Subset Sums and Knapsacks

Dynamic Programming - II

Subset Sums

- **Problem:** Given n items $\{1, 2, ..., n\}$, and each has a given non-negative weight w_i (for $i = \{1, 2, ..., n\}$). We also have a bound W. We would like to select a subset S if the items so that $\sum_{i \in S} w_i \le W$, and subject to the restriction that $\sum_{i \in S} w_i$ is as large as possible.
- Informally: Consider, we have a single machine that we can run from 0 to W time to process jobs. If we have n jobs to process where each job requires w_i time, to keep the machine as busy as possible, which jobs will you choose? => you want maximum resource utilization.

Will greedy approach work?

Give counter examples to show that greedy will not for:

- 1) Sort the items by decreasing weight
- 2) Sort by increasing weight

+2 in Qui21 for first 3 students to give correct answer (over mail or in class)

```
\leq_i \omega_i \leq \omega
                   Aim:
                           and Ziwi is as large
               Choose the least neight items first
Item
 Chosen items = itemy + ikm 3 + item 2
             = 3+6+7 = 16 kg ( \le 25 kg : item cannot be added)
                            Las per greedy strategy
                                                                      Doesn't work
       Ideal solution: item 1+ item 4
                    = 20+3 = 23kg ( \le 25kg : none of the
                                         remaining items can be
                   Choose the maximum height îleus firet
Item
                     W = 25 kg.
                         Chosen ilems = item 1+ Item 9
                                          = 20+3 = 23 \log 
                                                     as per greedy
            Ideal solution: ikm2 + ikm3 + ikm4
                                 =7+15+3=25kg
```

Solution

- For a DP solution, we would like a small set of sub-problems that once solved using smaller subproblems, will help us find the solution to the original problem.
- Important: What should the sub-problems be?

Let us try the approach used previously for WIS

- Two cases:
 - Do not include n-th request
 - If $n \notin \mathcal{O}$, then OPT(n) = OPT(n-1).
 - Include n-th request
 - In WIS, we removed requests that conflicted with request n. Here, we do not have such a requirement. But we do understand that once we choose n-th request requiring w_n time, the time W for the remaining n-1 jobs is now reduced to W- w_n
- Requires a better solution.

Better Solution (Informal)

```
    To find OPT(n), we need

            OPT(n-1) with W — wn
            OPT(n-1) with W - wn
            Option 1: item n ∉ O

    Remaining Capacity
```

Better Solution (formal)

- Assume W is an integer and each request i = {1, 2, ..., n} have integer weights w_i
- Using the informal def, we can see that we will have subproblems for each of i = {1, 2, ..., n} and each integer 0 <= W <= W.
 We will therefore use OPT(i,w) to denote the value
- We will therefore use OPT(i,w) to denote the value of the optimal solution using a subset of the items {1, ..., n} with maximum allowed weight w, that is:

$$OPT(i, w) = \max_{S} \sum_{j \in S} w_j,$$

where $\sum_{j \in S} W_j \leq W$

Solution

 We are looking for OPT(n, W) which is defined as below:

- If $n \notin \mathcal{O}$, then OPT(n, W) = OPT(n 1, W), since we can simply ignore item n.
- If $n \in \mathcal{O}$, then $OPT(n, W) = w_n + OPT(n-1, W-w_n)$, since we now seek to use the remaining capacity of $W-w_n$ in an optimal way across items $1, 2, \ldots, n-1$.

One further improvement can be made. We will consider including n-th request only if $w_n \le W$

Solution - Generalised [Updated]

May May not include

Next step: Write the algorithm that builds up the array for all OPT(i,w) while computing each of them once.

Writing the algorithm

- Few questions before your begin:
 - What will be the dimensions for the array M storing optimal solution to all problems?
 - What recurrence will you use
 - What will you return?

```
m[0]=0
For j=1,2,...,n
m[j]=max (p<sub>j</sub> + m[p(j)], m[j-1])
End for
```

Writing the algorithm

- Few questions before your begin:
 - What will be the dimensions for the array M storing optimal solution to all problems? $\eta + |\chi \rangle + |\chi \rangle$
 - What recurrence will you use
 - What will you return? | aux we of 2-0 array M i.e M(n,W]

```
m[0]=0
For j=1,2,...,n
m[j]=max(p_j + m[p(j)], m[j-1])
End for
```

Algorithm

```
Subset-Sum(n, W)
  Array M[0...n,0...W]
  Initialize M[0, w] = 0 for each w = 0, 1, ..., W
  For i = 1, 2, ..., n
     For w = 0, \ldots, W
        Use the recurrence (6.8) to compute M[i, w]
      Endfor
  Endfor
  Return M[n, W]
```

If $w < w_i$ then OPT(i, w) = OPT(i - 1, w). Otherwise

 $OPT(i, w) = max(OPT(i - 1, w), w_i + OPT(i - 1, w - w_i)).$

Example Subset Sum

- W = 6, n = 3
- $w_1=2$, $w_2=2$, $w_3=3$
- Because the recursion is:

```
If w < w_i then \text{OPT}(i, w) = \text{OPT}(i-1, w). Otherwise \text{OPT}(i, w) = \max(\text{OPT}(i-1, w), w_i + \text{OPT}(i-1, w-w_i)).
```

 We need to find M[n.W]. Therefore, M will have n+1 (0 to n) rows and W+1 (0 to W) columns

If
$$w < w_i$$
 then $\text{OPT}(i, w) = \text{OPT}(i-1, w)$. Otherwise
$$\text{OPT}(i, w) = \max(\text{OPT}(i-1, w), w_i + \text{OPT}(i-1, w-w_i)).$$

$$W = 6, n=3$$

 $W_1=2, W_2=2, W_3=3$

Initialize M[0, w] = 0 for each w = 0, 1, ..., W Reason 0

For i = 1, 2, ..., n

For $w = 0, \ldots, W$

Use the recurrence (6.8) to compute M[i, w]

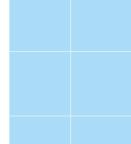
Endfor

Endfor

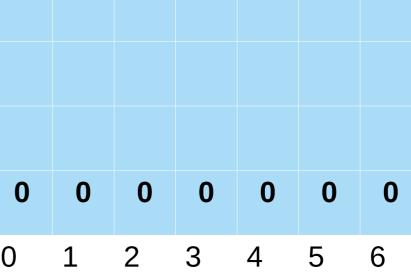
Return M[n, W]

3

0







```
W = 6, n=3
If w < w_i then OPT(i, w) = OPT(i - 1, w). Otherwise
                                                       W_1 = 2, W_2 = 2, W_3 = 3
  OPT(i, w) = max(OPT(i - 1, w), w_i + OPT(i - 1, w - w_i)).
    Subset-Sum(n, W)
                                                                i=1, w=0
      Array M[0...n,0...W]
      Initialize M[0, w] = 0 for each w = 0, 1, ..., W
      For i = 1, 2, ..., n
          For w = 0, \ldots, W
             Use the recurrence (6.8) to compute M[i, w]
           Endfor
                                         3
      Endfor
      Return M[n, W]
                                         1
                                              0
                                                 0 0 0 0 0
                                         0
                                                      2 3
                                                  1
                                                                4
                                                                    5
                                                                         6
```

```
W = 6, n=3
If w < w_i then OPT(i, w) = OPT(i - 1, w). Otherwise
                                                       W_1 = 2, W_2 = 2, W_3 = 3
  OPT(i, w) = max(OPT(i - 1, w), w_i + OPT(i - 1, w - w_i)).
    Subset-Sum(n, W)
                                                                 i=1, w=1
      Array M[0...n,0...W]
      Initialize M[0, w] = 0 for each w = 0, 1, ..., W
      For i = 1, 2, ..., n
          For w = 0, \ldots, W
             Use the recurrence (6.8) to compute M[i, w]
           Endfor
                                         3
      Endfor
      Return M[n, W]
                                         1
                                               0
                                                   0
                                         0
                                                        0 0 0 0
                                                       2 3
                                              0
                                                                4
                                                                     5
                                                                          6
```

```
W = 6, n=3
If w < w_i then OPT(i, w) = OPT(i - 1, w). Otherwise
                                                       W_1 = 2, W_2 = 2, W_3 = 3
  OPT(i, w) = max(OPT(i - 1, w), w_i + OPT(i - 1, w - w_i)).
    Subset-Sum(n, W)
                                                                 i=1, w=2
      Array M[0...n,0...W]
      Initialize M[0, w] = 0 for each w = 0, 1, ..., W
      For i = 1, 2, ..., n
          For w = 0, \ldots, W
             Use the recurrence (6.8) to compute M[i, w]
           Endfor
                                         3
      Endfor
      Return M[n, W]
                                         1
                                                   0
                                         0
                                                   0
                                                       0
                                                         0
                                                              0 0
                                                      2
                                                           3
                                                                4
                                                                    5
                                                                         6
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W = 6, n=3
If w < w_i then OPT(i, w) = OPT(i - 1, w). Otherwise
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  OPT(i, w) = max(OPT(i - 1, w), w_i + OPT(i - 1, w - w_i)).
    Subset-Sum(n, W)
                                                                i=1, w=3
      Array M[0...n,0...W]
      Initialize M[0, w] = 0 for each w = 0, 1, ..., W
      For i = 1, 2, ..., n
         For w = 0, \ldots, W
             Use the recurrence (6.8) to compute M[i, w]
           Endfor
                                        3
      Endfor
      Return M[n, W]
                                                  0 2 2
                                        1
                                        0
                                                  0 0 0
                                                              0
                                                                    0
                                                          3
                                                      2
                                                              4
                                                                   5
                                                                        6
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W = 6, n=3
If w < w_i then OPT(i, w) = OPT(i - 1, w). Otherwise
                                                      W_1 = 2, W_2 = 2, W_3 = 3
  OPT(i, w) = max(OPT(i - 1, w), w_i + OPT(i - 1, w - w_i)).
    Subset-Sum(n, W)
                                                                i=1, w=4
      Array M[0...n,0...W]
      Initialize M[0, w] = 0 for each w = 0, 1, ..., W
      For i = 1, 2, ..., n
          For w = 0, \ldots, W
             Use the recurrence (6.8) to compute M[i, w]
           Endfor
                                        3
      Endfor
      Return M[n, W]
                                                  0 2 2
                                        1
                                                  0 0 0 0
                                        0
                                                                     0
                                                           3
                                                                    5
                                                      2
                                                                         6
```

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W = 6, n=3
If w < w_i then OPT(i, w) = OPT(i - 1, w). Otherwise
                                                     W_1 = 2, W_2 = 2, W_3 = 3
  OPT(i, w) = max(OPT(i - 1, w), w_i + OPT(i - 1, w - w_i)).
    Subset-Sum(n, W)
                                                               i=1, w=5
      Array M[0...n,0...W]
      Initialize M[0, w] = 0 for each w = 0, 1, ..., W
      For i = 1, 2, ..., n
         For w = 0, \ldots, W
             Use the recurrence (6.8) to compute M[i, w]
           Endfor
                                        3
      Endfor
      Return M[n, W]
                                                  0 2 2 2 2
                                        1
                                                  0 0 0 0
                                        0
                                                                    0
                                                                   5
                                                     2
                                                          3
                                                                       6
```

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W = 6, n=3
If w < w_i then OPT(i, w) = OPT(i - 1, w). Otherwise
                                                     W_1 = 2, W_2 = 2, W_3 = 3
  OPT(i, w) = max(OPT(i - 1, w), w_i + OPT(i - 1, w - w_i)).
    Subset-Sum(n, W)
                                                               i=1, w=6
      Array M[0...n,0...W]
      Initialize M[0, w] = 0 for each w = 0, 1, ..., W
      For i = 1, 2, ..., n
         For w = 0, \ldots, W
             Use the recurrence (6.8) to compute M[i, w]
           Endfor
                                       3
      Endfor
      Return M[n, W]
                                                 0 2 2 2 2 2
                                       1
                                                 0 0 0 0
                                                                   0
                                       0
                                                     2
                                                         3
                                                                  5
                                                                       6
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W = 6, n=3
If w < w_i then OPT(i, w) = OPT(i - 1, w). Otherwise
                                                     W_1 = 2, W_2 = 2, W_3 = 3
  OPT(i, w) = max(OPT(i - 1, w), w_i + OPT(i - 1, w - w_i)).
    Subset-Sum(n, W)
                                                               i=2, w=0
      Array M[0...n,0...W]
      Initialize M[0, w] = 0 for each w = 0, 1, ..., W
      For i = 1, 2, ..., n
         For w = 0, \ldots, W
             Use the recurrence (6.8) to compute M[i, w]
           Endfor
                                       3
      Endfor
                                             0
      Return M[n, W]
                                                 0 2 2 2 2 2
                                       1
                                                 0 0 0 0
                                                                   0
                                       0
                                                     2
                                                         3
                                                                  5
                                                                       6
                                                              4
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  OPT(i, w) = max(OPT(i - 1, w), w_i + OPT(i - 1, w - w_i)).
    Subset-Sum(n, W)
                                                              i=2, w=1
      Array M[0...n,0...W]
      Initialize M[0, w] = 0 for each w = 0, 1, ..., W
      For i = 1, 2, ..., n
         For w = 0, \ldots, W
             Use the recurrence (6.8) to compute M[i, w]
           Endfor
                                       3
      Endfor
                                             0
                                                 0
      Return M[n, W]
                                                 0 2 2 2 2 2
                                       1
                                                 0 0 0 0
                                       0
                                                    2
                                                         3
                                            0
                                                             4
                                                                  5
                                                                      6
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                                                    W_1 = 2, W_2 = 2, W_3 = 3
  OPT(i, w) = max(OPT(i - 1, w), w_i + OPT(i - 1, w - w_i)).
    Subset-Sum(n, W)
                                                              i=2, w=2
      Array M[0...n,0...W]
      Initialize M[0, w] = 0 for each w = 0, 1, ..., W
      For i = 1, 2, ..., n
         For w = 0, \ldots, W
             Use the recurrence (6.8) to compute M[i, w]
          Endfor
                                       3
      Endfor
                                                0 2
      Return M[n, W]
                                                0 2 2 2 2 2
                                       1
                                                0 0 0 0
                                       0
                                                    2 3
                                                             4
                                                                 5
                                                                      6
```

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                                                             i=2, w=3
      Array M[0...n,0...W]
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      For i = 1, 2, ..., n
         For w = 0, \ldots, W
             Use the recurrence (6.8) to compute M[i, w]
          Endfor
                                      3
      Endfor
                                              0 2 2
      Return M[n, W]
                                                0 2 2 2 2 2
                                      1
                                                0 0 0 0
                                                                 0
                                      0
                                                        3 4
                                                   2
                                                                 5
                                                                     6
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If w < w_i then OPT(i, w) = OPT(i - 1, w). Otherwise
                                                    W_1 = 2, W_2 = 2, W_3 = 3
  OPT(i, w) = max(OPT(i - 1, w), w_i + OPT(i - 1, w - w_i)).
    Subset-Sum(n, W)
                                                             i=2, w=4
      Array M[0...n,0...W]
      Initialize M[0, w] = 0 for each w = 0, 1, ..., W
      For i = 1, 2, ..., n
         For w = 0, \ldots, W
             Use the recurrence (6.8) to compute M[i, w]
          Endfor
                                      3
      Endfor
                                              0 2 2 4
      Return M[n, W]
                                                0 2 2 2 2 2
                                      1
                                                0 0 0
                                                           0
                                                                 0
                                      0
                                                        3
                                                                5
                                                   2
                                                           4
                                                                     6
```

```
W = 6, n=3
If w < w_i then OPT(i, w) = OPT(i - 1, w). Otherwise
                                                   W_1 = 2, W_2 = 2, W_3 = 3
  OPT(i, w) = max(OPT(i - 1, w), w_i + OPT(i - 1, w - w_i)).
    Subset-Sum(n, W)
                                                             i=2, w=5
      Array M[0...n,0...W]
      Initialize M[0, w] = 0 for each w = 0, 1, ..., W
      For i = 1, 2, ..., n
         For w = 0, \ldots, W
             Use the recurrence (6.8) to compute M[i, w]
          Endfor
                                      3
      Endfor
                                           0 0 2 2 4 4
      Return M[n, W]
                                                0 2 2 2 2 2
                                      1
                                                0 0 0
                                                           0
                                                                 0
                                      0
                                                                5
                                                   2
                                                        3
                                                                     6
```

```
W = 6, n=3
If w < w_i then OPT(i, w) = OPT(i - 1, w). Otherwise
                                                   W_1 = 2, W_2 = 2, W_3 = 3
  OPT(i, w) = max(OPT(i - 1, w), w_i + OPT(i - 1, w - w_i)).
    Subset-Sum(n, W)
                                                            i=2, w=6
      Array M[0...n,0...W]
      Initialize M[0, w] = 0 for each w = 0, 1, ..., W
     For i = 1, 2, ..., n
         For w = 0, \ldots, W
            Use the recurrence (6.8) to compute M[i, w]
          Endfor
                                      3
      Endfor
                                           0 0 2 2 4 4 4
      Return M[n, W]
                                               0 2 2 2 2 2
                                      1
                                               0 0 0 0
                                                                 0
                                      0
                                                   2
                                                       3
                                                                5
                                                                    6
```

If
$$w < w_i$$
 then $\text{OPT}(i, w) = \text{OPT}(i-1, w)$. Otherwise
$$\text{OPT}(i, w) = \max(\text{OPT}(i-1, w), w_i + \text{OPT}(i-1, w-w_i)).$$

W = 6, n=3

$$w_1 = 2$$
, $w_2 = 2$, $w_3 = 3$

i=3, w=0

Array
$$M[0...n,0...W]$$

Initialize M[0, w] = 0 for each w = 0, 1, ..., W

For
$$i = 1, 2, ..., n$$

For
$$w = 0, \ldots, W$$

Use the recurrence (6.8) to compute M[i, w]

Endfor

Endfor

If
$$w < w_i$$
 then $\text{OPT}(i, w) = \text{OPT}(i-1, w)$. Otherwise
$$\text{OPT}(i, w) = \max(\text{OPT}(i-1, w), w_i + \text{OPT}(i-1, w-w_i)).$$

$$W = 6, n=3$$

 $W_1=2, W_2=2, W_3=3$

i=3, w=1

Array
$$M[0...n,0...W]$$

Initialize M[0, w] = 0 for each w = 0, 1, ..., W

For
$$i = 1, 2, ..., n$$

For
$$w = 0, \ldots, W$$

Use the recurrence (6.8) to compute M[i, w]

Endfor

Endfor

If
$$w < w_i$$
 then $\mathrm{OPT}(i,w) = \mathrm{OPT}(i-1,w)$. Otherwise
$$\mathrm{OPT}(i,w) = \max(\mathrm{OPT}(i-1,w), w_i + \mathrm{OPT}(i-1,w-w_i)).$$

$$W = 6, n=3$$

 $W_1=2, W_2=2, W_3=3$

i=3, w=2

Array M[0...n,0...W]

Initialize M[0, w] = 0 for each w = 0, 1, ..., W

For i = 1, 2, ..., n

For $w = 0, \ldots, W$

Use the recurrence (6.8) to compute M[i, w]

Endfor

Endfor

Return M[n, W]

3 0 0 2

2 0 0 2 2 4 4 4

1 0 0 2 2 2 2 2

0 0 0 0 0 0 0

0 1 2 3 4 5 6

If
$$w < w_i$$
 then $\text{OPT}(i, w) = \text{OPT}(i-1, w)$. Otherwise
$$\text{OPT}(i, w) = \max(\text{OPT}(i-1, w), w_i + \text{OPT}(i-1, w-w_i)).$$

$$W = 6, n=3$$

 $W_1=2, W_2=2, W_3=3$

i=3, w=3

Array
$$M[0...n,0...W]$$

Initialize M[0, w] = 0 for each w = 0, 1, ..., W

For
$$i = 1, 2, ..., n$$

For
$$w = 0, \ldots, W$$

Use the recurrence (6.8) to compute M[i, w]

Endfor

Endfor

Return M[n, W]

2 0 0 2 2 4 4 4

1 0 0 2 2 2 2 2

0 0 0 0 0 0 0

0 1 2 3 4 5 6

If
$$w < w_i$$
 then $\text{OPT}(i, w) = \text{OPT}(i-1, w)$. Otherwise
$$\text{OPT}(i, w) = \max(\text{OPT}(i-1, w), w_i + \text{OPT}(i-1, w-w_i)).$$

$$W = 6, n=3$$

 $W_1=2, W_2=2, W_3=3$

i=3, w=4

Array
$$M[0...n,0...W]$$

Initialize M[0, w] = 0 for each w = 0, 1, ..., W

For
$$i = 1, 2, ..., n$$

For
$$w = 0, \ldots, W$$

Use the recurrence (6.8) to compute M[i, w]

Endfor

Endfor

If
$$w < w_i$$
 then $\text{OPT}(i, w) = \text{OPT}(i-1, w)$. Otherwise
$$\text{OPT}(i, w) = \max(\text{OPT}(i-1, w), w_i + \text{OPT}(i-1, w-w_i)).$$

$$W = 6, n=3$$

 $W_1=2, W_2=2, W_3=3$

i=3, w=5

Array
$$M[0...n,0...W]$$

Initialize M[0, w] = 0 for each w = 0, 1, ..., W

For
$$i = 1, 2, ..., n$$

For
$$w = 0, \ldots, W$$

Use the recurrence (6.8) to compute M[i, w]

Endfor

Endfor

If
$$w < w_i$$
 then $\text{OPT}(i, w) = \text{OPT}(i-1, w)$. Otherwise
$$\text{OPT}(i, w) = \max(\text{OPT}(i-1, w), w_i + \text{OPT}(i-1, w-w_i)).$$

$$W = 6, n=3$$

 $W_1=2, W_2=2, W_3=3$

i=3, w=6

Array
$$M[0...n,0...W]$$

Initialize M[0, w] = 0 for each w = 0, 1, ..., W

For
$$i = 1, 2, ..., n$$

For
$$w = 0, \ldots, W$$

Use the recurrence (6.8) to compute M[i, w]

Endfor

Endfor

Time Complexity

```
Subset-Sum(n, W)
  Array M[0...n,0...W]
  Initialize M[0, w] = 0 for each w = 0, 1, ..., W
                                                         Runs for n*W
  For i = 1, 2, ..., n
                                                         times
     For w = 0, \ldots, W
        Use the recurrence (6.8) to compute M[i, w]
      Endfor
  Endfor
  Return M[n, W]
```

O(nW)

Another intuitive way to think about TC:

no. of cells to be filled? (n+1*W+1) =

Classwork Problem.

If
$$w < w_i$$
 then $\text{OPT}(i, w) = \text{OPT}(i-1, w)$. Otherwise
$$\text{OPT}(i, w) = \max(\text{OPT}(i-1, w), w_i + \text{OPT}(i-1, w-w_i)).$$

Try to create a table for W = 14 and n = 5 items of sizes/weights $w_1 = 7$, $w_2 = 3$, $w_3 = 2$, $w_4 = 5$, $w_5 = 8$. Find optimal value that is OPT(5,14).

jtem (weignt 2	OPT(n,w)
2	3	W=5kg.
3	工	
4	4	

Solution to homework problem

W = 14, n = 5

$$w_1$$
 = 7, w_2 = 3, w_3 = 2, w_4 = 5, w_5 = 8.

5	0	0	2	3	3	5	5	7	8	9	10	11	12	13	14
4	0	0	2	3	3	5	5	7	8	9	10	10	12	12	14
3	0	0	2	3	3	5	5	7	7	9	10	10	12	12	12
2	0	0	0	3	3	3	3	7	7	7	10	10	10	10	10
1	0	0	0	0	0	0	0	7	7	7	7	7	7	7	7
0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14

Find optimal solution

Optimal value != optimal solution in this case.

To find optimal solution, trace back through M as

done in WIS.

```
Find-Solution (i, w)
     if i = 0 then
          return nothing
     else
          if w < Wi
               Find-Solution(i-1, w)
          else if w_i + M[i-1, w-w_i] > M[i-1, w]
                output i and Find-Solution (i-1, w-wi)
          else
               Find-Solution(i-1, w)
          Endif
     Endif
```

Time complexity?

Scan the table. O(nW)

Programming Task.

- DRead input dala from file
- 2) Find optimal value using the remerence relations and stored values in M.
- 3 Display M
- (4) Find the optimal solution using M

Discussion -> tomorrow (04-02-2022)