# Project #4: Brewin# Interpreter CS131 Fall 2024

Due date: 12/4 11:59pm (Wednesday)

## **Important Info:**

- Expect project #4 to take 20-30 hours of time. In our opinion, it is at least as difficult as project #3! Start early!!!
- This project builds on project 2, NOT project 3!!!
- If you use our solution, do not fork our GitHub repo for project #2, since it will force your forked repo to be public. If/when folks cheat off your publicly-posted code, you'll have to explain to the Dean's office why you're not guilty of cheating. Instead, copy-paste the solution files into your existing environment!
- Make sure to initialize a new git repo before starting! Just like project #2 and 3, we *might* ask you to submit your git history! More info about this <u>here</u>
- Your gradescope score is your final score, and you may submit to gradescope as many times as you'd like

DON'T WAIT UNTIL THE LAST MINUTE TO DO THIS PROJECT!

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# Introduction

The Brewin standards body (aka Carey) has met and has decided to abandon Brewin++ and develop new improvements to the original Brewin language. They've named this new language variation Brewin#. In this project, you will be updating your Brewin interpreter so it supports these new Brewin# features. As before, you'll be implementing your interpreter in Python, and you may solve this problem using either **your original Project #2 solution**, or by modifying the **Project #2 solution** that we provide.

NOTE: Project #4 is based on Project #2 and not on Project #3!

Once you successfully complete this project, your interpreter should be able to run syntactically-correct Brewin# programs. In particular, it should run syntactically-correct Brewin (Project #2) programs that do not generate errors and do not use strict evaluation of logical operators.

So what new language features were added to Brewin#? Here's the list:

# **Need Semantics and Lazy Evaluation**

Brewin# implements *need semantics* with *lazy evaluation*, meaning that expressions are evaluated only when their values are needed and no sooner. Moreover, once an expression has been evaluated its result is cached so it need not be reevaluated a second time.

Here is a simple program showing *need semantics*.

```
func main() {
  var result;
  result = f(3) + 10;
  print("done with call!");
  print(result);  /* evaluation of result happens here */
  print("about to print result again");
  print(result);
}

func f(x) {
  print("f is running");
  var y;
  y = 2 * x;
  return y;
}
```

The above program would print:

```
done with call!
f is running
16
about to print result again
16
```

Explanation:

- In main, the expression f(3) + 10 is assigned to result, but under need semantics, the call to f(3) is delayed until result is actually used.
- print("done with call!") is executed immediately, so "done with call!" is printed first.
- When the first print(result) is reached, the value of result is required, so f(3) is evaluated.
  - The function f(3) prints "f is running", computes 2 \* 3 = 6, and returns 6. Then, result = 6 + 10 = 16.
  - 16 is printed for result.
- When the second print(result), the language simply reuses the already computed value of result, which is 16.

## **Exception Handling**

Brewin# now supports simple exception handling. A function can throw an exception by using the *raise* statement and specifying a string literal, expression or variable indicating the exception name. You then use a try/catch block to execute code that may raise an exception.

Here is a simple program showing exception handling:

```
func foo() {
 print("F1");
 raise "except1";
 print("F3");
}
func bar() {
try {
   print("B1");
   foo();
   print("B2");
 catch "except2" {
   print("B3");
 }
print("B4");
func main() {
try {
```

```
print("M1");
bar();
print("M2");
}
catch "except1" {
  print("M3");
}
catch "except3" {
  print("M4");
}
print("M5");
}
```

The above program would print:

М1

В1

F1

МЗ

М5

#### Explanation:

- main prints M1 and calls bar().
- bar prints B1 and calls foo().
- foo prints F1 and raises "except1", skipping the rest of foo().
- The exception propagates up to bar(), but there's no catch statement for "except1", so bar() doesn't complete and the exception goes back to main().
- main catches "except1", prints M3, and continues with M5.

# **Short Circuiting**

Brewin# now supports short circuiting for the && and || operators.

Here is an example:

```
func foo() {
print("foo");
```

```
return true;
}

func bar() {
  print("bar");
  return false;
}

func main() {
  print(foo() || bar() || foo() || bar());
  print("done");
}
```

The above program would print:

foo true done

#### Explanation:

- The first foo() returns true, so the remaining expressions (bar() || foo() || bar()) are skipped due to short-circuiting.
- Only "foo" is printed while evaluating the logical expression.
- The first call to print prints "true", and the second one prints "done".

# What Do You Need To Do For Project #4?

Now that you have a flavor for the new language features, let's dive into the details.

For this project, you will create a new class called Interpreter within a file called interpreterv4.py and derive it from our InterpreterBase class (found in our provided intbase.py). As with Project #2, your Interpreter class MUST implement at least the constructor and the run() method that is used to interpret a Brewin# program, so we can test your interpreter. You may add any other public or private members that you like to your Interpreter class. You may also create other modules (e.g., variable.py) and leverage them in your solution.

# **Brewin# Language Specification**

The following sections provide detailed requirements for the Brewin# language so you can implement your interpreter correctly.

# **Need Semantics and Lazy Evaluation**

In the context of lazy evaluation in this specification, we use *expression* to refer to expression nodes (which are binary or unary operations and function calls), and standalone variable nodes (which appear on the right-hand side of assignments, in return and raise statements, as function parameters or as for/in conditionals).

We also emphasize the distinction between *standalone* function calls represented by statement nodes such as

```
print("x");
foo();
inputi("Enter a number");
```

and function calls within expressions such as

```
a = foo();
n = inputi("Enter a number");
return (print(x) == nil);
```

In Brewin#, all expressions (as defined above) are evaluated using lazy evaluation with need semantics. This means that expressions are (eagerly) evaluated only when their values are actually needed, such as

- 1) when they are used by standalone print or input calls,
- 2) in an if/for conditional,
- 3) in a raise statement.

Moreover, once an expression has been evaluated, its result is cached so it does not need to be re-evaluated if used again.

#### **Example:**

```
func main() {
  var result;
  result = f(3) + 10;    /* f(3) + 10 evaluation is deferred */
```

## Errors and Exceptions During Lazy Evaluation

**Errors**, including type errors and name errors, **do not occur unless and until an expression is eagerly evaluated**. This means that during lazy evaluation, errors will not be generated. The evaluation of expressions is deferred until their values are needed, and any errors will be raised at that time.

#### **Example:**

```
func faultyFunction() {
  print(undefinedVar); /* Name error occurs here when evaluated */
}

func main() {
  var result;
  result = faultyFunction();
  print("Assigned result!");

  print(result); /* Error will occur when result is evaluated */
}
```

When running this program, the output would be:

#### Assigned result!

And then a NAME\_ERROR would be raised when print(result); is called, because faultyFunction() tries to use an undefined variable when evaluated.

The same holds true for exceptions. **Exceptions**, including raised exceptions and divide by zero exceptions, **do not occur unless and until the code that generates the exception is eagerly evaluated**. This means that during lazy evaluation, exceptions will not be generated. The evaluation of expressions is deferred until their values are needed, and any errors will be raised at that time.

#### Example:

```
func functionThatRaises() {
   raise "some_exception"; /* Exception occurs here when func is called */
   return 0;
}

func main() {
   var result;
   result = functionThatRaises();
   print("Assigned result!");
   /* Exception will occur when result is evaluated */
   print(result, " was what we got!");
}
```

#### Explanation:

- result = functionThatRaises() does not immediately raise an exception because the function is not evaluated yet.
- The program prints "Assigned result!" since the exception is deferred.
- The exception only occurs when result is evaluated in print(result), causing the program to terminate before printing "was what we got!".

## Requirements

- Lazy Evaluation of Expressions:
  - All expressions are evaluated lazily unless they are in a context that requires eager evaluation (i.e., if/for conditionals, arguments of standalone calls to inputi/inputs/print, raise).
  - This includes (expression node) function calls, arithmetic expressions, logical expressions, right-hand side variables, etc.

- All parameters passed to functions (both standalone function calls other than print and input, and those used within expressions) are evaluated lazily as well (e.g., not prior to the function call, but only when the value is needed - see below)
- Function calls, including calls to inputi() and inputs(), within expressions are also evaluated lazily unless they are in an eager evaluation context.
- The expressions in return statements are evaluated lazily.
- Standalone function calls are executed immediately. For instance, function call

```
foo(x+3);
```

will evaluate x+3 lazily and start running foo but in assignment

```
y = foo(x+3);
```

the entire right-hand side is evaluated lazily, so the execution of foo is deferred to the point when y is evaluated eagerly.

- Function calls can have side effects, so the ordering of their evaluation can affect program output.
- Once an expression is evaluated eagerly, all subexpressions that make up the expression must also be evaluated eagerly.
- Later changes to variables involved in earlier expressions have no impact on evaluation of the earlier expression, e.g.:

```
x = 5;
y = x + 10;
x = 100;
print(y); /* still prints 15 */
```

#### Caching of Results:

- Once an expression is evaluated, its result must be cached in the variable to which it was assigned.
- Subsequent uses of the variable must use the cached value without re-evaluating the expression.
- o In particular, if an expression which contains a lazily evaluated variable is eagerly evaluated, the result of the variable evaluation is also cached, e.g.:

```
x = foo(3);
y = x + 10;
print(y);
print(x); /* foo(3) is NOT re-evaluated */
```

#### • Eager Evaluation in Certain Contexts:

- Conditional Expressions: The conditions in if and for statements e.g., if (x > 5) ... or for (x=0;x < 10; x = x+ 1) ... must be evaluated eagerly because the control flow depends on their values.</li>
- Built-in Functions: Arguments to built-in functions such as print(),
   inputi() and inputs() must be evaluated eagerly when these functions are executed as standalone function calls.
- **Raise**: The argument to the raise statement must be evaluated eagerly prior to the exception being generated.

#### Order of Evaluation:

- When expressions are eventually evaluated, evaluation proceeds from left to right, e.g. foo() + bar() \* baz() would have foo() called first, followed by bar(), and then baz().
- Same holds true for arguments when print is called: they are eagerly evaluated from left to right
- Side effects in expressions (e.g., function calls that print) occur at the time of evaluation.

#### • Errors and Exceptions:

Exceptions will only be generated during eager evaluation of an expression.

## **Exception Handling**

Brewin# introduces simple exception handling mechanisms to allow programs to handle errors gracefully.

#### **Raise Statement**

raise expression/variable/value;

#### Examples:

```
raise "foo";
x = "foo"+"bar";
raise x;
raise "foo" + "bar";
```

## **Try/Catch Blocks**

```
try {
   /* statements that may raise exceptions */
}
catch "exception_type_1" {
   /* handler for exception named "exception_type_1" */
}
...
catch "exception_type_n" {
   /* handler for exception named "exception_type_n" */
}
```

Multiple catch clauses can be used to handle different exception types. You may assume all try clauses are accompanied by at least one catch clause, and all catch clauses handle distinct exception types. A try clause with no catch clauses is a syntax error and therefore will not be tested.

Just like if and for scopes, try/catch scopes must support variable **shadowing** rules as outlined in project #2

#### Examples:

```
func foo() {
  try {
    raise "z";
  }
```

```
catch "x" {
    print("x");
 }
 catch "y" {
    print("y");
 }
 catch "z" {
    print("z");
   raise "a";
 print("q");
func main() {
 try {
   foo();
   print("b");
 }
 catch "a" {
   print("a");
 }
```

#### **Expected Output:**

z a

#### **Explanation:**

- foo() raises an exception of type "z".
- The exception is caught by the catch "z" clause within foo(), printing "z".
- Inside the catch "z" block, another exception "a" is raised.
- The exception "a" is not caught within foo(), so it propagates back to main().
- In main(), the catch "a" clause catches the exception and prints "a".
- The program terminates after handling the exception.

#### Additional Example:

#### Combining Lazy Evaluation with Exception Handling:

```
func error_function() {
  raise "error";
  return 0;
}

func main() {
  var x;
  x = error_function() + 10; // Exception occurs when x is evaluated
  print("Before x is evaluated");
  try {
    print(x); // Evaluation of x happens here
  }
  catch "error" {
    print("Caught an error during evaluation of x");
  }
}
```

#### **Expected Output:**

```
Before x is evaluated
Caught an error during evaluation of x
```

#### **Explanation:**

- The expression error\_function() + 10 assigned to x is not evaluated at the time of assignment.
- The print("Before x is evaluated") statement executes without error.
- When print(x) is called, x is evaluated, causing error\_function() to be called.
- error\_function() raises an exception "error".
- The exception is caught by the catch "error" block, and "Caught an error during evaluation of x" is printed.

## Division by Zero Exceptions

In Brewin#, attempting to divide by zero during eager evaluation results in a "div0" exception being raised. This exception can be caught using a try/catch block.

#### **Example:**

```
func divide(a, b) {
  return a / b;
}

func main() {
  try {
    var result;
    result = divide(10, 0);    /* evaluation deferred due to laziness */
    print("Result: ", result);    /* evaluation occurs here */
  }
  catch "div0" {
    print("Caught division by zero!");
  }
}
```

#### **Expected Output:**

Caught division by zero!

#### **Explanation:**

- At the time the result variable is printed, the divide function is called and attempts to compute 10 / 0, which is undefined.
- When the division by zero is attempted, a "div0" exception is raised.
- The catch "div0" block catches the exception and prints "Caught division by zero!".
- The program continues execution after handling the exception.

# Requirements

#### Raise Statement:

- The raise statement causes an exception to be thrown.
- The exception type is specified by an expression, variable, or value that evaluates to a string.

- The expression passed to the raise statement must be evaluated eagerly before the exception is thrown.
- If the expression does not evaluate to a string, you must generate an error of type ErrorType.TYPE\_ERROR by calling InterpreterBase.error().

#### Try/Catch Blocks:

- The try block contains code that may raise exceptions.
- One or more catch clauses follow the try block.
- Each catch clause specifies the exception type it can handle (as a string literal). Passing expressions other than string literals to a catch clause is a syntax error and will not be tested.
- o If an exception is raised within the try block, control is transferred to the catch clause that exactly matches the exception type.
- If no matching catch clause is found in the current try block, the exception propagates to the innermost enclosing try block, then the next innermost enclosing try block, etc., and then to the calling function.
- o In particular, if an exception is generated inside a catch clause, it will *not* be caught by any catch clause corresponding to the *same* try block
- Try/catch blocks may be nested, so you may have arbitrarily many try/catch blocks nested inside enclosing try/catch blocks.
- After the try block finishes without raising an exception or one of the catch clauses is finished running, the control is transferred to the next line after all the catch clauses.

#### • Exception Propagation:

- Exceptions propagate up the call stack until they are caught.
- o If an exception is not caught anywhere, you must generate an error of type ErrorType.FAULT\_ERROR by calling InterpreterBase.error().

#### • Exception Types:

- Exception types are strings.
- Exception handling uses string equality to match exceptions. The raised exception string must match exactly with a string in a catch clause to be caught.

#### • Built-in Exceptions:

 Your code must generate division by zero exceptions with an exception type of "div0" any time an eager evaluation results in a division by zero

#### • Handling Exceptions in Expressions:

- If an operand within an expression raises an exception (e.g., f() + g(), where f() raises an exception), evaluation of the expression immediately ceases and control is transferred to the appropriate catch block. Further evaluation of the expression (e.g., evaluation of g()) is aborted after the exception is raised.
- o If an expression raises an exception, you can assume that the programs we test you on will not attempt to evaluate that expression more than one time.

#### Handling Exceptions in Statements:

- If an expression raises an exception during eager evaluation in an if statement condition, for statement condition, print or inputi/inputs argument, all further processing of that statement is aborted and the exception is propagated to the nearest catch.
- If, while evaluating the operands to a print statement, any of the operands to the results in an exception then the entire print statement will be aborted and nothing must be printed out.
- We will never test a situation where an expression to a raise operation itself throws an exception, e.g.:

raise this\_func\_raises\_its\_own\_exception(); /\* will not be tested \*/

#### Variables in Catch Blocks:

- Variables defined within the try block are not accessible in the corresponding catch clauses.
- When a try block exits either normally or due to an exception, all local variables defined in the try block go out of scope and their lifetime ends.
- Variables defined before the try block are accessible within both the try and catch blocks.

## Short Circuiting for AND and OR Operators

Brewin# now supports short-circuit evaluation for the logical operators && (AND) and | | (OR).

#### Behavior:

- Logical AND (&&):
  - If the first operand evaluates to false, the second operand is **not** evaluated, and the result is false.
  - If the first operand evaluates to true, the result depends on the evaluation of the second operand.
- Logical OR (||):
  - o If the first operand evaluates to true, the second operand is **not** evaluated, and the result is true.
  - If the first operand evaluates to false, the result depends on the evaluation of the second operand.

#### **Example:**

```
func t() {
```

```
print("t");
  return true;
}

func f() {
  print("f");
  return false;
}

func main() {
   print(t() && f());
   print("---");
   print(f() && t());
}
```

#### **Expected Output:**

```
t
f
false
---
f
false
```

#### **Explanation:**

- In the expression t() && f():
  - o t() is called first, printing "t" and returning true.
  - Since the first operand is true, the second operand f() is evaluated.
  - o f() is called, printing "f" and returning false.
  - The result of the && operation is false, which is printed.
- In the expression f() && t():
  - o f() is called first, printing "f" and returning false.
  - $\circ$  Since the first operand is false, the second operand t() is not evaluated due to short-circuiting.
  - The result of the && operation is false, which is printed.

## Requirements

#### Short-Circuit Evaluation:

- Implement short-circuiting behavior for the && and | | operators.
- Do not evaluate the second operand if the result can be determined from the first operand.
- Ensure that side effects (e.g., function calls, print statements) in the second operand do not occur if short-circuiting occurs.
- Ensure that exceptions and errors in the second operand do not get processed if short-circuiting occurs.

# **Abstract Syntax Tree Specification**

You need to handle additional AST node types to support the new features in Brewin#.

#### **New AST Nodes:**

- Try Node
- Catch Node
- Raise Node

## Try Node

A *Statement* node representing a try statement, which includes a block of statements to execute and a list of catch clauses.

#### Fields:

- self.elem\_type:'try'
- self.dict: Contains two keys:
  - 'statements': A list of Statement nodes representing the statements inside the try block.
  - 'catchers': A list of Catch nodes representing each catch clause associated with the try block.

#### Catch Node

A Catch node represents a catch clause, which specifies an exception type to catch and a block of statements to execute when that exception is caught.

#### Fields:

- self.elem\_type: 'catch'
- self.dict: Contains two keys:
  - 'exception\_type': A string representing the exception type that this catch clause handles (e.g., "except1").
  - 'statements': A list of Statement nodes representing the statements inside the catch block.

### Raise Node

A Statement node representing a raise statement, which raises an exception.

#### Fields:

- self.elem\_type: 'raise'
- self.dict: Contains one key:
  - 'exception\_type': An Expression node, Variable node, or Value node representing the exception type to raise.

# Things We Will and Won't Test You On

You may assume the following when building your interpreter:

- WE WILL NOT TEST YOUR INTERPRETER ON SYNTAX ERRORS OF ANY TYPE
  - All programs we present to your interpreter will be syntactically well-formed.
  - There will be no mismatched parentheses, mismatched quotes, or missing syntactic elements.
- WE WILL TEST YOUR INTERPRETER ON ONLY THOSE SEMANTIC AND RUN-TIME ERRORS EXPLICITLY SPECIFIED IN THIS SPEC
  - You must handle the specified errors using InterpreterBase.error().
  - Examples include:
    - Using raise with a non-string exception type.
    - Exceptions not caught anywhere.
    - Type or name errors in expressions when evaluating operands.
- WE WILL NOT TEST YOUR INTERPRETER ON EFFICIENCY, EXCEPT: YOUR INTERPRETER NEEDS TO COMPLETE EACH TEST CASE WITHIN 5 SECONDS
  - You don't need to optimize for efficiency, but your interpreter must avoid infinite loops or extremely slow execution.

- WHEN WE SAY YOUR INTERPRETER MAY HAVE "UNDEFINED BEHAVIOR" IN A PARTICULAR CIRCUMSTANCE, WE MEAN IT CAN DO ANYTHING YOU LIKE AND YOU WON'T LOSE POINTS
  - For cases where the spec states that your program may have undefined behavior, your interpreter can behave in any way, including crashing or producing unexpected results.

# **Coding Requirements**

You **MUST** adhere to all of the coding requirements stated in Project #2, and:

- You must name your interpreter source file interpreterv4.py.
- You may submit as many other supporting Python modules as you like (e.g., statement.py, variable.py, etc.) which are used by your interpreterv4.py file.
- Try to write self-documenting code with descriptive function and variable names and use idiomatic Python code.
- You MUST NOT modify our intbase.py, brewparse.py, or brewlex.py files since
  you will NOT be turning these files in. If your code depends upon modified versions of
  these files, this will result in a grade of zero on this project.
- We strongly encourage you to keep an incremental git history documenting your progress on the project — though it is not required. In case your code resembles another submission, this is a great way to prove you did the work yourself!
  - In general, it's a good idea to commit when you add a feature, fix a bug, or refactor some code. Here's an example of an <u>ideal commit history</u>. Here's an example of a <u>commit history that is lacking</u>.

## **Deliverables**

For this project, you will turn in at least two files via GradeScope:

- Your interpreterv4.py source file.
- A readme.txt indicating any known issues/bugs in your program (or, "all good!").
- Other Python source modules that you created to support your interpreterv4.py module (e.g., variable.py, type\_module.py).

You **MUST NOT** submit intbase.py, brewparse.py, or brewlex.py; we will provide our own. You must not submit a .zip file. On Gradescope, you can submit any number of source files when uploading the assignment; assume (for import purposes) that they all get placed into one folder together.

We will be grading your solution on Python 3.11. Do not use any external libraries that are not in the Python standard library.

Whatever you do, make sure to turn in a Python script that is capable of loading and running, even if it doesn't fully implement all of the language's features. We will test your code against dozens of test cases, so you can get substantial credit even if you don't implement the full language specification.

The TAs have created a template GitHub repository that contains intbase.py (and a parser brewparse.py) as well as a brief description of what the deliverables should look like.

# Grading

Your score will be determined entirely based on your interpreter's ability to run Brewin programs correctly (however you get karma points for good programming style). A program that doesn't run with our test automation framework will receive a score of 0%.

The autograder we are using, as well as a subset of the test cases, is publicly available on <a href="GitHub">GitHub</a>. Other than additional test cases, the autograder is exactly what we deploy to Gradescope. Students are encouraged to use the autograder framework and provided test cases to build their solutions. Students are also STRONGLY encouraged to come up with their own test cases to proactively test their interpreter.

We strongly encourage you to write your own test cases. The TAs have developed a tool called <u>barista</u> that lets you test any Brewin code and provide the canonical response. In discussion, TAs will discuss how to use our test infrastructure and write your own test cases.

Your score on this project will be the score associated with the final project submission you make to GradeScope. In other words, the grade you see on GradeScope is your final grade!

# **Academic Integrity**

The following activities are NOT allowed - all of the following will be considered cheating:

 Publishing your source code on an open GitHub repo where it might be copied (you MAY post your source code on a public repo after the end of the quarter)

<sup>&</sup>lt;sup>1</sup> As long as you submit on time.

- Warning, if you clone our public GitHub repo, it will force the clone to also be public. So create your own private repo to avoid having your code from being used by another student!
- Leveraging ANY source code from another student who is NOW or has PREVIOUSLY been in CS131, IN ANY FORM
- Sharing of project source code with other students
- Helping other students debug their source code
- Hacking into our automated testing or Barista systems, including, but not limited to:
  - Connecting to the Internet (i.e., from your project source code)
  - Accessing the file system of our automated test framework (i.e., from your project source code)
  - Any attempts to exfiltrate private information from our testing or Barista servers, including but not limited to our private test cases
  - Any attempts to disable or modify any data or programs on our testing or Barista servers
  - Any attempts to cause our framework to give you a different score/grade then your solution would otherwise earn
- Leveraging source code from the Internet (including ChatGPT) without a citation comment in your source code
- Collaborating with another student to co-develop your project

#### The following activities ARE explicitly allowed:

- Discussing general concepts (e.g., algorithms, data structures, class design) with classmates or TAs that do not include sharing of source code
- Sharing test cases with classmates, including sharing the source code for test cases
- Including UP TO 50 TOTAL LINES OF CODE across your entire project from the Internet in your project, so long as:
  - It was not written by a current or former student of CS131
  - You include a citation in your comments:

```
# Citation: The following code was found on www.somesite.com/foo/bar
... copied code here
# End of copied code
```

Note: You may have a TOTAL of 50 lines of code copied from the Internet, not multiple 50-line snippets of code!

- Using ChatGPT, CoPilot or similar code generation tools to generate snippets of code so long as you include a citation in your comments. See the <u>syllabus</u> for more concrete quidelines surrounding LLMS.
- Using ChatGPT, CoPilot or similar code generation tools to generate any number of test cases, test code, etc.

```
func f(x) {
  print("f is running");
  var y;
                                                     \chi
 y = 2 * x;
  return y; > returns a thunk
}
                                            Value
                                             Type. THUNK
                                                                              Type. THUNK
func main() {
  var x;
  var result;
                                                       Thunk
                                                                                        Thunk
  x = f(3); -
                                              fcall:frame:f,
axgs:int 33
                                                                              fcall: frame: f, ax 35: int 33
  result = x + 10;
                                                          -expr
                                                                                           _expr
 print(x);
                                                           _env_
snapshot
                                                                                           env.
snapshot
                                              2ew3
                                                                               2 en 3
 x = 4;
  print(x);
  print(result); /* result uses x=6 */
}
/*
*0UT*
f is running
4
16
*0UT*
*/
```

# Chapter 13

# **Lazy Evaluation**

Ordinary men and women, having the opportunity of a happy life, will become more kindly and less persecuting and less inclined to view others with suspicion. The taste for war will die out, partly for this reason, and partly because it will involve long and severe work for all. Good nature is, of all moral qualities, the one that the world needs most, and good nature is the result of ease and security, not of a life of arduous struggle. Modern methods of production have given us the possibility of ease and security for all; we have chosen, instead, to have overwork for some and starvation for others. Hitherto we have continued to be as energetic as we were before there were machines; in this we have been foolish, but there is no reason to go on being foolish forever.

Bertrand Russell (co-author of Principia Mathematica), In Praise of Idleness, 1932

By changing the language interpreter, we can extend the programming language and change the evaluation rules. This can enable constructs that could not be expressed in the previous language, and change the way we think about solving problems. In this chapter, we explore a variation to our Charme interpreter in which the evaluation rule for application is altered so the expressions passed as parameters are not evaluated until their values are needed. This is known as *lazy evaluation*, and it enables procedures to be defined that could not be defined using the normal (eager) evaluation rule.

## 13.1 In Praise of Laziness

The original Charme interpreter, and the standard Scheme language, evaluates procedure arguments eagerly: all argument subexpressions are evaluated whether or not their values are needed. This is why, for example, we need a special form for if-expressions rather than being able to define a procedure <code>if</code> with the same behavior. With the normal Scheme (and Charme) evaluation rules, the following procedure would not have the same behavior as the if-expression special form:

For uses where the consequent and alternate expressions can be evaluated without producing an error, having a side-effect, or failing to terminate, the if procedure behaves indistinguishably from the special form. For example,

```
> (if (> 3 4) 12 13)
13
```

If it is possible to tell if one of the expressions is evaluated, however, the if procedure behaves very differently from the if-expression special form:

```
> (if (> 3 4) (car null) 13)
# car: expects argument of type <pair>; given ()
```

With the special form if-expression, the consequent expression is only evaluated if the predicate expression is true. Hence, the expression above would evaluate to 13 with the special form if expression provided by Scheme.

By changing the Charme evaluator to delay evaluation of operand expressions until their value is needed, we can enable programs to define procedures that conditionally evaluate their arguments. This is known as *lazy evaluation*, since an expression is not evaluated until its value is needed. Confusingly, it is also known as *normal order* evaluation, even though in the Scheme language it is not the normal evaluation order. Scheme is an *applicative-order* language, which means that

all arguments are evaluated as part of the application rule, whether or not their values are needed by the called procedure. Other languages including Haskell and Miranda provide lazy evaluation as the standard application rule.

Lazy evaluation has several advantages over eager evaluation. As the if example indicates, it is possible to express procedures in a language with lazy evaluation that cannot be expressed in a language that has eager evaluation. Many of the special forms in Scheme including if, cond, and begin could be defined as regular procedures in a language with lazy evaluation. It may also allow some evaluations to be performed more efficiently. As an extreme example, consider the expression, ((lambda (x) 3) (loop-forever)), where loop-forever is defined as:

```
(define loop-forever (lambda () (loop-forever)))
```

With lazy evaluation the application expression evaluates to 3; with eager evaluation, the evaluation never terminates since the procedure is not applied until after its operand expressions finish evaluating, but the (loop-forever) expression never finishes evaluating.

We will encourage you to develop the three great virtues of a programmer: Laziness, Impatience, and Hubris. Larry Wall, *Programming Perl* 

# 13.2 Delaying Evaluation

To implement lazy evaluation in our interpreter we need to modify the application expression evaluation rule to delay evaluating the operand expressions until they are needed. To do this, we introduce a new datatype known as a *thunk*. We define a Python class, Thunk for representing thunks. A thunk keeps track of an expression whose evaluation is delayed until it is needed. We want to ensure that once the evaluation is performed, the resulting value is saved so the expression does not need to be evaluated again. Thus, a Thunk is in one of two possible states: *unevaluated* (the operand expression has not yet been needed, so it has not been evaluated and its value is unknown), and *evaluated* (the operand expression's value has been needed at least once, and its known value is recorded). In addition to changing the application evaluation rule to record the operand expressions as Thunk objects, we need to alter the rest of the evaluation rules to deal with Thunk objects.

The Thunk class implements thunks. To delay evaluation of an expression, it keeps track of the expression. Since the value of the expression may be needed when the evaluator is evaluating an expression in some other environment, we also need to keep track of the environment in which the thunk expression should be evaluated.

The implementation uses the \_evaluated instance variable, to keep track of whether or not the thunk expression has been evaluated. Initially this value is False. The \_value instance variable keeps track of the value of the thunk once it has been evaluated.

To implement lazy evaluation, we change the evaluator so there are two different evaluation procedures: meval is the standard evaluation procedure (which does not evaluate thunks), and forceeval is the evaluation procedure that forces thunks to be evaluated to values. This means the interpreter should use meval when the actual expression value may not be needed, and forceeval only when the value of the expression is needed. We need to force evaluation when the result is displayed to the user in the evalLoop procedure, hence, the call to meval in the evalLoop procedure is replaced with:

```
res = forceeval(expr, globalEnvironment)
```

The meval procedure is modified to add an elif clause for thunk objects that

#### 13.2. DELAYING EVALUATION

returns the same expression:

```
isn't this like working 13-5 frever 13-5 frever 13-5 or small?
```

```
def meval(expr, env):
    ... # same as before
    elif isThunk(expr): # Added to support
        return expr # lazy evaluation
    else:
        evalError ("Unknown expression type: " + str(expr))
```

The forceeval procedure first uses meval to evaluate the expression normally. If the result is a thunk, it uses the value method to force evaluation of the thunk expression. Recall that the Thunk.value method itself uses forceeval to find the result of the thunk expression, so there is no need to recursively evaluated the value resulting from the value invocation.

```
def forceeval(expr, env):
    value = meval(expr, env)
    if isinstance(value, Thunk):
        return value.value()
    else:
        return value
```

To change the rule for evaluating application expressions to support delayed evaluation of operands, we need to redefine the evalApplication procedure. Instead of evaluating all the operand subexpressions, the new procedure creates Thunk objects representing each operand. Only the first subexpression, that is, the procedure to be applied, must be evaluated. Note that evalApplication uses forceeval to obtain the value of the first subexpression, since its actual value is needed in order to apply it.

```
def evalApplication(expr, env):
    ops = map (lambda sexpr: Thunk(sexpr, env), expr[1:])
    return mapply(forceeval(expr[0], env), ops)
```

There are two other places where actual values are needed: when applying a primitive procedure and when making a decision that depends on a program value. The first situation requires actual values since the primitive procedures are not defined to operate on thunks. To apply a primitive, we need the actual values of its operands, so must force evaluation of any thunks in the operands. Hence, the definition for mapply forces evaluation of the operands to a primitive procedure using the deThunk procedure.

```
def deThunk(expr):
    if isThunk(expr):
        return expr.value()
    else:
        return expr

def mapply(proc, operands):
    if (isPrimitiveProcedure(proc)):
        ops = map (lambda op: deThunk(op), ops)
        return proc(ops)
    elif ... # same as before
```

The second situation arises in the evaluation rule for conditional expressions. In order to know how to evaluate the conditional, it is necessary to know the actual value of the predicate expressions. Without knowing if the predicate evaluates to a true or false value, the evaluator cannot proceed correctly. It must either evaluate the consequent expression associated with the predicate, or continue to evaluate the following predicate expression. So, we change the evalConditional procedure to use:

```
result = forceeval(predicate, env)
```

This forces the predicate to evaluate to a value (even if it is a thunk), so its actual value can be used to determine how the rest of the conditional expression evaluates.

# 13.3 Lazy Programming

Lazy evaluation enables programming constructs that are not possible with eager evaluation. For example, the if procedure defined at the beginning of this chapter behaves like the if-expression special form in Scheme only if our interpreter has lazy evaluation. Lazy evaluation also enables programs to deal with seemingly infinite data structures. This is possible since only those values of the apparently infinite data structure that are used need to be created.

Much of my work has come from being lazy. John Backus

Suppose we define LazyCharme procedures similar to the Scheme procedures for John Backus manipulating pairs:

These behave similarly to the corresponding Scheme procedures, except their operands are evaluated lazily. This means, we can define an infinite list:

```
(define ints-from
    (lambda (n)
          (cons n (ints-from (+ n 1)))))
```

In Scheme (with eager evaluation), (ints-from 1) would never finish evaluating. It constructs a list of all integers starting at 1, but has no base case for stopping the recursive applications. In LazyCharme, however, the operands to the cons application in the body of ints-from are not evaluated until they are needed. Hence, (ints-from 1) terminates. It produces a seemingly infinite list, but only the evaluations that are needed are performed:

```
LazyCharme> (car (ints-from 1))
1
```

```
LazyCharme> (car (cdr (cdr (ints-from 1)))))
4
```

Some evaluations will still fail to terminate. For example, using the standard definition of length:

Evaluating (length (ints-from 1)) would never terminate. Every time we evaluate an application of length, it applies cdr to the input list, which causes ints-from to evaluate another cons. The actual length of the list is infinite, so the application of length does not terminate.

We can use lists with delayed evaluation to solve problems. Reconsider the Fibonacci sequence from Chapter 6. Using lazy evaluation, we can define a list that is the infinitely long Fibonacci sequence:<sup>1</sup>

```
(define fibo-gen
   (lambda (a b)
        (cons a (fibo-gen b (+ a b)))))
(define fibos (fibo-gen 0 1))
```

<sup>&</sup>lt;sup>1</sup>This example is based on *Structure and Interpretation of Computer Programs*, Section 3.5.2, which also presents several other examples of interesting programs constructed using delayed evaluation.

Then, to obtain the  $n_{th}$  Fibonacci number, we just need to get the  $n_{th}$  element of fibos:

```
(define fibo
    (lambda (n) (get-nth fibos n)))
```

where get-nth is defined as:

Another strategy for defining the Fibonacci sequence is to first define a procedure that merges two (possibly infinite) lists, and then define the Fibonacci sequence in terms of itself. The merge-lists procedure combines elements in two lists using an input procedure.

We can think of the Fibonacci sequence as the combination of two sequences, starting with the 0 and 1 base cases, combined using addition where the second sequences is offset by one position. This allows us to define the Fibonacci sequence without needing a separate generator procedure:

```
(define fibos
  (cons 0 (cons 1 (merge-lists fibos (cdr fibos) +))))
```

The sequence is defined to start with 0 and 1 as the first two elements. The following elements are the result of merging fibos and (cdr fibos) using the + procedure. So, the third element in the sequence is (+ (car fibos) (car (cdr fibos))) which evaluates to 1, and the fourth element is (+ (car (cdr fibos))) (car (cdr (cdr fibos)))) which evaluates to 2. This definition relies heavily on lazy evaluation; otherwise, the evaluation of

```
(merge-lists fibos (cdr fibos) +)
```

would never terminate: the input lists are effectively infinite.

**Exercise 13.1.** Define the sequence of factorials using techniques similar to how we defined fibos.  $\diamondsuit$ 

**Exercise 13.2.** For each of these questions, try to figure out what infinite list is defined by the given expression without evaluating it in LazyCharme.

```
a. (define p (cons 1 (merge-lists p p +)))
b. (define t (cons 1 (merge-lists t (merge-lists t t +) +)))
c. (define twos (cons 2 twos))
d. (merge-lists (ints-from 1) twos *)
```

**Exercise 13.3.** Assuming the definitions from the previous exercise, what is the value of d1?

```
(cons (car lst) (filter (cdr lst) proc))
               (filter (cdr lst) proc)))))
(define contains-ordered
   (lambda (lst val)
      (if (null? lst) #f
           (if (> (car lst) val) #f
               (if (= (car lst) val) #t
                   (contains-ordered (cdr lst) val)))))
(define dl
   (filter (ints-from 1)
            (lambda (el)
               (if (contains-ordered
                      (merge-lists (ints-from 1) twos *)
                   #f
                   #t))))
\Diamond
```

Exercise 13.4. $(\star\star)$  A simple algorithm known as the "Sieve of Eratosthenes" for finding prime numbers was created by Eratosthenes, an ancient Greek mathematician and astronomer (he was also known for calculating the circumference of the Earth). The algorithm imagines starting with an (infinite) list of all the integers starting from 2. Then, it imagines repeating the following two steps forever:

- 1. Circle the first number in the list that is not crossed off. That number is prime.
- 2. Cross off all numbers in the list that are multiples of the circled number.

To carry out the algorithm in practice, of course, the initial list of numbers must be finite, otherwise it would take forever to cross off all the multiples of 2.

Implement the sieve algorithm using lists with lazy evaluation. You may find the filter and merge-lists procedures useful, but will probably find it necessary to define some additional procedures.  $\diamondsuit$ 

# 13.4 Summary

We can produce a new language by changing the evaluation rules of an interpreter. Changing the evaluation rules changes what programs mean, and may enable programmers to approach problems in new ways. In this example, we have seen that changing the evaluation rule for applications to evaluate operand expressions lazily enables new programming constructs.