

# Introduction



CCS 3102 Design and Analysis of Algorithms



# What is an Algorithm?

## ❖ Algorithm

- is any well-defined computational procedure that takes some value, or set of values, as input and produces some value, or set of values, as output.
- is thus a sequence of computational steps that transform the input into the output.
- is a tool for solving a well - specified computational problem.
- Any special method of solving a certain kind of problem (Webster Dictionary)



# Why do we study Algorithms?

- ❖ We study algorithms for both practical and theoretical reasons.
- ❖ From practical perspective you need to understand a standard set of algorithms and be able to analyse and design new ones.
- ❖ Algorithms are recognized as a cornerstone of computer science. Computer programs would not exist without algorithms.
- ❖ They help us to develop analytical skills. It is a problem-solving strategy



# Characteristics of an algorithm

- ❖ Must take an **input** – zero or more inputs.
- ❖ Must give some **output** (yes/no, value etc.)
- ❖ **Definiteness** – each instruction is clear and unambiguous.
- ❖ **Finiteness** – algorithm terminates after a finite number of steps.
- ❖ **Effectiveness** – every instruction must be basic i.e. simple instruction



# Deterministic Algorithms

- ❖ A deterministic algorithm
  - Returns the **same answer** no matter how many times it is called on the **same data**.
  - Always takes the **same steps** to complete the task when applied to the **same data**.
- ❖ The most familiar kind of algorithm !



# Non-Deterministic Algorithms

- ❖ A non-deterministic algorithm
  - Can exhibit **different behaviour**, for the **same input** data, on **different runs**.
- ❖ Often used to obtain **approximate solutions** to given problem instances
  - When it is **too costly** to find **exact solutions** using a deterministic algorithm



# Data structure

- ❖ A **data structure** can be defined as a particular scheme of organizing related data items.
- ❖ Linear data structures
- ❖ Graphs
- ❖ Trees
- ❖ Sets and dictionaries



# Applications and Data Structures

Sl. No.	Applications	Data Structure
1	Checking of balanced parentheses, Conversion of Decimal number to binary.	Stack
2	Process of paying toll tax, banking transactions, scheduling of jobs in OS.	Queue
3	Simulation of different features of mobile phone.	Linked list
4	Visiting Web pages, Redo/Undo operation in an editor	Doubly linked list
5	Simulation of file system Unix OS, Decision table.	Trees





# What is a problem?

- ❖ **A problem** is something we want to solve, or a question we want to answer.
- ❖ A computational problem is a general question to be answered.
- ❖ **General**: has inputs(parameters), whose values are left unspecified.
- ❖ To state a problem:
  - Define the inputs and the properties they must satisfy.
  - Define the relation between inputs and outputs, giving the properties a solution must satisfy



# Examples of Problems

## ❖ Example 1: Sorting integers

- **Input:** A sequence of  $N$  numbers  $a_1 \dots a_n$
- **Output:** the permutation (reordering) of the input sequence such that  $a_1 \leq a_2 \leq \dots \leq a_n$ .

## ❖ Example 2: searching a sequence of integers

- **Input:** A sequence of integers,  $s$   
Search value  $x$  (an integer)
- **Output:** index  $i$  such that  $s[i] = x$ , if one exists, otherwise  $i = -1$



# Problem instances

- ❖ An instance is obtained by taking a problem and defining particular values for the inputs
- ❖ Example: sorting integers
  - Input  $s = \{31, 41, 59, 26, 41, 58\}$
  - Output  $s' = \{26; 31; 41; 41; 58; 59\}$ .
- ❖ Such an input sequence is called an instance of the sorting problem.
- ❖ An instance of a problem consists of the input needed to compute a solution to the problem.



# Types of Problems

- ❖ **Sorting** (arranging data in ascending or descending order)
- ❖ **Searching** (find an element in a data set)
- ❖ **Optimization**: Find the best  $X$  satisfying property  $Y$
- ❖ **Decision**: Does  $X$  satisfy  $Y$ ?
- ❖ **Adaptive**: Maintain property  $Y$  over time
- ❖ **String processing** (string matching)
- ❖ **Graph problems**: Graphs are used in modelling transportation, communication, social and economic networks, project scheduling, and games.



# What is meant by Algorithm Design?

- ❖ **Design**: An algorithm design **technique** (or “**strategy**” or “**paradigm**”) is a general approach to solving problems algorithmically that is applicable to a variety of problems from different areas of computing.
- ❖ Choosing the right data structure.
- ❖ Specifying the problem can include flow charts or pseudocode.



# Algorithm Design Paradigms?

- ❖ What are Algorithm Design Paradigms?
  - Frameworks or strategies for designing algorithms
  - Influence how we approach problem-solving
  
- ❖ Importance:
  - Efficiency
  - Scalability
  - Clarity in code



# Algorithm Design Paradigms

- ❖ Brute-Force
- ❖ Divide-and-Conquer
- ❖ Dynamic Programming
- ❖ Greedy Algorithms
- ❖ Randomization Approach
- ❖ Approximation Approach
- ❖ Backtracking
- ❖ Branch and Bound



# Divide and Conquer

## ❖ Concept:

- Break a problem into smaller subproblems
- Solve each subproblem independently
- Combine solutions for the final answer

## ❖ Examples:

- Merge Sort
- Quick Sort
- Binary Search





# Greedy Algorithms

## ❖ Concept:

- Make the locally optimal choice at each stage
- Aim for a global optimum

## ❖ Characteristics:

- Efficient but not always optimal

## ❖ Examples:

- Activity Selection
- Huffman Coding
- Minimum Spanning Tree (Prim's and Kruskal's algorithms)



# Dynamic Programming

## ❖ Concept:

- Solve problems by breaking them down into simpler subproblems
- Use previously computed results to avoid redundant calculations

## ❖ Key Techniques:

- Memoization (Storing results to avoid redundant calculations.)
- Tabulation

## ❖ Examples:

- Fibonacci Sequence
- Knapsack Problem
- Shortest Path (Dijkstra's Algorithm)



# Randomization Approach

## ❖ Concept:

- Utilize random numbers to influence the algorithm's behaviour.
- Can lead to simpler and more efficient algorithms.

## ❖ Advantages:

- Can provide good average-case performance

## ❖ Examples:

- QuickSort (randomized version)
- Randomized Algorithms for Primality Testing
- Monte Carlo Methods



# Approximation Approach

## ❖ Concept:

- Used for NP-hard problems where finding an exact solution is impractical
- Provides solutions that are close to the optimal solution.

## ❖ Characteristics:

- Often involves heuristics or specific algorithms designed to yield a good enough solution.

## ❖ Examples:

- Traveling Salesman Problem (TSP)
- Vertex Cover Problem
- Knapsack Problem



# Applications and Algorithmic Techniques

Sl. No.	Applications	Algorithmic Technique
1	Sorting of files using merge sort in an OS	Divide and Conquer
2	Traversal of graph used to represent computer network topology and route scenario in transport system.	Decrease and Conquer
3	Finding minimum spanning tree and shortest path in computer network	Greedy Method
4	Horspool's algorithm used for string matching, calculation of permutations and combinations.	Dynamic Programming
5	Computer Games like Chess.	Branch and Bound, Backtracking



# What is meant by Algorithm Analysis?

- ❖ Algorithm analysis is an important part of computational complexity theory, which provides theoretical estimation for the required resources of an algorithm to solve a specific computational problem.
- ❖ Analysis of algorithms is the determination of the amount of **time** and **space** resources required to execute it.



# What do we analyze about them?

## ❖ Correctness

- Does the input/output relation match algorithm requirement?

## ❖ Amount of work done (complexity)

- Basic operations to do task

## ❖ Amount of space used:

- Memory used

## ❖ Simplicity, clarity

- Verification and implementation.

## ❖ Optimality

- Is it impossible to do better?



# Why Analysis of Algorithms is important?

- ❖ To predict the behaviour of an algorithm without implementing it on a specific computer.
- ❖ It is much more convenient to have simple measures for the efficiency of an algorithm than to implement the algorithm and test the efficiency every time a certain parameter in the underlying computer system changes.
- ❖ It is impossible to predict the exact behaviour of an algorithm. There are too many influencing factors.
- ❖ More importantly, by analysing different algorithms, we can compare them to determine the best one for our purpose.





# Desired properties of algorithms

- ❖ **Correctness:** Whether the algorithm computes the correct solution for **all** instances
- ❖ **Efficiency** :Resources needed by the algorithm
  - Time efficiency: Number of steps
  - Space efficiency: Amount of memory used



# Correctness

- ❖ An algorithm is correct with respect to a problem if
  - For every instance of the input (i.e. the specified properties of the inputs are satisfied)
    - The algorithm halts, and
    - The outputs produced satisfy the specified input/output relation
- ❖ Correctness must be proven.
- ❖ One counter-example is enough to disprove correctness, but, no amount of testing can prove correctness.



# Correctness Analysis

- ❖ If two algorithms give correct solutions to some computational problem, which one do we use?
  - Available in library
  - Easy to code (or prove correct)
  - Resources needed for typical problem
  - Resources needed for worst-case problem
- ❖ Resources:
  - Computation time
  - Maximum memory use
  - Memory turnover
- ❖ Mostly we consider **time**.



# Algorithm Efficiency

## ❖ Analyze algorithm efficiency

- Running time ?
- Memory space ?

## ❖ Time

- How fast does an algorithm run?

## ❖ Space

- Does an algorithm require additional memory?



# Efficiency Analysis

- ❖ How **fast** does an algorithm run ?
  - Most algorithms run longer on **larger inputs** !
- ❖ How to relate **running time** to **input size** ?
- ❖ How to **rank / compare** algorithms ?
  - If there is more than one available...
- ❖ How to **estimate running time** for larger problem instances ?



# Formal Analysis

- ❖ Understand **algorithm behaviour**
  - **Count** arithmetic operations / comparisons
  - Identify **best**, **worst** and **average** case situations.
- ❖ **Iterative** algorithms
  - **Loops** : how many iterations ?
  - Set a sum for the basic operation counts
- ❖ **Recursive** algorithms
  - How many **recursive calls** ?
  - Establish and **solve** appropriate recurrences



# Analysing computation time

- ❖ One way to analyse computation time is to write a program, execute it and measure how long it takes.
- ❖ The answer will depend on
  - The algorithm used
  - The size of the input list
  - The elements in the input list
  - The programming language chosen
  - How the algorithm is implemented
  - The CPU clock speed.
  - The operating system
- ❖ Only the first three relate to the algorithm.



# Applications of DAA

- ❖ **Software Development:** DAA is used to develop efficient algorithms for tasks like sorting, searching, and data manipulation.
- ❖ **Data Science and Machine Learning:** development of algorithms for data analysis, pattern recognition, and optimization problems in fields such as data science and machine learning.
- ❖ **Bioinformatics:** DAA is applied to tasks such as sequence alignment, gene sequencing, and protein structure prediction.
- ❖ **Finance:** DAA is applied in tasks like portfolio optimization, risk analysis, and algorithmic trading.





# Applications of DAA

- ❖ **Telecommunications:** DAA is used in telecommunications for routing optimization, network design, and resource allocation.
- ❖ **Logistics and Supply Chain Management:** DAA contributes to solving logistical challenges in supply chain management, transportation optimization, and scheduling.
- ❖ **Gaming:** DAA is used in gaming for tasks like pathfinding, AI opponent behavior, and procedural content generation.
- ❖ **Cybersecurity:** DAA algorithms are applied in cybersecurity for tasks like encryption, decryption, and intrusion detection.