United States Department of State

Washington, D.C. 20520

June 1, 2023

MEMORANDUM

TO: Matthew Arnold
             U.S. General Services Administration
             Co-Chair, Federal PKI Policy Authority

FROM: Courtney T. Walker
             U.S. Department of State
             PKI Management Authority

SUBJECT: U.S. Department of State PKI 2022 Annual Review Package

The purpose of this memorandum is to submit the Department of State PKI 2022 Annual Review Package.

This submission consists of the eight (8) exhibits listed below:

Exhibit #	Exhibit Name	Filenames
1	Assertion of Audit Scope Letter	2022 DOS PKI Ann. Rev. Pkg. - Assertion of Audit Scope (20230531).pdf
2	Architecture Overview	DOS AD PKI Architecture Overview (20230522) (SBU).docx
3	CA Inventory and Certificate Statistics	DOS PKI Certification Authority Inventory (20230505).xlsx DOS PKI Certificate Statistics (20230526).xlsx
4	Current DOS Certificate Policy	DOS PKI X.509 CP v2.0 (20230531) Signed.pdf DOS PKI X.509 CP v2.0 (20230531) Final.docx

5	Compliance Auditor Opinion Letter	Department of State Audit Letter 30 May 2023.pdf
6	POA&Ms Addressing Audit Findings	POAMs for DOS FY20-22 Audit Report Findings (20230526) (SBU).docx
7	Certificates for Interoperability Testing	DOS PKI Certificates for Interoperability Testing (20230602).zip
8	Annual PIV Card Test Report	DOS PIV Card Report (20230417).pdf

The submitted Compliance Audit covers FY 2020, FY 2021, and FY 2022.

The PIV Card Report submitted as Exhibit 8 was run on a PIV Card issued using an IDMS that is no longer in use. A PIV Card issued using the new IDMS will be submitted for testing and the resulting test report provided to you when available.

Protection of Information: Information in Exhibits 2 and 6 contain sensitive information and are marked as "SENSITIVE BUT UNCLASSIFIED". Access to these Exhibits must be limited on a need-to-know basis. The files for these Exhibits will be encrypted when sent to you to protect their content during transit. The information required to decrypt these files will be provided separately to Maxwell Funk, and we will rely on him to decrypt them and distribute them to you and your staff, as required.

If you have any questions, please let me know (WalkerCT3@state.gov). Detailed questions about the exhibits should be directed to Lawrence P. Shomo (shomolp@state.gov) of my staff.

CC:
IRM/FO/ITI/SI	Steven E. Gregory
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FPKI	Maxwell Funk