#### COMMUTATIVE ALGEBRA

#### M. ATIF ZAHEER

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# 1. Rings and ideals

### 1.1. Ideals and ring homomorphisms.

**Definition 1.1.** Let A be a ring. A subset  $\mathfrak{a}$  of A is said to be an *ideal* of A if  $\mathfrak{a}$  is an additive subgroup of A and  $\mathfrak{a}x \subset \mathfrak{a}$  for every  $x \in A$ .

**Proposition 1.2.** Let A be a ring and let  $\mathfrak{a}$  be an additive subgroup of A. Then  $\mathfrak{a}$  is an ideal of A if and only if the multiplication operation

$$(x+\mathfrak{a})(y+\mathfrak{a}) = xy + \mathfrak{a}$$

on the quotient group  $A/\mathfrak{a}$  is well-defined.

**Proposition 1.3** (characterization of ideals in a quotient ring). Let A be a ring and let  $\mathfrak{a}$  be an ideal of A. Then there is an inclusion preserving bijective correspondence between the ideals  $\mathfrak{b}$  of A containing  $\mathfrak{a}$  and the ideals of  $A/\mathfrak{a}$  given by  $\mathfrak{b} \mapsto \mathfrak{b}/\mathfrak{a}$ .

If  $\pi: A \to A/\mathfrak{a}$  is the canonical projection map, then the inverse of the map  $\mathfrak{b} \mapsto \mathfrak{b}/\mathfrak{a}$  above is given by  $\overline{\mathfrak{b}} \mapsto \pi^{-1}(\overline{\mathfrak{b}})$ .

Claim 1.4. Images and preimages of subrings are subrings under a ring homomorphism.

Claim 1.5. Preimage of an ideal under a ring homomorphism is an ideal. The image of an ideal is an ideal of the image ring.

The image of an ideal need not be an ideal. Consider the embedding  $\mathbb{Z} \to \mathbb{Q}$ .

Theorem 1.6 (Isomorphism theorems).

- (a) Let  $f: A \to B$  be a ring homomorphism. Then  $A/\ker f \cong \operatorname{im} f$ .
- (b) Let  $\mathfrak{a}$  be an ideal and let B be a subring of A. Then  $B + \mathfrak{a}$  is a subring of A,  $B \cap \mathfrak{a}$  is an ideal of B and

$$(B+\mathfrak{a})/\mathfrak{a} \cong B/(B\cap\mathfrak{a}).$$

(c) If  $\mathfrak{a} \subset \mathfrak{b}$  are ideals of a ring A, then

$$(A/\mathfrak{a})/(\mathfrak{b}/\mathfrak{a}) \cong A/\mathfrak{b}.$$

# 1.2. Zero-divisors, nilpotents, and units.

Claim 1.7. The set of zero-divisors and units are disjoint.

A nilpotent is always a zero-divisor in a nonzero ring but the converse is not true as  $\overline{3} \in \mathbb{Z}/6\mathbb{Z}$  and  $\overline{x} \in k[x,y]/(xy)$  are both zero-divisors but not nilpotents.

**Problem 1.1.** Identify nilpotent elements in the ring  $\mathbb{Z}/n\mathbb{Z}$ .

Solution. An element  $\overline{a} \in \mathbb{Z}/n\mathbb{Z}$  is nilpotent if and only if  $\prod_{p|n} p$  divides a.

**Proposition 1.8.** Let A be a ring  $\neq 0$  Then the following are equivalent:

- (a) A is a field.
- (b) The only ideals of A are 0 and (1).
- (c) Every nonzero ring homomorphism from A to a ring B is injective.

### 1.3. Prime and maximal ideals.

**Definition 1.9.** Let A be a ring. A proper ideal  $\mathfrak{p}$  of A is said to be *prime* if  $xy \in A$  implies  $x \in A$  or  $y \in A$ .

**Example 1.10.** (1) If A is an integral domain, then 0 is a prime ideal of A.

- (2) The prime ideals of  $\mathbb{Z}$  are precisely the zero ideal and ideals of the form (p), where p is a prime number.
- (3) The ideal  $(x) \subset k[x,y]$  is prime.

**Proposition 1.11.** An ideal  $\mathfrak{p}$  of a ring A is prime if and only if  $A/\mathfrak{p}$  is an integral domain.

Claim 1.12. If  $f: A \to B$  is a ring homomorphism and  $\mathfrak{q}$  is a prime ideal of B, then the inverse image  $f^{-1}(\mathfrak{q})$  is also prime.

*Proof.* The proof is quite simple following directly from the definition but a more instructive proof is as follows: Consider the map  $\pi \circ f : A \to B/\mathfrak{q}$ , where  $\pi : B \to B/\mathfrak{q}$  is the canonical projection. Then  $\ker(\pi \circ f) = f^{-1}(\mathfrak{q})$ . Thus we have  $A/f^{-1}(\mathfrak{q}) \cong (\pi \circ f)(A)$ . Since  $B/\mathfrak{q}$  is an integral domain, it follows that the subring  $(\pi \circ f)(A)$  and hence  $A/f^{-1}(\mathfrak{q})$  is an integral domain. This implies that  $f^{-1}(\mathfrak{q})$  is prime in A.

**Claim 1.13.** Let  $f: A \to B$  be a surjective ring homomorphism and let  $\mathfrak{p}$  be a prime ideal of A such that  $\mathfrak{p} \supset \ker f$ . Then the image  $f(\mathfrak{p})$  is prime in B.

**Definition 1.14.** Let A be a ring. A proper ideal  $\mathfrak{m}$  of A is said to be *maximal* if there is no proper ideal strictly containing  $\mathfrak{m}$ .

**Proposition 1.15.** An ideal  $\mathfrak{m}$  of A is maximal if and only if  $A/\mathfrak{m}$  is a field.

The inverse image of a maximal ideal need not be maximal. Consider the embedding  $\mathbb{Z} \to \mathbb{Q}$ . However, the image of a maximal ideal under a surjective ring homomorphism containing the kernel is a maximal ideal.

**Theorem 1.16.** Every nonzero ring A has a maximal ideal.

*Proof.* Follows from Zorn's lemma.

Corollary 1.17. If  $\mathfrak{a}$  is a proper ideal of a ring A, then there is a maximal ideal of A containing  $\mathfrak{a}$ .

Corollary 1.18. Every nonunit element is contained in some maximal ideal.

**Problem 1.2.** Let A be a ring in which every element x satisfies  $x^n = x$  for some n > 1. Show that every prime ideal is maximal.

Solution. Let  $\mathfrak{p}$  be a prime ideal of A. Then we know that  $\mathfrak{p}$  is contained in some maximal ideal  $\mathfrak{m}$  of A. Suppose for the sake of contradiction that  $\mathfrak{p}$  is properly contained in  $\mathfrak{m}$  and let  $x \in \mathfrak{m} \backslash \mathfrak{p}$ . Then we have  $x^n = x$  for some n > 1 and so  $x(x^{n-1} - 1) = 0 \in \mathfrak{p}$ . This implies that  $x^{n-1} - 1 \in \mathfrak{p}$  as  $x \notin \mathfrak{p}$ . It now follows that  $x^{n-1} - 1 \in \mathfrak{m}$  as  $\mathfrak{p} \subset \mathfrak{m}$ . Finally, we get that  $1 \in \mathfrak{m}$  as  $x \in \mathfrak{m}$ , a contradiction. Hence we must have  $\mathfrak{p} = \mathfrak{m}$ .

Another solution: Let  $\mathfrak{p}$  be a prime ideal of A. Then  $A/\mathfrak{p}$  is an integral domain. Let  $x \in A$ . Then  $x^n = x$  for some n > 1 and so  $\overline{x}^n = \overline{x}$ . If  $\overline{x} \neq 0$ , then  $\overline{x}^{n-1} = \overline{1}$  and so  $\overline{x}$  is a unit. This shows that  $A/\mathfrak{p}$  is a field and so  $\mathfrak{p}$  is a maximal ideal.

Claim 1.19. If  $\mathfrak{m}$  is a proper ideal of a ring A such that  $A \setminus \mathfrak{m} \subset A^{\times}$ , then  $\mathfrak{m}$  is the unique maximal ideal of A.

*Proof.* Every proper ideal  $\mathfrak{a}$  is contained in  $A \setminus A^{\times} \subset \mathfrak{m}$ .

Claim 1.20. If  $\mathfrak{m}$  is a maximal ideal of A such that  $1 + \mathfrak{m} \subset A^{\times}$ , then  $\mathfrak{m}$  is the unique maximal ideal of A.

*Proof.* If x is a nonunit element not contained in  $\mathfrak{m}$ , then  $\mathfrak{m} + (x) = (1)$  and so  $x \in 1 + \mathfrak{m} \subset A^{\times}$ , a contradiction.

**Problem 1.3.** Show that the only idempotents in a local ring are 0 and 1.

Solution. Let x be an idempotent element in a ring A and  $\mathfrak{m}$  be the unique maximal ideal of A. Then  $x^2 = x$  and so x(x-1) = 0. Because  $\mathfrak{m} = A \setminus A^{\times}$  we get that either x or 1-x is a unit for if both are nonunits, then both lie in  $\mathfrak{m}$  which results in  $1 \in \mathfrak{m}$ , a contradiction. This implies that x = 0 or x = 1.

Claim 1.21. In a PID every nonzero prime ideal is maximal.

### 1.4. Nilradical and Jacobson radical.

Claim 1.22. The set  $\mathfrak{N}$  of all nilpotent elements in a ring A form an ideal. Moreover, the ring  $A/\mathfrak{N}$  does not have any nonzero nilpotent elements.

**Theorem 1.23.** Let A be a ring. Then

$$\mathfrak{N} = \bigcap_{\mathfrak{p} \text{ prime}} \mathfrak{p}.$$

*Proof.* The inclusion  $\subset$  is easy. For the other inclusion let  $x \in \bigcap_{\mathfrak{p} \text{ prime}} \mathfrak{p}$ . Suppose for the sake of contradiction that x is not nilpotent. Then the collection of all ideals  $\mathfrak{a}$  of A for which  $x^n \notin \mathfrak{a}$  for every  $n \in \mathbb{N}$  has a maximal element  $\mathfrak{p}$  by the Zorn's lemma. It is then easy to see that  $\mathfrak{p}$  is a prime ideal and so we obtain a contradiction.

**Problem 1.4.** Let A be a ring and let  $\mathfrak{N}$  be its nilradical. Show that the following are equivalent:

- (a) A has exactly one prime ideal.
- (b) Every element of A is either a unit of a nilpotent.
- (c)  $A/\mathfrak{N}$  is a field.

Solution. (a)  $\Rightarrow$  (b): Let  $x \in A$  be a nonunit. Then x lies in some prime ideal  $\mathfrak{p}$  of A. But by assumption  $\mathfrak{p}$  is the unique prime ideal of A and so  $\mathfrak{N} = \mathfrak{p}$ . Thus x is a nilpotent.

- (b)  $\Rightarrow$  (c): By assumption we have  $A \setminus A^{\times} \subset \mathfrak{N}$ . This immediately shows that  $\mathfrak{N}$  is the unique maximal ideal of A by Claim 1.19 and so  $A/\mathfrak{N}$  is a field.
- (c)  $\Rightarrow$  (a): If  $\mathfrak{p}$  is a prime ideal of A, then  $\mathfrak{N} \subset \mathfrak{p}$ . Since  $\mathfrak{N}$  is a maximal ideal we get that  $\mathfrak{p} = \mathfrak{N}$ . Hence,  $\mathfrak{N}$  is the unique prime ideal of A.

**Theorem 1.24.** Let  $\mathfrak{R}$  be the Jacobson radical of a ring A. Then  $x \in \mathfrak{R}$  if and only if  $1 + xy \in A^{\times}$  for every  $y \in A$ .