
  end
```

$$x \in G$$
  $G \vdash e_1$   $G \vdash e_2$   $G \cup \{x\} \vdash e$   $G \vdash x$   $G \vdash e_1 e_2$   $G \vdash fun x \rightarrow e$  
$$G \vdash x$$
 
$$APP$$
 
$$FUN$$

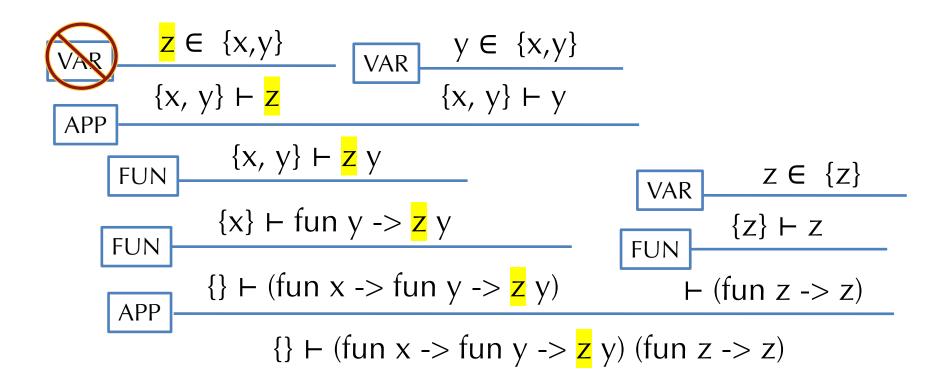
- The inference rules are a *specification* of the intended behavior of this scope checking code.
  - they don't specify the order in which the premises are checked

#### **Example Derivation Tree**



- Note: the OCaml function scope\_check verifies the existence of this tree. The structure of the recursive calls when running scope\_check is the same shape as this tree!
- Note that  $x \in E$  is implemented by the function VarSet.mem

#### **Example Failed Derivation**



- This program is *not* well scoped
  - The variable z is not bound in the body of the left function.
  - The typing derivation fails because the VAR rule cannot succeed
  - (The other parts of the derivation are OK, though!)

#### Uses of the inference rules

- We can do proofs by induction on the structure of the derivation.
- For example:

**Lemma:** If  $G \vdash e$  then  $fv(e) \subseteq G$ .

Proof.

By induction on the derivation that  $G \vdash e$ .

x ∈ G

 $G \vdash x$ 

- case: VAR then we have e = x (for some variable x) and  $x \in G$ . But  $fv(e) = fv(x) = \{x\}$ , but then  $\{x\} \subseteq G$ .
- case: APP then we have  $e = e_1 e_2$  (for some  $e_1 e_2$ ) and, by induction, we have  $fv(e_1) \subseteq G$  and  $fv(e_2) \subseteq G$ , so  $fv(e_1 e_2) = fv(e_1) \cup fv(e_2) \subseteq G$

$$\frac{G \vdash e_1 \quad G \vdash e_2}{G \vdash e_1 e_2}$$

$$G \cup \{x\} \vdash e_1$$

- case: FUN then we have  $e = (fun \ x \rightarrow e_1)$  for some x,  $e_1$  and, by induction, we have  $fv(e_1) \subseteq G \cup \{x\}$ , but then we also have  $fv(fun \ x \rightarrow e_1) = fv(e_1) \setminus \{x\} \subseteq ((G \cup \{x\}) \setminus \{x\}) \subseteq G$ 

 $G \vdash \mathsf{fun} \ x \to e_1$ 

```
 fv(x) = \{x\} 
fv(fun x \rightarrow exp) = fv(exp) \setminus \{x\}  ('x' is a bound in exp)
fv(exp_1 exp_2) = fv(exp_1) \cup fv(exp_2)
```

See tc.ml

# STATICALLY RULING OUT PARTIALITY: TYPE CHECKING

## **Adding Integers to Lambda Calculus**

```
\begin{array}{lll} exp ::= & & & & & & & \\ & | & n & & & & \\ & | exp_1 + exp_2 & & & & \\ & | binary \ arithmetic \ operation \\ & val ::= & & & \\ & | fun \ x \ -> exp & & functions \ are \ values \\ & | n & & integers \ are \ values \\ & | n & & integers \ are \ values \\ & (e_1 + e_2)\{v/x\} & = (e_1\{v/x\} + e_2\{v/x\}) & substitute \ everywhere \\ \end{array}
```

$$\exp_1 \Downarrow n_1 \exp_2 \Downarrow n_2$$
 $\exp_1 + \exp_2 \Downarrow (n1 [+] n_2)$ 
Object-level '+'

Meta-level '+'

**NOTE:** there are no rules for the case where exp1 or exp2 evaluate to functions! The semantics is *undefined* in those cases.

## **Type Checking / Static Analysis**

Recall the interpreter from the Eval3 module:

- The interpreter might fail at runtime.
  - Not all operations are defined for all values (e.g., 3/0, 3 + true, ...)
- A compiler can't generate sensible code for this case.
  - A naïve implementation might "add" an integer and a function pointer

## **Type Judgments**

- In the judgment:  $E \vdash e : t$ 
  - E is a typing environment or a type context
  - E maps variables to types. It is just a set of bindings of the form:  $x_1 : t_1, x_2 : t_2, ..., x_n : t_n$
- For example:  $x : int, b : bool \vdash if (b) 3 else x : int$
- What do we need to know to decide whether "if (b) 3 else x" has type int in the environment x : int, b : bool?

```
- b must be a bool i.e. x : int, b : bool \vdash b : bool
```

- 3 must be an int i.e. x : int, b : bool + 3 : int
- x must be an int i.e.  $x : int, b : bool \vdash x : int$

#### Simply-typed Lambda Calculus

• For the language in "tc.ml" we have five inference rules:

VAR  $X:T \in E$   $E \vdash e_1: int$   $E \vdash e_2: int$   $E \vdash i: int$   $E \vdash e_1: int$   $E \vdash e_2: int$ 

FUN

$$E, x : T \vdash e : S$$

$$E \vdash \text{fun } (x:T) \rightarrow e : T \rightarrow S$$

APP

$$E \vdash e_1 : T \rightarrow S \quad E \vdash e_2 : T$$

$$E \vdash e_1 e_2 : S$$

Note how these rules correspond to the code.

## **Type Checking Derivations**

- A *derivation* or *proof tree* has (instances of) judgments as its nodes and edges that connect premises to a conclusion according to an inference rule.
- Leaves of the tree are axioms (i.e. rules with no premises)
  - Example: the INT rule is an axiom
- Goal of the typechecker: verify that such a tree exists.
- Example: Find a tree for the following program using the inference rules on the previous slide:

 $\vdash$  (fun (x:int) -> x + 3) 5 : int

#### **Example Derivation Tree**

```
x : int \in x : int

x : int \vdash x + 3 : int

x : int \vdash x + 3 : int

x : int \vdash x + 3 : int

x : int \vdash x + 3 : int

x : int \vdash x + 3 : int

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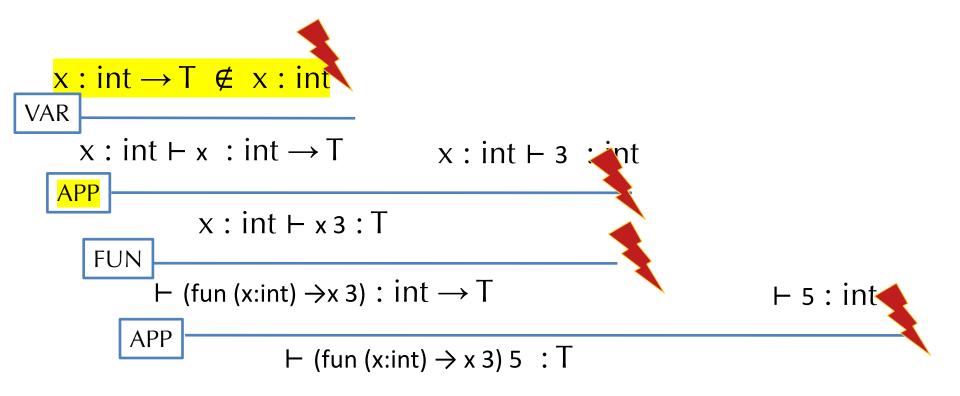
x : int \vdash x + 3 : int
```

- Note: the OCaml function typecheck verifies the existence of this tree. The structure of the recursive calls when running typecheck is the same shape as this tree!
- Note that  $x : int \in E$  is implemented by the function lookup

## **Ill-typed Programs**

Programs without derivations are ill-typed

```
Example: There is no type T such that \vdash (fun (x:int) \rightarrow x 3) 5 : T
```



## **Type Safety**

#### "Well typed programs do not go wrong."

- Robin Milner, 1978

**Theorem:** (simply typed lambda calculus with integers)

If  $\vdash$  e:t then there exists a value v such that e  $\Downarrow$  v.

- Note: this is a very strong property.
  - Well-typed programs cannot "go wrong" by trying to execute undefined code (such as 3 + (fun x -> 2))
  - Simply-typed lambda calculus is guaranteed to terminate!
     (i.e. it isn't Turing complete)

#### Notes about this Typechecker

- The interpreter evaluates the body of a function only when it's applied.
- The typechecker always checks the body of the function
  - even if it's never applied
  - We assume the input has some type (say  $t_1$ ) and reflect this in the type of the function ( $t_1 \rightarrow t_2$ ).
- Dually, at a call site  $(e_1 e_2)$ , we don't know what *closure* we're going to get.
  - But we can calculate  $e_1$ 's type, check that  $e_2$  is an argument of the right type, and determine what type  $e_1$  will return.
- Question: Why is this an approximation?
- Question: What if well\_typed always returns false?

oat.pdf

#### **TYPECHECKING OAT**

## **Checking Derivations**

- A *derivation* or *proof tree* has (instances of) judgments as its nodes and edges that connect premises to a conclusion according to an inference rule.
- Leaves of the tree are <u>axioms</u> (i.e. rules with no premises)
  - Example: the INT rule is an axiom
- Goal of the type checker: verify that such a tree exists.
- Example1: Find a tree for the following program using the inference rules in oat.pdf:

```
var x1 = 0;
var x2 = x1 + x1;
x1 = x1 - x2;
return(x1);
```

Example 2: There is no tree for this ill-scoped program:

```
var x2 = x1 + x1;
return(x2);
```

#### **Example Derivation**

```
var x1 = 0;
var x2 = x1 + x1;
x1 = x1 - x2;
return(x1);
```

$$\frac{\mathcal{D}_{1} \quad \mathcal{D}_{2} \quad \mathcal{D}_{3} \quad \mathcal{D}_{4}}{G_{0}; \cdot ; \text{int} \vdash \text{var } x_{1} = 0; \text{var } x_{2} = x_{1} + x_{1}; x_{1} = x_{1} - x_{2}; \text{return } x_{1}; \Rightarrow \cdot, x_{1} : \text{int}, x_{2} : \text{int}}{\vdash \text{var } x_{1} = 0; \text{var } x_{2} = x_{1} + x_{1}; x_{1} = x_{1} - x_{2}; \text{return } x_{1};}$$
[PROG]

#### **Example Derivation**

$$\mathcal{D}_{1} = \frac{\frac{\overline{G_{0}; \cdot \vdash 0 : int}}{\overline{G_{0}; \cdot \vdash 0 : int}} \begin{bmatrix} INT \end{bmatrix}}{\overline{G_{0}; \cdot \vdash var \ x_{1} = 0 \Rightarrow \cdot, x_{1} : int}} \begin{bmatrix} DECL \end{bmatrix}}$$

$$\mathcal{D}_{1} = \overline{G_{0}; \cdot ; int \vdash var \ x_{1} = 0; \Rightarrow \cdot, x_{1} : int}} \begin{bmatrix} SDECL \end{bmatrix}$$

#### **Example Derivation**

$$\mathcal{D}_{3} = \frac{\frac{}{\vdash \text{-}:(\texttt{int},\texttt{int}) \to \texttt{int}} \stackrel{[\texttt{ADD}]}{\vdash \text{-}:(\texttt{int},\texttt{int}) \to \texttt{int}} \stackrel{x_{1}:\texttt{int} \in \cdot, x_{1}:\texttt{int}, x_{2}:\texttt{int}}{G_{0};\cdot, x_{1}:\texttt{int}, x_{2}:\texttt{int} \vdash x_{1}:\texttt{int}} \stackrel{[\texttt{VAR}]}{\vdash \text{-}:(\texttt{int},\texttt{int}) \to \texttt{int}} \stackrel{[\texttt{ADD}]}{\vdash \text{-}:(\texttt{int},\texttt{int}) \to \texttt{int}} \stackrel{x_{1}:\texttt{int}, x_{2}:\texttt{int}}{\vdash x_{1}:\texttt{int}, x_{2}:\texttt{int}} \stackrel{[\texttt{VAR}]}{\vdash \text{-}:(\texttt{int},\texttt{int}) \to \texttt{int}} \stackrel{[\texttt{ADD}]}{\vdash \text{-}:(\texttt{int},\texttt{int}) \to \texttt{-}:(\texttt{int},\texttt{int}) \to \texttt{-}:(\texttt{int},\texttt{int}) \to \texttt{-}:(\texttt{int},\texttt{int}) \to \texttt{-}:(\texttt{int},\texttt{int}) \to \texttt{-}:(\texttt{int},\texttt{int}) \to \texttt{-}:(\texttt{int},\texttt{-}) \to \texttt{-}:(\texttt{-}) \to \texttt{-}:(\texttt$$

$$\mathcal{D}_{4} = \frac{x_{1} : \mathtt{int} \in \cdot, x_{1} : \mathtt{int}, x_{2} : \mathtt{int}}{G_{0}; \cdot, x_{1} : \mathtt{int}, x_{2} : \mathtt{int} \vdash x_{1} : \mathtt{int}} [\mathtt{VAR}] [\mathtt{Ret}]$$

$$\mathcal{D}_{4} = \frac{G_{0}; \cdot, x_{1} : \mathtt{int}, x_{2} : \mathtt{int} \vdash \mathtt{return}}{G_{0}; \cdot, x_{1} : \mathtt{int}, x_{2} : \mathtt{int}} [\mathtt{Ret}]$$

#### **Type Safety For General Languages**

#### **Theorem: (Type Safety)**

```
If \vdash P : t is a well-typed program, then either:
```

- (a) the program terminates in a well-defined way, or
- (b) the program continues computing forever
- Well-defined termination could include:
  - halting with a return value
  - raising an exception
- Type safety rules out undefined behaviors:
  - abusing "unsafe" casts: converting pointers to integers, etc.
  - treating non-code values as code (and vice-versa)
  - breaking the type abstractions of the language
- What is "defined" depends on the language semantics...

## Why Inference Rules?

- They are a compact, precise way of specifying language properties.
  - E.g. ~20 pages for full Java vs. 100's of pages of prose Java Language Spec.
- Inference rules correspond closely to the recursive AST traversal that implements them
- Type checking (and type inference) is nothing more than attempting to prove a different judgment ( E ⊢ e : t ) by searching backwards through the rules.
- Compiling in a context is nothing more than a collection of inference rules specifying yet a different judgment ( G ⊢ src ⇒ target )
  - Moreover, the compilation rules are very similar in structure to the typechecking rules
- Strong mathematical foundations
  - The "Curry-Howard-Lambek correspondence":
    - Programming Language: Logic: Category theory: Order Theory
    - Programs : Proof : Morphism : Inequality
    - Type : Proposition : Object : Element
    - See EECS 490, 590, my 598 if you're interested in type systems!

#### **COMPILING**

#### **Compilation As Translating Judgments**

Consider the source typing judgment for source expressions:

$$C \vdash e : t$$

How do we interpret this information in the target language?

$$[\![C \vdash e : t]\!] = ?$$

- [C] translates contexts
- [t] is a target type
- [e] translates to a (potentially empty) stream of instructions, that, when run, computes the result into some operand
- INVARIANT: if  $[C \vdash e : t] = ty$ , operand, stream then the type (at the target level) of the operand is ty=[t]

#### **Example**

•  $C \vdash 341 + 5 : int$ 

what is 
$$[C \vdash 341 + 5 : int]$$
?

#### What about the Context?

- What is [C]?
- Source level C has bindings like: x:int, y:bool
  - We think of it as a finite map from identifiers to types
- What is the interpretation of C at the target level?
- [C] maps source identifiers, "x" to source types and [x]
- What is the interpretation of a variable [x] at the target level?
  - How are the variables used in the type system?

$$\frac{x:t \in L}{G;L \vdash x:t}$$
 TYP\_VAR as expressions (which denote values)

$$x: t \in L$$
  $G; L \vdash exp: t$   
 $G; L; rt \vdash x = exp; \Rightarrow L$   
as addresses  
(which can be assigned)

#### **Interpretation of Contexts**

• [C] = a map from source identifiers to types and target identifiers

INVARIANT:

```
x:t \in C means that
```

- (1)  $lookup [C] x = (t, \%id_x)$
- (2) the (target) type of %id\_x is [[t]]\* (a pointer to [[t]])

#### **Interpretation of Variables**

Establish invariant for expressions:

What about statements?

$$\boxed{ \begin{array}{c} x : t \in L \quad G ; L \vdash exp : t \\ \hline G ; L ; rt \vdash x = exp ; \Rightarrow L \\ \text{as addresses} \\ \text{(which can be assigned)} \end{array} } = \begin{array}{c} \text{TYP\_ASSN} \\ \text{[store [t]] opn, [t]* \%id\_x]} \\ \text{where } (t, \%id\_x) = \text{lookup [L] } x \\ \text{and [G; L} \vdash exp : t] = ([t], opn, stream) \end{array}$$

## Other Judgments?

Statement:
 [C; rt ⊢ stmt ⇒ C'] = [C'], stream

Declaration:
 [G;L ⊢ t x = exp ⇒ G;L,x:t]] = [G;L,x:t], stream
 INVARIANT: stream is of the form:
 stream' @
 [%id\_x = alloca [t];
 store [t] opn, [t]\* %id\_x ]
 and [G;L ⊢ exp : t] = ([t], opn, stream')

Rest follow similarly

#### **COMPILING CONTROL**

## **Translating while**

- Consider translating "while(e) s":
  - Test the conditional, if true jump to the body, else jump to the label after the body.

```
[C;rt \vdash while(e) s \Rightarrow C'] = [C'],
```

```
lpre:
    opn = [C ⊢ e : bool]
    %test = icmp eq i1 opn, 0
    br %test, label %lpost, label %lbody
lbody:
    [C;rt ⊢ s ⇒ C']
    br %lpre
lpost:
```

- Note: writing opn = [C ⊢ e : bool] is pun
  - translating  $[C \vdash e : bool]$  generates *code* that puts the result into opn
  - In this notation there is implicit collection of the code

## Translating if-then-else

• Similar to while except that code is slightly more complicated because if-then-else must reach a merge and the else branch is optional.

```
[\![C; rt \vdash if (e_1) s_1 else s_2 \Rightarrow C']\!] = [\![C']\!]
```

```
opn = [\![ C \vdash e : bool ]\!]
\% test = icmp \ eq \ i1 \ opn, \ 0
br \ \% test, \ label \ \% else, \ label \ \% then
then:
[\![ C; rt \vdash s_1 \Rightarrow C' ]\!]
br \ \% merge
else:
[\![ C; \ rt \ s_2 \Rightarrow C' ]\!]
br \ \% merge
merge:
```

## **Connecting this to Code**

- Instruction streams:
  - Must include labels, terminators, and "hoisted" global constants
- Must post-process the stream into a control-flow-graph
- See frontend.ml from HW4