

# Algebraic Topology

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August 2021

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# 1 Homotopy

## Definition 1.1 (Homotopy)

Let  $X, Y$  be topological space and let  $F_0, F_1 : X \longrightarrow Y$  be continuous maps. A **homotopy** from  $F_0$  to  $F_1$  is a continuous map (with respect to elements  $t \in [0, 1]$ )

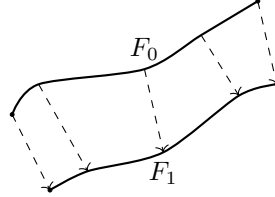
$$H : X \times I \longrightarrow Y$$

where  $I = [0, 1]$ , satisfying

$$H(x, 0) = F_0(x) \quad (1)$$

$$H(x, 1) = F_1(x) \quad (2)$$

for all  $x \in X$ . We can visualize this homotopy as a continuous deformation of (the images of)  $F_0$  to  $F_1$ . We can also think of the parameter  $t$  as a "slider control" that allows us to smoothly transition from  $F_0$  to  $F_1$  as the slider moves from 0 to 1, and vice versa. The figures below represents the homotopies between the one-dimensional curves (left) and 2-dimensional surfaces (right),  $\text{Im } F_0$  and  $\text{Im } F_1$ , with dashed lines.



If there exists a homotopy from  $F_0$  to  $F_1$ , then we say that  $F_0$  and  $F_1$  are **homotopic**, denoted

$$F_0 \simeq F_1 \quad (3)$$

## Definition 1.2 (Relative Homotopy)

If the homotopy satisfies

$$H(x, t) = F_0(x) = F_1(x) \quad (4)$$

for all  $t \in I$  and  $x \in S$ , which is a subset of  $X$ , then the maps  $F_0$  and  $F_1$  are said to be **homotopic relative to  $S$** .

This is clearly an equivalence relation defined on  $C^0(X, Y)$ , the set of all continuous functions from  $X$  to  $Y$ .

1. Identity. Clearly,  $F$  is homotopic to itself by setting  $H(x, t) \equiv F(x)$  for all  $t \in [0, 1]$ .
2. Symmetry. Given homotopy  $H(x, t)$  from  $F_0$  to  $F_1$ , the homotopy  $H^{-1}(x, t) \equiv H(x, 1 - t)$  maps from  $F_1$  to  $F_0$ .
3. Transitivity. Given homotopy  $H_1$  from  $F_1$  to  $F_2$ , and homotopy  $H_2$  from  $F_2$  to  $F_3$ , the homotopy defined

$$H_3(x, t) \equiv \begin{cases} H_1(x, 2t) & 0 \leq t \leq \frac{1}{2} \\ H_2(x, 2t - 1) & \frac{1}{2} \leq t \leq 1 \end{cases} \quad (5)$$

is indeed a homotopy from  $F_1$  to  $F_3$ .

**Definition 1.3**

The space of homotopy classes from topological space  $X$  to  $Y$  is denoted

$$[X, Y] \equiv \frac{C^0(X, Y)}{\sim} \quad (6)$$

where  $\sim$  is the homotopy relation.

**Lemma 1.1**

Homotopy is compatible with function composition in the following sense. If  $f_1, g_1 : X \rightarrow Y$  are homotopic, and  $f_2, g_2 : Y \rightarrow Z$  are homotopic, then  $f_2 \circ f_1$  and  $g_2 \circ g_1$  are homotopic. That is, given the two homotopies

$$H_1 : X \times [0, 1] \rightarrow Y \quad (7)$$

$$H_2 : Y \times [0, 1] \rightarrow Z \quad (8)$$

we can naturally define a third homotopy

$$H_3 : X \times [0, 1] \rightarrow Z, \quad H(x, t) \equiv H_2(x, t) \circ H_1(x, t) \quad (9)$$

which is continuous since compositions of continuous functions are continuous.

**Example 1.1**

If  $f, g : \mathbb{R} \rightarrow \mathbb{R}^2$  is defined as a

$$f(x) \equiv (x, x^3), \quad g(x) \equiv (x, e^x) \quad (10)$$

then the map

$$H : \mathbb{R} \times [0, 1] \rightarrow \mathbb{R}^2, \quad H(x, t) \equiv (x, (1-t)x^3 + te^x) \quad (11)$$

is a homotopy between them.

**Example 1.2**

Let  $id_B : B^n \rightarrow B^n$  be the identity function on the unit  $n$ -disk, and let  $c_0 : B^n \rightarrow B^n$  be the 0-function sending every vector to 0. Then,  $id_B$  and  $c_0$  are homotopic, with homotopy explicitly defined

$$H : B^n \times [0, 1] \rightarrow B^n, \quad H(x, t) \equiv (1-t)x \quad (12)$$

**Example 1.3**

If  $C \subseteq \mathbb{R}^n$  is a convex set and  $f, g : [0, 1] \rightarrow C$  are paths with the same endpoints, then there exists a *linear homotopy* given by

$$H : [0, 1] \times [0, 1] \rightarrow C, \quad (s, t) \mapsto (1-t)f(s) + tg(s) \quad (13)$$

We can extend this example. Let  $f, g : \mathbb{R} \rightarrow \mathbb{R}$  be 2 continuous functions. Then  $f \simeq g$ , since we can construct  $F : [0, 1] \times \mathbb{R} \rightarrow \mathbb{R}$  defined

$$F(x, t) \equiv (1-t)f(x) + tg(x) \quad (14)$$

(Note that the set of continuous functions from  $\mathbb{R}$  to  $\mathbb{R}$  is a convex set.)

This leads to our definition of *path homotopies*, which is just a specific type of homotopy.

**Definition 1.4**

Suppose  $X$  is a topological space. Two paths  $f_0, f_1 : I \rightarrow X$  are said to be *path homotopic*, denoted

$$f_0 \sim f_1 \quad (15)$$

if they are homotopic relative to  $\{0, 1\}$ . This means that there exists a continuous map  $H : I \times I \rightarrow X$  satisfying

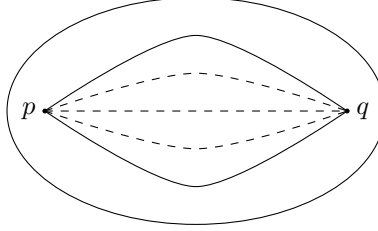
$$H(s, 0) = f_0(s), \quad s \in I \quad (16)$$

$$H(s, 1) = f_1(s), \quad s \in I \quad (17)$$

$$H(0, t) = f_0(0) = f_1(0), \quad t \in I \quad (18)$$

$$H(1, t) = f_1(1) = f_0(1), \quad t \in I \quad (19)$$

We can visualize two paths (sharing the same endpoints) being path homotopic if we can “continuously deform” one onto another.



We can notice that for any given points  $p, q \in X$ , path homotopy is an equivalence class on the set of all paths from  $p$  to  $q$ .

**Definition 1.5 (Path Class)**

The equivalence class of a path  $f$  is called a **path class**, denoted  $[f]$ . Note that in the diagram above, there is only one equivalence class of paths.

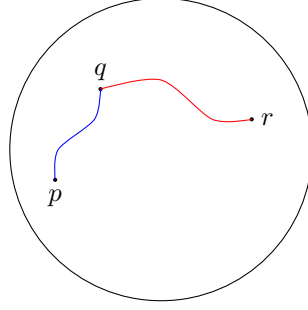
We can define a multiplicative structure on paths as such. This is the first step to create a group structure on the set of certain paths.

**Definition 1.6**

Given two paths  $f, g$  such that  $f(1) = g(0)$ , their product is the path defined

$$(f \cdot g)(s) \equiv \begin{cases} f(2s) & 0 \leq s \leq \frac{1}{2} \\ g(2s - 1) & \frac{1}{2} \leq s \leq 1 \end{cases} \quad (20)$$

It is easy to visualize the product of two paths as the longer path created by "connecting" the two smaller paths.



It is also easy to see that if  $f \sim f'$  and  $g \sim g'$ ,

$$f \cdot g \sim f' \cdot g' \quad (21)$$

We can also define the product of these equivalence classes as

$$[f] \cdot [g] \equiv [f \cdot g] \quad (22)$$

Notice that multiplication of paths is not associative in general, but it is associative up to path homotopy. That is,

$$([f] \cdot [g]) \cdot [h] = [f] \cdot ([g] \cdot [h]) \quad (23)$$

#### Definition 1.7

If  $X$  is a topological space and  $q \in X$ , a "loop" in  $X$  based at  $q$  is a path in  $X$  such that

$$f : I \longrightarrow X, f(0) = f(1) = q \quad (24)$$

The set of path classes of loops based at  $q$  is denoted

$$\pi_1(X, q) \quad (25)$$

Equipped with the product operation of paths defined before,  $(\pi_1(X, q), \cdot)$  is called the *fundamental group of  $X$  based at  $q$* . The identity element of this group is the path class of the constant path  $c_q(s) \equiv q$ , and the inverse of  $[f]$  is the path class of

$$f^{-1}(s) \equiv f(1 - s) \quad (26)$$

which is the reverse path of  $f$ .

Note that while the fundamental group in general depends on the point  $q$ , it turns out that, up to isomorphism, this choice makes no difference as long as the space is path connected.

#### Lemma 1.2

Let  $X$  be a path connected topological space, with  $p, q \in X$ . Then,

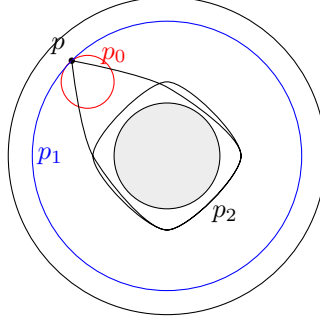
$$\pi_1(X, p) \simeq \pi_1(X, q) \quad (27)$$

for all  $p, q$ .

Therefore, it is conventional to write  $\pi_1(X)$  instead of  $\pi_1(X, q)$  when  $X$  is path connected.

**Example 1.4**

Consider the space  $X \equiv B_2 \setminus B_1$ , which is the 2-disk without the unit disk in  $\mathbb{R}^2$ . Given an arbitrary point  $p \in X$ , there exists an infinite number of path classes of  $X$  at  $p$ , denoted  $[p_i]$ , where  $i$  corresponds to how many times the paths loop around the hole. The first three path classes are shown below.



It is clear that  $[p_0]$  is the identity, and the group operation rule is

$$[p_i] \cdot [p_j] = [p_{i+j}] \quad (28)$$

meaning that  $\pi_1(X, p)$  is the infinite discrete group generated by  $[p_0]$  and  $[p_1]$ .

**Theorem 1.3**

Let  $\mathcal{A}$  be a convex subset of  $\mathbb{R}^n$ , endowed with the subspace topology, and let  $X$  be any topological space. Then, any 2 continuous maps  $f, g : X \rightarrow \mathcal{A}$  are homotopic.

*Proof.* Since  $\mathcal{A}$  is convex, the homotopy defined

$$F(x, t) \equiv (1 - t)f(x) + tg(x) \quad (29)$$

exists.

**Theorem 1.4**

If  $X$  is a path connected space, the fundamental groups based at different points are all isomorphic. That is,

$$\pi_1(X, p) \simeq \pi_1(X, q) \quad (30)$$

for all  $p, q \in X$ .

**Definition 1.8**

If  $X$  is path connected and for some  $q \in X$ , the group  $\pi_1(X, q)$  is the trivial group consisting of  $[c_q]$  alone, then we say that  $X$  is *simply connected*. By definition, this means that every loop is path homotopic to a constant path.

**Theorem 1.5**

Let  $X$  be a path connected topological space.  $X$  is simply connected if and only if any 2 loops based on the same point are path homotopic.

We can also expect that since homotopy is clearly a topological property, it is preserved under continuous

maps. We state this result formally in the following lemma.

**Lemma 1.6**

If  $F_0, F_1 : X \rightarrow Y$  and  $G_0, G_1 : Y \rightarrow Z$  are continuous maps such that  $F_0 \simeq F_1$  and  $G_0 \simeq G_1$ , then

$$G_0 \circ F_0 \simeq G_1 \circ F_1$$

Similarly, if  $f_0, f_1 : I \rightarrow X$  are path homotopic, and  $F : X \rightarrow Y$  is a continuous map, then

$$F \circ f_0 \sim F \circ f_1$$

Thus, if  $F : X \rightarrow Y$  is a continuous maps, for each  $q \in X$ , we can construct a well-defined map

$$F_* : \pi_1(X, q) \rightarrow \pi_1(Y, F(q))$$

by setting

$$F_*([f]) \equiv [F \circ f]$$

**Lemma 1.7**

If  $F : X \rightarrow Y$  is a continuous map, then the induced map

$$F_* : \pi_1(X, q) \rightarrow \pi_1(Y, F(q))$$

is a group homomorphism. <sup>x</sup> That is,  $F_*$  preserves multiplicative structure of the loops.

**Theorem 1.8 (Properties of the Induced Homomorphism)**

1. Let  $F : X \rightarrow Y, G : Y \rightarrow Z$  be continuous maps. Then for any  $q \in X$ ,

$$(G \circ F)_* = G_* \circ F_* : \pi_1(X, q) \rightarrow \pi_1(Z, G(F(q)))$$

2. For any space  $X$  and any  $q \in X$ , the homomorphism induced by the identity map  $id_X : X \rightarrow X$  is the identity map

$$id : \pi_1(X, q) \rightarrow \pi_1(X, q)$$

3. If  $F : X \rightarrow Y$  is a homeomorphism, then

$$F_* : \pi_1(X, q) \rightarrow \pi_1(Y, F(q))$$

is an isomorphism. That is, homeomorphic spaces have isomorphic fundamental groups.

**Example 1.5**

The fundamental group of  $S^1 \subset \mathbb{C}$  based at 0 is the infinite cyclic group generated by the path class of the loop

$$\alpha : I \rightarrow S^1, \alpha(s) \equiv e^{2\pi is}$$

**Theorem 1.9**

If  $F : X \longrightarrow Y$  is a homotopy equivalence, then for each  $p \in X$ ,

$$F_* : \pi_1(X, p) \longrightarrow \pi_1(Y, F(p))$$

is an isomorphism.

The following theorem will be revisited when studying manifolds.

**Theorem 1.10**

The fundamental group of any topological manifold is countable.

## 1.1 Homotopy Equivalence

**Definition 1.9**

Given two topological spaces  $X$  and  $Y$ , a homotopy equivalence between  $X$  and  $Y$  is a pair of continuous maps  $f : X \longrightarrow Y$  and  $g : Y \longrightarrow X$  such that

$$g \circ f \simeq id_X \text{ and } f \circ g \simeq id_Y$$

The equivalence classes under  $\simeq$  are called *homotopy types*. If such a pair  $f, g$  exists,  $X$  and  $Y$  are said to be *homotopy equivalent*, or of the same homotopy type.

**Definition 1.10**

Spaces that are homotopy equivalent to a point are called *contractible*. That is,  $X$  is contractible if and only if

$$X \simeq \{x_0\}$$

Visually, two spaces are homotopy equivalent if they can be transformed into one another by bending, shrinking, and expanding operations.

**Example 1.6**

A solid disk is homotopy equivalent to a single point, since one can deform the disk along radial lines to a point.

**Example 1.7**

A mobius strip is homotopy equivalent to a closed (untwisted) strip.

Notice from the visualization of homotopy equivalence the following theorem.

**Theorem 1.11**

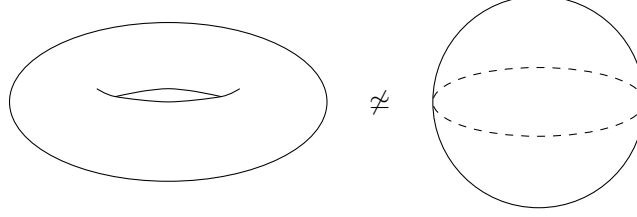
$X, Y$  homeomorphic  $\implies X, Y$  homotopy equivalent. However, the converse is not true.

*Proof.* Just set  $f = f$  and  $g = f^{-1}$ .



**Example 1.8**

A torus is not homotopy equivalent to  $Y$ , which also implies that they are not homeomorphic either.



Furthermore, like homeomorphisms, homotopy equivalence is a relation on the set of all topological spaces.

1. Identity. Just set  $f, g = id_X$
2. Symmetricity. Given  $X \simeq Y$  with  $f : X \rightarrow Y, g : Y \rightarrow X$ , we set  $f' \equiv g$  and  $g' \equiv f$  and use these functions  $f', g'$  to find out that  $Y \simeq X$ .
3. Transitivity. Let us have  $X \simeq Y$  with functions  $f_1, g_1$  and  $Y \simeq Z$  with functions  $f_2, g_2$ . Then, we define new functions

$$f_3 \equiv f_2 \circ f_1 : X \rightarrow Z, g_3 \equiv g_1 \circ g_2 : Z \rightarrow X \quad (31)$$

which follows to  $f_3 \circ g_3 = id_Z$  and  $g_3 \circ f_3 = id_X$ .

**Theorem 1.12**

$\mathbb{R}^n$  is homotopically equivalent to a point  $\{0\}$ .

*Proof.* We claim that the continuous maps (canonical injection and projection)

$$id_{\mathbb{R}^n} : \{0\} \rightarrow \mathbb{R}^n, p_0 : \mathbb{R}^n \rightarrow \{0\} \quad (32)$$

have the property that

$$id_{\mathbb{R}^n} \circ p_0 \simeq id_{\mathbb{R}^n}, p_0 \circ id_{\mathbb{R}^n} \simeq id_{\{0\}} \quad (33)$$

The right-hand homotopy is trivial since  $id_{\mathbb{R}^n} \circ p_0 = id_{\mathbb{R}^n}$ , and as for the left-hand homotopy, we can explicitly define it as

$$H : [0, 1] \times \mathbb{R}^n \rightarrow \mathbb{R}^n \quad (34)$$

with

$$H(t, x) \equiv (t)(id_{\mathbb{R}^n} \circ p_0)(x) + (1 - t)id_{\mathbb{R}^n}(x) = (1 - t)id_{\mathbb{R}^n}(x) \quad (35)$$

**Example 1.9**

$S^1 \simeq \mathbb{R}^2 \setminus \{0\}$ , and more generally,  $S^{n-1} \simeq \mathbb{R}^n \setminus \{0\}$ . We can see this with the canonical injection and projections

$$id_{\mathbb{R}^2} : S^1 \rightarrow \mathbb{R}^2 \setminus \{0\}, \pi_{S^1} : \mathbb{R}^2 \setminus \{0\} \rightarrow S^1 \quad (36)$$

and find that

$$id_{\mathbb{R}^2} \circ \pi_{S^1} \simeq id_{\mathbb{R}^2}, \pi_{S^1} \circ id_{\mathbb{R}^2} \simeq id_{S^1} \quad (37)$$

where the right-hand homotopy is trivial, and the left hand homotopy is defined explicitly as

$$H(x, t) \equiv t(id_{\mathbb{R}^2} \circ \pi_{S^1})(x) + (1 - t)(id_{\mathbb{R}^2})(x) \quad (38)$$

**Definition 1.11**

A function  $f$  is said to be *null homotopic* if it is homotopic to a constant function. This is sometimes called a *null-homotopy*.

**Example 1.10**

Take a look at a function  $f : \mathbb{R}^2 \rightarrow \mathbb{R}$ , which represents an arbitrary surface in  $\mathbb{R}^2 \oplus \mathbb{R}$ . Now, observe the constant function  $c(x, y) \equiv c$ , which represents a plane parallel to the  $x, y$ -plane. Clearly, we can imagine a deformation of the surface of  $f$  to the flat surface of  $c$  with the homotopy

$$H(x, t) \equiv t f(x) + (1 - t)c(t) \quad (39)$$

which visually represents a linear deformation of  $c$  to  $f$ . Therefore,  $f$  is null-homotopic.

**Example 1.11**

A map  $f : S^1 \rightarrow X$  is null homotopic precisely when it can be continuously extended to a map

$$\tilde{f} : D^2 \rightarrow X \quad (40)$$

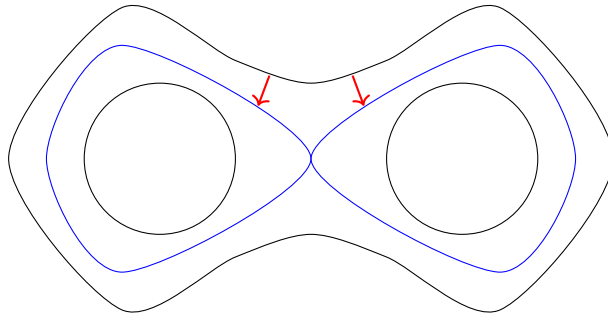
that agrees with  $f$  on the boundary  $\partial D^2 = S^1$ . Visually, the existence of  $\tilde{f}$  allows us to continuously deform the image of  $f$  in  $S^1 \oplus X$  to a level curve  $f(x) = c$  existing in  $S^1 \oplus X$ .

**Theorem 1.13**

A space  $X$  is contractible if and only if the identity map from  $X$  to itself, which is always a homotopy equivalence, is null homotopic.

**Example 1.12**

Let  $Y$  be the following gray subset of the plane, and let  $X$  be the figure-8 shape.



Then  $Y \simeq X$ , where the corresponding functions are

$$F : X \rightarrow Y, \text{ the canonical inclusion} \quad (41)$$

$$G : Y \rightarrow X, \text{ the projection onto } X \quad (42)$$

Then,  $G \circ F = id$  and  $F \circ G$  is homotopic to the identity, with homotopy defined

$$H(x, t) \equiv t(F \circ G)(x) + (1 - t)(id_Y)(x) \quad (43)$$

which can be visualized by  $H(x, s)$  being the point you get from  $x$  by moving a fraction  $s$  along the red arrow towards  $X$ .

## 2 Homeomorphism Groups

**Definition 2.1 (Homeomorphism Group)**

The **homeomorphism group** of a topological space  $X$  is the group consisting of all homeomorphisms from  $X$  to  $X$ , with function composition as the group operation.