

Lecture 12: Initiality of STLC

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Things we need to remember about presheaves:

1. A presheaf is a functor $P : \mathcal{C}^{\text{op}} \rightarrow \text{Set}$
2. A presheaf *is* a universal property
3. An object $x \in \mathcal{C}$ “has” the universal property P if

$$\text{Yoneda } x \cong P$$

4. Equivalently, given a universal element $\eta : P(x)$, then $\eta \circ_p - : \text{Yoneda } x \rightarrow P$ is a natural isomorphism

1 Weak Initiality of $\text{IPL}(\Sigma)$

1. $\text{IPL}(\Sigma)$ form a biHeyting (pre)algebra, “tautological interpretation” is self of Σ in $\text{IPL}(\Sigma)$.
2. For all interpretations σ in a biHeyting prealgebra P ,
 - (a) $\llbracket \cdot \rrbracket : \text{IPL}(\Sigma) \rightarrow P$
 - i. monotone
 - ii. preserves biHeyting algebra structure; sends meets to meets, joins to joins, etc.
 - iii. preserves the interpretation
 - (b) We have a unique such function (up to \leq, \geq)

Syntax	Sound & Complete Model	Typical Model
$\text{STLC}(0, +, 1, \times, \Rightarrow)$	$\text{SCwF}(0, +, 1, \times, \Rightarrow)$	BiCartesian Closed Category
$\text{STLC}(\emptyset)$	SCwF	Cartesian Categories
$\text{STLC}(1, \times, \Rightarrow)$	$\text{SCwF}(1, \times, \Rightarrow)$	Cartesian Closed Category
$\text{STLC}(0, +, 1, \times)$	$\text{SCwF}(0, +, 1, \times)$	Distributive BiCartesian Category
$\text{STLC}(1, \times)$	$\text{SCwF}(1, \times)$	Cartesian Categories

Definition 1 (biCartesian closed category). A biCartesian closed category is a category \mathcal{C} with $\forall A, B \in \mathcal{C}$ we have

- a coproduct, $A + B$, along with inclusion maps σ_1, σ_2
- a product $A \times B$, along with projection maps π_1, π_2
- exponentials $A \Rightarrow B$, along with an evaluation map, $\text{eval} : B^A \times A \rightarrow B$

Initial and terminal objects 1, 0.

- Cartesian: 1, $A \times B$
- coCartesian: 0, $A + B$
- Cartesian closed: 1, $A \times B, A \Rightarrow B$

Definition 2 (Distributive coproducts, initial objects). Let \mathcal{C} be a Cartesian category. A coproduct $A \times B$ in \mathcal{C} is distributive when $\forall C$, we have

$$(A + B) \times C \xleftarrow{\sim} (A \times C) + (B \times C)$$

as an isomorphism. An initial object is given when

$$0 \times C \xleftarrow{\sim} 0$$

is an isomorphism.

Coproduct is a “left-handed” universal property, meaning it’s easy to map *out* of it. We can simply handle cases.

Theorem 1. Every biCartesian closed category is distributive.

Proof. First, let’s show that $0 \times C \xleftarrow{\sim} 0$ is an isomorphism. The Yoneda embedding is fully-faithful, so, it suffices to show that $\text{Yoneda}^{\text{op}}(0 \times C) \xleftarrow{\sim} \text{Yoneda}^{\text{op}}0$. For all $X \in \mathcal{C}$, we have

$$\begin{aligned} \mathcal{C}(0 \times C, X) &\cong \mathcal{C}(0, X^C) \\ &\cong 1 \\ &\cong \mathcal{C}(0, X) \end{aligned}$$

Now, to show that $(A + B) \times C \xleftarrow{\sim} (A \times C) + (B \times C)$ an isomorphism, we have

$$\begin{aligned} \mathcal{C}((A + B) \times C, X) &\cong \mathcal{C}(A + B, X^C) \\ &\cong \mathcal{C}(A, X^C) \times \mathcal{C}(B, X^C) \\ &\cong \mathcal{C}(A \times C, X) \times \mathcal{C}(B \times C, X) \\ &\cong \mathcal{C}((A \times C) + (B \times C), X) \end{aligned}$$

□

Definition 3 (Simple Category with Families). *A simple category with families (SCwF), S consists of*

1. A set S_t of “types”
2. A category S_C of “contexts and substitutions”
3. For every $A \in S_t$, we have a “presheaf of terms”, $\text{Tm}(A)$ on S_C
4. S_C has a terminal object $\bullet \in S_C$
5. $\forall \Gamma \in S_C, A \in S_t$, we have a “product context”, $\Gamma \times A$ such that $\text{Yoneda}(\Gamma \times A) \cong \text{Yoneda } \Gamma \times \text{Tm}(A)$. I.e., $S_C(\Delta, \Gamma \times A) \cong S_C(\Delta, \Gamma) \times \text{Tm}(A)\Delta$.

Let us define a SCwF called $\text{STLC}(\Sigma)(\dots(\text{connectives}))$

1. Types are types of STLC
2. Contexts are (syntactic) contexts Γ . As in PS2, for $\gamma : \Delta \rightarrow \Gamma$, and $\forall(x : A) \in \Gamma$, we have $\Delta \vdash \gamma(x) : A$.
3. $\text{Tm}(A)(\Gamma) := \{M \mid \Gamma \vdash M : A\}$, for $M \in \text{Tm}(A)(\Gamma)$ and $\gamma : \Delta \rightarrow \Gamma$, we have presheaf action $M \circ \gamma := M[\gamma]$
4. We have the terminal context $\Gamma \rightarrow \bullet \cong 1$.
5. Let Γ, A . We want to construct a context, $\Gamma \times A$, such that $(\Delta \rightarrow \Gamma \times A) \cong (\Delta \rightarrow \Gamma) \times (\Delta \vdash \bullet : A)$. We can define $\Gamma \times A := \Gamma, x \in A$ by extending Γ with a free variable of the type A . Given $\gamma : \Delta \rightarrow \Gamma$ and $\Delta \vdash M : A$, we have $\gamma, M/x : \Delta \rightarrow \Gamma, x \in A$.

Let \mathcal{C} be a Cartesian category. We will define a SCwF called $\text{dem}(\mathcal{C})$.

1. Types are objects of \mathcal{C}
2. $\text{dem}(\mathcal{C})_C := \mathcal{C}$
3. $\forall A \in C_0$ we have $\text{dem}(\text{Tm}(A)) = \text{Yoneda}(A) : \text{Psh}(\mathcal{C})$
4. Terminal object, free
5. $\Gamma \times A$, free

Fix a SCwF S .

1. For $A, B \in S_t$, we define a product type $A \times B \in S_t$ with $\text{Tm}_S(A \times B) \cong \text{Tm}_S A \times \text{Tm}_S B$. I.e., $\forall \Gamma$, we have $\text{Tm}_S(A \times B)(\Gamma) \cong (\text{Tm}_S A)(\Gamma) \times (\text{Tm}_S B)(\Gamma)$

Theorem 2. $\text{dem}(\mathcal{C})$ always has product types $A \times B$, which are simply given by their product in \mathcal{C} .

Theorem 3. $STLC(\cdot\cdot\cdot, \times)$ has products

$$\Gamma \vdash \bullet : A \times B \cong (\Gamma \vdash \bullet : A) \times (\Gamma \vdash \bullet : B)$$

Right to left corresponds to the product introduction rule, i.e., $(M, N)[\gamma] = (M[\gamma], N[\gamma])$.
Left to right corresponds to the product elimination rules, i.e., $(\pi_i M)[\gamma] = \pi_i(M[\gamma])$.

A unit type in S is a type $1 \in S_t$. We have

$$\begin{aligned} \text{Tm}1 &\cong 1 \\ (\text{Tm}1)(\Gamma) &\cong \{+\} \end{aligned}$$

A function type $A \Rightarrow B \in S$, we have $\text{Tm}(A \Rightarrow B)(\Gamma) \cong \text{Tm}(B)(\Gamma \times A)$

Theorem 4. If $STLC$ has function types in the syntactic sense then $SCwF$ $STLC$ has function types in the semantic sense. I.e., $(Mx)[\gamma, x/x] = M[\gamma]x$

In a $SCwF$ S with types A, C , we have a “continuation presheaf”, $\text{Cont}AB$ on S_c with $(\text{Cont}AB)(\Gamma) := (\text{Tm}B)(\Gamma \times A)$. We have an “empty type” 0 in a $SCwF$ is a type $0 \in S_t$ with $(\text{Cont}0C) \cong 1$ for all $C \in S_{\sqcup}$. For $A, B \in S_t$, we have a “sum type” $A + B \in S_t$ such that for all $C \in S_t$, we have $\text{Cont}(A + B)C \cong \text{Cont}AC \times \text{Cont}BC$

For $\text{dem}(\mathcal{C})$, having an empty type is equivalent to having a distributive initial object. For all $C \in S_t$, we have $\text{Cont}0C \cong 1$, so for all Γ, C we have $\mathcal{C}(\Gamma \times 0, C) \cong 1 \cong \mathcal{C}(0, C)$, so $\Gamma \times 0 \cong 0$. Similarly, sum types correspond to a distributive coproduct.