

**CONTRACT NOTE CUM TAX INVOICE****(Tax Invoice under Section 31 of GST Act)****VENTURA SECURITIES LIMITED****NSE , BSE, MCX AND NCDEX : SEBI REGISTRATION
NO.INZ000194736****Corporate Office Address : B'Wing,8th Floor,Lodha I-Think Techno, Campus, Pokhran Road No.2, Off,Eastern Express Highway,Thane (w)-400607, TEL : 022 - 67547000.FAX : 022 - 25186080****Website:www.ventura1.com****Compliance Officer Details : Mr. D. P .Singh..Tel No.022-67547000 Email - compliance@ventura1.com , Investor Grievance Email id : complaints@ventura1.com****Dealing Office Address:**

Contract Note No. :	2769988		NSE DAILY								
Invoice Reference Number (IRN) :	33868065	Settlement No:	2021200								
Trade Date :	22-Oct-21	Settlement Date:	26-Oct-21								
Name of the Client :	PRASHANT JAWAHAR SARKAR										
Address Of the Client :	KAMANA CHS, BUILDIND NO-1, FLAT NO-11, 4TH FLOOR, S K Bhole ROAD, NEAT TO SIDDI VINAYAK TEMPLE, DADAR WEST MUMBAI 400028										
Phone of the Client :	9821166850										
State / State Code (Place of Supply) :	MAHARASHTRA										
PAN Of the Client :	AAOPS7996M DPID:IN300159 CL ID:10197446										
UCC of the Client :	P123										
Trading Back office code: :	P123										
GST Identification No.Of Client (if available) :											

	NSE DAILY								
*Trading/ Back Office Code (If Different from UCC)									

Order No	Order Time	Trade No.	Trade Time	Security/ Contract description	Buy/ Sell (B/S)	Quant ity	Gross Rate/ Trade Price Per Unit (Rs)	Brokerage Per unit (Rs)	Net Rate Per unit (Rs)	Closing Rate Per unit only Der.(Rs)	Net Total (Before Levies) (Rs)	Rem ark
NSE DAILY												
1100000027216379	03:18:45 PM	33014792	03:18:45 PM	EVEREST KANT ISIN:INE184H01027	B	102	113.45	0.0907	113.5407		11581.15	
1100000027216379	03:18:45 PM	33014793	03:18:45 PM	EVEREST KANT ISIN:INE184H01027	B	438	113.45	0.0907	113.5407		49730.83	
1100000027216379	03:18:45 PM	33014794	03:18:45 PM	EVEREST KANT ISIN:INE184H01027	B	202	113.45	0.0907	113.5407		22935.22	
1100000027216379	03:18:45 PM	33014795	03:18:45 PM	EVEREST KANT ISIN:INE184H01027	B	500	113.50	0.0908	113.5908		56795.40	
1100000027216379	03:18:45 PM	33014796	03:18:45 PM	EVEREST KANT ISIN:INE184H01027	B	122	113.50	0.0908	113.5908		13858.08	

1100000027216379	03:18:45 PM	33014797	03:18:45 PM	EVEREST KANT ISIN:INE184H01027	B	200	113.65	0.0909	113.7409		22748.18
1100000027216379	03:18:45 PM	33014798	03:18:45 PM	EVEREST KANT ISIN:INE184H01027	B	200	113.75	0.0910	113.8410		22768.20
1100000027216379	03:22:18 PM	33157128	03:22:18 PM	EVEREST KANT ISIN:INE184H01027	B	100	114.95	0.0919	115.0419		11504.19
1100000027216379	03:22:18 PM	33157129	03:22:18 PM	EVEREST KANT ISIN:INE184H01027	B	22	115.00	0.0920	115.0920		2532.02
1100000027216379	03:22:18 PM	33157624	03:22:19 PM	EVEREST KANT ISIN:INE184H01027	B	65	115.00	0.0920	115.0920		7480.98
1100000027216379	03:23:56 PM	33202126	03:23:56 PM	EVEREST KANT ISIN:INE184H01027	B	5	115.00	0.0920	115.0920		575.46
1100000027216379	03:23:56 PM	33202127	03:23:56 PM	EVEREST KANT ISIN:INE184H01027	B	300	115.00	0.0920	115.0920		34527.60
1100000027216379	03:23:56 PM	33202131	03:23:56 PM	EVEREST KANT ISIN:INE184H01027	B	4	115.00	0.0920	115.0920		460.37
1100000027216379	03:23:56 PM	33202118	03:23:56 PM	EVEREST KANT ISIN:INE184H01027	B	4	114.95	0.0919	115.0419		460.17
1100000027216379	03:23:56 PM	33202119	03:23:56 PM	EVEREST KANT ISIN:INE184H01027	B	57	114.95	0.0919	115.0419		6557.39
1100000027216379	03:23:56 PM	33202120	03:23:56 PM	EVEREST KANT ISIN:INE184H01027	B	438	114.95	0.0919	115.0419		50388.35
1100000027216379	03:23:56 PM	33202121	03:23:56 PM	EVEREST KANT ISIN:INE184H01027	B	121	114.95	0.0919	115.0419		13920.07
1100000027216379	03:23:56 PM	33202122	03:23:56 PM	EVEREST KANT ISIN:INE184H01027	B	355	115.00	0.0920	115.0920		40857.66
1100000027216379	03:23:56 PM	33202123	03:23:56 PM	EVEREST KANT ISIN:INE184H01027	B	193	115.00	0.0920	115.0920		22212.76
1100000027216379	03:23:56 PM	33202124	03:23:56 PM	EVEREST KANT ISIN:INE184H01027	B	28	115.00	0.0920	115.0920		3222.58
1100000027216379	03:23:56 PM	33202125	03:23:56 PM	EVEREST KANT ISIN:INE184H01027	B	275	115.00	0.0920	115.0920		31650.30
1100000027216379	03:23:56 PM	33202134	03:23:56 PM	EVEREST KANT ISIN:INE184H01027	B	1	115.00	0.0920	115.0920		115.09
1100000027216379	03:23:56 PM	33202135	03:23:56 PM	EVEREST KANT ISIN:INE184H01027	B	1	115.00	0.0920	115.0920		115.09
1100000027216379	03:23:56 PM	33202390	03:23:57 PM	EVEREST KANT ISIN:INE184H01027	B	5	115.00	0.0920	115.0920		575.46
1100000027216379	03:24:21 PM	33224165	03:24:36 PM	EVEREST KANT ISIN:INE184H01027	B	168	116.00	0.0928	116.0928		19503.59
1100000027216379	03:24:21 PM	33224163	03:24:36 PM	EVEREST KANT ISIN:INE184H01027	B	446	116.00	0.0928	116.0928		51777.39
1100000027216379	03:24:21 PM	33226867	03:24:40 PM	EVEREST KANT ISIN:INE184H01027	B	33	116.00	0.0928	116.0928		3831.06
1100000027216379	03:24:21 PM	33228748	03:24:44 PM	EVEREST KANT ISIN:INE184H01027	B	500	116.00	0.0928	116.0928		58046.40
1100000027216379	03:24:21 PM	33229333	03:24:45 PM	EVEREST KANT ISIN:INE184H01027	B	1	116.00	0.0928	116.0928		116.09
1100000027216379	03:24:21 PM	33232160	03:24:52 PM	EVEREST KANT ISIN:INE184H01027	B	3	116.00	0.0928	116.0928		348.28
1100000027216379	03:24:21 PM	33215413	03:24:21 PM	EVEREST KANT ISIN:INE184H01027	B	100	116.00	0.0928	116.0928		11609.28
1100000027216379	03:24:21 PM	33215403	03:24:21 PM	EVEREST KANT ISIN:INE184H01027	B	5	115.70	0.0925	115.7925		578.96
1100000027216379	03:24:21 PM	33215404	03:24:21 PM	EVEREST KANT ISIN:INE184H01027	B	290	115.75	0.0926	115.8426		33594.35
1100000027216379	03:24:21 PM	33215405	03:24:21 PM	EVEREST KANT ISIN:INE184H01027	B	66	116.00	0.0928	116.0928		7662.12
1100000027216379	03:24:21 PM	33215406	03:24:21 PM	EVEREST KANT ISIN:INE184H01027	B	40	116.00	0.0928	116.0928		4643.71
1100000027216379	03:24:21 PM	33215407	03:24:21 PM	EVEREST KANT ISIN:INE184H01027	B	1	116.00	0.0928	116.0928		116.09
1100000027216379	03:24:21 PM	33215408	03:24:21 PM	EVEREST KANT ISIN:INE184H01027	B	1	116.00	0.0928	116.0928		116.09
1100000027216379	03:24:21 PM	33215409	03:24:21 PM	EVEREST KANT ISIN:INE184H01027	B	10	116.00	0.0928	116.0928		1160.93
1100000027216379	03:24:21 PM	33215410	03:24:21 PM	EVEREST KANT ISIN:INE184H01027	B	25	116.00	0.0928	116.0928		2902.32
1100000027216379	03:24:21 PM	33215411	03:24:21 PM	EVEREST KANT ISIN:INE184H01027	B	10	116.00	0.0928	116.0928		1160.93
1100000027216379	03:24:21 PM	33215412	03:24:21 PM	EVEREST KANT ISIN:INE184H01027	B	2	116.00	0.0928	116.0928		232.19
1100000027216379	03:24:21 PM	33215414	03:24:21 PM	EVEREST KANT ISIN:INE184H01027	B	500	116.00	0.0928	116.0928		58046.40
1100000027216379	03:24:21 PM	33215415	03:24:21 PM	EVEREST KANT ISIN:INE184H01027	B	300	116.00	0.0928	116.0928		34827.84
1100000027216379	03:24:21 PM	33215416	03:24:21 PM	EVEREST KANT ISIN:INE184H01027	B	500	116.00	0.0928	116.0928		58046.40
1100000027216379	03:24:21 PM	33215417	03:24:21 PM	EVEREST KANT ISIN:INE184H01027	B	100	116.00	0.0928	116.0928		11609.28
1100000027216379	03:24:21 PM	33215418	03:24:21 PM	EVEREST KANT ISIN:INE184H01027	B	100	116.00	0.0928	116.0928		11609.28
1100000027216379	03:24:21 PM	33215419	03:24:21 PM	EVEREST KANT ISIN:INE184H01027	B	100	116.00	0.0928	116.0928		11609.28
1100000027216379	03:24:21 PM	33215420	03:24:21 PM	EVEREST KANT ISIN:INE184H01027	B	288	116.00	0.0928	116.0928		33434.73
1100000027216379	03:24:21 PM	33215423	03:24:21 PM	EVEREST KANT ISIN:INE184H01027	B	65	116.00	0.0928	116.0928		7546.03
1100000027216379	03:22:18 PM	33157125	03:22:18 PM	EVEREST KANT ISIN:INE184H01027	B	8	114.95	0.0919	115.0419		920.34

1100000027216379	03:22:18 PM	33157126	03:22:18 PM	EVEREST KANT ISIN:INE184H01027	B	438	114.95	0.0919	115.0419		50388.35	
1100000027216379	03:22:18 PM	33157127	03:22:18 PM	EVEREST KANT ISIN:INE184H01027	B	20	114.95	0.0919	115.0419		2300.84	
1100000027216379	03:22:18 PM	33157130	03:22:18 PM	EVEREST KANT ISIN:INE184H01027	B	147	115.00	0.0920	115.0920		16918.52	
1100000027216379	03:22:18 PM	33157131	03:22:18 PM	EVEREST KANT ISIN:INE184H01027	B	500	115.00	0.0920	115.0920		57546.00	
1100000027216379	03:24:21 PM	33215424	03:24:21 PM	EVEREST KANT ISIN:INE184H01027	B	25	116.00	0.0928	116.0928		2902.32	
1100000027216379	03:24:21 PM	33215425	03:24:21 PM	EVEREST KANT ISIN:INE184H01027	B	25	116.00	0.0928	116.0928		2902.32	
1100000027216379	03:24:21 PM	33215426	03:24:21 PM	EVEREST KANT ISIN:INE184H01027	B	85	116.00	0.0928	116.0928		9867.89	
1100000027216379	03:24:21 PM	33215789	03:24:22 PM	EVEREST KANT ISIN:INE184H01027	B	10	116.00	0.0928	116.0928		1160.93	
1100000027216379	03:24:21 PM	33215794	03:24:22 PM	EVEREST KANT ISIN:INE184H01027	B	50	116.00	0.0928	116.0928		5804.64	
1100000027216379	03:24:21 PM	33216266	03:24:23 PM	EVEREST KANT ISIN:INE184H01027	B	5	116.00	0.0928	116.0928		580.46	
1100000027216379	03:24:21 PM	33216626	03:24:23 PM	EVEREST KANT ISIN:INE184H01027	B	4	116.00	0.0928	116.0928		464.37	
1100000027216379	03:24:21 PM	33217693	03:24:25 PM	EVEREST KANT ISIN:INE184H01027	B	100	116.00	0.0928	116.0928		11609.28	
1100000027216379	03:24:21 PM	33218695	03:24:27 PM	EVEREST KANT ISIN:INE184H01027	B	500	116.00	0.0928	116.0928		58046.40	
1100000027216379	03:25:03 PM	33236623	03:25:03 PM	EVEREST KANT ISIN:INE184H01027	B	8	116.20	0.0929	116.2929		930.34	
1100000027216379	03:25:03 PM	33236624	03:25:03 PM	EVEREST KANT ISIN:INE184H01027	B	3	116.25	0.0930	116.3430		349.03	
1100000027216379	03:25:03 PM	33236625	03:25:03 PM	EVEREST KANT ISIN:INE184H01027	B	438	116.25	0.0930	116.3430		50958.23	
1100000027216379	03:25:03 PM	33236626	03:25:03 PM	EVEREST KANT ISIN:INE184H01027	B	72	116.30	0.0930	116.3930		8380.30	
1100000027216379	03:18:45 PM	33014799	03:18:45 PM	EVEREST KANT ISIN:INE184H01027	B	200	113.85	0.0910	113.9410		22788.20	
1100000027216379	03:18:45 PM	33014800	03:18:45 PM	EVEREST KANT ISIN:INE184H01027	B	25	113.95	0.0911	114.0411		2851.03	
1100000027216379	03:18:45 PM	33014801	03:18:45 PM	EVEREST KANT ISIN:INE184H01027	B	1	114.00	0.0912	114.0912		114.09	
1100000027216379	03:18:45 PM	33014802	03:18:45 PM	EVEREST KANT ISIN:INE184H01027	B	3	114.00	0.0912	114.0912		342.27	
1100000027216379	03:18:45 PM	33014803	03:18:45 PM	EVEREST KANT ISIN:INE184H01027	B	158	114.00	0.0912	114.0912		18026.41	
1100000027216379	03:18:45 PM	33014804	03:18:45 PM	EVEREST KANT ISIN:INE184H01027	B	1000	114.00	0.0912	114.0912		114091.20	
1100000027216379	03:18:45 PM	33014805	03:18:45 PM	EVEREST KANT ISIN:INE184H01027	B	200	114.00	0.0912	114.0912		22818.24	
1100000027216379	03:18:45 PM	33014806	03:18:45 PM	EVEREST KANT ISIN:INE184H01027	B	5	114.00	0.0912	114.0912		570.46	
1100000027216379	03:18:45 PM	33014807	03:18:45 PM	EVEREST KANT ISIN:INE184H01027	B	40	114.00	0.0912	114.0912		4563.65	
1100000027216379	03:18:45 PM	33014808	03:18:45 PM	EVEREST KANT ISIN:INE184H01027	B	100	114.00	0.0912	114.0912		11409.12	
1100000027216379	03:18:45 PM	33014813	03:18:45 PM	EVEREST KANT ISIN:INE184H01027	B	4	114.00	0.0912	114.0912		456.36	
1100000027216379	03:18:45 PM	33014815	03:18:45 PM	EVEREST KANT ISIN:INE184H01027	B	222	114.00	0.0912	114.0912		25328.25	
1100000027216379	03:18:45 PM	33014818	03:18:45 PM	EVEREST KANT ISIN:INE184H01027	B	10	114.00	0.0912	114.0912		1140.91	
1100000027216379	03:18:45 PM	33014819	03:18:45 PM	EVEREST KANT ISIN:INE184H01027	B	10	114.00	0.0912	114.0912		1140.91	
1100000027216379	03:18:45 PM	33014824	03:18:45 PM	EVEREST KANT ISIN:INE184H01027	B	21	114.00	0.0912	114.0912		2395.92	
1100000027216379	03:18:45 PM	33014829	03:18:45 PM	EVEREST KANT ISIN:INE184H01027	B	17	114.00	0.0912	114.0912		1939.55	
1100000027216379	03:18:45 PM	33014830	03:18:45 PM	EVEREST KANT ISIN:INE184H01027	B	10	114.00	0.0912	114.0912		1140.91	
1100000027216379	03:18:45 PM	33016427	03:18:47 PM	EVEREST KANT ISIN:INE184H01027	B	144	114.00	0.0912	114.0912		16429.13	
				Scrip Total		12000					1381278.80	
				Net Del		12000.00						
1300000006663639	10:13:08 AM	77042746	10:13:08 AM	RAIL VIKAS NIGAM LTD ISIN:INE415G01027	S	-3948	40.60	0.0324	40.5676		-160160.88	
1300000006663639	10:13:08 AM	77042757	10:13:08 AM	RAIL VIKAS NIGAM LTD ISIN:INE415G01027	S	-176	40.60	0.0324	40.5676		-7139.90	
1300000006663639	10:13:08 AM	77042747	10:13:08 AM	RAIL VIKAS NIGAM LTD ISIN:INE415G01027	S	-1858	40.60	0.0324	40.5676		-75374.60	
1300000006663639	10:13:08 AM	77042748	10:13:08 AM	RAIL VIKAS NIGAM LTD ISIN:INE415G01027	S	-122	40.60	0.0324	40.5676		-4949.25	
1300000006663639	10:13:08 AM	77042749	10:13:08 AM	RAIL VIKAS NIGAM LTD ISIN:INE415G01027	S	-194	40.60	0.0324	40.5676		-7870.11	
1300000006663639	10:13:08 AM	77042750	10:13:08 AM	RAIL VIKAS NIGAM LTD ISIN:INE415G01027	S	-200	40.60	0.0324	40.5676		-8113.52	
1300000006663639	10:13:08 AM	77042751	10:13:08 AM	RAIL VIKAS NIGAM LTD ISIN:INE415G01027	S	-400	40.60	0.0324	40.5676		-16227.04	

1300000006663639	10:13:08 AM	77042752	10:13:08 AM	RAIL VIKAS NIGAM LTD ISIN:INE415G01027	S	-119	40.60	0.0324	40.5676		-4827.54	
1300000006663639	10:13:08 AM	77042753	10:13:08 AM	RAIL VIKAS NIGAM LTD ISIN:INE415G01027	S	-119	40.60	0.0324	40.5676		-4827.54	
1300000006663639	10:13:08 AM	77042754	10:13:08 AM	RAIL VIKAS NIGAM LTD ISIN:INE415G01027	S	-514	40.60	0.0324	40.5676		-20851.75	
1300000006663639	10:13:08 AM	77042755	10:13:08 AM	RAIL VIKAS NIGAM LTD ISIN:INE415G01027	S	-200	40.60	0.0324	40.5676		-8113.52	
1300000006663639	10:13:08 AM	77042756	10:13:08 AM	RAIL VIKAS NIGAM LTD ISIN:INE415G01027	S	-150	40.60	0.0324	40.5676		-6085.14	
1300000018425481	01:21:34 PM	80221017	01:21:34 PM	RAIL VIKAS NIGAM LTD ISIN:INE415G01027	S	-4090	39.30	0.0314	39.2686		-160608.57	
1300000018425481	01:21:34 PM	80221018	01:21:34 PM	RAIL VIKAS NIGAM LTD ISIN:INE415G01027	S	-150	39.25	0.0314	39.2186		-5882.79	
1300000018425481	01:21:34 PM	80221019	01:21:34 PM	RAIL VIKAS NIGAM LTD ISIN:INE415G01027	S	-150	39.25	0.0314	39.2186		-5882.79	
1300000018425481	01:21:34 PM	80221020	01:21:34 PM	RAIL VIKAS NIGAM LTD ISIN:INE415G01027	S	-1	39.25	0.0314	39.2186		-39.22	
1300000018425481	01:21:34 PM	80221021	01:21:34 PM	RAIL VIKAS NIGAM LTD ISIN:INE415G01027	S	-1	39.25	0.0314	39.2186		-39.22	
1300000018425481	01:21:34 PM	80221022	01:21:34 PM	RAIL VIKAS NIGAM LTD ISIN:INE415G01027	S	-2000	39.25	0.0314	39.2186		-78437.20	
1300000018425481	01:21:34 PM	80221023	01:21:34 PM	RAIL VIKAS NIGAM LTD ISIN:INE415G01027	S	-5	39.25	0.0314	39.2186		-196.09	
1300000018425481	01:21:34 PM	80221024	01:21:34 PM	RAIL VIKAS NIGAM LTD ISIN:INE415G01027	S	-2600	39.25	0.0314	39.2186		-101968.36	
1300000018425481	01:21:34 PM	80221025	01:21:34 PM	RAIL VIKAS NIGAM LTD ISIN:INE415G01027	S	-1500	39.25	0.0314	39.2186		-58827.90	
1300000018425481	01:21:34 PM	80221026	01:21:34 PM	RAIL VIKAS NIGAM LTD ISIN:INE415G01027	S	-1373	39.25	0.0314	39.2186		-53847.14	
1300000018425481	01:21:34 PM	80221027	01:21:34 PM	RAIL VIKAS NIGAM LTD ISIN:INE415G01027	S	-130	39.25	0.0314	39.2186		-5098.42	
				Scrip Total		-20000					-795368.50	
				Net Del		-	20000.0					
						0						

				NSE DAILY Total							5,85,910.30	
				Grand Total							5,85,910.30	

	NSE DAILY								Total Net
PAY In/ PAY OUT OBLIGATION	5,85,910.30								5,85,910.30
Taxable value of supply	1,799.84								1,799.84
Securities Transaction Tax (Rs.)	2,176.00								2,176.00
Commodities Transaction Tax (Rs)	0.00								0.00
CGST (Rs.) *(Rate @ 9% of TV)	161.99								161.99
SGST (Rs.) *(Rate @ 9% of TV)	161.99								161.99
IGST (Rs.) *(Rate @18% of TV)	0.00								0.00
UTT (Rs.)*(Rate @18% of TV)	0.00								0.00
Exchange Transc Charg (Rs.)	59.84								59.84
Turnover Charges (Rs.)	0								0
SEBI turnover Fees (Rs.)	1.09								1.09
Stamp Duty (Rs.)	207.00								207.00
/ (payable by Client) (Rs.)	5,88,678.21								5,88,678.21

1 Applicable only in case of cross currency contracts

2 To be converted into INR, based on RBI reference rate as on the date of transaction, in case cross currency contracts

3 To be calculated in accordance with the provisions of the applicable GST Laws issued from time to time

4 CGST:-Central GST; SGST: - State GST; IGST:-Integrated GST; UTT: - Union Territory Tax. Details of trade-wise levies shall be provided on request.

MCX/NCDEX:

For Buy Transactions: You will be liable to pay to the seller GST and all other applicable taxes & levies along with any other charges as may be applicable and payable under the relevant provisions of the Central & State laws And for payment of other taxes And levies as may be applicable for the contract And for complying with the Rules, Bye-laws And Regulations of the Exchange, for issuance of valid tax invoices/certificates/declarations/ forms fulfil any other requirements As may be applicable And also For compliance under the Food Safety Standards Acts(FSSAI), Rules & Regulations , And any other Central & State legislations upon purchase Of commodities covered by the contract. Please pay the amount shown For purchase Of contracts/commodities As the Case may be.

For Sale Transactions: You will be responsible For complying With

GST laws, Commodities Transaction Tax (CTT) And all applicable taxes & levies along with any other charges as may be applicable and payable under the relevant provisions of the Central & State laws as may be applicable for the contract and for complying with the Rules, Bye-laws and Regulations of the Exchange including the Income Tax laws and any Central & State levies & taxes as applicable for the contract and comply with the Rules, Bye-laws and Regulations of the Exchange, for issuance of invoices, to provide valid tax certificates /declaration forms and also fulfil any other requirements as may be applicable and also for compliance under the Food Safety Standards Acts(FSSAI), Rules & Regulations, and any other Central & State legislations upon sale of commodities covered by the contract. Please initiate necessary electronic transfer of Commodities immediately as per the process prescribed by the Exchange for the sale transaction/s in case of delivery based transactions as the case may be. Other Levies if any: Buy/Sale Rate excludes/includes as the case may be the applicable GST, CTT and other levies and charges as applicable, recoverable by/from you as the case may be, separately on the final settlement price of the contract.

19. (1) No person shall, directly or indirectly, acquire or hold equity shares of a recognised stock exchange or recognised clearing corporation unless he is a fit and proper person.

(2) Any person who, directly or indirectly, either individually or together with persons acting in concert, acquire equity shares such that his shareholding exceeds two per cent . of the paid up equity share capital of a recognised stock exchange or recognised clearing corporation shall seek approval of the Board within fifteen days of the acquisition.

(3) A person eligible to acquire or hold more than five per cent of the paid up equity share capital under sub-regulation (2) of regulation 17 and sub-regulation (2) of regulation 18 may acquire or hold more than five per cent. of the paid up equity share capital of a recognised stock exchange or a recognised clearing corporation only if he has obtained prior approval of the Board.

(4) Any person holding more than two per cent. of the paid up equity share capital of the recognised stock exchange or the clearing corporation on the date of commencement of these regulations, shall ensure compliance with this regulation within a period of ninety days from the date of such commencement.

(5) If approval under sub-regulation (2) or (3) is not granted by the Board to any person, such person shall forthwith divest his excess shareholding.

(6) Any person holding more than two per cent of the paid up equity share capital in a recognised stock exchange or a recognised clearing corporation, as the case may be, shall file a declaration within fifteen days from the end

of every financial year to the recognised stock exchange or recognised clearing corporation, as the case may be, that he complies with the fit and proper criteria provided in these regulations.

Fit and proper criteria.

20.(1) For the purposes of these regulations, a person shall be deemed to be a fit and proper person if-

(a) such person has a general reputation and record of fairness and integrity, including but not limited to-

- (i) financial integrity;
- (ii) good reputation and character;
- (iii) honesty;

(b) such person has not incurred any of the following disqualifications-

- (i) the person, or any of its whole time directors or managing partners, has been convicted by a court for any offence involving moral turpitude or any economic offence or any offence against the securities laws;
- (ii) an order for winding up has been passed against the person;
- (iii) the person, or any of its whole time directors or managing partners, has been declared insolvent and has not been discharged;
- (iv) an order, restraining, prohibiting or debarring the person, or any of its whole time directors or managing partners, from dealing in securities or from accessing the securities market, has been passed by the Board or any other regulatory authority, and a period of three years from the date of the expiry of the period specified in the order has not elapsed;
- (v) any other order against the person, or any of its whole time directors or managing partners, which has a bearing on the securities market, has been passed by the Board or any other regulatory authority, and a period of three years from the date of the order has not elapsed;
- (vi) the person has been found to be of unsound mind by a court of competent jurisdiction and the finding is in force;
- (vii) the person is financially not sound.

(2) If any question arises as to whether a person is a fit and proper person, the Board's decision on such question shall be final. Schedule II of the SEBI (Intermediaries) Regulations, 2008 applicable to clients dealing in securities or Depositories.

(See regulation 7) Criteria for determining a 'fit and proper person'

For the purpose of determining whether an applicant or the intermediary is a 'fit and proper person' the Board may take account of any consideration as it deems fit, including but not limited to the following criteria in relation to the applicant or the intermediary , the principal officer [the director, the promoter] and the key management person by whatever name called-

- (a) Integrity, reputation and character;
- (b) absence of convictions and restraint orders;
- (c) competence including financial solvency and net worth;
- (d) absence of categorization as wilful defaulter.

Date : 22-Oct-2021
Place : Mumbai

Your FaithFully
for VENTURA SECURITIES LIMITED

Authorised Signatory
PAN of Trading MemberAAACV1361J
GSTIN of Trading Member27AAACV1361J1ZC
Description of Service : Securities Broker
Description of Service : Securities Broker
Accounting code of services : 997152

P123		BILL-SUMMARY						
Particulars	NSE DAILY							
Amount Per Contract	5,88,678.21							
Demat Charge	0.00							
CM Charges	0.00							
CGST	0.00							
SGST	0.00							
IGST	0.00							
UTT	0.00							
Amount as per Bill	5,88,678.21							