### 1. Overview

This competition, "Pump it Up: Data Mining the Water Table," hosted on DrivenData, challenges participants to predict the functional status of water pumps across Tanzania using a provided dataset. The contest spans from 2024 and aims to enhance access to clean, potable water by identifying malfunctioning water pumps. Participants are supplied with extensive data on various characteristics of the water points, from construction year to water quality. The primary goal is to classify each water point into one of three categories: functional, functional needs repair, and non-functional. This analysis could guide strategic decisions for improving water access and infrastructure investments in developing regions.

## 2. Business Understanding

The core objective of the "Pump it Up: Data Mining the Water Table" competition is to enable the identification of water pumps in Tanzania that are functional, require repairs, or are nonfunctional. The insights derived from this analysis will directly influence decisions regarding maintenance, investments, and resource allocation in the water infrastructure sector. Stakeholders, including government agencies and NGOs, will use these findings to prioritize and streamline efforts towards ensuring reliable water access. By effectively categorizing water points, the project aims to enhance operational efficiencies and reduce downtime due to pump failures. The ultimate goal is to support sustainable water management practices that can significantly impact public health and economic development in Tanzania.

Primary stakeholders for this project are the Tanzanian government and international development organizations focused on improving water access in the region.

## 3. Data Understanding

## 3.1 Data Description

Drawing from a comprehensive dataset provided by the "Pump it Up: Data Mining the Water Table" competition on DrivenData, our analysis is centered around extensive information regarding water points across Tanzania. This dataset includes:

- Geographic data such as location coordinates, altitude, and administrative divisions (region, district, and ward).
- Water point specifics such as the type, construction year, funding organization, and managing entity.
- Operational data including the water source, extraction type, water quality, and current functional status of each water pump.

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Our investigation targets three key objectives: identifying patterns of pump functionality, understanding factors leading to pump failures or repairs, and assessing the impacts of management practices on pump operability. By analyzing these elements, we aim to derive actionable insights that can guide infrastructural improvements and strategic investments in water resource management. The outcome of this analysis will inform decision-making processes for stakeholders involved in Tanzanian water supply, optimizing interventions for enhanced water accessibility and reliability. This focused approach empowers our stakeholders to efficiently address the most critical needs, leveraging data-driven strategies to improve public health and community resilience.

## 3.2 Import Necessary Libraries

```
In [1]:
         1 #pip install category_encoders
In [2]:
         1 import pandas as pd
         2 import numpy as np
         3 import matplotlib.pyplot as plt
         4 | %matplotlib inline
         5 import seaborn as sns
         7
         8 from IPython.display import display
         9 from sklearn.preprocessing import OneHotEncoder
        10 from category encoders import TargetEncoder
        11 from sklearn.preprocessing import StandardScaler
        12 from sklearn.model_selection import train_test_split
        13 from sklearn.exceptions import ConvergenceWarning
        14
        15
        16 from sklearn.linear model import LogisticRegression
        17 from sklearn.tree import DecisionTreeClassifier
        18 | from sklearn.metrics import roc_curve, auc, confusion_matrix
        19 | from sklearn.model_selection import GridSearchCV
        20 from sklearn.metrics import make_scorer, roc_auc_score
        21
        22 import pickle
        23 import warnings
        24 warnings.filterwarnings("ignore")
```

## 3.3 Define global variables

```
In [3]: 1 INPUT_PATH_Submission_Format = "../Data/SubmissionFormat.csv"
2 INPUT_PATH_Test_set_values = "../Data/Test_set_values.csv"
3 INPUT_PATH_Training_set_labels = "../Data/Training_set_labels.csv"
4 INPUT_PATH_Training_set_values = "../Data/Training_set_values.csv"
```

### 3.4 Functions

```
In [4]: 1 from project_functions import *
```

## 4. DATA UNDERSTANDING

## **Overview**

This section will focus on preparing the data for future model training. For a detailed description of the steps followed in the EDA of the databases, please refer to the notebook <u>Go to Notebook 00 data understanding.ipynb (00 data understanding.ipynb)</u>.

## 4.1 Exploratory Analysis

#### 4.1.1.1 Looking at the train and labels dataset

```
In [5]: 1 df_train = pd.read_csv(INPUT_PATH_Training_set_values)
2 df_train.head()
```

#### Out[5]:

	id	amount_tsh	date_recorded	funder	gps_height	installer	longitude	latitude	wp
0	69572	6000.0	2011-03-14	Roman	1390	Roman	34.938093	-9.856322	
1	8776	0.0	2013-03-06	Grumeti	1399	GRUMETI	34.698766	-2.147466	Z
2	34310	25.0	2013-02-25	Lottery Club	686	World vision	37.460664	-3.821329	N
3	67743	0.0	2013-01-28	Unicef	263	UNICEF	38.486161	-11.155298	Z Na
4	19728	0.0	2011-07-13	Action In A	0	Artisan	31.130847	-1.825359	

5 rows × 40 columns

```
In [6]: 1 df_train.shape
```

Out[6]: (59400, 40)

### Out[7]:

	id	status_group
0	69572	functional
1	8776	functional
2	34310	functional
3	67743	non functional
4	19728	functional

```
In [8]: 1 df_labels.shape
```

Out[8]: (59400, 2)

#### 4.1.1.2 Merge both datasets

```
In [9]: 1 df_train_merge = pd.merge(df_train, df_labels)
2 df_train_merge.head()
```

#### Out[9]:

	id	amount_tsh	date_recorded	funder	gps_height	installer	longitude	latitude	wp
0	69572	6000.0	2011-03-14	Roman	1390	Roman	34.938093	-9.856322	
1	8776	0.0	2013-03-06	Grumeti	1399	GRUMETI	34.698766	-2.147466	Z
2	34310	25.0	2013-02-25	Lottery Club	686	World vision	37.460664	-3.821329	V
3	67743	0.0	2013-01-28	Unicef	263	UNICEF	38.486161	-11.155298	Z Na
4	19728	0.0	2011-07-13	Action In A	0	Artisan	31.130847	-1.825359	

5 rows × 41 columns

```
In [10]: 1 df_train_merge.shape
```

Out[10]: (59400, 41)

As we can see above the merge has been done correctly because the number of rows is intact and the training set values has just one more column containing the training set labels

#### 4.1.3 - Data Types

float64 amount\_tsh date\_recorded object funder object gps\_height int64 installer object longitude float64 latitude float64 object wpt\_name num\_private int64 basin object subvillage object region object region\_code int64 district\_code int64 lga object ward object population int64 public\_meeting object recorded\_by object scheme\_management object scheme\_name object permit object construction\_year int64 extraction\_type object extraction\_type\_group object extraction\_type\_class object management object management\_group object payment object payment\_type object water\_quality object quality\_group object quantity object quantity\_group object source object object source\_type source\_class object object waterpoint\_type waterpoint\_type\_group object object status\_group dtype: object

Column 'funder'

Individual/Other 39410
Government 10017
International Aid 8468
Religious Organizations 1299
NGO 146
Private Companies 60
Name: funder\_type, dtype: int64

For the time being, we will advance with this categorization and decide later if we want to further investigate the Individual/Other category if necessary

#### Column 'installer'

```
In [13]:  # Handling NaN values with a filler string like 'Unknown'
  df_train_merge['installer'] = df_train_merge['installer'].fillna('Unknown')
  # Apply the mapping function to the 'installer' column
  df_train_merge['installer_type'] = df_train_merge['installer'].apply(categorized data)
  # Now you can check your categorized data
  print(df_train_merge['installer_type'].value_counts())
```

```
Other 34031

DWE 18121

Government 3753

Community 2338

Institutional 701

NGO 327

Private Company 129

Name: installer_type, dtype: int64
```

For the time being, we will advance with this categorization and decide later if we want to further investigate the Individual/Other category if necessary

#### Column 'scheme\_management'

We will categorize, based on this classification:

Governmental Entities: Combine 'VWC' (Village Water Committee), 'Water authority', and
'Parastatal' into a single 'Government' category. These typically represent different layers or
types of governmental involvement.

- Community Managed: Merge 'WUG' (Water User Group) and 'WUA' (Water User Association) into 'Community'. These are likely community-based management structures.
- Commercial Entities: Group 'Company' and 'Private operator' into 'Private Sector'. These likely represent privately managed schemes.
- Institutional Boards: Keep 'Water Board' as is if they represent formal institutional water management boards that don't fit into other categories.
- Other and Miscellaneous: Combine 'SWC', 'Trust', 'None', and 'Other' into 'Other'. These categories might represent less common or unclear management structures.

```
In [14]:
           1 # Apply the grouping function to the 'scheme management' column
           2 | df_train_merge['scheme_management_grouped'] = df_train_merge['scheme_management_grouped']
           3
           4 # Check the new value counts to see the grouped data
              print(df_train_merge['scheme_management_grouped'].value_counts(normalize=1
           6
                             0.700774
         Government
          Community
                             0.136178
         Other
                             0.081027
         Water Board
                             0.046263
         Private Sector
                             0.035758
```

Name: scheme\_management\_grouped, dtype: float64

#### Column 'scheme\_name'

Given that there is almost 50% of unknown data, and the widespread of data, we will eliminate this column directly

```
In [15]: 1 # Start creating our drop list
2 drop_column_list = ['scheme_name']
```

#### Column 'num private'

Given that num\_private has no description and given that it has many values, we are going to add this to the drop list column

#### Column 'wpt\_name '

No further information is added with this wpt\_name column as it is the name of the waterpoint. We will add this to the drop list

#### Column 'construction\_year'

Converting 'construction year' to object

#### Columns: 'subvillage' and 'region'

Having subvillage wouldn't give more insights to the model. There are more than 19k registrations of subvillages. Column 'region' alredy is a categorization of column 'subvillage' and so, we decide to add this column to the drop\_list

#### Columns: 'Iga', 'ward'

As we already have column 'region' and columns: 'lga' and 'ward' are geographic locations. To avoid multiollinearity we will add 'lga'and 'ward' to the drop list

Columns: 'recorded\_by'

```
In [22]:
            1 # Carry out the dropping
            2 | df_train_merge = df_train_merge.drop(drop_column_list, axis=1)
            1 df_train_merge.columns
In [23]:
Out[23]: Index(['id', 'amount_tsh', 'date_recorded', 'funder', 'gps_height',
                  'installer', 'longitude', 'latitude', 'basin', 'region', 'region_cod
          e',
                  'district_code', 'population', 'public_meeting', 'scheme_management',
'permit', 'construction_year', 'extraction_type',
                  'extraction_type_group', 'extraction_type_class', 'management',
                  'management_group', 'payment', 'payment_type', 'water_quality',
                  'quality_group', 'quantity', 'quantity_group', 'source', 'source_typ
          е',
                  'source_class', 'waterpoint_type', 'waterpoint_type_group',
                  'status_group', 'funder_type', 'installer_type',
                  'scheme_management_grouped'],
                dtype='object')
```

#### 4.1.1.3.2 - Transforming column types

#### Column 'public\_meeting'

#### Column 'permit'

#### 4.1.1.3.3 - Cleaning the dataset

#### Out[26]:

	id	amount_tsh	date_recorded	funder	gps_height	installer	longitude	latitude	ba
0	69572	6000.0		roman	1390	roman	34.938093	-9.856322	li nyi
1	8776	0.0		grumeti	1399	grumeti	34.698766	-2.147466	l; victo
2	34310	25.0		lottery club	686	world vision	37.460664	-3.821329	pang
3	67743	0.0		unicef	263	unicef	38.486161	-11.155298	ruvu south cc
4	19728	0.0		action in a	0	artisan	31.130847	-1.825359	li victo

5 rows × 37 columns

### 4.1.2 Descriptive Analysis

For a detailed description of the findings from the univariate and multivariate analysis of the data, please refer to the data understanding notebook available at this link: <u>Go to Notebook 00 data understanding.ipynb (00 data understanding.ipynb)</u>.

This notebook provides comprehensive insights into the individual variables' distributions (univariate analysis) and their relationships with each other (multivariate analysis), offering a deeper understanding of the dataset's characteristics and patterns.

#### **Numerical columns**

#### Out[27]:

	amount_tsh	gps_height	population
0	6000.0	1390	109
1	0.0	1399	280
2	25.0	686	250
3	0.0	263	58
4	0.0	0	0
59395	10.0	1210	125
59396	4700.0	1212	56
59397	0.0	0	0
59398	0.0	0	0
59399	0.0	191	150

59400 rows × 3 columns

#### **Categorical columns**

```
In [30]:
           1 categorical_columns
Out[30]: Index(['date_recorded', 'basin', 'region', 'public_meeting', 'permit',
                  'extraction_type', 'extraction_type_group', 'extraction_type_class',
                 'management', 'management_group', 'payment', 'payment_type',
                 'water_quality', 'quality_group', 'quantity', 'quantity_group',
                 'source', 'source_type', 'source_class', 'waterpoint_type', 'waterpoint_type_group', 'funder_type', 'installer_type',
                 'scheme management grouped'],
                dtype='object')
           1 drop categorical columns = ['extraction type group', 'extraction type', 'm
In [31]:
In [32]:
           1 # Drop the list of columns from df_train_merge
           2 df train merge = df train merge.drop(drop categorical columns, axis=1)
In [33]:
           1 categorical_columns = categorical_columns.drop(drop_categorical_columns)
           2 categorical_columns
Out[33]: Index(['date_recorded', 'basin', 'region', 'public_meeting', 'permit',
                  'extraction_type_class', 'management_group', 'payment_type',
                 'quality_group', 'quantity_group', 'source_type', 'waterpoint_type',
                 'funder_type', 'installer_type', 'scheme_management_grouped'],
                dtype='object')
```

### 4.1.2.3 Filtering the final dataset

```
In [34]:
           1 # Let's join together numeric_columns and categorical_columns into a list
           2 # analysis function
           3 combined columns = numeric columns.columns.tolist() + categorical columns.
           4 combined columns.append('status group')
           5 combined columns
Out[34]: ['amount_tsh',
           'gps_height',
           'population',
           'date_recorded',
           'basin',
           'region',
           'public_meeting',
           'permit',
           'extraction_type_class',
           'management_group',
           'payment_type',
           'quality_group',
           'quantity_group',
           'source_type',
           'waterpoint_type',
           'funder_type',
           'installer_type',
           'scheme_management_grouped',
           'status_group']
```

In [35]:

1 df=df\_train\_merge[combined\_columns]

## 5. DATA PREPROCESSING

## **Overview**

Based on the descriptive and exploratory analysis conducted in the previous section, and the data preprocessing detailed in the notebook <u>Go to Notebook 01 data preprocessing.ipynb</u> (<u>01 data preprocessing.ipynb</u>), this section will work on preprocessing the data, preparing it so that we can then work on the model training in the future.

## 5.1 Data Understanding

## **5.1.1 Data Description**

This section will use the df dataframe created in the previous section of the notebook: DATA UNDERSTANDING

## 5.1.2 Import the database

	In [36]:	1	df.head()	
--	----------	---	-----------	--

#### Out[36]:

	amount_tsh	gps_height	population	date_recorded	basin	region	public_meeting	permi
0	6000.0	1390	109		lake nyasa	iringa	True	False
1	0.0	1399	280		lake victoria	mara	NaN	True
2	25.0	686	250		pangani	manyara	True	Tru€
3	0.0	263	58		ruvuma southern coast	mtwara	True	True
4	0.0	0	0		lake victoria	kagera	True	True

## 5.1.3 Class Imbalance checking

We decide to group together into a same class functional needs repair and functional. In this way, we have a binary classification problem

## 5.1.4 Define predictor and target variables

```
In [39]: 1 y = df['status_group']
2 X = df.drop('status_group', axis=1)
```

## 5.1.5 Do a train test split

```
In [40]: 1 # Split the data into training and testing sets
2 X_train, X_test, y_train, y_test = train_test_split(X, y, test_size=0.2, r
```

## 5.1.6 Dealing with null values

```
In [41]:
           1 # For train data
             (X_train.isna().sum()/len(df))*100
Out[41]: amount tsh
                                       0.000000
         gps_height
                                       0.000000
         population
                                       0.000000
         date_recorded
                                       0.000000
         basin
                                       0.000000
                                       0.000000
         region
         public_meeting
                                       4.526936
         permit
                                       4.106061
                                       0.000000
         extraction_type_class
         management_group
                                       0.000000
         payment_type
                                       0.000000
                                       0.000000
         quality_group
         quantity_group
                                       0.000000
         source_type
                                       0.000000
         waterpoint_type
                                       0.000000
         funder_type
                                       0.000000
         installer_type
                                       0.000000
         scheme_management_grouped
                                       0.000000
         dtype: float64
```

### Column 'public meeting'

```
In [42]:
           1 | X_train["public_meeting"].value_counts(normalize=True)
Out[42]:
         True
                  0.908813
                   0.091187
         False
         Name: public_meeting, dtype: float64
In [43]:
           1 | # Given that the null values are only 6%, lets replace them with the mode
           2
             # Calculate the mode of the 'public_meeting' column
           3
           4
             public_meeting_mode = X_train['public_meeting'].mode()[0]
             # Fill missing values in 'public_meeting' of X_{t} train with the mode from X_{t}
           7
             X train['public meeting'].fillna(public meeting mode, inplace=True)
           8
           9
             # Fill missing values in 'public_meeting' of X_test with the mode from X_t
             X test['public meeting'].fillna(public meeting mode, inplace=True)
          10
          11
             # Convert the 'public_meeting' column to type object in both X_train and X
          12
             X_train['public_meeting'] = X_train['public_meeting'].astype(object)
          13
          14
             X_test['public_meeting'] = X_test['public_meeting'].astype(object)
          15
          16 # Verify if all NA values are filled
             print(df['public meeting'].value counts(normalize=True))
         True
                  0.909838
         False
                   0.090162
         Name: public_meeting, dtype: float64
```

```
In [44]:
           1 public_meeting_mode
Out[44]: True
         Column 'permit'
In [45]:
           1 | df["permit"].value_counts(normalize=True)
Out[45]: True
                  0.68955
         False
                  0.31045
         Name: permit, dtype: float64
In [46]:
             # Given that the null values are only 5%, lets replace them with the mode
           2
             # Calculate the mode of the 'permit' column
           3
             permit_mode = X_train['permit'].mode()[0]
             # Fill missing values in 'permit' of X_train with the mode of X_train
             X train['permit'].fillna(permit mode, inplace=True)
           8
           9
             # Fill missing values in 'permit' of X_test with the mode of X_train
          10 X_test['permit'].fillna(permit_mode, inplace=True)
          11
          12 | # Convert the 'permit' column to type object in both X_train and X_test
```

```
True 0.704272
False 0.295728
```

15

Name: permit, dtype: float64

16 # Verify if all NA values are filled

```
In [47]: 1 permit_mode
```

13 X\_train['permit'] = X\_train['permit'].astype(object)
14 X\_test['permit'] = X\_test['permit'].astype(object)

print(X\_train['permit'].value\_counts(normalize=True))

Out[47]: True

# 5.1.7 Doing target enconder on the categorical columns

Let's perform a one hot enconder on the categorical columns that have less than 6 categories

#### X\_train

Let's do a code to apply one hot enconder on the columns that have less than 6 variables and a target enconder on the columns that have more than 6 variables. The reason why we decide to not apply target encoding to all the columns directly is to avoid overfitting

```
In [49]:
           1 # Check if 'y_train' and 'y_test' need to be converted to a numeric type
           2 if y_train.dtype == 'object':
                 y_train = y_train.astype('category').cat.codes
             if y_test.dtype == 'object':
           5
                  y_test = y_test.astype('category').cat.codes
           6
           7
             # Capture categorical columns from X_train for encoding
             categorical_columns = X_train.select_dtypes(include=['object', 'category']
           9
          10 # Initialize encoders
          11 target_encoder = TargetEncoder()
          12
          13 # Encoding the categorical columns in X_train and X_test
          14 | for col in categorical_columns:
          15
                  if X_train[col].nunique() <= 6:</pre>
          16
                      # Apply OneHotEncoder for columns with 6 or fewer unique values
          17
                      X_train = pd.get_dummies(X_train, columns=[col], drop_first=True)
          18
                      X_test = pd.get_dummies(X_test, columns=[col], drop_first=True)
          19
                  else:
          20
                      # Apply TargetEncoder for columns with more than 6 unique values
          21
                      X_train[col] = target_encoder.fit_transform(X_train[col], y_train)
          22
                      X_test[col] = target_encoder.transform(X_test[col])
          23
                      pickle.dump(target_encoder, open(f"model_objects/{col}_target_encoder)
          24
          25 # Display the DataFrame to check the results
          26
             X_train.head()
          27
```

### Out[49]:

	amount_tsh	gps_height	population	basin	region	extraction_type_class	payment_1
3607	50.0	2092	160	0.346722	0.315956	0.300187	0.277
50870	0.0	0	0	0.346722	0.443875	0.309484	0.475
20413	0.0	0	0	0.485901	0.398196	0.805243	0.475
52806	0.0	0	0	0.311216	0.398196	0.300187	0.226
50091	300.0	1023	120	0.432348	0.398697	0.805243	0.308

5 rows × 34 columns

## 5.1.8 Dealing with numerical columns

X\_train

```
In [50]:
           1 # Capture numerical columns
           2 numerical_columns = X_train.select_dtypes(include=['int64', 'float64']).cc
           4 # Initialize the StandardScaler
             scaler = StandardScaler()
           7 # Fit and transform the numerical columns
             scaler.fit(X_train[numerical_columns])
           9
             X_train[numerical_columns] = scaler.transform(X_train[numerical_columns])
          10
          11
          12 # Save the fitted variables
          13 pickle.dump(scaler, open(f"model_objects/numerical_columns_scaler.pickle",
          14
          15 # Display the DataFrame to check the results
          16 X_train.head()
```

#### Out[50]:

	amount_tsh	gps_height	population	basin	region	extraction_type_class	payment
3607	-0.084999	2.053863	-0.041306	-0.540016	-0.633090	-0.521411	-0.89
50870	-0.100621	-0.965049	-0.379739	-0.540016	0.555492	-0.463637	0.77
20413	-0.100621	-0.965049	-0.379739	1.471270	0.131062	2.617222	0.77
52806	-0.100621	-0.965049	-0.379739	-1.053126	0.131062	-0.521411	-1.30
50091	-0.006889	0.511216	-0.125914	0.697368	0.135714	2.617222	-0.64

5 rows × 34 columns

#### X\_test

#### Out[51]:

	amount_tsh	gps_height	population	basin	region	extraction_type_class	payment <sub>.</sub>
2980	-0.100621	-0.965049	-0.379739	0.205860	-0.699807	2.617222	1.09
5246	-0.100621	-0.965049	-0.379739	0.205860	1.453840	-0.463637	0.77
22659	-0.097497	1.452101	-0.066689	-0.540016	-0.633090	-0.521411	-0.89
39888	-0.100621	-0.965049	-0.379739	1.471270	0.131062	-0.463637	0.77
13361	-0.084999	0.635320	0.117334	-0.540016	0.663779	1.165688	-0.89

5 rows × 34 columns

# 5.1.9 Concatenate train on one side and test on the other

```
In [52]: 1 # Concatenate all train
2 df_train = pd.concat([X_train, y_train], axis=1)
3
4 # Concatenate all test
5 df_test = pd.concat([X_test, y_test], axis=1)
6
7 # Create a label column
8 df_train['is_test'] = 0
9 df_test['is_test'] = 1
```

## 5.1.10 Concatenate everything in one dataframe

#### Out[53]:

	amount_tsh	gps_height	population	basin	region	extraction_type_class	payment <sub>.</sub>
0	-0.084999	2.053863	-0.041306	-0.540016	-0.633090	-0.521411	-0.89
1	-0.100621	-0.965049	-0.379739	-0.540016	0.555492	-0.463637	0.77
2	-0.100621	-0.965049	-0.379739	1.471270	0.131062	2.617222	0.77
3	-0.100621	-0.965049	-0.379739	-1.053126	0.131062	-0.521411	-1.33
4	-0.006889	0.511216	-0.125914	0.697368	0.135714	2.617222	-0.64
59395	-0.038133	1.596408	0.741319	-1.230325	-1.769052	-0.521411	-1.33
59396	0.055600	1.704639	-0.062458	-0.569630	-1.180350	-0.521411	-0.64
59397	-0.100621	-0.965049	-0.379739	0.335579	0.103144	-0.521411	0.77
59398	-0.100621	-0.038596	-0.377623	0.697368	0.135714	-0.521411	0.77
59399	-0.100621	1.098547	-0.377623	-0.569630	0.234762	-0.521411	0.77

59400 rows × 36 columns

## 6. MODEL CREATION

## **Overview**

Based on the descriptive and exploratory analysis conducted in the previous sections, and the model selection process detailed in the notebook <u>Go to Notebook 02 model creation.ipynb</u> (02 model creation.ipynb), this section focuses on implementing a decision tree classifier model. The insights gained from the data understanding and initial modeling stages have guided the choice of this classifier.

## 6.1 Data Understanding

## 6.1.1 Data Description

This section will use the dataset: data\_processed created in the previous section: DATA PREPROCESSING

## 6.2 Import the database

#### Out[54]:

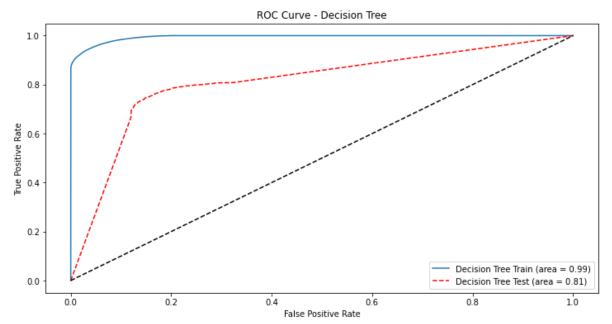
	amount_tsh	gps_height	population	basin	region	extraction_type_class	payment_type
0	-0.084999	2.053863	-0.041306	-0.540016	-0.633090	-0.521411	-0.897587
1	-0.100621	-0.965049	-0.379739	-0.540016	0.555492	-0.463637	0.771866
2	-0.100621	-0.965049	-0.379739	1.471270	0.131062	2.617222	0.771866
3	-0.100621	-0.965049	-0.379739	-1.053126	0.131062	-0.521411	-1.330306
4	-0.006889	0.511216	-0.125914	0.697368	0.135714	2.617222	-0.64141

5 rows × 36 columns

```
In [57]: 1  y_train = df_train['status_group']
2  X_train = df_train.drop(['status_group','is_test'], axis=1)
3  4  y_test = df_test['status_group']
5  X_test = df_test.drop(['status_group','is_test'], axis=1)
```

# **6.3 Baseline Decision Tree Classifier Model Creation**

```
In [58]:
             # Initialize the Decision Tree model
           2
             decision_tree = DecisionTreeClassifier()
             # Fit the model to the training data
             decision_tree.fit(X_train, y_train)
           6
           7
             # Predict probabilities on the training and test set
             y_pred_prob_tree_train = decision_tree.predict_proba(X_train)[:, 1] # Trd
           9
             y_pred_prob_tree_test = decision_tree.predict_proba(X_test)[:, 1] # Test
          10
          11
             # Compute ROC curve and AUC for training data
          12
             fpr_tree_train, tpr_tree_train, _ = roc_curve(y_train, y_pred_prob_tree_tr
          13
             auc_tree_train = auc(fpr_tree_train, tpr_tree_train)
          14
          15 # Compute ROC curve and AUC for test data
          16 | fpr_tree_test, tpr_tree_test, _ = roc_curve(y_test, y_pred_prob_tree_test)
          17
             auc_tree_test = auc(fpr_tree_test, tpr_tree_test)
          18
          19 # Plotting ROC Curves
          20 plt.figure(figsize=(12, 6))
          21 plt.plot(fpr_tree_train, tpr_tree_train, label='Decision Tree Train (area
          22 | plt.plot(fpr_tree_test, tpr_tree_test, color='red', linestyle='--', label=
          23 plt.plot([0, 1], [0, 1], 'k--')
          24 plt.xlabel('False Positive Rate')
          25 plt.ylabel('True Positive Rate')
          26 plt.title('ROC Curve - Decision Tree')
          27 plt.legend(loc="lower right")
          28 plt.show()
```



## 6.4 Hyper tuning

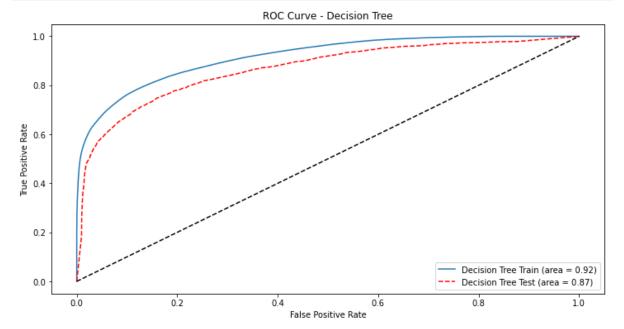
We performed hyperparameter tuning with the Decision Tree classifier and Logistic Regression in the notebook: 02 model creation.ipynb. We identified the parameters that yielded the best

results. For a detailed step-by-step guide, please refer to <u>Go to Notebook</u> <u>02 model\_creation.ipynb (02 model\_creation.ipynb)</u>

The code below uses the best parameters to compute the Decision Tree Classifier.

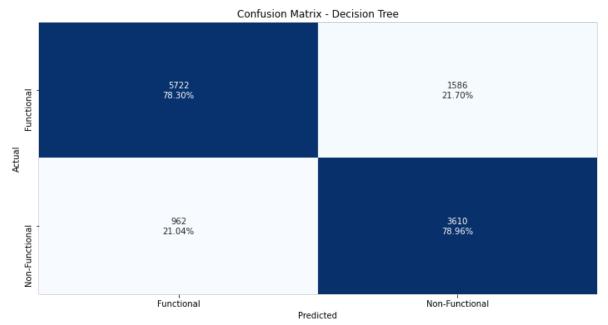
Let's do the curve ROC and see the values AUC with the values for this Decision TreeClassifier

```
In [60]:
             # Predict probabilities on the training and test set
             y_pred_prob_tree_train = best_tree.predict_proba(X_train)[:, 1] # Trainir
             y_pred_prob_tree_test = best_tree.predict_proba(X_test)[:, 1] # Test prot
           4
             # Let's apply a threshold to the probabilities of y_pred_prob_log_reg_test
             y_pred_dt = np.where(y_pred_prob_tree_test >= 0.40, 1, 0)
           7
           8
             # Compute ROC curve and AUC for training data
           9
             fpr_tree_train, tpr_tree_train, _ = roc_curve(y_train, y_pred_prob_tree_tr
          10
             auc_tree_train = auc(fpr_tree_train, tpr_tree_train)
          11
          12 # Compute ROC curve and AUC for test data
          13 fpr_tree_test, tpr_tree_test, _ = roc_curve(y_test, y_pred_prob_tree_test)
          14
             auc_tree_test = auc(fpr_tree_test, tpr_tree_test)
          15
          16 # Plotting ROC Curves
          17 plt.figure(figsize=(12, 6))
          18 plt.plot(fpr_tree_train, tpr_tree_train, label='Decision Tree Train (area
          19 plt.plot(fpr_tree_test, tpr_tree_test, color='red', linestyle='--', label=
          20 plt.plot([0, 1], [0, 1], 'k--')
          21 plt.xlabel('False Positive Rate')
          22 plt.ylabel('True Positive Rate')
          23 plt.title('ROC Curve - Decision Tree')
          24 plt.legend(loc="lower right")
          25 plt.show()
```



## 6.5 Confusion matrix

```
In [62]:
             # Normalize the confusion matrix by row (actual class)
           1
           2
             cm_tree_normalized = cm_tree.astype('float') / cm_tree.sum(axis=1)[:, np.r
           3
           4
              # Create labels for each cell
           5
             labels = np.array([["{0}\n{1:.2%}".format(value, percentage) for value, pe
           6
                                 for row, row_normalized in zip(cm_tree, cm_tree_normali
           7
           8
             # Plotting the Confusion Matrix for Decision Tree
           9
             plt.figure(figsize=(12, 6))
          10
             sns.heatmap(cm_tree_normalized, annot=labels, fmt='', cmap='Blues', xtickl
          11
             plt.xlabel('Predicted')
          12
             plt.ylabel('Actual')
             plt.title('Confusion Matrix - Decision Tree')
          13
          14
             plt.show()
```



False Negatives (FN): 32.70%

- Impact: A rate of false negatives means that a significant proportion of the positive class (e.g., non-functional pumps) is being misclassified as negative (e.g., functional pumps).
- Business Problem Impact: This could result in increased downtime for the pumps, higher maintenance costs over time, and a negative impact on customer satisfaction.

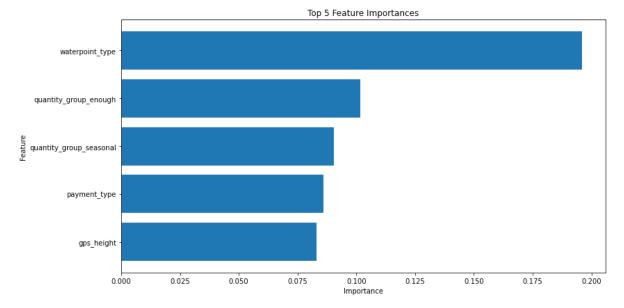
False Positives (FP): 14.50%

- Impact: A relatively low rate of false positives indicates that only a small proportion of the negative class (e.g., functional pumps) is being misclassified as positive (e.g., nonfunctional pumps). While this is less severe compared to false negatives, it still leads to unnecessary maintenance actions being taken on functional pumps.
- Business Problem Impact: This could lead to inefficient allocation of resources, where time
  and effort are spent on checking or repairing pumps that are actually functional. This can
  increase operational costs and divert attention from genuinely non-functional pumps that
  need repairs.

## 6.6 Feature importance

We are now going to execute a feature importance code to be able to see the level of importance of all variables when doing the predictions

```
In [63]:
             # Obtain the most important features affecting the status of a pump
             importances = best_tree.feature_importances_
           3
             # Obtener los nombres de las características
           5
             feature_names = X_train.columns
           7
             # Create a bar graph for the importance of the characteristics
             # Order importances in descending order
           9
             indexes = np.argsort(importances)[::-1]
          10
          11 # Get the top 5 important features
          12 top_indexes = indexes[:5]
          13
          14 plt.figure(figsize=(12, 6))
          15 plt.title("Top 5 Feature Importances")
          16 | plt.barh(range(5), importances[top_indexes], align="center")
          17 plt.yticks(range(5), feature_names[top_indexes])
          18 | plt.xlabel("Importance")
          19 plt.ylabel("Feature")
          20 plt.tight_layout()
          21 plt.gca().invert_yaxis() # Invert the y-axis to have the most important f
          22 plt.show()
```



## 7. PREDICTIONS

In this section, we will apply all the data transformations that were performed in the previous sections to the test dataset. Additionally, we will generate predictions for the test dataset using

the best decision tree classifier model trained in the preceding section. To see the steps followed in detail, please click on this link <u>Go to Notebook 03 predict.ipynb (03 predict.ipynb)</u>.

With these steps, we aim to obtain predicted values and determine whether a pump will be functional or non-functional.

## 7.1 Define global variables

```
In [64]: 1 INPUT_PATH_Test_set_values = "../Data/Test_set_values.csv"
```

## 7.2 Import the dataset

#### Out[65]:

	id	amount_tsh	date_recorded	funder	gps_height	installer	longitude	latitude
0	50785	0.0	2013-02-04	Dmdd	1996	DMDD	35.290799	-4.059696
1	51630	0.0	2013-02-04	Government Of Tanzania	1569	DWE	36.656709	-3.309214
2	17168	0.0	2013-02-01	NaN	1567	NaN	34.767863	-5.004344
3	45559	0.0	2013-01-22	Finn Water	267	FINN WATER	38.058046	-9.418672
4	49871	500.0	2013-03-27	Bruder	1260	BRUDER	35.006123	-10.950412

5 rows × 40 columns

# 7.3 Apply the same data transformations on df\_predict as the ones done in the data understanding section

## 7.3.1 Applying transformation functions

Column 'funder'

Individual/Other 9955
Government 2438
International Aid 2093
Religious Organizations 329
NGO 29
Private Companies 6
Name: funder\_type, dtype: int64

#### Column 'installer'

```
In [68]: 1 # Handling NaN values with a filler string like 'Unknown'
df_predict['installer'] = df_predict['installer'].fillna('Unknown').astype
3
4 # Apply the mapping function to the 'installer' column
df_predict['installer_type'] = df_predict['installer'].apply(categorize_ir
6
7 # Now you can check your categorized data
8 print(df_predict['installer_type'].value_counts())
```

Other 8480
DWE 4537
Government 926
Community 599
Institutional 185
NGO 93
Private Company 30

Name: installer\_type, dtype: int64

#### Column 'scheme\_management\_grouped'

Government 0.699663 Community 0.131852 Other 0.083838 Water Board 0.048081 Private Sector 0.036566

Name: scheme\_management\_grouped, dtype: float64

## 7.3.2 Converting data types

```
In [70]:
            1 # Converting 'construction_year' to object
            2 | df_predict['construction_year'] = df_predict['construction_year'].astype(
In [71]:
            1 df predict.columns
Out[71]: Index(['id', 'amount_tsh', 'date_recorded', 'funder', 'gps_height',
                  'installer', 'longitude', 'latitude', 'wpt_name', 'num_private',
                  'basin', 'subvillage', 'region', 'region_code', 'district_code', 'lg
          a',
                  'ward', 'population', 'public_meeting', 'recorded_by',
                  'scheme_management', 'scheme_name', 'permit', 'construction_year',
                  'extraction_type', 'extraction_type_group', 'extraction_type_class',
                  'management', 'management_group', 'payment', 'payment_type',
                  'water_quality', 'quality_group', 'quantity', 'quantity_group',
                  'source', 'source_type', 'source_class', 'waterpoint_type', 'waterpoint_type_group', 'funder_type', 'installer_type',
                  'scheme_management_grouped'],
                 dtype='object')
```

## 7.3.3 Drop unnecesary columns

## 7.3.4 Cleaning the data set

# 7.3.5 Fillna with the modes calculated in the data preprocessing section

```
(df_predict.isna().sum()/len(df_predict))*100
In [75]:
Out[75]:
                                        0.000000
         amount_tsh
                                        0.000000
         gps_height
                                        0.000000
         basin
                                        0.000000
         region
                                       0.000000
         population
                                       0.000000
         public_meeting
                                        5.528620
         permit
                                       4.962963
         extraction_type_class
                                       0.000000
         management_group
                                       0.000000
         payment_type
                                       0.000000
         quality_group
                                       0.000000
         quantity_group
                                       0.000000
         source_type
                                       0.000000
         waterpoint_type
                                        0.000000
         funder_type
                                       0.000000
         installer_type
                                        0.000000
         scheme_management_grouped
                                       0.000000
         dtype: float64
```

From the python script 01\_data\_preprocessing we know that public\_meeting\_mode is 1.0 and the permit\_mode is 1.0. So we are going to directly fill the NaNs of public\_meeting and of permit with the value 1.0

#### Fillna in column 'public\_meeting'

```
In [76]: 1 df_predict['public_meeting'].fillna(1.0, inplace=True)
```

#### Fillna in column 'permit'

```
In [77]: 1 df_predict['permit'].fillna(1.0, inplace=True)
```

Let's check that there are no more null-values left

```
1 (df_predict.isna().sum()/len(df_predict))*100
In [78]:
Out[78]:
                                       0.0
         amount_tsh
                                       0.0
         gps_height
                                       0.0
         basin
                                       0.0
         region
                                       0.0
         population
                                       0.0
         public_meeting
                                       0.0
         permit
                                       0.0
         extraction_type_class
                                       0.0
         management_group
                                       0.0
         payment_type
                                       0.0
         quality_group
                                       0.0
                                       0.0
         quantity_group
         source_type
                                       0.0
         waterpoint_type
                                       0.0
         funder_type
                                       0.0
         installer_type
                                       0.0
         scheme_management_grouped
                                       0.0
          dtype: float64
```

# 7.3.6 Doing target enconder on the categorical columns

Let's apply a one hot encoder for the categorical columns that have 6 or less categories

Let's call in the saved fits (for the categorical columns that have more than 6 categories) applied to the categorical columns in the 01 data preprocessing script

In [80]:

1 df predict.columns

```
Out[80]: Index(['id', 'amount_tsh', 'gps_height', 'basin', 'region', 'population',
                 'extraction_type_class', 'payment_type', 'source_type',
                 'waterpoint_type', 'installer_type', 'public_meeting_1', 'permit_1',
                 'management_group_other', 'management_group_parastatal',
                 'management_group_unknown', 'management_group_usergroup',
                 'quality_group_fluoride', 'quality_group_good', 'quality_group_milky',
                 'quality_group_salty', 'quality_group_unknown', 'quantity_group_enoug
         h',
                 'quantity_group_insufficient', 'quantity_group_seasonal',
                 'quantity_group_unknown', 'funder_type_individualother',
                 'funder_type_international aid', 'funder_type_ngo',
                 'funder_type_private companies', 'funder_type_religious organization
         s',
                 'scheme_management_grouped_government',
                 'scheme_management_grouped_other',
                 'scheme_management_grouped_private sector',
                 'scheme_management_grouped_water board'],
               dtype='object')
           1 # Column 'basin'
In [81]:
           2 basin_pickle = pickle.load(open('model_objects/basin_target_encoder.pickle
           3 | df_predict['basin'] = basin_pickle.transform(df_predict['basin'])
           4
           5 # Column 'extraction_type_class'
           6 extraction_type_class_pickle = pickle.load(open('model_objects/extraction
             df_predict['extraction_type_class'] = extraction_type_class_pickle.transfc
           7
           9 # Column 'installer_type'
          10 installer_type_pickle = pickle.load(open('model_objects/installer_type_tar
          11 | df_predict['installer_type'] = installer_type_pickle.transform(df_predict[
          12
          13 # Column 'payment type'
          14 payment_type_pickle = pickle.load(open('model_objects/payment_type_target
          15 df_predict['payment_type'] = payment_type_pickle.transform(df_predict['pay
          16
          17 | # Column 'region_target'
          18 region_target_pickle = pickle.load(open('model_objects/region_target_encod
          19
             df_predict['region'] = region_target_pickle.transform(df_predict['region']
          20
          21 # Column 'source_type'
          22 source_type_pickle = pickle.load(open('model_objects/source_type_target_er
          23 | df_predict['source_type'] = source_type_pickle.transform(df_predict['source_type']
          24
          25 # Column 'waterpoint_type'
          26 | waterpoint_type_pickle = pickle.load(open('model_objects/waterpoint_type_t
          27 | df_predict['waterpoint_type'] = waterpoint_type_pickle.transform(df_predic
```

## 7.3.7 Dealing with numerical columns

Let's call in the saved fits applied to the numerical columns in the 01\_data\_preprocessing script

```
In [82]:
           1 # Capture numerical columns
           2 | numerical_columns = df_predict.select_dtypes(include=['int64', 'float64'])
           4 # Let's also drop column 'id' from the numerical_columns as they don't ser
           5 | numerical columns = numerical columns.drop('id')
           7 # The desired order of columns according to when it was fitted in notebook
           8 desired_order = [
           9
                  'amount_tsh', 'gps_height', 'population', 'basin', 'region',
          10
                  'extraction_type_class', 'payment_type', 'source_type',
                  'waterpoint_type', 'installer_type'
          11
          12 ]
          13
          14 # Numerical Columns
          15  numerical_columns_pickle = pickle.load(open('model_objects/numerical_colum
          16 | df_predict[desired_order] = numerical_columns_pickle.transform(df_predict[
```

# 7.3.8 Apply the Decision Tree Classifier created in the model creation section

In [86]: 1 df\_predict[['id','status\_group', 'status\_group\_class']]

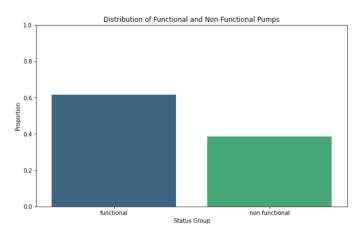
Out[86]:

	id	status_group	status_group_class
0	50785	0.000000	Functional
1	51630	0.000000	Functional
2	17168	0.000000	Functional
3	45559	0.989969	Non-functional
4	49871	0.179576	Functional
14845	39307	0.866786	Non-functional
14846	18990	0.255741	Functional
14847	28749	0.038544	Functional
14848	33492	0.698860	Non-functional
14849	68707	0.994873	Non-functional

14850 rows × 3 columns

## 8. Conclusion

Considering the distribution of the dependent variable



As we can see there is not an imbalance problem even though the majority of pumps are functional.

Diving into the model results, let's begin by looking into the confusion matrix

imagen-4.png

The confusion matrix indicates that the model has a rate of false negatives (32.68%). The false positive rate (14.50%) is relatively low, meaning fewer resources will be wasted on unnecessary maintenance. However, the primary concern should be reducing the false negative rate to

ensure that non-functional pumps are correctly identified and repaired promptly.

Based on the metrics, the best Recall score is obtained with a Decision Tree Classifier. Moreover, the AUC for this model is of 0.87 for the test. In the case of the Logistic Regression model, the recall score was worse even and it had an AUC score (of 0.82).

The variables that are most important and that permit us to best descriminate are:

- 1. waterpoint type
- 2. quantity\_group
- 3. payment\_type

We are interested in these 3 variables because they are the ones that have the most influence when determining whether a pump is functional or non-functional.

Here we will show the contingency tables for each variable divided into functional, functional with repairs, and non functional pumps:

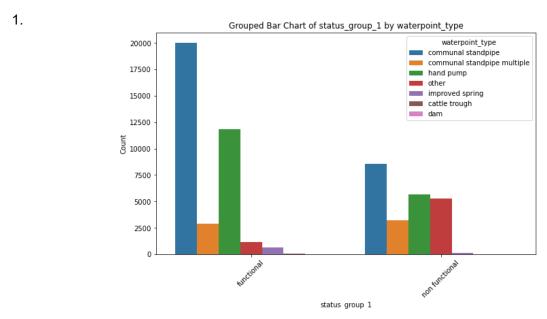


Table for waterpoint\_type:

waterpoint_type		cattle trough	communal standpipe	communal standpipe multiple	dam	hand pump	improved spring	other	
status_	group_1								
fu	nctional	0.24%	54.64%	7.88%	0.02%	32.35%	1.77%	3.10%	
non fu	nctional	0.13%	37.40%	14.11%	0.00%	24.77%	0.60%	22.99%	

Grouped Bar Chart of status\_group\_1 by quantity\_group

quantity\_group

enough
insufficient
dry
seasonal
unknown

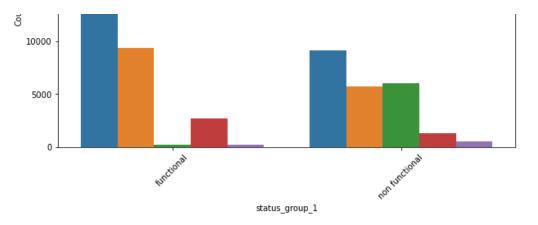


Table for quantity\_group:

quantity_group	dry	enough	insufficient	seasonal	unknown
status_group_1					
functional	0.53%	65.75%	25.61%	7.49%	0.62%
non functional	26.52%	40.04%	25.25%	5.74%	2.46%

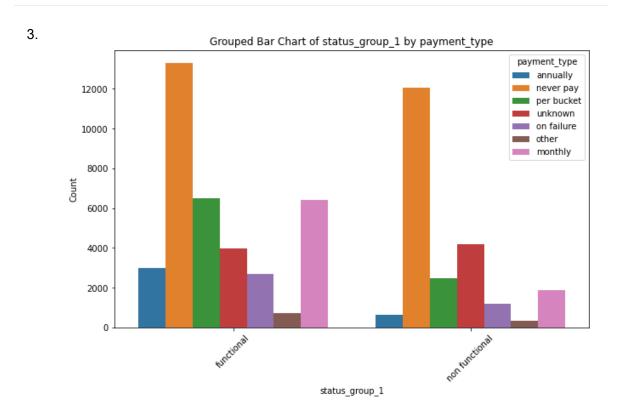


Table for payment\_type:

payment_type	annually	monthly	never pay	on failure	other	per bucket	unknown
status_group_1							
functional	8.17%	17.52%	36.32%	7.40%	1.99%	17.77%	10.83%
non functional	2.87%	8.29%	52.85%	5.29%	1.42%	10.89%	18.39%

## 9. Recommendations

- Considering that most of the functional pumps have monthly payment plans or a per bucket, the Tanzanian government can consider modifying the existing payment plans of those pumps where the payments are different from those payment types, so that the chance of the pump being functional can be increased.
- Considering that almost none of the functional pumps are dry, it is possible to verify which pumps are dry as a proxy variable to know if they are functional or not and thus focus efforts on repairing them.
- 3. Considering that non-functional pumps have in most cases a waterpoint\_type different from cattle trough, communal standpipe, communal standpipe multiple, dam, hand pump and improved spring, it is possible to verify which pumps do not have these waterpoint\_types as a proxy variable to know if they are functional or not and thus focus efforts on repairing them.