



Applications of Information Complexity II

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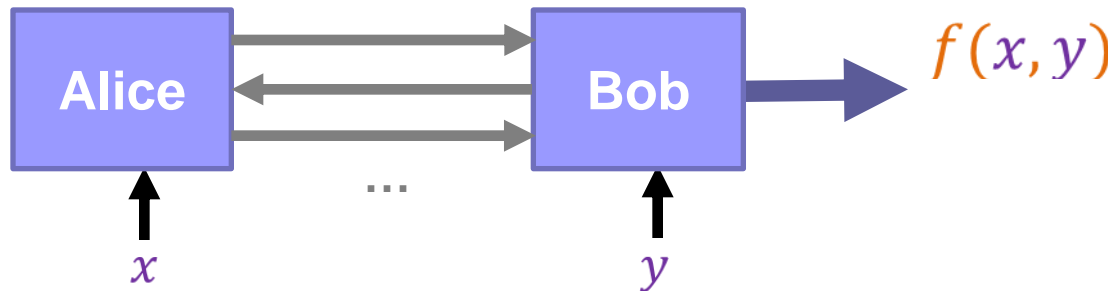
Outline

New Types of Direct Sum Theorems

1. Direct Sum with Aborts
2. Direct Sum of Compositions

- $D_{\mu, \delta}(f)$ = minimum communication over all correct protocols Π

Can't we fix the private randomness?



- Distribution μ on inputs (x, y)
- Correctness: $\Pr_{(X,Y) \sim \mu, \text{ private randomness}} [\Pi(X,Y) = f(X,Y)] \geq 1-\delta$
- Communication: $\max_{x,y, \text{ private randomness}} |\Pi(x,y)|$

Distributional Communication Complexity vs. Information Complexity

- By averaging, there is a good fixing of the randomness:

$$D_{\mu, \delta}(f) = \min_{\text{correct } \textcolor{red}{\text{deterministic}} \Pi} \max_{x,y} |\Pi(x,y)|$$

- However, we'll use the notion of information complexity:

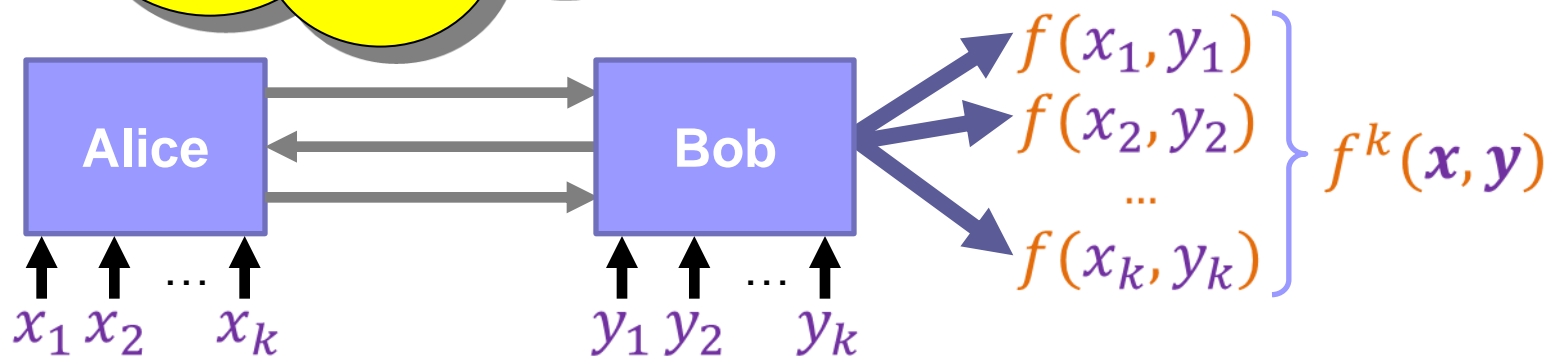
$$IC_{\mu, \delta}^{\text{ext}}(f) = \min_{\text{correct } \Pi} I(X, Y ; \Pi) = H(X, Y) - H(X, Y | \Pi)$$

Can't fix private randomness of Π and preserve $I(X, Y ; \Pi)$



Multicast Problem

Require that
the protocol
solves all k
instances



- Distribution μ^k on inputs $(x, y) = (x_1, y_1), \dots, (x_k, y_k)$
- Correctness: $\Pr_{(X, Y) \sim \mu^k, \text{ private randomness}} [\Pi(X, Y) = f^k(X, Y)] \geq 1 - \delta$
- $D_{\mu^k, \delta}(f^k) = \min_{\text{correct } \Pi} \max_{x, y, \text{ private randomness}} |\Pi(x, y)|$

How Hard is Solving all k Copies?

Main question: Is solving instances independently the best we can do?

$$D_{\mu^k, \delta}(f^k) \stackrel{?}{\geq} \Omega(k) \cdot D_{\mu, \frac{\delta}{k}}(f)$$

- **Direct sum theorems**

- $D_{\mu^k, \delta}(f^k) \geq \Omega(\sqrt{k}) \cdot D_{\mu, \delta}(f)$

[BBCR 10]

- $D_{\mu^k, \delta}^r(f^k) \geq \Omega(k) \cdot \left(D_{\mu, \delta}^r(f) - r - \sqrt{r \cdot D_{\mu, \delta}^r(f)} \right)$

[BR 11]

- ...

- **Direct product theorems**

- $D_{\mu^k, 1 - \left(1 - \frac{1}{3}\right)^k}(f^k) \geq \Omega(\sqrt{k}) \cdot D_{\mu, \frac{1}{3}}(f)$

[BRWY]

Jain et al (bounded rounds)

How Hard is Solving all k Copies?

Main question: Is solving instances independently the best we can do?

$$D_{\mu^k, \delta}(f^k) \stackrel{?}{\geq} \Omega(k) \cdot D_{\mu, \frac{\delta}{k}}(f)$$

- **Direct**

- $D_{\mu^k, \delta}(f^k)$

[BCR 10]

- $D_{\mu^k, \delta}^r(f^k)$

[BR 11]

- ...

None attains above bound!
Impossible for general problems
[FKNN95]

However, general but **relaxed** statement (with aborts) is true
for information complexity

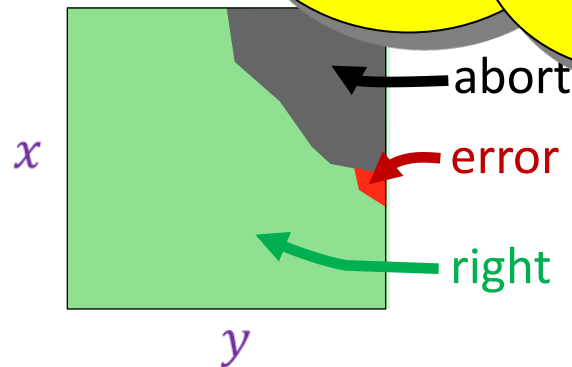
Protocols with Abort

Definition: A randomized protocol Π computes f with probability $1-\alpha$ over

- **(Abort)** $\Pr_{(X,Y) \sim \mu} [\Pi(X,Y) = \text{abort}] \leq \alpha$
- **(Error)** $\Pr_{(X,Y) \sim \mu} [\Pi(X,Y) \neq f] \leq \delta$

We fix $\alpha = 1/20$ and write

$$\text{IC}_{\mu, 1/20, \beta, \delta}^{\text{ext}}(f) = \text{IC}_{\mu, \beta, \delta}^{\text{ext}}(f)$$



- When protocol aborts, it “knows it is wrong”
- $\text{IC}_{\mu, \alpha, \beta, \delta}^{\text{ext}}(f) = \min I(X, Y ; \Pi)$, over Π that $(\mu, \alpha, \beta, \delta)$ -compute f

Direct Sum with Aborts

Main result: Stronger direct sum for every communication problem via protocols with abortion

solving k instances with error δ

is as hard as

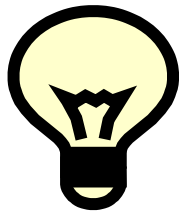
solving each instance with error $\frac{\delta}{k}$ and constant **abortion**

- Formally, $IC_{\mu^k, \delta}^{\text{ext}}(f^k) = \Omega(k) \cdot IC_{\mu, 1/10, \delta/k}^{\text{ext}}(f)$
- Number r of communication rounds is preserved
 $IC_{\mu^k, \delta}^{\text{ext}, r}(f^k) = \Omega(k) \cdot IC_{\mu, 1/10, \delta/k}^{\text{ext}, r}(f)$

Proof Idea

- $$I(X^1, Y^1, \dots, X^k, Y^k ; \Pi) = \sum_i I(X^i, Y^i ; \Pi \mid X^{<i}, Y^{<i})$$

$$= \sum_i \sum_{x, y} I(X^i, Y^i ; \Pi \mid X^{<i} = x, Y^{<i} = y) \cdot \Pr[X^{<i} = x, Y^{<i} = y]$$



$1 - \delta \leq \Pr(\text{all } k \text{ correct})$

Create

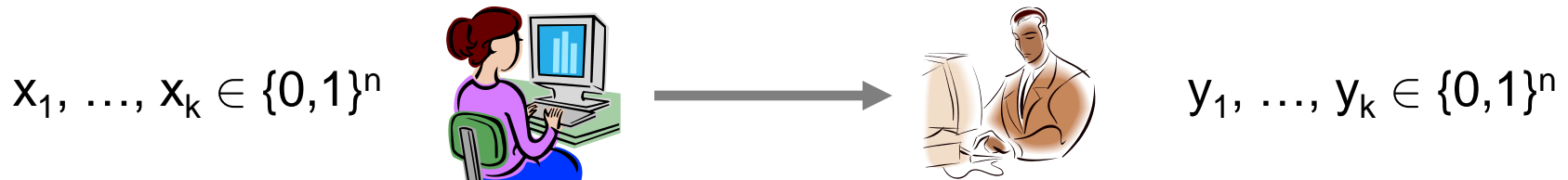
- R
- and

$$= \prod_{i=1..k} \Pr(i \text{ correct} \mid \text{correct up to } i - 1)$$

- *Check* if Π 's output is correct on first $i-1$ instances
- If correct, then $\Pi_{x,y}(A, B)$ outputs the i -th output of Π
- Else, Abort

Application: Direct Sum for Equality

- For strings x and y , $EQ(x,y) = 1$ if $x = y$, else $EQ(x,y) = 0$



- $EQ^k = (EQ(x_1, y_1), EQ(x_2, y_2), \dots, EQ(x_k, y_k))$

- Standard direct sum:

$$IC_{\mu^k, 1/3}^{\text{ext}, 1}(EQ^k) = \Omega(k) \cdot IC_{\mu, 1/3}^{\text{ext}, 1}(EQ)$$

For any μ , $IC_{\mu, 1/3}^{\text{ext}, 1}(EQ) = O(1)$, so LB is $\Omega(k)$

- Direct sum with aborts:

$$IC_{\mu^k, 1/3}^{\text{ext}, 1}(EQ^k) = \Omega(k) \cdot IC_{\mu, 1/10, 1/(3k)}^{\text{ext}, 1}(EQ) = \Omega(k \log k)$$

Sketching Applications

Optimal lower bounds (improve by a $\log k$ factor)

- Sketching a sequence u_1, \dots, u_k of vectors, and sequence v_1, \dots, v_k of vectors in a stream to $(1+\varepsilon)$ -approximate all distances $\|u_i - v_j\|_p$
- Sketching matrices A and B in a stream so that for all i, j , $(A \cdot B)_{i,j}$ can be approximated with additive error $\varepsilon |A_i|^* |B_j|$

Set Intersection Application

$$S \subseteq [n]$$
$$|S| = k$$



$$T \subseteq [n]$$
$$|T| = k$$

Each party should locally output $S \cap T$

- Randomized protocol with $O(k)$ bits of communication.
- In $O(r)$ rounds, obtain $O(k \text{ilog}^{(r)} k)$ communication [WY]
 - $\text{ilog}^{(1)} k = \log k$, $\text{ilog}^{(2)} k = \log \log k$, etc.
- Combining [BCK] and direct sum with aborts, any r -round protocol w. pr. $\geq 2/3$ requires $\Omega(k \text{ilog}^{(r)} k)$ communication



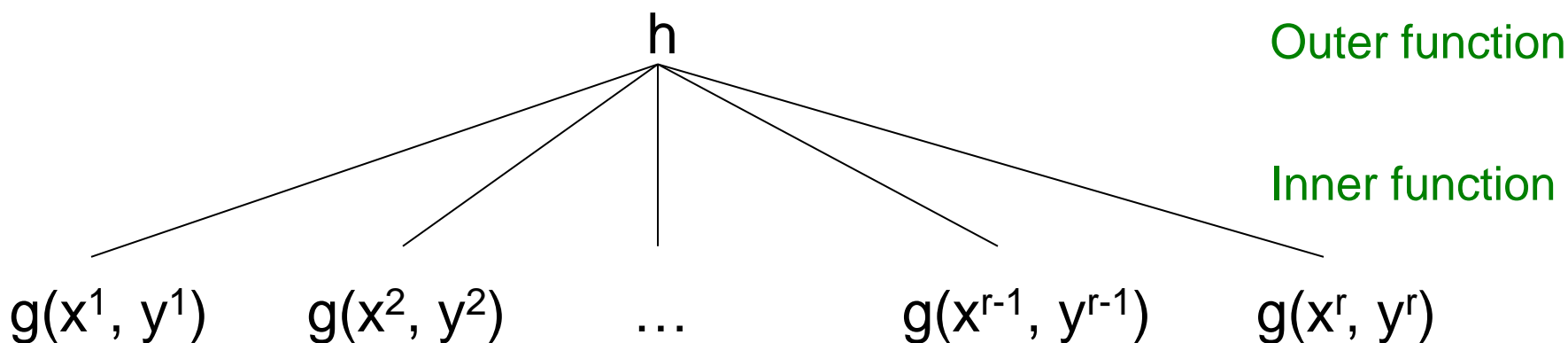
Outline

New Types of Direct Sum Theorems

1. Direct Sum with Aborts
2. Direct Sum of Compositions

Composing Functions

- 2-Party Communication Complexity
 - Alice has input x . Bob has input y
- Consider $x = (x^1, \dots, x^r)$ and $y = (y^1, \dots, y^r) \in (\{0,1\}^n)^r$
- $f(x,y) = h(g(x^1, y^1), \dots, g(x^r, y^r))$ for Boolean function g



Given information complexity lower bounds for h and g , when is there an information complexity lower bound for f ?

Composing Functions

- ALL-COPIES = $(g(x^1, y^1), \dots, g(x^r, y^r))$
- $\text{DISJ}(x, y) = \bigvee_{i=1}^r (x^i \wedge y^i)$
- $\text{TRIBES}(x, y) = \bigwedge_{i=1}^r \text{DISJ}(x^i, y^i)$
- Key to information complexity lower bound proof is an *embedding step*
 - Lower bound $I(X, Y; \Pi) = \sum_i I(X^i, Y^i; \Pi_i)$ is an *embedding step*
 - Lower bound $I(X^i, Y^i; \Pi_i)$ is a lower bound for each i to solve the problem
 - Lower bound $I(X^i, Y^i; \Pi_i)$ is a lower bound for each i to solve the problem
 - Combining function h needs to be sensitive to individual coordinates (under appropriate distribution)

OR function

sensitive to individual coordinates

AND function

sensitive to individual instances of DISJ

Composing Functions

- What if outer function h is not sensitive to individual coordinates?

Can we use $IC^{ext}(\text{Gap-Thresh}(\text{AND}))$ to bound $IC^{ext}(\text{Gap-Thresh}(g))$ for other functions g ?

- No obvious conclusion for Gap-Thresh !
- For specific choices of inner function [BGPW, CR]:

$IC_{\mu, \delta}^{ext}(\text{Gap-Thresh}(a^1 \wedge b^1, \dots, a^r \wedge b^r)) = \Omega(r)$ for μ a product uniform distribution on $a=(a^1, \dots, a^r)$, $b=(b^1, \dots, b^r)$

- On other coordinates j , choose a random **player** $P_j^i \in \{\text{Alice}, \text{Bob}\}$
- If $P_j^i = \text{Alice}$, $X_j^i \in_R \{0,1\}$, $Y_j^i = 0$
- If $P_j^i = \text{Bob}$, $X_j^i = 0$, $Y_j^i \in_R \{0,1\}$

Call this distribution μ

Say μ is **collapsing**

Embed into random **special** coordinate S^i

Known distribution



*What if we could embed
so that inner function g*

- Example embedding for $g = \text{DISJ}$

0	1	0	a_i	0	0	0	0	0	1
0	0	0	b_i	1	1	0	0	1	0

Analyzing

$X^{<i}, Y^{<i}$ determines $A^{<i}, B^{<i}$
given \mathbf{P}, \mathbf{S}

- Let Π be protocol

Chain rule

Now let's look at
the information
cost

- Inc

- Conditioning on entropy

- By chain

- Maximum likelihood
a predictor θ w

- Holds for

Normally we would look
at information cost.

Here we look at an
intermediate measure

- $I(\Pi ; X^1, \dots, X^n)$

$$\geq \sum_{i=1}^n I(\Pi ; X^i, Y^i | A^{<i}, B^{<i}, \mathbf{P}, \mathbf{S})$$

$$I(\Pi ; X^r, Y^r | \mathbf{P}, \mathbf{S})$$

$$I(\Pi ; X^r, Y^r | A^{<r}, B^{<r}, \mathbf{P}, \mathbf{S}) = \Omega(r)$$

$$I(\Pi ; X^{<i}, Y^{<i} | \mathbf{P}, \mathbf{S}) = \Omega(1)$$

$$I(\Pi ; X^{<i}, Y^{<i} | \mathbf{P}, \mathbf{S})$$

$$I(\Pi ; X^{<i}, Y^{<i}, A^{<i}, B^{<i} | \mathbf{P}, \mathbf{S})$$

Guessing Game

- Protocol Ψ is **correct** if can guess (A,B) w. pr. $1/4 + \Omega(1)$ given $\Psi(U,V), S, P$

$$\text{CIC}^{\text{ext}}(\text{Guessing Game}) = \min_{\text{correct } \Psi} I(\Pi; U, V | S, P)$$

- Embedding**
- Consider a protocol Ψ for the **Identify coordinate** problem

S
- Embed random bits A, B on S

$U \in \{0,1\}^n$

Lower bounds for this problem imply lower bound for DISJ

guessing distribution μ :

0	1	0	A	0	0	0	0	0	1
0	0	0	B	1	1	0	0	1	0

Empl

Show $\text{CIC}^{\text{ext}}(\text{Guessing Game}) = \Omega(n)$

Proof related to DISJ lower bound

$$= \Omega(r) \cdot \text{CIC}^{\text{ext}}(\text{Guessing Game})$$

- *Embedding Step*

- Create a protocol $\Pi_{i,a,b,p,s}$ for Guessing Game on inputs (U,V)
- Use private randomness, a, b, p, s to sample X^j, Y^j for $j \neq i$
- Set $(X^i, Y^i) = (U,V)$
- Let the transcript of $\Pi_{i,a,b,p,s}$ equal the transcript of Π
- Use predictor θ , given a, b, p, s, P^i, S^i , and the transcript Π , to guess A^i, B^i w. pr. $\frac{1}{4} + \Omega(1)$, so solve Guessing Game

Distributed Streaming Model

coordinator:

C

players:

P_1

P_2

P_3

...

P_k

inputs:

x^1

x^2

x^3

x^k

- Each $x^i \in \{-M, -M+1, \dots, M\}^n$
- Problems on $x = x^1 + x^2 + \dots + x^k$: sampling, p-norms, heavy hitters, compressed sensing, quantiles, entropy
- Direct Sum of Compositions (generalized to k players): tight bounds for approximating $|x|_2$ and additive ε approx. to entropy

Open Questions

- Direct Sum with Aborts:

$$D_{\mu, \delta}(f^k) = \Omega(k) \cdot IC_{\mu, 1/10, \delta/k}^{\text{ext}}(f)$$

Instead of f^k , when can we improve the standard direct sum theorem for combining operators such as MAJ, OR, etc.?

- Direct Sum of Compositions: for which functions g is

$$IC^{\text{ext}}(\text{Gap-Thresh}(g(x^1, y^1), \dots, g(x^r, y^r))) = \Omega(r \cdot n)?$$

- See Section 4 of <http://arxiv.org/abs/1112.5153> for work on a related Gap-Thresh(XOR) problem (a bit different than Gap-Thresh(DISJ))
- Gap-Thresh(DISJ) problem in followup work [WZ]