Lecture 25

EECS 483 COMPILER CONSTRUCTION

Announcements

- HW6: Analysis and Optimization
 - Due on Thursday, May 2
- Final Exam
 - 4-6pm April 29
 - Two Rooms:
 - Last name A-K: DOW1005
 - Last name L-Z: DOW1010
 - One-page, letter-sized, double-sided "cheat sheet" of notes permitted
 - Partial credit is awarded, don't leave anything blank!
- Office Hours
 - I (Max) am holding my usual Mon/Thu office hours (Zoom only) this week and next, except for April 29 (use the queue)

Exam Review

- Today: review of the topics thus far
 - Everything covered in lecture and homeworks is fair game, even if we don't specifically review it today.
 - One page of the final will be on the first half material
 - I will only use slides from previous lectures to ensure there's no new material covered today.
- Outline
 - Lambda Calculus/Scope
 - Type systems, subtyping
 - Dataflow analysis
 - Control-flow analysis/SSA
 - Register Allocation

SCOPE, FIRST-CLASS FUNCTIONS

Free Variables and Scoping

```
let add = fun x \rightarrow fun y \rightarrow x + y
let inc = add 1
```

- The result of add 1 is itself a function.
 - After calling add, we can't throw away its argument (or its local variables) because those are needed in the function returned by add.
- We say that the variable x is free in fun y \rightarrow x + y
 - Free variables are defined in an outer scope
- We say that the variable y is bound by "fun y" and its scope is the body "x + y" in the expression fun $y \rightarrow x + y$
- A term with no free variables is called *closed*.
- A term with one or more free variables is called open.

Free Variable Calculation

 An OCaml function to calculate the set of free variables in a lambda expression:

- A lambda expression e is closed if free_vars e returns VarSet.empty
- In mathematical notation:

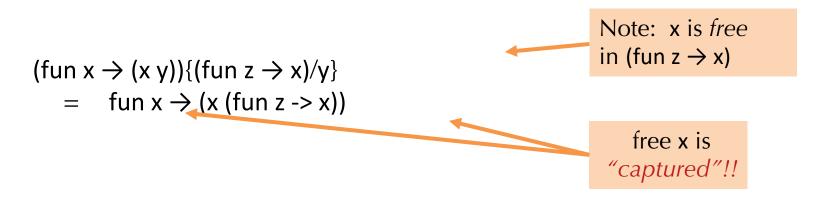
```
fv(x) = \{x\}

fv(fun x \rightarrow exp) = fv(exp) \ \{x\} ('x' is a bound in exp)

fv(exp<sub>1</sub> exp<sub>2</sub>) = fv(exp<sub>1</sub>) U fv(exp<sub>2</sub>)
```

Variable Capture

 Note that if we try to naively "substitute" an open term, a bound variable might capture the free variables:



- Usually not the desired behavior
 - This property is sometimes called "dynamic scoping"
 The meaning of "x" is determined by where it is bound dynamically, not where it is bound statically.
 - Some languages (e.g., emacs lisp) are implemented with this as a "feature"
 - But: it leads to hard-to-debug scoping issues

Alpha Equivalence

- Note that the names of bound variables don't matter to the semantics
 - i.e., it doesn't matter which variable names you use, if you use them consistently:

```
(fun x \rightarrow y x) is the "same" as (fun z \rightarrow y z) the choice of "x" or "z" is arbitrary, so long as we consistently rename them
```

Two terms that differ only by consistent renaming of bound variables are called alpha equivalent

The names of free variables do matter:

```
(fun x \rightarrow y x) is not the "same" as (fun x \rightarrow z x)
```

Intuitively: y an z can refer to different things from some outer scope

Students who cheat by "renaming variables" are trying to exploit alpha equivalence...

Closure Conversion Summary

- A *closure* is a pair of an environment and a code pointer
 - the environment is a map data structure binding variables to values
 - environment could just be a list of the values (with known indices)
- Building a closure value:
 - code pointer is a function that takes an extra argument for the environment: $A \rightarrow B$ becomes (Env * $A \rightarrow B$)
 - body of the closure "projects out" then variables from the environment
 - creates the environment map by bundling the free variables
- Applying a closure:
 - project out the environment, invoke the function (pointer) with the environment and its "real" argument
- Hoisting:
 - Once closure converted, all functions can be lifted to the top level

Lectures 17-19

TYPE SYSTEMS, TYPE CHECKING

Static Program Analysis

- Static means the program is analyzed at compile-time
- Used for two main purposes in the compiler:
 - Last stage of the frontend: "Type checking" or "Semantic Analysis"
 - Not every program that passes parsing is valid

```
- int main() { return x; }
- int main() { return "hello world"; }
```

- If the type checker fails, the program is rejected, like a parse error
- After the program passes the frontend, we consider it well-formed and will compile it.
- During optimization: "static analysis"
 - We can do more optimizations if we know more about the program
 - Are these equivalent programs?

```
- int main() { int y = f(); return 0; }
- int main() { return 0; }
```

- We can optimize the first to the second if we establish that f is side-effect free.
- Since they take place after the frontend, the analysis never rejects the program
- Next few weeks: type checking, after that optimization and analyis

Inference Rules

- We can read a judgment G ⊢ e as "the expression e is well scoped and has free variables in G"
- For any environment G, expression e, and statements s_1 , s_2 .

$$G \vdash if (e) s_1 else s_2$$

holds if $G \vdash e$ and $G \vdash s_1$ and $G \vdash s_2$ all hold.

More succinctly: we summarize these constraints as an inference rule:

Premises
$$G \vdash e \qquad G \vdash s_1 \qquad G \vdash s_2$$

Conclusion $G \vdash if (e) s_1 \text{ else } s_2$

• Such a rule can be used for *any* substitution of the syntactic metavariables G, e, s_1 and s_2 .

Scope-Checking Lambda Calculus

- Consider how to identify "well-scoped" lambda calculus terms
 - Given: G, a set of variable identifiers, e, a term of the lambda calculus
 - Judgment: $G \vdash e$ "the free variables of e are included in G"

$$x \in G$$

$$G \vdash x$$

"the variable x is free, but in scope"

$$\frac{G \vdash e_1 \qquad G \vdash e_2}{G \vdash e_1 e_2}$$

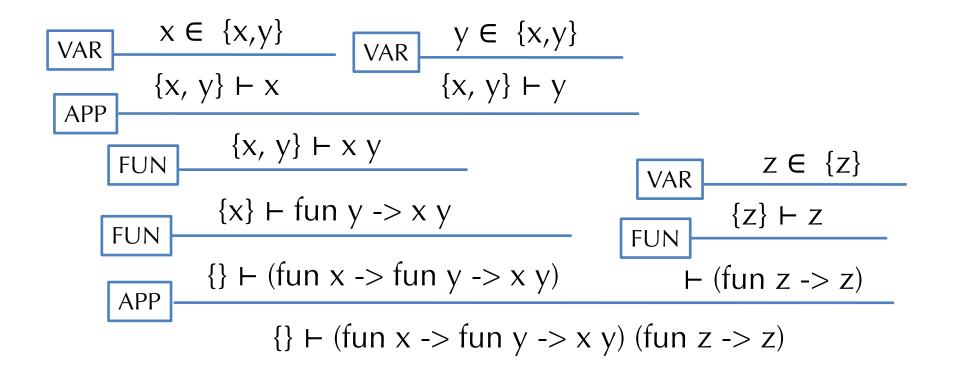
"G contains the free variables of e₁ and e₂"

$$G \cup \{x\} \vdash e$$

 $G \vdash \text{fun } x \rightarrow e$

"x is available in the function body e"

Example Derivation Tree



- Note: the OCaml function scope_check verifies the existence of this tree. The structure of the recursive calls when running scope_check is the same shape as this tree!
- Note that $x \in E$ is implemented by the function VarSet.mem

Simply-typed Lambda Calculus

• For the language in "tc.ml" we have five inference rules:

INT VAR ADD $x:T \in E \qquad E \vdash e_1: int \qquad E \vdash e_2: int$ $E \vdash i: int \qquad E \vdash x:T \qquad E \vdash e_1 + e_2: int$

FUN

$$E, x : T \vdash e : S$$

 $E \vdash \text{fun } (x:T) -> e : T -> S$

APP

$$E \vdash e_1 : T \rightarrow S \quad E \vdash e_2 : T$$

 $E \vdash e_1 e_2 : S$

Note how these rules correspond to the code.

Checking Derivations

- A *derivation* or *proof tree* has (instances of) judgments as its nodes and edges that connect premises to a conclusion according to an inference rule.
- Leaves of the tree are <u>axioms</u> (i.e. rules with no premises)
 - Example: the INT rule is an axiom
- Goal of the type checker: verify that such a tree exists.
- Example1: Find a tree for the following program using the inference rules in oat.pdf:

```
var x1 = 0;
var x2 = x1 + x1;
x1 = x1 - x2;
return(x1);
```

Example 2: There is no tree for this ill-scoped program:

```
var x2 = x1 + x1;
return(x2);
```

Type Safety

"Well typed programs do not go wrong."

- Robin Milner, 1978

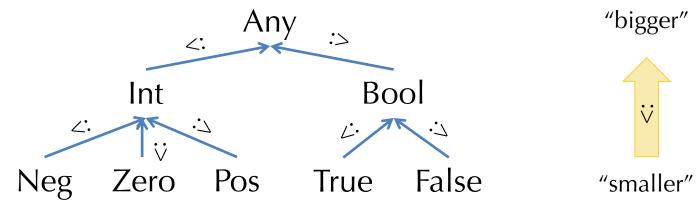
Theorem: (simply typed lambda calculus with integers)

If \vdash e:t then there exists a value v such that e \Downarrow v.

- Note: this is a very strong property.
 - Well-typed programs cannot "go wrong" by trying to execute undefined code (such as 3 + (fun x -> 2))
 - Simply-typed lambda calculus is guaranteed to terminate!
 (i.e. it isn't Turing complete)

Subtyping and Upper Bounds

- If we think of types as sets of values, we have a natural inclusion relation: Pos ⊆ Int
- This subset relation gives rise to a *subtype* relation: Pos <: Int
- Such inclusions give rise to a *subtyping hierarchy*:



- Given any two types T_1 and T_2 , we can calculate their least upper bound (LUB) according to the hierarchy.
 - Definition: LUB(T_1 , T_2) is the smallest T such that $T_1 <: T$ and $T_2 <: T$
 - Example: LUB(True, False) = Bool, LUB(Int, Bool) = Any
- Note: might want to add types for "NonZero", "NonNegative", and "NonPositive" so that set union on values corresponds to taking LUBs on types.

Soundness of Subtyping Relations

- We don't have to treat every subset of the integers as a type.
 - e.g., we left out the type NonNeg
- A subtyping relation $T_1 <: T_2$ is *sound* if it approximates the underlying semantic subset relation.
- Formally: write [T] for the subset of (closed) values of type T
 - $i.e., [T] = \{v \mid \vdash v : T\}$
 - e.g., $[Zero] = {0}$, $[Pos] = {1, 2, 3, ...}$
- If $T_1 <: T_2$ implies $[T_1] \subseteq [T_2]$, then $T_1 <: T_2$ is sound.
 - e.g., Pos <: Int is sound, since $\{1,2,3,...\}\subseteq \{...,-3,-2,-1,0,1,2,3,...\}$
 - *e.g.*, Int <: Pos is *not* sound, since it is *not* the case that $\{...,-3,-2,-1,0,1,2,3,...\}$ ⊆ $\{1,2,3,...\}$

Extending Subtyping to Other Types

- What about subtyping for tuples?
 - Intuition: whenever a program expects something of type $S_1 * S_2$, it is sound to give it a $T_1 * T_2$.
 - Example: (Pos * Neg) <: (Int * Int)</p>

$$T_1 <: S_1 \quad T_2 <: S_2$$
 $(T_1 * T_2) <: (S_1 * S_2)$

Subtyping for Function Types

One way to see it:



Need to convert an S₁ to a T₁ and T₂ to S₂, so the argument type is contravariant and the output type is covariant.

$$S_1 <: T_1 \quad T_2 <: S_2$$
 $(T_1 \to T_2) <: (S_1 \to S_2)$

Lectures 20-22

OPTIMIZATION, DATAFLOW ANALYSIS

Safety

- Whether an optimization is *safe* depends on the programming language semantics.
 - Languages that provide weaker guarantees to the programmer permit more optimizations but have more ambiguity in their behavior.
 - e.g., In C, loading from initialized memory is undefined, so the compiler can do anything if a program reads uninitalized data.
 - e.g., In Java tail-call optimization (which turns recursive function calls into loops) is not valid because of "stack inspection".
- Example: loop-invariant code motion
 - Idea: hoist invariant code out of a loop

```
while (b) { z = y/x; z = y/x; while (b) { ... // y, x not updated } ... // y, x not updated }
```

- Is this more efficient?
- Is this safe?

Common Subexpression Elimination

- fold redundant computations together
 - in some sense, it's the opposite of inlining
- Example:

$$a[i] = a[i] + 1$$

compiles to:

$$[a + i*4] = [a + i*4] + 1$$

Common subexpression elimination removes the redundant add and multiply:

$$t = a + i*4; [t] = [t] + 1$$

 For safety, you must be sure that the shared expression always has the same value in both places!

Unsafe Common Subexpression Elimination

Example: consider this OAT function:

```
unit f(int[] a, int[] b, int[] c) {
   var j = ...; var i = ...;
   b[j] = a[i] + 1;
   c[k] = a[i];
   return;
}
```

• The optimization that shares the expression a[i] is unsafe... why?

```
unit f(int[] a, int[] b, int[] c) {
   var j = ...; var i = ...; var k = ...;
   t = a[i];
   b[j] = t + 1;
   c[k] = t;
   return;
}
```

Dataflow Analysis

- Idea: compute liveness information for all variables simultaneously.
 - Keep track of sets of information about each node
- Approach: define equations that must be satisfied by any liveness determination.
 - Equations based on "obvious" constraints.
- Solve the equations by iteratively converging on a solution.
 - Start with a "rough" approximation to the answer
 - Refine the answer at each iteration
 - Keep going until no more refinement is possible: a fixpoint has been reached
- This is an instance of a general framework for computing program properties: dataflow analysis

A Worklist Algorithm

Use a FIFO queue of nodes that might need to be updated.

```
for all n, in[n] := \emptyset, out[n] := \emptyset
w = new queue with all nodes
repeat until w is empty
   let n = w.pop()
                                             // pull a node off the queue
     old_in = in[n]
                                             // remember old in[n]
     out[n] := \mathbf{U}_{n' \in \text{succ}[n]} \text{in}[n']
     in[n] := use[n] \cup (out[n] - def[n])
     if (old_in != in[n]),
                                             // if in[n] has changed
        for all m in pred[n], w.push(m) // add to worklist
end
```

Generalizing Dataflow Analyses

- The kind of iterative constraint solving used for liveness analysis applies to other kinds of analyses as well.
 - Reaching definitions analysis
 - Available expressions analysis
 - Alias Analysis
 - Constant Propagation
 - These analyses follow the same 3-step approach as for liveness.

- To see these as an instance of the same kind of algorithm, the next few examples to work over a canonical intermediate instruction representation called *quadruples*
 - Allows easy definition of def[n] and use[n]
 - A slightly "looser" variant of LLVM's IR that doesn't require the "static single assignment" – i.e. it has mutable local variables
 - We will use LLVM-IR-like syntax

L as a Partial Order

- \mathcal{L} is a partial order defined by the ordering relation \sqsubseteq .
- A partial order is an ordered set.
- Some of the elements might be incomparable.
 - That is, there might be ℓ_1 , $\ell_2 \in \mathcal{L}$ such that neither $\ell_1 \sqsubseteq \ell_2$ nor $\ell_2 \sqsubseteq \ell_1$
- Properties of a partial order:
 - Reflexivity: $\ell \sqsubseteq \ell$
 - Transitivity: $\ell_1 \sqsubseteq \ell_2$ and $\ell_2 \sqsubseteq \ell_3$ implies $\ell_1 \sqsubseteq \ell_2$
 - Anti-symmetry: $\ell_1 \sqsubseteq \ell_2$ and $\ell_2 \sqsubseteq \ell_1$ implies $\ell_1 = \ell_2$
- Examples:
 - Integers ordered by ≤
 - Types ordered by <:
 - Sets ordered by \subseteq or \supseteq

Meets and Joins

- The combining operator □ is called the "meet" operation.
- It constructs the *greatest lower bound*:
 - $-\ell_1 \sqcap \ell_2 \sqsubseteq \ell_1$ and $\ell_1 \sqcap \ell_2 \sqsubseteq \ell_2$ "the meet is a lower bound"
 - If $\ell \sqsubseteq \ell_1$ and $\ell \sqsubseteq \ell_2$ then $\ell \sqsubseteq \ell_1 \sqcap \ell_2$ "there is no greater lower bound"
- Dually, the

 □ operator is called the "join" operation.
- It constructs the least upper bound:
 - $-\ell_1 \sqsubseteq \ell_1 \sqcup \ell_2$ and $\ell_2 \sqsubseteq \ell_1 \sqcup \ell_2$ "the join is an upper bound"
 - If $\ell_1 \sqsubseteq \ell$ and $\ell_2 \sqsubseteq \ell$ then $\ell_1 \sqcup \ell_2 \sqsubseteq \ell$ "there is no smaller upper bound"
- A partial order that has all meets and joins is called a lattice.
 - If it has just meets, it's called a meet semi-lattice.

Another Way to Describe the Algorithm

- Algorithm repeatedly computes (for each node n):
- out[n] := $F_n(in[n])$
- Equivalently: $out[n] := F_n(\prod_{n' \in pred[n]} out[n'])$
 - By definition of in[n]
- We can write this as a simultaneous update of the vector of out[n] values:
 - let $x_n = out[n]$
 - Let $\mathbf{X} = (x_1, x_2, \dots, x_n)$ it's a vector of points in \mathcal{L}
 - $\mathbf{F}(\mathbf{X}) = (F_1(\prod_{j \in pred[1]}out[j]), F_2(\prod_{j \in pred[2]}out[j]), ..., F_n(\prod_{j \in pred[n]}out[j]))$
- Any solution to the constraints is a fixpoint X of F
 - i.e. F(X) = X

Dataflow Analysis: Summary

- Many dataflow analyses fit into a common framework.
- Key idea: Iterative solution of a system of equations over a lattice of constraints.
 - Iteration terminates if flow functions are monotonic.
- Dataflow analyses as presented work for an "imperative" intermediate representation.
 - The values of temporary variables are updated ("mutated") during evaluation.
 - Such mutation complicates calculations
 - SSA = "Single Static Assignment" eliminates this problem, by introducing more temporaries – each one assigned to only once.
 - Next up: Converting to SSA, finding loops and dominators in CFGs

Lectures 23-24

CONTROL-FLOW ANALYSIS, REGISTER ALLOCATION

Single Static Assignment (SSA)

- LLVM IR names (via %uids) all intermediate values computed by the program.
- It makes the order of evaluation explicit.
- Each %uid is assigned to only once
 - Contrast with the mutable quadruple form
 - Note that dataflow analyses had these kill[n] sets because of updates to variables...
- Naïve implementation of backend: map %uids to stack slots
- Better implementation: map %uids to registers (as much as possible)
- Question: How do we convert a source program to make maximal use of %uids, rather than alloca-created storage?
 - two problems: control flow & location in memory
- Then: How do we convert SSA code to x86, mapping %uids to registers?
 - Register allocation.

Alloca vs. %UID

Current compilation strategy:



```
%x = alloca i64
%y = alloca i64
store i64* %x, 3
store i64* %y, 0
%x1 = load %i64* %x
%tmp1 = add i64 %x1, 1
store i64* %x, %tmp1
%x2 = load %i64* %x
%tmp2 = add i64 %x2, 2
store i64* %y, %tmp2
```

Directly map source variables into %uids?

```
int x = 3;

int y = 0;

x = x + 1;

y = x + 2;

int x1 = 3;

x1 = add i64 3, 0

x1 = add i64 0, 0

x2 = x1 + 1;

x2 = x1 + 1;

x2 = x2 + 2;

x2 = x2 + 2;
```

Does this always work?

What about If-then-else?

How do we translate this into SSA?

```
int y = ...
int x = ...
int z = ...
if (p) {
   x = y + 1;
} else {
   x = y * 2;
}
z = x + 3;
```



```
entry:
    %y1 = ...
    %x1 = ...
    %z1 = ...
    %p = icmp ...
    br i1 %p, label %then, label %else
then:
    %x2 = add i64 %y1, 1
    br label %merge
else:
    %x3 = mult i64 %y1, 2
merge:
    %z2 = %add i64 ???, 3
```

What do we put for ???

Phi Functions

- Solution: φ functions
 - Fictitious operator, used only for analysis
 - implemented by Mov at x86 level
 - Chooses among different versions of a variable based on the path by which control enters the phi node.

```
%uid = phi <ty> v_1, <label<sub>1</sub>>, ..., v_n, <label<sub>n</sub>>
```

```
int y = ...
int x = ...
int z = ...
if (p) {
   x = y + 1;
} else {
   x = y * 2;
}
z = x + 3;
```



```
entry:
  %y1 = ...
  %x1 = ...
  %z1 = ...
  %p = icmp ...
  br i1 %p, label %then, label %else
then:
  %x2 = add i64 %y1, 1
  br label %merge
else:
  %x3 = mult i64 %y1, 2
merge:
  %x4 = phi i64 %x2, %then, %x3, %else
  %z2 = %add i64 %x4, 3
```

Register Allocation

Basic process:

- 1. Compute liveness information for each temporary.
- 2. Create an interference graph:
 - Nodes are temporary variables.
 - There is an edge between node n and m if n is live at the same time as m
- 3. Try to color the graph
 - Each color corresponds to a register
- 4. In case step 3. fails, "spill" a register to the stack and repeat the whole process.
- 5. Rewrite the program to use registers

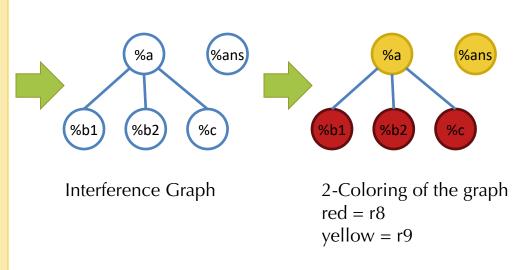
Live Variable Analysis

- A variable v is *live* at a program point if v is defined before the program point and used after it.
- Liveness is defined in terms of where variables are *defined* and where variables are *used*
- Liveness analysis: Compute the live variables between each statement.
 - May be conservative (i.e. it may claim a variable is live when it isn't) so because that's a safe approximation
 - To be useful, it should be more *precise* than simple scoping rules.
- Liveness analysis is one example of dataflow analysis
 - Other examples: Available Expressions, Reaching Definitions, Constant-Propagation Analysis, ...

Interference Graphs

- Nodes of the graph are %uids
- Edges connect variables that interfere with each other
 - Two variables interfere if their live ranges intersect (i.e. there is an edge in the control-flow graph across which they are both live).
- Register assignment is a graph coloring.
 - A graph coloring assigns each node in the graph a color (register)
 - Any two nodes connected by an edge must have different colors.
- Example:

```
// live = {%a}
%b1 = add i32 %a, 2
// live = {%a,%b1}
%c = mult i32 %b1, %b1
// live = {%a,%c}
%b2 = add i32 %c, 1
// live = {%a,%b2}
%ans = mult i32 %b2, %a
// live = {%ans}
return %ans;
```



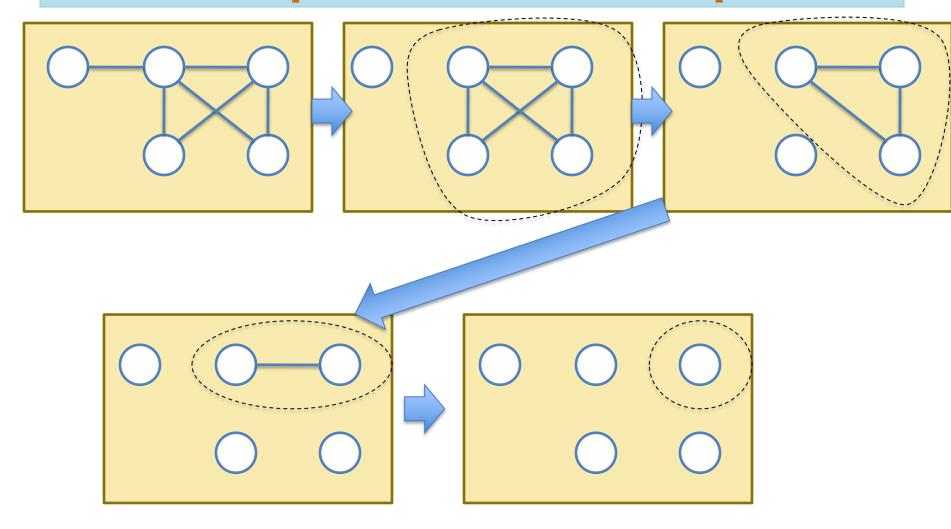
Coloring a Graph: Kempe's Algorithm

Kempe [1879] provides this algorithm for K-coloring a graph.

It's a recursive algorithm that works in three steps:

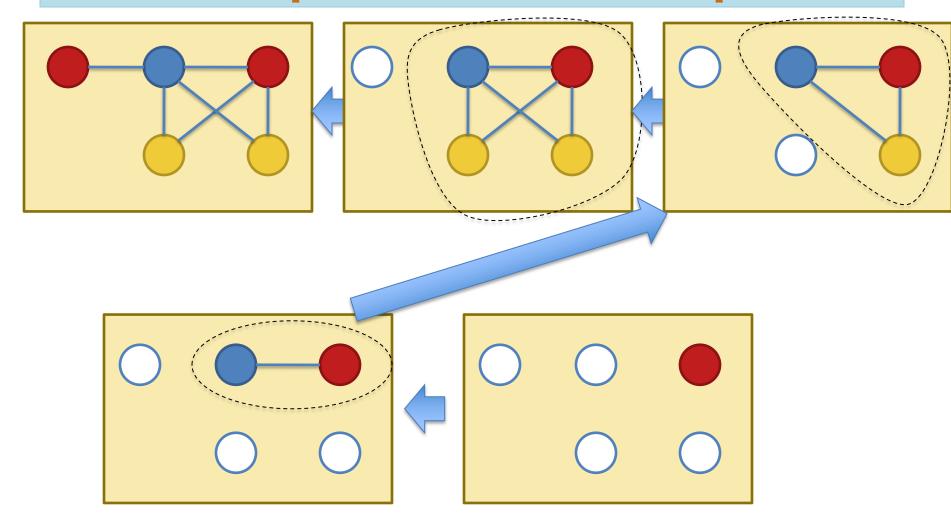
- 1. Find a node with degree < K and cut it out of the graph.
 - Remove the nodes and edges.
 - This is called *simplifying* the graph
- 2. Recursively K-color the remaining subgraph
- 3. When remaining graph is colored, there must be at least one free color available for the deleted node (since its degree was < K). Pick such a color.

Example: 3-color this Graph



Recursing Down the Simplified Graphs

Example: 3-color this Graph



Assigning Colors on the way back up.

Complete Register Allocation Algorithm

- 1. Build interference graph (precolor nodes as necessary).
 - Add move related edges
- 2. Reduce the graph (building a stack of nodes to color).
 - Simplify the graph as much as possible without removing nodes that are move related (i.e. have a move-related neighbor). Remaining nodes are high degree or move-related.
 - 2. Coalesce move-related nodes using Brigg's or George's strategy.
 - 3. Coalescing can reveal more nodes that can be simplified, so repeat 2.1 and 2.2 until no node can be simplified or coalesced.
 - 4. If no nodes can be coalesced *freeze* (remove) a move-related edge and keep trying to simplify/coalesce.
- If there are non-precolored nodes left, mark one for spilling, remove it from the graph and continue doing step 2.
- 4. When only pre-colored node remain, start coloring (popping simplified nodes off the top of the stack).
 - 1. If a node must be spilled, insert spill code as on slide 14 and rerun the whole register allocation algorithm starting at step 1.

WRAP UP

What we Learned

- Compilers material
 - Compiler architecture
 - Abstract syntax trees
 - Assembly code programming
 - SSA/LLVM
 - Lexing/Regex
 - Parsing/CFGs
 - Type systems
 - Program Analysis
 - Optimization
- Programming lessons
 - Basic functional programming structural recursion/pattern matching
 - Debugging large pipelines
 - Testing programs with many edge cases
 - Programming with sophisticated specifications

Thank You

- Thanks to Eric and Tingting, Cyrus and Steven
- Tried out new curriculum this semester
 - Benefits and drawbacks
 - Next winter: synthesize this version with previous
- Please fill out teaching evaluations!