

CV Alfred Mettler *(September 2017)*

Personal

Alfred Mettler, Ph.D.
Associate Professor in the Practice of Finance
University of Miami
School of Business Administration
Department of Finance
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Nationality: Dual Citizen (U.S. and Switzerland)
Languages: English, German (mother tongue), French, Italian, Slovak

Professional Areas of Interest and Expertise:

- Global Banking and Finance, Corporate Finance
- Risk Management of Financial Institutions, Enterprise Risk Management
- Financial Education, Global economic/societal/political/legal developments

Main Professional Activities:

Degree Programs:

- Teaching in executive, premium, graduate and undergraduate programs (Corporate Finance, Derivatives, Managing in the Global Economy, Multinational Corporate Finance, Banking and Finance in the Global Economy, Current Issues in Banking, Valuation of Financial Assets)
- Curriculum development, innovation, and integration

Executive Education Programs:

- Teaching in various EMBA, certificate and custom executive education programs in the U.S., Europe, and Asia
- Adjunct Professor of Finance, Swiss Finance Institute, SFI
- Finance Coordinator of the Executive MBA of the University of Fribourg (Switzerland), and member of the Scientific Council, International Institute of Management in Technology (iimt), University of Fribourg (Switzerland)

Managerial Activities:

- 2003-2017: Member of the MBA Steering Committee and Graduate Program Council, Georgia State University
- 2012-2017: Program director MS Finance program, Georgia State University
- 2010-2017: Director of the Financial Leadership Network and CFO Council, Georgia State University
- 2003-2008: Managing Director of CERMAS, the Center for Enterprise Risk Management and Assurance Services, Georgia State University
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Consulting Activities:

- Consulted with various organizations in the U.S., Europe, and Asia, among others the Swiss Government, UBS, Canton of Zurich, the Vietnamese Bankers Association, etc.

Media Activities:

- Numerous contributions / interviews in Print Media, Radio, and TV, in Switzerland and the U.S.

Education:

- 1993/94 Visiting Scholar, Stern School of Business, New York University, New York (NY)
- 1990 Ph.D. in Finance (University of Zurich, Switzerland)
Dissertation Title: Initial Public Offerings in Switzerland (Publication in German)
- 1987 Masters Degree in Business Administration (lic.oec.publ.),
University of Zurich (Switzerland), Major in Finance and Banking

Awards, Scholarships, Fellowships:

- 2015 Appointment: H. Talmage Dobbs, Jr. Chair of Teaching Excellence, Georgia State University
- 2015 Voted most outstanding Professor, PMBA Alpharetta 2015 cohort and MS Finance 2015 cohort, Georgia State University
- 2014 Voted most outstanding Professor, PMBA Alpharetta 2014 cohort, and MS Finance 2014 cohort, Georgia State University
- 2013 Recipient of the J. Mack Robinson College of Business' faculty recognition award for service
- 2013 Voted most outstanding Professor, PMBA Alpharetta 2013 cohort and MS Finance 2013 cohort, Georgia State University
- 2013 Voted most outstanding Professor, MS Finance 2013 cohort
- 2011 Recipient of the J. Mack Robinson College of Business' faculty recognition award for teaching
- 2011 Voted most outstanding Professor, FT MS Finance 2011 cohort
- 2009 Recipient of the Crystal Apple MBA Teaching Award, April 2009
- 2008 Recipient of the Board of Advisors Faculty Excellence in Teaching Award for 2008
- 2007 Recipient of the J. Mack Robinson College of Business' faculty recognition award for service
- 2006 First recipient of the J. Mack Robinson College of Business' Crystal Apple Student Teaching Award
- 2004 Recipient of the J. Mack Robinson College of Business' faculty recognition award for teaching

Work Experience:

- 2017 - present Associate Professor in the Practice of Finance, University of Miami, Coral Gables (FL)
- 2015 - 2017 H. Talmage Dobbs, Jr. Professor of Teaching Excellence
- 2007 - 2017 Clinical Associate Professor of Finance, Georgia State University, Atlanta, GA
- 2010 - present Adjunct Professor of Finance, Swiss Finance Institute, Zurich (Switzerland).
Head of SFI's Knowledge Center (2011-2012)
- 1998 - 2007 Assistant Professor of Finance, Georgia State University, Atlanta, GA
- 1997 - 1998 Visiting Assistant Professor, American Graduate School of International Management (Thunderbird), Geneva-Campus, Archamps (France)
- 1996 - 1998 Assistant Professor of Finance, Swiss Banking Institute, University of Zurich, Switzerland
- 1993 - 1994 Visiting Scholar, Salomon Center, Stern School of Business, New York University, New York (NY)
- 1990 - 1995 Senior Research Assistant and Senior Lecturer,
Swiss Banking Institute, University of Zurich, Switzerland
- 1987 - 1990 Research Assistant and Lecturer,
Swiss Banking Institute, University of Zurich, Switzerland

Publications and Writings

Refereed Scholarly

- The Impact of the Sarbanes-Oxley Act on the Cost of Going Public (together with Christoph Kaserer, Stefan Obernberger), in: Business Research, Vol. 4, Issue 2, Dec 2011
- The time-varying risk of listed private equity (together with Christoph Kaserer, Henry Lahr, Valentin Liebhart), in: Journal of Financial Transformation, #28, 03/2010, p. 87-94
- Managing in the Global Economy: An integrative concept for a cross-functional MBA cornerstone course, The College Teaching Methods & Styles Journal, Vol. 2, No. 3, third quarter 2006, p. 17-27.
- Assessing Learning Levels among Students in the Finance Core, Journal of Financial Education, Vol. 32, Spring 2006, p. 45-57.
- Neue Wege fuer das inlaendische Kreditgeschaef, in: Die Unternehmung (Nr. 2/98), p. 91-103, 1998 (together with P. Lautenschlager and R. Volkart).

Non-Refereed and Other

- Book Review „Growth and Value Creation in Asset Management: how to win in the ‘new normal’ “, in: Journal of Applied IT and Investment Management, Aug. 2010, p. 34
- Es ist Zeit, mit der masslosen Selbstkritik aufzuhören, in: Neustart – 50 Ideen für einen starken Finanzplatz Schweiz, December 2009, p. 35-39 (publication in German) [*Translated title: 50 Ideas for a Strong Swiss Financial Center*]
- Executive Education – Einige transatlantische Betrachtungen, in: Die ISB-Jahre mit Ruedi Volkart und Hans Geiger, May 2008, p. 118-127 (publication in German) [*Translated title: Executive Education – Some Transatlantic Observations*]
- Introduction to the World, in: BizEd, July/August 2006, p. 28-33 (together with Bill Bogner, Susan Houghton, Chris Lemley).

Selected recent other writings, comments, key-note presentations, media contributions 2012-2016

2016:

- Various TV and radio interviews with Swiss Public Radio/TV about financial markets, banking issues, tax issues, economic policy, U.S. elections, etc.
- Various newspaper articles and interviews
- Keynote presentation at various events, August, September, and November 2016
- Commentator on Swiss Public Radio during U.S. Election Night, Nov 8, 2016

2013 - 2015:

- Various TV and radio interviews with Swiss Public Radio/TV about financial markets, banking issues, tax issues, economic policy, etc.
- Various newspaper articles and interviews
- Keynote presentation at Enterprise Risk Management conference, University of Applied Sciences, Luzern (Switzerland), Nov 2015
- Presentation to Aquila Wealth Management: “Banking, Taxes, and Politics”, Nov 2014
- Presentation to **Europa Forum**: “Viewpoints on Switzerland”, Lucerne (Switzerland), Nov 2013
- Presentation to Raiffeisenbank Zurich: “Banking, Taxes, and Politics”, Nov 2013

2012:

- Presentation to the President of Switzerland (Eveline Widmer-Schlumpf) and her Cabinet: “Banking, Taxes, Politics, and Society: Thoughts about the Swiss-US Relationship”, August 2012

- Various TV and radio interviews with Swiss Public Radio/TV about financial markets, banking issues, tax issues, economic policy, etc.
- Various newspaper articles and interviews
- Extensive interview in various large Swiss newspapers: "100 Years of Swiss Banking"
- Presentation to Raiffeisenbank Switzerland: "Banking, Taxes, and Politics", Nov 2012
- Commentator on Swiss TV during U.S. Election Night, Nov 6/7 2012
- Presentation at World Affairs Council Breakfast Discussion, Sep 25: "The Euro and the EU Crisis"
- Presentation at UPS GSU Event, Oct 24: "The Euro and the EU Crisis: Implications for the U.S. and the World"

Paper Presentations at Professional Meetings

- 2017 - Paper presentation IAES 2017 conference: Efficiency of financial markets after unforeseen events: The Swiss National Bank's cap on the Franc against the Euro
- 2016 - Paper presentation IAES 2016 conference: Intended and unintended consequences: The Swiss National Bank's decision to remove the cap on the CHF against the EUR
- 2015 - Paper presentation IAES 2015 conference: Foreign Currency Mortgages: Risks, Returns, and Policies
- 2014 - Paper presentation FMA 2014 conference: Credit Spreads and Risk-Adjusted Pricing
- 2014 - Paper presentation MFS 2014 conference: Foreign Currency Mortgages
- 2009 - Paper presentation FEA 2009 meeting: Managing in the Global Economy: A Success Story of a cross-functional MBA Core Course
- Paper presentation EFA 2009 meeting: The Impact of the Sarbanes-Oxley Act on the Cost of Going Public
- The Impact of the Sarbanes-Oxley Act on the Cost of Going Public, paper presented by co-author at the European FMA 2009 meeting
- 2008 - Paper presentation FEA 2008 meeting: Foreign Currency Mortgages
- Paper presentation FEA 2008 meeting: The Subprime Crisis in Movie Sequences
- Has SOX reduced the Cost of Going Public, paper presented by co-author at European FMA 2008 meeting
- 2007 - Session chair and paper discussant at the FMA annual meeting in Orlando, Oct 2007
- 2006 - Presentation at the Commercial Bankers Forum, Zurich (organized by Swiss Banking Institute, University of Zurich, Switzerland): "Mortgage Banking in the USA", June 22, 2006
- 2005 - Paper presentation at the CTMS conference in Reno, September 2005: Managing in the Global Economy: An integrative concept for a cross-functional MBA cornerstone course
- Paper presentation at the Financial Education Association conference in Orlando, April 2005: Basel II Visualized: PD, EAD, LGD, and Corresponding Credit Spreads, together with R. Volkart
- Paper presentation at the Financial Education Association conference in Orlando, April 2005: Chickens and Eggs: A Visualization and some Interpretations of the Spot-Futures Relationship
- 2004 - Paper presentation at the at the European FMA conference in Zurich, June 2004: Option Pricing Theory, Credit Spreads, and Risk-Adjusted Pricing: An Integrative Approach, together with R. Volkart
- Paper presentation at the at the Financial Education Association conference in Mystic, April 2004: Assessing Knowledge Levels among Students in the Finance Core, together with P. Eisemann, M. Shrikhande
- 1999 - Paper Presentation at the Annual Conference of the Financial Management Association in Orlando, October 1999: Equity Issues, Privatization and IPO's in Switzerland
- 1997 - Paper Presentation at the 1st International FMA Conference, Zurich (Switzerland): Commercial Banking - Strategy and Policy in Europe

Working Papers and Research in Progress

- Credit Spreads and Risk-Adjusted Pricing
- Intended and unintended consequences: The Swiss National Bank's decision to remove the cap on the CHF against the EUR
- Triangular Arbitrage in Turbulent Times
- Foreign Currency Mortgages: Risks, Returns, and Policies
- Mountain Climbing and Risk Management: Four Movie Scenes for the Finance Curriculum
- Movie Sequences in the Finance Curriculum

Selected Service Activities for Georgia State University / J.Mack Robinson College of Business

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| 2003 - 2017 | Member of the Robinson College's MBA Steering Committee |
| 2006 - 2017 | Member of the Robinson College's Graduate Program Council (GPC) |
| 2010 - 2017 | Director CFO Council and Financial Leadership Network |
| 2012 - 2017 | Member of the Robinson College's Planning Committee |
| 2006 - 2014 | Member of the Robinson College's Study Abroad Task Force |
| 2014 | Presentation to RCB's Board of Advisers: Historical and Current Economic Implications of Crimea, Ukraine, and Russia (with Wayne Lord), May 9, 2014 |
| 2011 - 2012 | Co-director of the College's Munich Re Fellowship program |
| 2007 - 2012 | Teaching in RMI's GSU/Munich Re Fellowship Program |
| 2011 | Helped to organize and participated in U.S.-Swiss Dialogue on Fiscal Policy, "Facing Public Debt", Feb 10, 2011 |
| | Helped to organize and participated in World Affairs Council Event "The Swiss Connection: America's Bridge to Iran", Sep 27, 2011 |
| | Moderating discussion with Dennis Lockhart, Chairman Atlanta Federal Reserve, Graduate Business Association event, Feb 17, 2011 |
| | Active Participation and Lecture in Symposium "Money and Responsibility", Jan 5/6, 2011, organized at the request of Eric Joiner/Fenwick Huss, Dean GSU Business School |
| 2010 - 2011 | Department contact person for ACG Cup Competition |
| 2004 - 2010 | Initiated, created, developed, and organized the department's highly successful Field Studies Program in Finance (for-credit internships with various companies) |
| 2010 | Presentation to Economic Forecasting Center Conference: Banking, Regulation, and Politics. Feb 24, 2010 |
| | Presentation to College of Law: The Financial Crisis and the Current Situation (with P. Eisemann), April 20, 2010 |
| 2008 - 2010 | Dissertation Committee Member for Mika Tatum Kusar (Chair: Dr. Pam Barr) |
| 2009 | Member of the Alpharetta Classroom Committee |
| 2009 | Presentation to the Economic Forecasting Center's Sponsors Seminar, "Banking, Taxes, and Politics" |
| 2004 - 2009 | Course Coordinator of the core course MBA 8000, Managing in the Global Economy |
| 2003 - 2008 | Managing Director of CERMAS, the Robinson College's Center for Enterprise Risk Management and Assurance Services |
| 2006 - 2008 | Member of RCB's Assessment Committee |
| 2006 - 2007 | Member of the College's ad hoc committee to develop an Executive Doctorate Program in Business (EDB) |
| 2007 | Presentation at Economic Forecasting Conference, Nov 14, 2007 |
| 2007 | Presentation at AACSB Bridge Conference, Oct 10, 2007 |

Selection of International Activities

Regular teaching and program responsibilities in various executive education programs:

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- Swiss Finance Institute, International Bank Management Program:
5-day module: "Commercial Banking", "Risk Management", annually in September
- Swiss Finance Institute, Executive Program/DAS in Banking:
3-day module: "Commercial Banking", annually in October
2-day module: "Risk Management", annually in October
1-week module: "Fundamentals of Finance", annually in May
- Swiss Finance Institute, Advanced Executive Program:
3-day module: Developments in Commercial Banking, annually in June
- International Institute of Management in Technology (University of Fribourg, Switzerland), Executive MBA Program in ICT:
3-day module: Financial Management (2), annually in June
3-day module: Financial Management (3), annually in June
- Swiss Management Program in Bank Directors' Training for Vietnamese Bankers:
3-day module: Credit Risk Management, May 2016, Ho-Chi-Minh-City (Vietnam)
3-day module: Credit Risk Management, May 2016, Hanoi (Vietnam)
- Swiss Management Program in Bank Directors' Training for Vietnamese Bankers:
3-day module: Risk and Return, November 2015, Ho-Chi-Minh-City (Vietnam)
3-day module: Risk and Return, December 2015, Hanoi (Vietnam)
- China Executive Leadership Academy Pudong, and Pudong Institute of Finance, Shanghai (China): Risk Management in the Context of Modern Financial Systems, April 2012
- Swiss Management Program in Banking for Vietnamese Bankers:
3-day module: Credit Risk Management, July 2010, Hanoi (Vietnam)
3-day module: Credit Risk Management, July 2010, Ho-Chi-Minh-City (Vietnam)
- Swiss Finance Institute, FAME Program:
5-day module: Fundamentals of Investment Finance, July 2009, July 2010, Lausanne (Switzerland)
- Senior Management Program in Banking for Vietnamese Bankers:
3-day module: Risk and Return, December 2009, Hanoi (Vietnam)
3-day module: Risk and Return, December 2009, Ho-Chi-Minh-City (Vietnam)
- Swiss Finance Institute, Alumni Workshop: Banking, Taxes, and Politics, Nov 13, 2009, Zurich

Served as an advisor to the Swiss Government on Banking and Tax issues (member of a Task Force appointed by the President of Switzerland, Federal Councilor Hans-Rudolf Merz). March-Oct 2009.

Presentation to the President of Switzerland, Federal Councilor Eveline Widmer-Schlumpf, and her Cabinet: "Banking, Taxes, Politics, and Society: Thoughts about the Swiss-US Relationship", August 2012

Memberships in Professional Organizations:

- Active Member of Financial Management Association, FMA (Co-organizer of the FMA European Meetings in 1996 and 2004, both times in Zurich (Switzerland))
- Active Member of Financial Education Association FEA
- Active member of Financial Executives Institute (FEI), Atlanta and Miami chapters
- Active member of the Swiss American Chamber of Commerce
- Member of the Steering Committee of the Atlanta chapter of PRMIA (2010-2017)