# SEQUENCE ALIGNMENT ALGORITHMS

# Algoritmi per l'Allineamento di Sequenze

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Part of ALiBio project http://www.alibio.org/

# **Preface**

#### ALiBio Goal

In a few words the goal of ALiBio is to give libraries and efficient fundamental algorithms to be used when developing applications in the bioinformatics field.

The large amount of biological data available nowadays makes the need of well-implemented efficient algorithm really stringent. Various projects (Open Bioinformatics Foundation) are already giving a number of libraries to the developer community.

The focus of those projects has always been on the ease of use, especially for people coming from the Biology field, and efficiency issues have not been the top priority.

On the other hand ALiBio is targetting the developer community with a strong CS background. ALiBio is suited for developing highly optimized applications where efficiency is paramount.

Two more issues that will receive a lot of attention in this project are testing and documentation. All libraries and algorithms that will be included in ALiBio must have an extensive suite of regression tests and must be clearly documented. In fact we require the use of noweb[10] for developing code to be included in ALiBio.

The advantages of Free Software (cfr.2.1) are well-known. ALiBio is a free library, at the same time it can be used also for developing non-free software. While we encourage the development of free software, we do not require it in order to use ALiBio.

# Sequence Alignment Algorithms

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September 29, 2006

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# Chapter 1

# Introduction

## 1.1 Objective of the stage

The purpose of this stage is to realize an efficient implementation of algorithms for optimal resolution of the Peerwise Sequence Alignment problem which has a great biological interest being a key to finding important regions in the genome, determining its functions and uncovering evolutionary forces. This particular stage is part of the ALiBio project[10] which aim is to provide a collection of useful libraries for the development of bioinformatics programs, with special regard to the efficiency of the implementation.

When I started the stage, the data structures, useful to represent biological information such as sequences of DNA, RNA and proteins, were already implemented. I will base my algorithm implementation upon these data structures.

# 1.2 Sequence Alignment

In detail, I will implement algorithms for global alignment (cfr.2.1) local alignment (cfr.2.1) and semiglobal alignment (cfr.2.1) following the specifications of the project. This algorithms are designed to find the best possible alignment (cfr.2.1) between two given sequences of symbols. Alignment algorithms are used to compare the sequence homology between two protein or DNA sequences. These programs find the best match between the two sequences. Occasionally gaps need to be introduced to make the two sequences align.

Global alignment algorithms attempt to match sequences from end to end, even though parts of the alignment are not very convincing. for example:

```
CATTTAGATT-C
X|| |X|| X
--GTT-GTTTAT
```

On the other hand local alignment algorithms search for segments of the two sequences that match well. Using the same sequences as above, we could get:

```
caTTTAgattc
||||
gttgTTTAt
```

Semiglobal alignments instead try to align a prefix of one of the sequences with a suffix of the other giving

```
CATttagattc
||
gttgttt-AT
```

# 1.3 Specifications of the project

Developing the stage I followed the guide-lines defined by the AliBio project.

#### Literate Programming

The first requisite is to produce the job using a tool for literate programming[9] called noweb[10]. Literate programming is a methodology that combines a programming language with a documentation language, thereby making programs more robust, more portable, more easily maintained, and arguably more fun to write than programs that are written only in a high-level language. The main idea is to treat a program as a piece of literature, addressed to human beings rather than to a computer. The program is also viewed as a hypertext document, rather like the World Wide Web.

#### Languages

As documentation language ALiBio uses the LaTeXwriting system, which produces high quality scientific and mathematical documentation.

ALiBio project is implemented with the C++ programming language which, along with C, is probably the most used by developers of scientific applications all over the world. This choice guarantees a high portability with all the compilers that respect the ISO standard, and therefore a high compatibility of the code with any hardware platform.

#### Coding Conventions

To obtain a sufficient uniformity in the produced code developers should comply with the following conventions:

- Filenames: All in lower case and no spaces
- C++ files suffix: .cpp (programs), .hpp (libraries)

- Code lines length: All code lines must have 80 character at most
- The code: Must be written in lower case, using underscore as word separator
- Variable names: Descriptive names are required for global variables (eg. string name;), short names for local vars (eg. string s;)
- Functions names should be active verbs (eg. get\_seq(), not seq())
- Chunk names must not contain a name between double square brackets
- Printing functions: Generally, the output should not begin with any newline, but should end with a newline.
- Indenting: Four spaces with respect to the block. Four blanks, must be used. tab is not allowed
- Indentations must be used for iterations (while, do), conditional instructions (if), methods and declarations (public, private, protected); Indentations must not be used for code included inside namespaces or classes;
- Block structures: The left brace { must be on the same line as the first instruction of the block, separated by a space; The right brace } must be on a new line, in the same column as the beginning of the block;
- Comments should not be used frequently, and only used for improving code readability. The real documentation must be in the documentation chunks
- Whenever possible, all assertions should be placed between the declarations and the algorithmic sections
- Blank lines should be used for readability reasons
- Namespaces must be specified for all instructions and not-built-in types (eg. std::cout, not cout)
- ALiBio's namespace is alibio. All produced code must be within such namespace
- In order to avoid possible multiple inclusion, all libraries must check inclusions using opportune preprocessor instructions:
- The template construct (along with the type parameters), should be placed on the line before the class definition
- Each member functions implementation should be preceded by the template line too. Typically, when dealing with a complex return value, that too should be placed on a separate line. Moreover, the function name should be preceded by the class name(and type parameters), on the same line and separated by the scope operator.

#### **Programming Paradigms**

Besides exploiting the fundamental characteristics of C++ such as object orientation, the project also embraces the concept of Generic Programming as offered by the Standard Template Library[12] that widely used all over the project. Generic programming is a datatype-independent way of programming computers. This means that the same source code can be used regardless of the datatype the code will be instantiated with or passed as parameters. For example the type vector of the STL allows to define a vector of elements, whose type can be freely chosen at compile time, and at the same time it offers a whole set of efficient functions for its management. This fact guarantees a high flexibility using the libraries.

#### Useful libraries

To develop the project another important library has been used: the Boost Library[13] which offers a series of optimized tools for managing data structures as multidimensional matrices, lists, graphs and trees but also mathematical functions, input/output functions and many more.

#### Licence

From a legal and distributive point of view, ALiBio adopts a free software licence named LGPL, making the code and the documentation accessible to everyone.

External developers who want to contribute to ALiBio are wellcome, anyway to maintain the necessary uniformity of the final work, everyone should follow the project specifications and the chosen conventions for code and documentation writing

Anyone can freely include the AliBio libraries inside its own program or freely redistributes the libraries under the terms of the GNU Lesser General Public License.

# 1.4 Phases of development

#### Learning the tools

Before being able to proceed with the coding phase, I dedicated a period of time studying the necessary tools for the realization of the project. All the software used (noweb, LATEX, Gnu C++ Compiler, CVS, GAWK) is freely available for the Linux operating system, so I had the opportunity to deepen my knowledge of Linux as a development platform and of the GNU development toolchain, in particular the Make program.

Although I already knew LaTeX[14], I was completely new to noweb[10] and the literate programming in general. I also needed to improve my knownledge about the CVS[15] versioning system.

Concerning the programming part of the work, I already had some C++ knowledge but I had to study the principles on which the Standard Library has been developed in particular the Templates mechanism.

In my work I used some functions taken form the Boost Library[13] so I had to study their on-line documentation.

I also studied the parts of ALiBio that had been already realized, In this way I learned not only how to use the library I needed but also the peculiar coding and documenting style adopted in the project.

#### Study of the algorithms

Once learned the necessary tools for the realization of the project, I went on studying the algorithms that I had to implement using the original articles written by their authors and a lot of other informations found on the World Wide Web.

#### Algorithm implementation

To implement the alignment algorithms, I needed to implement a specific class named  $\langle score\_matrix \, 8 \rangle$  to contain the scoring schema needed for the alignment calculation. The object instantiated from this class will contain a value for each possible couple of elements of the used alphabet in a bidimensional matrix and the costs for inserting and extending gaps in the alignment. The class also implements the needed functions to set or modify these values.

The alignment class  $\langle seq\_alignment\ 25\rangle$  contains the functions that implement the alignment algorithms and a function to print the calculated alignment. An alignment object is constructed from a  $\langle score\_matrix\ 8\rangle$  object and two sequences in various possible formats, the alignment functions (which are the heart of the algorithm) actually calculate the alignment and prepare the output lines that will be printed by  $\langle print\_alignment\ 34\rangle$ .

#### Realization of example programs

To facilitate the job of the developers who want to use the library, I wrote an example program which show how it is possible to include and to exploit the functionalities of the library in their programs. The program shows how to create the needed  $\langle score\_matrix~8 \rangle$  object and modify its scoring system, then we show how to instantiate a  $\langle seq\_alignment~25 \rangle$  object with two arbitrary sequences and calculate their different alignments. The program also show how to print the obtained result on standard output.

#### Testing

In the project a lot of importance is given to the tests phase. Once the code has been written, it's necessary to write some special tests able to verify its correctness. The adopted technique

is the automatic regression tests that allows to compare the actual output of the test with the expected output or the output of a previous version of the implementation. The project adopts some conventions to write these tests. The purpose is to check the input and the output attended for every single test and to automate their execution accomplished by a dedicated script. Respecting these conventions, the program is written to develop the tests on every single element of the library. The tests are performed in a particular order trying to avoid, as far as possible, the test of a function that calls a function not yet tested.

In my studies, two test programs have been implemented: one for testing the correctness and functionalities of the  $\langle score\_matrix \ 8 \rangle$ , the other one to verify the correct execution of the  $\langle seq\_alignment \ 25 \rangle$  (which implements the alignment algorithms). The files used as input of the script which executes the test programs contain the input for each test and the expected output. This will be compared with the actual output by the script which establishes the success rather than the failure of the execution.

The implemented algorithms make widely use of low-level access to memory, C++ doesn't guarantee from memory leaks so we used a specific tool to detect eventual improper memory allocation and access.

To ensure the correctness of the algorithms over a large set of inputs I developed a randomized test that calls the alignment functions over random sequences and verifies the output. These test revealed a series of error in the implementation that weren't visible previously.

The testing phase of the work took a great amount of time, comparable to the actual development phase.

#### Analysing performances

Other libraries already exist to solve the peerwise alignment problem so we could test our implementations against EMBOSS[16] (one of the most widely used) to compare results and performances.

#### Writing the documentation

The documentation of the job (written in English) is constituted by:

- 1. an introductory part that describes the global project,
- 2. a section containing the necessary definitions for a better understanding of the code,
- 3. a wide description of the code to explain its functionality,
- 4. the description of the example programs and the tests,
- 5. the description of the performance analysis carried out
- 6. the synopsis tables containing, for each function, a brief description, the necessary prerequisites and the effect of its execution.

# Chapter 2

# Sequence alignment algorithms

#### 2.1 Definitions

#### alphabet

An alphabet  $\Sigma$  is a non-empty finite set of characters.

#### sequence

A sequence is a list of elements of a given alphabet arranged in a "linear" fashion, such that the order of the members is well defined and significant.

#### alignment

A one-to-one matching of two sequences so that each character in a pair of sequences is associated with a single character of the other sequence or with a gap.

#### alignment score

A numerical value that describes the overall quality of an alignment. Higher numbers correspond to higher similarity.

#### match

In sequence alignment, the existence of the same character in a homologous position in both sequences.

2.1 Definitions

#### mismatch

In sequence alignment, the existence of different characters in a homologous position in the two sequences.

#### gap

An insertion or a deletion. In sequence alignment, a pair containing a special null character "-".

#### gap opening penalty

The length-independent cost of opening a gap in a sequence alignment.

#### gap extension penalty

The cost of extending by one character a pre-existing gap in a sequence alignment.

#### linear gap penalty

A scoring system for gaps within alignments that charges a penalty for the existence of a gap proportional to the gap's length.

#### affine gap penalty

A scoring system for gaps within alignments that charges a penalty for the existence of a gap and additional penalty proportional to the gap's length.

#### global alignment

An optimal alignment that includes all characters from each sequence but may miss short regions of high local similarity.

Global alignments are most useful for closely related sequences of known homology.

#### local alignment

An optimal alignment that includes the most similar local region but may include only short portions of the sequences that were used to calculate the alignment.

Local alignments are especially useful for distantly related sequences.

#### semiglobal alignment

An optimal alignment that includes a prefix of one of the two sequences and a suffix of the other, in other words a global alignment where initial end terminal gaps are free.

#### Free software

Free software is a matter of the users' freedom to run, copy, distribute, study, change and improve the software. More precisely, it refers to four kinds of freedom, for the users of the software:

- 1. The freedom to run the program, for any purpose (freedom 0).
- 2. The freedom to study how the program works, and adapt it to your needs (freedom 1). Access to the source code is a precondition for this.
- 3. The freedom to redistribute copies so you can help your neighbor (freedom 2).
- 4. The freedom to improve the program, and release your improvements to the public, so that the whole community benefits (freedom 3). Access to the source code is a precondition for this.

A program is free software if users have all of these freedoms. Thus, you should be free to redistribute copies, either with or without modifications, either gratis or charging a fee for distribution, to anyone anywhere. Being free to do these things means (among other things) that you do not have to ask or pay for permission.

You should also have the freedom to make modifications and use them privately in your own work or play, without even mentioning that they exist. If you do publish your changes, you should not be required to notify anyone in particular, or in any particular way.

More info at http://www.gnu.org/.

#### 2.2 Problem formalization

The Sequence Alignment Problem[1] can be formalized as follows: Let  $\Sigma$  be a k-letter alphabet, and let A and B be two sequences over  $\Sigma$ . An alignment of strings  $A = a_0, \ldots, a_{m-1}$  and  $B = b_0, \ldots, b_{m-1}$  is a  $2 \times l$  matrix  $M^0$  ( $l \geq n, m$ ), such that the first (second) row of  $M^0$  contains the characters of A (B) in order interspersed with l - n (l - m) spaces. We assume that no column of the alignment matrix contain two spaces.

For every pair of symbols  $(a_i, b_j)$  from  $\Sigma$  is defined a similarity score, the score of the alignment is defined as the sum of the scores of its columns.

The Sequence Alignment problem is to find the alignment of sequences A and B of maximal score.

# 2.3 Classic algorithms

In 1970 Saul Needleman and Christian Wunsch proposed[3] an algorithm for solving the SA problem which used a technique called Dynamic Programming and guaranties to find the global alignment (cfr.2.1) with the maximum score.

In 1981 Temple Smith and Michael Waterman proposed[4] a modifications of the original algorithm to find the optimal local alignment (cfr.2.1).

These algorithms are quadratic in time and space complexity and are the fundamental of all the other algorithms we will discuss in this paper.

#### 2.3.1 Dynamic Programming

Dynamic Programming[2] was invented by the mathematician Richard Bellman in 1953, it is a method for reducing the runtime of algorithms exhibiting the properties of overlapping subproblems and optimal substructure where overlapping subproblems means that the problem can be broken down into subproblems which are reused several times and optimal substructure means that optimal solutions of subproblems can be used to find the optimal solutions of the overall problem.

#### 2.3.2 Needleman-Wunsch algorithm

Every possible combination of chars in two sequences are represented in a 2dimensional array  $M^0$  with an extra row and column added to allow the alignment to begin with a gap of any length in either sequence.

$M^0$	-	$b_0$	 $b_{j-1}$	$b_{j}$	 $b_{n-1}$
_	$M_{0,0}^0$	$M_{0,1}^0$	 $M_{0,j}^{0}$	$M_{0,j+1}^0$	 $M_{0,n}^{0}$
$a_0$	$M_{1,0}^{0}$				
:	:				
$a_{i-1}$	$M_{i,0}^{0}$		$M_{i,j}^0$	$M_{i,j+1}^0$	
$a_i$	$M_{i+1,0}^{0}$		$M_{i+1,j}^0$	$M_{i+1,j+1}^0$	
:	:				
$a_{m-1}$	$M_{m,0}^{0}$				$M_{m,n}^0$

Scores are filled in for each square, starting from  $M_{1,1}^0$ , searching for the maximum possible value using the recurrence below in which  $M_{i+1,j+1}^0$  is the score of an optimal alignment between  $A_i = a_0, \ldots, a_i$  and  $B_j = b_0, \ldots, b_j$ 

$$M_{i+1,j+1}^{0} = \max \begin{cases} M_{i,j+1}^{0} + score(a_{i}, -) \\ M_{i+1,j}^{0} + score(-, b_{j}) \\ M_{i,j}^{0} + score(a_{i}, b_{j}) \end{cases}$$

The first raw and column are initialized with the score of an initial gap, the optimal alignment score can be read in  $M_{m,n}^0$ 

#### 2.3.3 Smith-Waterman algorithm

The local alignment problem correspond to finding the best global alignment between all of the substrings of A and B. An efficient way to achieve this is non-considering alignments with negative scores, this is the modified recurrence:

$$M_{i+1,j+1}^{0} = \max \begin{cases} 0 \\ M_{i,j+1}^{0} + score(a_{i}, -) \\ M_{i+1,j}^{0} + score(-, b_{j}) \\ M_{i,j}^{0} + score(a_{i}, b_{j}) \end{cases}$$

The largest value found all over  $M^0$  represents the score of the best local alignment between A and B.

## 2.4 Affine gap penalties

Mutations are usually manifestations of errors in DNA replications. Nature frequently deletes or inserts entire substrings as a unit, as opposite to deleting or inserting individual nucleotides.

It is natural to assume that the score of a gap consisting of x spaces is not just the sum of scores of x spaces. In the Affine gap penalties scheme, the score for a gap of length x is -(g + xe), where g > 0 is the penalty for the introduction of the gap and e > 0 is the penalty for adding a symbol to the gap.

To calculate affine gap penalties we need two more matrix:  $M^1$  for keeping the best alignment ending with a gap in A and  $M^2$  for the best alignment ending with a gap in B, we calculate the scores using the following three recursions:

$$M_{i+1,j+1}^{1} = \max \begin{cases} M_{i,j+1}^{1} - g \\ M_{i,j+1}^{0} - e \end{cases}$$

$$M_{i+1,j+1}^{2} = \max \begin{cases} M_{i+1,j}^{0} - g \\ M_{i+1,j}^{0} - e \end{cases}$$

$$M_{i+1,j+1}^{0} = \max \begin{cases} M_{i,j}^{0} + score(a_{i}, b_{j}) \\ M_{i+1,j+1}^{1} \\ M_{i+1,j+1}^{2} \end{cases}$$

## 2.5 Linear space alignment

When computing optimal sequence alignments time is not the only limiting factor, the algorithms above use a 2dimensional matrix leading to a space complexity O(mn). Aligning genomes of different organisms, each 1 billion pairs long, would far exceed the RAM in current computers.

In 1988 Eugene W. Myers and Webb Miller published an article[5] that showed how to use a method proposed[6] by Hirshberg in 1975 to develop a linear-space version of the Alignment algorithm with affine gap penalties.

#### 2.5.1 Linear space cost-only alignment

It's easy to compute  $M_{m,n}^0$  in linear space because only the scores from the previous row of the matrix are needed therefore the alignment scores in the rows before i can be discarded while computing alignment scores for row i+1. This observation leads to an algorithm for a cost-only alignment with a space complexity O(n).

#### 2.5.2 Track back the alignment

Finding the actual alignment requires backtracking through the entire matrix after all of the rows and columns have been filled. To compute both the optimal score and alignment, one option would be to use a divide et impera approach[2].

Let's call  $A^{rev}$  and  $B^{rev}$  the reverse of A and B.  $M_{i,j}^{0rev}$  is the optimal score of aligning  $A_i^{rev} = a_{m-1}, \ldots, a_i$  and  $B_j^{rev} = b_{m-1}, \ldots, b_j$ .

As explained above the values of  $M_{i,j}^{0rev}$  can be computed in time O(mn) and space O(n).

We can prove true the following equivalence:

$$M_{m,n}^0 = \max_{k=0...n} M_{\frac{m}{2},k}^0 + M_{\frac{m}{2},n-k}^{0rev}$$

It states that the middle point of A has to align to some point in B, k is the optimal breakpoint in B obtained by maximizing over all possible ways of bisecting the sequence B aligning the first part with A until the middle point and the second part with A after the middle point.

-			-	
$M^0$	-	$b_0$	 $b_{k-1}$	 $b_{n-1}$
-	$M_{0,0}^0$			
$a_0$				
•				
$a_{\frac{m}{2}-1}$			$M^0_{\frac{m}{2},k}$	
:				
$\begin{vmatrix} a_{m-1} \end{vmatrix}$				$M_{m,n}^0$

The square  $M_{\frac{m}{2},k}^0$  divides the matrix into four parts; the same algorithms is called recursively over the submatrices  $[M_{0,0}^0, M_{\frac{m}{2},k}^0]$  and  $[M_{\frac{m}{2},k}^0, M_{m,n}^0]$ . Keeping track of the values assumed by k, one can determine also the actual alignment in linear space.

## 2.5.3 Time and space analysis of the algorithm

To compute the middle point of an alignment between to sequences of size m an n:

- Space: 2n
- Time:  $c \times mn$  for some constant c

Then , left and right calls cost  $c\times(\frac{m}{2}\times k+\frac{m}{2}\times(n-k))=\frac{c\times mn}{2}$ 

All recursive calls cost:

- Space: O(n+m) to store the optimal alignment
- Time:  $c \times mn + \frac{c \times mn}{2} + \frac{c \times mn}{4} + \ldots = 2c \times mn$  that is O(mn)

# Chapter 3

# Implementation

# 3.1 Library structure

To implement sequence alignment algorithms we decided to divide the work in two different classes:

- 1.  $\langle score\_matrix \, 8 \rangle$  class implementing a scoring system.
- 2.  $\langle seq\_alignment\ 25 \rangle$  class implementing the alignment algorithms.

```
Here is the file main structure:  \langle two\_sequence.hpp \ 1 \rangle \equiv \\ \langle licence \ 2 \rangle \\ \text{#ifndef ALIBIO\_TWO\_SEQUENCE\_INCLUDED} \\ \text{#define ALIBIO\_TWO\_SEQUENCE\_INCLUDED} \\ \langle include \ 3 \rangle \\ \langle namespace \ 6 \rangle \\ \{ \\ \langle score\_matrix \ 8 \rangle \\ \langle seq\_alignment \ 25 \rangle \\ \} \\ \text{#endif //ALIBIO\_TWO\_SEQUENCE\_INCLUDED}
```

Root chunk (not used in this document).

3.2 The licence

#### 3.2 The licence

2

As stated before, ALiBio project is published under a free software licence named LGPL, the program begins with the opportune references

```
\langle licence 2 \rangle \equiv
 //ALiBio: Algorithms Library for Bioinformatics
 //Copyright (C) 2002-2006:
 //Gianluca Della Vedova, Riccardo Dondi, Luca Fossati,
 //Lorenzo Mariani, Francesco Rossi, Mauro Baluda.
 //This library is free software; you can redistribute it and/or
 //modify it under the terms of the GNU Lesser General Public
 //License as published by the Free Software Foundation; either
 //version 2.1 of the License, or (at your option) any later version.
 //This library is distributed in the hope that it will be useful,
 //but WITHOUT ANY WARRANTY; without even the implied warranty of
 //MERCHANTABILITY or FITNESS FOR A PARTICULAR PURPOSE. See the GNU
 //Lesser General Public License for more details.
 //You should have received a copy of the GNU Lesser General Public
 //License along with this library; if not, write to the Free Software
 //Foundation, Inc., 59 Temple Place, Suite 330, Boston, MA 02111-1307 USA
 //http://bioinformatics.org/ALiBio
 //Lab. Bioinformatica
 //DISCo, Univ. Milano-Bicocca
 //via Bicocca degli Arcimboldi 8
 //20126 Milano (Italy)
```

This code is used in chunks 1, 49, 61, 76, 78, 83, and 91–93.

#### 3.3 Included libraries

For our implementation we used a lot of external libraries: Let's begin with libraries from the standard c++

```
3  ⟨include 3⟩≡
    #include <stdlib.h>
    #include <assert.h>
    #include <iostream>
    #include <new>
This definition is continued in chunks 4 and 5.
This code is used in chunk 1.
```

We also needeed some data structures that was already implemented as parts of the ALiBio project to represent biological structures:

```
4 \(\langle include 3\rangle +\equiv \\ \text{#include \( \alibio \) sequence.hpp \\\ \text{#include \( \alibio \) bio_string.hpp \\\ \text{#include \( \alibio \) empty.hpp \\\ \text{This code is used in chunk 1.}
```

From the boost project we used the Boost.MultiArray library which provides a generic N-dimensional array concept definition and common implementations of that interface.

We will use the namespace std

```
6 ⟨namespace 6⟩≡
using namespace std;
This definition is continued in chunk 7.
This code is used in chunk 1.
```

Every element of this library belongs to the namespace alibio.

```
7 \langle namespace 6 \rangle + \equiv namespace alibio
This code is used in chunk 1.
```

# Let's separate class interface from implementation

The score\_matrix class

```
8 \langle score\_matrix \ 8 \rangle \equiv \langle score\_matrix \ interface \ 9 \rangle \langle score\_matrix \ implementation \ 13 \rangle This code is used in chunk 1.
```

#### 3.4.1 score\_matrix interface

Here is the declaration of the score matrix class: it's a template class in which the parameter T is the type of the costs: we could use a small type (ex. short) to save memory or a floating point type (ex. double) if we need decimal positions. The default type for T has been set to float because of its flexibility

```
9 ⟨score_matrix interface 9⟩≡
template ⟨class T=float⟩
class score_matrix{
private:
⟨private variables of score_matrix 10⟩
⟨private functions of score_matrix 11⟩
public:
⟨functions exported by score_matrix 12⟩
};
This code is used in chunk 8.
```

#### Private variables of score\_matrix

The  $\langle score\_matrix \ 8 \rangle$  class relies on the boost::multi\_array for representing the actual matrix data, the alphabet is memorized in a vector<char> object according to the alibio::alphabet implementation. It also keeps an int for the alphabet size.

#### Private functions of score\_matrix

The  $\langle score\_matrix \ 8 \rangle$  class stores the alphabet in a vector, a recursive private function is needed to find a char's position in logaritmic time.

```
11 ⟨private functions of score_matrix 11⟩≡
int find_char_pos(char letter, int low, int high) const;
This code is used in chunk 9.
```

#### Functions exported by score\_matrix

The  $\langle score\_matrix \, 8 \rangle$  class provides several functions which offer a useful interface to the internal data structures. The following is a brief description of each function:

- \(\score\_matrix \) constructor 14\): Creates a score matrix for the given alphabet: default scores are 1 for matching and -1 for gaps and substitution of a symbol.
- (score\_matrix destructor 15): frees memory after a deallocation.
- \(\set\_\) score 17\(\rightarrow\): Sets the score of switching between two given chars.
- \(\langle get\_score \) 18\): Returns the score of switching between two given chars.
- $\langle set\_match\_score \ 19 \rangle$ : Sets the score of symbol matches.
- \(\set\_mismatch\_score\) 20\(\rightarrow\): Sets the score of symbol mismatches.
- $\langle set\_gap\_cost \ 21 \rangle$ : Sets the cost of inserting and extending a gap.
- $\langle get\_gap\_cost \ 22 \rangle$ : Returns the cost of inserting a gap.
- $\langle get\_extend\_gap\_cost \ 23 \rangle$ : Returns the cost of extending a gap.
- (print 24): Prints on the standard output the score matrix.

```
\( \text{functions exported by score_matrix } 12 \) \=
\( \text{score_matrix}(\const alibio::alphabet& alph); \)
\( \text{score_matrix}(); \)
\( \text{void set_score}(\char a, \char b, T \text{val}); \)
\( T \text{ get_score}(\char a, \char b) \const; \)
\( \text{void set_match_score}(T \text{val}); \)
\( \text{void set_mismatch_score}(T \text{val}); \)
\( \text{void set_gap_cost}(T \text{val}); \)
\( \text{void set_gap_cost}(T \text{val}, T \text_val); \)
\( T \text{ get_gap_cost}() \const; \)
\( T \text{ get_extend_gap_cost}() \const; \)
\( \text{void print}() \const; \)
```

This code is used in chunk 9.

12

## 3.4.2 score\_matrix implementation

Here is the implementation of the declared functions:

```
 \langle score\_matrix \ implementation \ 13 \rangle \equiv \\ \langle score\_matrix \ constructor \ 14 \rangle \\ \langle score\_matrix \ destructor \ 15 \rangle \\ \langle find\_char\_pos \ 16 \rangle \\ \langle set\_score \ 17 \rangle \\ \langle get\_score \ 18 \rangle \\ \langle set\_match\_score \ 19 \rangle \\ \langle set\_match\_score \ 20 \rangle \\ \langle set\_gap\_cost \ 21 \rangle \\ \langle get\_gap\_cost \ 22 \rangle \\ \langle get\_extend\_gap\_cost \ 23 \rangle \\ \langle print \ 24 \rangle  This code is used in chunk 8.
```

#### Constructors implementation

The constructor implementation has an alibio::alphabet object as parmeter. It adds the symbol - to the given alphabet for representing a gap in the aligned sequence and then creates the  $\langle score\_matrix\ 8 \rangle$  object using the boost::multi\_array class. The size of the matrix is taken from the alphabet size and is kept in the lnt variable. Finally it populates the matrix with some default values: 1 for matching, -1 for symbol switching. Gap cost is initialized to 1.  $\langle score\_matrix\ constructor\ 14 \rangle \equiv$ 

```
template <class T>
score_matrix<T>::score_matrix(const alibio::alphabet &alph){
    vector<alibio::symbol> symbols=alph.get_all_symbols();
    lnt=symbols.size();
    alphabet.reserve(lnt);
   vector<alibio::symbol>::iterator It;
    for(It=symbols.begin(); It!=symbols.end(); It++)
        alphabet.push_back((*It).get_id());
   try{
        data=new boost::multi_array< T, 2>(boost::extents[lnt][lnt]);
    }
    catch(const bad_alloc& x){
        cerr<< "Out of memory in score_matrix(alphabet alph): "<<x.what()<<"\n";</pre>
        abort();
    }
    //we sort the vector for fast (logaritmic) searching
    sort(alphabet.begin(),alphabet.end());
    vector<char>::iterator It1, It2;
    for (It1=alphabet.begin(); It1!=alphabet.end(); It1++)
        for (It2=alphabet.begin(); It2!=alphabet.end(); It2++)
            if(It1==It2)
                this->set_score(*It1,*It2,(T)1); //default score for match
            else
```

this->set\_score(\*It1,\*It2,(T)-1); //default score for mismatch

This code is used in chunk 13.

gap\_cost=(T)1; //default cost for gap opening

extend\_gap\_cost=(T)1; //default cost for gap extending

#### **Destructor** implementation

```
It frees the memory allocated by the constructor

15 ⟨score_matrix destructor 15⟩≡
template <class T>
score_matrix<T>::~score_matrix(){
delete data;
}
This code is used in chunk 13.
```

## Finding a char

16

A function for finding a char in the alphabet with logaritmic cost.

We could get a constant cost with an hash table but alphabets are normally small and calculating the hashes would take long...

```
\( \find_char_pos 16 \) \equiv \text{template <class T>} \\
int score_matrix<T>::find_char_pos(char letter, int low, int high) const{
   if (high < low) return -1;

   int mid = (high + low) / 2;

   if (alphabet[mid] == letter) return mid;

   if (alphabet[mid] > letter) {
      return find_char_pos (letter, low, mid-1);
   } else {
      return find_char_pos (letter, mid+1, high);
   }
}
```

This code is used in chunk 13.

#### Setting the score

17

18

This function permits to set the score of an alignment beetween the chars a and b to the value val which are its parameters, if the chars don't belong to the alphabet, the function aborts.

```
\langle set\_score \ 17 \rangle \equiv
  //abort if doesn't find the given char
  template <class T>
  void score_matrix<T>::set_score(char a, char b, T val){
      int x=find_char_pos(a, 0, lnt);
      int y=find_char_pos(b, 0, lnt);
      if(x==-1){
           cerr << "Error: char "<< a<<" not present in the alphabet";</pre>
           abort();
      }
      if(y==-1){
           cerr << "Error: char "<<b <<" not present in the alphabet";</pre>
           abort();
      }
      (*data)[x][y]=val;
      return;
This code is used in chunk 13.
```

#### Getting the score

This function returns the score of alignment between the chars a and b passed as parameters, if the chars don't belong to the alphabet, the function aborts.

```
//abort if doesn't find the given char
template <class T>
T score_matrix<T>::get_score(char a, char b) const{
   int x=find_char_pos(a, 0, lnt);
   int y=find_char_pos(b, 0, lnt);

   if(x==-1){
        cerr << "Error: char "<< a<<" not present in the alphabet";
        abort();
   }
   if(y==-1){
        cerr << "Error: char "<<b <<" not present in the alphabet";
        abort();
   }
   return (*data)[x][y];
}</pre>
```

This code is used in chunk 13.

20

#### Setting score for matching

This function permits to set the score of an alignment beetween any two identical chars to a desired value val.

#### Setting score for mismatching

This function permits to set the score of an alignment beetween any two different chars to a desired value val.

This code is used in chunk 13.

This code is used in chunk 13.

### Setting the cost for opening and extending gaps

These functions permit to set the cost for opening and extending a gap in the alignment, if no extending gap cost is passed as parameter the opening gap cost will be used.

## Getting the cost for opening and extending gaps

```
22  \( \langle get_gap_cost 22 \rangle \)
    template <class T>
        T score_matrix<T>::get_gap_cost() const{
            return gap_cost;
        }
        This code is used in chunk 13.
23  \( \langle get_extend_gap_cost 23 \rangle \)
        template <class T>
        T score_matrix<T>::get_extend_gap_cost() const{
            return extend_gap_cost;
        }
        This code is used in chunk 13.
```

#### Printing the score matrix

24

A function that prints on the standard output a visualizations of the alphabet used, of the score matrix and gap costs

```
\langle print 24 \rangle \equiv
  template <class T>
  void score_matrix<T>::print() const{
       //printing the score matrice
      int m=lnt;
      cout << "\t";
      for (int j=0; j< m; j++){
           cout<< alphabet[j] << "\t";</pre>
      } cout << "\n";</pre>
      for (int i=0; i< m; i++){
           cout << alphabet[i] << "\t";</pre>
           for (int j=0; j< m; j++){
                cout << (*data)[i][j] << "\t";</pre>
           cout << "\n";
      }
       cout << "gap cost=" << gap_cost << "\n";</pre>
       cout << "gap extension cost=" << extend_gap_cost << "\n";</pre>
  }//end print()
```

This code is used in chunk 13.

# 3.5 Sequence alignment class

We need to separate class interface from implementation

```
\langle seq\_alignment \ 25 \rangle \equiv
\langle seq\_alignment \ interface \ 26 \rangle
\langle seq\_alignment \ implementation \ 30 \rangle
This code is used in chunk 1.
```

25

26

# 3.5.1 seq\_alignment interface

Here is the declaration of the seq\_alignment class: it's a template class in which the parameter T is the type of the costs: default value is float.

```
⟨seq_alignment interface 26⟩≡
template <class T=float>
class seq_alignment{
    private:
    ⟨private variables of seq_alignment 27⟩
    ⟨private functions of seq_alignment 28⟩
    public:
    ⟨functions exported by seq_alignment 29⟩
};
```

This code is used in chunk 25.

28

#### Private variables of seq\_alignment

The  $\langle seq\_alignment \ 25 \rangle$  class uses the boost::multi\_array class for storing the matrix needed for its calculations

```
\langle \textit{private variables of seq\_alignment 27} \rangle \equiv
      //the score matrix
      const score_matrix<T> *c_matrix;
      //gap creation ad extention costs;
      T gap_cost, extend_gap_cost;
      //the sequences
      const vector<char> *A, *B;
      //sequence lengths
      int m, n;
      //Matrix for quadratic-space alignments
      boost::multi_array<T, 2> *N;
      //Matrix for affine-gap alignments
      boost::multi_array<T, 3> *M;
      //Second vectors for linear-space alignments
      boost::multi_array<T, 3> *M1;
      //start and end of the alignment
      int min_m, min_n, max_m, max_n; //inizialized by constructors
      //score of the alignment
      T max_score;
      //buffers for memorizing the alignment
      vector<char> *line1, *line2, *line3;
      //infinite negative for type T
      T NEG_INFTY;
```

This code is used in chunk 26.

#### Private functions of seq\_alignment

These three private functions make the actual calculations needed to build the alignments.

This code is used in chunk 26.

## Functions exported by seq\_alignment

```
\langle functions \ exported \ by \ seq\_alignment \ 29 \rangle \equiv
      //constructors
      seq_alignment(const score_matrix<T>& scores,
                     const vector<char>& fst, const vector<char>& snd);
      seq_alignment(const score_matrix<T>& scores,
                     string fst, string snd);
      seq_alignment(const score_matrix<T>& scores,
                     alibio::bio_string fst, alibio::bio_string snd);
      //destructor
      ~seq_alignment();
      //prints the last calculated alignment
      void print_alignment();
 //classic alignment functions
      //global - Needleman-Wunsch
      void nw_align();
      //local - Smiths-Waterman
      void sw_align();
 //alignment functions using affine gap penalties
      //global
      void nw_affine_align();
      //local
      void sw_affine_align();
      //semiglobal
      void semiglobal_affine_align();
 //alignment functions using linear space - Myers-Miller
      //global
      void nw_linear_align();
      //local
      void sw_linear_align();
      //semiglobal
      void semiglobal_linear_align();
This code is used in chunk 26.
```

## 3.5.2 seq\_alignment implementation

The implementations of the declared functions

```
\langle seq\_alignment\ implementation\ 30\rangle {\equiv}
    \langle seq\_alignment\ constructors\ 31 \rangle
    \langle seq\_alignment\ destructor\ 33 \rangle
    \langle print\_alignment \ 34 \rangle
    \langle nw\_align \ 35 \rangle
    \langle sw\_align \ 36 \rangle
    \langle build\_alignment 37 \rangle
    \langle nw\_affine\_align \ 38 \rangle
    \langle sw\_affine\_align \ 39 \rangle
    \langle semiglobal\_affine\_align \ 40 \rangle
    \langle \mathit{build\_affine\_alignment} \ 42 \rangle
    \langle nw\_linear\_align \ 44 \rangle
    \langle sw\_linear\_align \ 45 \rangle
    \langle semiglobal\_linear\_align \ 46 \rangle
    \langle build\_linear\_alignment \ 43 \rangle
This code is used in chunk 25.
```

#### Constructors implementation

The three constructors take a score matrix and two sequences and instantiate the needed memory. The seq\_alignment T parameter must be of the same type of the corresponding cost\_matrix T parameter, the compiler takes care of point out eventual misuses.

The constructors differs from the type of the sequences passed as parameters, respectively const vector<char>&, string and alibio::bio\_string:

```
\langle seq\_alignment\ constructors\ 31 \rangle \equiv
  template <class T>
  seq_alignment<T>:::seq_alignment(const score_matrix<T>& scores,
                                  const vector<char>& fst, const vector<char>& snd){
          A=new vector<char>(fst);
          B=new vector<char>(snd);
      }
      catch(const bad_alloc& x){
          cerr << "Out of memory in seq_alignment constructor: "<< x.what()<<"\n";</pre>
          abort();
      }
      \langle common\ initializations\ 32 \rangle
  }//end seq_alignment(score_matrix, vector<char>, vector<char>)
  template <class T>
  seq_alignment<T>::seq_alignment(const score_matrix<T>& scores,
                                  string fst, string snd){
          A=new vector<char>(fst.begin(),fst.end());
          B=new vector<char>(snd.begin(),snd.end());
      }
      catch(const bad_alloc& x){
          cerr << "Out of memory in seq_alignment constructor: "<<x.what()<<"\n";</pre>
          abort();
      }
      ⟨common initializations 32⟩
  }//end seq_alignment(score_matrix, string, string)
  template <class T>
  seq_alignment<T>::seq_alignment(const score_matrix<T>& scores,
                                  alibio::bio_string fst, alibio::bio_string snd){
      try{
          A=new vector<char>(fst.get_sequence().begin(),fst.get_sequence().end());
          B=new vector<char>(snd.get_sequence().begin(),snd.get_sequence().end());
      catch(const bad_alloc& x){
          cerr << "Out of memory in seq_alignment constructor: "<<x.what()<<"\n";</pre>
          abort();
      }
```

```
\langle common\ initializations\ 32 \rangle
        }//end seq_alignment(score_matrix, bio_string, bio_string)
      This code is used in chunk 30.
      All of the constructors need to initialize the same local variables, so we use a single code chunk
32
      \langle common\ initializations\ 32 \rangle \equiv
             c_matrix=&scores;
             gap_cost=c_matrix->get_gap_cost();
             extend_gap_cost=c_matrix->get_extend_gap_cost();
             NEG_INFTY=-numeric_limits<T>::max()/2;
             m=A->size(); n=B->size();
             //reinizialize min_m min_n max_m max_n max_score
             min_m=0; max_m=m; min_n=0; max_n=n;
             max_score=NEG_INFTY;
             try{
                  line1=new vector<char>();
                  line2=new vector<char>();
                  line3=new vector<char>();
             }
             catch(const bad_alloc& x){
                  cerr << "Out of memory:" << x.what() << "\n";</pre>
                  abort();
      This code is used in chunk 31.
```

### Destructor implementation

```
33     ⟨seq_alignment destructor 33⟩≡
     template <class T>
     seq_alignment<T>::~seq_alignment(){
        delete A;
        delete B;
        delete line1;
        delete line2;
        delete line3;
    }//~seq_alignment()
This code is used in chunk 30.
```

#### Printing the alignment

34

This functions prints on the standard output the calculated alignment and it's score.

```
\langle print\_alignment \ 34 \rangle \equiv
  template <class T>
  void seq_alignment<T>::print_alignment(){
      vector<char>::iterator It;
      cout << min_m+1 << "\t";
      for (It=line1->begin(); It!=line1->end(); It++)
           cout << (*It);
      cout << "\t" << max_m << "\n";
      cout << "\t";
      for (It=line2->begin(); It!=line2->end(); It++)
           cout << (*It);</pre>
      cout << "\n";
      cout << min_n+1 << "\t";</pre>
      for (It=line3->begin(); It!=line3->end(); It++)
           cout << (*It);
      \verb"cout << "\t" << \verb"max_n << "\n";
      cout << "Alignment total score:" << max_score << "\n";</pre>
  \langle debug\_random 75 \rangle
  }//end print_alignment()
This code is used in chunk 30.
```

This code is used in chunk 30.

35

# 3.5.3 Classic algorithms implementation

# Needleman-Wunsch algorithm implementation

```
\langle nw\_align \ 35 \rangle \equiv
      template <class T>
      void seq_alignment<T>::nw_align(){
                    //allocating matrix space
                   try {
                                 N=new boost::multi_array< T, 2>(boost::extents[m+1][n+1]);
                    }
                    catch(const bad_alloc& x){
                                 cerr << "Out of memory in nw_align(): " << x.what() << "\n";</pre>
                                 abort();
                    }
                    //reinizialize min_m min_n max_m max_n max_score
                   min_m=0; max_m=m; min_n=0; max_n=n;
                   max_score=NEG_INFTY;
                    (*N)[0][0]=(T)0;
                   for (int j=1;j<=n;j++){
                                  (*N)[0][j]=-j*gap_cost;
                   for (int i=0;i<m;i++){
                                 int next_i=i+1;
                                  (*N)[next_i][0]=(*N)[i][0]-gap_cost;
                                 for (int j=0; j< n; j++){
                                               int next_j=j+1;
                                               (*N) [next_i] [next_j] = \max(\max((*N)[i][j] + c_matrix -> get_score((*A)[i], and in the context of the context of
                                                                                                                                                                                                                                                         (*B)[j]),
                                                                                                                                                   (*N)[i][next_j]-gap_cost),
                                                                                                                                 (*N)[next_i][j]-gap_cost);
                                 }
                   max_score=(*N)[m][n];
                    //cleaning an eventual precalculated alignment
                    (*line1).clear();
                    (*line2).clear();
                    (*line3).clear();
                    build_alignment(max_m, max_n);
      \langle memory\_line \ 82 \rangle
                    delete N;
      }//end nw_align()
```

# Smith-Waterman algorithm implementation

```
\langle sw\_align \ 36 \rangle \equiv
      template <class T>
      void seq_alignment<T>::sw_align(){
                   //allocating matrix space
                  try{
                                N=new boost::multi_array< T, 2>(boost::extents[m+1][n+1]);
                   catch(const bad_alloc& x){
                                cerr << "Out of memory in sw_align(): " << x.what() << "\n";</pre>
                                abort();
                   }
                   //reinizialize min_m min_n max_m max_n max_score
                  min_m=0; max_m=m; min_n=0; max_n=n;
                  max_score=0;
                  for (int i=0;i<=m;i++)</pre>
                                 (*N)[i][0]=(T)0;
                   for (int j=0; j \le n; j++)
                                (*N)[0][j]=(T)0;
                  for (int i=0;i<m;i++){
                                int next_i=i+1;
                                for (int j=0; j< n; j++){
                                   int next_j=j+1;
                                (*N) [next_i] [next_j] = \max(\max((*N)[i][j] + c_matrix - set_score((*A)[i], and (*N)[i][j] + c_matrix - set_score((*A)[i]
                                                                                                                                                                                                                                             (*B)[j]),
                                                                                                                                            (*N)[i][next_j]-gap_cost),
                                                                                                                               (*N) [next_i] [j] -gap_cost),
                                                                                                                  (T)0);
                                             if((*N)[next_i][next_j]>(*N)[max_m][max_n]){
                                                          max_m=next_i;
                                                          max_n=next_j;
                                             }
                               }
                  }
                  max_score=(*N)[max_m][max_n];
                   //cleaning an eventual precalculated alignment
                   (*line1).clear();
                   (*line2).clear();
                   (*line3).clear();
                   if (max_score>0)
                                build_alignment(max_m, max_n);
                   else
                               max_score=0;
      \langle memory\_line 82 \rangle
```

```
delete N;
}//end sw_align()
This code is used in chunk 30.
```

This code is used in chunk 30.

37

# Tracking back the alignment

```
\langle build\_alignment \ 37 \rangle \equiv
  template <class T>
  void seq_alignment<T>:::build_alignment(int i, int j){
      int prev_i=i-1;
      int prev_j=j-1;
      if((i>0) && (j>0) &&
          ((*N)[i][j]==((*N)[prev_i][prev_j]+c_matrix->get_score((*A)[prev_i],
                                                                     (*B)[prev_j])))){
          build_alignment(prev_i, prev_j);
          line1->push_back((*A)[prev_i]);
          if((*A)[prev_i]==(*B)[prev_j])//matching
              line2->push_back('|');
          else
              line2->push_back('X');
          line3->push_back((*B)[prev_j]);
          return;
      if((i>0) && ((*N)[i][j]==((*N)[prev_i][j]-gap_cost)) ){
          build_alignment(prev_i,j);
          line1->push_back((*A)[prev_i]);
          line2->push_back(' ');
          line3->push_back('-');
          return;
      }
      if((j>0) && ((*N)[i][j]==((*N)[i][prev_j]-gap_cost)) ){
          build_alignment(i,prev_j);
          line1->push_back('-');
          line2->push_back(' ');
          line3->push_back((*B)[prev_j]);
          return;
      }
      min_m=i;
      min_n=j;
  }//end build_alignment(int, int)
```

# 3.5.4 Aligning using affine gap penalties

# Global alignment implementation

```
\langle nw\_affine\_align \ 38 \rangle \equiv
  template <class T>
  void seq_alignment<T>::nw_affine_align(){
      //allocating matrix space
      try{
          M=new boost::multi_array< T, 3>(boost::extents[m+1][n+1][4]);
      }
      catch(const bad_alloc& x){
          cerr << "Out of memory in nw_affine_align(): " << x.what() << "\n";</pre>
          abort();
      }
      //reinizialize min_m min_n max_m max_n max_score
      min_m=0; max_m=m; min_n=0; max_n=n;
      max_score=NEG_INFTY;
      //preparing first line of the 4 matrix
      (*M)[0][0]=(T)0;
      (*M)[0][0][1]=NEG_INFTY;
      (*M)[0][0][2]=NEG_INFTY;
      (*M)[0][0][3]=(T)3;//STOP HERE
      for (int j=0; j< n; j++){
          int next_j=j+1;
          (*M)[0][next_j][0]=-(gap_cost+j*extend_gap_cost);
           (*M)[0][next_j][1]=NEG_INFTY;
           (*M)[0][next_j][2]=-(gap_cost+j*extend_gap_cost);
          (*M)[0][next_j][3]=(T)2;//LEFT
      }
      for (int i=0;i<m;i++){
          int next_i=i+1;
          //preparing first column of the 4 matrix
          (*M) [next_i] [0] [0] = -(gap_cost+i*extend_gap_cost);
          (*M) [next_i] [0] [1] = -(gap_cost + i * extend_gap_cost);
          (*M) [next_i] [0] [2] = NEG_INFTY;
          (*M)[next_i][0][3]=(T)1;//UP
          for (int j=0; j< n; j++){
               int next_j=j+1;
               \langle affine\ elaboration\ 41 \rangle
          }
      }
      max_score=(*M)[m][n][0];
      //cleaning an eventual precalculated alignment
```

```
(*line1).clear();
  (*line2).clear();
  (*line3).clear();
  build_affine_alignment(m, n);

\( \text{memory_line } 82 \)

  delete M;
}//end nw_affine_align()

This code is used in chunk 30.
```

# Local alignment implementation

```
\langle sw\_affine\_align \ 39 \rangle \equiv
  template <class T>
  void seq_alignment<T>::sw_affine_align(){
      //allocating matrix space
      try{
          M=new boost::multi_array< T, 3>(boost::extents[m+1][n+1][4]);
      catch(const bad_alloc& x){
          cerr << "Out of memory in sw_affine_align(): " << x.what() << "\n";</pre>
          abort();
      }
      //reinizialize min_m min_n max_m max_n max_score
      min_m=0; max_m=m; min_n=0; max_n=n;
      max_score=0;
      //preparing first row of the 4 matrix
      (*M)[0][0]=(T)0;
      (*M)[0][0][1]=NEG_INFTY;
      (*M)[0][0][2]=NEG_INFTY;
      (*M)[0][0][3]=(T)3;//STOP HERE
      for (int j=0; j< n; j++){
          int next_j=j+1;
           (*M)[0][next_j][0]=(T)0;
           (*M)[0][next_j][1]=NEG_INFTY;
           (*M)[0][next_j][2]=-(gap_cost+j*extend_gap_cost);
           (*M)[0][next_j][3]=(T)3;//STOP HERE
      }
      for (int i=0; i < m; i++){
          int next_i=i+1;
          //preparing first column of the 4 matrix
           (*M)[next_i][0][0]=(T)0;
           (*M) [next_i] [0] [1] = -(gap_cost+i*extend_gap_cost);
           (*M) [next_i] [0] [2] = NEG_INFTY;
           (*M) [next_i] [0] [3] = (T)3; //STOP HERE
          for (int j=0; j< n; j++){
               int next_j=j+1;
               \langle affine\ elaboration\ 41 \rangle
               if((T)0>(*M)[next_i][next_j][0]){
                   (*M)[next_i][next_j][0]=(T)0;
                   (*M) [next_i] [next_j] [3] = (T)3; //STOP HERE
               }
               if((*M)[next_i][next_j][0]>max_score){
                   max_score=(*M)[next_i][next_j][0];
```

```
max_m=next_i;
                   max_n=next_j;
               }
          }
      }
      //cleaning an eventual precalculated alignment
      (*line1).clear();
      (*line2).clear();
      (*line3).clear();
      if (max_score>0)
          build_affine_alignment(max_m, max_n);
      else
          max_score=0;
  \langle memory\_line~82 \rangle
      delete M;
  }//end sw_affine_align()
This code is used in chunk 30.
```

# Semiglobal alignment implementation

```
\langle semiglobal\_affine\_align \ 40 \rangle \equiv
  template <class T>
  void seq_alignment<T>::semiglobal_affine_align(){
      //allocating matrix space
      try{
          M=new boost::multi_array< T, 3>(boost::extents[m+1][n+1][4]);
      catch(const bad_alloc& x){
          cerr <<"Out of memory in semiglobal_affine_align(): "<<x.what() << "\n";</pre>
          abort();
      }
      //reinizialize min_m min_n max_m max_n max_score
      min_m=0; max_m=m; min_n=0; max_n=n;
      max_score=0;
      //preparing first row of the 4 matrix
      (*M)[0][0]=(T)0;
      (*M)[0][0][1]=NEG_INFTY;
      (*M)[0][0][2]=NEG_INFTY;
      (*M)[0][0][3]=(T)3;
      for (int j=0; j< n; j++){
          int next_j=j+1;
           (*M)[0][next_j][0]=(T)0;
           (*M)[0][next_j][1]=NEG_INFTY;
           (*M)[0][next_j][2]=-(gap_cost+j*extend_gap_cost);
           (*M)[0][next_j][3]=(T)3;//STOP HERE
      }
      for (int i=0; i < m; i++){
          int next_i=i+1;
          //preparing first column of the 4 matrix
           (*M)[next_i][0][0]=(T)0;
           (*M) [next_i] [0] [1] = -(gap_cost+i*extend_gap_cost);
           (*M) [next_i] [0] [2] = NEG_INFTY;
           (*M) [next_i] [0] [3] = (T)3; //STOP HERE
          for (int j=0; j< n; j++){
               int next_j=j+1;
               \langle affine\ elaboration\ 41 \rangle
          }
      }
      for (int i=1;i<=m;i++)</pre>
          if((*M)[i][n][0]>max_score){
               max_score=(*M)[i][n][0];
               max_m=i;
               max_n=n;
```

```
for (int j=1; j \le n; j++)
           if((*M)[m][j][0]>max_score){
               max_score=(*M)[m][j][0];
               max_m=m;
               max_n=j;
           }
      //cleaning an eventual precalculated alignment
      (*line1).clear();
      (*line2).clear();
      (*line3).clear();
      if (max_score>0)
           build_affine_alignment(max_m, max_n);
      else
           max_score=0;
  \langle memory\_line 82 \rangle
      delete M;
  }//end semiglobal_affine_align()
This code is used in chunk 30.
Matrix elaboration
\langle affine\ elaboration\ 41 \rangle \equiv
  (*M) [next_i] [next_j] [0] = (*M) [i] [j] [0] + c_matrix -> get_score((*A) [i], (*B) [j]);
  (*M) [next_i] [next_j] [1] = max((*M) [i] [next_j] [0] -gap_cost,
                                 (*M)[i][next_j][1]-extend_gap_cost);
  (*M) [next_i] [next_j] [2] = max((*M) [next_i] [j] [0] -gap_cost,
                                 (*M) [next_i] [j] [2] -extend_gap_cost);
  (*M) [next_i] [next_j] [3] = (T)0; //upleft
  if((*M)[next_i][next_j][1]>=(*M)[next_i][next_j][0] &&
     (*M)[next_i][next_j][1] >= (*M)[next_i][next_j][2]){
      (*M) [next_i] [next_j] [0] = (*M) [next_i] [next_j] [1];
      (*M)[next_i][next_j][3]=(T)1;//up
  } else if((*M)[next_i][next_j][2]>=(*M)[next_i][next_j][0] &&
             (*M)[next_i][next_j][2]>(*M)[next_i][next_j][1]){
```

(\*M) [next\_i] [next\_j] [0] = (\*M) [next\_i] [next\_j] [2];

(\*M)[next\_i][next\_j][3]=(T)2;//left

This code is used in chunks 38–40.

41

# Tracking back the alignment

42

```
\langle build\_affine\_alignment \ 42 \rangle \equiv
 template <class T>
 void seq_alignment<T>::build_affine_alignment(int i, int j){
      if (i>0 && j>0 && (*M)[i][j][3]==(T)0){
          build_affine_alignment(i-1, j-1);
          line1->push_back((*A)[i-1]);
          if((*A)[i-1]==(*B)[j-1])/matching
              line2->push_back('|');
          else
              line2->push_back('X');//mismatch
          line3->push_back((*B)[j-1]);
          return;
      }
      if(i>0 && (*M)[i][j][3]==(T)1){//it}'s the beginning of a gap in A
          int k=i;
          while (i>0 && (*M)[i-1][j][1] == (*M)[i][j][1] + extend_gap_cost){
              i--;//measuring the gap
          if (i>0)
              build_affine_alignment(i-1, j);
          while (i<=k){
              line1->push_back((*A)[i-1]);
              line2->push_back(' ');
              line3->push_back('-');
              i++;
          }
          return;
      }
      if(j>0 && (*M)[i][j][3]==(T)2){//it's the beginning of a gap in B
          int k=j;
          while (j>0 \&\& (*M)[i][j-1][2]==(*M)[i][j][2]+extend_gap_cost){
              j--;//measuring the gap
          if (j>0)
              build_affine_alignment(i, j-1);
          while (j \le k){
              line1->push_back('-');
              line2->push_back(' ');
              line3->push_back((*B)[j-1]);
              j++;
          }
```

```
return;
}
if((*M)[i][j][3]==(T)3){
    min_m=i;
    min_n=j;
}
}//end build_affine_alignment(int, int)
This code is used in chunk 30.
```

# 3.5.5 Aligning in linear space

# Building the alignment using linear space

```
\langle build\_linear\_alignment \ 43 \rangle \equiv
 template <class T>
 void seq_alignment<T>::build_linear_alignment(int i1, int j1, int i2, int j2,
                                           T A_begin_gap_cost, T A_end_gap_cost,
                                           T B_begin_gap_cost, T B_end_gap_cost){
      assert(i2 >= i1 && j2 >= j1);
      //best score of the subproblem
      T best_score=NEG_INFTY;
      //solving the base of the recursion
         (j1==j2){//only gap in A
          for (int i=i1;i<i2;i++){//gap in the second sequence
              line1->push_back((*A)[i]);
              line2->push_back(' ');
              line3->push_back('-');
          if((i1==0) && (j1==0) && (i2==m) && (j2==n))
              max_score=-(gap_cost+(m-1)*extend_gap_cost);
          return;
      }
      if (i1==i2){//only gap in B
          for (int j=j1; j < j2; j++){//gap in the second sequence
              line1->push_back('-');
              line2->push_back(' ');
              line3->push_back((*B)[j]);
          }
          if((i1==0) && (j1==0) && (i2==m) && (j2==n))
              max_score=-(gap_cost+(n-1)*extend_gap_cost);
          return;
      }
      int mid=(i1+i2)/2;//mid line
 //computing maximum path scores form (i1,j1) to (mid,*)
      //preparing first line of the 4 matrix (gap in B)
      (*M)[i1\%2][j1][0]=(T)0;
      (*M)[i1%2][j1][1]=NEG_INFTY;
      (*M)[i1%2][j1][2]=NEG_INFTY;
      (*M)[i1\%2][j1][3]=(T)0;
      for (int j=j1;j<j2;j++){
          int next_j=j+1;
```

```
(*M)[i1%2][next_j][0]=-(A_begin_gap_cost+(j-j1)*extend_gap_cost);
        (*M)[i1%2][next_j][1]=NEG_INFTY;
        (*M)[i1%2][next_j][2]=-(A_begin_gap_cost+(j-j1)*extend_gap_cost);
        (*M)[i1\%2][next_j][3]=(T)2;//left
    }
    for (int i=i1; i<=mid; i++){
        //precalc i+1 assuring to use only 2 lines
        int next_i=(i+1)\%2;
        //calculating the first column (gap in B)
        (*M) [next_i] [j1] [0] =-(B_begin_gap_cost+(i-i1)*extend_gap_cost);
        (*M) [next_i] [j1] [1] =-(B_begin_gap_cost+(i-i1)*extend_gap_cost);
        (*M) [next_i] [j1] [2] = NEG_INFTY;
        (*M)[next_i][j1][3]=(T)1;//up
        for (int j=j1; j < j2; j++){
             int next_j=j+1;
             (*M)[next_i][next_j][0]=(*M)[i%2][j][0]+
                                  c_matrix->get_score((*A)[i],(*B)[j]);
             (*M) [next_i] [next_j] [1] = max((*M) [i%2] [next_j] [0] - gap_cost,
                                      (*M)[i%2][next_j][1]-extend_gap_cost);
             (*M) [next_i] [next_j] [2] = max((*M) [next_i] [j] [0] -gap_cost,
                                      (*M) [next_i] [j] [2] -extend_gap_cost);
             (*M) [next_i] [next_j] [3] = (T) 0; //upleft
            if((*M)[next_i][next_j][1]>=(*M)[next_i][next_j][0] &&
                (*M) [next_i] [next_j] [1] >= (*M) [next_i] [next_j] [2]){
                 (*M) [next_i] [next_j] [0] = (*M) [next_i] [next_j] [1];
                 (*M)[next_i][next_j][3]=(T)1;//up
            } else if((*M)[next_i][next_j][2]>=(*M)[next_i][next_j][0] &&
                        (*M) [next_i] [next_j] [2] > (*M) [next_i] [next_j] [1]) {
                 (*M) [next_i] [next_j] [0] = (*M) [next_i] [next_j] [2];
                 (*M) [next_i] [next_j] [3] = (T)2; //left
            }
        }
    }
//computing maximum path scores from (i2,j2) down to (mid,*)
    //preparing first line of the 4 matrix (gap in B)
    (*M1)[i2\%2][j2][0]=(T)0;
    (*M1)[i2%2][j2][1]=NEG_INFTY;
    (*M1)[i2%2][j2]=NEG_INFTY;
    (*M1)[i2%2][j2][3]=(T)0;//STOP HERE
    for (int j=j2;j>j1;j--){
        int prev_j=j-1;
        (*M1)[i2%2][prev_j][0]=-(A_end_gap_cost+(j2-j)*extend_gap_cost);
        (*M1)[i2%2][prev_j][1]=NEG_INFTY;
```

```
(*M1)[i2%2][prev_j][2]=-(A_end_gap_cost+(j2-j)*extend_gap_cost);
    (*M1)[i2%2][prev_j][3]=(T)2;//right
}
for (int i=i2; i>mid; i--){
    //precalc i-1 assuring to use only 2 lines
    int prev_i=(i-1)%2;
    //calculating the first column (gap in B)
    (*M1)[prev_i][j2][0]=-(B_end_gap_cost+(i2-i)*extend_gap_cost);
    (*M1)[prev_i][j2][1]=-(B_end_gap_cost+(i2-i)*extend_gap_cost);
    (*M1)[prev_i][j2][2]=NEG_INFTY;
    (*M1)[prev_i][j2][3]=(T)1;//down
    for (int j=j2; j>j1; j--){
        int prev_j=j-1;
        (*M1)[prev_i][prev_j][0]=(*M1)[i%2][j][0]+
                             c_matrix->get_score((*A)[i-1],(*B)[prev_j]);
        (*M1)[prev_i][prev_j][1]=max((*M1)[i%2][prev_j][0]-gap_cost,
                                 (*M1)[i%2][prev_j][1]-extend_gap_cost);
        (*M1)[prev_i][prev_j][2]=max((*M1)[prev_i][j][0]-gap_cost,
                                   (*M1)[prev_i][j][2]-extend_gap_cost);
        (*M1)[prev_i][prev_j][3]=(T)0;//downright
        if((*M1)[prev_i][prev_j][1]>=(*M1)[prev_i][prev_j][0] &&
           (*M1)[prev_i][prev_j][1]>=(*M1)[prev_i][prev_j][2]){
            (*M1)[prev_i][prev_j][0]=(*M1)[prev_i][prev_j][1];
            (*M1)[prev_i][prev_j][3]=(T)1;//down
        }else if ((*M1)[prev_i][prev_j][2]>=(*M1)[prev_i][prev_j][0] &&
                   (*M1)[prev_i][prev_j][2]>(*M1)[prev_i][prev_j][1]){
            (*M1)[prev_i][prev_j][0]=(*M1)[prev_i][prev_j][2];
            (*M1)[prev_i][prev_j][3]=(T)2;//right
        }
    }
}
//finding a midpoint of the global alignment
//position of the best score
int best_score_pos=j1;
for (int j=j1; j <= j2; j++){
    T sum=((*M)[mid%2][j][0]+(*M1)[mid%2][j][0]);
    //if 2 gaps are in the same direction we must reduce the penalty
    sum=max(sum,
            (*M) [mid%2] [j] [1]+(*M1) [mid%2] [j] [1]+gap_cost-extend_gap_cost);
    sum=max(sum,
            (*M) [mid%2] [j] [2] + (*M1) [mid%2] [j] [2] + gap_cost-extend_gap_cost);
    //find the best j
```

```
if(sum>best_score){
        best_score_pos=j;
        best_score=sum;
    }
}
//in the first recursion we archive the best global score in max_score
if((i1==0) && (j1==0) && (i2==m) && (j2==n) && (best_score>max_score))
    max_score=best_score;
//only one char left on seq A
if(i2-i1==1){
    if ((*M)[i2\%2][j2][3]==(T)0){
        build_linear_alignment(i1, j1, i2-1, j2-1,
                                A_begin_gap_cost, A_end_gap_cost,
                                B_begin_gap_cost,B_end_gap_cost);
        line1->push_back((*A)[i2-1]);
        if((*A)[i2-1]==(*B)[j2-1])//matching
           line2->push_back('|');
        else
            line2->push_back('X');//mismatch
        line3->push_back((*B)[j2-1]);
        return;
    if((*M)[i2\%2][j2][3]==(T)1){//print the char in A}
            build_linear_alignment(i1, j1, i2-1, j2,
                                A_begin_gap_cost, A_end_gap_cost,
                                B_begin_gap_cost,B_end_gap_cost);
            line1->push_back((*A)[i2-1]);
            line2->push_back(' ');
            line3->push_back('-');
        return;
    if((*M)[i2\%2][j2][3]==(T)2){//it's} the beginning of a gap in A
        int k=j2;
        while(j2>j1 && (*M)[i2\%2][j2-1][2]==(*M)[i2\%2][j2][2]
                                                            +extend_gap_cost){
            j2--;//measuring the gap
        }
        if (j2>j1)
            build_linear_alignment(i1, j1, i2, j2-1,
                                A_begin_gap_cost, A_end_gap_cost,
                                B_begin_gap_cost,B_end_gap_cost);
        while (j2 \le k){
            line1->push_back('-');
            line2->push_back(' ');
            line3->push_back((*B)[j2-1]);
```

```
j2++;
            return;
        }
        if (m==1)//A had only 1 char
            max_score=(*M)[i2%2][j2][0];
        return;
    }
//operate on the 2 halves
    //if the pivot is in the middle of a long gap we divide in 3 parts
    //long gap in B
    if (best_score==(*M)[mid%2][best_score_pos][1]+
                    (*M1) [mid%2] [best_score_pos] [1] +
                    gap_cost-extend_gap_cost){
        build_linear_alignment(i1,j1,mid-1,best_score_pos,
                                A_begin_gap_cost, A_end_gap_cost,
                               B_begin_gap_cost,extend_gap_cost);
        line1->push_back((*A)[mid-1]);
        line2->push_back(' ');
        line3->push_back('-');
        line1->push_back((*A)[mid]);
        line2->push_back(' ');
        line3->push_back('-');
        build_linear_alignment(mid+1,best_score_pos,i2,j2,
                               A_begin_gap_cost, A_end_gap_cost,
                               extend_gap_cost,B_end_gap_cost);
        return;
    }
    //long gap in A
    if (best_score==(*M)[mid%2][best_score_pos][2]+
                    (*M1) [mid%2] [best_score_pos] [2] +
                    gap_cost-extend_gap_cost){
        build_linear_alignment(i1,j1,mid,best_score_pos-1,
                                A_begin_gap_cost,extend_gap_cost,
                               B_begin_gap_cost,B_end_gap_cost);
        line1->push_back('-');
        line2->push_back(' ');
        line3->push_back((*B)[best_score_pos-1]);
        line1->push_back('-');
        line2->push_back(' ');
        line3->push_back((*B)[best_score_pos]);
        build_linear_alignment(mid,best_score_pos+1,i2,j2,
```

```
extend_gap_cost, A_end_gap_cost,
                                   B_begin_gap_cost,B_end_gap_cost);
          return;
      }
      build_linear_alignment(i1,j1,mid,best_score_pos,
                              A_begin_gap_cost,gap_cost,B_begin_gap_cost,gap_cost);
      build_linear_alignment(mid,best_score_pos,i2,j2,
                              gap_cost,A_end_gap_cost,gap_cost,B_end_gap_cost);
  }//end build_linear_alignment()
This code is used in chunk 30.
Global alignment
\langle nw\_linear\_align \ 44 \rangle \equiv
  template <class T>
  void seq_alignment<T>::nw_linear_align(){
      //Allocating needed space
      try{
          M=new boost::multi_array< T, 3>(boost::extents[2][n+1][4]);
          M1=new boost::multi_array< T, 3>(boost::extents[2][n+1][4]);
      }
      catch(const bad_alloc& x){
          cerr << "Out of memory in nw_linear_align(): " << x.what() << "\n";</pre>
          abort();
      }
      //reinizialize min_m min_n max_m max_n max_score
      min_m=0; max_m=m; min_n=0; max_n=n;
      max_score=NEG_INFTY;
      //cleaning an eventual precalculated alignment
      (*line1).clear();
      (*line2).clear();
      (*line3).clear();
      if (m>0||n>0)
          build_linear_alignment(0,0,m,n,gap_cost,gap_cost,gap_cost,gap_cost);
      else max_score=0;//both sequences empty
  \langle memory\_line 82 \rangle
      delete M;
```

This code is used in chunk 30.

delete M1;

}

# Local alignment

45

```
\langle sw\_linear\_align \ 45 \rangle \equiv
  template <class T>
  void seq_alignment<T>::sw_linear_align(){
      //Allocating needed space
      try{
          M=new boost::multi_array< T, 3>(boost::extents[2][n+1][4]);
          M1=new boost::multi_array< T, 3>(boost::extents[2][n+1][4]);
      }
      catch(const bad_alloc& x){
          cerr << "Out of memory in sw_linear_align(): " << x.what() << "\n";</pre>
          abort();
      }
      //reinizialize min_m min_n max_m max_n max_score
      min_m=0; max_m=m; min_n=0; max_n=n;
      max_score=0;
  //determining max_m max_n with an upward cost only alignment
      //preparing first line of the 4 matrix
      (*M)[0][0]=(T)0;
      (*M)[0][0][1]=NEG_INFTY;
      (*M)[0][0][2]=NEG_INFTY;
      for (int j=0; j< n; j++){
          int next_j=j+1;
          (*M)[0][next_j][0]=(T)0;
          (*M)[0][next_j][1]=NEG_INFTY;
           (*M)[0][next_j][2]=-(gap_cost+j*extend_gap_cost);
      }
      for (int i=0; i<m; i++){
          //precalc i+1
          int next_i=(i+1)\%2;
          //calculating the first column
          (*M)[next_i][0][0]=(T)0;
          (*M) [next_i] [0] [1] = -(gap_cost + i * extend_gap_cost);
          (*M) [next_i] [0] [2] = NEG_INFTY;
          for (int j=0; j< n; j++){
               int next_j=j+1;
               (*M) [next_i] [next_j] [0] = (*M) [i%2] [j] [0] +
                                     c_matrix->get_score((*A)[i],(*B)[j]);
               (*M) [next_i] [next_j] [1] = max((*M) [i%2] [next_j] [0] -gap_cost,
                                         (*M)[i%2][next_j][1]-extend_gap_cost);
               (*M) [next_i] [next_j] [2] = max((*M) [next_i] [j] [0] - gap_cost,
                                         (*M) [next_i] [j] [2] -extend_gap_cost);
               if((*M)[next_i][next_j][0]>(*M)[next_i][next_j][1] &&
                  (*M) [next_i] [next_j] [0] > (*M) [next_i] [next_j] [2]) {
```

```
} else if((*M)[next_i][next_j][1]>(*M)[next_i][next_j][2]){
                 (*M) [next_i] [next_j] [0] = (*M) [next_i] [next_j] [1];
            } else {
                 (*M) [next_i] [next_j] [0] = (*M) [next_i] [next_j] [2];
            if(0>(*M)[next_i][next_j][0]){
                 (*M) [next_i] [next_j] [0] = (T) 0;
            }
            if((*M)[next_i][next_j][0]>max_score){
                 max_m=i+1;
                 max_n=next_j;
                 max_score=(*M)[next_i][next_j][0];
            }
        }
    }
//determining min_m min_n with a backward cost only alignment
    //preparing first line of the 4 matrix
    (*M1) [max_m%2] [max_n] [0] = (T)0;
    (*M1) [max_m%2] [max_n] [1] = NEG_INFTY;
    (*M1) [max_m%2] [max_n] [2] = NEG_INFTY;
    for (int j=\max_n; j>0; j--){
        int prev_j=j-1;
        (*M1) [max_m%2] [prev_j] [0] = (T) 0;
        (*M1) [max_m%2] [prev_j] [1] = NEG_INFTY;
        (*M1) [max_m%2] [prev_j] [2] = -(gap_cost+(max_n-j)*extend_gap_cost);
    }
    for (int i=max_m; i>0; i--){
        //precalc i+1
        int prev_i=(i-1)%2;
        //calculating the first column
        (*M1)[prev_i][max_n][0]=(T)0;
        (*M1)[prev_i][max_n][1]=-(gap_cost+(max_m-i)*extend_gap_cost);
        (*M1) [prev_i] [max_n] [2] = NEG_INFTY;
        for (int j=\max_n; j>0; j--){
             int prev_j=j-1;
             (*M1)[prev_i][prev_j][0]=(*M1)[i%2][j][0]+
                                    c_matrix->get_score((*A)[i-1],(*B)[prev_j]);
             (*M1)[prev_i][prev_j][1]=max((*M1)[i%2][prev_j][0]-gap_cost,
                                        (*M1)[i%2][prev_j][1]-extend_gap_cost);
             (*M1)[prev_i][prev_j][2]=max((*M1)[prev_i][j][0]-gap_cost,
                                        (*M1)[prev_i][j][2]-extend_gap_cost);
             if((*M1)[prev_i][prev_j][0]>(*M1)[prev_i][prev_j][1] &&
                (*M1)[prev_i][prev_j][0]>(*M1)[prev_i][prev_j][2]){
```

```
} else if((*M1)[prev_i][prev_j][1]>(*M1)[prev_i][prev_j][2]){
                   (*M1)[prev_i][prev_j][0]=(*M1)[prev_i][prev_j][1];
              } else {
                   (*M1)[prev_i][prev_j][0]=(*M1)[prev_i][prev_j][2];
              if((*M1)[prev_i][prev_j][0]==max_score){
                  min_m=i-1;
                  min_n=prev_j;
              }
          }
      }
      //cleaning an eventual precalculated alignment
      (*line1).clear();
      (*line2).clear();
      (*line3).clear();
      //if first string empty
      if (max_score>0)
          build_linear_alignment(min_m,min_n,max_m,max_n,
                                  gap_cost,gap_cost,gap_cost);
      else
          max_score=(T)0;
  \langle memory\_line \ 82 \rangle
      delete M;
      delete M1;
  }//end sw_linear_align_align()
This code is used in chunk 30.
```

# Semiglobal alignment

46

```
\langle semiglobal\_linear\_align \ 46 \rangle \equiv
  template <class T>
  void seq_alignment<T>::semiglobal_linear_align(){
      //Allocating needed space
      try{
          M=new boost::multi_array< T, 3>(boost::extents[2][n+1][4]);
          M1=new boost::multi_array< T, 3>(boost::extents[2][n+1][4]);
      }
      catch(const bad_alloc& x){
          cerr<< "Out of memory in semiglobal_linear_align(): "<< x.what()<<"\n";</pre>
          abort();
      }
      //reinizialize min_m min_n max_m max_n max_score
      min_m=0; max_m=m; min_n=0; max_n=n;
      max_score=0;
  //determining max_m max_n with an upward, cost only, alignment
      //preparing first line of the 4 matrix
      (*M)[0][0]=(T)0;
      (*M)[0][0][1]=NEG_INFTY;
      (*M)[0][0][2]=NEG_INFTY;
      for (int j=0; j< n; j++){
          int next_j=j+1;
          (*M)[0][next_j][0]=(T)0;
          (*M)[0][next_j][1]=NEG_INFTY;
           (*M)[0][next_j][2]=-(gap_cost+j*extend_gap_cost);
      }
      for (int i=0; i<m; i++){
          //precalc i+1
          int next_i=(i+1)\%2;
          //calculating the first column
          (*M)[next_i][0][0]=(T)0;
          (*M) [next_i] [0] [1] = -(gap_cost + i * extend_gap_cost);
          (*M) [next_i] [0] [2] = NEG_INFTY;
          for (int j=0; j< n; j++){
               int next_j=j+1;
               (*M) [next_i] [next_j] [0] = (*M) [i%2] [j] [0] +
                                     c_matrix->get_score((*A)[i],(*B)[j]);
               (*M) [next_i] [next_j] [1] = max((*M) [i%2] [next_j] [0] -gap_cost,
                                         (*M)[i%2][next_j][1]-extend_gap_cost);
               (*M) [next_i] [next_j] [2] = max((*M) [next_i] [j] [0] - gap_cost,
                                         (*M) [next_i] [j] [2] -extend_gap_cost);
               if((*M)[next_i][next_j][1]>=(*M)[next_i][next_j][0] &&
                  (*M) [next_i] [next_j] [1] >= (*M) [next_i] [next_j] [2]){
```

```
(*M) [next_i] [next_j] [0] = (*M) [next_i] [next_j] [1];
            } else if((*M)[next_i][next_j][2]>=(*M)[next_i][next_j][0] &&
                       (*M) [next_i] [next_j] [2] > (*M) [next_i] [next_j] [1]) {
                 (*M) [next_i] [next_j] [0] = (*M) [next_i] [next_j] [2];
            }
            if((i+1==m || next_j==n) && (*M)[next_i][next_j][0]>max_score){
                max_m=i+1;
                max_n=next_j;
                max_score=(*M)[next_i][next_j][0];
            }
        }
    }
//determining min_m min_n with a backward, cost only, alignment
    //preparing first line of the 4 matrix
    (*M1)[max_m%2][max_n][0]=(T)0;
    (*M1) [max_m%2] [max_n] [1] = NEG_INFTY;
    (*M1) [max_m%2] [max_n] [2] = NEG_INFTY;
    for (int j=\max_n; j>0; j--){
        int prev_j=j-1;
        (*M1) [\max_m 2] [prev_j] [0] = (gap_cost + (\max_n - j) * extend_gap_cost);
        (*M1) [max_m%2] [prev_j] [1] = NEG_INFTY;
        (*M1) [max_m%2] [prev_j] [2] =-(gap_cost+(max_n-j)*extend_gap_cost);
    }
    for (int i=max_m; i>0; i--){
        //precalc i+1
        int prev_i=(i-1)%2;
        //calculating the first column
        (*M1)[prev_i][max_n][0]=-(gap_cost+(max_m-i)*extend_gap_cost);
        (*M1)[prev_i][max_n][1]=-(gap_cost+(max_m-i)*extend_gap_cost);
        (*M1)[prev_i][max_n][2]=NEG_INFTY;
        for (int j=\max_n; j>0; j--){
            int prev_j=j-1;
            (*M1)[prev_i][prev_j][0]=(*M1)[i%2][j][0]+
                                    c_matrix->get_score((*A)[i-1],(*B)[prev_j]);
            (*M1)[prev_i][prev_j][1]=max((*M1)[i%2][prev_j][0]-gap_cost,
                                        (*M1)[i%2][prev_j][1]-extend_gap_cost);
            (*M1)[prev_i][prev_j][2]=max((*M1)[prev_i][j][0]-gap_cost,
                                        (*M1)[prev_i][j][2]-extend_gap_cost);
            if((*M1)[prev_i][prev_j][1]>=(*M1)[prev_i][prev_j][0] &&
                (*M1)[prev_i][prev_j][1]>=(*M1)[prev_i][prev_j][2] ){
                 (*M1)[prev_i][prev_j][0]=(*M1)[prev_i][prev_j][1];
            } else if((*M1)[prev_i][prev_j][2]>=(*M1)[prev_i][prev_j][0] &&
                      (*M1)[prev_i][prev_j][2]>(*M1)[prev_i][prev_j][1]){
                 (*M1)[prev_i][prev_j][0]=(*M1)[prev_i][prev_j][2];
```

```
}
              if((i-1==0 || prev_j==0 ) &&
                  ((*M1)[prev_i][prev_j][0] == max_score)){
                  min_m=i-1;
                   min_n=prev_j;
                   break; //the first found is OK
              }
          }
      }
      //cleaning an eventual precalculated alignment
      (*line1).clear();
      (*line2).clear();
      (*line3).clear();
       if (max_score>0)
          build_linear_alignment(min_m,min_n,max_m,max_n,
                                  gap_cost,gap_cost,gap_cost);
      else
          max_score=(T)0;
  \langle memory\_line~82 \rangle
      delete M;
      delete M1;
  }//end semiglobal_linear_align()
This code is used in chunk 30.
```

# Chapter 4

# **Tests**

# 4.1 Regression tests

In order to test the current and the possible future versions of the produced libraries, we adopt the technique of "automate regression testing", which performs a sequence of tests to compare the expected behaviour of the classes with their real behaviour or to compare the new versions of our classes implementation with the old ones. This is done by comparing the output of some appropriate test programs executed on the latest versions of the classes, against the expected output or against the output of the same test programs executed on the old implementation of the classes.

The test requires the following tools:

- A test program (one for each class), which contains a main function implementing tests for all the features of the tested class;
- A behaviour file (one for each class), which contains the tests inputs and the corresponding expected outputs. The inputs provided are supposed to cover all the possible situations (even the unexpected ones) that can rise using the class;
- An output file (one for each class), which contains the actual outputs produced by the test program executed on the latest version of the class;
- A script program that executes the test programs, performs the comparison between the expected and the actual outputs, and reports the possible differences;

Then, the programmer must make sure that the behaviour of the classes hasn't changed, except in expected ways.

#### 4.1.1 Structure of the test program

#### Description of the main function

The test program contains a main function that implements all the suitable tests. Each test is identified by a number code (starting from 1), and can be executed independently from others.

The main function reads a code as parameter and selects the corresponding test. Then it reads the requested test inputs from standard input, executes the test and prints the results on standard output. If incorrect parameters or input values are found, the program reports an error and, if it's impossible to continue, ends the test and returns the 0 value.

#### Description of the variables

47

48

The main function uses two variables:

- line, of string data type, used to store each line read from the standard input.
- code, of int type, used to store the codes of the tests to execute.

```
\langle main \ function \ for \ test: \ head \ 47 \rangle \equiv
  #define SUCCESS 0
  #define CODE_ERROR 1
  #define INPUT_ERROR 2
  #define SYNTAX_ERROR 3
  int main (int argc, char *argv[]){
       int code;
       //check the correctness of the parameters
       if(argc<2) {
            std::cout << "Usage: " << argv[0] << " TEST_CODE\n";</pre>
            return SYNTAX_ERROR;
       code=atoi(argv[1]);
       if(code==0) {
            std::cout << "Error while testing: incorrect code.\n";</pre>
            return CODE_ERROR;
       }
This code is used in chunks 49 and 61.
\langle \mathit{main\ function\ for\ test:\ tail\ 48} \rangle \equiv
       return SUCCESS;
  }
```

This code is used in chunks 49 and 61.

#### 4.1.2 Structure of the test file

The test file must respect the following syntax:

- the first line of each test must begin with the special sequence "===="; everything after that is ignored and can, therefore, be viewed as a comment;
- after that, a test identifier (a numerical code) is expected;
- the third line must begin with the "\*\*\*\* sequence"; everything after that is ignored and can, therefore, be viewed as a comment;
- in the following lines, input data must be specified (each input on a different line);
- after all the input data have been entered, the program expects a line beginning with the "\*\*\*\*\* sequence"; everything after that is ignored and can, therefore, be viewed as a comment;
- in the following lines, the expected outputs must be specified;
- after all the expected outputs have been entered, either another test or the end of the file is expected.

# Test file example

```
====set_score(char, char, T) - 2 chars 1 T=====
3
****
G
С
5
****
5
====set_match_score(T) - 1 T====
4
****
3
            С
                   G
                         Τ
      Α
      3
            -1
                   -1
                         -1
С
      -1
            3
                   -1
                         -1
G
      -1
            -1
                   3
                         -1
      -1
Т
            -1
                   -1
                         3
gap cost=1
gap extension cost=1
```

# 4.1.3 Test program for score\_matrix class

```
This program implements all the suitable tests for the \langle score\_matrix \ 8 \rangle class.
49
         \langle score\_matrix.cpp \ 49 \rangle \equiv
            \langle licence 2 \rangle
            #include <iostream>
            #include <stdlib.h>
            #include <alibio/two_sequence.hpp>
            \langle main\ function\ for\ test:\ head\ 47 \rangle
            switch(code) {
                  case 1:
                         \langle testing\ score\_matrix(alibio::alphabet)\ constructor\ 51 \rangle
                        \langle testing \ set\_score(char, \ char, \ T) \ function \ 52 \rangle
                        break;
                         \langle testing \ get\_score(char, \ char) \ function \ 53 \rangle
                        break;
                  case 4:
                         \langle testing \ set\_match\_score(T) \ function \ 54 \rangle
                  case 5:
```

This code is used in chunk 49.

```
\langle testing \ set\_mismatch\_score(T) \ function \ 55 \rangle
                    break;
               case 6:
                    \langle testing \ set\_gap\_cost(T) \ function \ 56 \rangle
                    break;
               case 7:
                    \langle testing \ set\_gap\_cost(T,T) \ function \ 57 \rangle
               case 8:
                    \langle testing \ get\_gap\_cost() \ function \ 58 \rangle
                    break:
               case 9:
                    \langle testing \ get\_extend\_gap\_cost() \ function \ 59 \rangle
                    break;
               case 10:
                    ⟨testing print() function 60⟩
                    break;
               default:
                    std::cout << "Error while testing: code '" << code << "' not found";
          \langle main\ function\ for\ test:\ tail\ 48 \rangle
       Root chunk (not used in this document).
       Preparing score_matrix object
       \langle preparing\ score\_matrix\ object\ 50 \rangle \equiv
50
               //declaring an alphabet for DNA
               alibio::alphabet dna("DNA");
               //adding symbols to the alphabet
               dna.add_symbol(alibio::symbol('A', "Adenine"));
               dna.add_symbol(alibio::symbol('C', "Cytosine"));
               dna.add_symbol(alibio::symbol('T', "Thymine"));
               dna.add_symbol(alibio::symbol('G', "Guanine"));
               //creating the score matrix
               alibio::score_matrix<float> myscores(dna);
       This code is used in chunks 51-60 and 62-65.
       Testing score_matrix constructor
       The test creates an alibio::alphabet object and uses it for instantiating a \( \score_matrix \) 8\\
       object
       \langle testing \ score\_matrix(alibio::alphabet) \ constructor \ 51 \rangle \equiv
51
               ⟨preparing score_matrix object 50⟩
          }
```

53

# Testing set\_score function

The test reads from the input the size of the distance matrix that will be created using the generic constructor score\_matrix(int dim) and prints it. Then reads a second size and resize the object using resize(int dim). Finally prints the resized object on the standard output using print() function.

This code is used in chunk 49.

# Testing get\_score function

The test creates an alibio::alphabet and a  $\langle score\_matrix~8 \rangle$  object, it reads from the standard input two characters (one per line) and prints the score of switching between the first to the second getting the value from the  $\langle score\_matrix~8 \rangle$  object. If one or booth of the chars are not listed in the alphabet, the test prints an error message.

# Testing set\_match\_score function

```
The test creates a \langle score\_matrix \ 8 \rangle object
       \langle testing \ set\_match\_score(T) \ function \ 54 \rangle \equiv
54
               \langle preparing\ score\_matrix\ object\ 50 \rangle
               //reading 1 double from stdin
               std::string line1;
               std::getline(std::cin,line1,'\n');
               myscores.set_match_score(atof(line1.c_str()));
               myscores.print();
          }
       This code is used in chunk 49.
       Testing set_mismatch_score function
       The test creates a \langle score\_matrix \ 8 \rangle object
55
       \langle testing \ set\_mismatch\_score(T) \ function \ 55 \rangle \equiv
          {
               ⟨preparing score_matrix object 50⟩
               //reading 1 double from stdin
               std::string line1;
               std::getline(std::cin,line1,'\n');
               myscores.set_mismatch_score(atof(line1.c_str()));
               myscores.print();
       This code is used in chunk 49.
       Testing set_gap_cost function
       The test creates a \langle score\_matrix \ 8 \rangle object.
       \langle testing \ set\_gap\_cost(T) \ function \ 56 \rangle \stackrel{\checkmark}{\equiv}
56
          {
               \langle preparing\ score\_matrix\ object\ 50 \rangle
               //reading 1 double from stdin
               std::string line1;
               std::getline(std::cin,line1,'\n');
               myscores.set_gap_cost(atof(line1.c_str()));
               cout << myscores.get_gap_cost() << endl;</pre>
               cout << myscores.get_extend_gap_cost();</pre>
          }
       This code is used in chunk 49.
```

```
57
        \langle testing \ set\_gap\_cost(T,T) \ function \ 57 \rangle \equiv
                \langle preparing\ score\_matrix\ object\ 50 \rangle
                //reading 2 double from stdin
                std::string line1, line2;
                std::getline(std::cin,line1,'\n');
                std::getline(std::cin,line2,'\n');
                myscores.set_gap_cost(atof(line1.c_str()),atof(line2.c_str()));
                cout << myscores.get_gap_cost() << endl;</pre>
                cout << myscores.get_extend_gap_cost();</pre>
          }
        This code is used in chunk 49.
        Testing get_gap_cost function
        The test creates a \langle score\_matrix \ 8 \rangle object.
58
        \langle testing \ get\_gap\_cost() \ function \ 58 \rangle \equiv
          {
                \langle preparing\ score\_matrix\ object\ 50 \rangle
                cout << myscores.get_gap_cost();</pre>
          }
        This code is used in chunk 49.
        Testing get_extend_gap_cost function
        The test creates a \langle score\_matrix \, 8 \rangle object.
59
        \langle testing \ get\_extend\_gap\_cost() \ function \ 59 \rangle \equiv
                \langle preparing\ score\_matrix\ object\ 50 \rangle
                cout << myscores.get_extend_gap_cost();</pre>
          }
        This code is used in chunk 49.
        Testing print function
        The test creates a \langle score\_matrix \, 8 \rangle object.
60
        \langle testing \ print() \ function \ 60 \rangle \equiv
          {
                ⟨preparing score_matrix object 50⟩
                myscores.print();
          }
        This code is used in chunk 49.
```

# 4.1.4 Test program for seq\_alignment class

```
This program implements the tests for the \langle seq\_alignment \ 25 \rangle class.
\langle seq\_alignment.cpp 61 \rangle \equiv
  \langle licence 2 \rangle
  #include <iostream>
  #include <stdlib.h>
  #include <alibio/two_sequence.hpp>
  \langle main \ function \ for \ test: \ head \ 47 \rangle
  switch(code) {
        case 1:
              \langle testing \ seq\_alignment \ vector \ constructor \ 62 \rangle
        case 2:
              ⟨testing seq_alignment string constructor 63⟩
        case 3:
              ⟨testing seq_alignment bio_string constructor 64⟩
              break;
        case 4:
              \langle testing \ nw\_align() \ function \ 66 \rangle
              break;
        case 5:
              ⟨testing sw_align() function 67⟩
              break;
              \langle testing \ nw\_affine\_align() \ function \ 68 \rangle
              break;
        case 7:
              \langle testing \ sw\_affine\_align() \ function \ 69 \rangle
              break;
        case 8:
              \langle testing \ semiglobal\_affine\_align() \ function \ 70 \rangle
              break;
              ⟨testing nw_linear_align() function 71⟩
              break;
        case 10:
              \langle testing \ sw\_linear\_align() \ function \ 72 \rangle
              break;
        case 11:
              \langle testing \ semiglobal\_linear\_align() \ function \ 73 \rangle
              break;
              ⟨testing nw_affine_align() with a different alphabet 74⟩
              break;
        default:
              std::cout << "\nError while testing: code '" << code << "' not found";</pre>
  }
  \langle main \ function \ for \ test: \ tail \ 48 \rangle
```

This code is used in chunk 61.

Root chunk (not used in this document).

# Testing seq\_alignment constructors

```
This test verifies the (seq_alignment 25) vector constructor using the DNA alphabet and the
      default score matrix
62
      \langle testing \ seg\_alignment \ vector \ constructor \ 62 \rangle \equiv
             \langle preparing\ score\_matrix\ object\ 50 \rangle
             //reading 2 strings from stdin
             std::string line1;
             std::string line2;
             std::getline(std::cin,line1,'\n');
             std::getline(std::cin,line2,'\n');
             vector<char> A(line1.begin(),line2.end());
             vector<char> B(line1.begin(),line2.end());
             //creating the alignment matrix
             alibio::seq_alignment<float> my_alignment(myscores, A, B);
         }
      This code is used in chunk 61.
      This test verifies the (seq_alignment 25) string constructor using the DNA alphabet and the
      default score matrix
63
      \langle testing \ seq\_alignment \ string \ constructor \ 63 \rangle \equiv
         {
             ⟨preparing score_matrix object 50⟩
             //reading 2 strings from stdin
             std::string line1;
             std::string line2;
             std::getline(std::cin,line1,'\n');
             std::getline(std::cin,line2,'\n');
             //creating the alignment matrix
             alibio::seq_alignment<float> my_alignment(myscores, line1, line2);
         }
```

This test verifies the  $\langle seq\_alignment\ 25\rangle$  bio\_string constructor using the DNA alphabet and the default score matrix

```
\langle testing \ seq\_alignment \ bio\_string \ constructor \ 64 \rangle \equiv
  {
      \langle preparing\ score\_matrix\ object\ 50 \rangle
      //declaring 2 sequences with "bio_string" class as primary structure and
      //"empty" class as secondary and tertiary structures
      alibio::sequence<alibio::bio_string,alibio::empty,alibio::empty> seq_one;
      alibio::sequence<alibio::bio_string,alibio::empty,alibio::empty> seq_two;
      //setting the alphabet (working on "seq_one.primary")
      seq_one.primary.set_alphabet(dna);
      seq_two.primary.set_alphabet(dna);
      //reading 2 strings from stdin
      std::string line1;
      std::string line2;
      std::getline(std::cin,line1,'\n');
      std::getline(std::cin,line2,'\n');
      //setting the sequence (working on "seq_one.primary")
      seq_one.primary.set_sequence(line1);
      //setting the sequence (working on "seq_two.primary")
      seq_two.primary.set_sequence(line2);
      //creating the alignment matrix
      alibio::seq_alignment<float> my_alignment(myscores,
                                                   seq_one.primary, seq_two.primary);
  }
```

This code is used in chunk 61.

# Preparing seq\_alignment object

In this section we prepare a  $\langle seq\_alignment \ 25 \rangle$  object that will be used for all the alignment tests

```
\langle preparing \ seq\_alignment \ object \ 65 \rangle \equiv
```

```
//modifying score matrix
myscores.set_match_score(5);
myscores.set_mismatch_score(-4);
myscores.set_gap_cost(10, 0.5);

//reading 2 strings from stdin
std::string line1;
std::string line2;
std::getline(std::cin,line1,'\n');
std::getline(std::cin,line2,'\n');

//creating the alignment object
alibio::seq_alignment<float> my_alignment(myscores, line1, line2);
This code is used in chunks 66-73.
```

# Testing nw\_align function

```
 \begin{array}{ll} 66 & \langle testing \; nw\_align() \; function \; 66 \rangle \equiv \\ & \{ \\ & \langle preparing \; seq\_alignment \; object \; 65 \rangle \\ & \text{my\_alignment.nw\_align();} \\ & \text{my\_alignment.print\_alignment();} \\ & \} \end{array}
```

This code is used in chunk 61.

#### Testing sw\_align function

```
67 \langle testing \ sw\_align() \ function \ 67 \rangle \equiv { \langle preparing \ seq\_alignment \ object \ 65 \rangle my_alignment.sw_align(); my_alignment.print_alignment(); }
```

This code is used in chunk 61.

# $Testing \ {\tt nw\_affine\_align} \ function$

# Testing sw\_affine\_align function

```
69 \langle testing \ sw\_affine\_align() \ function \ 69 \rangle \equiv { \langle preparing \ seq\_alignment \ object \ 65 \rangle my_alignment.sw_affine_align(); my_alignment.print_alignment(); }
```

This code is used in chunk 61.

# Testing semiglobal\_affine\_align function

```
70     ⟨testing semiglobal_affine_align() function 70⟩≡
     {
          ⟨preparing seq_alignment object 65⟩
          my_alignment.semiglobal_affine_align();
          my_alignment.print_alignment();
}
```

This code is used in chunk 61.

# Testing nw\_linear\_align function

```
71  ⟨testing nw_linear_align() function 71⟩≡
{
    ⟨preparing seq_alignment object 65⟩
    my_alignment.nw_linear_align();
    my_alignment.print_alignment();
}
```

This code is used in chunk 61.

### Testing sw\_linear\_align function

```
72  \langle \testing sw_linear_align() function 72 \rangle \\
    \langle preparing seq_alignment object 65 \rangle
    my_alignment.sw_linear_align();
    my_alignment.print_alignment();
    }

This code is used in chunk 61.
```

# Testing semiglobal\_linear\_align function

```
73     ⟨testing semiglobal_linear_align() function 73⟩≡
{
          ⟨preparing seq_alignment object 65⟩
          my_alignment.semiglobal_linear_align();
          my_alignment.print_alignment();
}
```

This code is used in chunk 61.

#### Testing nw\_affine\_align with a different alphabet

```
This test runs the nw_affine_align using a different alphabet
      \langle testing \ nw\_affine\_align() \ with \ a \ different \ alphabet \ 74 \rangle \equiv
74
            //declaring an alphabet for DNA
            alibio::alphabet prot("Protein");
            //adding symbols to the alphabet
            prot.add_symbol(alibio::symbol('A'));
            prot.add_symbol(alibio::symbol('R'));
            prot.add_symbol(alibio::symbol('N'));
            prot.add_symbol(alibio::symbol('D'));
            prot.add_symbol(alibio::symbol('C'));
            prot.add_symbol(alibio::symbol('Q'));
            prot.add_symbol(alibio::symbol('E'));
            prot.add_symbol(alibio::symbol('G'));
            prot.add_symbol(alibio::symbol('H'));
            prot.add_symbol(alibio::symbol('I'));
            prot.add_symbol(alibio::symbol('L'));
            prot.add_symbol(alibio::symbol('K'));
            prot.add_symbol(alibio::symbol('M'));
            prot.add_symbol(alibio::symbol('F'));
            prot.add_symbol(alibio::symbol('P'));
            prot.add_symbol(alibio::symbol('S'));
            prot.add_symbol(alibio::symbol('T'));
            prot.add_symbol(alibio::symbol('W'));
            prot.add_symbol(alibio::symbol('Y'));
            prot.add_symbol(alibio::symbol('V'));
            prot.add_symbol(alibio::symbol('B'));
            prot.add_symbol(alibio::symbol('Z'));
            prot.add_symbol(alibio::symbol('X'));
            //creating the score matrix for "prot" alphabet
            alibio::score_matrix<float> prot_scores(prot);
            //reading 2 strings from stdin
            std::string line1;
            std::string line2;
            //reading match score from stdin
            std::string line3;
            //reading gap cost from stdin
            std::string line4;
            //reading extending gap cost from stdin
            std::string line5;
            //reading mismatch score from stdin
            std::string line6;
            std::getline(std::cin,line1,'\n');
```

std::getline(std::cin,line2,'\n');

```
std::getline(std::cin,line3,'\n');
std::getline(std::cin,line4,'\n');
std::getline(std::cin,line5,'\n');
std::getline(std::cin,line6,'\n');

//modifying score matrix
prot_scores.set_match_score(atof(line3.c_str()));
prot_scores.set_gap_cost(atof(line4.c_str()),atof(line5.c_str()));
prot_scores.set_mismatch_score(atof(line6.c_str()));

//creating the alignment matrix
alibio::seq_alignment
float> prot_alignment(prot_scores, line1, line2);

//printing alignment
prot_alignment.nw_affine_align();
prot_alignment.print_alignment();
}
```

This code is used in chunk 61.

### 4.2 Memory leaks test

Because of the great amount of operations over arrays that are used in our library we though that there was great probabilities of memory leaks in our software so we decided to test it using a Free Software (cfr.2.1) project called Valgrind that includes a powerfull memory analyzer.

Using this software is very easy: we just had to launch our example program  $\langle two\_sequence\_example.cpp.78 \rangle$  with the command

```
$ valgrind --leak-check=yes ./two_sequence_example.bin
```

#### 4.3 Random tests

We decided to test our functions against random generated sequences hoping to detect errors in algorithms implementation that didn't appear in our previous tests

We wrote code for calculating alignment scores by printed alignment, this way we could detect inconsistencies between them and find critical sequences for further tests. Decommenting the following debugging code we obtain additional output that will be used in  $\langle random\_test.cpp 76 \rangle$ .

The code reads the alignment memorized in line1, line2 and line3 and in the first part calculates the alignment score supposing we did an affine gap penalty (cfr.2.1) alignment, while in the second part it does the same for linear gap penalty (cfr.2.1) alignments.

```
\langle debug\_random 75 \rangle \equiv
 //decomment for random test
 /*
 T true_score=0;
 It=line2->begin();
 for (int i=0;It!=line2->end();i++){
      if ((*line2)[i]==', '){//gap
          if ((*line1)[i]=='-'){//gap in A
              if (i>0 && (*line1)[i-1]=='-')
                   true_score-=extend_gap_cost;
              else true_score-=gap_cost;
          } else {//gap in B
              if (i>0 && (*line3)[i-1]=='-')
                   true_score-=extend_gap_cost;
              else true_score-=gap_cost;
      }else true_score+=c_matrix->get_score((*line1)[i],(*line3)[i]);
      It++;
 }
 T lin_score=0;
 It=line2->begin();
 for (int i=0;It!=line2->end();i++){}
      if ((*line2)[i]==', '){//gap
          if ((*line1)[i]=='-'){//gap in A
```

75

This code is used in chunk 34.

The actual program generates random sequences of random length but not longer than the value in max\_seq\_length. It creates them upon a specified alphabet whose length is given in alph\_size variable, then it calls all the alignment functions.

The whole procedure is repeated as many times as indicated in repetitions variable.

76

```
\langle \mathit{random\_test.cpp} \ 76 \rangle {\equiv}
  \langle licence 2 \rangle
  #include <iostream>
  #include <stdlib.h>
  #include <alibio/two_sequence.hpp>
  int main(){
      int alph_size=4;
      int repetitions=2000;
      int max_seq_length=30;
      //Getting internal clock time for random
      srand(time(NULL));
      //declaring an alphabet
      alibio::alphabet my_alph("my alphabet");
      //adding symbols to the alphabet
      for (int i=0; i<alph_size; i++){</pre>
           my_alph.add_symbol(alibio::symbol('A'+i));
      }
      alibio::score_matrix<> myscores(my_alph);
      //changing scores
      myscores.set_match_score(5);
      myscores.set_mismatch_score(-4);
      myscores.set_gap_cost(10, 0.5);
      for (int n=0;n<repetitions;n++){</pre>
           vector<char> fst, snd;
           int n=rand() % max_seq_length;
           int m=rand() % max_seq_length;
           fst.reserve(n);
           snd.reserve(m);
           //generating strings randomly
           for (int i=0; i<n; i++){
               fst.push_back('A'+(rand() % alph_size));
               cout << fst[i];</pre>
           } cout << endl;</pre>
           for (int j=0; j < m; j++){
               snd.push_back('A'+(rand() % alph_size));
               cout << snd[j];</pre>
           } cout << endl;</pre>
           //creating the alignment matrix
           alibio::seq_alignment<> my_alignment(myscores, fst , snd);
           //do the alignments
           cout << "Optimal global alignment (linear gap penalty)\n";</pre>
```

```
my_alignment.nw_align();
          my_alignment.print_alignment();
          cout << "Optimal local alignment (linear gap penalty)\n";</pre>
          my_alignment.sw_align();
          my_alignment.print_alignment();
          cout << "Optimal global alignment (affine gap penalty)\n";</pre>
          my_alignment.nw_affine_align();
          my_alignment.print_alignment();
          cout << "Optimal local alignment (affine gap penalty)\n";</pre>
          my_alignment.sw_affine_align();
          my_alignment.print_alignment();
          cout << "Optimal semiglobal alignment (affine gap penalty)\n";</pre>
          my_alignment.semiglobal_affine_align();
          my_alignment.print_alignment();
          cout << "Optimal global alignment (affine gap penalty-linear space)\n";</pre>
          my_alignment.nw_linear_align();
          my_alignment.print_alignment();
          cout << "Optimal local alignment (affine gap penalty-linear space)\n";</pre>
          my_alignment.sw_linear_align();
          my_alignment.print_alignment();
          cout<<"Optimal semiglobal alignment (affine gap penalty-linear space)\n";</pre>
          my_alignment.semiglobal_linear_align();
          my_alignment.print_alignment();
      return 0;
 }
Root chunk (not used in this document).
```

To analyze the huge output of our test program we wrote a GAWK script that verifies not only the correctness of reported alignment scores but also that affine alignments gave the same results of the corresponding linear-space ones. The script needs only score values to work, so we need to filter the  $\langle random\_test.cpp\ 76 \rangle$  output with the UNIX command grep and then give it as input to the script  $\langle random\_test.awk\ 77 \rangle$ . We did it with the following command assuming we compiled  $\langle random\_test.cpp\ 76 \rangle$  as random\_test.bin

#### \$ ./random\_test.bin | grep score | ./random\_test.awk

The script writes a line for each error found, if no errors are found nothing is printed.

```
\langle random\_test.awk 77 \rangle \equiv
 #!/usr/bin/awk -f
 BEGIN {}
      //for each alignment we memorize the alignment score in the variable "old"
      if ((NR%16)%2==1) old=$3;
      if ((NR\%16)\%2==0){
          //in case of linear gap penalty we compare with the corresponding value
          if (NR%16==2 || NR%16==4){
              if ($4!=old) print old "<-->" $4 " ERROR " NR%16 "\n";
          }
          //in case of affine gap penalty we do the same
          else if($3!=old) print old "<-->" $3 " ERROR " NR%16 "\n";
      }
      else if (NR%16==5) var5=$3;//nw_affine_align score
      else if (NR%16==7) var7=$3;//sw_affine_align score
      else if (NR%16==9) var9=$3;//semiglobal_affine_align score
      else if (NR%16==11) {//comparing nw_affine and nw_linear
          if ($3!=var5) print var5 "<-->" $3 " GLOBAL\n";
      } else if (NR%16==13) {//comparing sw_affine and sw_linear
          if ($3!=var7) print var7 "<-->" $3 " LOCAL\n";
      } else if (NR%16==15) {//comparing semiglobal_affine and semiglobal_linear
          if ($3!=var9) print var9 "<-->" $3 " SEMIGLOBAL\n";
      }
 }
 END{}
```

Root chunk (not used in this document).

77

This test permitted to discover a number of subtle software bugs that couldn't be found with the previous tests.

## Chapter 5

# Examples

78

The following example program can be used as a quick tutorial on how the alignment functions can be used in conjunction with other ALiBio parts: let's start declaring an alibio::alphabet and adding symbols to it:

```
\langle two_sequence_example.cpp 78\rangle \langle licence 2\rangle
#include <iostream>
#include <stdlib.h>
#include <alibio/two_sequence.hpp>

int main() {
    //declaring an alphabet for DNA
    alibio::alphabet dna("DNA");

    //adding symbols to the alphabet
    dna.add_symbol(alibio::symbol('a',"Adenine"));
    dna.add_symbol(alibio::symbol('c',"Cytosine"));
    dna.add_symbol(alibio::symbol('t',"Thymine"));
    dna.add_symbol(alibio::symbol('g',"Guanine"));

This definition is continued in chunks 79-81.
Root chunk (not used in this document).
```

Then we create two alibio::sequence objects and assign to their primary structures the alibio::alphabet just created and two strings based on it:

```
//wo_sequence_example.cpp 78\rangle =
    //declaring 2 sequences with "bio_string" class as primary structure and
    //"empty" class as secondary and tertiary structures
    alibio::sequence<alibio::bio_string,alibio::empty,alibio::empty> seq_one;
    alibio::sequence<alibio::bio_string,alibio::empty,alibio::empty> seq_two;

    //setting the alphabet (working on "seq_one.primary")
    seq_one.primary.set_alphabet(dna);
    seq_two.primary.set_alphabet(dna);

    //setting the sequence (working on "seq_one.primary")
    seq_one.primary.set_sequence("ATTAGCATTAGACT");

    //setting the sequence (working on "seq_two.primary")
    seq_two.primary.set_sequence("GCCGTCTTC");
```

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80

We need to create a  $\langle score\_matrix~8 \rangle$  object constructing it from the same alphabet, now we can modify the scores as we like: by category (match, mismatch, gap) or by specifying a specific char couple:

Finally we can call the alignment methods and print the generated alignments:

81

```
\langle two\_sequence\_example.cpp 78 \rangle + \equiv
      cout << "Optimal global alignment (linear gap penalty)\n";</pre>
      my_alignment.nw_align();
      my_alignment.print_alignment();
      cout << "Optimal local alignment (linear gap penalty)\n";</pre>
      my_alignment.sw_align();
      my_alignment.print_alignment();
      cout << "Optimal global alignment (affine gap penalty)\n";</pre>
      my_alignment.nw_affine_align();
      my_alignment.print_alignment();
      cout << "Optimal local alignment (affine gap penalty)\n";</pre>
      my_alignment.sw_affine_align();
      my_alignment.print_alignment();
      cout << "Optimal semiglobal alignment (affine gap penalty)\n";</pre>
      my_alignment.semiglobal_affine_align();
      my_alignment.print_alignment();
      cout << "Optimal global alignment (affine gap penalty - linear space)\n";</pre>
      my_alignment.nw_linear_align();
      my_alignment.print_alignment();
      \verb|cout| << "Optimal local alignment" (affine gap penalty - linear space) \\ \verb|n"|;
      my_alignment.sw_linear_align();
      my_alignment.print_alignment();
      cout << "Optimal semiglobal alignment (affine gap penalty - linear space)\n";</pre>
      my_alignment.semiglobal_linear_align();
      my_alignment.print_alignment();
      return 0;
  }
```

## Chapter 6

# Performance analysis

This chapter describes how to measure time and space performance of our algorithms, it also includes the scripts we used to produce the graphs presented in section 6.2.

## 6.1 Generating data

82

The following c++ program generates the files time.dat and space.dat which contain time and memory used by  $\langle nw\_align 35 \rangle$ ,  $\langle nw\_affine\_align 38 \rangle$  and  $\langle nw\_linear\_align 44 \rangle$  functions when operating over sequences of increasing length (from 0 to 3000 chars).

To obtain memory data, we need to decomment the following line which calls the UNIX command ps and transforms the result from KBytes to Bytes before writing it on the file space.dat.

The command supposes we compiled the  $\langle performance.cpp 83 \rangle$  source file to performance.bin.  $\langle memory\_line 82 \rangle \equiv$ 

This code is used in chunks 35, 36, 38-40, and 44-46.

83

The program begins declaring some local variable whose meaning is explained in the code comments

```
\langle performance.cpp 83 \rangle \equiv
  \langle licence 2 \rangle
  #include <iostream>
  #include <fstream>
  #include <stdlib.h>
  #include <time.h>
  #include <alibio/two_sequence.hpp>
  int main(){
      //number of symbols in the alphabet
      int alph_size=4;
      //max length of the sequences
      int seq_size=3000;
      //variables for storing time measures
      time_t start,end;
      double dif;
      //output buffer for writing time measures
      ofstream out_time;
This definition is continued in chunks 84 and 85.
Root chunk (not used in this document).
```

Then the program generates an alphabet of alph\_size size and deletes the files time.dat and space.dat if they exist. It also initialize the random number generator with a seed obtained by PC's clock.

```
//declaring an alphabet
alibio::alphabet my_alph("my alphabet");
//adding symbols to the alphabet
for (int i=0; i<alph_size; i++){
    my_alph.add_symbol(alibio::symbol('A'+i));
}
alibio::score_matrix<int> myscores(my_alph);

if( remove( "time.dat" ) == -1 )
    perror( "Error deleting file" );
if( remove( "space.dat" ) == -1 )
    perror( "Error deleting file" );

//Getting internal clock time for random seading srand(time(0));
```

85

The program then generates randomly the sequences and calls the alignment functions on them printing the used time on time.dat while the decommented  $\langle memory\_line~82 \rangle$  prints memory usage on space.dat. Everything is repeated until sequence size equals seq\_size.

```
\langle performance.cpp 83 \rangle + \equiv
      for (int n=0; n\le eq_size; n+=1000){
          vector<char> fst, snd;
          fst.reserve(n); snd.reserve(n);
          //generating strings randomly
          for (int i=0; i<n; i++){
              fst.push_back('A'+(rand() % alph_size));
              cout << fst[i];</pre>
          cout << endl;</pre>
          for (int i=0; i<n; i++){
              snd.push_back('A'+(rand() % alph_size));
              cout << snd[i];</pre>
          cout << endl;</pre>
          //creating the alignment matrix
          alibio::seq_alignment<int> my_alignment(myscores, fst , snd);
 //GLOBAL ALIGNMENT - LINEAR GAP PENALTY
          time (&start);//reset timer
          my_alignment.nw_align();//do the alignment
          my_alignment.print_alignment();
          //writing time elapsed in time.dat
          time (&end);
          dif = difftime (end,start);
          out_time.open("time.dat", ofstream::out | ofstream::app);
          out_time << dif << endl; out_time.close();</pre>
 //GLOBAL ALIGNMENT - AFFINE GAP PENALTY
          time (&start);//reset timer
          my_alignment.nw_affine_align();//do the alignment
          my_alignment.print_alignment();
          //writing time elapsed in time.dat
          time (&end):
          dif = difftime (end,start);
          out_time.open("time.dat", ofstream::out | ofstream::app);
          out_time << dif << endl; out_time.close();</pre>
 //GLOBAL ALIGNMENT - AFFINE GAP PENALTY - LINEAR SPACE
          time (&start);//reset timer
          my_alignment.nw_linear_align();//do the alignment
          my_alignment.print_alignment();
          //writing time elapsed in time.dat
          time (&end);
```

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```
dif = difftime (end,start);

  out_time.open("time.dat", ofstream::out | ofstream::app);
  out_time << dif << endl; out_time.close();
}
  return 0;
}</pre>
```

The produced files are then formatted by the following GAWK script creating <code>gnuplot\_time.dat</code> and <code>gnuplot\_space.dat</code> with the <code>UNIX</code> commands

```
$ performance.awk time.dat >> gnuplot_time.dat
$ performance.awk space.dat >> gnuplot_space.dat
$ /performance.awk 86 \=
#!/usr/bin/awk -f
BEGIN {ORS=" "; nchar="0";}
{
    if (NR==1) base=$0;

    if (NR%3==1) {
        print "\n" nchar "\t" $0;
        nchar+=10;
    }
    else print "\t" $0;
}
END{print "\n";}
Root chunk (not used in this document).
```

The data files obtained are then plotted with the following Gnuplot script.  $\langle performance.plot 87 \rangle \equiv$ 87 #!/usr/bin/gnuplot set terminal postscript eps enhanced color set xlabel "Input size (chars)" set out 'gnuplot\_time.eps' #set xlabel "Input size (chars)" set ylabel "Time (sec)" set title "Time performance" plot \ 'gnuplot\_time.dat' using 1:2 title 'nw align' \ smooth csplines lt 1 linewidth 3, \ 'gnuplot\_time.dat' using 1:3 title 'nw affine align' \ smooth csplines lt 2 linewidth 3,  $\setminus$ 'gnuplot\_time.dat' using 1:4 title 'nw linear align' \ smooth csplines lt 3 linewidth 3 set out 'gnuplot\_space.eps' #set xlabel "Input size (chars)" set ylabel "Space (Bytes)" set format y "%.0s{/Symbol \327}10^{%S}" set title "Space performance" #unset logscale plot \ 'gnuplot\_space.dat' using 1:2 title 'nw align' \ smooth csplines lt 1 linewidth 3, \ 'gnuplot\_space.dat' using 1:3 title 'nw affine align' \ smooth csplines lt 2 linewidth 3, \ 'gnuplot\_space.dat' using 1:4 title 'nw linear align' \ smooth csplines 1t 3 linewidth 3 set logscale xy set xtics (1000,1500,2000,2500,3000) set out 'gnuplot\_time\_compl.eps' #set xlabel "Input size (chars)" set ylabel "Time (sec)" set format y "10^{%L}" set title "Time complexity (log axes)" plot [1000:] \ 'gnuplot\_time.dat' using 1:2 title 'nw align' \ smooth csplines lt 1 linewidth 3, \ 'gnuplot\_time.dat' using 1:3 title 'nw affine align' \ smooth csplines lt 2 linewidth 3,  $\setminus$ 'gnuplot\_time.dat' using 1:4 title 'nw linear align' \ smooth csplines lt 3 linewidth 3, \ (x\*\*2)/100000 title 'quadratic' smooth csplines lt -1

set out 'gnuplot\_space\_compl.eps'

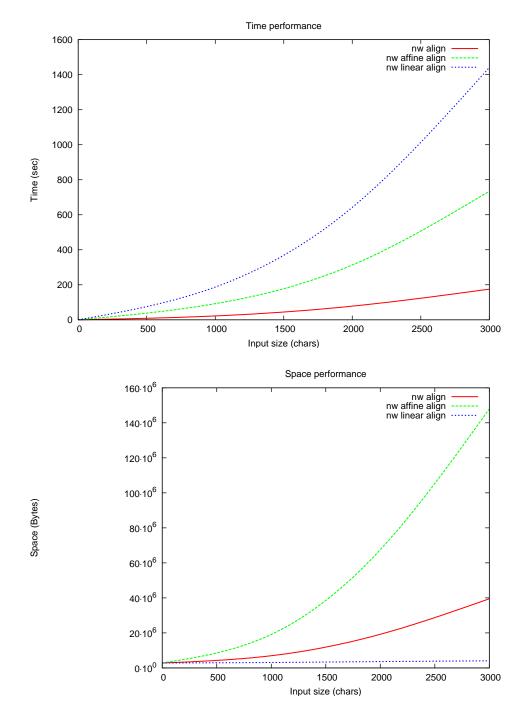
#set xlabel "Input size (chars)"

6.2 Results

### 6.2 Results

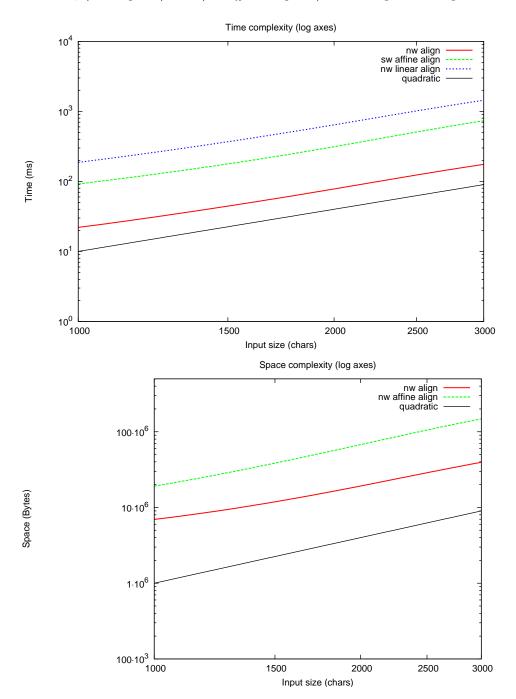
The following graphs represent time and space performances of our implementations, from them we can obtain informations about which algorithms are faster or memory-hungrier.

We can already notice that the space complexity of  $\langle nw\_linear\_align~44 \rangle$  is linear while the others are polynomial.



6.2 Results

To have a visual indication of the polynomial functions rank we use logaritmic scale for both axes, now polynomials are straight lines whose slope depends on rank: if a function looks parallel to  $x^2$  we can assure that its implementation is really quadratic. As expected, all the functions are quadratic-time,  $\langle nw\_align \ 35 \rangle$  and  $\langle nw\_affine\_align \ 38 \rangle$  are also quadratic-space.



### 6.3 Performance comparison

In this section we compare the performances of our functions against the equivalent ones obtained from a well known project named EMBOSS[16] which is also Free Software (cfr.2.1). We used a Valgrind[17] tool called Massif which is a memory profiler that generates an easily understandable graph of memory usage and time compsunction for a given program.

In order to test the program over a realistic input we downloaded two uncorrelated nucleotide sequences from the European Bioinformatics website: embl:AF177870 and embl:L07770.

We also used a very common score matrix which corresponds to the EDNAFULL matrix in the EMBOSS package:

```
Α
       Τ
           G
   5
      -4
Α
          -4
              -4
  -4
       5 -4
  -4
      -4
           5 -4
  -4
     -4 -4
               5
```

We tested the Affine gap penalties versions of the algorithms using 10 as gap opening cost and 1 as extending cost.

#### The programs

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All of the programs share a common part that takes care of creating the needed alibio::seq\_alignment object:

```
\langle object\_preparing \ 88 \rangle \equiv
      //declaring an alphabet for DNA
      alibio::alphabet dna("DNA");
      //adding symbols to the alphabet
      dna.add_symbol(alibio::symbol('a', "Adenine"));
      dna.add_symbol(alibio::symbol('c',"Cytosine"));
      dna.add_symbol(alibio::symbol('t', "Thymine"));
      dna.add_symbol(alibio::symbol('g', "Guanine"));
      //declaring 2 sequences with "bio_string" class as primary structure and
      //"empty" class as secondary and tertiary structures
      alibio::sequence<alibio::bio_string,alibio::empty,alibio::empty> seq_one;
      alibio::sequence<alibio::bio_string,alibio::empty,alibio::empty> seq_two;
      //setting the alphabet (working on "seq_one.primary")
      seq_one.primary.set_alphabet(dna);
      seq_two.primary.set_alphabet(dna);
      //setting the sequence (working on "seq_one.primary")
      \langle embl: AF17787089 \rangle
```

```
//setting the sequence (working on "seq_two.primary")
              \langle embl:L0777090 \rangle
              alibio::score_matrix<int> myscores(dna);
              //modifying the score_matrix
             myscores.set_match_score(5);
             myscores.set_mismatch_score(-4);
              //modifying the score_matrix
             myscores.set_gap_cost(10,1);
              //creating alignment object
              alibio::seq_alignment<int> my_alignment(myscores,
                                                               seq_one.primary, seq_two.primary);
       This code is used in chunks 91–93.
       The lines of code that assign the downloaded sequences to the object
89
       \langle embl:AF17787089 \rangle \equiv
         seq_one.primary.set_sequence("gaacgcgaatgcctc ... aagaggttttcacag");
       This code is used in chunk 88.
       \langle embl:L0777090\rangle \equiv
90
         seq_two.primary.set_sequence("ggtagaacagcttca ... aaatttctttgcaagt");
       This code is used in chunk 88.
       Here are the actual programs used for graph creation:
       \langle semiglobal\_affine.cpp 91 \rangle \equiv
91
         \langle licence 2 \rangle
         #include <iostream>
         #include <stdlib.h>
         #include <alibio/two_sequence.hpp>
         int main(){
              \langle object\_preparing 88 \rangle
              cout << "Optimal semiglobal alignment (affine gap penalty)\n";</pre>
             my_alignment.semiglobal_affine_align();
             my_alignment.print_alignment();
             return 0;
         }
       Root chunk (not used in this document).
```

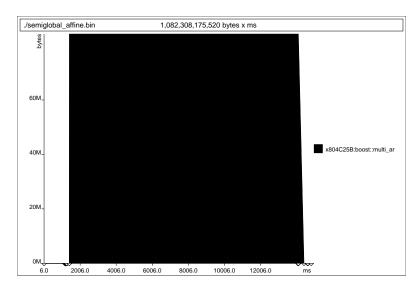
```
\langle sw\_affine.cpp 92 \rangle \equiv
92
         \langle licence 2 \rangle
         #include <iostream>
         #include <stdlib.h>
         #include <alibio/two_sequence.hpp>
         int main(){
               \langle object\_preparing 88 \rangle
              cout << "Optimal local alignment (affine gap penalty)\n";</pre>
              my_alignment.sw_affine_align();
              my_alignment.print_alignment();
              return 0;
         }
       Root chunk (not used in this document).
93
       \langle nw\_linear.cpp 93 \rangle \equiv
         \langle licence 2 \rangle
         #include <iostream>
         #include <stdlib.h>
         #include <alibio/two_sequence.hpp>
         int main(){
               \langle object\_preparing 88 \rangle
               cout << "Optimal global alignment (affine gap penalty - linear space)\n";</pre>
              my_alignment.nw_linear_align();
              my_alignment.print_alignment();
              return 0;
         }
       Root chunk (not used in this document).
```

#### 6.3.1 Results

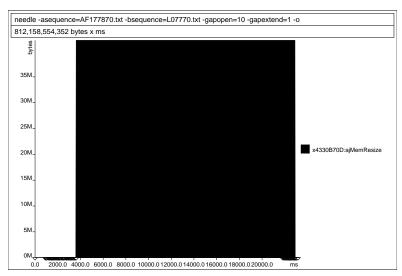
The graphs we obtained represent time (x axes) and space occupation (y axes) of the tested functions. We used the following command line where the actual PROGRAM tested is indicated at the top of each graph.

\$ valgrind --tool=massif PROGRAM

#### Semiglobal alignment



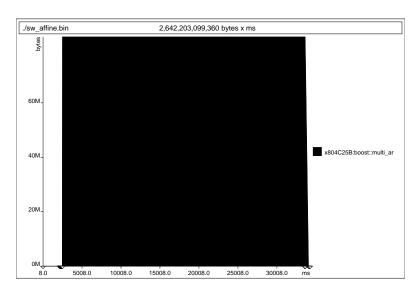
ALiBio  $\langle semiglobal\_affine\_align~40 \rangle$  function: time=14sec space=80MB alignment score=1102



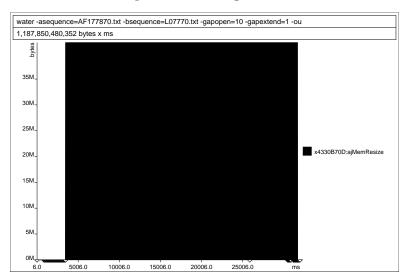
EMBOSS needle program: time=22sec space=40MB alignment score=1102

While AliBio function is quite faster, EMBOSS program uses half space

### Local alignment



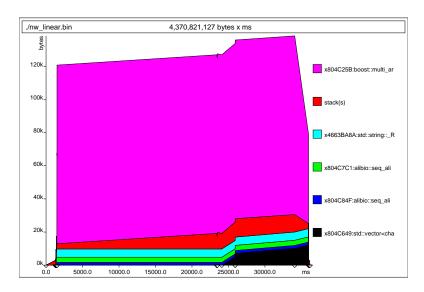
ALiBio  $\langle sw\_affine\_align~39 \rangle$  function: time=35sec space=80MB alignment score=1113



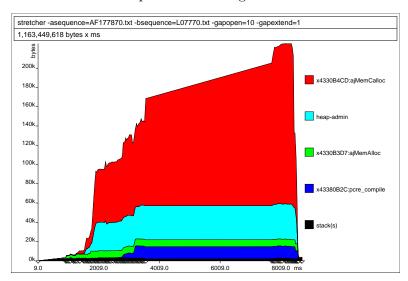
 $\begin{array}{c} {\rm EMBOSS~water~program:} \\ {\rm time=30sec~space=40MB~alignment~score=1113} \end{array}$ 

While time performances are quite similar, EMBOSS program uses half space

#### Linear-space global alignment



ALiBio  $\langle nw\_linear\_align~44 \rangle$  function: time=35sec space=140kB alignment score=668



 $\begin{array}{c} {\rm EMBOSS~stretcher~program:} \\ {\rm time=9sec~space=220kB~alignment~score=668} \end{array}$ 

ALiBio function uses half space than EMBOSS program but is also 5 times slower.

Different color sections are associated with the different functions that actually reserve memory as reported in the caption.

## Chapter 7

## Conclusions

Once finished the stage period, the proposed objectives were reached. The requested algorithm implementation was produced, along with its documentation according to the project standard, also the implementation resource occupation is in line with the expected one.

The free software nature of the ALiBio project is an incentive for its improvement. In fact the bioinformatics software developers can freely use ALiBio inside their own programs, but also contribute with their own improvements and their expansions in developing the ALiBio libraries.

## 7.1 Possible future improvements

- On the performance side some improvements can be made to the given implementations, in particular the memory footprint can probably be furthermore reduced.
- Some modifications for better time performances could be added to the implemented algorithms, in particular a tecnique known as "Four Russians" [7] could reduce the time complexity by a factor of  $log^2n$ .
- In the past years other algorithms have been developed for the peerwise alignment problem, in particular to find sub-optimal solutions; their implementation could be added to the present library.
- The ALiBio library has been thought to be useful for developers of bioinformatics applications, anyway the presented alignment functions could be easily used by end users if a Command Line Interface were developed.
  - From that CLI, using pre-existing Free Software (cfr.2.1) like Pise[18] and Kaptain, a web interface and a graphical one can be obtained very quickly.

## Chapter 8

# Quick Methods reference

In this chapter we give a quick reference for all the methods implemented in the alignment library

## 8.1 score\_matrix Class

score_matrix (const alibio::alphabet&)	$\mapsto$	void
Description: $\langle score\_matrix\ constructor\ 14 \rangle$ creates a new	object for the given a	libio::alphabet
Precondition: -		
Postcondition: - ~score_matrix (void)	$\mapsto$	void
Description: $\langle score\_matrix \ destructor \ 15 \rangle$ deallocates memory used by the $\langle score\_matrix \ 8 \rangle$		
Precondition: -		
Postcondition: - set_score (char; char; T)	$\mapsto$	void
Description: $\langle set\_score \ 17 \rangle$ sets the score of mismatch between the two char to the given T value		
Precondition: -		
Postcondition: - get_score (char; char)	$\mapsto$	T
Description: $\langle get\_score\ 18 \rangle$ returns the score of a mismatch between the two given char		
Precondition: -		
Postcondition: - set_match_score (T)	$\mapsto$	void
Description: $\langle set\_match\_score \ 19 \rangle$ sets the score for match	hing chars	
Precondition: -		
Postcondition: - set_mismatch_score (T)	$\mapsto$	void
Description: $\langle set\_mismatch\_score \ 20 \rangle$ sets the score for mismatching chars		
Precondition: -		
Postcondition: - set_gap_cost (T;T)	$\mapsto$	void
Description: $\langle set\_gap\_cost \ 21 \rangle$ sets the score for gap insertions		
Precondition: -		
Postcondition: - print (void)	$\mapsto$	void
Description: $\langle print 24 \rangle$ prints the score matrix		
Precondition: -		
Postcondition: -		

### 8.2 seq\_alignment Class

seq\_alignment (const score\_matrix&;const vector<char>&;const vector<char>&) Description:  $\langle seq\_alignment\ constructors\ 31 \rangle$  create a new object for the given  $\langle score\_matrix\ 8 \rangle$ and vector<char> Precondition: -Postcondition: seq\_alignment (const score\_matrix&; biostring; biostring) void Description: \( \seq\_alignment \constructors \) 31\\ \create a new object for the given \( \score\_matrix \) 8\\ and alibio::biostrings Precondition: -Postcondition: seq\_alignment (const score\_matrix&;const string&;const string&) Description:  $\langle seq\_alignment\ constructors\ 31 \rangle$  create a new object for the given  $\langle score\_matrix\ 8 \rangle$ and strings Precondition: -Postcondition: -~seq\_alignment (void) void Description:  $\langle seq\_alignment\ destructor\ 33 \rangle$  deallocates memory used by the  $\langle seq\_alignment\ 25 \rangle$ Precondition: -Postcondition: print\_alignment (void) void Description:  $\langle print\_alignment \ 34 \rangle$  prints the last calculated alignment Precondition: -Postcondition: nw\_align (void) void Description:  $\langle nw\_aliqn \ 35 \rangle$  calculates a global alignment using linear gap penalties Precondition: -Postcondition: sw\_align (void) void Description: (sw\_align 36) calculates a local alignment using linear gap penalties Precondition: -Postcondition: nw\_affine\_align (void) void Description:  $\langle nw\_affine\_align \ 38 \rangle$  calculates a global alignment using affine gap penalties Precondition: -Postcondition: sw\_affine\_align (void) void Description:  $\langle sw\_affine\_align \ 39 \rangle$  calculates a local alignment using affine gap penalties

Precondition: Postcondition: -

semiglobal\_affine\_align (void)

void

 $\label{lem:description: large} \mbox{Description: } \langle semiglobal\_affine\_align~40 \rangle \mbox{ calculates a semiglobal alignment using affine gap penalties}$ 

Precondition: - Postcondition: -

nw\_linear\_align (void)

void

Description:  $\langle nw\_linear\_align~44 \rangle$  calculates a global alignment using affine gap penalties and linear memory

Precondition: -

Postcondition: - sw\_linear\_align (void)

 $\mapsto$  void

Description:  $\langle sw\_linear\_align~45 \rangle$  calculates a local alignment using affine gap penalties and linear memory

Precondition: -

Postcondition: - semiglobal\_linear\_align (void)

ightarrow void

Description:  $\langle semiglobal\_linear\_align \ 46 \rangle$  calculates a semiglobal alignment using affine gap penalties and linear memory

Precondition: Postcondition: -

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