

Ans 3)

We know that  $H(q) = - \sum_{i=1}^K p_{qK} \log_2 p_{qK}$

For the  
parent node  
'q' →  
Entropy

For a binary feature (Yes/No) =  $- \sum_{i=1}^2 p_{qK} \log_2 p_{qK}$

$$= - p(\text{Yes}/q) \cdot \log_2 (p(\text{Yes}/q))$$

$$- p(\text{No}/q) \cdot \log_2 (p(\text{No}/q))$$

For child, let  $q_L \rightarrow$  left child and  $q_R \rightarrow$  right child

∴ Decrease in Entropy  
between parent &  
child nodes

$$= H(q) - \sum_{i=1}^K p_{qK} \log_2 p_{qK}$$

$$= H(q) - \left[ p_L(\text{Yes}) \cdot \log_2 (p_L(\text{Yes})) + p_L(\text{No}) \cdot \log_2 (p_L(\text{No})) \right.$$

$$\left. + p_R(\text{Yes}) \cdot \log_2 (p_R(\text{Yes})) + p_R(\text{No}) \cdot \log_2 (p_R(\text{No})) \right]$$

eg.)



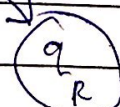
Y = 5  
N = 5

$$H(q) = - \left[ \frac{5}{10} \log_2 \frac{5}{10} + \frac{5}{10} \log_2 \frac{5}{10} \right]$$

$$= 1$$



Y = 3  
N = 2



Y = 2  
N = 3

$$H(q_L) = - \left[ \frac{3}{5} \log_2 \frac{3}{5} + \frac{2}{5} \log_2 \frac{2}{5} \right]$$

$$= - [0.79 + 1.32]$$

$$= - [0.44 + 0.53]$$

$$= 0.97$$

$$= H(q_R)$$

$$\therefore \text{IG} = H(q) - \frac{N_L}{N} H(q_L) - \frac{N_R}{N} H(q_R)$$

i.e drop in error

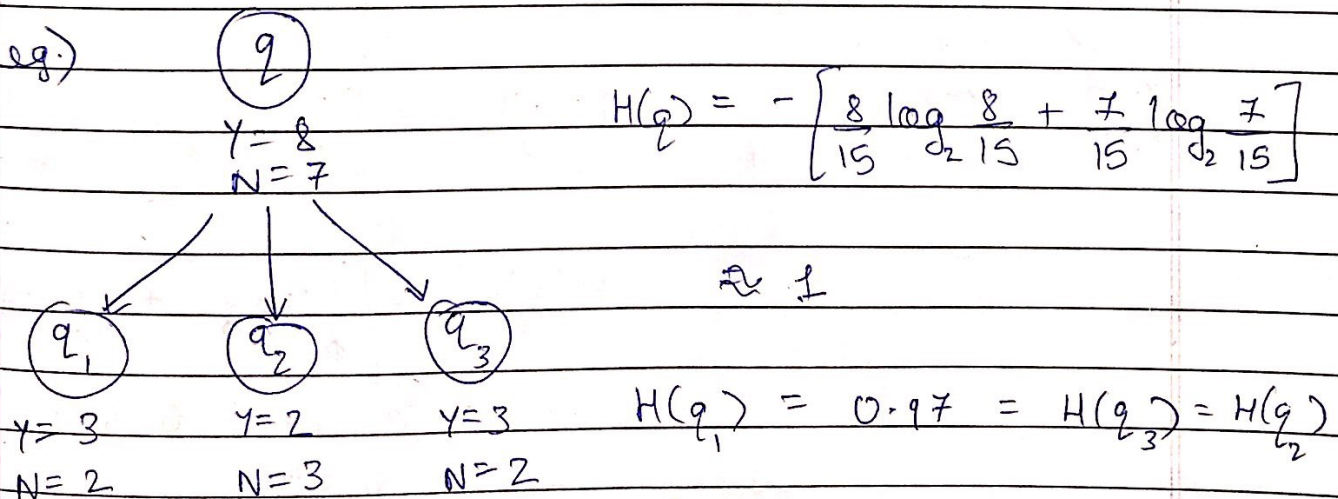
$$= 1 - \frac{5(0.97)}{10} - \frac{5(0.97)}{10}$$

$$= 0.03$$

$\therefore$  As can be seen from the above example, for a binary feature  $H(q) \in [0, 1]$  And also entropy of child  $\leq$  entropy of parent as the size of the dataset splits.

$\therefore$  Drop in error for Binary feature  $\leq 1$

Same applies to multiway branching as well.



$$\therefore \text{Drop in error} = H(q) - \frac{5}{15} (H(q_1)) - \frac{5}{15} (H(q_2)) - \frac{5}{15} (H(q_3))$$

i.e. IG

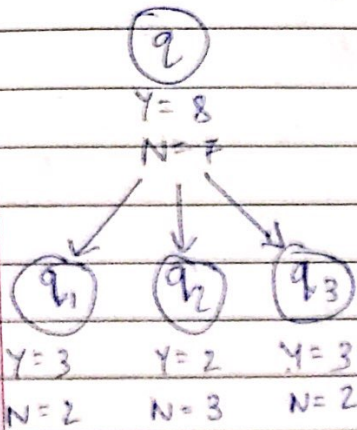
$$= 0.03$$



Ans 4) Consider the following example:

example

①



$$H(q) = - \left[ \frac{8}{15} \log_2 \left( \frac{8}{15} \right) + \frac{7}{15} \log_2 \left( \frac{7}{15} \right) \right]$$

$$\approx 1$$

$$H(q_1) = H(q_2) = H(q_3) = 0.97$$

$$\therefore \text{Gain} = H(q) - \left[ \frac{5}{15} H(q_1) + \right.$$

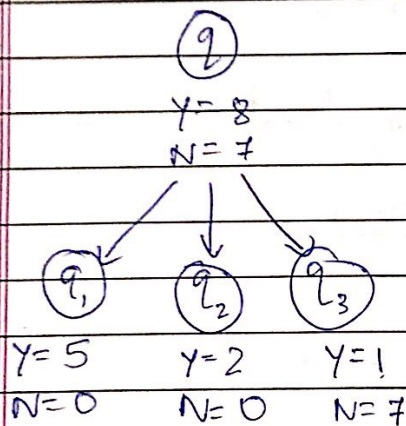
$$\left. \frac{5}{15} H(q_2) + \frac{5}{15} H(q_3) \right]$$

$$= 1 - \frac{1}{3} (3 \times 0.97)$$

$$= 0.03$$

example

②



$$H(q) \approx 1$$

$$H(q_1) = - \left[ \frac{5}{5} \log_2 \frac{5}{5} \right] - \left[ \frac{0}{5} \log_2 \frac{0}{5} \right]$$

$$= 0$$

$$H(q_2) = - \left[ \frac{2}{2} \log_2 \frac{2}{2} + \frac{0}{2} \log_2 \frac{0}{2} \right]$$

$$= 0$$

$$H(q_3) = - \left[ \frac{1}{8} \log_2 \frac{1}{8} + \frac{7}{8} \log_2 \frac{7}{8} \right]$$

$$= - [0.375 + 0.166]$$

$$\approx 0.54$$

$$\therefore \text{Gain} = 1 - \left( \frac{5}{15} (0) + \frac{2}{15} (0) + \frac{8}{15} (0.54) \right)$$

$$= 0.71$$

Entropy is the measure of impurity of a child node.

As can be seen from example 1 & 2:

$$\text{Entropy} \propto \frac{1}{\text{purity of child nodes}}$$

$$\text{Since Gain}(q, v) = \underbrace{I(q)}_{\substack{\downarrow \\ \text{Entropy of parent}}} - \sum_{i=1}^{|V|} \underbrace{\frac{N_i}{N_q} I(i)}_{\substack{\downarrow \\ \text{sum of proportion of entropy of each child node}}}$$

Entropy of parent

sum of proportion of entropy of each child node

∴ To maximize gain  $\Rightarrow$  child nodes should be as pure as possible  $\Rightarrow$  Entropy of child nodes should be less

$$\therefore \text{Gain} \propto \frac{1}{\text{Sum of Entropies of child nodes}}$$

Hence, maximizing gain is equivalent to minimizing impurity of children



ns 5) Let  $p_{qk} \rightarrow$  probability of getting class 'k' at node 'q'

$p_{qk'} \rightarrow$  probability of getting all classes except 'k' at node 'q'.

Example

At node 'q': There are 5 <sup>instances</sup> ~~classes~~ of 'a',  
5 of 'b' and 5 of 'c',  
where a, b and c are output classes.

$$\therefore p_{q(a)} = \frac{5}{15} = p_{q(b)} = p_{q(c)} = \frac{1}{3}$$

$$\therefore \text{Gini}(q) = \sum_{k=1}^3 p_{qk} (1 - p_{qk})$$

$$= \frac{1}{3} \left(1 - \frac{1}{3}\right) + \frac{1}{3} \left(1 - \frac{1}{3}\right) + \frac{1}{3} \left(1 - \frac{1}{3}\right)$$

$$= \frac{1}{3} \times \frac{2}{3} + \frac{1}{3} \times \frac{2}{3} + \frac{1}{3} \times \frac{2}{3}$$

$$= p_a (p_b + p_c) + p_b (p_a + p_c) + p_c (p_a + p_b)$$

$$= \sum_{k \neq k'} p_{qk} \cdot p_{qk'}$$

$\therefore$  For a classification problem with  $M > 2$ ,  
we can equivalently represent:

$$\text{Gini}(q) = \sum_{k=1}^M p_{qk} (1 - p_{qk}) = \sum_{k \neq k'} p_{qk} \cdot p_{qk'}$$