

# Language Design and Compilers

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Duck typing, Protocols @dataclass, @property macros Interfaces: what is a contract, More of "invocation", determined by which methods you have

A lot of this is gotten from the Gang of Four's *Design Patterns* (1994). We are concerned with how programming languages are designed and then how we compile them into machine code.

The reason you define types and classes is to control how you interpret/encode data. Fundamentally all programming is manipulating bits. Safety measure on ourselves and convenience.

#### Definition 0.1 (Object)

An **object**<sup>a</sup>  $o$  is a run-time entity that packages both

1. data, also called *attributes*, and
2. the procedures—also called *methods*—that operate on that data.

<sup>a</sup>There is a bit of a circular definition with classes, but we'll ignore this for now.

#### Definition 0.2 (Signature)

The **signature** of a method  $f$  defines its name, parameters, and the return value.

#### Definition 0.3 (Interface)

An **interface** of an object  $o$  is set of all signatures defined by its operations. The interface describes the set of requests to which an object can respond.

#### Definition 0.4 (Type)

A **type** is the name of a particular interface.

1. A type  $A$  **subtype** of another  $B$  if its interface contains the interface of  $B$ .
2. A type  $B$  **supertype** of another  $A$  if  $A$ 's interface contains the interface of  $B$ .

Note that while we have talked about interfaces through signatures, we haven't actually described how the functions are actually *implemented*. In object-oriented design, we want to decouple these two concepts, and objects are known only through their interfaces.

#### Definition 0.5 (Implementation)

An **implementation** of

1. a method  $f$  describes the actual computations done within the function.
2. an object  $o$  describes the implementations of all of its methods

#### Example 0.1 (Multiplication)

A function that multiplies two numbers might have a signature that looks like

```
1 int multiply(int x, int y)
```

But the implementations may differ.

```

1  int multiply(int x, int y) {
2      return x * y;
3  }
4  .
5  .
6  .
7  .

```

```

1  int multiply(int x, int y) {
2      int res = 0;
3      for (int i = 0; i < y; i++) {
4          res += x
5      }
6      return res;
7  }

```

### Definition 0.6 (Class)

A **class**  $C(a_1, \dots, a_n, m_1, \dots, m_k)$  defines an object's interface and implementation. Objects are created by **instantiating** a class, and the object is said to be an **instance** of the class.

1. An **abstract class** is a class whose primary purpose is to define an interface and defers some or all of its implementation to subclasses. An abstract class cannot be instantiated. Its methods are called **abstract operations**, which is an operation that declares a signature but doesn't implement it.<sup>1</sup>
2. A **concrete class** is a class which has no abstract operations and can be instantiated.

className
name : attribute type
name : attribute type = default value
method(parameter list) : type of value returned

Figure 1: Class diagram depicts classes, their internal structure and operations, and the static relationships between them. The top box is the class name, the middle contains the attributes, and the bottom contains the methods.

<sup>1</sup>In this sense, an abstract class is closer to an interface than an actual class, and we should view it as such.

This is why when you look at Python's docs, you see *type* hierarchy that defines interfaces (e.g. `numbers.Real`), which are abstract base classes.<sup>2</sup>

<sup>2</sup>Python models interfaces with ABCs.

## 1 Jan 7

Will be working in tiger.

1. *Lexer*. Convert a sequence of characters into a sequence of tokens. Need to talk about DFA, NFA, and regular expressions.
2. *Parsing*. Building the abstract syntax tree. e.g. LL parsing (what we are doing) vs LR parsing. MLYac. Trees make everything explicit and so is easier to work with.
3. *Type Checking*.
4. *IR*. Top of the mountain.
5. *Instruction Selection*.
6. *Liveness Analysis*. Data flow analysis, which is at the core of a lot of optimization.
7. *Register Allocation*. This gives the MIPS assembly, which is text.

Expression evaluates to a value, while a statement is ... SML-NJ, sort of functional.

## 2 Regular Expressions

### Example 2.1

The syntax for all numbers might look something like

```
1  0 | (-? [1-9] [0-9])
```

To compute on regular expressions (i.e. matching a string with a regular expression), it is generally hard.

A little side track to DFA. Can we turn regular expressions into DFA? Yes. We do it through NFA.

## 3 Lexers

## 4 Jan 14

Last time, we found out that regexs are hard to compute, so we need DFAs and NFAs to compute. We can turn an NFA into a DFA. We like NFAs since it's easier to convert a regex into an NFA and then into a DFA. This allows us to write a nice declarative.

## 5 Encapsulation

**Definition 5.1 (Encapsulation)**

The result of hiding a representation and implementation in an object. The representation is not visible and cannot be accessed directly from outside the object. Operations are the only way to access and modify an object's representation.

public, private, protected + getter/setter methods Python doesn't have (true) encapsulation (or some people say it does, but it lacks access control), since the attributes can be accessed, even when there is name mangling.

## 6 Inheritance

But so far, these classes are isolated from one another.

This is bad because ideally, we want the classes to actually have relationships with each other

### Definition 6.1 (Polymorphism)

The ability to substitute objects of matching interface for one another at run-time. The idea of *single interface, multiple implementations*.

### Definition 6.2 (Toolkit)

A **toolkit** is a set of related and reusable classes designed to provide useful, general-purpose functionality.

### Definition 6.3 (Framework)

A **framework** is a set of cooperating classes that make up a reusable design for a specific class of software. It provides architectural guidance by partitioning the design into abstract classes and defining their responsibilities and collaborations. A developer customizes the framework to a particular application by subclassing and composing instances of framework classes.

There are in general two ways: inheritance and object composition.

### Definition 6.4 (Interface Inheritance)

**Interface inheritance** defines a new interface in terms of one or more existing interfaces.

### Definition 6.5 (Implementation Inheritance)

**Implementation inheritance** defines a new implementation in terms of one or more existing implementations.

Class inheritance combines both interface and implementation inheritance, since the subclass.

Inheritance is not polymorphism. In inheritance, you get polymorphism when you cast it back to the base class.

subclassing vs inheritance. Invasive vs non-invasive.

abcs vs duck typing in python (PEP 3119).

## 7 Composition

Sometimes, inheritance may not be the right way. There can be a lot of independent properties of a certain class that we would like to model, so we can have child classes across different cross sections.

### Example 7.1 (Visible, Solid, and Movable Objects)

The example in Wikipedia summarizes it nicely. Say you have some an interface to represent any object in the game, and you define three subtypes describing the properties it has.

```
1  class Object {
2  public:
3      virtual void update() {}
4      virtual void draw() {}
5      virtual void collide(Object objects[]) {}
6  };
7
8  class Visible : public Object
9  {
10     Model* model;
11
12     public:
13         virtual void draw() override {
14             // code to draw a model at the position of this object
15         }
16 };
17
18 class Solid : public Object
19 {
20     public:
21         virtual void collide(Object objects[]) override {
22             // code to check for and react to collisions with other objects
23         }
24 };
25
26 class Movable : public Object
27 {
28     public:
29         virtual void update() override {
30             // code to update the position of this object
31         }
32 }
```

If we want to implement the following concrete classes:

1. class `Player` which is `Solid`, `Movable`, and `Visible`,
2. class `Cloud`, which is `Movable` and `Visible` but not `Solid`,
3. class `Building` which is `Solid` and `Visible` but not `Movable`,
4. class `Trap` which is `Solid` but neither `Visible` nor `Movable`.

Multiple inheritance is dangerous as we've seen before since it can lead to the diamond problem. One solution to this is to create classes such as `VisibleAndSolid`, `VisibleAndMovable`, etc. for all combinations, but this leads to repetitive code.

There must be a better way to organize this, and indeed composition comes to our rescue. The general idea is that rather than modeling the classes with *is-a* relationships, it is better to compose what an object can do with a *has-a* relationship.



**Definition 7.1 (Object Composition)**

**Object composition** is the principle that objects should contain instances of other classes that implement the desired functionality.

**Definition 7.2 (Delegation)**

In fact, object composition is so popular that there is a popular saying of *composition over inheritance*. It generally leads to more flexible and modular designs, leveraging the idea of building classes out of *components* rather than trying to find some commonality between them and creating a family tree.

Compiler	Interpreter
Takes more time to analyze source code but execution time is faster.	Takes less time to analyze source code but execution time is slower.
Debugging is harder since the compiler generates an error message after the entire scan.	Debugging is easier since the interpreter continues translating the program until an error is met.
Requires a lot of memory for generating object codes.	Requires less memory because no object code is generated.
Generates intermediate object code.	No intermediate object code is generated.

## 8 Program Lifecycle Phases

First, we review some definitions. More on program lifecycle phases here. Programming languages are broadly classified into two types. **High-level languages** are the familiar programming languages that we work with today (that allow much more abstraction), while **low-level languages** are very close to the hardware, such as machine language and assembly language. Programmers write programs in **source code** (usually high-level languages), which are then inputted into **language processors** that translate them into **object code** (usually **machine code** consisting of binary). The duration in which the source code of the program is being edited is called the **edit time**, while the **compile time** is when the source code is translated into machine code by a language processor. There are three types of language processors.

1. A **compiler** is a language processor that reads the complete source program written in high-level language as a whole in one go and translates it into an equivalent program in machine language. The source code is translated to object code successfully if it is free of errors. The compiler specifies the errors at the end of the compilation with line numbers when there are any errors in the source code. The errors must be removed before the compiler can successfully recompile the source code again. (e.g. C, C++, C#, Java)
2. An **assembler** is used to translate the program written in Assembly language (basically a low-level language with very strong correspondence between the instructions in the language and the machine code instructions) into machine code. The assembler is basically the 1st interface that is able to communicate humans with the machine. We need an assembler to fill the gap between human and machine so that they can communicate with each other. Code written in assembly language is some sort of mnemonics (instructions) like ADD, MUL, MUX, SUB, DIV, MOV and so on, and the assembler is basically able to convert these mnemonics into binary code.
3. An **interpreter** translates a single statement of the source program into machine code and executes immediately before moving on to the next line. If there is an error in the statement, the interpreter terminates its translating at that statement and displays an error message. The interpreter moves on to the next line for execution only after the removal of the error. An interpreter directly executes instructions written source code without previously converting them to an object code or machine code. (e.g. Python, Pearl, JavaScript, Ruby)

A quick compare and contrast.

The result of a successful compilation is an executable, which is a program in the form of a file containing millions of lines of very simple machine code instructions (e.g. add 2 numbers or compare 2 numbers), also called **processor instructions**. This executable can be stored somewhere in the computer drive for future use or it may be copied immediately in a faster memory state, such as the RAM. The **load time** is when the OS takes the program's executable from storage and puts it into an active memory (e.g. RAM) in order to begin execution.

The CPU understands only a low level machine code language (aka native code), which is contained within the executable. The language of the machine code is hardwired into the design of the CPU hardware; it is not something that can be changed at will. Each family of compatible CPUs (e.g. the popular Intel x86 family) has its own, idiosyncratic machine code which is not compatible with the machine code of other CPU

families. More information here. Once the instruction bytes are copied from storage to RAM, the CPU can run through the steps/lines at the rate of about 2 billion lines/steps per second. This execution phase, when the CPU executes the instructions until normal termination or a crash, is called the **runtime**.

## 8.1 More on Executables

More specifically, an **executable** is a file that contains a list of instructions and data to cause a computer's CPU to perform indicated tasks, as opposed to the data files, which are fundamentally strings of data that must be interpreted (parsed) by a program to be meaningful. Executables usually have extension names **.exe** or **.bat**, and they can generally be run (invoked) in two ways:

1. The executable file can be run by simply double clicking on the file name, opening it, and having the user type commands in an interactive session of an interpreter (like inputting commands in terminal window or a python shell).
2. Alternatively, we can start writing a program, complete writing it, and then have this program compiled into an executable to be invoked.

Some common examples of executables are:

1. python.exe - used to run python scripts that have the .py extension, located at

C: \Users\bahng\AppData\Local\Programs\Python\Python39

2. pythonw.exe - used to run .pyw files for GUI programs
3. terminal.exe (on MacOS)
4. cmd.exe (on Windows OS)
5. py.exe - an executable used to run the python.exe executable like a shortcut, located at

C:\windows\py.exe

## 8.2 Static vs Dynamic Languages

**Type-checking** is the process of checking and verifying the type of a construct (constant, variable, array, list, object) and its usage context. It helps in minimizing the possibility of type errors in the program, and type checking may occur either at compile-time (static checking) or at run-time (dynamic checking).

1. **Statically-Typed Languages:** Since we type check during compilation, every detail about the variables and all the data types must be known before we do the compiling process. Once a variable is assigned a type, it can't be assigned to some other variable of a different type, and so the data type of a declared variable is fixed. This makes sense since in Java, C, C++, etc., the programmer must specify what the data type of each variable is by writing something like `int myNum = 15`.
2. **Dynamically-Typed Languages:** Since we type-check during runtime, there is no need to specify the data type of each variable while writing code, which improves writing speed. These languages have the capability to identify the type of each variable during run-time, so we do not need to declare the data types of variables. In these languages, variables are bound to objects at run-time using assignment statements, and most modern languages (e.g. JavaScript, Python, PHP, etc.) are dynamically typed.

## 9 Compiling and Linking

Now let's talk about how this compiling actually happens. *Compiling* is actually an umbrella term that is misused. Turning a C file into an executable file consists of multiple intermediate steps, one of which is actually compiling, but the whole series is sometimes referred to as compiling. A more accurate term would be *building*. Before we get onto it, there are two types of compilers.

### Definition 9.1 (GCC, CLang)

The two mainstream compilers used is GCC (with the gdb debugger) and Clang (with lldb). For now, the difference is that

1. gcc is more established.
2. clang is newer and has more features.

A useful flag to know is that we can always specify the name of the (final or intermediary) output file with the `-o` flag.

### Definition 9.2 (Complete Build Process)

To actually turn a C file into an executable file, we need to go through a series of steps. We start off with the C code, which are the `.c`, `.cpp`, or `.h` files.

1. **Preprocessing:** The precompiler step expands the *preprocessor directives* (all the `#include` and `#define` statements) and removes comments. This results in a `.i` file. The preprocessor will replace these macros with the actual code. This results in a `.i` file.

```
1 clang/gcc -E main.c -o main.i
```

2. **Compiling:** We take these and generate assembly code. This results in a `.asm` or `.s` file.

```
1 clang/gcc -S main.c -o main.s
```

3. **Assembler:** We take the assembly code and generate machine code in the form of relocatable binary object code (this is machine code, not assembly). This results in a `.o` or `.obj` file.

```
1 clang/gcc -c main.c -o main.o
```

4. **Linking:** We take these object files and link them together to form an executable file. This results in a `.exe` or `.out` file.

The GCC or CLang compiler automates this process for us. For example, `gcc -c hello.c` generates an object file, taking care of the preprocessing, compiling, and assembling code. Then, `gcc hello.o` links the object file to generate an executable file.

There are a lot of questions to be asked here, and we will go through them step by step.

### 9.1 Precompiling Stage

Just like how Python package managers like conda have specific directories that they find package in, the C library also has a certain directory.

### Definition 9.3 (Standard Library Directory)

In Linux systems, there are two main directories you look at:

1. `/usr/include` contains the standard C library headers.
2. `/usr/local/include` contains the headers for libraries that you install yourself.

In Mac Silicon, these directories are a little bit more involved. You must first install the xcode command line developer tools, which will then create these directories.

1. The standard C library headers are in

`/Library/Developer/CommandLineTools/SDKs/MacOSX*.sdk/usr/include.`

In here, we can find all the relevant import files like `stdio.h` and such. When we precompile, the output `.i` file represents a precompiled C file. It still has C code, but it has been optimized to

1. Remove comments.
2. Replace all the `#include` statements with the actual code.
3. Replace all the global variables declared in `#define` with the actual value.

Between x86 and ARM, there are no significant differences in how C files are precompiled.

### Example 9.1

Take a look at the following minimal example.

<pre>1  #include "second.h" 2  #define a 3 3 4  int add(int x, int y) { 5      return x + y; 6  } 7 8  int main() { 9      // test comment 10     int b = 5; 11     int c = add(a, b); 12     int d = subtract(a, b); 13     return 0; 14 }</pre>	<pre>1  int subtract(int a, int b) { 2      return a - b; 3  } 4  . 5  . 6  . 7  . 8  . 9  . 10 . 11 . 12 . 13 . 14 .</pre>
---	---

Figure 2: I have included a `main.c` file that imports statements from a `second.h` file.

Now, I run `gcc -E main.c -o main.i` to generate the precompiled file, which gives me the following.

```
1 # 1 "main.c"
2 # 1 "<built-in>" 1
3 # 1 "<built-in>" 3
4 # 418 "<built-in>" 3
5 # 1 "<command line>" 1
6 # 1 "<built-in>" 2
7 # 1 "main.c" 2
8 # 1 "./second.h" 1
9 int subtract(int a, int b) {
10     return a - b;
11 }
12 # 2 "main.c" 2
13
14
15 int add(int x, int y) {
16     return x + y;
17 }
18
19 int main() {
20
21     int b = 5;
22     int c = add(3, b);
23     int d = subtract(3, b);
24     return 0;
25 }
```

Figure 3: The precompiled file.

Notice a few things:

1. The header file `second.h` has been replaced with the actual code.
2. The comments have indeed been removed.
3. The global variable `a` has been replaced with the actual value 3.

This leaves us with the question of what all the rest of the lines that start with a `#` are for. They are called *preprocessor directives*.

#### Definition 9.4 (Preprocessor Directives)

**Preprocessor directives** are commands that are executed before the actual compilation begins. These directives allow additional actions to be taken on the C source code before it is compiled into object code. Directives are not part of the C language itself, and they are always prefixed with a `#` symbol.

1. `#include` is used to include the contents of a file into the source file. It selects portions of the file to include based on the file name.
2. `#define` is used to define a macro, which is a way to give a name to a constant value or a piece of code.
3. `#ifdef`, `#ifndef`, `#else`, and `#endif` are used for conditional compilation.
4. `#error` is used to generate a compilation error.
5. `#pragma` is used to give the compiler specific instructions.

## 9.2 Compiling Stage

Once we have precompiled, we can compile the code into assembly code. For the following two examples, we will parse through the general syntax of assembly code. It is quite different between x86 and ARM, so we

will use the minimal C code

```
1  int add(int x, int y) {  
2      return x + y;  
3  }  
4  
5  int main() {  
6      int a = 3;  
7      int b = 5;  
8      int c = add(a, b);  
9      return 0;  
10 }
```

for both examples.

### Example 9.2 (x86 Compiled Assembly Language)

The assembly code is shown.

```
1  .  
2  .file "main.c"  
3  .text  
4  .globl add  
5  .type add, @function  
6  add:  
7  .LFB0:  
8  .cfi_startproc  
9  endbr64  
10 pushq %rbp  
11 .cfi_def_cfa_offset 16  
12 .cfi_offset 6, -16  
13 movq %rsp, %rbp  
14 .cfi_def_cfa_register 6  
15 movl %edi, -4(%rbp)  
16 movl %esi, -8(%rbp)  
17 movl -4(%rbp), %edx  
18 movl -8(%rbp), %eax  
19 addl %edx, %eax  
20 popq %rbp  
21 .cfi_def_cfa 7, 8  
22 ret  
23 .cfi_endproc  
24 .LFE0:  
25 .size add, .-add  
26 .globl main  
27 .type main, @function  
28 main:  
29 .LFB1:  
30 .cfi_startproc  
31 endbr64  
32 pushq %rbp  
33 .cfi_def_cfa_offset 16  
34 .cfi_offset 6, -16  
35 movq %rsp, %rbp  
36 .cfi_def_cfa_register 6  
37 subq $16, %rsp  
38 movl $3, -12(%rbp)
```

```

39    movl    $5, -8(%rbp)
40    movl    -8(%rbp), %edx
41    movl    -12(%rbp), %eax
42    movl    %edx, %esi
43    movl    %eax, %edi
44    call    add
45    movl    %eax, -4(%rbp)
46    movl    $0, %eax
47    leave
48    .cfi_def_cfa 7, 8
49    ret
50    .cfi_endproc
51 .LFE1:
52    .size    main, .-main
53    .ident   "GCC: (Ubuntu 9.4.0-1ubuntu1~20.04.2) 9.4.0"
54    .section .note.GNU-stack,"",@progbits
55    .section .note.gnu.property,"a"
56    .align 8
57    .long    1f - 0f
58    .long    4f - 1f
59    .long    5
60 0:
61    .string   "GNU"
62 1:
63    .align 8
64    .long    0xc0000002
65    .long    3f - 2f
66 2:
67    .long    0x3
68 3:
69    .align 8
70 4:

```

### Example 9.3 (ARM Compiled Assembly Language)

The assembly code is shown.

```

1  .
2  .section __TEXT,__text,regular,pure_instructions
3  .build_version macos, 14, 0 sdk_version 14, 4
4  .globl _add                      ; -- Begin function add
5  .p2align 2
6  _add:                            ; @add
7  .cfi_startproc
8  ; %bb.0:
9  sub sp, sp, #16
10 .cfi_def_cfa_offset 16
11 str w0, [sp, #12]
12 str w1, [sp, #8]
13 ldr w8, [sp, #12]
14 ldr w9, [sp, #8]
15 add w0, w8, w9
16 add sp, sp, #16
17 ret
18 .cfi_endproc

```



```

19                                     ; -- End function
20     .globl _main                     ; -- Begin function main
21     .p2align 2
22     _main:                           ; @main
23     .cfi_startproc
24     ; %bb.0:
25     sub sp, sp, #48
26     .cfi_def_cfa_offset 48
27     stp x29, x30, [sp, #32]           ; 16-byte Folded Spill
28     add x29, sp, #32
29     .cfi_def_cfa w29, 16
30     .cfi_offset w30, -8
31     .cfi_offset w29, -16
32     mov w8, #0
33     str w8, [sp, #12]                 ; 4-byte Folded Spill
34     stur wzr, [x29, #-4]
35     mov w8, #3
36     stur w8, [x29, #-8]
37     mov w8, #5
38     stur w8, [x29, #-12]
39     ldur w0, [x29, #-8]
40     ldur w1, [x29, #-12]
41     bl _add
42     mov x8, x0
43     ldr w0, [sp, #12]                 ; 4-byte Folded Reload
44     str w8, [sp, #16]
45     ldp x29, x30, [sp, #32]           ; 16-byte Folded Reload
46     add sp, sp, #48
47     ret
48     .cfi_endproc
49                                     ; -- End function
50     .subsections_via_symbols

```

We can see that in both examples, there are generally two types of codes.

1. The regular CPU operations with registers and memory.
2. Some code starts off with some code that starts with a `..` Every line that starts with a `.` are called *assembler directives*.

Let's elaborate more on what these directives are.

#### Definition 9.5 (Assembler Directives)

An **assembler directives** are instructions in assembly language programming that that give commands to the assembler (which then converts this to an object file) about various aspects of the assembly process, but they do not represent actual CPU instructions that execute in the program. Unlike typical assembly language instructions that directly manipulate registers and execute arithmetic or logical operations, directives are used to organize, control, and provide necessary information for the assembly and linking of binary programs. They can manage memory allocation, define symbols, control compilation settings, and much more.

There are general types of directives that are common in both x86 and ARM that we should be aware about:

1. Section directives.
2. Data allocation directives.

3. Symbol definition directives.
4. Macro and Include directives.
5. Debugging and error handling directives.

#### Example 9.4 (x86 Assembly Directives)

Let us elaborate on the specific directives in the x86 assembly code, some of which are in the example above.

1. `.file "main.c"` is a directive that tells the assembler that the following code is from the file `main.c`. It is a form of metadata.
2. `.text` is a directive that tells the assembler that the following code is the text section (the text/code portion of memory) of the program. This is where the actual code is stored.
3. `.globl add` is a directive that tells the assembler that the following code is a global function called `add`.
4. `.type add, @function` is a directive that tells the assembler that the following code is a function.

#### Example 9.5 (ARM Assembly Directives)

You also see that there are symbols that represent memory addresses. Let's elaborate on what symbols mean.

#### Definition 9.6 (Symbol)

A **symbol** is a name that is used to refer to a memory location. It can be a function name, a global variable, or a local variable.

1. Global symbols are symbols that can be referenced by other object files, e.g. non-static functions and global variables.
2. Local symbols are symbols that are only visible within the object file, e.g. static functions and local variables. The linker won't know about these types.
3. External symbols are referenced by this object file but defined in another object file.

## 9.3 Objdump

Since we will be using the `objdump` package quite a lot, it is worth mentioning the different commands you will use and store them here as a reference. For first readers, don't expect to know what each of them do, but rather look back at this for a reference.

### 9.3.1 ELF and Mach-O Formats

Objdump is a command line utility that is used to display information about object files, which are often outputted in a specific format. The two main output file types are called ELF (Executable and Linkable Format) and Mach-O (Mach Object).

#### Definition 9.7 (ELF)

The **Executable and Linkable Format** (ELF) is a common standard file format for executables, object code, shared libraries, and core dumps. It is analogous to a book, with the following parts:

1. **Header**, which is like the cover of the book. It contains metadata about the file, such as the architecture, the entry point, and the sections.
2. **Sections**, which are like chapters. Each section contains the content for some given purpose or

use within the program. e.g. `.binary` is just a block of bytes, `.text` contains the machine code, `.data` contains initialized data, and `.bss` contains uninitialized data.

3. **Symbol Table**, is like a detailed table of contents of all defined symbols such as functions, external (global) variables, local maps, etc.

4. **Relocation records**, which is like the index of the book that lists references to symbols.

The format is generally as such when you run `objdump -d -r hello.o` (d represents disassembly and r represents relocation entries).

```

1  ELF header          # file type
2
3  .text section
4    - code goes here
5
6  .rodata section
7    - read only data
8
9  .data section
10   - initialized global variables
11
12 .bss section
13   - uninitialized global variables
14
15 .symtab section
16   - symbol table (symbol name, type, address)
17
18 .rel.text section
19   - relocation entries for .text section
20   - addresses of instructions that will need to be modified in the executable.
21
22 .rel.data section
23   - relocation info for .data section
24   - addresses of pointer data that will need to be modified in the merged executable.
25
26 .debug section
27   - info for symbolic debugging (gcc -g)

```

### Definition 9.8 (Mach-O)

### 9.3.2 Objdump Commands

#### Theorem 9.1 (File Headers with Objdump)

Given that you have an object file, the first thing you might want to do is see the file header. You do with this `objdump -f main.o`.

```

1  main.o:      file format elf64-x86-64
2  architecture: i386:x86-64, flags 0x00000011:
3  HAS_RELOC, HAS_SYMS
4  start address 0x0000000000000000

```

**Theorem 9.2 (Section with Objdump)**

To look at the section headers to get a closer overview, you use `objdump -h main.o`.

```

1  main.o:      file format elf64-x86-64
2
3  Sections:
4  Idx Name          Size      VMA          LMA          File off  Algn
5    0 .text          0000004b  0000000000000000  0000000000000000  00000040  2**0
6                      CONTENTS, ALLOC, LOAD, RELOC, READONLY, CODE
7    1 .data           00000000  0000000000000000  0000000000000000  0000008b  2**0
8                      CONTENTS, ALLOC, LOAD, DATA
9    2 .bss            00000000  0000000000000000  0000000000000000  0000008b  2**0
10                     ALLOC
11    3 .comment        0000002c  0000000000000000  0000000000000000  0000008b  2**0
12                     CONTENTS, READONLY
13    4 .note.GNU-stack 00000000  0000000000000000  0000000000000000  000000b7  2**0
14                     CONTENTS, READONLY
15    5 .note.gnu.property 00000020  0000000000000000  0000000000000000  000000b8  2**3
16                     CONTENTS, ALLOC, LOAD, READONLY, DATA
17    6 .eh_frame       00000058  0000000000000000  0000000000000000  000000d8  2**3
18                     CONTENTS, ALLOC, LOAD, RELOC, READONLY, DATA

```

**Theorem 9.3 (Disassembly with Objdump)**

Now you might actually want to look at the disassembly of the code, which is what we often use it for. To do this, you use `objdump -D main.o` to get the entire output.

1. The leftmost column represents the address of the instruction.
2. The next column represents the machine code of the instruction.
3. The next column represents the assembly code of the instruction.

```

1  main.o:      file format elf64-x86-64
2
3  Disassembly of section .text:
4
5  0000000000000000 <add>:
6    0: f3 0f 1e fa          endbr64
7    ...
8    17: c3                retq
9
10 0000000000000018 <main>:
11 18: f3 0f 1e fa          endbr64
12  ...
13 4a: c3                retq
14
15 Disassembly of section .comment:
16
17 0000000000000000 <.comment>:
18 0: 00 47 43              add    %al,0x43(%rdi)
19  ...
20 2a: 30 00                xor    %al,(%rax)
21
22 Disassembly of section .note.gnu.property:
23
24 0000000000000000 <.note.gnu.property>:

```

```

25    0: 04 00                add    $0x0,%al
26    ...
27
28  Disassembly of section .eh_frame:
29
30  0000000000000000 <.eh_frame>:
31    0: 14 00                adc    $0x0,%al
32    ...

```

If you just want to look at the contents of the executable sections, then you can use `objdump -d main.o`.

```

1  main.o:      file format elf64-x86-64
2
3  Disassembly of section .text:
4
5  0000000000000000 <add>:
6    0: f3 0f 1e fa                endbr64
7    4: 55                          push    %rbp
8    5: 48 89 e5                    mov     %rsp,%rbp
9    8: 89 7d fc                    mov     %edi,-0x4(%rbp)
10   b: 89 75 f8                    mov     %esi,-0x8(%rbp)
11   e: 8b 55 fc                    mov     -0x4(%rbp),%edx
12  11: 8b 45 f8                    mov     -0x8(%rbp),%eax
13  14: 01 d0                      add     %edx,%eax
14  16: 5d                          pop     %rbp
15  17: c3                          retq
16
17  0000000000000018 <main>:
18  18: f3 0f 1e fa                endbr64
19  1c: 55                          push    %rbp
20  1d: 48 89 e5                    mov     %rsp,%rbp
21  20: 48 83 ec 10                 sub     $0x10,%rsp
22  24: c7 45 f4 03 00 00 00       movl    $0x3,-0xc(%rbp)
23  2b: c7 45 f8 05 00 00 00       movl    $0x5,-0x8(%rbp)
24  32: 8b 55 f8                    mov     -0x8(%rbp),%edx
25  35: 8b 45 f4                    mov     -0xc(%rbp),%eax
26  38: 89 d6                      mov     %edx,%esi
27  3a: 89 c7                      mov     %eax,%edi
28  3c: e8 00 00 00 00             callq   41 <main+0x29>
29  41: 89 45 fc                    mov     %eax,-0x4(%rbp)
30  44: b8 00 00 00 00             mov     $0x0,%eax
31  49: c9                          leaveq
32  4a: c3                          retq

```

If you want to see the source code intermixed with disassembly, then you can use the `-S` flag, but make sure that the object file is a generated with debugging information, i.e. use `gcc -c -g main.c -o main.o`.

```

1  main.o:      file format elf64-x86-64
2
3
4  Disassembly of section .text:
5
6  0000000000000000 <add>:
7  int add(int x, int y) {
8      0: f3 0f 1e fa      endbr64
9      4: 55                push  %rbp
10     5: 48 89 e5            mov   %rsp,%rbp
11     8: 89 7d fc            mov   %edi,-0x4(%rbp)
12     b: 89 75 f8            mov   %esi,-0x8(%rbp)
13     return x + y;
14     e: 8b 55 fc            mov   -0x4(%rbp),%edx
15    11: 8b 45 f8            mov   -0x8(%rbp),%eax
16    14: 01 d0            add   %edx,%eax
17  }
18    16: 5d                pop   %rbp
19    17: c3                retq
20
21 0000000000000018 <main>:
22
23 int main() {
24    18: f3 0f 1e fa      endbr64
25    1c: 55                push  %rbp
26    1d: 48 89 e5            mov   %rsp,%rbp
27    20: 48 83 ec 10        sub   $0x10,%rsp
28    int a = 3;
29    24: c7 45 f4 03 00 00 00 movl  $0x3,-0xc(%rbp)
30    int b = 5;
31    2b: c7 45 f8 05 00 00 00 movl  $0x5,-0x8(%rbp)
32    int c = add(a, b);
33    32: 8b 55 f8            mov   -0x8(%rbp),%edx
34    35: 8b 45 f4            mov   -0xc(%rbp),%eax
35    38: 89 d6            mov   %edx,%esi
36    3a: 89 c7            mov   %eax,%edi
37    3c: e8 00 00 00 00      callq 41 <main+0x29>
38    41: 89 45 fc            mov   %eax,-0x4(%rbp)
39    return 0;
40    44: b8 00 00 00 00      mov   $0x0,%eax
41  }
42    49: c9                leaveq
43    4a: c3                retq

```

Figure 4: Disassembly of the object file back into assembly using `objdump -d -S main.o`.

Note that you can always see this disassembly with debuggers like `gdb` or `lldb`, but `objdump` generally works for all architectures.

#### Theorem 9.4 (Symbol Table)

If you want to look at all the symbols existing within the object file, you use `objdump -t main.o` (t for table of symbols).

1. The leftmost column represents the address of the symbol.

2. The next column represents the type of the symbol. The `g` and `l` represent global and local symbols, respectively. The `O` and `F` represent object and function symbols, while the `UND` and `ABS` represent undefined and absolute symbols.
3. The next column represents the section that the symbol is in.
4. The next column represents the size of the symbol.
5. The last column represents the name of the symbol.

```

1  main.o:      file format elf64-x86-64
2
3  SYMBOL TABLE:
4  0000000000000000 1    df *ABS*  0000000000000000 main.c
5  0000000000000000 1    d  .text  0000000000000000 .text
6  0000000000000000 1    d  .data  0000000000000000 .data
7  0000000000000000 1    d  .bss  0000000000000000 .bss
8  0000000000000000 1    d  .note.GNU-stack 0000000000000000 .note.GNU-stack
9  0000000000000000 1    d  .note.gnu.property 0000000000000000 .note.gnu.property
10 0000000000000000 1    d  .eh_frame 0000000000000000 .eh_frame
11 0000000000000000 1    d  .comment 0000000000000000 .comment
12 0000000000000000 g    F  .text  0000000000000018 add
13 0000000000000018 g    F  .text  0000000000000033 main

```

### Theorem 9.5 (Relocation Table)

If you want to look then at the relocation table, then you use `objdump -r main.o`.

1. The leftmost column represents the offset of the relocation (i.e. the location within the section where this relocation needs to be applied).
2. The second column represents the type of relocation.
3. The third column represents the symbol that this relocation references.

```

1  main.o:      file format elf64-x86-64
2
3  RELOCATION RECORDS FOR [.text]:
4  OFFSET          TYPE          VALUE
5  000000000000003d R_X86_64_PLT32  add-0x0000000000000004
6
7
8  RELOCATION RECORDS FOR [.eh_frame]:
9  OFFSET          TYPE          VALUE
10 0000000000000020 R_X86_64_PC32   .text
11 0000000000000040 R_X86_64_PC32   .text+0x0000000000000018

```

## 9.4 Assembling Stage and Object Files

Now, once you have gotten the object file, you cannot simply open it up in a text edit as it is in machine code. To actually interpret anything from it, you must **disassemble** it, meaning that you convert the machine code back into assembly code. The main software that you use to do this is `objdump`. Let's take a look again at the object file.

```

1  Disassembly of section .text:
2
3  0000000000000000 <add>:
4      0: f3 0f 1e fa      endbr64
5      4: 55                push    %rbp
6      5: 48 89 e5          mov     %rsp,%rbp
7      8: 89 7d fc          mov     %edi,-0x4(%rbp)
8      b: 89 75 f8          mov     %esi,-0x8(%rbp)
9      e: 8b 55 fc          mov     -0x4(%rbp),%edx
10     11: 8b 45 f8          mov     -0x8(%rbp),%eax
11     14: 01 d0            add     %edx,%eax
12     16: 5d              pop     %rbp
13     17: c3              retq
14
15 0000000000000018 <main>:
16     18: f3 0f 1e fa      endbr64
17     1c: 55                push    %rbp
18     1d: 48 89 e5          mov     %rsp,%rbp
19     20: 48 83 ec 10       sub     $0x10,%rsp
20     24: c7 45 f4 03 00 00 00 movl    $0x3,-0xc(%rbp)
21     2b: c7 45 f8 05 00 00 00 movl    $0x5,-0x8(%rbp)
22     32: 8b 55 f8          mov     -0x8(%rbp),%edx
23     35: 8b 45 f4          mov     -0xc(%rbp),%eax
24     38: 89 d6            mov     %edx,%esi
25     3a: 89 c7            mov     %eax,%edi
26     3c: e8 00 00 00 00    callq   41 <main+0x29>
27     41: 89 45 fc          mov     %eax,-0x4(%rbp)
28     44: b8 00 00 00 00    mov     $0x0,%eax
29     49: c9              leaveq  %eax
30     4a: c3              retq

```

Figure 5: Disassembly of the object file back into assembly using `objdump -d main.o`.

Let's note a couple things.

1. The functions are organized by their starting address followed by their name, e.g.

```

1  0000000000000000 <add>:

```

Within each function, each line of assembly code is shown. To find the total memory the function takes up, you can just take the address of the last line and subtract it from the address of the first line. Or you can literally count the number of bytes in each line (remember 2 hex is 1 byte).

2. The line that calls the `add` function is `0x0 (00 00 00 00)`, with is the *relative target address* intended to be filled in by the linker. The actual assembly line just says that the function continues on to the next line at address `0x41`. This is because the object file is not aware of where it will be loaded into memory, and all lines with this opcode `e8 00 00 00 00` is intended to be filled in by the linker.
3. Look at address `0x3c`. It is calling another function, but the values starting from address `0x3d` is `00 00 00 00`, which is not the actual address of the function but also a dummy address. This is because the object file is not aware of where the function is located in memory.



## 9.5 Linking Stage and Relocation

### 9.5.1 Relocation

If the object file is already in machine code, then why do we need a separate linking stage that converts `main.o` into `main` the binary? The reason is stated in the previous section: because the object file uses relative memory addressing and does not know about which memory is accessed in other object files, we need to **relocate** the symbols in the object file to their proper addresses. So how does the linker actually know how to relocate these symbols into their proper addresses? It uses the *relocation table*, which contains information about the addresses that need to be modified in the object file.

```

1  main.o:      file format elf64-x86-64
2
3  RELOCATION RECORDS FOR [.text]:
4  OFFSET          TYPE          VALUE
5  000000000000003d R_X86_64_PLT32    add-0x0000000000000004
6
7
8  RELOCATION RECORDS FOR [.eh_frame]:
9  OFFSET          TYPE          VALUE
10 0000000000000020 R_X86_64_PC32     .text
11 0000000000000040 R_X86_64_PC32     .text+0x0000000000000018

```

Figure 6: Relocation table for `main.o` object file.

Let's talk about how to actually read this table. We can look at the first entry, which shows an offset of `0x3d`. This represents the offset from the beginning of the `.text` section where the relocation needs to be applied. Looking back at the disassembly file, this address `0x3d` is precisely where there was a dummy address `00 00 00`. We want to replace this with the actual address defined in the `VALUE` column, which is `add` (with a slight offset of `0x4`, which is typically used to compensate for the PC-relative addressing mode where the CPU might be adding the length of the instruction to the program counter (PC) before the relocation value is applied). The type of relocation won't be covered in our scope. Let's go through each relocation entry:

1. The first entry is for the `add` function. If we look at the disassembly, within the `main` function, the address `0x3d` is where the `add` function is called. The linker will replace the dummy address with the actual address of the `add` function.

```

1  Disassembly of section .text:
2
3  0000000000000000 <add>:
4      0: f3 0f 1e fa      endbr64
5      4: 55                push   %rbp
6      5: 48 89 e5          mov    %rsp,%rbp
7      8: 89 7d fc          mov    %edi,-0x4(%rbp)
8      b: 89 75 f8          mov    %esi,-0x8(%rbp)
9      e: 8b 55 fc          mov    -0x4(%rbp),%edx
10     11: 8b 45 f8          mov    -0x8(%rbp),%eax
11     14: 01 d0            add    %edx,%eax
12     16: 5d                pop    %rbp
13     17: c3                retq
14
15  0000000000000018 <main>:
16     18: f3 0f 1e fa      endbr64
17     1c: 55                push   %rbp
18     1d: 48 89 e5          mov    %rsp,%rbp
19     20: 48 83 ec 10       sub    $0x10,%rsp

```

```

20 24: c7 45 f4 03 00 00 00    movl    $0x3,-0xc(%rbp)
21 2b: c7 45 f8 05 00 00 00    movl    $0x5,-0x8(%rbp)
22 32: 8b 55 f8                  mov     -0x8(%rbp),%edx
23 35: 8b 45 f4                  mov     -0xc(%rbp),%eax
24 38: 89 d6                    mov     %edx,%esi
25 3a: 89 c7                    mov     %eax,%edi
26 3c: e8 00 00 00 00          callq   41 <main+0x29>    <-- here
27 41: 89 45 fc                  mov     %eax,-0x4(%rbp)
28 44: b8 00 00 00 00          mov     $0x0,%eax
29 49: c9                      leaveq  %eax
30 4a: c3                      retq

```

2. The second and third entries are for the `.eh_frame` section. We can see that the offset of `0x20` and `0x40` represents the following lines below. They also have dummy addresses that need to be replaced. They are replaced by the address `.text`, which represents the first address in the `.text` section, i.e. the address of the `add` function, and the address `.text+0x18`, which represents the address of the `main` function.

```

1  Disassembly of section .eh_frame:
2
3  0000000000000000 <.eh_frame>:
4      0: 14 00                adc     $0x0,%al
5      2: 00 00                add     %al,(%rax)
6      4: 00 00                add     %al,(%rax)
7      6: 00 00                add     %al,(%rax)
8      8: 01 7a 52            add     %edi,0x52(%rdx)
9      b: 00 01                add     %al,(%rcx)
10     d: 78 10              js      1f <.eh_frame+0x1f>
11     f: 01 1b            add     %ebx,(%rbx)
12    11: 0c 07              or      $0x7,%al
13    13: 08 90 01 00 00 1c    or      %dl,0x1c000001(%rax)
14    19: 00 00                add     %al,(%rax)
15    1b: 00 1c 00            add     %bl,(%rax,%rax,1)
16    1e: 00 00                add     %al,(%rax)
17    20: 00 00                add     %al,(%rax)    <-- here for 2nd entry
18    22: 00 00                add     %al,(%rax)
19    24: 18 00              sbb     %al,(%rax)
20    26: 00 00                add     %al,(%rax)
21    28: 00 45 0e            add     %al,0xe(%rbp)
22    2b: 10 86 02 43 0d 06    adc     %al,0x60d4302(%rsi)
23    31: 4f 0c 07            rex.WRXB or $0x7,%al
24    34: 08 00              or      %al,(%rax)
25    36: 00 00                add     %al,(%rax)
26    38: 1c 00              sbb     $0x0,%al
27    3a: 00 00                add     %al,(%rax)
28    3c: 3c 00              cmp     $0x0,%al
29    3e: 00 00                add     %al,(%rax)
30    40: 00 00                add     %al,(%rax)    <-- here for 3rd entry
31    42: 00 00                add     %al,(%rax)
32    44: 33 00              xor     (%rax),%eax

```

Therefore, we can see that the object file generates a “skeleton” code that contains all the instructions, with some dummy addresses that need to be replaced. The relocation table  $T$  tells us exactly where these dummy addresses are in the code and what they need to be replaced with. Therefore, if we want to call a function `printf` that is in the text section at address `0x30`, then we can actually look at the value at  $T[30]$  to see where the actual address is. At this point, note that we still do not know the actual memory address of `add`. This is determined by the linker.

### 9.5.2 Linking with One Object File

Now let's see what happens once we link the object file `main.o` into the final executable `main`. If we disassemble it, then we can see a few things:

1. The addresses of all the functions have been changed. `add` starts on address `0x1129` rather than `0x0` and `main` starts on address `0x1141` rather than `0x18`.
2. The dummy address `0x0` of the call to function `add` in `main` have been replaced with the actual addresses `0x1129`.

```

1  0000000000001129 <add>:
2    1129:  f3 0f 1e fa          endbr64
3    112d:  55                   push   %rbp
4    112e:  48 89 e5             mov    %rsp,%rbp
5    1131:  89 7d fc             mov    %edi,-0x4(%rbp)
6    1134:  89 75 f8             mov    %esi,-0x8(%rbp)
7    1137:  8b 55 fc             mov    -0x4(%rbp),%edx
8    113a:  8b 45 f8             mov    -0x8(%rbp),%eax
9    113d:  01 d0               add    %edx,%eax
10   113f:  5d                   pop    %rbp
11   1140:  c3                   retq
12
13 0000000000001141 <main>:
14   1141:  f3 0f 1e fa          endbr64
15   1145:  55                   push   %rbp
16   1146:  48 89 e5             mov    %rsp,%rbp
17   1149:  48 83 ec 10          sub    $0x10,%rsp
18   114d:  c7 45 f4 03 00 00 00 movl    $0x3,-0xc(%rbp)
19   1154:  c7 45 f8 05 00 00 00 movl    $0x5,-0x8(%rbp)
20   115b:  8b 55 f8             mov    -0x8(%rbp),%edx
21   115e:  8b 45 f4             mov    -0xc(%rbp),%eax
22   1161:  89 d6               mov    %edx,%esi
23   1163:  89 c7               mov    %eax,%edi
24   1165:  e8 bf ff ff ff      callq  1129 <add>      <-- replaced with actual address
25   116a:  89 45 fc             mov    %eax,-0x4(%rbp)
26   116d:  b8 00 00 00 00      mov    $0x0,%eax
27   1172:  c9                   leaveq
28   1173:  c3                   retq
29   1174:  66 2e 0f 1f 84 00 00 nopw    %cs:0x0(%rax,%rax,1)
30   117b:  00 00 00
31   117e:  66 90               xchg   %ax,%ax

```

### 9.5.3 Global vs External Symbols

So far, we have talked about using the `#include` as a precompiling command that says “put all the text from this other file right here.” Take the following code for instance.

<pre> 1 // file1.c 2 #include "sum.h" 3 4 int array[2] = {1, 2}; 5 6 int main() { 7     int val = sum(array, 2); 8     return val; 9 } </pre>	<pre> 1 // sum.h 2 int sum(int *a, int n) { 3     int i, s = 0; 4     for (i = 0; i &lt; n; i++) { 5         s += a[i]; 6     } 7     return s; 8 } 9 . </pre>
---	--

Figure 7: Including a header file in `file1.c` to import functions and variables.

However, there is another way to do this. We can use *external symbols* to access. Rather than simply copying and pasting the code into the file, the `extern` keyword marks that the variable or function exists externally to this source file and does not allocate storage for it.

<pre> 1 // main.c 2 extern int sum(int *array, int n); 3 4 int array[2] = {1, 2}; 5 6 int main(void) { 7     int val = sum(array, 2); 8     return val; 9 } </pre>	<pre> 1 // sum.c 2 int sum(int *array, int n) { 3     int i, s = 0 ; 4     for (int i = 0; i &lt; n; i++) { 5         s += array[i]; 6     } 7     return s; 8 } 9 . </pre>
--	---

Figure 8: Using external symbols to access functions and variables.

One is not a replacement for the other, so what advantage does this have? Well, as we will see, if we have multiple object (source) files, say `A.c`, `B.c`, and `C.c`, that need to reference the same function or variable `var` in `ext.c`, then how would we do this? If we simply put `#include "ext.h"` in all the files, then we would have multiple copies of the same code. This means that for each source there would be its own copy of `var` created and the linker would be unable to resolve this symbol. However, if we put `extern int var;` at the top of each source file, then only one copy of `var` would be created (in `ext.c`), which creates a single instance of `var` for the linker to resolve.<sup>3</sup>

Therefore, there are three types of symbols (variables, functions, etc.) that we need to consider:

1. **Global symbols** that are defined in the global scope of a C file.
2. **Local symbols** that are defined in the local scope of a C file, e.g. within functions, loops, etc.
3. **External symbols** that are defined in another C file referenced by the `extern` keyword.

Linkers will only know about global and external symbols, and will have no idea that any local symbols exist. With the information of these two types of symbols and the relocation tables of each object file, the linker can then resolve the addresses of all the symbols in the final binary.

The two types of symbols that the linker will know about are the global and external symbols. We can see that external symbols can be problematic if the object files don't know about each other.

<sup>3</sup><https://stackoverflow.com/questions/1330114/whats-the-difference-between-using-extern-and-including-header-files>

**Example 9.6 (Global and Local Symbols)**

Consider the following code where the left file includes the right file.

```

1 // main.c
2 #include "sum.h"
3
4 int array[2] = {1, 2};
5
6 int main() {
7     int val = sum(array, 2);
8     return val;
9 }
```

```

1 // sum.h
2 int sum(int *a, int n) {
3     int i, s = 0;
4     for (i = 0; i < n; i++) {
5         s += a[i];
6     }
7     return s;
8 }
9 .
```

In the left file,

1. We define the global symbol `main()`.
2. Inside `main`, `val` is a local symbol so the linker knows nothing about it.
3. The `sum` function is an external symbol, and it references a global symbol that's defined in `sum` the right file.
4. The `array` is a global symbol that is defined in the right file.

In the right file, the linker knows nothing of the local symbols `i` or `s`.

**9.5.4 Linking with Multiple Object Files**

We have seen the case of linking when we simply have one object file. The relocation was simple since the `.text` section is contiguous and so we needed simple translations of addresses to relocate `add` and `main`, along with whatever other sections and files. Now let's consider the case where we have multiple object files.

```

1 // main.c
2 extern int sum(int *array, int n);
3
4 int array[2] = {1, 2};
5
6 int main(void) {
7     int val = sum(array, 2);
8     return val;
9 }
```

```

1 // sum.c
2 int sum(int *array, int n) {
3     int i, s = 0 ;
4     for (int i = 0; i < n; i++) {
5         s += array[i];
6     }
7     return s;
8 }
9 .
```

Now they have their own object files shown below, where I also put the source code lines to make it easier to parse. Note that again, in `main.o` the call to function `sum` is a dummy address that needs to be replaced. Furthermore, in both `main.o` and `sum.o`, the `.text` section is at address `0x0`, where the addresses of the function `main` and `sum` are, respectively. This causes an overload in the address space.

To demonstrate what happens, we look at how the disassembly, symbol tables, and relocation tables are updated before (with the object files) and after (in the binary) linking.

**Example 9.7 (Disassembly of Object Files)**

In here, note that both the `array` and `sum` are not initialized and are therefore set to dummy addresses.

```

1 main.o:      file format elf64-x86-64
2 Disassembly of section .text:
3
4 0000000000000000 <main>:
5     extern int sum(int *array, int n);
6
```

```

7  int array[2] = {1, 2};
8
9  int main(void) {
10     0: f3 0f 1e fa          endbr64
11     4: 55                  push   %rbp
12     5: 48 89 e5             mov     %rsp,%rbp
13     8: 48 83 ec 10          sub     $0x10,%rsp
14     int val = sum(array, 2);
15     c: be 02 00 00 00       mov     $0x2,%esi
16     11: 48 8d 3d 00 00 00 00    lea     0x0(%rip),%rdi        # 18 <main+0x18>  <-- dummy
        address
17     18: e8 00 00 00 00          callq   1d <main+0x1d>        <-- dummy
        address
18     1d: 89 45 fc                 mov     %eax,-0x4(%rbp)
19     return val;
20     20: 8b 45 fc                 mov     -0x4(%rbp),%eax
21 }
22     23: c9                      leaveq
23     24: c3                      retq

```

```

1  sum.o:      file format elf64-x86-64
2  Disassembly of section .text:
3
4  0000000000000000 <sum>:
5  int sum(int *array, int n) {
6     0: f3 0f 1e fa          endbr64
7     4: 55                  push   %rbp
8     5: 48 89 e5             mov     %rsp,%rbp
9     8: 48 89 7d e8          mov     %rdi,-0x18(%rbp)
10    c: 89 75 e4             mov     %esi,-0x1c(%rbp)
11    int i, s = 0;
12    f: c7 45 f8 00 00 00 00    movl    $0x0,-0x8(%rbp)
13    for (int i = 0; i < n; i++) {
14    16: c7 45 fc 00 00 00 00    movl    $0x0,-0x4(%rbp)
15    1d: eb 1d               jmp     3c <sum+0x3c>
16    s += array[i];
17    1f: 8b 45 fc             mov     -0x4(%rbp),%eax
18    22: 48 98               cltq
19    24: 48 8d 14 85 00 00 00    lea     0x0(,%rax,4),%rdx
20    2b: 00
21    2c: 48 8b 45 e8          mov     -0x18(%rbp),%rax
22    30: 48 01 d0             add     %rdx,%rax
23    33: 8b 00               mov     (%rax),%eax
24    35: 01 45 f8             add     %eax,-0x8(%rbp)
25    for (int i = 0; i < n; i++) {
26    38: 83 45 fc 01          addl    $0x1,-0x4(%rbp)
27    3c: 8b 45 fc             mov     -0x4(%rbp),%eax
28    3f: 3b 45 e4             cmp     -0x1c(%rbp),%eax
29    42: 7c db               jle     1f <sum+0x1f>
30    }
31    return s;
32    44: 8b 45 f8             mov     -0x8(%rbp),%eax
33    }
34    47: 5d                  pop     %rbp
35    48: c3                  retq

```

1. In `main.o` at address `0x0`, we have the `main` function and this is because everything is stored relatively to the start of `main`. Once we have linked, `main` shows the absolute addresses of all the instructions.
2. In instruction 11 in `main.o` we can see that `48 8d 3d` is the `lea` instruction, which is the same as that in `main`. However, the address that is was acting on is `0x0` since the array has not been initialized yet. We can see in `main` that the address is now `0x00002ecf`.
3. The comment in `main` indicates that the final relocated address used to access the `array` is `0x4010`. To see relocated addresses in general, just look for the comments and shift them accordingly.

```

1  main:      file format elf64-x86-64
2
3  0000000000001129 <main>:
4      1129:  f3 0f 1e fa      endbr64
5      112d:  55              push   %rbp
6      112e:  48 89 e5         mov    %rsp,%rbp
7      1131:  48 83 ec 10      sub    $0x10,%rsp
8      1135:  be 02 00 00 00   mov    $0x2,%esi
9      113a:  48 8d 3d cf 2e 00 00 lea    0x2ecf(%rip),%rdi      # 4010 <array>
10     1141:  e8 08 00 00 00   callq 114e <sum>
11     1146:  89 45 fc         mov    %eax,-0x4(%rbp)
12     1149:  8b 45 fc         mov    -0x4(%rbp),%eax
13     114c:  c9              leaveq
14     114d:  c3              retq
15
16  000000000000114e <sum>:
17     114e:  f3 0f 1e fa      endbr64
18     1152:  55              push   %rbp
19     1153:  48 89 e5         mov    %rsp,%rbp
20     1156:  48 89 7d e8      mov    %rdi,-0x18(%rbp)
21     115a:  89 75 e4         mov    %esi,-0x1c(%rbp)
22     ...

```

### Example 9.8 (Symbol Tables of Object Files)

Let's take a look at the symbol table of each file as well. Again, all of the addresses of each symbol are 0s since they are using relative addressing. The `array` and `main` are global symbols since they reside in the global scope, while the `sum` function is an external and undefined symbol.

```

1  main.o:      file format elf64-x86-64
2
3  SYMBOL TABLE:
4  0000000000000000 1      df *ABS*  0000000000000000 main.c
5  0000000000000000 1      d  .text  0000000000000000 .text
6  0000000000000000 1      d  .data  0000000000000000 .data
7  0000000000000000 1      d  .bss  0000000000000000 .bss
8  0000000000000000 1      d  .note.gnu-stack 0000000000000000 .note.gnu-stack
9  0000000000000000 1      d  .note.gnu.property 0000000000000000 .note.gnu.property
10 0000000000000000 1      d  .eh_frame 0000000000000000 .eh_frame
11 0000000000000000 1      d  .comment 0000000000000000 .comment
12 0000000000000000 g      O  .data  0000000000000008 array
13 0000000000000000 g      F  .text  0000000000000025 main
14 0000000000000000      *UND*  0000000000000000 _GLOBAL_OFFSET_TABLE_
15 0000000000000000      *UND*  0000000000000000 sum

```

```

1  sum.o:      file format elf64-x86-64
2
3  SYMBOL TABLE:
4  0000000000000000 1    df *ABS*  0000000000000000 sum.c
5  0000000000000000 1    d  .text  0000000000000000 .text
6  0000000000000000 1    d  .data  0000000000000000 .data
7  0000000000000000 1    d  .bss  0000000000000000 .bss
8  0000000000000000 1    d  .note.GNU-stack 0000000000000000 .note.GNU-stack
9  0000000000000000 1    d  .note.gnu.property 0000000000000000 .note.gnu.property
10 0000000000000000 1    d  .eh_frame 0000000000000000 .eh_frame
11 0000000000000000 1    d  .comment 0000000000000000 .comment
12 0000000000000000 g    F  .text  0000000000000049 sum

```

When we have the linked binary, note a few things.

1. In `main.o`, the numbers on the left represents the address of the symbol (all 0s since we haven't linked yet and their final addresses aren't known), while the addresses in `a.out` are all known.
2. In `main.o`, the `sum` function is an external symbol and is undefined. The linker will need to know where this is. In `main`, note that the `sum` function is now a global symbol and is defined, along with the size. We can now see that all the final addresses of each symbol is known, along with their sizes, and the UND marker is now gone as well.
3. Only the size of the global variable is known in `main.o` since we have defined it within the code. However, in `main`, the linker has now assigned an address to it.
4. To see the size in bytes of the array, you can look at the address and how much size it takes up.

```

1  main:      file format elf64-x86-64
2
3  SYMBOL TABLE:
4  ...
5  0000000000004008 g    0  .data  0000000000000000      .hidden __dso_handle
6  000000000000114e g    F  .text  0000000000000049      sum
7  0000000000002000 g    0  .rodata 0000000000000004      _IO_stdin_used
8  00000000000011a0 g    F  .text  0000000000000065      __libc_csu_init
9  0000000000004020 g      .bss  0000000000000000      _end
10 0000000000001040 g    F  .text  000000000000002f      _start
11 0000000000004018 g      .bss  0000000000000000      __bss_start
12 0000000000001129 g    F  .text  0000000000000025      main
13 0000000000004018 g    0  .data  0000000000000000      .hidden __TMC_END__
14 ...

```

### Example 9.9 (Relocation Tables)

Ignoring the `.eh_frame`, in `main.o` the relocation table contains entries for `array` and `sum` that must be relocated.

```

1  main.o:      file format elf64-x86-64
2
3  RELOCATION RECORDS FOR [.text]:
4  OFFSET          TYPE          VALUE
5  0000000000000014 R_X86_64_PC32    array-0x0000000000000004
6  0000000000000019 R_X86_64_PLT32    sum-0x0000000000000004
7
8  RELOCATION RECORDS FOR [.eh_frame]:
9  OFFSET          TYPE          VALUE
10 0000000000000020 R_X86_64_PC32    .text

```



```

1  sum.o:      file format elf64-x86-64
2
3  RELOCATION RECORDS FOR [.eh_frame]:
4  OFFSET      TYPE          VALUE
5  0000000000000020 R_X86_64_PC32    .text

```

We can see a couple things. Namely, there is nothing to be relocated in `a.out` since everything has been relocated already by the linker. So let's focus on the relocation for `main.o`. In here, we can see that in the `.text` section, there are two things being relocated:

1. The reference to the global variable `array` is being relocated. In this object file, we look at the offset `0x14` from the beginning of the `.text` section, which contains the instruction that needs to access `array`. This relocation record tells the linker to calculate the 32-bit offset from the instruction (at offset `0x14`) to the start of `array`, then adjust it by subtracting 4 bytes.
2. The reference to the `sum` function is being relocated. In this object file, we look at the offset `0x19` from the beginning of the `.text` section, which contains the instruction that needs to access `sum`. This relocation record tells the linker to calculate the 32-bit offset from the instruction (at offset `0x19`) to the start of the `.plt` section, then adjust it by subtracting 4 bytes.

```

1  main:      file format elf64-x86-64

```

## 9.6 Compiler Optimization

We have learned the complete process of compilers, but compilers can be a little smarter than just translating code line by line. They also come with flags that can optimize the code.

### Definition 9.9 (gcc Optimization)

The gcc compiler can optimize the code with the `-O` flag. To run level 1 optimization, we can write

```

1  gcc -O1 -o main main.c

```

The level of optimizations are listed:

1. Level 1 perform basic optimizations to reduce code size and execution time while attempting to keep compile time to a minimum.
2. Level 2 optimizations include most of GCC's implemented optimizations that do not involve a space-performance trade-off.
3. Level 3 performs additional optimizations (such as function inlining) and may cause the program to take significantly longer to compile.

Let's see what common implementation are.

### Definition 9.10 (Constant Folding)

Constants in the code are evaluated at compile time to reduce the number of resulting instructions. For example, in the code snippet that follows, macro expansion replaces the statement `int debug = N-5` with `int debug = 5-5`. Constant folding then updates this statement to `int debug = 0`.

```

1  #define N 5
2  int debug = N - 5; //constant folding changes this statement to debug = 0;

```

**Definition 9.11 (Constant Propagation)**

Constant propagation replaces variables with a constant value if such a value is known at compile time. Consider the following code segment, where the `if (debug)` statement is replaced with `if (0)`.

```
1  int debug = 0;
2
3  int doubleSum(int *array, int length){
4      int i, total = 0;
5      for (i = 0; i < length; i++){
6          total += array[i];
7          if (debug) {
8              printf("array[%d] is: %d\n", i, array[i]);
9          }
10     }
11     return 2 * total;
12 }
```

**Definition 9.12 (Dead Code Elimination)**

Dead code elimination removes code that is never executed. For example, in the code snippet that follows, the `if (debug)` statement and its body is removed since the value of `debug` is known to be 0.

```
1  int debug = 0;
2
3  int doubleSum(int *array, int length){
4      int i, total = 0;
5      for (i = 0; i < length; i++){
6          total += array[i];
7          if (debug) {
8              printf("array[%d] is: %d\n", i, array[i]);
9          }
10     }
11     return 2 * total;
12 }
```

*// remove*  
*// remove*  
*// remove*

**Definition 9.13 (Simplifying Expressions)**

Some instructions are more expensive than others, so things like

1. `2 * total` may be replaced with `total + total` because addition instruction is less expensive than multiplication.
2. `total * 8` may be replaced with `total << 3`
3. `total % 8` may be replaced with `total & 7`

Note that these optimization techniques are in no way a guarantee that the code will run faster since there are many factors and always edge cases (for example, maybe some localities are lost). Furthermore, compiler optimization will never be able to improve runtime complexity (e.g. by replacing bubble sort with quicksort).