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If Alice knows x, and Bob knows y, how many bits of information must they communicate, in order for both Alice and Bob to know f(x,y)?

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Consider a two-party communication problem, in which the participants





(a) Alice

and (b) Bob

participate to compute a function:

$$f: \underline{\mathbb{B}^n} \times \underline{\mathbb{B}^n} \to \underline{\mathbb{B}}$$
Alice's Bob's global input input output

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namely, for some natural $t \in \mathbb{N}$, a sequence of t-many functions $p_i: \mathbb{B}^* \to \mathbb{B}^*$ such that the communication between the players looks like this ...

The players can come up with a protocol $\Pi = (p_1, ..., p_t)$,

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Alice is given input x.

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Alice is given input x.

Hello Bob. I can't reveal x, but $p_1(x)$ is p1.

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Bob is given input y.

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Non-Determinis Randomized

Alice is given input x.

Hello Bob. I can't reveal x, but $p_1(x)$ is p1.

Bob is given input y.

hanks Alice. I can't reveal y, but $p_2(y, p1)$ is p2

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Hello Bob. I can't reveal x, but $p_1(x)$ is p1.

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Thanks Alice. I can't reveal y, but $p_2(y, p1)$ is p2

... yada yada yada ...

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Alice is given input x.

Hello Bob. I can't reveal x, but $p_1(x)$ is p1.

Bob is given input y.

Thanks Alice. I can't reveal y, but $p_2(y,p1)$ is p2.

... yada yada yada ...

Pleasure doing business with you Bob. My final clue for you is that $p_{n-1}(x, p1, ..., pn-2)$ is pn-1.

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Alice is given input x.

Hello Bob. I can't reveal x, but $p_1(x)$ is p1.

Bob is given input y.

Thanks Alice. I can't reveal y, but $p_2(y,p1)$ is p2.

... yada yada yada ...

Pleasure doing business with you Bob. My final clue for you is that $p_{n-1}(x, p_1, ..., p_{n-2})$ is $p_{n-1}(x, p_1, ..., p_{n-2})$

Rad. Then $p_n(y, p1, ..., pn-1)$ is pn. TTFN!

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- The functions p_i can be anything so long as they are well-defined. E.g., could solve the Halting Problem.
- After the final message, both parties must know f(x, y).

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Definition (Communication Complexity)

Suppose Π is a protocol for f in which at most t bits are communicated between Alice and Bob. Then the communication complexity of Π , denoted $C(\Pi)$, is t.

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Definition (Communication Complexity)

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Definition (C(f))

The communication complexity of f, denoted C(f), is the minimum communication complexity achieved by any protocol for f.

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Non-Deterministi Randomized Example (Are the number of 1s in xy even (0), or odd (1)?)

 $f: \mathbb{B}^n \times \mathbb{B}^n \to \mathbb{B}$ is precisely $(x, y) \mapsto \bigoplus xy$.

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Example protocol Π :

P1 =
$$parity(x)$$
.

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Example protocol Π :

P1 =
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 $P2 = parity(y) \oplus P1$

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Example (Are the number of 1s in xy even (0), or odd (1)?)

 $f: \mathbb{B}^n \times \mathbb{B}^n \to \mathbb{B}$ is precisely $(x, y) \mapsto \bigoplus xy$.

Example protocol Π :

P1 =
$$parity(x)$$
.

 $P2 = parity(y) \oplus P1$

Now both Alice and Bob know f(x,y) = P2. $C(f) \le 2$ because $C(\Pi) = 2$ and Π implements f. But $C(f) \ge 2$ because f depends on x and y. Hence C(f) = 2.

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Example (A_{TM})

 $H: \mathbb{B}^n \times \mathbb{B}^n \to \mathbb{B}$ is precisely $\langle M, x \rangle \mapsto 1$ if M halts on x else 0.

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Example (A_{TM})

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Example (A_{TM})

 $H: \mathbb{B}^n \times \mathbb{B}^n \to \mathbb{B}$ is precisely $\langle M, x \rangle \mapsto 1$ if M halts on x else 0.

Example protocol Π :

$$y_1 = y$$
.

P2=
$$(M \text{ does/doesn't accept } y)$$
.

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Example protocol Π :

$$P1 = y$$
.

P2=
$$(M \text{ does/doesn't accept } y)$$
.

Both players have unlimited computation power. We are only interest in communication complexity.

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If we find a protocol Π , then we know C(f) is at most $C(\Pi)$.

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If we find a protocol Π , then we know C(f) is at most $C(\Pi)$. What if we don't know any protocol Π ?

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If we find a protocol Π , then we know C(f) is at most $C(\Pi)$. What if we don't know any protocol Π ?

■ Could we upper-bound C(f) without knowing Π ?

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If we find a protocol Π , then we know C(f) is at most $C(\Pi)$. What if we don't know any protocol Π ?

■ Could we upper-bound C(f) without knowing Π ?

What if the only protocols we find seem really lousy?

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If we find a protocol Π , then we know C(f) is at most $C(\Pi)$. What if we don't know any protocol Π ?

- Could we upper-bound C(f) without knowing Π ?
- What if the only protocols we find seem really lousy?
 - Could we lower-bound C(f) without finding a better protocol?

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What if the only protocols we find seem really lousy?

■ Could we lower-bound C(f) without finding a better protocol?

TL;DR: yup.

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We begin with a motivating observation.

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We begin with a motivating observation.

Lemma (Communication Equality is Image Equality)

If Alice and Bob exchange the same sequence of messages when Alice gets x and Bob gets y as they do when Alice gets x' and Bob gets y', then f(x,y) = f(x',y').

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If Alice and Bob exchange the same sequence of messages when Alice gets x and Bob gets y as they do when Alice gets x' and Bob gets y', then f(x,y) = f(x',y').

Proof.

 Π is deterministic and f is a function.

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Proof.

 Π is deterministic and f is a function.

<u>Idea:</u> an efficient protocol will efficiently group together inputs that go to the same output.

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<u>Idea:</u> an efficient protocol will efficiently **group together** inputs that go to the same output.

Definition (Fooling Set)

If $f: \mathbb{B}^n \times \mathbb{B}^n \to \mathbb{B}$ is a function, a *fooling set* for f is a set $S \subseteq \mathbb{B}^n \times \mathbb{B}^n$ such that for some choice $b \in \mathbb{B}$ $f(S) = \{b\}$ but, for all distinct $(x,y), (x',y') \in S$, $(\neg b) \in f(\{x,x'\} \times \{y,y'\})$.

Basically, a fooling set is a group of inputs that go to the same output, but which is *brittle* to argument-swapping. In some sense these *brittle* sets lower-bound the difficulty in grouping like inputs.

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Basically, a fooling set is a group of inputs that go to the same output, but which is *brittle* to argument-swapping. In some sense these *brittle* sets lower-bound the difficulty in grouping like inputs.

Lemma (Fooling Set Method)

If f has a size-M fooling set, then $C(f) \ge \log_2(M)$.

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Example (Set-Disjointness)

DISJ: $\mathbb{B}^n \times \mathbb{B}^n \to \mathbb{B}$ is the function that maps (A, B) to 1 if $A \cap B = \emptyset$ else 0.

How many fooling sets does DISJ have?

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How many fooling sets does DISJ have? Notice A, B are disjoint iff $A \oplus B$ is 1.

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How many fooling sets does DISJ have? Notice A, B are disjoint iff $A \oplus B$ is **1**. There are 2^n possible values A.

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How many fooling sets does DISJ have? Notice A, B are disjoint iff $A \oplus B$ is $\mathbf{1}$. There are 2^n possible values A. Hence 2^n values (A, B) s.t. $A \oplus B = \mathbf{1}$. None of these distinct (A, B), (A', B') satisfy $A \oplus B = A \oplus B'$ or $A \oplus B = A' \oplus B$ else they wouldn't be distinct.

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How many fooling sets does DISJ have? Notice A, B are disjoint iff $A \oplus B$ is 1. There are 2^n possible values A. Hence 2^n values (A, B) s.t. $A \oplus B = 1$. None of these distinct (A, B), (A', B') satisfy $A \oplus B = A \oplus B'$ or $A \oplus B = A' \oplus B$ else they wouldn't be distinct. So we get a 2^n -size fooling set.

$$\therefore C(\text{Disj}) \ge \log_2(2^n) = n$$

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NTS: If f has a size-M fooling set then $C(f) \ge \log_2(M)$.

Proof.

For a contradiction suppose a protocol Π exists for f s.t. $C(\Pi) < \log_2(M)$.

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For a contradiction suppose a protocol Π exists for f s.t. $C(\Pi) < \log_2(M)$. Then Π yields at most $2^{C(\Pi)} < 2^{\log_2(M)} = M$ distinct communication patterns.

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Then (x, y') must yield the same communication pattern as (x, y) as Bob cannot possibly tell the difference. The argument is symmetric for (x', y) and Alice. One of the two must yield a contradiction and we are done.

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