

# Audit Trail Evidence Report

January 25, 2026

Compliance Action Log & Examination Readiness

EVIDENCE RECORDS

8

PENDING REVIEW

1

COMPLETED ACTIONS

4

AUDIT COMPLETENESS

100%

## Evidence Log

Evidence ID	Type	Timestamp	Action	User	Status
EVD-2026-001	Transaction Flag	2026-01-24 14:32:15	Structuring Alert Generated	SYSTEM	Pending Review
EVD-2026-002	KYC Update	2026-01-24 11:15:00	Customer Profile Updated	analyst_01	Complete
EVD-2026-003	SAR Decision	2026-01-23 16:45:30	SAR Filing Approved	compliance_mgr	Filed
EVD-2026-004	OFAC Screen	2026-01-23 09:12:00	No Match - Cleared	SYSTEM	Complete
EVD-2026-005	CTR Filing	2026-01-22 17:30:00	CTR Submitted to FinCEN	compliance_01	Submitted
EVD-2026-006	Risk Rating	2026-01-22 10:00:00	Customer Risk Upgraded	analyst_02	Complete
EVD-2026-007	Case Closure	2026-01-21 15:20:00	Investigation Closed - No SAR	compliance_mgr	Closed

EVD-2026-008	Document Upload	2026-01-21 11:45:00	Source of Funds Documentation	analyst_01	Complete
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## Audit Trail Requirements

Requirement	Status	Details
Timestamp on all actions	✓ COMPLIANT	UTC timestamps with millisecond precision
User attribution	✓ COMPLIANT	All actions linked to user ID or SYSTEM
Immutable records	✓ COMPLIANT	Append-only log, no deletions
Evidence retention	✓ COMPLIANT	5-year retention policy active
Access controls	✓ COMPLIANT	Role-based access, read-only for auditors

### Audit Readiness:

- All compliance actions logged with full attribution
- Evidence chain maintained for regulatory examination
- 5-year retention policy ensures BSA/AML compliance
- Export capabilities for examiner requests

**Methodology:** Comprehensive audit trail capturing all compliance-related actions. Each record includes: unique ID, event type, ISO 8601 timestamp, action description, user attribution, and status. System designed for BSA/AML examination readiness per FinCEN requirements. Immutable logging prevents tampering; all changes create new records.