



JM FINANCIAL SERVICES LTD.

Registered Office : 7th Floor, Cnergy Appasaheb, Marathe Marg, Prabhadevi, Mumbai - 400025 Tel No: 022 66303030 Fax No: 022 66303223
Corporate Office : 5th Floor, Cnergy Appasaheb, Marathe Marg, Prabhadevi, Mumbai - 400025 Tel No: 022 67040404 Fax No: 022 67043136
CIN. No.: U67120MH1998PLC115415, SEBI Regn.No.: INZ000195834
Visit us at : www.jmfinancialservices.in Email ID For Investor Complaints: ig.broking@jmfsl.com Tel No: 022-50237000
Compliance Officer: Mr.Manishkumar Sabu(Email id: manish.sabu@jmfsl.com , Tel: 022-45057400)

Dealing Office Address : OFFICE NOG1 GROUND FLOORBORIVALI NEELKANTH NGR,MUMBAI -400092, TEL NO: 30215400/30215400, FAX: 30215454, GST NO: 27AACJ5977A1ZL

RAMESH KUMAR GUPTA
G 004 SPRINGS ISLAND CITY CTR
G D AMBEKAR MARG
DADAR EAST
MUMBAI,Maharashtra - 400014
9820027279

CLIENT CODE (UCC) : 10121312
Trading Back office code : 10121312
PAN NUMBER : AACPG5832G
CONTRACT NOTE NO. : 1657561
Trade Date : 07/01/2022

CLEARING CORPORATION	NCL CAPITAL	NCL Derivatives
SETTLEMENT NO	2022005-Normal	-
SETTLEMENT DATE	11/01/2022	07/01/2022

Delivery Mode : By Courier

GST NO. : 27AACJ5977A1ZL

Service Address : OFFICE NOG1 GROUND FLOORBORIVALI NEELKANTH NGR,MUMBAI -400092, TEL NO: 30215400/30215400, FAX: 30215454, GST NO: 27AACJ5977A1ZL

Sir/Madam,

I / We have this day done by your order and on your account the following transactions:

Order No.	Order Time	Trade No.	Trade Time	Security/Contract Description	BUY / SELL	Qty	Gross Rate/Trade Price Per Unit (₹)	Brokerage Per Unit (₹)	Net Rate Per Unit (₹)	Closing Rate per Unit (Only for Derivatives) (₹)	Net Total (Before Levies) (₹)	REM-ARKS
NSE - CAPITAL - Normal (2022005 - N - 11/01/2022 - Ref. No: 1402702)												
1100000009784846	11:47:27	27703733	11:47:27	DIXON TECHNO (INDIA)	B	26	5283.95	5.284	5289.23	0	-137520.08	
1100000009784846	11:47:27	27703734	11:47:27	DIXON TECHNO (INDIA)	B	4	5283.95	5.284	5289.23	0	-21156.94	
1100000009784846	11:47:27	27703735	11:47:27	DIXON TECHNO (INDIA)	B	6	5283.95	5.284	5289.23	0	-31735.40	
1100000009784846	11:47:27	27703736	11:47:27	DIXON TECHNO (INDIA)	B	4	5283.95	5.284	5289.23	0	-21156.94	
1100000009784846	11:47:27	27703737	11:47:27	DIXON TECHNO (INDIA)	B	6	5283.95	5.284	5289.23	0	-31735.40	
1100000009784846	11:47:27	27703738	11:47:27	DIXON TECHNO (INDIA)	B	4	5283.95	5.284	5289.23	0	-21156.94	
1100000009784846	11:47:27	27703739	11:47:27	DIXON TECHNO (INDIA)	B	6	5283.95	5.284	5289.23	0	-31735.40	
1100000009784846	11:47:27	27703740	11:47:27	DIXON TECHNO (INDIA)	B	4	5283.95	5.284	5289.23	0	-21156.94	
1100000009784846	11:47:27	27703741	11:47:27	DIXON TECHNO (INDIA)	B	6	5283.95	5.284	5289.23	0	-31735.40	
1100000009784846	11:47:27	27703742	11:47:27	DIXON TECHNO (INDIA)	B	4	5283.95	5.284	5289.23	0	-21156.94	
1100000009784846	11:47:27	27703743	11:47:27	DIXON TECHNO (INDIA)	B	6	5283.95	5.284	5289.23	0	-31735.40	
1100000009784846	11:47:27	27703744	11:47:27	DIXON TECHNO (INDIA)	B	4	5283.95	5.284	5289.23	0	-21156.94	
1100000009784846	11:47:27	27703745	11:47:27	DIXON TECHNO (INDIA)	B	6	5283.95	5.284	5289.23	0	-31735.40	
1100000009784846	11:47:27	27703746	11:47:27	DIXON TECHNO (INDIA)	B	2	5283.95	5.284	5289.23	0	-10578.47	
1100000009784846	11:47:27	27703747	11:47:27	DIXON TECHNO (INDIA)	B	2	5283.95	5.284	5289.23	0	-10578.47	
1100000009784846	11:48:44	27720961	11:48:44	DIXON TECHNO (INDIA)	B	35	5291.35	5.2914	5296.64	0	-185382.45	
1100000010077293	11:51:52	27775230	11:52:04	DIXON TECHNO (INDIA)	B	1	5285.00	5.285	5290.28	0	-5290.28	
1100000010077293	11:51:52	27775242	11:52:04	DIXON TECHNO (INDIA)	B	2	5285.00	5.285	5290.28	0	-10580.57	
1100000010077293	11:51:52	27775661	11:52:04	DIXON TECHNO (INDIA)	B	10	5285.00	5.285	5290.28	0	-52902.85	
1100000010077293	11:51:52	27775663	11:52:04	DIXON TECHNO (INDIA)	B	2	5285.00	5.285	5290.28	0	-10580.57	
1100000010077293	11:51:52	27775664	11:52:04	DIXON TECHNO (INDIA)	B	1	5285.00	5.285	5290.28	0	-5290.28	
1100000010077293	11:51:52	27776392	11:52:05	DIXON TECHNO (INDIA)	B	17	5285.00	5.285	5290.28	0	-89934.85	
1100000010077293	11:51:52	27776395	11:52:05	DIXON TECHNO (INDIA)	B	13	5285.00	5.285	5290.28	0	-68773.71	
1100000010077293	11:51:52	27776536	11:52:05	DIXON TECHNO (INDIA)	B	2	5285.00	5.285	5290.28	0	-10580.57	
1100000010077293	11:51:52	27776937	11:52:06	DIXON TECHNO (INDIA)	B	9	5285.00	5.285	5290.28	0	-47612.57	
1100000010077293	11:51:52	27778074	11:52:10	DIXON TECHNO (INDIA)	B	8	5285.00	5.285	5290.28	0	-42322.28	
1100000010077293	11:51:52	27778075	11:52:10	DIXON TECHNO (INDIA)	B	13	5285.00	5.285	5290.28	0	-68773.71	
1100000010077293	11:51:52	27778498	11:52:11	DIXON TECHNO (INDIA)	B	13	5285.00	5.285	5290.28	0	-68773.71	
1100000010077293	11:51:52	27779049	11:52:14	DIXON TECHNO (INDIA)	B	4	5285.00	5.285	5290.28	0	-21161.14	
1100000010077293	11:51:52	27781307	11:52:23	DIXON TECHNO (INDIA)	B	9	5285.00	5.285	5290.28	0	-47612.57	
1100000010077293	11:51:52	27781653	11:52:25	DIXON TECHNO (INDIA)	B	4	5285.00	5.285	5290.28	0	-21161.14	
1100000010077293	11:51:52	27786242	11:52:45	DIXON TECHNO (INDIA)	B	1	5285.00	5.285	5290.28	0	-5290.28	
1100000010077293	11:51:52	27786877	11:52:48	DIXON TECHNO (INDIA)	B	5	5285.00	5.285	5290.28	0	-26451.42	
1100000010077293	11:51:52	27796505	11:53:26	DIXON TECHNO (INDIA)	B	3	5285.00	5.285	5290.28	0	-15870.86	
1100000010077293	11:51:52	27804676	11:54:01	DIXON TECHNO (INDIA)	B	3	5285.00	5.285	5290.28	0	-15870.86	
1100000010077293	12:07:48	27977685	12:07:48	DIXON TECHNO (INDIA)	B	5	5294.05	5.2941	5299.34	0	-26496.72	
1100000010336717	11:56:08	28101071	12:17:12	DIXON TECHNO (INDIA)	B	13	5285.00	5.285	5290.28	0	-68773.71	
1100000010336717	11:56:08	28101093	12:17:12	DIXON TECHNO (INDIA)	B	18	5285.00	5.285	5290.28	0	-95225.13	
1100000010336717	11:56:08	28101094	12:17:12	DIXON TECHNO (INDIA)	B	38	5285.00	5.285	5290.28	0	-201030.83	
1100000010336717	11:56:08	28101095	12:17:12	DIXON TECHNO (INDIA)	B	8	5285.00	5.285	5290.28	0	-42322.28	
1100000010336717	11:56:08	28101096	12:17:12	DIXON TECHNO (INDIA)	B	5	5285.00	5.285	5290.28	0	-26451.42	
1100000010336717	11:56:08	28101097	12:17:12	DIXON TECHNO (INDIA)	B	3	5285.00	5.285	5290.28	0	-15870.86	
1100000010336717	11:56:08	28101098	12:17:12	DIXON TECHNO (INDIA)	B	10	5285.00	5.285	5290.28	0	-52902.85	

CLIENT NAME : RAMESH KUMAR GUPTA

Order No.	Order Time	Trade No.	Trade Time	Security/Contract Description	BUY / SELL	Qty	Gross Rate/ Trade Price Per Unit (₹)	Brokerage Per Unit (₹)	Net Rate Per Unit (₹)	Closing Rate per Unit (Only for Derivatives) (₹)	Net Total (Before Levies) (₹)	REM-ARKS
11000000010336717	11:56:08	28102549	12:17:15	DIXON TECHNO (INDIA)	B	13	5285.00	5.285	5290.28	0	-68773.71	
11000000010336717	11:56:08	28105432	12:17:22	DIXON TECHNO (INDIA)	B	13	5285.00	5.285	5290.28	0	-68773.71	
11000000010336717	11:56:08	28105434	12:17:22	DIXON TECHNO (INDIA)	B	4	5285.00	5.285	5290.28	0	-21161.14	
Net Total					375		-1984030.09					
ISIN : INE935N01020		Buy Qty : 375		Buy Amt : 1984030.09						Net Qty : 375		
11000000014318089	13:16:22	28830052	13:16:22	INDIABULLS HSG FIN L	B	112	219.90	0.2199	220.12	0	-24653.43	
11000000014318089	13:16:22	28830053	13:16:22	INDIABULLS HSG FIN L	B	166	219.90	0.2199	220.12	0	-36539.90	
11000000014318089	13:16:22	28830758	13:16:25	INDIABULLS HSG FIN L	B	68	219.90	0.2199	220.12	0	-14968.15	
11000000014318089	13:16:22	28831105	13:16:27	INDIABULLS HSG FIN L	B	1	219.90	0.2199	220.12	0	-220.12	
11000000014318089	13:16:22	28831420	13:16:29	INDIABULLS HSG FIN L	B	100	219.90	0.2199	220.12	0	-22011.99	
11000000014318089	13:17:34	28846158	13:17:40	INDIABULLS HSG FIN L	B	909	220.50	0.2205	220.72	0	-200634.93	
11000000014318089	13:17:34	28846390	13:17:41	INDIABULLS HSG FIN L	B	10	220.50	0.2205	220.72	0	-2207.20	
11000000014318089	13:17:34	28846573	13:17:42	INDIABULLS HSG FIN L	B	2	220.50	0.2205	220.72	0	-441.44	
11000000014318089	13:17:34	28846781	13:17:43	INDIABULLS HSG FIN L	B	100	220.50	0.2205	220.72	0	-22072.05	
11000000014318089	13:17:34	28847223	13:17:46	INDIABULLS HSG FIN L	B	100	220.50	0.2205	220.72	0	-22072.05	
11000000014318089	13:17:34	28847226	13:17:46	INDIABULLS HSG FIN L	B	500	220.50	0.2205	220.72	0	-110360.25	
11000000014318089	13:17:34	28847302	13:17:46	INDIABULLS HSG FIN L	B	25	220.50	0.2205	220.72	0	-5518.01	
11000000014318089	13:17:34	28847478	13:17:47	INDIABULLS HSG FIN L	B	100	220.50	0.2205	220.72	0	-22072.05	
11000000014318089	13:17:34	28847649	13:17:48	INDIABULLS HSG FIN L	B	63	220.50	0.2205	220.72	0	-13905.39	
11000000014318089	13:17:34	28848919	13:17:54	INDIABULLS HSG FIN L	B	333	220.50	0.2205	220.72	0	-73499.93	
11000000014318089	13:17:34	28848920	13:17:54	INDIABULLS HSG FIN L	B	257	220.50	0.2205	220.72	0	-56725.17	
11000000014318089	13:17:34	28848921	13:17:54	INDIABULLS HSG FIN L	B	900	220.50	0.2205	220.72	0	-198648.45	
11000000014318089	13:17:34	28848922	13:17:54	INDIABULLS HSG FIN L	B	310	220.50	0.2205	220.72	0	-68423.35	
11000000014318089	13:17:34	28848923	13:17:54	INDIABULLS HSG FIN L	B	590	220.50	0.2205	220.72	0	-130225.10	
11000000014318089	13:17:34	28848924	13:17:54	INDIABULLS HSG FIN L	B	590	220.50	0.2205	220.72	0	-130225.10	
11000000014318089	13:17:34	28848925	13:17:54	INDIABULLS HSG FIN L	B	1490	220.50	0.2205	220.72	0	-328873.54	
11000000014318089	13:17:34	28848926	13:17:54	INDIABULLS HSG FIN L	B	900	220.50	0.2205	220.72	0	-198648.45	
11000000014318089	13:17:34	28848927	13:17:54	INDIABULLS HSG FIN L	B	900	220.50	0.2205	220.72	0	-198648.45	
11000000014318089	13:17:34	28848928	13:17:54	INDIABULLS HSG FIN L	B	774	220.50	0.2205	220.72	0	-170837.67	
Net Total					9300		-2052432.17					
ISIN : INE148I01020		Buy Qty : 9300		Buy Amt : 2052432.17						Net Qty : 9300		
NSE - FUTURES - Ref. No: 0427036												
13000000063002221	13:48:28	300753018	13:48:28	OPTSTK DIXON 27JAN22 5000.00 PE	S	125	72.55	0.04	72.51	0	9063.75	
13000000063002221	13:48:28	300753420	13:48:35	OPTSTK DIXON 27JAN22 5000.00 PE	S	125	72.55	0.04	72.51	0	9063.75	
13000000063002221	13:48:28	300755003	13:49:10	OPTSTK DIXON 27JAN22 5000.00 PE	S	125	72.55	0.04	72.51	0	9063.75	
Net Total					375		27191.25					
ISIN :				Sell Qty : 375		Sell Amt : 27191.25				Net Qty : 375		
13000000062593277	13:46:14	300748332	13:46:14	OPTSTK DIXON 27JAN22 5500.00 CE	S	125	144.65	0.04	144.61	0	18076.25	
13000000062593277	13:46:14	300748333	13:46:14	OPTSTK DIXON 27JAN22 5500.00 CE	S	250	144.65	0.04	144.61	0	36152.50	
Net Total					375		54228.75					
ISIN :				Sell Qty : 375		Sell Amt : 54228.75				Net Qty : 375		
13000000068737797	14:14:54	300806989	14:14:54	OPTSTK DIXON 27JAN22 5600.00 CE	S	250	105.15	0.04	105.11	0	26277.50	
13000000068737797	14:14:54	300806991	14:14:54	OPTSTK DIXON 27JAN22 5600.00 CE	S	125	105.15	0.04	105.11	0	13138.75	
Net Total					375		39416.25					
ISIN :				Sell Qty : 375		Sell Amt : 39416.25				Net Qty : 375		
13000000060417458	13:33:45	300723215	13:33:45	OPTSTK IBULHSGFIN 27JAN22 235.00 CE	S	3100	6.00	0.0016	6.00	0	18595.00	
13000000060417458	13:33:45	300723216	13:33:45	OPTSTK IBULHSGFIN 27JAN22 235.00 CE	S	6200	6.00	0.0016	6.00	0	37190.00	
Net Total					9300		55785.00					
ISIN :				Sell Qty : 9300		Sell Amt : 55785				Net Qty : 9300		
13000000060473248	13:34:58	300726243	13:34:58	OPTSTK IBULHSGFIN 27JAN22 245.00 CE	S	3100	3.85	0.0016	3.85	0	11930.00	
13000000060473248	13:34:58	300726244	13:34:58	OPTSTK IBULHSGFIN 27JAN22 245.00 CE	S	3100	3.85	0.0016	3.85	0	11930.00	
13000000060473248	13:34:58	300726245	13:34:58	OPTSTK IBULHSGFIN 27JAN22 245.00 CE	S	3100	3.85	0.0016	3.85	0	11930.00	
Net Total					9300		35790.00					
ISIN :				Sell Qty : 9300		Sell Amt : 35790				Net Qty : 9300		
13000000007781973	09:39:54	300157607	09:40:26	OPTSTK IGL 27JAN22 490.00 CE	S	1375	6.15	0.0036	6.15	0	8451.25	
13000000007781973	09:39:54	300161783	09:41:18	OPTSTK IGL 27JAN22 490.00 CE	S	1375	6.15	0.0036	6.15	0	8451.25	
13000000007781973	09:39:54	300166273	09:42:13	OPTSTK IGL 27JAN22 490.00 CE	S	1375	6.15	0.0036	6.15	0	8451.25	
Net Total					4125		25353.75					



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CLIENT NAME : RAMESH KUMAR GUPTA

Order No.	Order Time	Trade No.	Trade Time	Security/Contract Description	BUY / SELL	Qty	Gross Rate/Trade Price Per Unit (₹)	Brokerage Per Unit (₹)	Net Rate Per Unit (₹)	Closing Rate per Unit (Only for Derivatives) (₹)	Net Total (Before Levies) (₹)	REMARKS
ISIN :					Sell Qty : 4125		Sell Amt : 25353.75		Net Qty : 4125			
1300000007834113	09:40:07	300155668	09:40:07	OPTSTK IGL 27JAN22 500.00 CE	S	4125	4.15	0.0036	4.15	0	17103.75	
Net Total						4125					17103.75	
ISIN :					Sell Qty : 4125		Sell Amt : 17103.75		Net Qty : 4125			
1800000006249325	09:37:03	800156477	09:37:04	OPTSTK ZEEL 27JAN22 300.00 PE	B	9000	3.90	0.0016	3.90	0	-35115.00	
Net Total						9000					-35115.00	
ISIN :		Buy Qty : 9000		Buy Amt : 35115							Net Qty : 9000	
1800000006369627	09:37:25	800160430	09:37:34	OPTSTK ZEEL 27JAN22 315.00 PE	S	6000	8.10	0.0016	8.10	0	48590.00	
1800000006369627	09:37:25	800160431	09:37:34	OPTSTK ZEEL 27JAN22 315.00 PE	S	3000	8.10	0.0016	8.10	0	24295.00	
Net Total						9000					72885.00	
ISIN :					Sell Qty : 9000		Sell Amt : 72885		Net Qty : 9000			

Exchange & Segment	PAY IN/ PAY OUT OBLIGATION	Securities Transaction Tax	Taxable Value of Supply	CGST (@9%)	SGST (@9%)	UTT	IGST	Exchange Transaction Charges	SEBI turnover Fees	Stamp Duty	Clearing charges FNO	Net Amount Receivable by Client / (Payable by Client)
NSE_CAPITAL	-4036462.24	4032.00	4175.59	375.80	375.80	0.00	0.00	139.12	4.03	605.00	0.00	-4041993.99
NSE_FUTURES	292638.75	164.00	344.11	30.97	30.97	0.00	0.00	192.40	0.38	1.00	16.33	292202.70
TOTAL_NET	-3743823.49	4196.00	4519.70	406.77	406.77	0.00	0.00	331.52	4.41	606.00	16.33	-3749791.30

** Net Rate Per Unit (Rs) has been rounded off for display purpose.

*** Please note the buy/sell rate is rounded off to 2 decimals whereas the value is calculated upto 4 decimal places.

#SMT means Sebi Margin Funding trade * CGST:-Central GST; SGST:- State GST; IGST:-Integrated GST; UTT:- Union Territory Tax. Details of trade-wise levies shall be provided on request.

Transactions mentioned in this contract note cum bill shall be governed and subject to the Rules, Bye-laws and Regulations and Circulars of the respective Exchanges on which trades have been executed and Securities and Exchange Board of India from time to time. The Exchanges provide Complaint Resolution, Arbitration and Appellate arbitration facilities at the Regional Arbitration Centres (RAC). The client may approach its nearest centre, details of which are available on respective Exchange's website. Please visit www.nseindia.com for NSE., www.bseindia.com for BSE., www.msei.in. for MSEI.

Investor who purchase/hold equity shares of any recognized stock Exchange/Clearing Corporation shall abide by the Regulation 19 and 20 of Securities Contract (Regulation) (Stock Exchange and Clearing Corporation) Regulations, 2012 and Schedule II of the SEBI (Intermediaries) Regulation, 2008.

Regulation 19 and 20 of Securities Contract (Regulation) (Stock Exchange and Clearing Corporation) Regulations, 2012-

Eligibility for acquiring or holding shares.

19.

- (1) No person shall, directly or indirectly, acquire or hold equity shares of a recognised stock exchange or recognized clearing corporation unless he is a fit and proper person.
- (2) Any person who, directly or indirectly, either individually or together with persons acting in concert, acquire equity shares such that his shareholding exceeds two percent of the paid up equity share capital of a recognised stock exchange or recognised clearing corporation shall seek approval of the Board within fifteen days of the acquisition.
- (3) A person eligible to acquire or hold more than five percent of the paid up equity share capital under sub-regulation(2) of regulation 17 and sub-regulation(2) of regulation 18 may acquire or hold more than five percent of the paid up equity share capital of a recognised stock exchange or a recognised clearing corporation only if he has obtained prior approval of the Board.
- (4) Any person holding more than two percent of the paid up equity share capital of the recognised stock exchange or the clearing corporation on the date of commencement of these regulations, shall ensure compliance with this regulation within a period of ninety days from the date of such commencement.
- (5) If approval under sub-regulation(2) or (4) is not granted by the Board to any person, such person shall forthwith divest his excess shareholding.
- (6) Any person holding more than two percent of the paid up equity share capital in a recognised stock exchange or a recognised clearing corporation, as the case may be, shall file a declaration within fifteen days from the end of every financial year to the recognised stock exchange or recognised clearing corporation, as the case may be, that he complies with the fit and proper criteria provided in these regulations.

Fit and proper criteria.

20.

- (1) For the purposes of these regulations, a person shall be deemed to be a fit and proper person if

- (a) such person has a general reputation and record of fairness and integrity, including but not limited to
- (i) financial integrity;

- (ii) good reputation and character; and
- (iii) honesty;

(b) such person has not incurred any of the following disqualifications

- (i) the person, or any of its whole time directors or managing partners, has been convicted by a court for any offence involving moral turpitude or any economic offence or any offence against the securities laws;
- (ii) an order for winding up has been passed against the person;
- (iii) the person, or any of its whole time directors or managing partners, has been declared insolvent and has not been discharged;
- (iv) an order, restraining, prohibiting or debaring the person, or any of its whole time directors or managing partners, from dealing in securities or from accessing the securities market, has been passed by the Board or any other regulatory authority, and a period of three years from the date of the expiry of the period specified in the order has not elapsed;
- (v) any other order against the person, or any of its whole time directors or managing partners, which has a bearing on the securities market, has been passed by the Board or any other regulatory authority, and a period of three years from the date of the expiry of the period specified in the order has not elapsed;
- (vi) the person has been found to be of unsound mind by a court of competent jurisdiction and the finding is in force; and
- (vii) the person is financially not sound.

(2) If any question arises as to whether a person is a fit and proper person, the Board's decision on such question shall be final.

Investors who purchase / hold equity shares of any listed Depositories shall abide by the Regulation 6(B) of SEBI (Depositories and Participants) Regulations, 2012.

Relevant Extract of the Regulation is given hereunder -

Eligibility for acquiring or holding shares in a depository

6B. (1) No person shall, directly or indirectly, acquire or hold equity shares or voting rights of a depository unless he is a fit and proper person.

(2) Any person who, directly or indirectly, either individually or together with persons acting in concert, acquires equity shares such that his shareholding exceeds two per cent of the paid up equity share capital of a depository, shall seek approval of the Board within fifteen days of the acquisition.

(3) Any person holding more than two per cent of the paid up equity share capital of the depository on the date of commencement of the Securities and Exchange Board of India (Depositories and Participants) (Amendment) Regulations, 2012, shall seek approval of the Board within a period of ninety days from the date of such commencement.

(4) If approval under sub-regulation (2) or (3) is not granted by the Board to any person, such person shall forthwith divest his excess shareholding.

(5) Any person holding more than two per cent of the paid up equity share capital in a depository shall file a declaration within fifteen days from the end of every financial year to the depository that he complies with the fit and proper criteria.

(6) Save as otherwise provided in these regulations, the shareholding or voting rights of any person in a depository shall not exceed the limits specified in these regulations at any point of time.

(7) For determining the shareholding of any person in a depository as specified in these regulations, any instrument held, owned or controlled, directly or indirectly, by him that entitles him the voting rights or provides for entitlement to voting rights or equity shares or any other rights over equity shares at any future date, shall also be included.

Fit and proper criteria:

Criteria for determining a fit and proper person as per Schedule II of the SEBI (Intermediaries) Regulations, 2008

For the purpose of determining as to whether an applicant or the intermediary is a fit and proper person the Board may take account of any consideration as it deems fit, including but not limited to

the following criteria in relation to the applicant or the intermediary, the principal officer, the director, the promoter and the key management persons by whatever name called

- (a) Integrity, reputation and character;
- (b) Absence of convictions and restraint orders;
- (c) Competence including financial solvency and Network;
- (d) Absence of categorization as a wilful defaulter.

Purchase of Right Entitlements (REs) in Right Issue

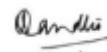
1. The purchase of the REs only gives the client the right to participate in the ongoing Rights Issue of the concerned company by making an application with requisite application money or renounce the said REs before the issue closes.

2. The client who wants to participate in the aforesaid Right Issue will have to make an application by paying requisite application money or renounce their REs before the issue closes.

3. The REs which are neither subscribed by making an application with requisite application money nor renounced, on or before the Rights Issue closing date shall lapse and shall be extinguished after the Rights Issue closing date.

DP Details	CMBP ID	CM NAME & DP NAME	DP ID	CM CLIENT ID
BSE	IN653884	JM FINANCIAL SERVICES LIMITED	IN302927	10000046
NSE	IN562531	JM FINANCIAL SERVICES LIMITED	IN302927	10000038

Yours faithfully,
For JM Financial Services Ltd.



Date : 07/01/2022

Place : Mumbai

PAN of Trading Member: AAACJ5977A
GSTIN of Trading Member: 27AAACJ5977A1ZL
Description of Service: Stock Brokers Services
Accounting code of services: 997152
AUTHORISED SIGNATORIES : Nirav Gandhi