# TTIC 31230, Fundamentals of Deep Learning

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Contrastive Predictive Coding

### Maximizing Mutual Information

We consider the distribution on x, y,  $z_x$  and  $z_y$  defined by drawing  $\langle x, y \rangle \sim \text{Pop}$ ,  $z_x \sim P_{\Phi}(z_x|x)$  and  $z_y \sim P_{\Phi}(z_y|y)$ .

We are interested in optimizing  $P_{\Phi}(z_x|x)$  and  $P_{\Phi}(z_y|y)$  under the following objective.

$$\Phi^* = \underset{\Phi}{\operatorname{argmax}} I_{\operatorname{Pop},\Phi}(z_x, z_y) - \beta(H_{\operatorname{Pop},\Phi}(z_x) + H_{\operatorname{Pop},\Phi}(z_y))$$

### Maximizing Mutual Information

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We would like to maximize a lower bound on this objective.

We can replace the unconditional entropies with cross-entropy upper bounds.

$$\Phi^* = \underset{\Phi}{\operatorname{argmax}} I_{\operatorname{Pop},\Phi}(z_x, z_y) - \beta(\hat{H}_{\Phi}(z_x) + \hat{H}_{\Phi}(z_y))$$

### Maximizing Mutual Information

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It turns out that we can give a lower bound on the mutual information term using **noise contrastive estimation**.

#### A Contrastive Lower Bound

We now give a contrastive lower bound for general mutual information I(z, w) given only the ability to sample from the joint distribution on z and w.

For  $N \geq 2$  let  $c_{z,w}$  be the density defined by drawing pairs  $(z_1, w_1), \ldots (z_n, w_n)$  from the population and then constructing the tuple  $(i, z_1, \ldots, z_N, w)$  where i is drawn uniformly from 1 to N and  $w = w_i$  is the value of w paired with  $z_i$ .

#### A Constrastive Lower Bound

$$\Phi^* = \underset{\Phi}{\operatorname{argmin}} E_{(i,z_1...,z_N,w)\sim c_{z,w}} - \ln P_{\Phi}(i|z_1,...,z_n,w)$$

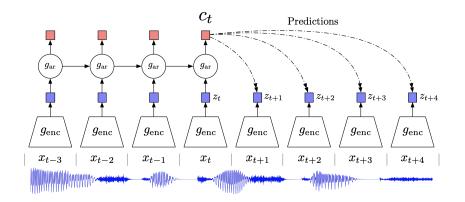
$$= \underset{\Phi}{\operatorname{argmin}} \mathcal{L}(\Phi)$$

$$P_{\Phi}(i|x_1,...x_n,w) = \underset{i}{\operatorname{softmax}} s_{\Phi}(x_i,w) \text{ (required)}$$

$$I(z,w) \geq \ln N - \mathcal{L}(\Phi)$$

See Chen et al., On Variational Bounds of Mutual Information, May 2019.

### Contrastive Predictive Coding for Speech



van den Oord et al., 2018

We seek to train an auto-regressive  $g_{ar}$  and encoder  $g_{env}$  by

$$g_{ar}^*, g_{enc}^* = \underset{g_{ar}, g_{enc}}{\operatorname{argmax}} E_t \sum_{k=1}^K I(c_t, z_{t+k})$$

The training maximizes the contrastive lower bound on  $I(c_t, z_{t+k})$ 

### Contrastive Predictive Coding for Images

(SimCLR:) A Simple Framework for Contrastive Learning of Visual Representations, Chen et al., Feb. 2020 (self-supervised leader as of February, 2020).

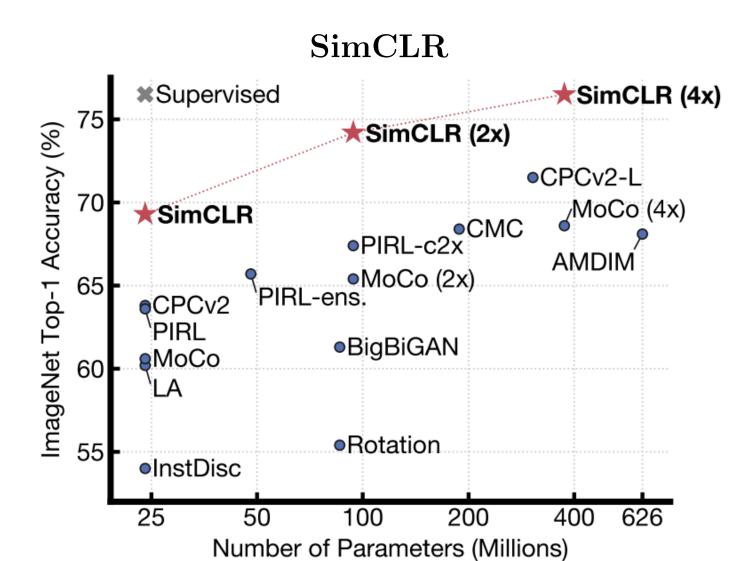
They construct a distribution on pairs  $\langle x, y \rangle$  defined by drawing an image from ImageNet and then drawing x and y as random "augmentations" (modifications) of the image.

The training maximizes the contrastive lower bound on I(x, y).

### Contrastive Predictive Coding for Images

A resulting feature map  $z_{\Phi}$  on images is extracted from this training.

The feature map  $z_{\Phi}$  is tested by using a linear classifier for ImageNet based on these features.



## $\mathbf{END}$