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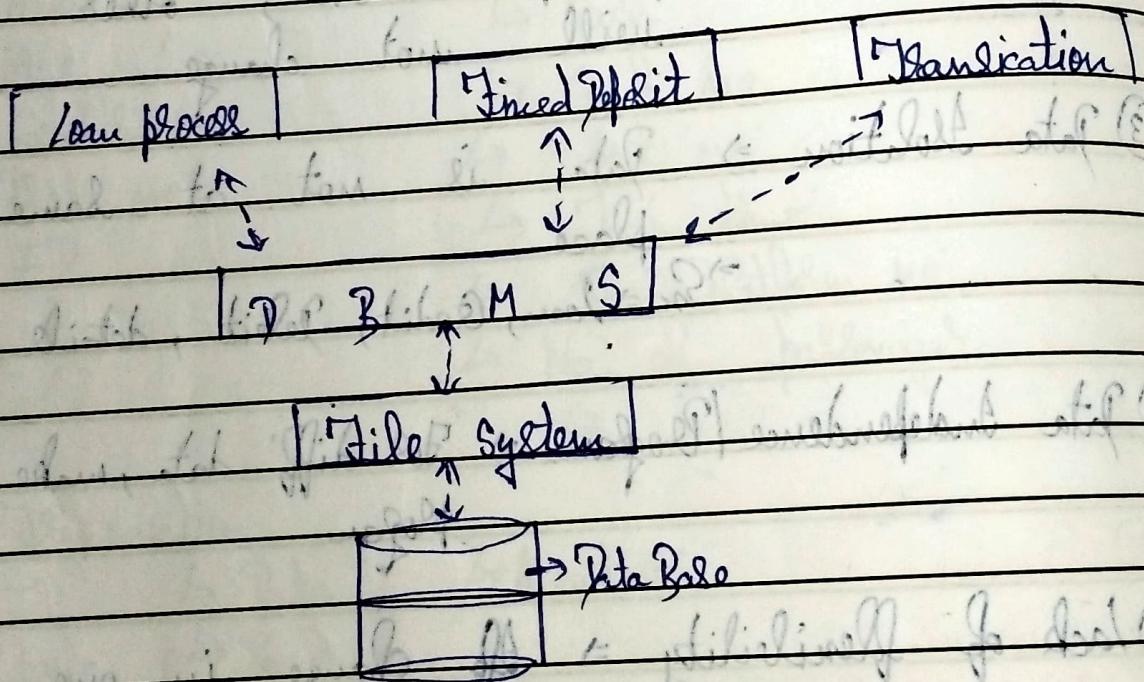
\* Problems of File System (Traditional approach) :-

- ① Security  $\Rightarrow$  difficult to remember diff passwords for multiple files.
- ② Data Redundancy  $\Rightarrow$  Duplicate
  - $\Rightarrow$  diff tables have same details of a person.
  - $\Rightarrow$  If update one, other will not change.
- ③ Data Isolation  $\Rightarrow$  Data is not at same place
  - $\Rightarrow$  Ex: Insert, Update, Delete, details
- ④ Data Independence / Program  $\Rightarrow$  For diff data, make diff program
- ⑤ Lack of Flexibility  $\Rightarrow$  If change in one, others not change.
  - $\Rightarrow$  Change phone no. in hostel but didn't change in college, Exam.

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⑥ Concurrent access  $\Rightarrow$  Can't access different data together.  
(साथ-साथ)  
 $\Rightarrow$  Can't access single data at different places  
at same time  
 $\Rightarrow$  Ex  $\Rightarrow$  RTV Default

\* Where does PBMS fit in  $\Rightarrow$



Main Ideas, Questions & Summary:-

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\* Types of DB =

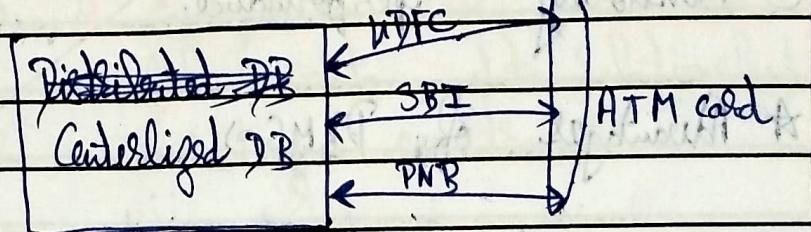
(TCS)

① Centralized DB  $\Rightarrow$  Bank card only use in same ATM

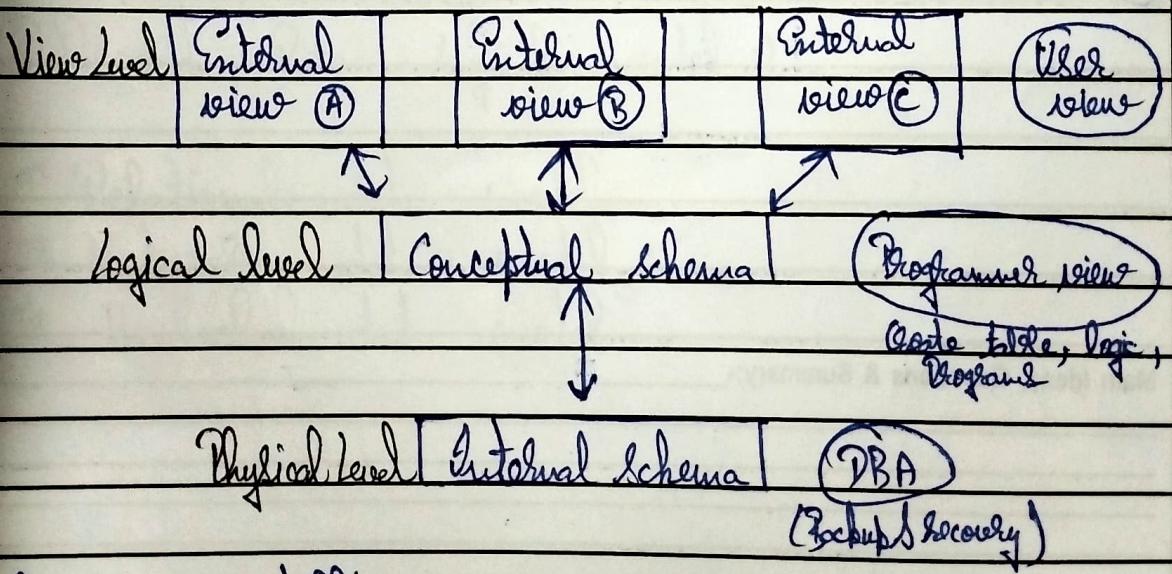
② Distributed DB  $\Rightarrow$  Any bank card use in any ATM

(Bank)

Distributed DB



\* 3 Layer Architecture  $\Rightarrow$  3 levels of abstraction



Same DB, diff views

Details, Amount, gallery from same DB, same time

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\* Uses of DBA~~ES~~ =>

- ① Managing information contained in DB
- ② Relationship with user
- ③ Strategized backup & Recovery
- ④ Security & Integrated Sales
- ⑤ Monitoring performance

\* Advantages of DBMS =>

- ① Data Independence
- ② Reduction in Redundancy
- ③ Better Security
- ④ Usable Backup & Recovery
- ⑤ Better flexibility
- ⑥

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\* Integrity constraint  $\Rightarrow$  Change data at one place, change in all.  
 $\Rightarrow$  Data is at same place.

\* Data Model  $\Rightarrow$  A conceptual tool use to describe data, data relationship, data semantics, consistency constraint.

• Types  $\Rightarrow$

① Object Base logical model  $\Rightarrow$

$\Rightarrow$  Entity relationship

② Record Base logical model  $\Rightarrow$

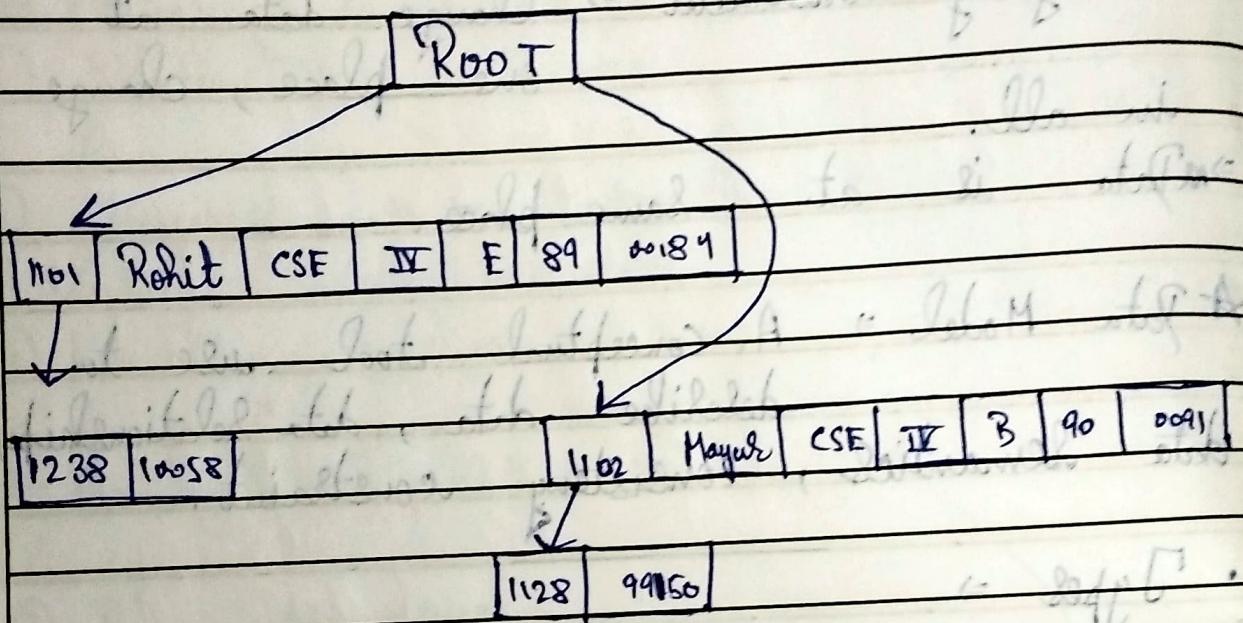
$\Rightarrow$  Relational data model

$\Rightarrow$  Network data model

$\Rightarrow$  Hierachal data model

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\* Record Based data model.  $\Rightarrow$   
(Hierarchical)



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\* Network data model  $\Rightarrow$

|      |       |     |    |   |    |       |      |      |
|------|-------|-----|----|---|----|-------|------|------|
| 1101 | Mayer | CSE | VI | F | 89 | 00869 | 1102 | 99.5 |
|------|-------|-----|----|---|----|-------|------|------|

|      |       |     |    |   |       |
|------|-------|-----|----|---|-------|
| 1102 | Rohit | CSE | VI | B | 20192 |
|------|-------|-----|----|---|-------|

|      |      |    |    |   |       |
|------|------|----|----|---|-------|
| 1103 | Alex | IT | IV | A | 80142 |
|------|------|----|----|---|-------|

|      |      |    |    |   |       |
|------|------|----|----|---|-------|
| 1104 | Yash | ME | II | D | 00249 |
|------|------|----|----|---|-------|

|      |       |    |    |   |       |
|------|-------|----|----|---|-------|
| 1105 | Mehit | EE | II | F | 01129 |
|------|-------|----|----|---|-------|

2023 18.1

2189 17.92

\* Relational data model  $\Rightarrow$

| Faculty ID | Faculty name | Dept. name | Sub. Code | Sub. name | Credit |
|------------|--------------|------------|-----------|-----------|--------|
|            |              |            |           |           |        |
|            |              |            |           |           |        |
|            |              |            |           |           |        |
|            |              |            |           |           |        |

Composite

| Faculty ID | Subject code |
|------------|--------------|
|            |              |

Foreign Key

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## \* Basic of RDBMS

- ① Data is viewed as 2D table known as relation.
- ② A relation (table) consists of unique attributes and tuples (rows).
- ③ Tuples are unique.
- ④ NULL is not same as '0' or empty string or blank.

## \* Keys

- ① Candidate Key  $\Rightarrow$  A candidate key, is a set of attributes that can uniquely identify a row in a given table.

$\hookrightarrow$  Student

|                           |                         |                          |
|---------------------------|-------------------------|--------------------------|
| $\hookrightarrow$ Roll    | $\hookrightarrow$ Name  | $\hookrightarrow$ E-mail |
| $\hookrightarrow$ E. roll | $\hookrightarrow$ Job   | $\hookrightarrow$ Gender |
| $\hookrightarrow$ Class   | $\hookrightarrow$ Ph no | $\hookrightarrow$ Age    |

Main Ideas, Questions & Summary:-

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② Super key  $\Rightarrow$  An attribute or group of attribute that is sufficient to distinguish every tuple in a relation from every other one.

$\Rightarrow$  Each Super key is a candidate key.

$\hookrightarrow$  Student

$\hookrightarrow$  Roll  $\hookrightarrow$  Name  $\hookrightarrow$  E-mail

$\hookrightarrow$  E.Roll  $\hookrightarrow$  D.O.B  $\hookrightarrow$  Ph.no.

$\hookrightarrow$  Class  $\hookrightarrow$  age

\* ACID  $\Rightarrow$  Atomicity Consistency Isolation Durability

\* Primary key  $\Rightarrow$  The candidate key that is chosen to perform the identification task is called the primary key and any other are alternate key.

Roll no.  $\rightarrow$  Alternate key  
Reg no.  $\rightarrow$  Primary key

- ⇒ Every tuple must have by definition a unique value for its primary key.
- ⇒ A primary key which is combination of more than one attributes is called composite key.
- ★ Foreign key ⇒ It is a copy of primary key that has been imported from one relation into another to represent the existence of a relation b/w them.
- ⇒ Foreign key is the copy of a whole parent primary key, i.e. if a primary key is composite then show is foreign key.

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|      |          |             |                 |                     | Database Fundamentals |           |

\* Overlapping Candidate Keys  $\Rightarrow$  Two candidate keys overlap if they involve any attribute in common.

$R_K \Rightarrow$  Customer name, Customer ID  
 Customer name, Email ID  
 Overlapping

$\Rightarrow$  Attributes that do not participate in any candidate key called non-key attributes.

\* Preferences  $\Rightarrow$  Primary, Secondary, etc.

- ① Numerical No.
- ② Single attribute
- ③ Minimal composite Key

$R_K \Rightarrow$  PNR no.  $\rightarrow$  Primary Key  
 Name  $\rightarrow$  Non-Key

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## \* Conceptual design $\Rightarrow$

Entity relationship modelling

Database design technique

Top-down approach

Bottom-up approach  $\rightarrow$  Normalisation

$\Rightarrow$  It is a graphical technique for understanding and organising data independent of actual relationship.

$\Rightarrow$  Terms used  $\Rightarrow$

① Entity  $\Rightarrow$  Anything that may have an independent existence and about which we intend to collect data also known entity type.

② Entity Instance  $\Rightarrow$  Particular member of entity type

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③ Attributed  $\Rightarrow$  Properties or characteristic that defines entity.

④ Relationship  $\Rightarrow$  Association b/w entities.  
 $\Rightarrow$  Self association also

⑤ Domain value  $\Rightarrow$  The set of possible values for an attribute is called the domain.

★ Attributed type  $\Rightarrow$

- Simple v/s composite

① Simple  $\Rightarrow$  That cannot be divided into simpler components.

- $\Rightarrow$  En  $\Rightarrow$  Enrollment no.
- $\Rightarrow$  Gender

② Composite  $\Rightarrow$  That can be further divided

- $\Rightarrow$  En  $\Rightarrow$  Address

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- (3) Single  $\Rightarrow$  Can take one single value  
valued on each entity instance.  
 $\Rightarrow$  Ex  $\Rightarrow$  Semester
- (4) Multi  $\Rightarrow$  Can take multi valued on each  
entity instance.  
 $\Rightarrow$  Ex  $\Rightarrow$  Hobbies  
 $\Rightarrow$  Languages
- (5) Stored  $\Rightarrow$  Attributes that mean to be  
stored permanently.  
 $\Rightarrow$  Ex  $\Rightarrow$  Citizenship  
 $\Rightarrow$  Name  
 $\Rightarrow$  Gender\*
- (6) Derived  $\Rightarrow$  Attributes that can be  
calculated from other attributes.  
 $\Rightarrow$  Ex  $\Rightarrow$  Interest  
 $\Rightarrow$  POJ  $\rightarrow$  Work experience

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\* Regular V/S weak entity type  $\Rightarrow$

- Entity that has it's own key attribute

# Weak Entity  $\Rightarrow$

- Entity that depend upon different/another entity for its existence & don't have <sup>key</sup> attribute.

? Employees not take salary, give father.

Product (R.E)

Customer (R.E) Order (W.E)

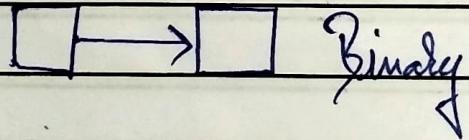
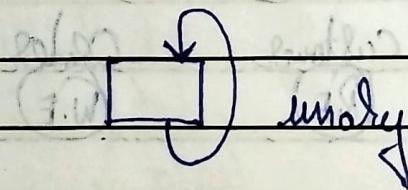
planning [K - - -]

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\* Relationship  $\Rightarrow$  Connection  
 $\Rightarrow$  A relationship tie b/w 2 entity type defines set of all association b/w these entity type.

$\Rightarrow$  Each instance of relationship b/w members of these entity type is called a relationship instance

\* Degree of relationship  $\Rightarrow$  The no. of entity type involved

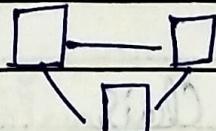


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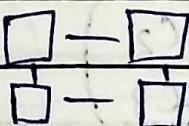
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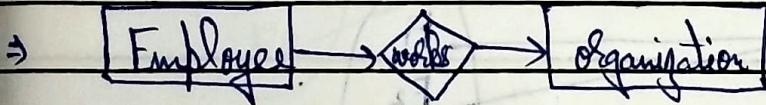
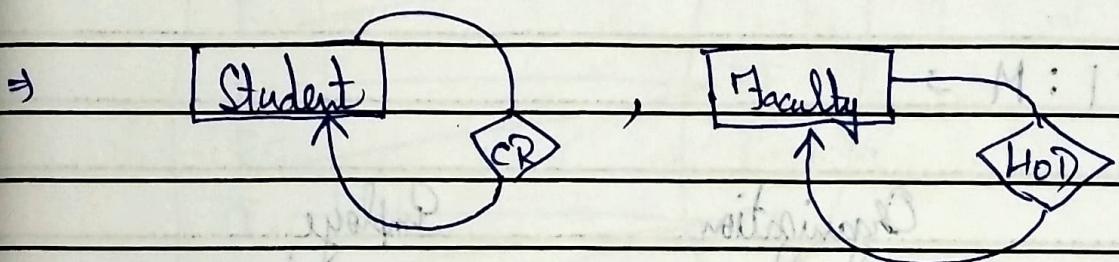
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~~1 to many~~  
1 to many



1 - many



\* Cardinality → Relationship can have diff connectivity

One to one (1:1) → Teacher (1:1)

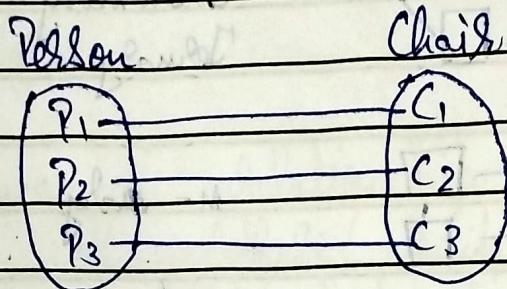
One to many (1:M) → Teacher (1:M) → DBMS (1:M) → IFEA (1:M)

many to one (M:1) → Staff (M:1) → Faculty (M:1) → Subject (M:1)

many to many (M:N) → Many Student (M:N) → Many Teacher (M:N) → Many subject (M:N)

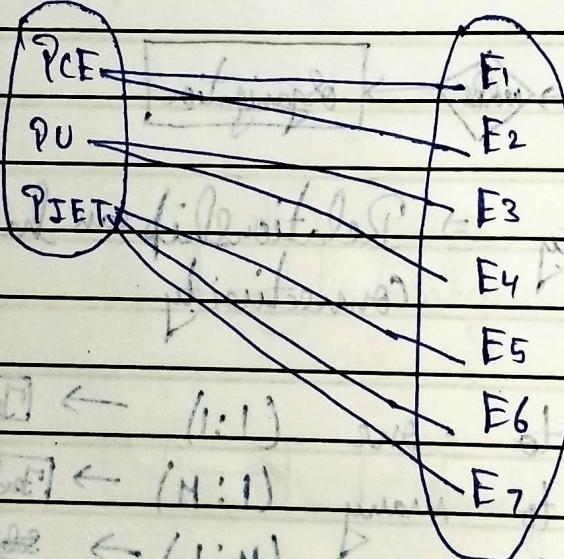
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\* 1:1  $\Rightarrow$  When 1 instance of entity type have relationship with 1 entity type of another.



\* 1:M  $\Rightarrow$

Organization      Employee



Main Ideas, Questions & Summary:-

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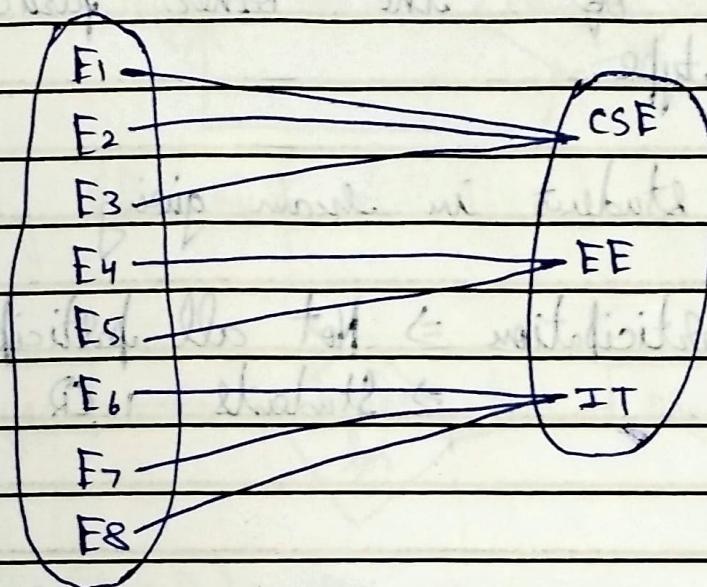
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\* M:1  $\Rightarrow$  Employee  $\leftarrow$  department (1)

between 1 & Many

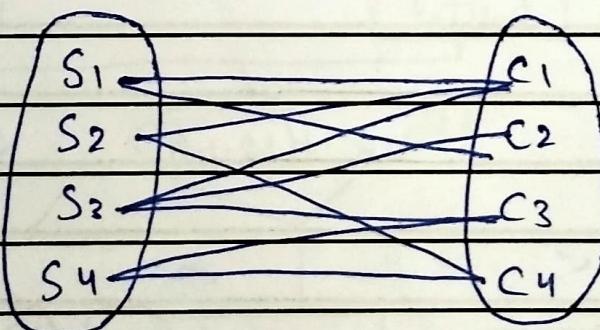
Employee  $\leftarrow$  department



\* M:M  $\Rightarrow$

Student

Course



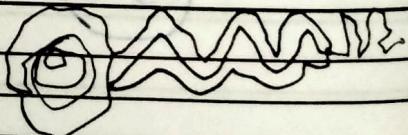
## \* Relationship Participation $\Rightarrow$

① Total participation  $\Rightarrow$  Every entity instances must be connected through the relationship to another instance of the other participating entity type.

Ex  $\Rightarrow$  All student in class giving

② Partial participation  $\Rightarrow$  Not all participate.  
 $\Rightarrow$  Students, CR

Main Ideas, Questions & Summary:-

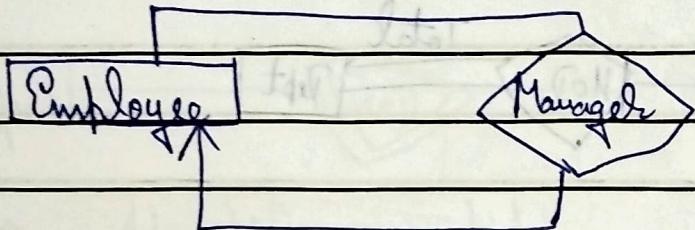


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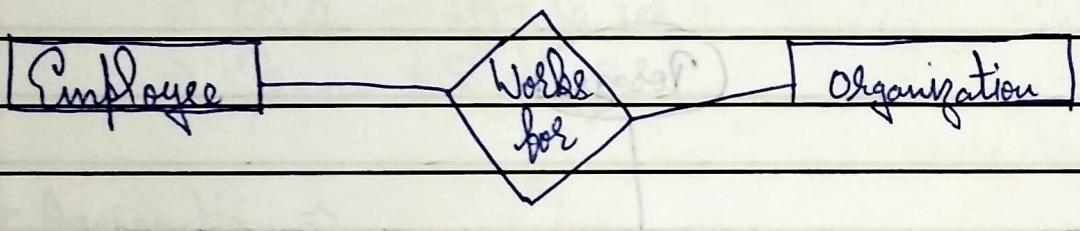
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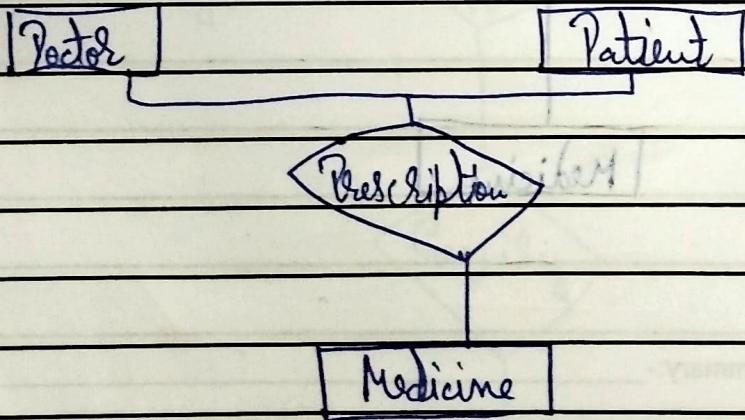
\* Many relationship =>



\* Binary relationship =>



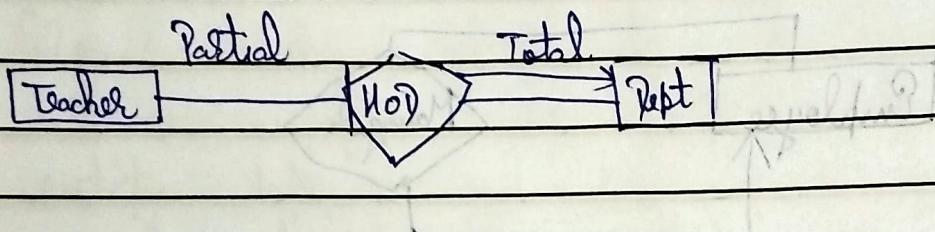
\* Tertiary relationship =>



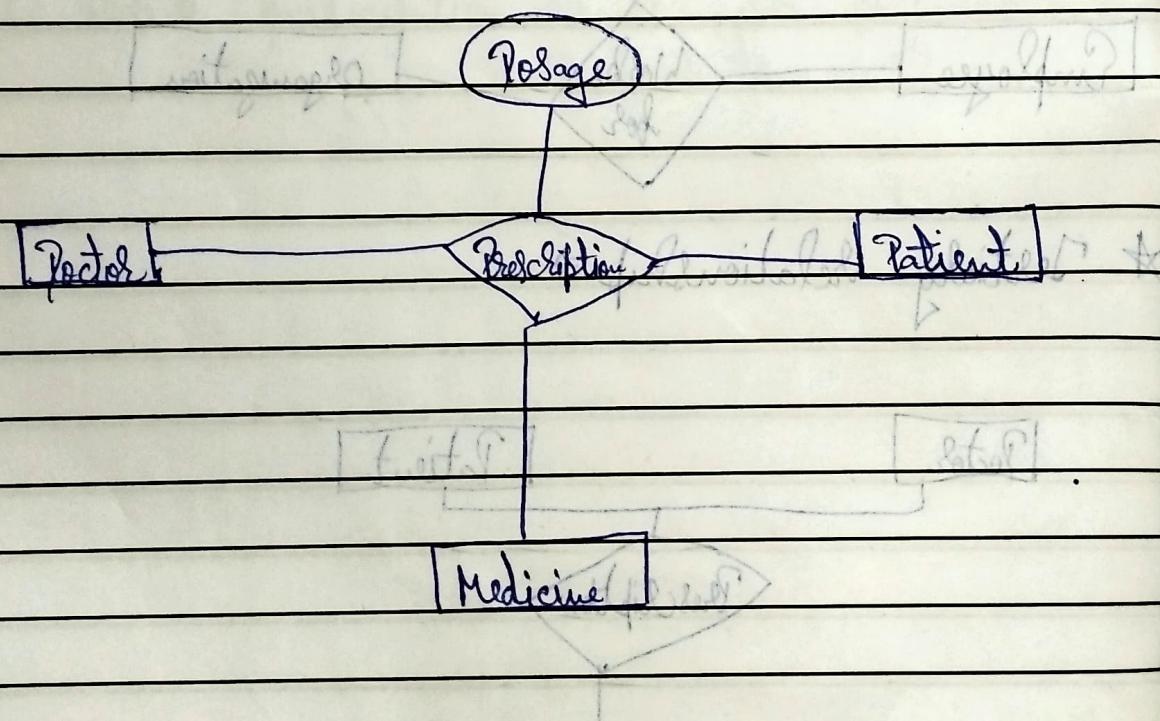
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\* Relationship participation  $\Rightarrow$

① Total relation  $\Rightarrow$



\* Attributes of relationship  $\Rightarrow$



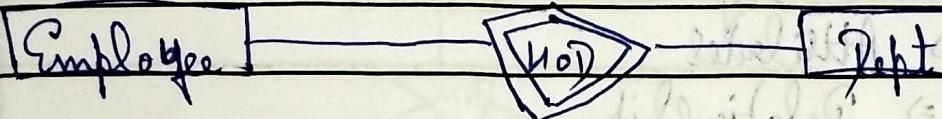
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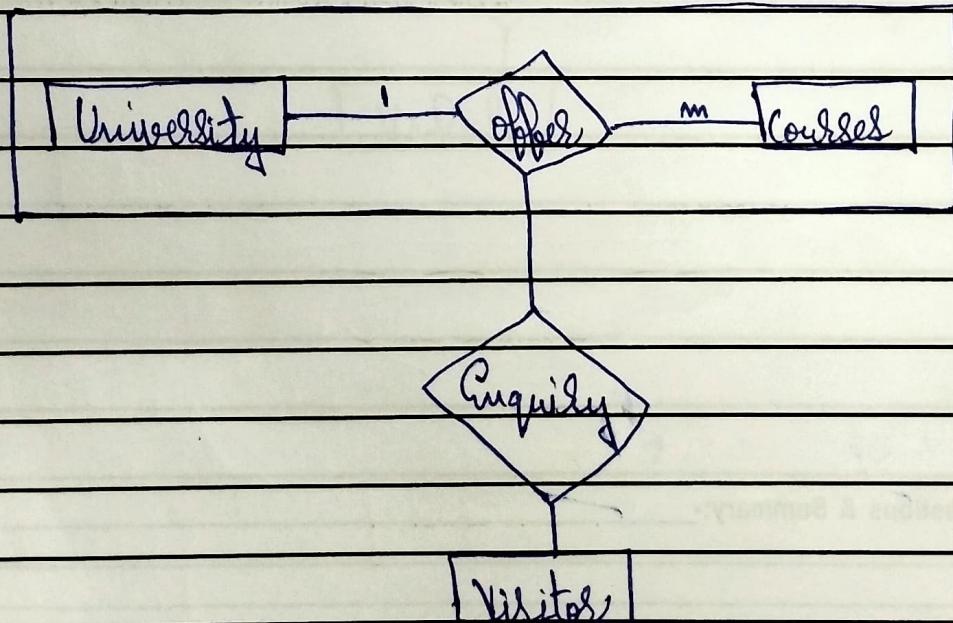
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\* Weak relationship  $\Rightarrow$



- Steps  $\Rightarrow$  Identify entity
- $\Rightarrow$  Identify key attributes
- $\Rightarrow$  Identify relationship b/w entity
- $\Rightarrow$  Other attributes
- $\Rightarrow$  Draw ER diagram

\* Aggregation  $\Rightarrow$



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\* E-R Model  $\Rightarrow$  display database graphically.

• Components  $\Rightarrow$

- ① Rectangle  $\Rightarrow$  Entity
- ② Ellipse  $\Rightarrow$  Attribute
- ③ Diamond  $\Rightarrow$  Relationship
- ④ Line  $\Rightarrow$  Link b/w entity attribute
- ⑤ Double ellipse  $\Rightarrow$  Multivalue attribute
- ⑥ Dashed ellipse  $\Rightarrow$  Derived attribute
- ⑦ Double line  $\Rightarrow$  Total participation
- ⑧ Double rectangle  $\Rightarrow$  Weak entity
- ⑨ Underline  $\Rightarrow$  Primary key

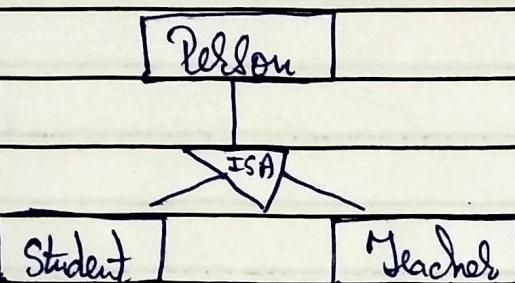
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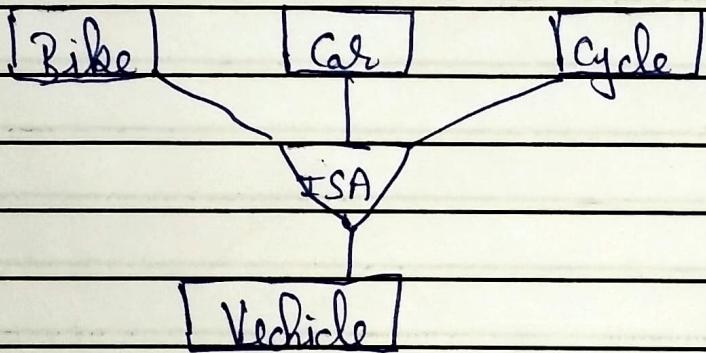
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★ Specialisation  $\Rightarrow$  Top to Bottom approach



★ Generalisation  $\Rightarrow$  Bottom to Top



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★ Normalisation  $\Rightarrow$  Technique of organization (Schema Refinement) data in database.

② Remove or Reduce Redundancy from table.

③ 2 types of duplicacy  $\Rightarrow$  Row level  
 $\Rightarrow$  Column level

④ Row level  $\Rightarrow$  Use primary key

| SI#                  | Name | Age |          |
|----------------------|------|-----|----------|
| Same $\rightarrow$ 1 | Ram  | 18  | Later 10 |
| ↓ 2                  | Mani | 25  |          |
| ↓ $\rightarrow$ 1    | Ram  | 18  |          |

⑤ Column level  $\Rightarrow$  Problems occur like  $\Rightarrow$   
 i) Insertion Anomaly  
 ii) Deletion Anomaly  
 iii) Update Anomaly

★ Problem without normalization  $\Rightarrow$  We have data redundancy then it will take more space & difficult to handle the database.

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★ 1st Normal form  $\Rightarrow$  Table should not contain any multivalued Attribute.

| Roll no. | Name  | Course |
|----------|-------|--------|
| 1        | Sai   | C/C++  |
| 2        | Nish  | Java   |
| 3        | Omkar | CPDBMS |

Not a 1NF  $\uparrow$ , convert it

① 1st method  $\Rightarrow$

| Roll no. | Name  | Course |
|----------|-------|--------|
| 1        | Sai   | C      |
| 1        | Sai   | C++    |
| 2        | Nish  | Java   |
| 3        | Omkar | C      |
| 3        | Omkar | DBMS   |

(Roll no + Course) both use as primary key.

Main Ideas, Questions & Summary:- Composite primary key

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② 2nd method  $\Rightarrow$  Available in RDBMS

|  | Roll no. | Name  | Course | Course 1 |
|--|----------|-------|--------|----------|
|  | 1        | Sai   | C      | C++      |
|  | 2        | Mallu | Java   | NULL     |
|  | 3        | Omkar | C      | DBMS     |

Roll no. as primary key

③ 3rd method  $\Rightarrow$

| Roll no. | Name  | Roll no. | Course |
|----------|-------|----------|--------|
| 1        | Sai   | 1        | C/C++  |
| 2        | Mallu | 2        | C++    |
| 3        | Omkar | 2        | Java   |

Base table consists in 3  $\leftarrow$  1  $\leftarrow$  1

Primary  $\Rightarrow$  Roll no. + Course

Primary Key  $\Rightarrow$  Roll.no + Course  
 Foreign Key  $\Rightarrow$  Roll no.

## \* Functional dependency $\Rightarrow$

① It is a relationship that exist b/w 2 attributes. Generally exist b/w primary key & non-key attribute.

② Let  $X \& Y$  2 attributes of relation.  
If there is only one attribute value of  $Y$  corresponding to it for given value of  $X$ , then  $Y$  is said to be functionally dependent on  $X$ .

$X \rightarrow Y$  <sup>determinant</sup> <sub>dependent attribute</sub>

④ 2 types  $\Rightarrow$  Trivial functional dependency  
 $\Rightarrow$  Non-trivial functional dependency

⑤ Trivial  $\Rightarrow A \rightarrow B$  is trivial if,  
 $B$  is a subset of  $A$   
 $\Rightarrow$  Also,  $A \rightarrow A$ ,  $B \rightarrow B$   
 $\Rightarrow A \cap B \neq \emptyset$

Main Ideas, Questions & Summary:-

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⑥ Non-trivial  $\Rightarrow A \rightarrow B$  is non-trivial if,  
 $B$  is not a subset of  $A$   
 $\Rightarrow$  If  $A \cap B = \text{NULL}$ ,  $A \rightarrow B$  complete  
 non-trivial

\* 5 types of functional dependency  $\Rightarrow$

① Closure method  $\Rightarrow$

\* Closure of functional dependency  $\Rightarrow$

$\Rightarrow$  Used to find all the candidate key.  
 in a table

$\Rightarrow$  denoted by  $F^+$

$R \Rightarrow R(ABCD)$

$FD \{ A \rightarrow B, B \rightarrow C, C \rightarrow D \}$

$$Ans \Rightarrow A^+ = BCDA$$

$$B^+ = CDB$$

$$C^+ = DC$$

$$D^+ = D$$

$$(AB)^+ = ABCD$$

$$S.K = \{ AB \}$$

not C.K

$\nearrow$  Member of candidate key  
 Prime Attribute = A

$$C.K = \{ A \}$$

$$\text{Non Prime} = B, C, D$$

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$$Q \Rightarrow R = (A B C D E)$$

$$FD = \{ A \rightarrow B, BC \rightarrow D, E \rightarrow C, D \rightarrow A \}$$

And  $A \& E$  is not in right side,  
Combine it all.

$$E^+ = EC$$

$$(AE)^+ = ABCDE$$

$$(BE)^+ = ABCDE$$

$$(DF)^+ = ABCDE$$

$$(CE)^+ = \cancel{ABDE} CE \alpha$$

check right in FD &

replace ~~CE~~ C.K left

$$CK = \{ AE^+, DE, BE \}$$

\* Prime At  $\Rightarrow A, B, D, E$

Non "  $\Rightarrow C$

\*\* If  $E^+$  closure contain all relation  
then we stop.

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Properties of Functional Dependency =

① Reflexive  $\Rightarrow$  If  $Y$  is subset of  $X$  then  
 $X \rightarrow Y$   
 $\Rightarrow X \supseteq Y, X \rightarrow Y$

② Augmentation  $\Rightarrow$  If  $X \rightarrow Y$ , then

Partial dependency  $X_1 \rightarrow Y_1$  or,  
 $X_2 \rightarrow Y$

③ Transitive  $\Rightarrow$  If  $X \rightarrow Y$  and  $Y \rightarrow Z$  then,  
 $X \rightarrow Z$

④ Union  $\Rightarrow$  If  $X \rightarrow Y$  and  $X \rightarrow Z$  then,  
 $X \rightarrow YZ$

⑤ Decomposition  $\Rightarrow$  If  $X \rightarrow YZ$  then,  
Bijective rule  $X \rightarrow Y$  and  $X \rightarrow Z$  / Reverse rule

⑥ Pseudo Transitive  $\Rightarrow$  If  $X \rightarrow Y$  and  $WY \rightarrow Z$  then,  
 $WX \rightarrow Z$

⑦ Composition  $\Rightarrow$  If  $X \rightarrow Y$  and  $Z \rightarrow W$  then  
 $XZ \rightarrow YW$

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\* 2 NF  $\Rightarrow$

① Table must be in 1NF.

② All non-prime attributes should be fully functional dependent on primary key.

③ No partial dependency.

| $\hookrightarrow$ | Customer ID | Store ID | Location  |
|-------------------|-------------|----------|-----------|
| 1                 | 1           | 1 X      | Delhi     |
| 1                 | 1           | 3 X      | Mumbai    |
| 2                 |             | 1        | Delhi     |
| 3                 | 3           | 2 X      | Bangalore |
| 4                 | 3           | 3 X      | Mumbai    |

Ans

Candidate Key  $\Rightarrow$  (Customer ID, Store ID)

Prime Att.  $\Rightarrow$  Customer ID

$\Rightarrow$  Store ID

Non-Prime Att.  $\Rightarrow$  Location

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| Customer Id | Store Id | Store Id | Location  |
|-------------|----------|----------|---|
| 1           | 1        | 1        | Delhi   |
| 2           | 1        | 2        | Bangalore   |
| 3           | 2        | 3        | Mumbai  |
| 4           | 3        |          | A proper subset of Candidate key is determining some non-prime attribute. |

\* Partial dependency  $\Rightarrow$  LHS should be proper subset of CK and  
 Proper subset  $\Rightarrow A, B$ . RHS should be a non-prime Att;

$$\alpha \Rightarrow R(A B C D E F)$$

$$FD \{ C \rightarrow F, E \rightarrow A, EC \rightarrow D, A \rightarrow B \}$$

- Ans
- ① Find Candidate Key
  - ② Determine Prime & non prime Att
  - ③ Identify partial dependency, If occurs not a ZNF [check in FD]

If not in Partial dependency then Total dependency

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$\star 3NF \Rightarrow$

- ① Table must be in 2NF
- ② There should be no transitive dependency in table
- Transitive dependency  $\Rightarrow$  Non-prime attribute determined by non prime attribute

$$A \rightarrow B, B \rightarrow C$$

$\downarrow$        $\downarrow$        $\downarrow$        $\downarrow$   
 Prime    N.P    N.P    N.P

$\Rightarrow$  LHS must be a CK or SK OR RHS is a prime attr.

| Name | City | State |
|------|------|-------|
|      |      |       |
|      |      |       |
|      |      |       |

$Name \rightarrow City$   
 $City \rightarrow State$

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$R = \{ABCD\}$

FD  $\{AB \rightarrow CD, D \rightarrow A\}$

Ans  $B^+ \rightarrow \alpha$

$AB^+ \rightarrow ABCD$  ✓

$DB^+ \rightarrow ABDC$  ✓

CK =  $\{AB, DB\}$

PA =  $\{A, B, D\}$

NPA =  $\{C\}$

\* Non partial dependency, So it is 2NF

$AB \rightarrow CD, D \rightarrow A$

CK

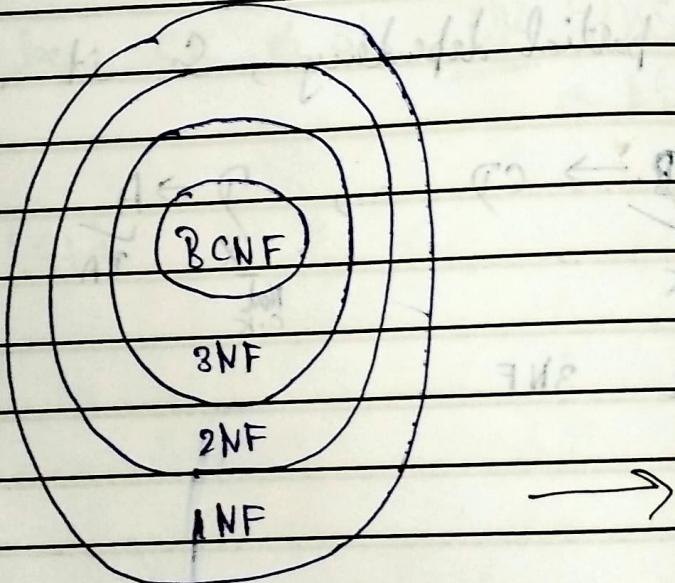
Not  
C.K

It is 3NF

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\* Boyce Codd Normal form (BCNF)  $\Rightarrow$

- ① It is a advance version of 3NF.
- ② It is a stricter than 3NF
- ③ For every functional dependency  $X \rightarrow Y$ ,  
X is a super or Candidate key.
- ④ For BCNF, Table should be in 3NF,  
every FD, LHS is super key



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\* Decomposition

Lossless  
decomposition

Dependency  
Preserving

1,2  
\* 3NF always ensures "dependency preserving decomposition" but not in BCNF

1,2  
\* Both 3 & BCNF ensures lossless decomposition.

| Roll no. | Name | Id | Age |
|----------|------|----|-----|
|          |      |    |     |
|          |      |    |     |
|          |      |    |     |

CK = Roll, Id

FD = { Roll  $\rightarrow$  Name  
 Roll  $\rightarrow$  Id  
 Id  $\rightarrow$  age  
 Id  $\rightarrow$  Roll no. }

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★ Transaction  $\Rightarrow$  It is a set of operations used to perform a logical unit of work.

② Transaction generally represent change in database.

③ Performed by single user to perform operations for accessing the contents of database.

④ Each transaction must succeed or fail i.e., it can not remain in an intermediate state.

⑤ Operations are  $\Rightarrow$  Read (access)  
 $\Rightarrow$  Write (change)  
 $\Rightarrow$  Commit  
 $\Rightarrow$  Rollback

⑥ Open-Account (x)

$$\text{Old-Balance} = X, \text{Balance}$$

$$\text{New-Balance} = \text{Old-Balance} - 800$$

$$X, \text{Balance} = \text{New-Balance}$$

Close-Account (x)

## ★ Operational $\Rightarrow$

- ① Read ( $x$ )  $\Rightarrow$  Used to read value of  $x$  from DB and store it in a buffer in main memory.
- ② Write ( $x$ )  $\Rightarrow$  Used to write the value back to database from buffer.
- ③ Commit  $\Rightarrow$  Used to save work done permanently.
- ④ Rollback  $\Rightarrow$  Used to undo the work done.

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## \* Transaction properties $\Rightarrow$

- ① ACID  $\Rightarrow$  Atomicity, Consistency, Isolation, Durability
- ② A database contain these properties in order to ensure accuracy, completeness & data integrity.
- ③ (i) Atomicity  $\Rightarrow$  This property says that at the end of each transaction, either no changes have been done to database or database has been changed in a consistent manner.
- (ii) To make changes permanent, COMMIT is issued & to abort ROLL BACK is issued
- (iii) If we transfer 500 from A to B, if the transaction is completed, amount of 500 must be deducted from A & same must be added to B. But if transaction aborted then neither of balance will be changed.

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Q) (i) Consistency  $\Rightarrow$  The integrity constraints are maintained so that the database is consistent before & after the transaction.

(ii) Before transaction start & after completed, sum of money should be same.

$$(iii) \begin{array}{l} A = 2000 \text{ Rs} \\ B = 3000 \text{ Rs} \end{array} \quad ? \quad \begin{array}{l} 5000 \text{ Rs} \end{array}$$

$T_1 \Rightarrow R(A)$

$$A = A + 1000 \quad (1000)$$

$W(A)$

$R(B)$

$$B = B + 1000 \quad (4000)$$

$W(B)$

Commit

$$\begin{array}{l} A = 1000 \text{ Rs} \\ B = 4000 \text{ Rs} \end{array} \quad ? \quad \begin{array}{l} 5000 \text{ Rs} \end{array} \quad [ \text{Sum Same} ]$$

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⑤ (i) Isolation  $\Rightarrow$  It shows that the data which is used at the time of execution of a transaction cannot be used by second transaction until the first one is completed.

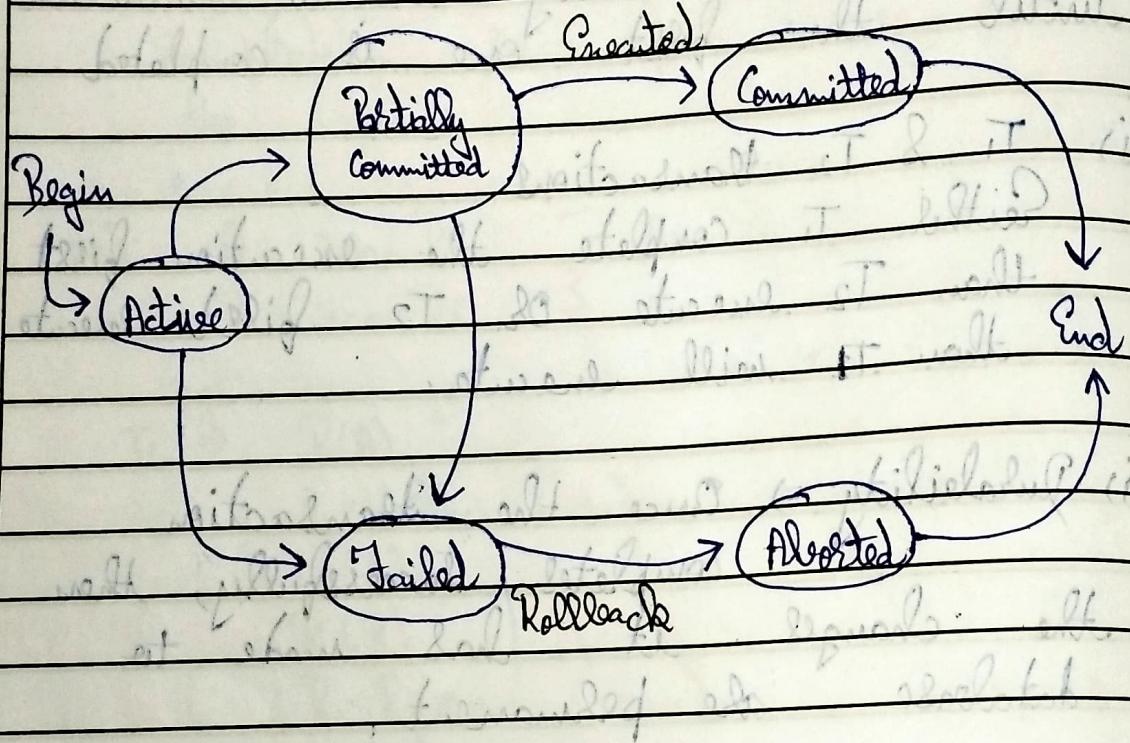
(ii)  $T_1 \& T_2$  transactions:

Either  $T_1$  complete the execution first than  $T_2$  execute or  $T_2$  first execute than  $T_1$  will execute.

⑥ (i) Durability  $\Rightarrow$  Once the transaction completed successfully, then the changes it has made to database are permanent.

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\* Transaction State / Model  $\Rightarrow$  5 States



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① (i) Active state  $\Rightarrow$  First state of every transaction.

(ii) A transaction under execution said to be in active state.

② Partially Committed  $\Rightarrow$  In this, transaction executes its final operation, but data is not saved in DB permanently.

③ Committed state  $\Rightarrow$  In this, all operations are successfully executed and data is permanently saved to DB.

④ Failed  $\Rightarrow$  In this, the normal execution can't proceed. So this transaction said to be in Failed state.

⑤ Aborted  $\Rightarrow$  In this, rollback is occurs due to some failure.

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\* Schedule  $\Rightarrow$  A series of operation from one transaction to another transaction is known as schedule.

- ② Used to preserve the order of operation of transaction.
- ③ It is execution sequence of multiple transaction.
- ④ Types  $\Rightarrow$  Serial Schedule
  - $\Rightarrow$  Non Serial Schedule / Concurrent Schedule
  - $\Rightarrow$  Serializable Schedule.
- ⑤ It is an order in which the transaction are executed in system.

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★ Serial Schedule  $\Rightarrow$  It is an order in which the transactions are executed serially.

- ② It is schedule where one transaction is executed completely before starting another transaction.
- ③ In this first transaction completes then next transaction is executed.
- ④ Result in consistent database
- ⑤ Low performance / low throughput  
 $\hookrightarrow$  No. of transaction executed / time
- ⑥ A with 2000 & B with 1000.  
 Let 2 transaction  $T_1$  &  $T_2$   
 $T_1$  transfers 100 A to B while  $T_2$ , 500 A  $\rightarrow$  B  
 Then  $\langle T_1, T_2 \rangle$  or  $\langle T_2, T_1 \rangle$  are 2 valid schedules



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T<sub>1</sub>

T<sub>2</sub>

Read (A);

$$A = A - 100;$$

Write (A);

Read (B);

$$B = B + 100;$$

Write (B);

Read (A);

$$A = A - 500;$$

Write (A);

Read (B)

$$B = B + 500;$$

Write (B);

After completion of both transaction, sum of A+B is preserve / Same, so it is consistent.

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★ Concurrent Schedule  $\Rightarrow$  It is an order in which several transactions are executed concurrently

- ② High performance / High throughput
- ③ It can be consistent or inconsistent database.

| (i)          | T <sub>1</sub> | T <sub>2</sub>         |                      |
|--------------|----------------|------------------------|----------------------|
| Read(A);     |                | i(A)                   | A = 9 <sub>000</sub> |
| A = A - 100  |                |                        | A = 19 <sub>00</sub> |
| W(A);        |                |                        |                      |
| R(A);        |                | A = 19 <sub>00</sub>   | Consistent           |
| A = A - 500; |                | A = 14 <sub>00</sub> * | database             |
| W(A);        |                |                        |                      |
| R(B);        |                | B = 1 <sub>000</sub>   |                      |
| B = B + 100; |                | B = 11 <sub>00</sub>   |                      |
| W(B);        |                |                        |                      |
| R(B);        |                | B = 11 <sub>00</sub>   |                      |
| B = B + 500; |                | B = 16 <sub>00</sub> * |                      |
| W(B);        |                |                        |                      |
|              |                |                        |                      |
|              |                |                        |                      |
|              |                |                        |                      |

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| (5) | T <sub>1</sub> | T <sub>2</sub> |          |
|-----|----------------|----------------|----------|
|     | R(A);          |                | A = 2000 |
|     | A = A - 100;   |                | A = 1900 |
|     | R(A);          | A = 2000       |          |
|     | A = A - 500;   | A = 1500*      |          |
|     | W(A);          |                |          |
|     | R(B);          | B = 1000       |          |
|     | B = B + 100;   | B = 1100       |          |
|     | W(B);          |                |          |
|     | B = B + 500;   | B = 1600*      |          |
|     | W(B);          |                |          |

inconsistent  
database

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\* Serializability  $\Rightarrow$  It is used to check whether the transaction is serial or concurrent. If transaction is concurrent than it will convert to serial schedule.

② It is of 2 types  $\Rightarrow$  Conflict Serializability  
 $\Rightarrow$  View Serializability

| $R_m \Rightarrow T_1$ | $T_2$  | $T_3$  |
|-----------------------|--------|--------|
|                       | $R(A)$ |        |
|                       |        | $R(A)$ |
|                       |        | $w(A)$ |
|                       | $w(A)$ |        |
| $R(B)$                |        |        |
| $w(B)$                |        |        |
|                       |        | $w(B)$ |

$T_1 \rightarrow T_2 \rightarrow T_3$

$T_1 \rightarrow T_3 \rightarrow T_2$

$T_2 \rightarrow T_3 \rightarrow T_1$

$T_2 \rightarrow T_1 \rightarrow T_3$

$T_3 \rightarrow T_1 \rightarrow T_2$

$T_3 \rightarrow T_2 \rightarrow T_1$

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\* Conflict Equivalent  $\Rightarrow$

$R(A)$        $R(A)$  } Non conflict pair

|        |        |                   |
|--------|--------|-------------------|
| $R(B)$ | $R(A)$ | Non conflict pair |
| $W(B)$ | $R(A)$ |                   |
| $R(B)$ | $W(A)$ |                   |
| $W(A)$ | $W(B)$ |                   |

|        |        |               |
|--------|--------|---------------|
| $R(A)$ | $W(A)$ | Conflict pair |
| $W(A)$ | $R(A)$ |               |
| $W(A)$ | $W(A)$ |               |

Q  $\Rightarrow$  Check conflict equivalent

| $S$               |                   | $S'$              |                   |
|-------------------|-------------------|-------------------|-------------------|
| $T_1$             | $T_2$             | $T_1$             | $T_2$             |
| $\checkmark R(A)$ |                   | $\checkmark R(A)$ |                   |
| $\checkmark W(A)$ |                   | $\checkmark W(A)$ |                   |
| $R(A) \checkmark$ | $R(B) \checkmark$ | $R(B) \checkmark$ | $R(A) \checkmark$ |
| $W(A) \checkmark$ | $\nwarrow$        | $\nwarrow$        | $W(A) \checkmark$ |
| $R(B)$            |                   | Adjacent node     |                   |

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|                |                |                |                |                |
|----------------|----------------|----------------|----------------|----------------|
| <u>Ans</u>     | T <sub>1</sub> | T <sub>2</sub> | T <sub>1</sub> | T <sub>2</sub> |
| R(A)           |                |                | R(A)           |                |
| W(A)           |                |                | W(A)           |                |
| R(B)           |                | R(A)           | R(B)           |                |
|                |                | W(A)           |                | R(A)           |
|                |                |                |                | W(A)           |
| T <sub>1</sub> | T <sub>2</sub> | T <sub>1</sub> | T <sub>2</sub> |                |
| R(A)           |                | R(A)           |                |                |
| W(A)           |                | W(A)           |                |                |
| R(B)           |                | R(B)           |                |                |
| R(A)           |                |                | R(A)           |                |
| W(A)           |                |                | W(A)           |                |

$$S = S'$$

\* If any table have conflict equivalent list, then it will be serial schedule.

\* 2 schedule are said to be conflict equivalent if one schedule can converted into other schedule after sweeping non-conflicting operations.

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\* Conflict Serializability  $\Rightarrow$  A Schedule is said to be conflict serializable if it is conflict equivalent to serial schedule.

| T <sub>1</sub> | T <sub>2</sub> | T <sub>3</sub> |
|----------------|----------------|----------------|
| R(x)           |                |                |
|                | R(y)           | R(x)           |
|                | R(y)           |                |
|                | R(y)           | W(y)           |
|                |                | W(z)           |
| R(z)           |                |                |
| W(x)           |                |                |
| W(z)           |                |                |

- Check conflict pair in other transaction and draw edge.

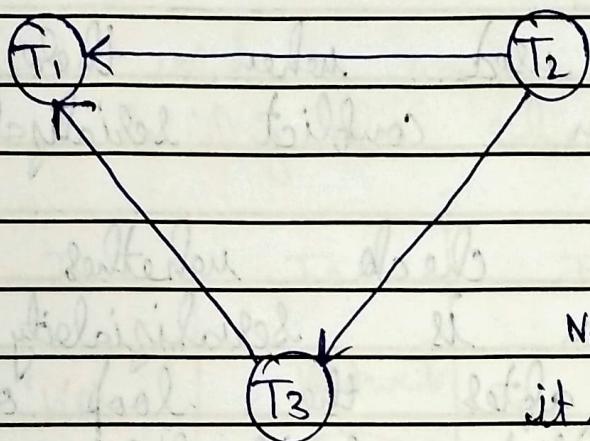
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$$\begin{array}{c} R \rightarrow W \\ W \rightarrow R, W \end{array} \left. \begin{array}{l} \\ \end{array} \right\} \text{Conflict}$$

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\* Precedence graph  $\Rightarrow$



If No loop  
No cycle exist  
it is conflict  
serializable

\*  $T_2 \rightarrow T_3 \rightarrow T_1$

check, indegree=0, write S erase it

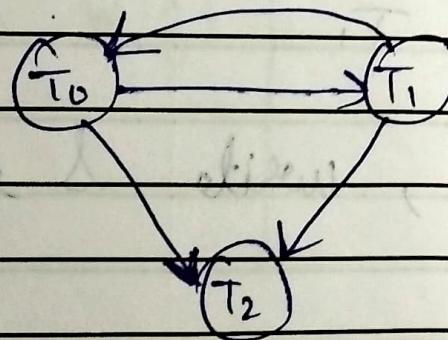
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\* View Serializability  $\Rightarrow$  If a given schedule is found to be view equivalent to some serial schedule, then called ---.

(2) It is used when there is loop in conflict serializability.

(3) Used to check whether the transaction is serializable or not after the loop occurs in conflict serializability.

(4)  $\rho_m \Rightarrow$



\* Blind writes  
\* View equivalent

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\* Blind writes  $\Rightarrow$  Writing without reading is called as blind writes.

- No blind writes mean not a view serializable schedule.

$R_i \Rightarrow T_1 | T_2 | T_3$

$R(A)$

↑  
Blind writes

(W(A))

$W(A)$

$W(A)$

(A) W

(A) P

S = A

(A) W

Time

(A) P

list

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★ Recoverability  $\Rightarrow$  Sometimes a transaction may not executed completely due to S/w issue, system crash, etc. In that case, failed transactions roll back. But some transaction may also have used value produced by failed transaction. So we also have to rollback those transaction.

| $T_1$                           | $T_2$                                     |             |
|---------------------------------|---|-------------|
| $R(A)$<br>$A = A - 5$<br>$W(A)$ |   | Recoverable |
|                                 | $R(A)$<br>$A = A - 2$<br>$W(A)$<br>Commit |             |
| $R(B)$<br>Fail                  |   |             |

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\* Types of Recoverable Schedule  $\Rightarrow$

- ① Cascading Schedules
- ② Cascadedless "
- ③ Strict "

\* Cascading Schedule  $\Rightarrow$  If in a schedule, failure of one transaction causes several other dependent transaction to rollback or abort. Then such a schedule called cascading schedule.

$\hookrightarrow T_1 \quad T_2$

R(A)

W(A)

R(A)

W(A)

Failure

$T_2$  depend on  $T_1$ .

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★ Cascaded Schedule  $\Rightarrow$  If in a schedule, a transaction is not allowed to read a data item until the last transaction that has written it is committed or aborted, then such called —

$\Leftrightarrow$  T<sub>1</sub> | T<sub>2</sub> | T<sub>3</sub>

R(A)

W(A)

Commit

R(A)

W(A)

Commit

R(A)

W(A)

Commit

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$\text{En} \Rightarrow$

$T_1$

$T_2$

$R(A)$

$W(A)$

Commit

$W(A)$  || uncommitted write

Cascades schedule allows only committed read operation. However it allows uncommitted write operation.



$R(A)$

$W(A)$

$W(A)$



$R(A)$

$W(A)$

$R(A)$



$R(A)$

$W(A)$

$R(B)$

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\* Concurrency Control  $\Rightarrow$  In this, multiple transactions can be executed simultaneously.

- (2) It affects the transaction result.
- (3) Highly important to maintain the order of execution of those transaction.

\* Problems of concurrency control  $\Rightarrow$

- (1) Lost update
- (2) Dirty read
- (3) Unrepeatable read

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\* Lost update problem  $\Rightarrow$  If 2 transaction  
T<sub>1</sub> and T<sub>2</sub> read a record and  
then update it, then the effect  
of updating of first record  
will be overwritten by second  
update.

| T <sub>1</sub> | T <sub>2</sub> |
|----------------|----------------|
| R(A)           |                |
| W(A)           | R(A)           |

\* Dirty read  $\Rightarrow$  Dirty read occur when,  
one transaction updated  
an item of database, and then  
transaction fails for some reason.  
The updated database item is accessed  
by another transaction before it is  
changed back to original value.

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⇒  $T_1$  updates a record which is read by  $T_2$ . If  $T_1$  aborts then  $T_2$  now has values which have never formed part of stable database.

|                    |        |        |
|--------------------|--------|--------|
| $\{ \Rightarrow$   | $T_1$  | $T_2$  |
|                    | $W(A)$ | $R(A)$ |
| $\text{ROLL BACK}$ | —      | —      |

★ Inconsistent Retrievals problem ⇒

- ① Allows known as unrepeatable read.
- ②  $T_1$  reads a record and then does some other processing during which the transaction  $T_2$  updated the record. Now when the transaction  $T_1$  reads the record, then new value will be inconsistent with previous value.

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$$P_{in} \Rightarrow A = 200 \quad B = 250 \quad C = 150$$

T<sub>1</sub>

T<sub>2</sub>

R(A)

$$\text{Sum} = A (200)$$

R(B)

$$\text{Sum} = \text{Sum} + B (450)$$

R(C)

$$C = C - 50 (100)$$

W(C)

R(A)

$$A = A + 50 (250)$$

W(A)

COMMIT

R(C)

$$\text{Sum} = \text{Sum} + C (550)$$

$$\text{Initial} = 600$$

$$\text{After} = 550$$

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★ Concurrency Control protocol  $\Rightarrow$  It ensures atomicity, isolation & serializability of concurrent transactions.

- ② Types  $\Rightarrow$  Lock Based protocol
  - $\Rightarrow$  Time Stamp "
  - $\Rightarrow$  Validation Based "

★ Lock Based protocol  $\Rightarrow$  In this, any transaction cannot read or write data until it acquires an appropriate lock on it.

① Shared lock  $\Rightarrow$  Also known as read only lock. In this, the data item can only read by transaction.

② Exclusive lock  $\Rightarrow$  In this, data item can be both read as well as written by transaction.

$\Rightarrow$  Multiple transaction do not modify the same data simultaneously.

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\* 4 types of lock protocols  $\Rightarrow$

① Simplistic lock protocol  $\Rightarrow$

(i) Simplest way of locking data.

(ii) Allow transaction to get the lock on data before insert or delete or update.

(iii) Unlock data after completing transaction.

② Pre-claiming lock protocol  $\Rightarrow$

(i) In this, we make a list of all data item on which we have to apply lock.

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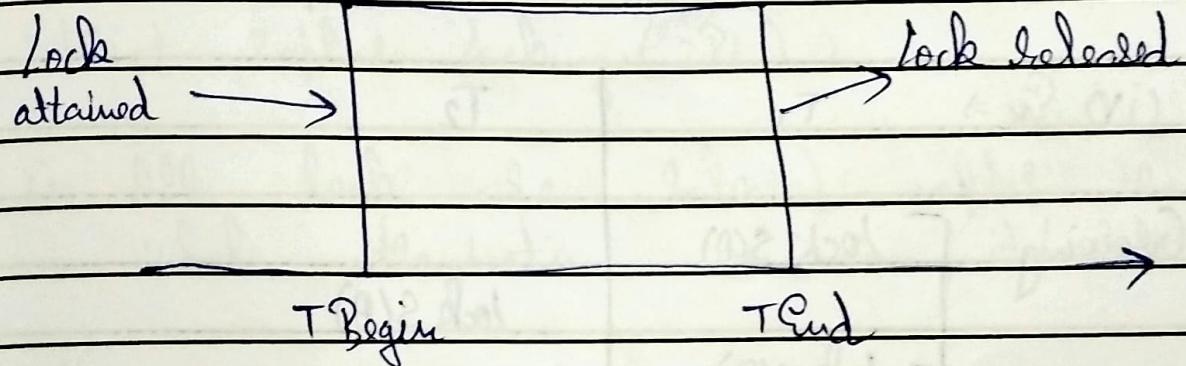
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iii) If all lock are granted then this protocol allow transaction to begin. After transaction complete then it releases lock.

(iii) If lock not granted, roll back starts.



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③ 2-phase locking (2PL)  $\Rightarrow$

(i) Divides creation phase into 3 parts.

(ii) Growing phase  $\Rightarrow$  locks are acquired  
↳ no locks are released.

(iii) Shrinking phase  $\Rightarrow$  locks are released  
and no locks are acquired.

(iv)  $E_K \Rightarrow T_1 \quad | \quad T_2$

|         |  |                    |
|---------|--|--------------------|
| Growing | $\begin{cases} \text{Lock S(A)} \\ \text{Lock X(B)} \end{cases}$ | $\text{Lock S(A)}$ |
|---------|--|--------------------|

|           |  |                    |
|-----------|--|--------------------|
| Shrinking | $\begin{cases} \text{Unlock (A)} \\ \text{Unlock (B)} \end{cases}$ | $\text{Lock X(C)}$ |
|-----------|--|--------------------|

|  |  |  |
|--|--|--|
|  |  | $\begin{cases} \text{Unlock (A)} \\ \text{Unlock (C)} \end{cases}$ |
|--|--|--|

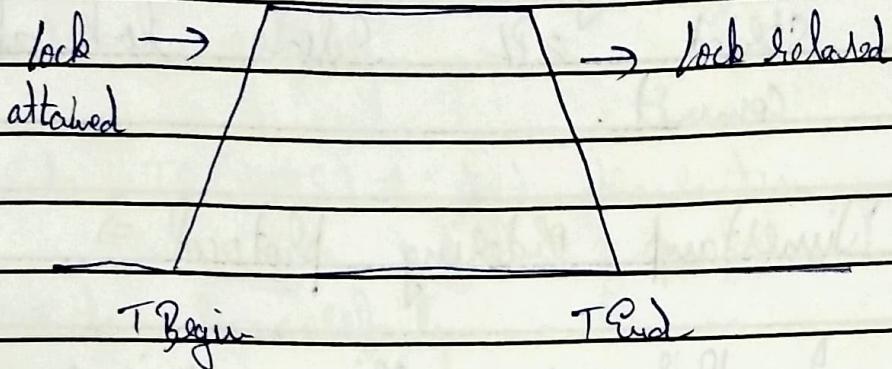
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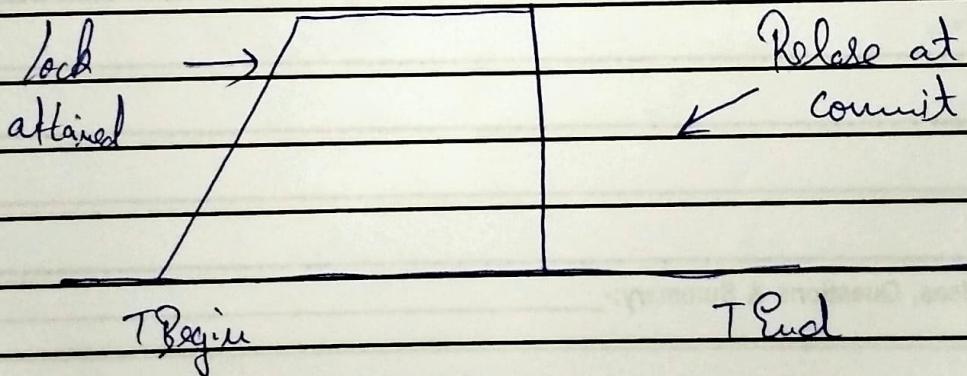
(iv)



(ii) Strict 2-phase locking (S2PL)  $\Rightarrow$

- (i) All locks are released after the whole transaction is commit/abort.

(iii)



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Q ⇒ Difference b/w 2PL & Strict 2PL ?

Ans 2PL release lock while executing transaction whole of strict 2PL release lock after commit.

★ Timestamp ordering protocol ⇒

- ① In this we assign unique value to every transaction.
- ② This no. tells the order of transaction, when did it enter into system.
- ③ The older transaction have lower value than latest transaction.

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(4) Read - TS (R TS)  $\Rightarrow$  last transaction no which performed read successfully

(5) Write - TS (WTS)  $\Rightarrow$  last transaction no which performed write successfully.

(6) TS ( $T_i$ )  
 ↓  
 Time Stamp  $\rightarrow$  Transaction no.,  $T_1, T_2$

(7) Rules  $\Rightarrow$

## \* Validation based protocol $\Rightarrow$

① Also known as optimistic concurrency control technique.

② Read phase  $\Rightarrow$  Transaction T is read and created.  
Used to read the value of various data item.

③ Validation phase  $\Rightarrow$  Temporary variable value will be validated against the actual data to see if it violates the serializability.

④ Write phase  $\Rightarrow$  The temporary results are written to the database or otherwise transaction is rolled back.

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⑤ Each phase have diff timestamp

(i) Start ( $T_i$ )  $\Rightarrow$  It contains the time when  $T_i$  started its execution.

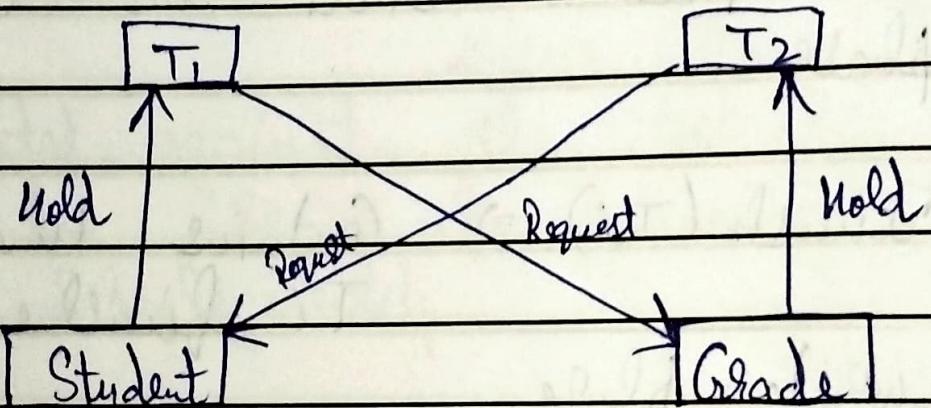
(ii) Validation ( $+i$ )  $\Rightarrow$  Contains time when  $T_i$  finished its read phase & start its validation phase.

(iii) Finish ( $T_i$ )  $\Rightarrow$  Contains time when  $T_i$  finished its write phase.

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## \* Deadlock handling $\Rightarrow$

- ① It is condition where 2 or more transaction are waiting indefinitely for one another to give up locks.
- ② It is said to be one of the most feared complications
- ③  $R_n \Rightarrow$



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\* Deadlock Avoidance  $\Rightarrow$

- ① When a database is stuck in a deadlock state, then it is better to avoid rather than aborting.
- ② Method like "Wait for graph" is used for detecting the deadlock situation but only suitable for smaller DB.

\* Deadlock Detection  $\Rightarrow$