# Assembly

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There are many assembly languages out there and various syntaxes. *Intel syntax* specifies memory operands without any special prefixes. Square brackets [] are used to denote memory addresses. For example, mov eax, [ebx] means move the contents of the memory location pointed to by ebx into eax. In AT&T syntax, memory operands are denoted with parentheses () and include the % prefix for registers. An instruction moving data from a memory location into a register might look like movl (%ebx), %eax, with additional prefixes for immediate values and segment overrides. In here, we will talk about the three most popular architectures.

#### Definition 0.1 (x86)

**x86** Assembly is the assembly language for Intel and AMD processors using the x86 architecture. Both AT&T and Intel syntax are available. Tools or environments often allow switching between the two, with AT&T being the default in GNU tools like GDB.

The x86 architecture is a CISC architecture, which is the most common architecture for personal computers. Here are important properties:

- 1. It is a complex instruction set computer (CISC) architecture, which means that it has a large set of complex instructions<sup>a</sup>.
- 2. Byte-addressing is enabled and words are stored in little-endian format.
- 3. In the x86\_64 architecture, registers are 8 bytes long (and 4 bytes in x86\_32) and there are 16 total general purpose registers, for a total of only 128 bytes (very small compared to many GB of memory). Other special purpose registers are also documented in the wikipedia page, but it is not fully documented.

#### Definition 0.2 (ARM)

**ARM Assembly** is the assembly language for ARM processors. Has its own unique syntax, not categorized as AT&T or Intel. ARM syntax is closely tied to its instruction set architecture and is distinct from the x86 conventions. It is mainly in phones, tablets, laptops.

#### Definition 0.3 (RISC-V)

A debatable 4th mainstream one is the MIPs assembly, which is based off of the MIPS RISC archiecture used in embedded systems such as digital home and networking equipment. Historically through, there are many many more variants. PowerPC assembly is the assembly language for PowerPC processors. PowerPC has its own syntax style, tailored to its architecture and instruction set, distinct from the AT&T and Intel syntax models. 6502 Assembly is used in many early microcomputers and gaming consoles. Utilizes a syntax unique to the 6502 processor, not following AT&T or Intel conventions. Z80 Assembly is associated with the Z80 microprocessor, used in numerous computing devices in the late 20th century. Z80 assembly language has its own syntax that does not adhere to AT&T or Intel syntax guidelines.

Just like how memory addressing is different between 32 and 64 bit machines, CPUs also use these schemes. While 32-bit processors have  $2^{32}$  possible addresses in their cache, it turns out that 64-bit processors have a 48-address space. This is because CPU manufacturers took a shortcut. They use an instruction set which allows a full 64-bit address space, but current CPUs just only use the last 48-bits. The alternative was wasting transistors on handling a bigger address space which wasn't going to be needed for many years (since 48-bits is about 256TB). Just a bit of history for you. Finally, just to briefly mention, the input/output device, as the name suggests, processes inputs and displays outputs, which is how you can see what the program does.

<sup>&</sup>lt;sup>a</sup>https://en.wikipedia.org/wiki/X86\_instruction\_listings

## 1 ARM Data Movement

# 2 ARM Arithmetic Operations

## 3 ARM Control Flow

## 4 x86 Data Movement

#### Definition 4.1 (Data Types)

In x86,

1. A **word** refers to

## 4.1 Registers

The specific type of registers that are available to a CPU depends on the computer architecture, or more specifically, the ISA, but here is a list of common ones for the x86-64. We have %rax, %rbx, %rcx, %rdx, %rsi, %rdi, %rbp, %rsp, %r8, %r9, %r10, %r11, %r12, %r13, %r14, %r15. Therefore, the x86-64 Intel CPU has a total of 16 registers for storing 64 bit data. However, it is important to know which registers are used for what.

## Definition 4.2 (Parameter Registers)

Compilers typically store the first six parameters of a function in registers

respectively.

#### Definition 4.3 (Return Register)

The return value of a function is stored in the

register.

#### Definition 4.4 (Stack and Frame Pointers)

The %rsp register is the stack pointer, which points to the top of the stack. The %rbp register is the frame pointer, or base pointer, which points to the base of the current stack frame. In a typical function prologue, %rbp is set to the current stack pointer (%rsp) value, and then %rsp is adjusted to allocate space for the local variables of the function. This establishes a fixed point of reference (%rbp) for accessing those variables and parameters, even as the stack pointer (%rbp) moves.

## Definition 4.5 (Instruction Pointer)

The %rip register is the instruction pointer, which points to the next instruction to be executed. Unlike all the registers that we have shown so far, programs cannot write directly to %rip.

#### Definition 4.6 (Notation for Accessing Lower Bytes of Registers)

Sometimes, we need a more fine grained control of these registers, and x86-64 provides a way to access the lower bits of the 64 bit registers. We can visualize them with the diagram below.

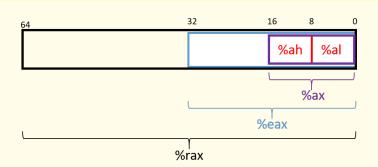


Figure 1: The names that refer to subsets of register  $\mbox{\em {\sc wrax}}.$ 

A complete list is shown below.

64-bit Register	32-bit Register	Lower 16 Bits	Lower 8 Bits
%rax	%eax	%ax	%al
%rbx	%ebx	%bx	%bl
%rcx	%ecx	%cx	%cl
%rdx	%edx	%dx	%dl
%rdi	%edi	%di	%dil
%rsi	%esi	%si	%sil
%rsp	%esp	%sp	%spl
%rbp	%ebp	%bp	%bpl
%r8	%r8d	%r8w	%r8b
%r9	%r9d	%r9w	%r9b
%r10	%r10d	%r10w	%r10b
%r11	%r11d	%r11w	%r11b
%r12	%r12d	%r12w	%r12b
%r13	%r13d	%r13w	%r13b
%r14	%r14d	%r14w	%r14b
%r15	%r15d	%r15w	%r15b

Table 1: Register mapping in x86-64 architecture

## 4.2 Addressing Modes

## Example 4.1 (Immediate Addressing)

movq \$0x4, %rax

## Example 4.2 (Normal Addressing)

The following example shows the source operand being a memory address, with normal addressing, and the destination operand being a register.

movq (%rax), %rbx

## Example 4.3 (Displacement Addressing)

The following example shows the source operand being a memory address and the destination operand being a register. They are both addressed normally.

```
movq 8(%rdi), %rdx
```

## Example 4.4 (Indexed Addressing)

The following shows the source operand being a memory address and the destination operand being a register. Say that %rdx = 0xf000 and %rcx = 0x0100. Then

$$0x80(,%rdx,2) = Mem[2*0xF000 + 0x80] = Mem[0x1E080]$$
 (3)

We see that

```
movq 0x100(%rdi, %rsi, 8), %rdx
```

## 5 x86 Arithmetic Operations

## Definition 5.1 (Size Specifier)

In x86 assembly, the **size specifier** can be appended to this instruction mnemonic to specify the size of the operands.

- 1. **b** (byte) for 1 byte
- 2. w (word) for 2 bytes
- 3. I (long) for 4 bytes
- 4. q (quad word) for 8 bytes

Note that due to backwards compatibility, word means 2 bytes in instruction names. Furthermore, the maximum size is 8 bytes since that is the size of each register in x86\_64.

Like higher level programming languages, we can perform operations, do comparisons, and jump to different parts of the code. Instructions can be generally categorized into three types:

1. **Data Movement**: These instructions move data between memory and registers or between the registery and registery. Memory to memory transfer cannot be done with a single instruction.

```
1 %reg = Mem[address] # load data from memory into register
2 Mem[address] = %reg # store register data into memory
```

2. Arithmetic Operation: Perform arithmetic operation on register or memory data.

```
% reg = %reg + Mem[address]  # add memory data to register
% reg = %reg - Mem[address]  # subtract memory data from register
% reg = %reg * Mem[address]  # multiply memory data to register
% reg = %reg / Mem[address]  # divide memory data from register
```

3. Control Flow: What instruction to execute next.

```
jmp label  # jump to label
je label  # jump to label if equal
jne label  # jump to label if not equal
jg label  # jump to label if greater
jl label  # jump to label if less
call label  # call a function
ret  # return from a function
```

Now unlike compiled languages, which are translated into machine code by a compiler, assembly code is translated into machine code through a two-step process. First, we **assemble** the assembly code into an **object file** by an **assembler**, and then we **link** the object file into an executable by a **linker**. Some common assemblers are **NASM** (Netwide Assembler) and **GAS/AS** (GNU Assembler), and common linkers are **ld** (GNU Linker) and **lld** (LLVM Linker), both installable with **sudo pacman -S nasm ld**.

```
Definition 5.2 (mov)
```

Let's talk about the mov instruction. A good diagram to see is the following:

Parantheses indicate that we are using a pointer dereference.

## Definition 5.3 (int)

The int instruction is used to generate a software interrupt. It is often used to invoke a system call.

## Definition 5.4 (ret)

The ret instruction is used to return from a function. It returns the value in the %rax register.

## Example 5.1 (Swap Function)

In  $\mathbf{gdb}$ , we may have a function that swaps two integers.

```
swap:
movq (%rdi), %rax
movq (%rsi), %rdx
movq %rdx, (%rdi)
movq %rax, (%rsi)
ret
```

which is the assembly code for the following C code.

```
void swap(long *xp, long *yp) {
long t0 = *xp;
long t1 = *yp;
*xp = t1;
*yp = t0;
}
```

Let's talk about moving instructions first.

## Definition 5.5 (mov)

Let's talk about the mov instruction which copies data from the source to the destination (the data in the source still remains!) and has the syntax

$$mov\_src, dest$$
 (4)

- 1. The source can be a register (%rsi), a value (\$0x4), or a memory address (0x4).
- 2. The destination can be a register or a memory address.
- 3. The \_ is defined to be one of the size operands, which determine how big the data is. For example, we can call movq to move 8 bytes of data (which turns about to be the maximum size of a register).

A good diagram to see is the following:

	Source	Dest	Src, Dest	C Analog
	1		movq \$0x4, %rax movq \$-147, (%rax)	var_a = 0x4; *p_a = -147;
movq	Reg	$\begin{cases} \text{Reg} \\ \text{Mem} \end{cases}$	movq %rax, %rdx movq %rax, (%rdx)	var_d = var_a; *p_d = var_a;
	Mem	Reg	movq (%rax), %rdx	var_d = *p_a;

Even with just the mov instruction, we can look at a practical implementation of a C program in Assembly.

#### Example 5.2 (Swap Function)

Let us take a look at a function that swaps two integers. Let's see what they do.

- 1. In C, we dereference both xp and yp (note that they are pointers to longs, so they store 8 bytes), and assign these two values to two temporary variables. Then, we assign the value of yp to xp and the value of xp to yp.
- 2. In Assembly, we first take the registers %rdi and %rsi, which are the 1st and 2nd arguments of the function, dereference them with the parantheses, and store them in the temporary registers %rax and %rdx. Then, we store the value of %rdx into the memory address of %rdi and the value of %rax into the memory address of %rsi. Note that the input values (the actual of)

```
void swap(long *xp, long *yp) {
long t0 = *xp;
long t1 = *yp;

**xp = t1;
**yp = t0;
}

swap:

**movq (%rdi), %rax

**movq (%rsi), %rdx

**movq %rdx, (%rdi)

**movq %rdx, (%rdi)

**movq %rax, (%rsi)

**movq %rax, (%rsi)

**ret
```

#### Definition 5.6 (movz and movs)

The movz and movs instructions are used to move data from the source to the destination, but with zero and sign extension, respectively. It is used to copy from a smaller source value to a larger destination, with the syntax

```
movz__ src, dest
movs__ src, dest
```

where the first — is the size of the source and the second — is the size of the destination.

- 1. The source can be from a memory or register.
- 2. The destination must be a register.

#### Example 5.3 (Simple example with movz)

Take a look at the code below.

```
novzbq %al, %rbx
```

The %al represents the last byte of the %rax register. It is 1 byte long. The %rbx register is 8 bytes long, so we can fill in the rest of the 7 bytes with zeros.

#### Example 5.4 (Harder example with movs)

Take a look at the code below.

```
novsbl (%rax), %ebx
```

You want to move the value at the memory address in %rax into %ebx. Since the source size is set to

1 byte, you take that byte, say it is 0x80, from the memory, and then sign extend it (by a size of 4 bytes!) into %ebx. Note that therefore, the first four bytes of %rbx will not be affected since it's not a part of %ebx. An exception to this is that in x86-64, any instruction that generates a 32-bit long word value for a register also sets the high-order 32 bits of the register to 0, so this ends up clearing the first 4 bytes to 0.

```
0x00 0x00 0x7F 0xFF 0xC6 0x1F 0xA4 0xE8 ←%rax

... 0x?? 0x?? 0x80 0x?? 0x?? 0x?? ... ← MEM

0x00 0x00 0x00 0x00 0xFF 0xFF 0xFF 0x80 ←%rbx
```

Now we can talk about control transfer. Say that you have the following C and Assembly code.

```
int add(int x) {
    return x + 2;
                                                          movq %rdi, %rax
  }
3
                                                          addq $2, %rax
                                                          ret
  int main() {
                                                        main:
6
    int a = 2;
                                                          movq $3, $rdi
    int b = add(a);
                                                          call add
                                                          movq $0, %rax
    return 0;
9 }
                                                          ret
```

Figure 2: A simple function.

If you go through the instructions, you see that in main, you first move \$3 into the %rdi register. Then, you call the add function, and within it you also have the %rdi register. This is a conflict in the register, and we don't want to simply overwrite the value of %rdi in the main function. Simply putting it to another register isn't a great idea since we can't always guarantee that it will be free. Therefore, we must use the memory itself.

Recall the stack, which we can think of as a giant array in which data gets pushed and popped in a last-infirst-out manner. The stack is used to store data and return addresses, and is used to manage function calls. Visually, we want to think of the elements getting pushed in from the bottom (upside down) towards lower memory addresses.

#### Definition 5.7 (Stack Pointer)

Note that every time we want to push or pop something from the stack, we must know *where* to push or pop it. This is where the **stack pointer** comes in. It is a special register that always points to the top of the stack, and is used to keep track of the stack.

#### Definition 5.8 (Push and Pop)

The push and pop instructions are used to push and pop data onto and off the stack, respectively.

1. When we push the source, we fetch the value at the source and store it at the memory address pointed to by the stack pointer **%rsp**. Then, we decrement **%rsp** by 8.

2. When we pop from the stack, we fetch the value at the memory address pointed to by the stack pointer **%rsp** and store it in the destination. Then, we increment **%rsp** by 8.

Note that no matter what the size of the operand, we always subtract 8 from the stack pointer. This is because the stack grows downwards, and we want to make sure that the next element is pushed into the next available space.

Note that the register %rsp is the stack pointer, which points to the top of the stack. The stack is used to store data and return addresses, and is used to manage function calls.

## Definition 5.9 (Push and Pop)

The push and pop instructions are used to push and pop data onto and off the stack, respectively.

The \_ is a size operand, which determines how big the data is.

#### Definition 5.10 (Call and Ret)

The call instruction pushes the return address onto the stack and jumps to the function. The ret instruction pops the return address from the stack and jumps to it.

We also talked about how there is instruction code that is even below the stack that is stored. This is where all the machine code/assembly is stored, and we want to find out where we are currently at in this code. This is done with the program counter.

#### Definition 5.11 (Program Counter, Instruction Pointer)

The **program counter**, or **instruction pointer**, is a special register **rip** that points to the current instruction in the program. It is used to keep track of the next instruction to be executed.

Let's go through one long example to see in detail how this is calculated.

## Example 5.5 (Evaluating a Function)

Say that we have the following C code.

```
int adder2(int a) {
   return a + 2;
}

int main() {
   int x = 40;
   x = adder2(x);
   printf("x is: %d\n", x);
   return 0;
}
```

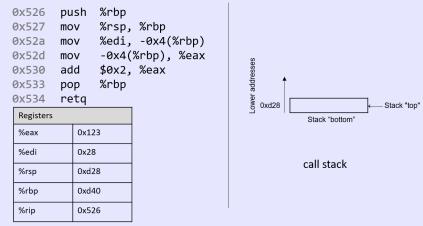
When we compile this program, we can view its full assembly code by calling objdump -d a.out. The output is quite long, so we will focus on the instruction for the adder2 function.

```
0000000000400526 <adder2>:
400526:
               55
                                                 %rbp
                                         push
400527:
               48 89 e5
                                                 %rsp,%rbp
                                         mov
40052a:
                                                 %edi,-0x4(%rbp)
               89 7d fc
                                         mov
               8b 45 fc
40052d:
                                                 -0x4(%rbp), %eax
                                         mov
400530:
               83 c0 02
                                          add
                                                 $0x2, %eax
400533:
               5d
                                                 %rbp
                                         pop
400534:
               сЗ
                                         retq
```

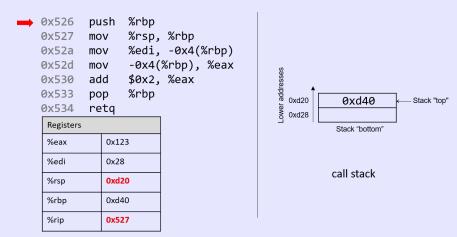
Figure 3: The output of objdump for the adder2 function. The leftmost column represents the addresses (in hex) of where the actual instructions lie. The second column represents the machine code that is being executed. The third column represents the assembly code.

Note some things. Since adder2 is taking in an integer input value, we want to load it into the lower 32 bits (4 bytes) of the %rdi register, which is the first parameter. So we use %edi. Likewise for the return value, we want to output an int so we use %eax rather than %rax. Let's go through some of the steps.

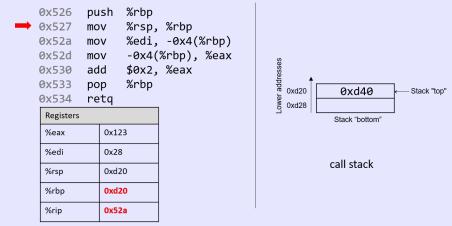
1. By the time we get into calling adder2, we can take a look at the relevant registers.



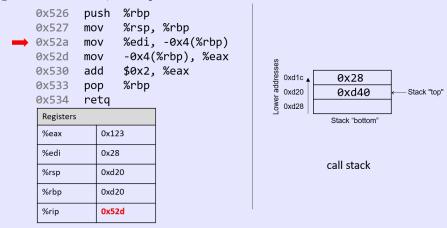
- (a) First, the **%eax** is filled with garbage, which are leftovers from previous programs that haven't been overwritten yet.
- (b) Second, the %edi=0x28 since we have set x=40 in main, before calling adder2, so it lingers on.
- (c) %rsp=0xd28 since that is where the top of the stack is.
- (d) %rbp=0xd40
- (e) %rip=0x526 since that is where we are currently at in our instruction (we are about to do it, but haven't done it yet).
- 2. When we execute the first line of code, we simply push the value at %rbp into the stack. The top of the stack gets decremented by 8 and the value at %rbp is stored there. This means that the top of the stack is at %rsp=0xd20 and the next instruction will be at %rip=0x527.



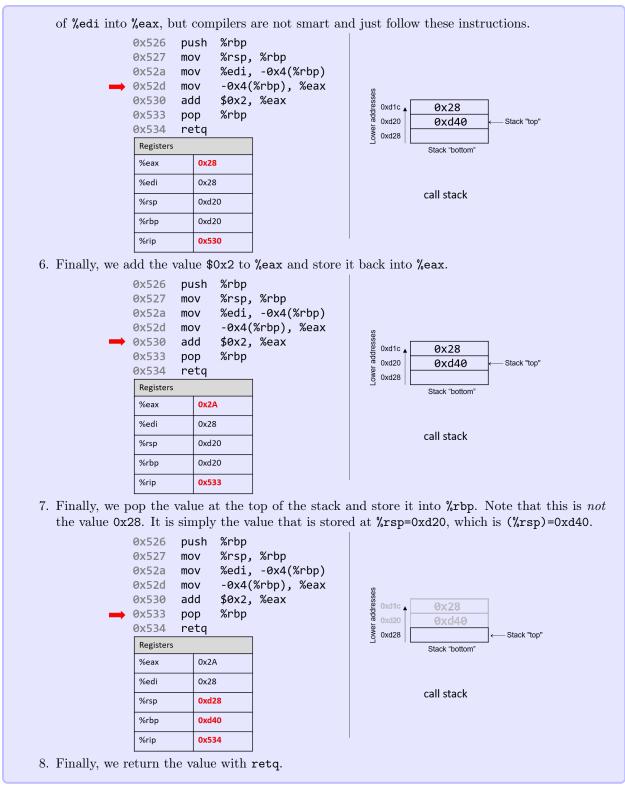
3. The reason we have pushed %rbp onto the stack is that we want to save it before it gets overwritten by this next execution. We basically move the value of %rsp into %rbp, and the %rip advances to the next instruction. %rip moves to the next instruction.



4. Now we want to take our first argument %edi and store it in memory. Note that since this is 4 bytes, we can move this value into memory that is 4 bytes below the stack (-0x4(%rbp)). Note that the storing the value of %edi into memory doesn't affect the stack pointer %rsp. As far as the program is concerned, the top of this stack is still address 0xd20.



5. The next instruction simply goes into memory 4 bytes below the stack pointer, takes the value there, and stores it into %eax. This is the value of %edi that we just stored. This may seem redundant since we are making a round trip to memory and back to ultimately move the value



Note that the final values in the registers %rsp and %rip are 0xd28 and 0x534, respectively, which are the same values as when the function started executing! This is normal and expected behavior with the call stack, which just stores temporary variable sand data of each function as it executes a program. Once a function completes executing, the stack returns to the state it was in prior to the function call. Therefore, it is common to see the following two instructions at the beginning of a function:

```
push %rbp
mov %rsp, %rbp
```

and the following two at the end of a function

```
pop %rbp retq
```

Now arithemtic operations are quite simple.

## Definition 5.12 (Add, Subtract, Multiply)

The add and sub instructions are used to add and subtract data from the destination.

```
add_ src, dest dest = dest + src
sub_ src, dest dest = dest - src
```

The **imul** instruction is used to multiply data between the source and destination and store it in the destination.

Again the \_ is a size operand, which determines how big the data is.

#### Definition 5.13 (Increment, Decrement)

The inc and dec instructions are used to increment and decrement the value in the destination.

```
inc_dest dest = dest + 1 dec_dest dest = dest - 1
```

#### Definition 5.14 (Negative)

The **neg** instruction is used to negate the value in the destination.

#### Example 5.6 (Basic Arithmetic Function)

The following represents the same program in C and in assembly. Let's go through each one:

- 1. In C, we first initialize a = 4, then b = 8, add them together to get c, and then return c.
- 2. In Assembly, we move the value 4 to the %rax register, then move the value 8 to the %rbx register, add the two values together to store it into %rax, and then return the value in the %rax register.

```
int main() {
   int a = 4, b = 8;
   int c = a + b;
   return c;
   }
   movq $4, %rax
   movq $8, %rbx
   addq %rbx, %rax
   ret
   ret
```

It is slightly different in Assembly since rather than storing 4 in some intermediate register, we

immediately store it in the return register. In a way it is more optimized, and this is what the compiler does for you so that as few registers are used.

A shorthand way to do this is with lea, which stands for load effective address.

#### Definition 5.15 (Load Effective Address)

The **lea** instruction is used to load the effective address of the source into the destination. For now, we will focus on the arithmetic operations that it can do

This is useful for doing arithmetic operations on the address of a variable.

#### Definition 5.16 (Bitwise)

The and, or, xor, and not instructions are used to perform bitwise operations on the source and destination.

```
and src, dest \det dest = dest & src or src, dest \det dest = dest | src xor src, dest \det dest = dest \inf rc neg dest \inf dest = -dest dest = \simdest
```

## Definition 5.17 (Arithmetic and Logical Bit Shift)

The sal arithmetic instruction is used to shift the bits of the destination to the left by the number of bits specified in the source. The shr instruction is used to shift the bits of the destination to the right by the number of bits specified in the source.

The sar instruction is used to shift the bits of the destination to the right by the number of bits specified in the source, and fill the leftmost bits with the sign bit. The shl instruction is used to shift the bits of the destination to the left by the number of bits specified in the source, and fill the rightmost bits with zeros.

#### Example 5.7 (Harder Arithmetic Example)

The following two codes are equivalent.

```
long arith(long x, long y, long z) {
                                                    arith:
     long t1 = x + y;
                                                      \# rax/t1 = x + y
     long t2 = z + t1;
                                                     leaq (%rdi, %rsi), %rax
     long t3 = x + 4;
                                                      \# rax/t2 = z + t1
     long t4 = y * 48;
                                                      addq %rdx, %rax
     long t5 = t3 + t4;
                                                      \#rdx = 3 * y
     long rval = t2 * t5;
                                                      leaq (%rsi, %rsi, 2), %rdx
     return rval;
                                                      \#rdx/t4 = (3*y) * 16
                                                      salq $4, %rdx
                                                      \#rcx/t5 = x + t4 + 4
                                                     leaq 4(%rdi, %rdi), %rcx
                                                      \# rax/rval = t5 * t2
13
                                                      imulq %rcx, %rax
                                                      ret
```

The final thing in our list is condition codes.

Sometimes, we want to move (really copy) some value to another register if some condition is met. This is where we use conditional moves. These conditions are met by the flags register, which is a special register that stores the status of the last operation. It is the value of these flags that determine whether all future conditional statements are met in assembly.

#### Definition 5.18 (Condition Code Flags)

The flags register in the x86 CPU keeps 4 condition code flag bits internally. Think of these as status flags that are *implicitly* set by the most recent arithmetic operation (think of it as side effects). Note that condition codes are NOT set by lea or mov instructions!

- 1. **Zero Flag**: if the last operation resulted in a zero value.
- 2. Sign Flag: if the last operation resulted in a negative value (i.e. the most significant bit is 1).
- 3. Overflow Flag: if the last operation resulted in a signed overflow.
- 4. Carry Flag: if the last operation resulted in a carry out of the most significant bit, i.e. an unsigned overflow.

Every operation may or may not changes these flags to test for zero or nonzero, positive or negative, or overflow conditions, and combinations of these flags express the full range of conditions and cases, e.g. for signed and unsigned values.

#### Example 5.8 (Zero Flag)

If the code below was just run, then ZF would be set to 1.

```
1 movq $2, %rax
2 subq $2, %rax
```

#### Example 5.9 (Sign Flag)

If the code below was just run, then SF would be set to 1.

```
1 movq $2, %rax
2 subq $4, %rax
```

## Example 5.10 (Overflow Flag)

If either code below was just run, then OF would be set to 1.

This is because in the left in signed arithmetic, we have a positive + positive = negative (result is 0x800000000000000000), which is a signed overflow. Furthermore, in the right we have negative + negative = positive (result is 0x7ffffffffffffff).

#### Example 5.11 (Carry Flag)

If the code below was just run, then CF would be set to 1.

```
movq $0xffffffffffffff, %rax addq $1, %rax
```

This is because the result is 0x0, which is a carry out of the most significant bit and an unsigned overflow.

It would be tedious to always set these flags manually, so there are two methods that can be used to *explicitly* set these flags.

#### Definition 5.19 (Compare)

The **cmp** instruction is used to perform a subtraction between the source and destination, and set the flags accordingly, but it does not store the result.

```
cmp_ src, dest dest - src
```

The following flags are set if the conditions are met:

- 1.  $\mathbf{ZF} = \mathbf{1}$  if dest == src
- 2. SF = 1 if dest < src (MSB is 1)
- 3.  $\mathbf{OF} = \mathbf{1}$  if signed overflow
- 4. CF = 1 if unsigned overflow

#### Definition 5.20 (Test)

The **test** instruction is used to perform a bitwise AND operation between the source and destination, and set the flags accordingly.

```
test_ src, dest & src
```

The following flags are set if the conditions are met. Note that you can't have carry out (CF) or overflow (OF) if these flags are set.

- 1.  $\mathbf{ZF} = \mathbf{1}$  if dest & src == 0
- 2. SF = 1 if dest & src < 0 (MSB is 1)

#### Example 5.12 (Compare)

Assuming that %al = 0x80 and %bl = 0x81, which flags are set when we execute cmpb %al, %bl? Well we must first compute

```
%bl - %al = 0x81 - 0x80 = 0x81 + \sim 0x80 + 1 = 0x81 + 0x7F + 1 = 0x101 = 0x01 (5)
```

- 1. CF=1 since the result is greater than 0xFF (i.e. larger than byte)
- 2. ZF=0 since the result is not 0
- 3. SF=0 since the MSB is 0, i.e. there is unsigned overflow
- 4. OF=0 since there is no signed overflow

For conditional moves and jumps later shown, it basically uses these explicit sets and always compares them to 0. We will see what this means later.

Finally, we can actually set a byte in a register to 1 or 0 based on the value of a flag.

#### Definition 5.21 (Set)

We can then talk about conditional moves and jumps.

#### Definition 5.22 (Equality with 0)

The test instruction is used to perform a bitwise AND operation between the source and destination, and set the flags accordingly.

dest & src

The sete instruction is used to set the destination to 1 if the zero flag is set, and 0 otherwise.

$$dest = (ZF == 1) ? 1 : 0$$

The cmovne instruction is used to move the source to the destination if the zero flag is not set.

$$dest = (ZF == 0)$$
 ?  $src : dest$ 

#### Definition 5.23 (Jump)

There are several jump instructions, but essentially they are used to jump to another part of the code. We can use the following mnemonic to jump to a label.

Letter	Word
j	jump
n	not
е	equal
s	signed
g	greater (signed interpretation)
1	less (signed interpretation)
a	above (unsigned interpretation)
b	below (unsigned interpretation)

Table 2: Letter to Word Mapping

Figure 4: Mnemonic for Jump Instructions

For completeness, we include all the jump instructions.

Signed Comparison	Unsigned Comparison	Description
je (jz)		jump if equal (==) or jump if zero
jne (jnz)		jump if not equal (!=)
js		jump if negative
jns		jump if non-negative
jg (jnle)	ja (jnbe)	jump if greater (>)
jge (jnl)	jae (jnb)	jump if greater than or equal (>=)
jl (jnge)	jb (jnae)	jump if less (<)
jle (jng)	jbe (jna)	jump if less than or equal (<=)

Table 3: Comparison Instructions in Assembly

Figure 5: All jump instructions

## Definition 5.24 (int)

The int instruction is used to generate a software interrupt. It is often used to invoke a system call.

## Definition 5.25 (ret)

The ret instruction is used to return from a function. It returns the value in the %rax register.

Now we can have a basic idea of how if statements can be used as a sequence of conditionals and jump operators. Let's first look at the **goto** version of C.

## Definition 5.26 (Goto Syntax)

The goto version processes instructions sequentially as long as there is no jump. This is useful because compilers translating code into assembly designate a jump when a condition is true. Contrast this behavior with the structure of an if statement, where a "jump" (to the else) occurs when conditions are not true. The goto form captures this difference in logic.

```
int getSmallest(int x, int y) {
                                                        int getSmallest(int x, int y) {
    int smallest;
                                                          int smallest;
     if (x > y ) { //if (conditional)
                                                          if (x <= y ) { //if (!conditional)</pre>
       smallest = y; //then statement
                                                            goto else_statement;
     else {
       smallest = x; //else statement
                                                          smallest = y; //then statement
                                                          goto done;
     return smallest;
                                                        else_statement:
10
                                                          smallest = x; //else statement
                                                        done:
                                                          return smallest;
14
                                                       }
15 .
```

Figure 6: C vs GoTo code of the same function. While GoTo code allows us to view C more like assmebly, it is generally not readable and is not considered best practice.

Now let's see how if statements are implemented by taking a look at this function straight up in assembly.

```
int getSmallest(int x, int y) {
                                             Dump of assembler code for function getSmallest:
    int smallest;
                                             0x40059a <+4>:
                                                              mov
                                                                      \%edi,-0x14(\%rbp)
    if (x > y ) { //if (conditional)
                                             0x40059d <+7>:
                                                                      %esi,-0x18(%rbp)
                                                               mov
                                                                      -0x14(%rbp),%eax
       smallest = y; //then statement
                                             0x4005a0 <+10>:
                                                              mov
    }
                                             0x4005a3 <+13>:
                                                                      -0x18(%rbp).%eax
                                                               cmp
                                                                      0x4005b0 <getSmallest+26>
    else {
                                             0x4005a6 <+16>:
                                                               jle
                                                                      -0x18(%rbp),%eax
      smallest = x; //else statement
                                             0x4005a8 <+18>:
                                                              mov
    }
                                                                      0x4005b9 <getSmallest+35>
                                             0x4005ae <+24>:
                                                               jmp
    return smallest;
                                             0x4005b0 <+26>:
                                                                      -0x14(%rbp),%eax
9
  }
                                             0x4005b9 <+35>:
                                                               pop
                                                                      %rbp
                                             0x4005ba <+36>:
                                                              retq
```

Figure 7: Assembly code of a simple if statement

Again, note that since we are working with int types, the respective parameter registers are %edi and %esi, the respective lower 32-bits of the registers %rdi and %rsi. Let's walk through this again.

- 1. The first mov instruction copies the value located in register %edi (the first parameter, x) and places it at memory location %rbp-0x14 on the call stack. The instruction pointer (%rip) is set to the address of the next instruction, or 0x40059d.
- 2. The second mov instruction copies the value located in register %esi (the second parameter, y) and places it at memory location %rbp-0x18 on the call stack. The instruction pointer (%rip) updates to point to the address of the next instruction, or 0x4005a0.
- 3. The third mov instruction copies x to register %eax. Register %rip updates to point to the address of the next instruction in sequence.
- 4. The cmp instruction compares the value at location %rbp-0x18 (the second parameter, y) to x and sets appropriate condition code flag registers. Register %rip advances to the address of the next instruction, or 0x4005a6.
- 5. The jle instruction at address 0x4005a6 indicates that if x is less than or equal to y, the next instruction that should execute should be at location <getSmallest+26> and that %rip should be set to address 0x4005b0. Otherwise, %rip is set to the next instruction in sequence, or 0x4005a8.

With the cmov instruction, this can be a lot shorter. With the gcc compiler with level 1 optimizations turned on, we can see that a lot of redundancies are turned off.

Figure 8: Compiled with gcc -01 -o getSmallest getSmallest.c

Like if statements, loops in assembly can be implementing using jump functions that revisit some instruction address based on the result on an evaluated condition. Let's take a look at a basic loop function.

```
int sumUp(int n) {
                                                        Dump of assembler code for function sumUp:
                                                        0x400526 <+0>: push
     int total = 0;
                                                                                 %rbp
     int i = 1;
                                                        0x400527 <+1>:
                                                                                 %rsp,%rbp
                                                                         mov
                                                                                 %edi,-0x14(%rbp)
                                                        0x40052a <+4>:
                                                                         mov
     while (i <= n) {</pre>
                                                                                 $0x0,-0x8(%rbp)
                                                        0x40052d <+7>:
       total += i;
                                                        0x400534 <+14>:
                                                                                 $0x1,-0x4(%rbp)
                                                                         mov
6
                                                                                 0x400547 < sumUp+33>
                                                        0x40053b <+21>:
                                                                         jmp
                                                        0x40053d <+23>:
                                                                                 -0x4(\%rbp),\%eax
                                                                         mov
                                                        0x400540 <+26>:
                                                                                 %eax,-0x8(%rbp)
     return total;
                                                                         add
9
                                                        0x400543 <+29>:
                                                                                 $0x1,-0x4(%rbp)
                                                                         add
10
  }
                                                        0x400547 <+33>:
                                                                                 -0x4(%rbp), %eax
                                                                         mov
                                                        0x40054a <+36>:
                                                                                 -0x14(%rbp),%eax
12
                                                        0x40054d <+39>:
                                                                                 0x40053d < sumUp+23>
                                                                         jle
                                                        0x40054f <+41>:
                                                                                 -0x8(%rbp),%eax
                                                                         mov
                                                        0x400552 <+44>:
                                                                                 %rbp
                                                                         pop
                                                        0x400553 <+45>: retq
16
```

Figure 9: Simple loop function in C and assembly.

Finally, we want to let the reader know the convention of calle and caller saved registers. The compiler tries to pick these registers, and by convention in x86, we have the following.

%rax	Return value - Caller saved	%r8	Argument #5 - Caller saved
%rbx	Callee saved	%r9	Argument #6 - Caller saved
%rcx	Argument #4 - Caller saved	%r10	Caller saved
%rdx	Argument #3 - Caller saved	%r11	Caller Saved
%rsi	Argument #2 - Caller saved	%r12	Callee saved
%rdi	Argument #1 - Caller saved	%r13	Callee saved
%rsp	Stack pointer	%r14	Callee saved
%rbp	Callee saved	%r15	Callee saved

Figure 10: Caller save and callee save registers.

So far, we've traced through simple functions in assembly. In this section, we discuss the interaction between multiple functions in assembly in the context of a larger program. We also introduce some new instructions involved with function management.

#### Definition 5.27 (Leave)

The leave instruction is used to deallocate the current stack frame. For example, the leaved instruction is a shorthand that the compiler uses to restore the stack and frame pointers as it prepares to leave a function. When the callee function finishes execution, leaved ensures that the frame pointer

is restored to its previous value. It is equivalent to the following two instructions:

leaveq

movq %rbp, %rsp
popq %rbp

## Definition 5.28 (Call and Return)

The **call** instruction is used to call a function and the **ret** to return from a function. The callq and retq instructions play a prominent role in the process where one function calls another. Both instructions modify the instruction pointer (register %rip).

1. When the caller function executes the callq instruction, the current value of %rip is saved on the stack to represent the return address, or the program address at which the caller resumes executing once the callee function finishes. The callq instruction also replaces the value of %rip with the address of the callee function.

callq addr <fname>

push %rip
mov addr, %rip

2. The retq instruction restores the value of %rip to the value saved on the stack, ensuring that the program resumes execution at the program address specified in the caller function. Any value returned by the callee is stored in %rax or one of its component registers (e.g., %eax). The retq instruction is usually the last instruction that executes in any function.

retq

pop %rip

Let's work through an example to solidify our knowledge.

## Example 5.13 (Calling Functions in Assembly)

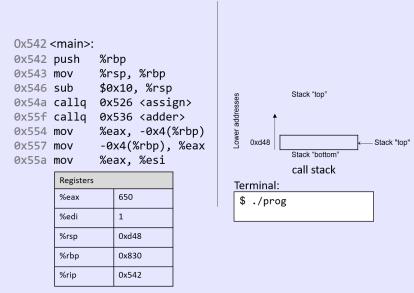
Let's take the following code and trace through main.

```
#include <stdio.h>
                             0000000000400526 <assign>:
                               400526:
                                                                       push
                                                                               %rbp
   int assign(void) {
                               400527:
                                              48 89 e5
                                                                               %rsp,%rbp
                                                                       mov
       int y = 40;
                               40052a:
                                              c7 45 fc 28 00 00 00
                                                                               $0x28,-0x4(%rbp)
                                                                       movl
       return y;
                               400531:
                                              8b 45 fc
                                                                               -0x4(%rbp),%eax
                                                                       mov
   }
                               400534:
6
                                              5d
                                                                       pop
                                                                               %rbp
                               400535:
                                              сЗ
                                                                       retq
   int adder(void) {
       int a;
                             0000000000400536 <adder>:
9
       return a + 2;
                               400536:
                                              55
                                                                       push
                                                                               %rbp
   }
                               400537:
                                              48 89 e5
                                                                               %rsp,%rbp
                                                                       mov
12
                               40053a:
                                              8b 45 fc
                                                                       mov
                                                                               -0x4(%rbp), %eax
                                                                               $0x2, %eax
   int main(void) {
                               40053d:
                                              83 c0 02
                                                                       add
                               400540:
       int x;
                                              5d
                                                                       pop
                                                                               %rbp
       assign();
                               400541:
                                              с3
                                                                       retq
       x = adder();
       printf("x is:
                             0000000000400542 <main>:
       %d\n", x);
                               400542:
                                              55
                                                                       push
                                                                               %rbp
                               400543:
                                              48 89 e5
       return 0;
                                                                       mov
                                                                               %rsp,%rbp
                               400546:
                                              48 83 ec 10
                                                                               $0x10, %rsp
   }
                                                                       sub
                               40054a:
                                             e8 e3 ff ff ff
                                                                       callq
                                                                              400526 <assign>
                               40054f:
                                             e8 d2 ff ff ff
                                                                              400536 <adder>
                                                                       callq
                               400554:
                                              89 45 fc
                                                                               %eax,-0x4(%rbp)
                                                                       mov
                               400557:
                                             8b 45 fc
                                                                       mov
                                                                               -0x4(%rbp), %eax
                               40055a:
                                              89 c6
                                                                       mov
                                                                               %eax,%esi
                               40055c:
                                             bf 04 06 40 00
                                                                       mov
                                                                               $0x400604, %edi
                               400561:
                                              ъ8 00 00 00 00
                                                                       mov
                                                                               $0x0, %eax
                               400566:
                                              e8 95 fe ff ff
                                                                              400400
                                                                       callq
                                 cprintf@plt>
                                              ъ8 00 00 00 00
                                                                               $0x0, %eax
                               40056b:
                                                                       mov
                               400570:
                                              с9
                                                                       leaveq
                               400571:
                                              c3
                                                                       retq
```

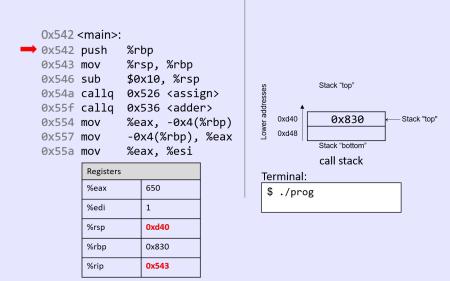
Figure 11: C code and its assembly equivalent. Main function calls two other functions.

Let's trace through what happens here in detail. This will be long.

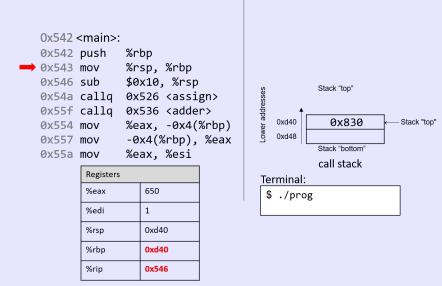
1. %rbp is the base pointer that is initialized to something. Before we even begin main, say that we have the following initializations, where %eax, %edi is garbage. %rsp denotes where on the stack we are right before calling to main, %rbp is the base pointer to the current program, and %rip should be the address of the first instruction in main. Again since we work with integers we use the lower 32-bits of the registers. %rip now points to the next instruction.



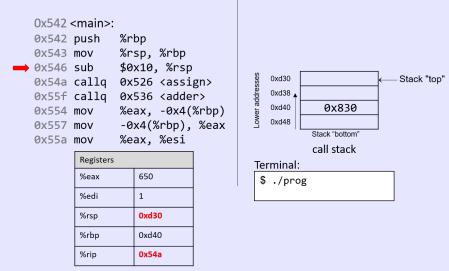
2. Now we start the main function. By calling main, the base pointer **%rbp** of the stack outside of the main frame will be overwritten by the base of the main stack frame, so we must save it for when main is done. Therefore, we push it onto the stack where **%rsp** is pointing. **%rip** now points to the next instruction.



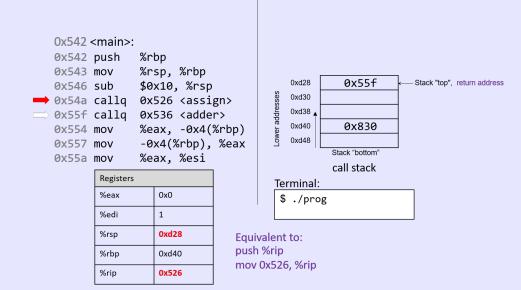
3. Then we actually change the location of the base pointer to the top of the stack, which now includes the first instruction in main.



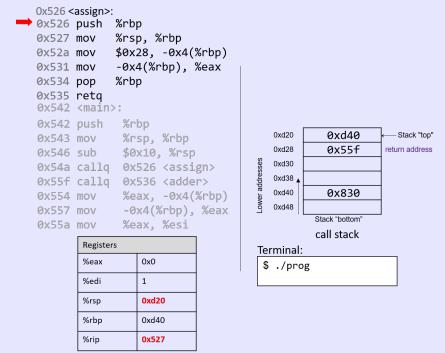
4. Now we manually change the stack pointer and have it grow by two bytes (0x10). Therefore, %rsp is decremented by 0x10 and %rip points to the next instruction at 0x54a.



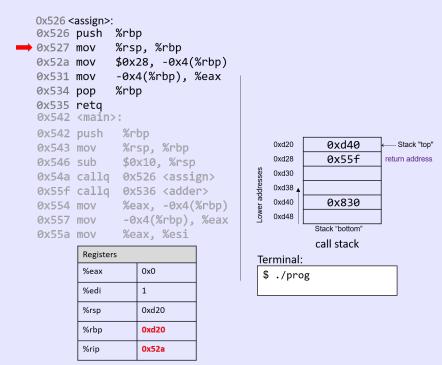
5. Now the next instruction pointed at by %rip is the callq instruction, which tells to go to the address of the assign function. We by default first update %rip to point to the next instruction at 0x55f. However, this should not be the actual next instruction that we execute since we are calling another function. Rather, we want to update %rip to address 0x526 where assign is located at, but after completion we also want to know that we want to execute the instruction after it at address 0x55f. Therefore, we should save address 0x55f onto the stack and then update %rip to point to 0x526. This is what we refer to as a return address.



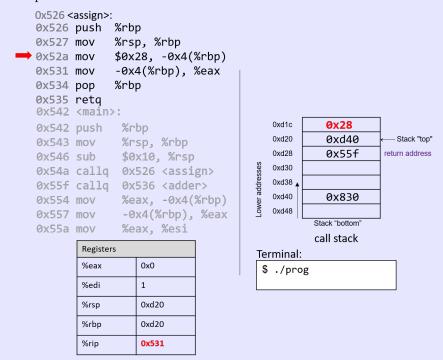
6. %rip is incremented to the next address. We step into the assign function, which is now a new stack frame, so the first thing we do is save the base pointer of the main stack frame onto the stack since we must immediately update it with the base pointer of the assign stack frame, which is where %rsp is pointing to.



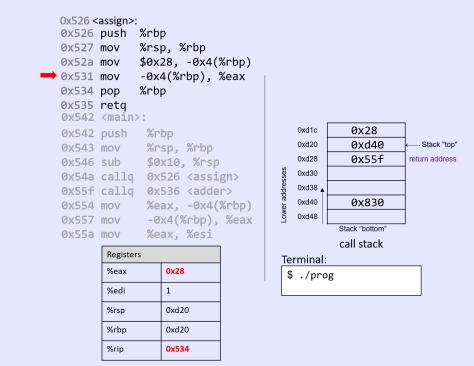
7. %rip is incremented to the next address. We then update the base pointer to the top of the stack.



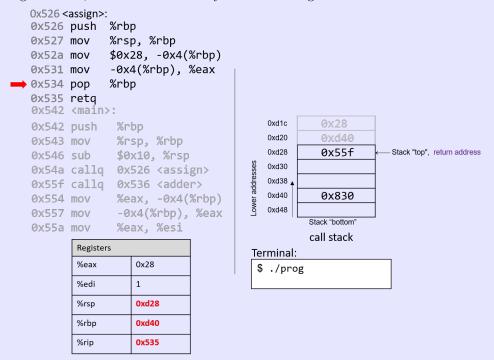
8. Now we want to move the number 0x28 (40) into the memory location -0x4(%rbp) of the stack, which is 4 bytes above the frame pointer, which is also the stack pointer. It is common that the frame pointer is used to reference locations on the stack. Note that this does not update the stack pointer.



9. Now we take the same address where we stored 0x28 to and move it into %eax, effectively loading 40 onto the return value.

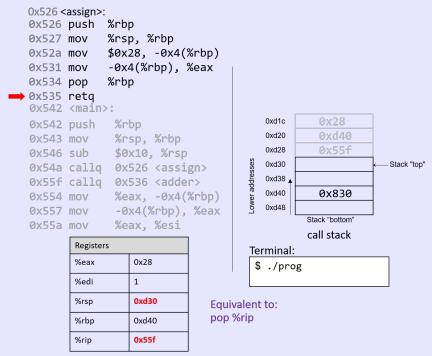


10. We see that we will return this value soon, but before we do, we want to make sure that when the assign stack frame gets deleted (not really, but overwritten), we want to restore the base pointer of the main stack frame. We have already saved this before at "rsp, which hasn't changed since we only worked with displacements from the base pointer. We retrieve the main stack pointer data and load it back into "rbp. Note that this increments "rsp by 8 bytes, shrinking the stack, and we are technically out of the assign stack frame.

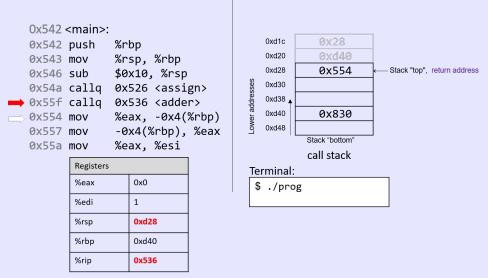


11. Note that at this point, since **%rbp** was popped off, the next value that is at the top of the stack

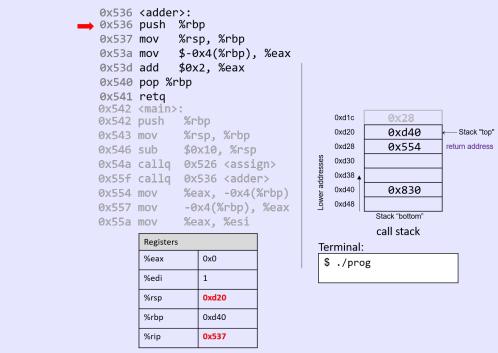
is the address %rip that we store earlier, which points to the next execution in main. When retq executes, this value at the top of the stack is popped into %rip, allowing main to continue executing within the main stack frame. Note that the return value is stored in %eax.



12. Now we execute the next instruction in %rip which is a call to the adder function. %rip is automatically updated to the next address at 0x554, but since this is a callq instruction, we first want to store this %rip into the stack so we can come back to it, and then update %rip to the first instruction in adder, which is address 0x536.



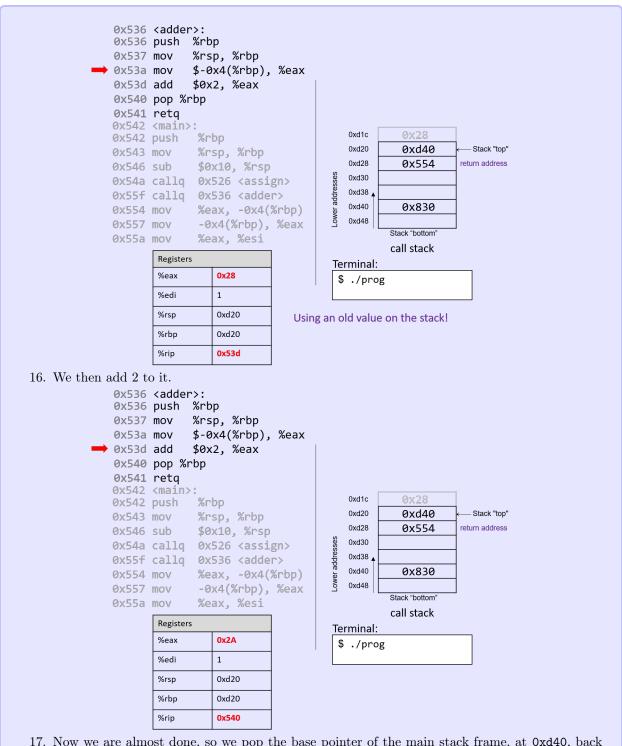
13. Since we are in the adder function, this creates a new stack frame and we must update %rbp. Again, we don't want to overwrite the base pointer of main, so we save it onto the stack by pushing %rbp.



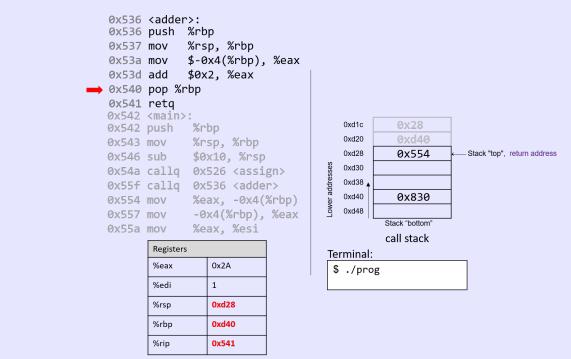
14. Then we update %rbp to the current stack pointer.

```
0x536 <adder>:
0x536 push %rbp
0x537 mov
              %rsp, %rbp
0x53a mov
              $-0x4(%rbp), %eax
0x53d add
              $0x2, %eax
0x540 pop %rbp
0x541 retq
0x542 <main>:
               %rbp
                                          0xd1c
                                                    0x28
0x542 push
                                          0xd20
                                                    0xd40
                                                                 Stack "top"
0x543 mov
               %rsp, %rbp
                                           0xd28
                                                    0x554
                                                               return address
0x546 sub
               $0x10, %rsp
                                          0xd30
                                        addresses
0x54a callq 0x526 <assign>
                                          0xd38
0x55f callq 0x536 <adder>
                                           0xd40
                                                    0x830
0x554 mov
               %eax, -0x4(%rbp)
                                        Lower
                                           0xd48
0x557 mov
               -0x4(%rbp), %eax
                                                  Stack "bottom"
0x55a mov
               %eax, %esi
                                                  call stack
        Registers
                                        Terminal:
        %eax
                   0x0
                                         $ ./prog
        %edi
                   1
        %rsp
                   0xd20
        %rbp
                   0xd20
        %rip
                   0x53a
```

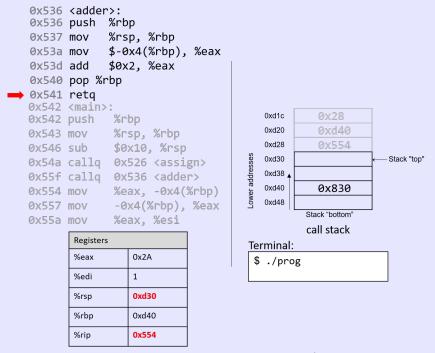
15. This part is a bit tricky. Note that the value of 0x28 still lives at 0xd1c, which is conveniently at address -0x4(%rbp). Therefore, when we call int a; in that corresponding line in adder, we can actually add 2 to it, though it seems like there was no value assigned to it. This is just a trick though. So, we can take these remnant value and store it into %eax.



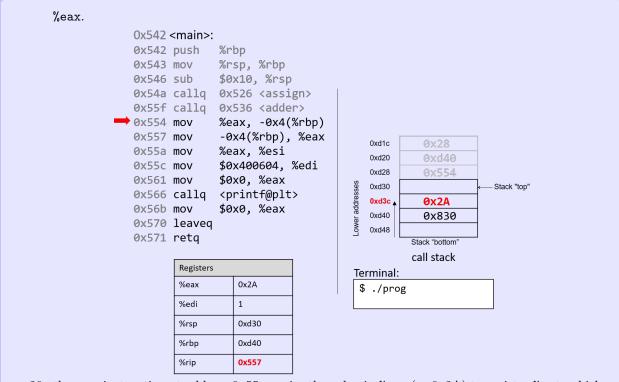
17. Now we are almost done, so we pop the base pointer of the main stack frame, at 0xd40, back into %rbp.



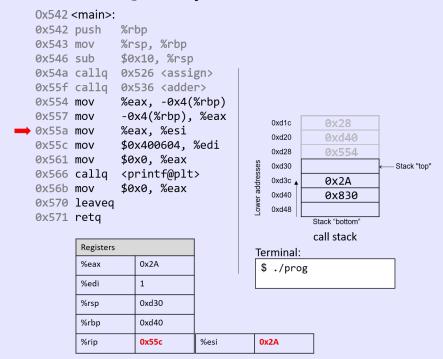
18. We now return the value in <code>%eax</code> and pop the base pointer of the adder stack frame, which simply updates the instruction pointer <code>%rip</code> back to the next instruction in main. This is equivalent to pop <code>%rip</code>, which is equivalent to moving the stack pointer <code>%rsp</code> into <code>%rip</code> and then shrinking the stack by 8 bytes <code>subq \$8, %rsp</code>.



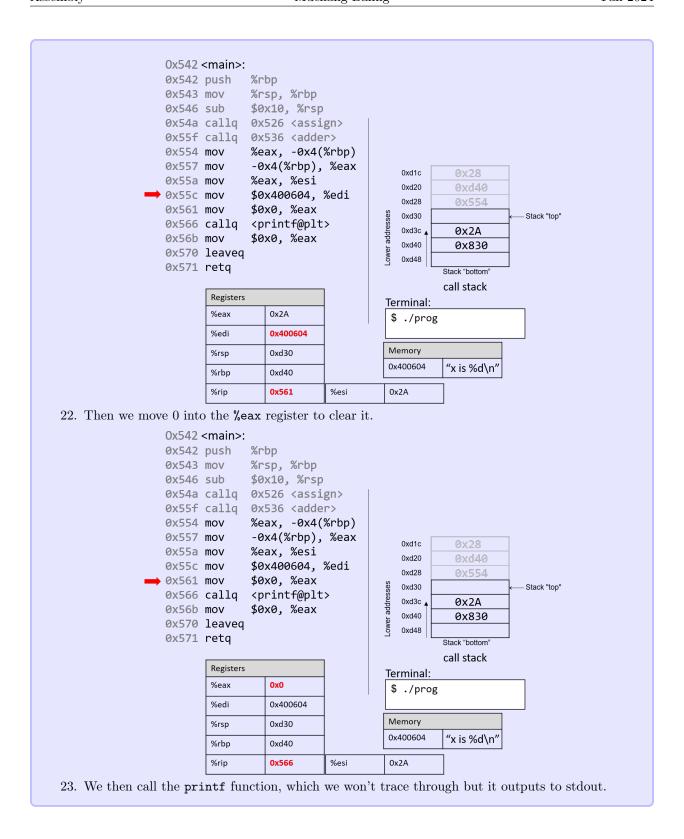
19. Now it is relatively straightforward since we do the rest in main (except for the print statement). The current value in "eax represents the return value of adder. We want to put this in the variable x, which we have already allocated some memory for right above the base pointer in the main stack frame. We move it there. Note that right after, it places this right back into

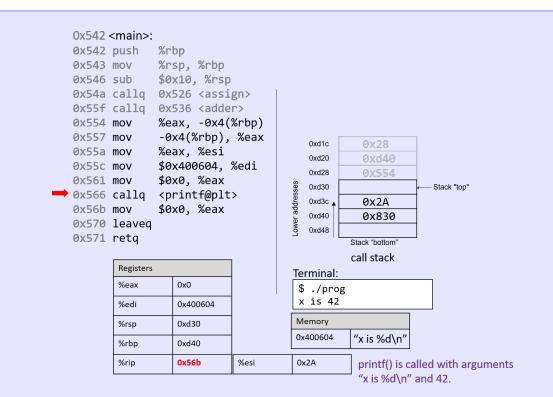


20. the mov instruction at address 0x55a copies the value in %eax (or 0x2A) to register %esi, which is the 32-bit component register associated with %rsi and typically stores the second parameter to a function. We can see why since this will be put into a print statement, which is a function, and x = %esi is the second argument of printf.

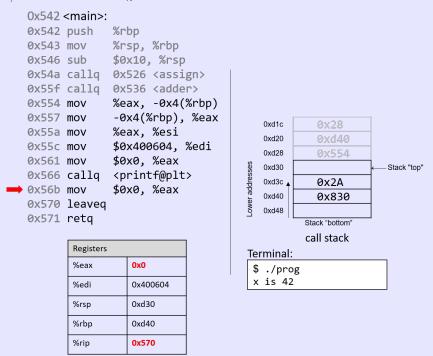


21. Now we want to retrieve the first argument of the print function. The address at \$0x400604 is some address in the code segment memory that holds the string "x is %d\n".

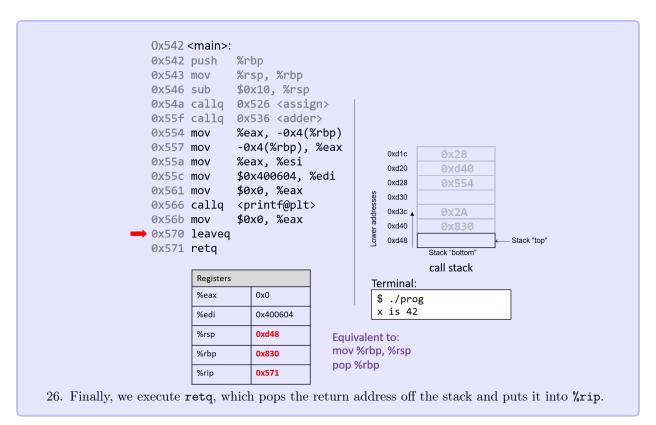




24. The print function might have returned something, but we don't care. We want to main function to return 0, so we move 0 into %eax.



25. Finally we execute leaveq, which prepares the stack for returning from the function call. It essentially moves the base pointer back to the stack pointer and then pops the base pointer off the stack. The new %rbp is the original base pointer of whatever was outside the main function, 0x830.



We have omitted the details of caller and callee saved registers, but they do exist and are important for the general implementations.

For arrays, there's not anything new here. Let's go over some code and follow through it.

```
int sumArray(int *array, int length) {
   int i, total = 0;
   for (i = 0; i < length; i++) {
      total += array[i];
   }
   return total;
   }
}</pre>
```

This function takes the address of an array and the length of it and sums up all the elements in the array.

```
0x400686 <+0>: push %rbp
                                             # save %rbp
  0x400687 <+1>: mov %rsp,%rbp
                                             # update %rbp (new stack frame)
  0x40068a <+4>: mov %rdi,-0x18(%rbp)
                                             # copy array to %rbp-0x18
  0x40068e <+8>: mov %esi,-0x1c(%rbp)
                                             # copy length to %rbp-0x1c
5 \text{ 0x400691} < +11>: \text{ movl } $0x0, -0x4(\%rbp)
                                                # copy 0 to %rbp-0x4 (total)
                   movl $0x0,-0x8(%rbp)
6 0x400698 <+18>:
                                                # copy 0 to %rbp-0x8 (i)
7 0x40069f <+25>: jmp 0x4006be <sumArray+56> # goto <sumArray+56>
8 0x4006a1 <+27>:
                   mov -0x8(%rbp),%eax
                                                # copy i to %eax
9 0x4006a4 <+30>:
                                                # convert i to a 64-bit integer
                   cltq
0x4006a6 <+32>: lea 0x0(,%rax,4),%rdx
                                                # copy i*4 to %rdx
0x4006ae <+40>: mov -0x18(%rbp),%rax
                                                # copy array to %rax
12 0x4006b2 <+44>:
                   add %rdx,%rax
                                                # compute array+i*4, store in %rax
13 0x4006b5 <+47>:
                   mov (%rax),%eax
                                                # copy array[i] to %eax
                    add %eax,-0x4(%rbp)
                                                # add %eax to total
14 0x4006b7 <+49>:
15 0x4006ba <+52>:
                    addl $0x1,-0x8(%rbp)
                                                # add 1 to i (i+=1)
                                                # copy i to %eax
  0x4006be <+56>:
                    mov -0x8(%rbp),%eax
```

```
17  0x4006c1 <+59>: cmp  -0x1c(%rbp), %eax  # compare i to length
18  0x4006c4 <+62>: jl  0x4006a1 <sumArray+27> # if i<length goto <sumArray+27>
19  0x4006c6 <+64>: mov  -0x4(%rbp), %eax  # copy total to %eax
20  0x4006c9 <+67>: pop  %rbp  # prepare to leave the function
21  0x4006ca <+68>: retq  # return total
```

## 6 x86 Control Flow

## 7 RISC-V Data Movement

# 8 RISC-V Arithmetic Operations

## 9 RISC-V Control Flow