

# The Tiling Method

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## 1 Problem Statement

Consider a two-party communication problem, in which the participants



(a) Alice

and



(b) Bob

*participate* to compute a function:

$$f: \underbrace{\mathbb{B}^n}_{\text{Alice's input}} \times \underbrace{\mathbb{B}^n}_{\text{Bob's input}} \rightarrow \underbrace{\mathbb{B}}_{\text{global output}}$$

The players can come up with a *protocol*  $\Pi = (p_1, \dots, p_t)$ , namely, for some natural  $t \in \mathbb{N}$ , a sequence of  $t$ -many functions  $p_i: \mathbb{B}^* \rightarrow \mathbb{B}^*$  such that the communication between the players looks like this:

Alice is given input  $x$ .

Hi Bob. I'm not divulging  $x$ , but,  $p_1(x) = p_1$ .

Bob is given input  $y$ .

Thanks Alice. I'm not divulging  $y$ , but,  $p_2(y, p_1) = p_2$ .

Thanks Bob. Don't tell anyone, but:  $p_3(x, p_1, p_2) = p_3$ .

Is that so? Well,  $p_4(y, p_1, p_2, p_3) = p_4$ .

Wicked. In that case,  $p_5(x, p_1, p_2, p_3, p_4) = p_5$ .

...yada yada yada...

$p_t(x, p_1, p_2, p_3, p_4, \dots, p_{(t-1)}) = p_t$ , and TTFN!

Critically, the functions  $p_i$  can be *anything* so long as they are well-defined. For example,  $p_2$  could be the function that asks if  $\langle y, p_2 \rangle$  is a word in  $ATM$ .

**Definition 1** (Communication Complexity). Suppose  $\Pi$  is a protocol for  $f$  in which at most  $t$  bits are communicated between Alice and Bob. Then the communication complexity of  $\Pi$ , denoted  $C(\Pi)$ , is  $t$ . The communication complexity of  $f$ , denoted  $C(f)$ , is the minimum communication complexity achieved by any protocol for  $f$ .

Given some such function  $f$ , it would be nice if we could automatically compute a reasonable lower bound on its communication complexity.

## 2 The Tiling Method

One way to do this is with the *tiling method*. We will give the method immediately, and in tandem, we will illustrate the method using the function  $f(x,y) = x < y$  where  $x,y$  are integers in  $\{0,1,2,3\}$ , encoded in  $\mathbb{B}$ oolean.

**Definition 2** ( $M(f)$ ). The matrix of  $f$ , denoted  $M(f)$ , is the  $2^n \times 2^n$  matrix whose  $(x,y)$ th entry is the value  $f(x,y)$ .

**Definition 3** (Combinatorial Rectangle). A combinatorial rectangle in  $M(f)$  is any submatrix of  $M$ . We say a rectangle  $A \times B$  in  $M(f)$  is monochromatic if for all  $x,x'$  in  $A$  and  $y,y'$  in  $B$ ,  $M_{x,y} = M_{x',y'}$ .

Each message-send event in a protocol  $\Pi$  splits  $M(f)$  into two or more combinatorial rectangles of still-possible values for  $f(x,y)$ . An example is given below, using the LEASTSIGNIFICANTBIT protocol for  $<$ , with Alice sending the first message.

	000	001	010	011	100
000	0	1	1	1	1
001	0	0	1	1	1
010	0	0	0	1	1
011	0	0	0	0	1
100	0	0	0	0	0

  

Alice: “ $x = \_0$ ”

	000	001	010	011	100
000	0	1	1	1	1
010	0	0	0	1	1
100	0	0	0	0	0

Alice: “ $x = \_1$ ”

	000	001	010	011	100
001	0	0	1	1	1
011	0	0	0	0	1

Figure 2: The matrix  $M(<)$  for inputs  $x,y \in \{0,1,2,3\}$ . Values of  $x$  are given in the rows, while values of  $y$  are given in the columns. False (i.e. 0) values are marked red for clarity. We show how in the LEASTSIGNIFICANTBIT protocol,  $M(<)$  can be partitioned into two rectangles depending on the substance of the initial message sent by Alice.

Since every protocol has finite length, a run of a protocol can only split  $M(f)$  finitely many times. Hence each protocol  $\Pi$  of  $f$  induces a tree of combinatorial rectangles, rooted at  $M(f)$ , where

the leaves represent the matrix of possible values of  $f(x,y)$  once the protocol has concluded. By definition, a protocol must conclude with both participants knowing the value  $f(x,y)$ . Therefore the leaves of each such tree must be monochromatic.

Now we get to the punchline.

**Definition 4** (Monochromatic Tiling). *A monochromatic tiling of  $M(f)$  is a partition of  $M(f)$  into disjoint monochromatic rectangles.*

### It's thinking time.

*Notice* that if  $\Pi$  is a protocol for  $f$ , then the leaves of the tree induced by  $\Pi$  and rooted at  $M(f)$  clearly form a monochromatic tiling of  $M(f)$ .

*Recall* from eons ago, when you were an undergrad and had to know useful things, that the number of leaves in a binary tree can be used to upper-bound its depth.

*Realize* that the depth of the binary tree induced by  $\Pi$  is exactly  $C(\Pi)$ .

*Observe* that although we made the math easy by assuming bit-sized messages, this idea clearly generalizes.

Let  $\chi(f)$  denote the minimum number of rectangles in any monochromatic tiling of  $M(f)$ .

**Theorem 1** (The Punchline).

$$\log_2 \chi(f) \leq C(f) \leq 16(\log_2 \chi(f))^2$$

*Proof.* We need to show the following.

(a)  $\log_2 \chi(f) \leq C(f)$

(b) and,  $C(f) \leq 16(\log_2 \chi(f))^2$ .

We prove (a) then (b). Suppose that  $f$  has communication complexity  $C(f)$ . Then there exists a protocol  $\Pi$  in which at most  $C(f)$  bits are communicated between the two participants. For simplicity suppose each bit communicated is an individual message. Then  $\Pi$  induces a tree whose maximum depth is  $C(f)$ , and which induces a monochromatic partition of  $M(f)$ . Since every monochromatic partition of  $M(f)$  requires at least  $\chi(f)$  rectangles, clearly the tree induced by  $\Pi$  must have at least  $\chi(f)$  leaves. But we're talking about a binary tree so it immediately follows that the tree must have at least  $\log_2 \chi(f)$  depth. So (a) holds and we are done.

Now let's prove (b). Consider the function  $f : \mathbb{B}^n \times \mathbb{B}^n \rightarrow \mathbb{B}$ . There are, by definition, at most  $\chi(f) \geq 1$  distinct values  $f(x,y)$ .

If  $\chi(f) = 1$ , then there is only one possible value of  $f(x,y)$ , and so  $C(f) = 0$ . In this case we get  $C(f) = 0 \leq 0 = 16\log_2^2 1 = 16\log_2^2 \chi(f)$ , so (b) holds and we are done.

If  $\chi(f) = 2$ , then there are 2 possible values of  $f(x, y)$ , say,  $\alpha$  and  $\beta$ . We can partition  $\mathbb{B}^n$  into the spaces  $X, Y$  where if  $x \in X$  then  $f(x, y) = \alpha$  if and only if  $y \in Y$ . Then Alice could send a single bit indicating if  $x \in X$ , and Bob could reply with a single bit indicating if  $y \in Y$ , at which point both Alice and Bob would immediately know the value of  $f(x, y)$ . This protocol communication complexity 2, as only 2 bits need to be communicated. We conclude that if  $\chi(f) = 2$ , then  $2 = C(f) \leq 16(\log_2 \chi(f))^2 = 16(\log_2 2)^2 = 16(1)^2 = 16$ , so (b) holds and we are done.

For the inductive step, suppose that whenever  $\chi(f) = k$  for some integer  $k \geq 2$ , it immediately follows that  $C(f) \leq 16(\log_2 \chi(f))^2$ . We want to show the same holds for  $k + 1$ . Suppose  $\chi(f) = k + 1$ . Choose some possible value  $z = f(x, y)$  arbitrarily from the  $\leq k + 1$  options. Partition the space  $\mathbb{B}^n$  into sets  $X, Y$  such that whenever  $x \in X$ ,  $f(x, y) = z$  if and only if  $y \in Y$ . Have Alice send a single bit  $b$  to begin the protocol, indicating if  $x \in X$ , and have Bob reply with a bit  $b'$  indicating if  $y \in Y$ . At that point if  $f(x, y) = z$  the protocol is over, otherwise it reduces to a protocol over  $k$  possible colors and, by our inductive assumption, (b) holds.

□

Notice that the tiling method directly relates to the fooling set method. Specifically, if  $f$  has a fooling set with  $m$  pairs, then  $\chi(f) \geq m$ .

*Proof.* Suppose  $(x, y), (\bar{x}, \bar{y})$  are two of the pairs in the fooling set. Then they cannot be in a monochromatic rectangle, since by definition, the following set contains at least two distinct values:

$$\{f(x, y), f(x, \bar{y}), f(\bar{x}, y), f(\bar{x}, \bar{y})\}$$

□