

# TTIC 31230, Fundamentals of Deep Learning

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Progressive VAEs

## Progressive VAEs

These slides on progressive VAEs were written “gedanken” (as a thought experiment) while teaching deep learning in 2021.

The original motivation for progressive VAEs was to provide a theoretically clean approach to a multi-layer VQ-VAEs.

However, this formulation of progressive VAEs will be useful in understanding diffusion models (currently very popular).

## Progressive VAEs

We consider a VAE with layers of latent variables  $z_1, \dots, z_L$  and a population distribution on an observable variable  $y$ .

The encoder will define  $P_{\text{enc}}(z_1|y)$  and  $P_{\text{enc}}(z_{\ell+1}|z_\ell)$ .

The decoder will define  $P_{\text{dec}}(z_{\ell-1}|z_\ell)$  and  $P_{\text{dec}}(y|z_1)$ .

Following VQ-VAE, we will train the encoder and the decoder independent of any prior.

We then train a prior on the top layer latent variable. The top level prior and decoder allow us to sample  $y$  from the model.

## Phase One Training

We train a encoders and decoders  $\text{enc}_1, \text{dec}_1, \dots, \text{enc}_L, \text{dec}_L$  where the distribution on  $z_1, \dots, Z_L$  is defined by  $y$  and the encoder.

$$\text{enc}_1^*, \text{dec}_1^* = \underset{\text{enc}_1, \text{dec}_1}{\text{argmin}} \quad E_{y, z_1} \left[ -\ln P_{\text{dec}_1}(y|z_1) \right]$$

$$\text{enc}_{\ell+1}^*, \text{dec}_{\ell+1}^* = \underset{\text{enc}_{\ell+1}, \text{dec}_{\ell+1}}{\text{argmin}} \quad E_{z_\ell, z_{\ell+1}} \left[ -\ln P_{\text{dec}_{\ell+1}}(z_{\ell-1}|z_\ell) \right]$$

If these encoders and decoders share parameters the shared parameters are influenced by all of the above training losses (this observation was added after seeing DALLE-2’s diffusion model).

## Phase Two Training

$$\text{pri}^* = \underset{\text{pri}}{\operatorname{argmin}} E_{z_L} [-\ln P_{\text{pri}}(z_L)]$$

Because of the autonomy of the encoder, the universality assumption implies that we get a perfect model of the population distribution on  $y$ .

Given the prior and the decoder we can sample images.

**END**