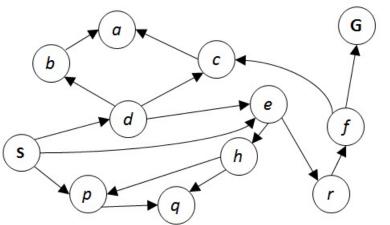
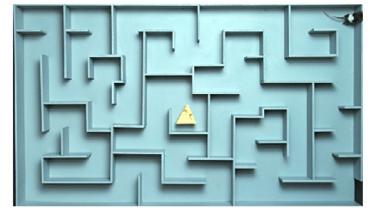
### COMP 341 Intro to Al Constraint Satisfaction Problems

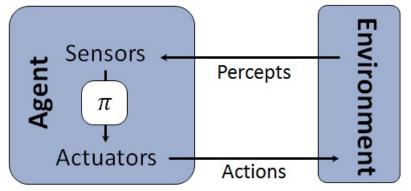


Asst. Prof. Barış Akgün Koç University

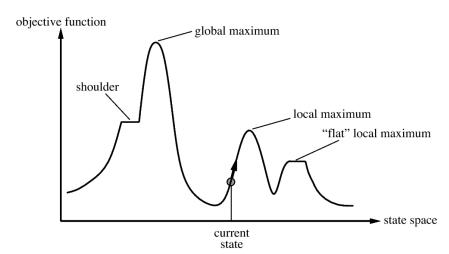


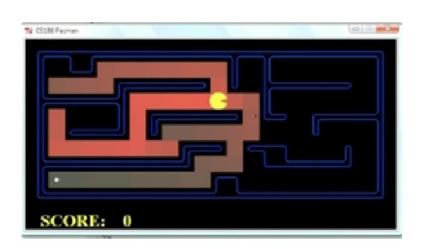




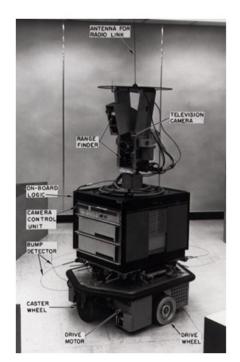


# Previously on Intro to Al









### Search

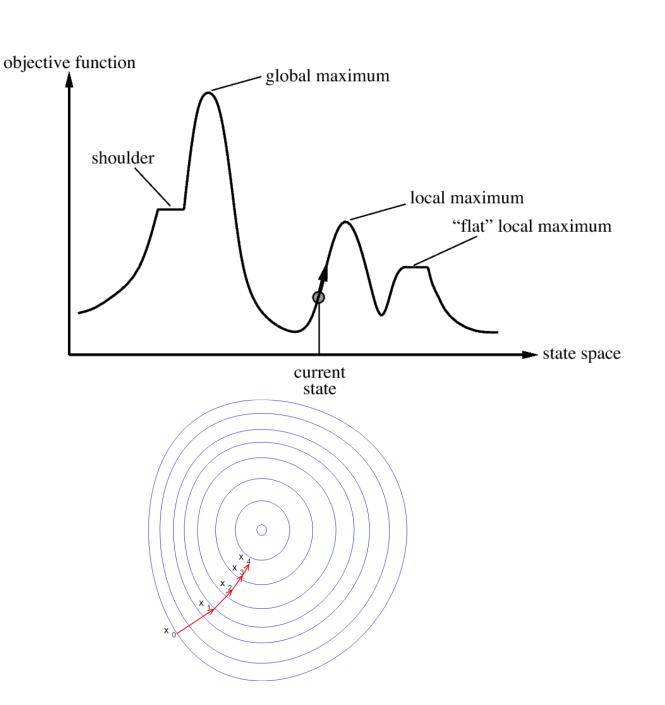
- Uninformed
- Informed
- Solution is a path to goal

### Local Search

Solution is important, not the path!

- Hill Climbing
- Simulated Annealing
- Local Beam Search
- Genetic Algorithms

Gradient Descent



### Local Search

- Formulation:
  - Current State
  - Transition Function
  - Evaluation Function and State Space "Landscape"
- Algorithms: Move towards Better States (Where the "Local" comes from)
  - Complete: Find a solution if one exists
  - Optimal: Find the best state

Usually easy to code!

### Constraint Satisfaction Problems

No neighbors with the same color! (B) (R) (R) (G) (B) (R)

### Search So Far

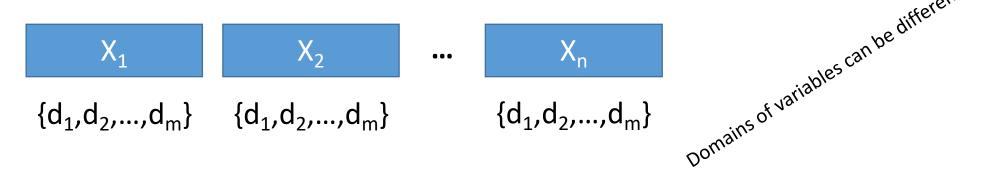
- Classical Search:
  - Solution is path to a goal state
- Local Search:
  - Solution is the goal state itself
- CSPs?
  - Goal matters
  - States and goal test have specific structure!
  - Allows for general heuristics

### Constraint Satisfaction Problems

- Standard Search
  - State is a black box data structure
  - Goal test: Can be any Boolean function of states
  - Successor Function: Can be anything that returns valid states
  - Heuristic function: Can be anything that maps states to a non-negative scalar
- CSPs
  - State is defined by variables  $X_i$  with values from domain  $D_i$ .
    - Map Coloring Example: Variables are the color of each Australian state and the domain is the set of allowable colors
  - Goal test is a set of constraints specifying allowable combinations of values for subsets of variables
    - Map Coloring Example: All states are colored and neighboring states do not have the same color
- This structure allows useful general-purpose algorithms with more power than standard search algorithms

### **CSPs**

• State is defined by variables  $X_i$  with values from domain  $D_i$ 



 Goal test is a set of constraints specifying allowable combinations of values for subsets of variables. E.g.

$$\sum_{i \in A} X_i == k \qquad X_i \neq X_j \text{ for } i \neq j, i \in A, j \in A$$

# Real Life Example (!) - Carpool

- Ahmet, Elif, Mehmet, Zeynep want to carpool to Bolu
  - Variables are A,E,M,Z
- There are only 2 cars
  - Domains =  $\{C_1, C_2\}$
- The cars belong to Ahmet and Zeynep
  - Constraints:  $A = C_1$  and  $Z = C_2$
- Ahmet and Elif do not like each other
  - Constraint: A ≠ E
- Mehmet has a crush on Zeynep
  - Constraint: M = Z
- A solution
  - A=C<sub>1</sub>, E=C<sub>2</sub>, M=C<sub>2</sub>, Z=C<sub>2</sub>

Side Note: They should just sit Elif in the front and Ahmet in the back and take 1 car

But the problem does not model that!

# Solving CSPs

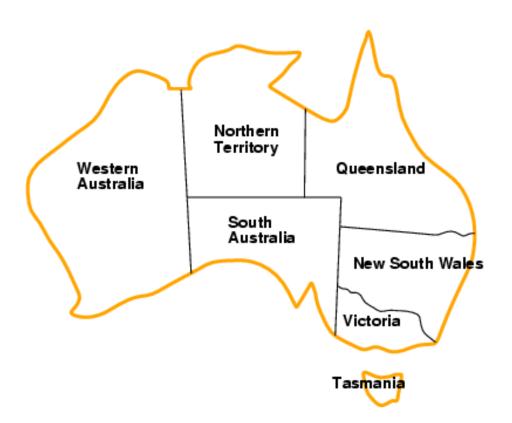
 Each state of the problem is a possible assignment to some or all the variables

- Legal Assignment: no violations
- Complete Assignment: every variable assigned

# Map Coloring

 Color the map such that no two neighbors have the same color

- Variables:
  - WA, NT, Q, NSW, V, SA, T
- Domains:
  - $D_i$  = {red, green, blue}
- Constraints: adjacent regions must have different colors
  - Implicit: WA ≠ NT
  - Explicit: (*WA, NT*) ∈ {(red, green), (red, blue), (green, red), (green, blue), . . .}

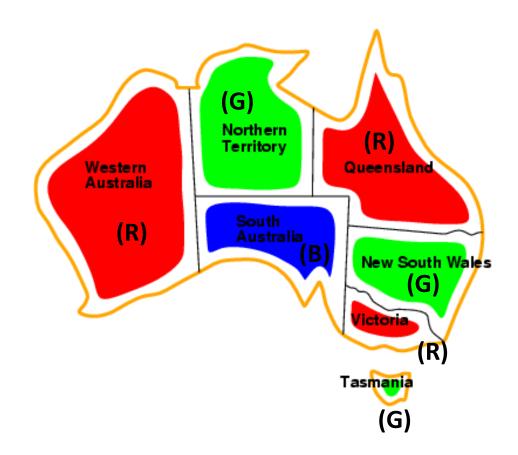


# Map Coloring

 Color the map such that no two neighbors have the same color

 Solutions are assignments satisfying all constraints, e.g.,

{WA = red, NT = green, Q = red, NSW = green, V = red, SA = blue, T = green}



### Example: N-Queens

• Variables:  $Q_k$ 

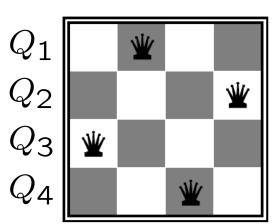
• Domains: {1,2,...,N}

• Constraints:

Implicit:  $\forall i, j \text{ non-threatening}(Q_i, Q_j)$ 

Explicit:  $(Q_1, Q_2) \in \{(1,3), (1,4), \ldots\}$ 

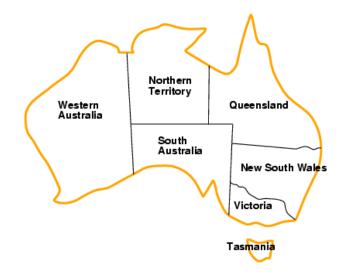
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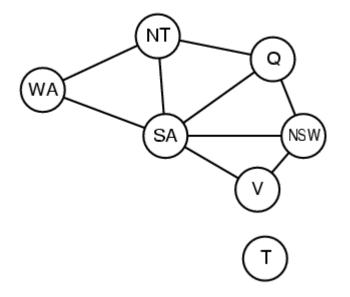


### Constraint Graph

 Binary CSP: each constraint relates (at most) two variables

- Binary Constraint Graph is a data structure we use to represent the problem
  - Nodes are variables
  - Arcs show which variables are constrained





# Example: Cryptarithmetic

• Variables:

$$F T U W R O X_1 X_2 X_3$$

• Domains:

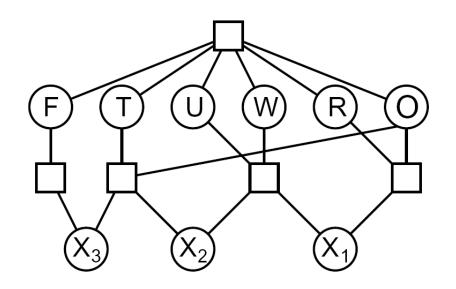
$$\{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$$

• Constraints:

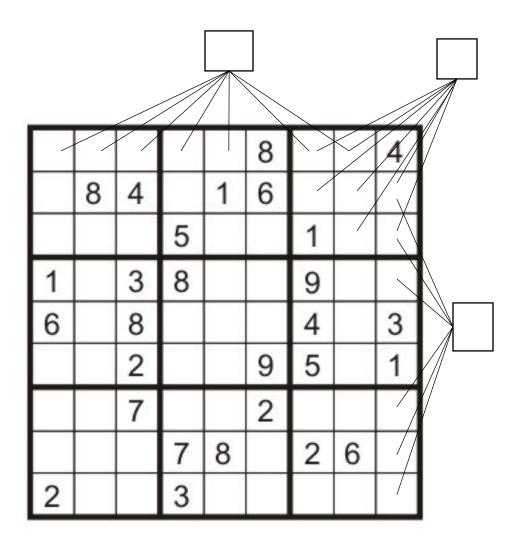
$$\mathsf{alldiff}(F, T, U, W, R, O)$$

$$O + O = R + 10 \cdot X_1$$

• • •



### Most Famous CSP - Sudoku



- Variables:
  - Each (open) square
- Domains:
  - **•** {1,2,...,9}
- Constraints:

9-way alldiff for each column

9-way alldiff for each row

9-way alldiff for each region

(or can have a bunch of pairwise inequality constraints)

### Other Real-World Examples

- Assignment problems: e.g., who teaches what class
- Timetabling problems: e.g., which class is offered when and where?
- Hardware configuration
- Transportation scheduling
- Factory scheduling
- Floor Planning

•

Many real-world problems involve real-valued variables...

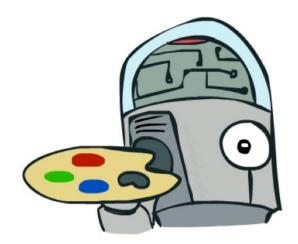
### Varieties of CSPs

#### Discrete Variables

- Finite domains
  - Size d means  $O(d^n)$  complete assignments
  - E.g., Boolean CSPs, including Boolean satisfiability (NP-complete)
- Infinite domains (integers, strings, etc.)
  - E.g., job scheduling, variables are start/end times for each job
  - Need constraint language: *Job1 + 5 < Job2*
  - Linear constraints solvable, nonlinear undecidable

#### Continuous variables

- E.g., start/end times for Hubble Telescope observations
- Linear constraints solvable in polynomial time by Linear Programming methods (ever heard of the Simplex Method?)



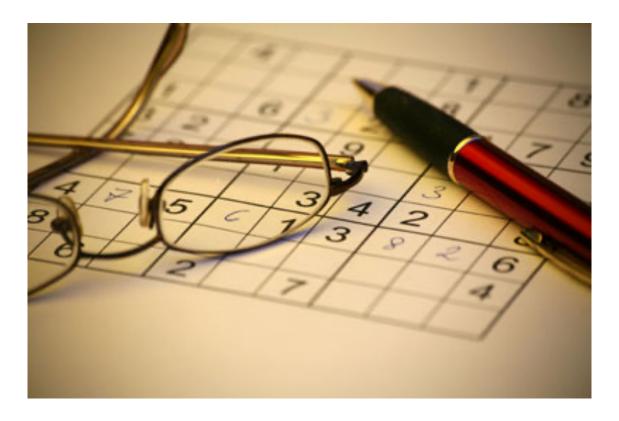


### Varieties of Constraints

- Varieties of Constraints
  - Unary constraints involve a single variable (equivalent to reducing domains)
    - e.g.: *SA* ≠ *green*
  - Binary constraints involve pairs of variables,
    - e.g.: *SA* ≠ *WA*
  - Higher-order constraints involve 3 or more variables:
    - e.g.: cryptarithmetic column constraints
- Preferences (soft constraints):
  - E.g., red is better than green
  - Often representable by a cost for each variable assignment
  - Gives rise to constrained optimization problems
  - More involved methods out of our scope but local search can be used (how?)



# Solving CSPs



Search Formulation

**Local Search Formulation** 

### Search Formulation for CSPs

- <u>Initial State</u>: {}
- <u>Successor()</u>: assign a value (consistent with constraints) to an unassigned variable
- Goal Test(): All variables are assigned and all constraints are satisfied
- Failure: No legal assignment to do

- This is the **same** for all CSPs!
- Path is irrelevant
- Every solution appears at depth *n* with *n* variables
  - DFS anyone
- Complexity (n vars, d values)
  - Branch factor: (n-l)d at depth l
  - *n!d*<sup>n</sup> leaves!

### Backtracking Search

- Backtracking search is the basic uninformed algorithm for solving CSPs
- Idea 1: One variable at a time
  - Variable assignments are **commutative**, so fix ordering
  - I.e., [WA = red then NT = green] same as [NT = green then WA = red]
  - Only need to consider assignments to a single variable at each step
- Idea 2: Check constraints as you go
  - I.e. consider only values which **do not conflict** previous assignments
  - Might have to do some computation to check the constraints
  - "Incremental goal test"
- Depth-first search with these two improvements is called *backtracking* search (not the best name)
- Can solve n-queens for  $n \approx 25$

### **Detour: Recursive DFS**

```
function RECURSIVE-DFS(problem) returns a solution, or failure return RECURSIVE-DFS_(MAKE-NODE(problem.INITIAL-STATE), problem)
```

```
function RECURSIVE-DFS_(node,problem) returns a solution, or failure
if problem.GOAL-TEST(node.STATE) then return SOLUTION(node)
for each action in problem.ACTIONS(node.STATE) do
    child ← CHILD-NODE(problem, node, action)
    result ← RECURSIVE-DFS_(child, problem)
    if result != failure then return result
    return failure
```

# Backtracking Search

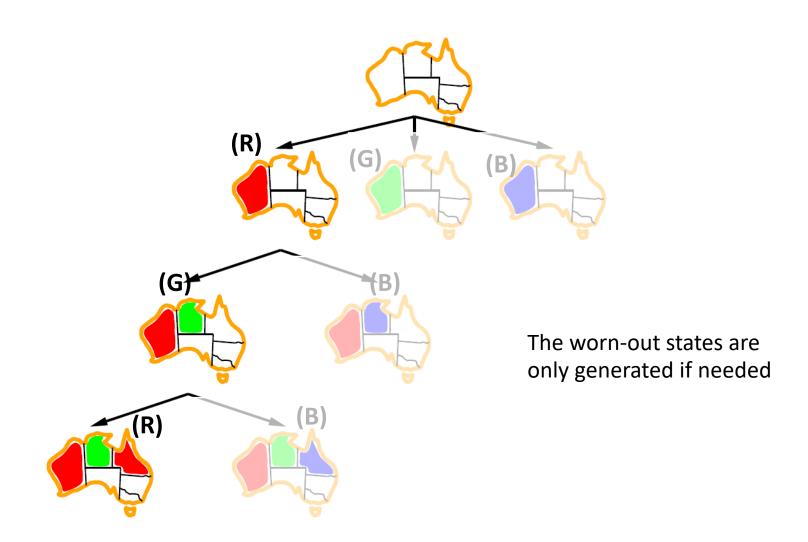
**return** BACKTRACK({ }, csp) select a **function** BACKTRACK(assignment, csp) **returns** a solution, or failure variable **if** assignment is complete **then return** assignment var ←SELECT-UNASSIGNED-VARIABLE(csp) **for each** value **in** ORDER-DOMAIN-VALUES(var, assignment, csp) **do** Find a value **if** value is consistent with assignment **then** consistent with add {var = value} to assignment constraints  $inferences \leftarrow INFERENCE(csp, var, value)$ **if** *inferences* ≠ *failure* **then** add inferences to assignment Recurse to result ←BACKTRACK(assignment, csp) assign another **if** result ≠ failure **then return** result remove {var = value} and inferences from assignment return failure

**function** BACKTRACKING-SEARCH(*csp*) **returns** a solution, or failure

only keeps a single representation of the assigned state!

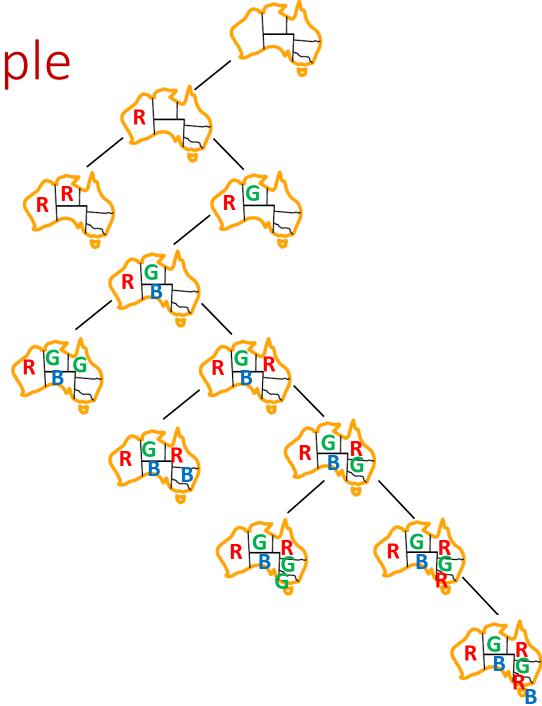
If no consistent assignment exists, return failure, which causes another value to be tried

# Backtracking Example



Another Backtracking Example

- Variable Order: Left to right, top to bottom
- Value Order: Random (assume we have the following)
  - Red
  - Red
  - Green
  - Blue
  - Green
  - Red
  - Blue
  - Green
  - Green
  - Red
  - Blue



### Improvements

- Backtracking: DFS + variable ordering + constraint checking
- Uninformed: Add heuristics to improve
- General Purpose Heuristics thanks to the structure of CSPs
- Ordering:
  - Which variable should be assigned next?
  - In what order should its values be tried?
- Filtering: What inference can be made to detect failures early?
- Structure: Can we exploit the problem structure?

### Emphasis Slide

- Variables (e.g. map location) can take values (e.g. specific color) from their domain (e.g. set of colors)
- Ordering
  - Picking the variable to assign next
  - Picking the value to assign to the chose variable
- Filtering: Filter the domains
  - Removing the infeasible values from the domains(e.g. remove colors)

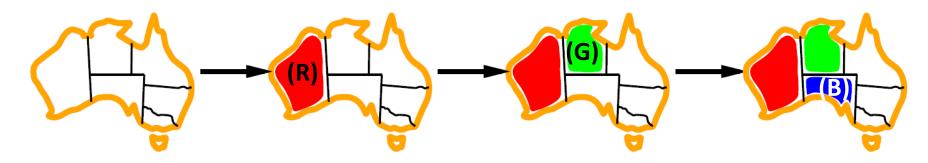
# Variable Ordering: What variable to assign next?

- Fixed order
- Random

- Other ideas?
  - Let's look at the number of constraints per variable!

### Minimum Remaining Values (MRV)

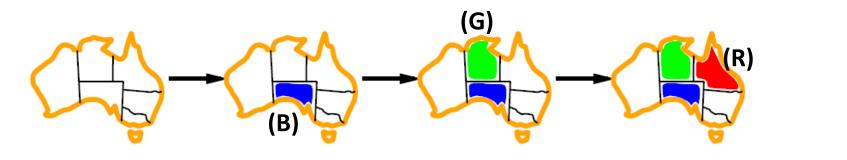
- Variable Ordering: Minimum remaining values (MRV):
  - Choose the variable with the fewest legal left values in its domain

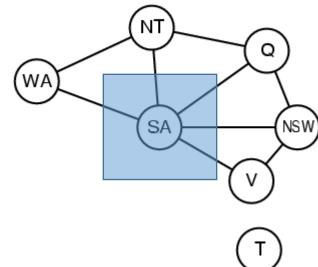


- Why min rather than max?
- Also called "most constrained variable"
- "Fail-fast" ordering + not running out of options

# Degree Heuristic

- Tie breaker among MRV variables
- Choose the variable with most constraints on remaining variables



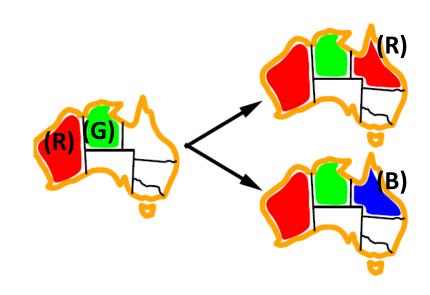


### Least Constraining Value

1 Value for SA

- Which value to assign next?
- Value Ordering: Least Constraining Value
  - Given a choice of variable, choose the least constraining value
  - I.e., the one that **rules out the fewest values** in the remaining variables
  - Note that it may take some computation
- Why least rather than most?

 Combining these ordering ideas makes 1000 queens feasible



0 Values for SA!

# Summary of Ordering

Which variable to select next and which value to assign to it?

Detect failures early (MRV + DH) – Picking variables

Enter the most promising branch (LCV) – Picking values

Note that the heuristics do not change the theoretical bounds!

### Emphasis Slide

• Variables (e.g. map location) can take values (e.g. specific color) from their domain (e.g. set of colors)

- Ordering
  - Picking the variable to assign next MRV (+ DH as tie breaker)
  - Picking the value to assign to the chose variable LCV

# Backtracking + Heuristics



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•	WA:	{ <b>R</b> , <b>G</b> , <b>B</b> }	•	NSW:	{ <b>R</b> , <b>G</b> , <b>B</b> }
•	NT:	{ <b>R</b> , <b>G</b> , <b>B</b> }	•	V:	{ <b>R</b> , <b>G</b> , <b>B</b> }
•	SA:	{ <b>R</b> , <b>G</b> , <b>B</b> }	•	T:	{ <b>R</b> , <b>G</b> , <b>B</b> }
•	Q:	{ <b>R</b> , <b>G</b> , <b>B</b> }			

•	Tie Breaking Variable Order up-
	down, left-right from above

•	Tie	Breaking	Value	Order:	<b>R</b> –	<b>G</b> –	B
---	-----	----------	-------	--------	------------	------------	---



Step	MRV	DH	LCV	Assignment
1				
2				
3				
4				
5				
6				
7				

### Backtracking + Heuristics



Variable Domains

{**₽**, **€**, **B**} WA:

• NSW: {**R**,**G**, **B**}

NT:  $\{\mathbb{R}, \mathbb{G}, \mathbb{B}\}$  • V:  $\{\mathbb{R}, \mathbb{G}, \mathbb{B}\}$ 

SA: **(R) G, B**} • T:

{**R**, **G**,**B**}

{**₽**, **€**, **B**} Q:

 Tie Breaking Variable Order updown, left-right from above

Tie Breaking Value Order: R – G – B

Step	MRV	DH	LCV	Assignment
1	Same (3)	SA (5)	Same	SA - R
2	All (2) but T (3)	NT, Q, NSW (2)	Same	NT - G
3	WA, Q (1)	Q (1)	Only B	Q - <b>B</b>
4	WA, NSW (1)	NSW (1)	Only <b>G</b>	NSW - G
5	WA, V (1)	Same (1)	Only B	WA - B
6	V (1)	-	Only B	V - B
7	T (3)	-	Same	T - <b>R</b>



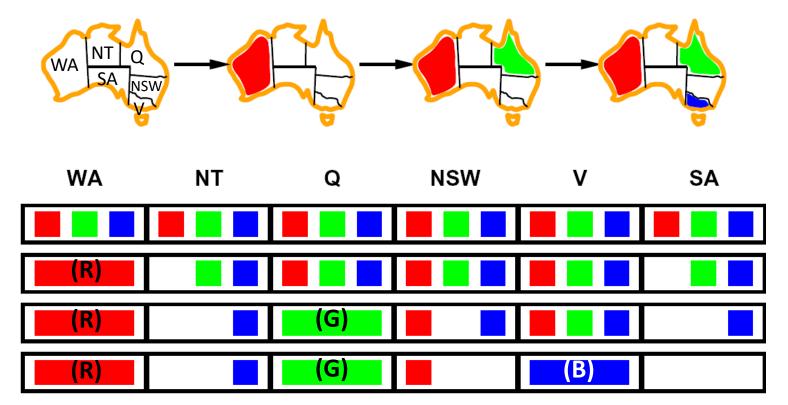
### Filtering

- How to detect failures early?
- Filtering: Keep track of domains for unassigned variables and cross off bad options

- Forward Checking
- Constraint Propagation Arc consistency

### Forward Checking

- Forward checking: Cross off values that violate a constraint when added to the existing assignment
- Backtrack when no assignments left



Legend: Left: Red

Middle: Green

Right: Blue

MRV + Forward Checking: FC can be used to compute what MRV needs!

#### Constraint Propagation

- Forward checking propagates information from assigned to unassigned variables, but doesn't provide early detection for all failures
- After deleting neighbors, check constraints for all other variables





- NT and SA cannot both be blue!
- Why didn't we detect this yet?
- Constraint propagation: reason from constraint to constraint

Legend:

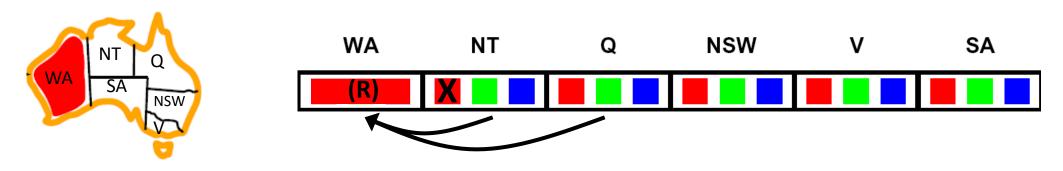
Left: Red

Middle: Green

Right: Blue

### Consistency of A Single Arc

• An arc  $X \rightarrow Y$  is consistent iff for *every* x in the tail there is *some* y in the head which could be assigned without violating a constraint



Legend: Left: Red

Middle: Green

Right: Blue

- Delete from tail!
- Forward checking: Enforcing consistency of arcs pointing to each new assignment

• A simple form of propagation makes sure all arcs are consistent:





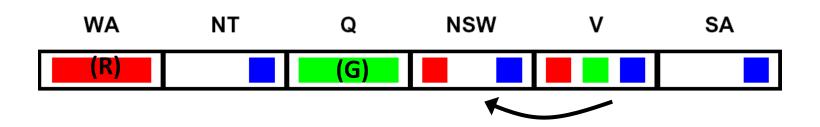
Legend: Left: Red

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Right: Blue

• A simple form of propagation makes sure all arcs are consistent:





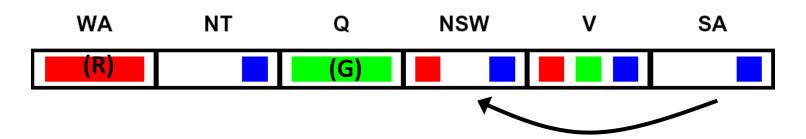
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Right: Blue

• A simple form of propagation makes sure all arcs are consistent:





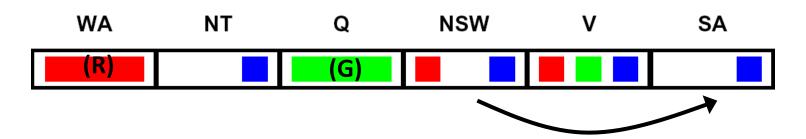
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• A simple form of propagation makes sure all arcs are consistent:





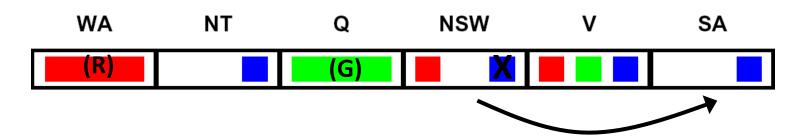
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Right: Blue

• A simple form of propagation makes sure all arcs are consistent:





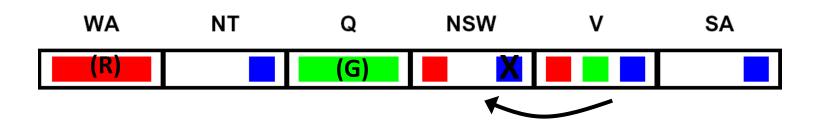
Legend: Left: Red

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• A simple form of propagation makes sure all arcs are consistent:





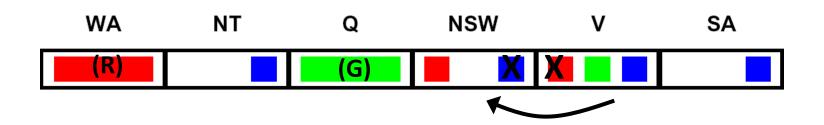
Legend: Left: Red

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• A simple form of propagation makes sure all arcs are consistent:





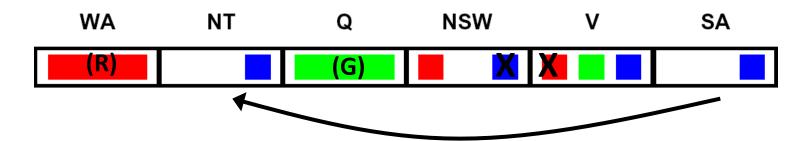
Legend: Left: Red

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• A simple form of propagation makes sure all arcs are consistent:





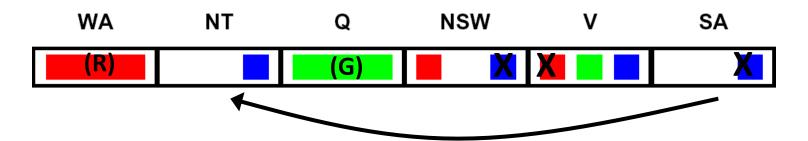
Legend: Left: Red

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• A simple form of propagation makes sure all arcs are consistent:



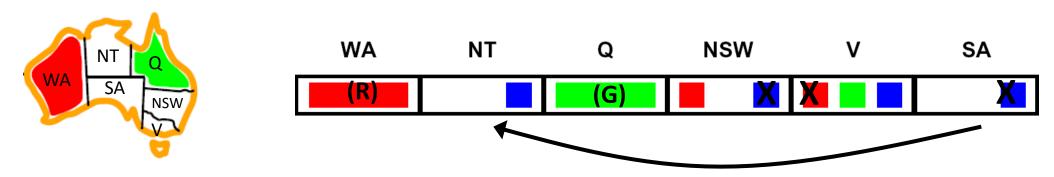


Legend: Left: Red

Middle: Green

Right: Blue

• A simple form of propagation makes sure all arcs are consistent:



- Important: If X loses a value, neighbors of X need to be rechecked!
- Arc consistency detects failure earlier than forward checking
- Can be run as before or after each assignment
- What's the downside of enforcing arc consistency?

Legend:

Left: Red

Middle: Green

Right: Blue

## Enforcing Arc Consistency in a CSP

Check consistency and remove value if necessary

Add all the neighbors to the queue if something is removed

Delete from tail to enforce consistency

```
function AC-3(csp) returns false if an inconsistency is found and true otherwise inputs: csp, a binary CSP with components (X, D, C) local variables: queue, a queue of arcs, initially all the arcs in csp while queue is not empty do
(X_i, X_j) \leftarrow \text{REMOVE-FIRST}(queue)
if \text{REVISE}[csp, X_i, X_j] then
if size of D_i = 0 then return false
for each X_k in X_i. NEIGHBORS - \{X_j\} do
add(X_k, X_i) to queue
return true
```

**function** REVISE(csp,  $X_i$ ,  $X_j$ ) **returns** true iff we revise the domain of  $X_i$  revised  $\leftarrow false$  **for each** x **in**  $D_i$  **do** 

if no value y in  $D_j$  allows (x,y) to satisfy the constraint between  $X_i$  and  $X_j$  then delete x from  $D_i$ revised  $\leftarrow$  true

. . .

return revised

- Runtime: O(n<sup>2</sup>d<sup>3</sup>), can be reduced to O(n<sup>2</sup>d<sup>2</sup>)
- ... but detecting all possible future problems is NP-hard

#### Arc Consistency and Forward Checking

- FC is essentially an arc consistency check for a single node!
  - Head is the assigned node, tails are its neighbors
- If you ran AC, no need to run FC

- FC is faster per value-assignment
- AC can catch failures earlier

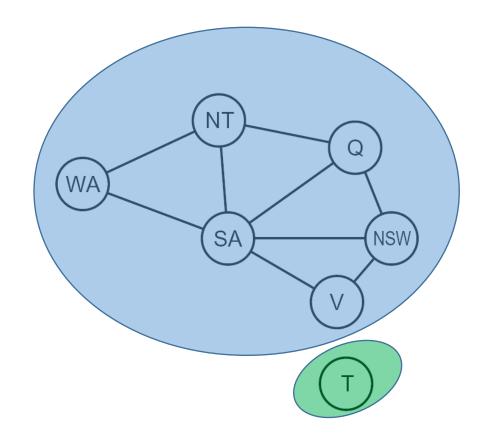
### Summary of Filtering

- FC: Remove values from the domains neighboring nodes
  - Fast to compute
  - Plays well with MRV
  - Does not catch some failures early

- Arc Consistency: Make all arcs consistent after an assignment
  - Keep checking arcs until there is no change
  - Entails FC
  - Earlier failure detection
  - Costly to Compute (especially if there are a lot of constraints)

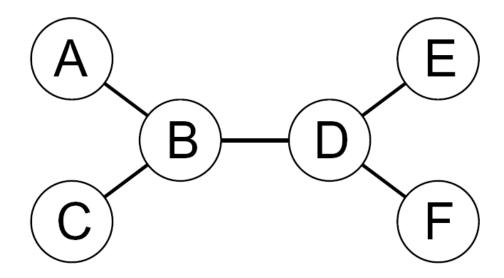
#### Problem Structure

- Extreme case: independent subproblems
  - Example: Tasmania and mainland do not interact
- Independent subproblems are identifiable as connected components of constraint graph
- Suppose a graph of n variables can be broken into subproblems of only c variables:
  - Worst-case solution cost is O((n/c)(d<sup>c</sup>))
  - E.g., n = 80, d = 2, c = 20
  - 2<sup>80</sup> = 4 billion years at 10 million nodes/sec
  - $(4)(2^{20}) = 0.4$  seconds at 10 million nodes/sec



It's rare to find unconnected parts of the graph

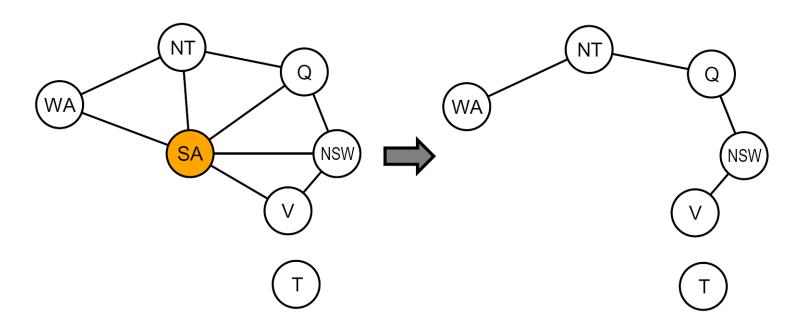
#### Tree-Structured CSPs



- Theorem: if the constraint graph has no loops, the CSP can be solved in O(nd²) time
- Compare to general CSPs, where worst-case time is  $O(d^n)$

(Skipping the algorithm this semester)

#### Nearly Tree-Structured CSPs



- Conditioning: instantiate a variable, prune its neighbors' domains
- Cutset conditioning: instantiate (in all ways) a set of variables such that the remaining constraint graph is a tree
- Cutset size c gives runtime  $O((d^c)(n-c)d^2)$ , very fast for small c

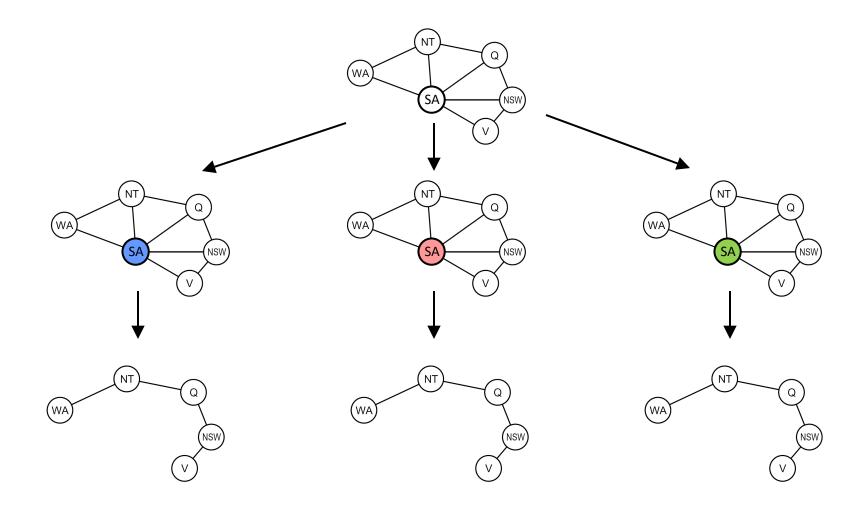
### **Cutset Conditioning**

Choose a cutset

Instantiate the cutset (all possible ways)

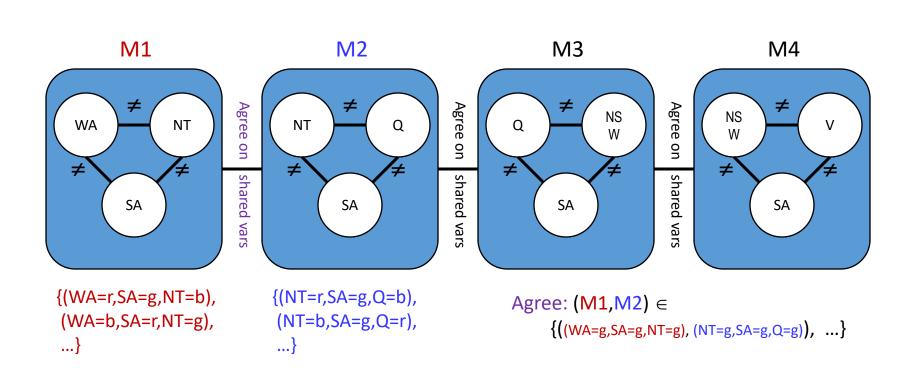
Compute residual CSP for each assignment

Solve the residual CSPs (tree structured)



## Tree Decomposition\*

- Idea: create a tree-structured graph of mega-variables
- Each mega-variable encodes part of the original CSP
- Subproblems overlap to ensure consistent solutions



NT

SA

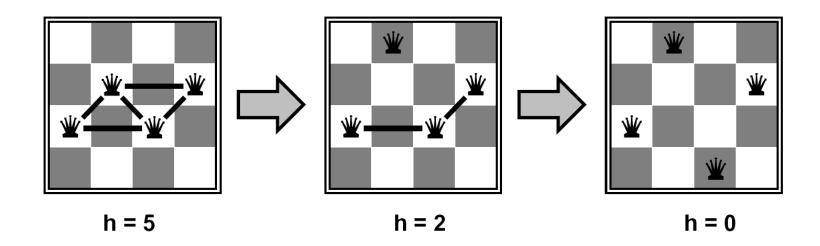
NSW

WA

#### Local Search For CSPs — MIN-CONFLICTS

```
function MIN-CONFLICTS(csp, max_steps) returns a solution or failure
  inputs: csp, a constraint satisfaction problem
  max steps, the number of steps allowed before giving up
 current ←an initial complete assignment for csp
 for i = 1 to max steps do
   if current is a solution for csp then return current
    var \leftarrow a randomly chosen conflicted variable from csp.VARIABLES
    value \leftarrow the value v for var that minimizes CONFLICTS(var, v, current, csp)
    set var =value in current
  return failure
```

#### Example: 4-Queens

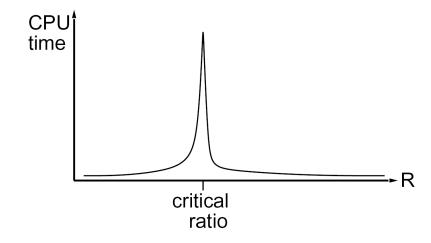


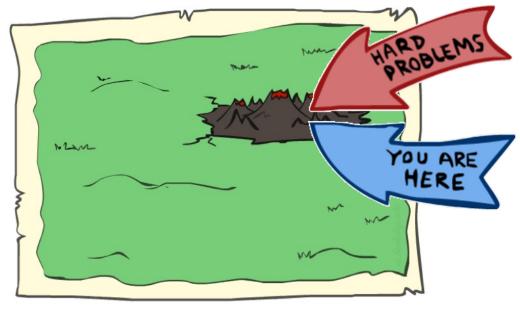
- States: 4 queens in 4 columns ( $4^4 = 256$  states)
- Operators: move queen in column
- Goal test: no attacks
- Evaluation: c(n) = number of attacks

#### Performance of Min-Conflicts

- Given random initial state, can solve n-queens in almost constant time for arbitrary n with high probability (e.g., n = 10,000,000)!
- The same appears to be true for any randomly-generated CSP except in a narrow range of the ratio

$$R = \frac{\text{number of constraints}}{\text{number of variables}}$$





Related to the "Phase Transition" phenomenon

## Summary of CSPs

- CSPs are a special kind of search problem:
  - States are partial assignments
  - Goal test defined by constraints
- Basic solution: backtracking search
- Speed-ups:
  - Ordering
  - Filtering
  - Structure
- Iterative min-conflicts is often effective in practice