

# TTIC 31230, Fundamentals of Deep Learning

David McAllester, Autumn 2023

## Einstein Notation

and Convolutional Neural Networks (CNNs)

## Einstein Notation

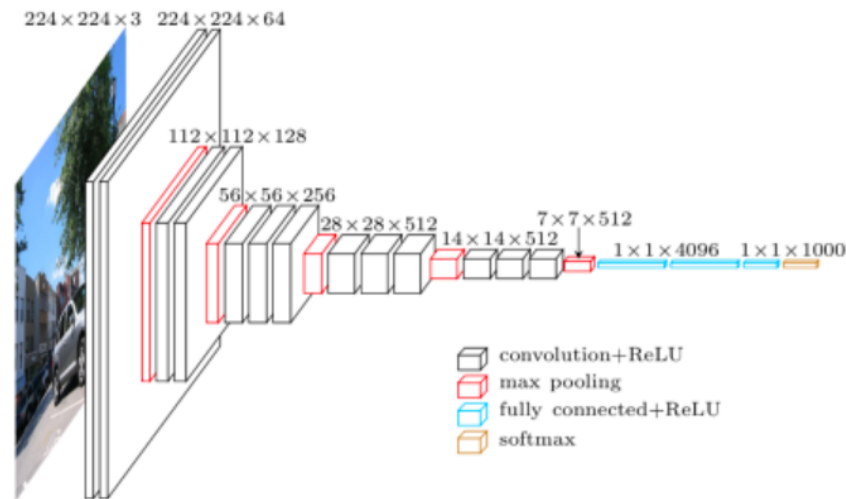
For the representation of general relativity, Einstein introduced the convention of explicitly writing all indices of tensors where repeated indices in a product of tensors are implicitly summed.

Writing indices explicitly improves the clarity of the notation at the expense of not being in correspondence with framework notation. Most frameworks hide indices.

This course will focus on conceptual understanding rather than framework implementations. For conceptual understanding Einstein notation seems preferable.

## Advantages of Einstein Notation

The indices of tensors generally have types such as “batch index”, “x coordinate”, “y coordinate” and “neuron index”.



A layer in a CNN has “shape”  $L[b, x, y, n]$  where  $b$  is a batch index,  $n$  is a neuron index, and  $x$  and  $y$  specify a spacial location.

## Advantages of Einstein Notation

A layer in a CNN has shape  $L[b, x, y, n]$ .

Writing the indeces explicitly typically makes the meaning of indeces clear and avoids having to remember the somewhat arbitrary order of the indeces (the order matters for efficiency of memory access — discussed later).

## Einstein Notation

We will use a modified form of Einstein notation where capital letters are used to denote slices of a tensor. For example:

- $L[b, x, y, n]$  denotes a single (scalar) number.
- $L[b, x, y, N]$  denotes a vector of neuron values.
- $L[b, X, Y, N]$  denotes the entire layer of the  $b$ th batch element.

## Einstein Notation

Frameworks (and NumPy) use C-order (row-major) in laying out a tensor in memory.

The vector  $L[b, x, y, N]$  denotes a contiguous block of memory.

The  $b$ th batch layer  $L[b, X, Y, N]$  also denotes a contiguous block of memory.

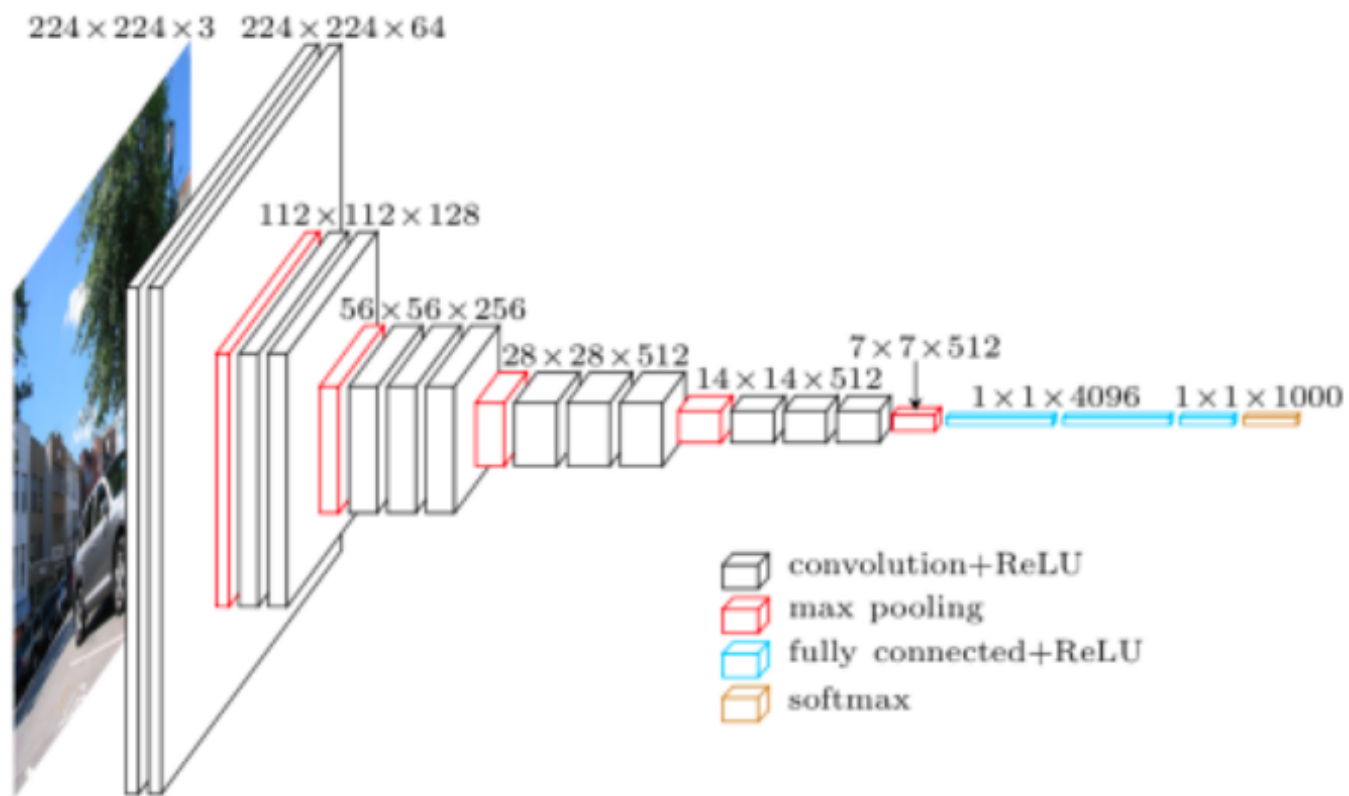
## Einstein Notation

I will use repeated capital letters in a product of tensors to denote summation over those letters.

$$\begin{aligned} y = Wx &\equiv y[i] = \sum_j W[i, j]x[j] \\ &\equiv y[i] = W[i, J]x[J] \end{aligned}$$

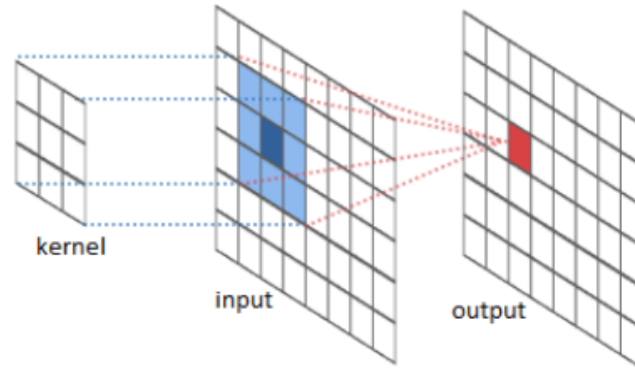
$$\begin{aligned} y = x^\top W &\equiv y[j] = \sum_i W[i, j]x[i] \\ &\equiv y[j] = W[I, j]x[I] \end{aligned}$$

# Convolution





# A Convolution Layer



$$K[n_{\text{out}}, \Delta x, \Delta y, n_{\text{in}}]$$

$$L_{\ell}[b, x, y, n_{\text{in}}]$$

$$L_{\ell+1}[b, x, y, n_{\text{out}}]$$

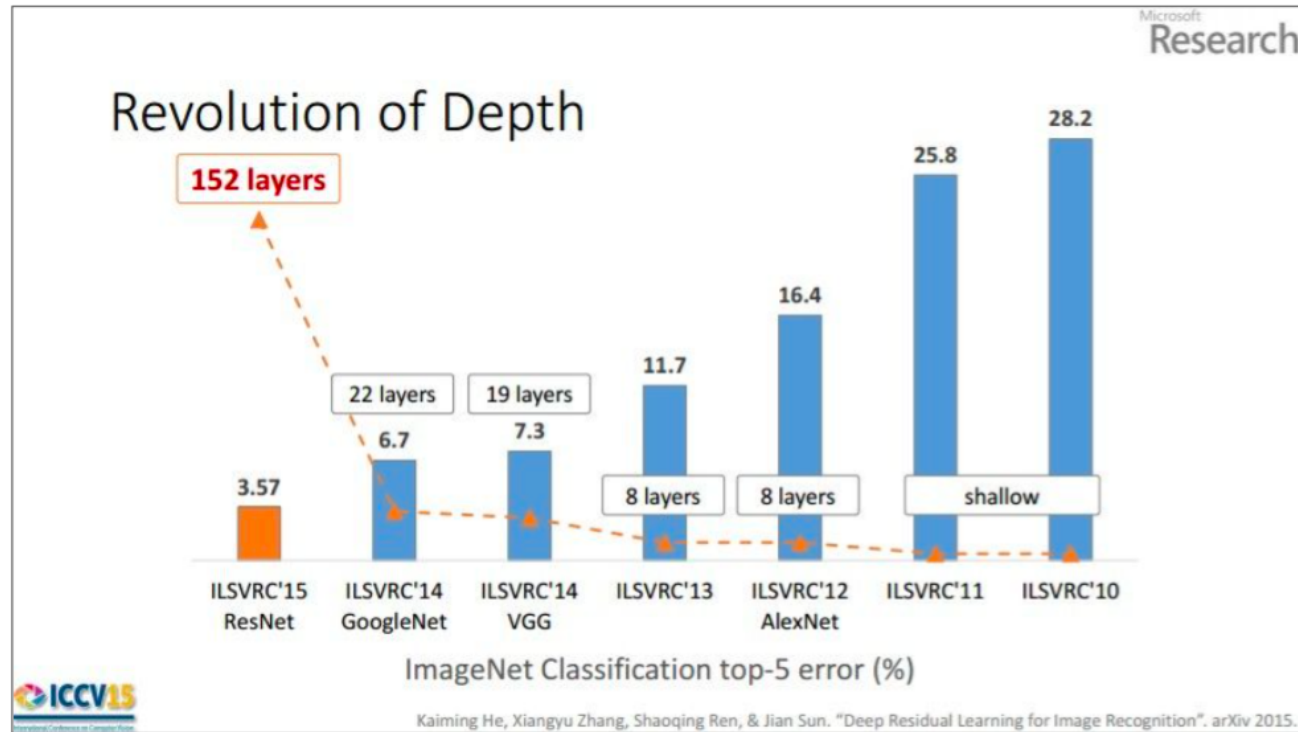
River Trail Documentation

$$L_{\ell+1}[b, x, y, n_{\text{out}}]$$

$$= \sigma \left( K[n_{\text{out}}, \Delta X, \Delta Y, N_{\text{in}}] L_{\ell}[b, x + \Delta X, y + \Delta Y, N_{\text{in}}] - B[n_{\text{out}}] \right)$$

# CNN Imagenet Classification

1000 kinds of objects.



(slide from Kaiming He's recent presentation)

2016 was 3.0%, 2017 was 2.25%, 2020 was 1.3%

## 2D CNN in PyTorch

`conv2d(input, weight, bias, stride, padding, dilation, groups)`

**input** – tensor (minibatch,in-channels,iH,iW)

**weight** – filters (out-channels, in-channels/groups,kH,kW)

**bias** – tensor (out-channels) . Default: None

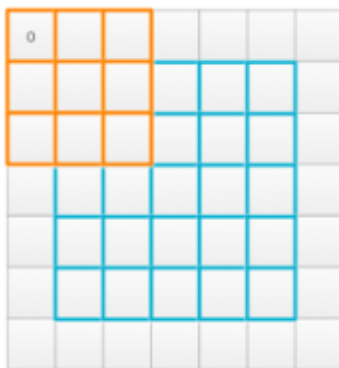
**stride** – Single number or (sH, sW). Default: 1

**padding** – Single number or (padH, padW). Default: 0

**dilation** – Single number or (dH, dW). Default: 1

**groups** – split input into groups. Default: 1

# Padding



Jonathan Hui

If we pad the input with zeros then the input and output can have the same spatial dimensions.

## Zero Padding in NumPy

In NumPy we can add a zero padding of width  $p$  to an image as follows:

```
padded = np.zeros(W + 2*p, H + 2*p)  
  
padded[p:W+p, p:H+p] = x
```

## Padding

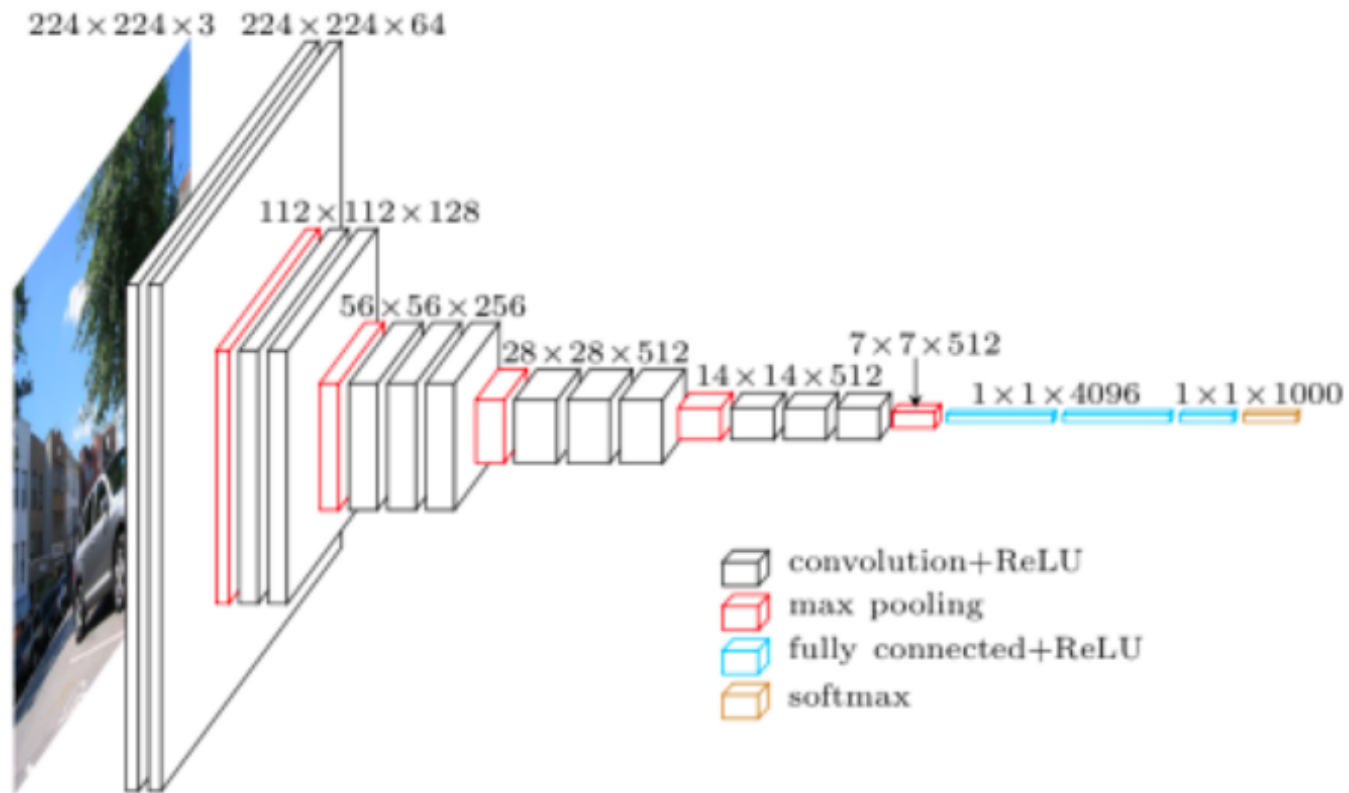
$$L'_\ell = \text{Padd}(L_\ell, p)$$

$$L_{\ell+1}[b, x, y, n_{\text{out}}]$$

$$= \sigma \left( K[n_{\text{out}}, \Delta X, \Delta Y, N_{\text{in}}] L_\ell[b, x + \Delta X, y + \Delta Y, N_{\text{in}}] - B[n_{\text{out}}] \right)$$

If the input is padded but the output is not padded then  $\Delta x$  and  $\Delta y$  are non-negative.

# Reducing Spatial Dimension



## Reducing Spatial Dimensions: Strided Convolution

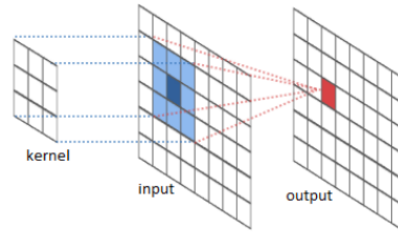
We can move the filter by a “stride”  $s$  for each spatial step.

$$\begin{aligned} & L_{\ell+1}[b, \textcolor{red}{x}, \textcolor{red}{y}, n_{\text{out}}] \\ &= \sigma \left( K[n_{\text{out}}, \Delta X, \Delta Y, N_{\text{in}}] L_{\ell}[b, \textcolor{red}{s} * \textcolor{red}{x} + \Delta X, \textcolor{red}{s} * \textcolor{red}{y} + \Delta Y, N_{\text{in}}] - B[n_{\text{out}}] \right) \end{aligned}$$

For strides greater than 1 the spatial dimension is reduced.



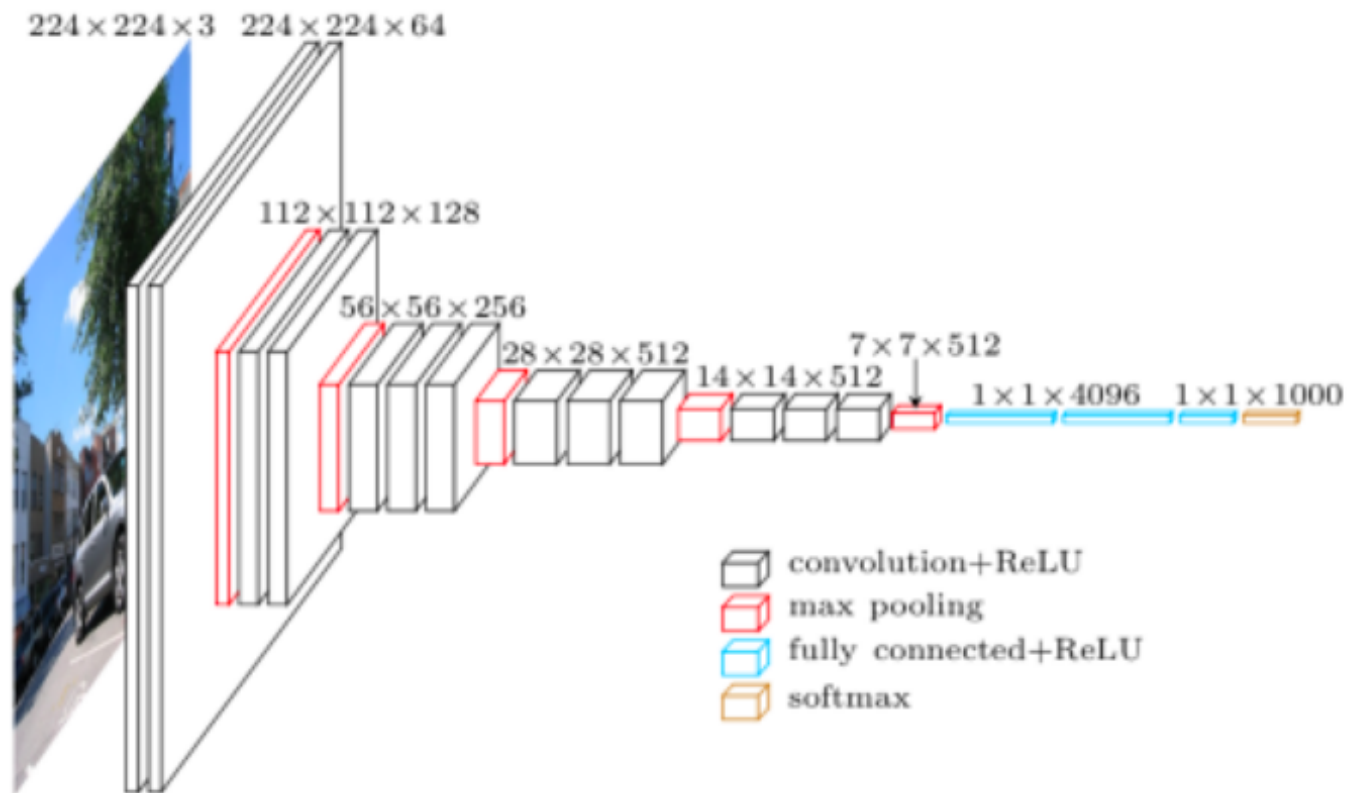
## Reducing Spatial Dimensions: Max Pooling



$$L_{\ell+1}[b, x, y, i] = \max_{\Delta x, \Delta y} L_{\ell}[b, s * x + \Delta x, s * y + \Delta y, i]$$

This is typically done with a stride greater than one so that the image dimension is reduced.

# Fully Connected (FC) Layers



## 2D CNN in PyTorch

`conv2d(input, weight, bias, stride, padding, dilation, groups)`

**input** – tensor (minibatch,in-channels,iH,iW)

**weight** – filters (out-channels, in-channels/groups,kH,kW)

**bias** – tensor (out-channels) . Default: None

**stride** – Single number or (sH, sW). Default: 1

**padding** – Single number or (padH, padW). Default: 0

**dilation** – Single number or (dH, dW). Default: 1

**groups** – split input into groups. Default: 1

## Dilation and Grouping

Dilation is used for “hypercolumns” where higher layers have the same spatial dimension as the input but each spatial location in a higher layer is a whole-image representation of a region of the input image.

Grouping reduces the computation by limiting the inputs to a neuron to be values in the same “neuron group” as the input.

Dilation and grouping are rarely used today.

## Modern Trends

Modern Convolutions use 3X3 filters. This is faster and has fewer parameters. Expressive power is preserved by increasing depth with many stride 1 layers.

Max pooling has disappeared.

ResNet and resnet-like architectures are now dominant.

# Alexnet, 2012

Given Input[227, 227, 3]

$$L_1[55 \times 55 \times 96] = \text{ReLU}(\text{CONV}(\text{Input}, \Phi_1, \text{width } 11, \text{pad } 0, \text{stride } 4))$$

$$L_2[27 \times 27 \times 96] = \text{MaxPool}(L_1, \text{width } 3, \text{stride } 2))$$

$$L_3[27 \times 27 \times 256] = \text{ReLU}(\text{CONV}(L_2, \Phi_3, \text{width } 5, \text{pad } 2, \text{stride } 1))$$

$$L_4[13 \times 13 \times 256] = \text{MaxPool}(L_3, \text{width } 3, \text{stride } 2))$$

$$L_5[13 \times 13 \times 384] = \text{ReLU}(\text{CONV}(L_4, \Phi_5, \text{width } 3, \text{pad } 1, \text{stride } 1))$$

$$L_6[13 \times 13 \times 384] = \text{ReLU}(\text{CONV}(L_5, \Phi_6, \text{width } 3, \text{pad } 1, \text{stride } 1))$$

$$L_7[13 \times 13 \times 256] = \text{ReLU}(\text{CONV}(L_6, \Phi_7, \text{width } 3, \text{pad } 1, \text{stride } 1))$$

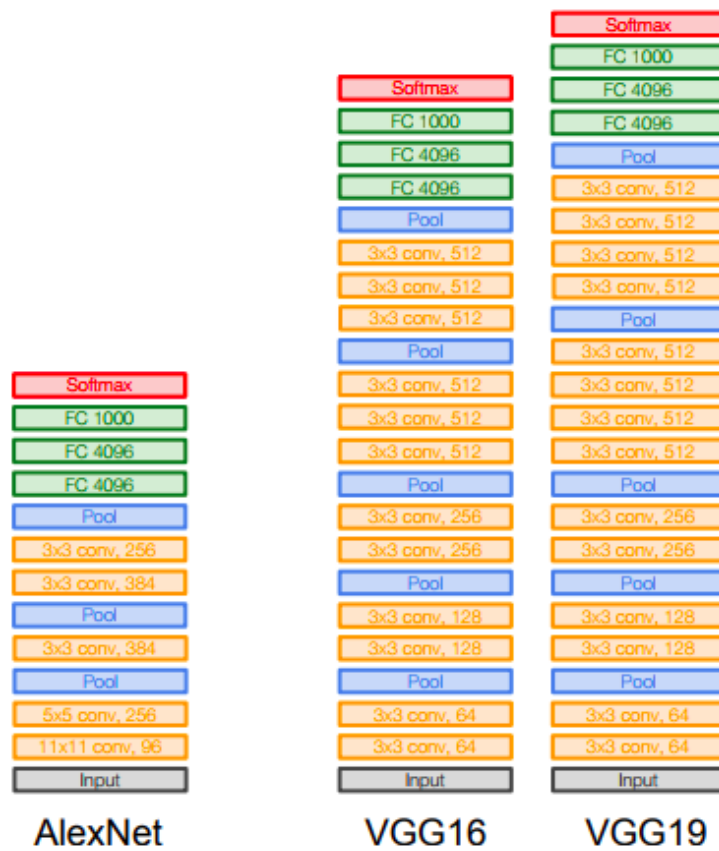
$$L_8[6 \times 6 \times 256] = \text{MaxPool}(L_7, \text{width } 3, \text{stride } 2))$$

$$L_9[4096] = \text{ReLU}(\text{FC}(L_8, \Phi_9))$$

$$L_{10}[4096] = \text{ReLU}(\text{FC}(L_9, \Phi_{10}))$$

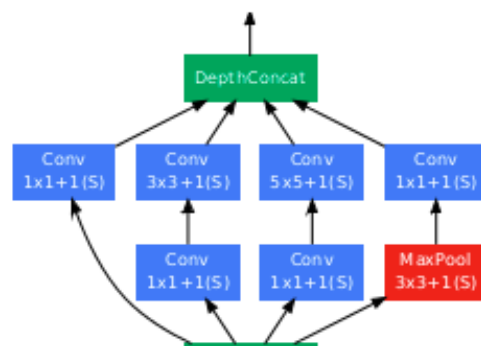
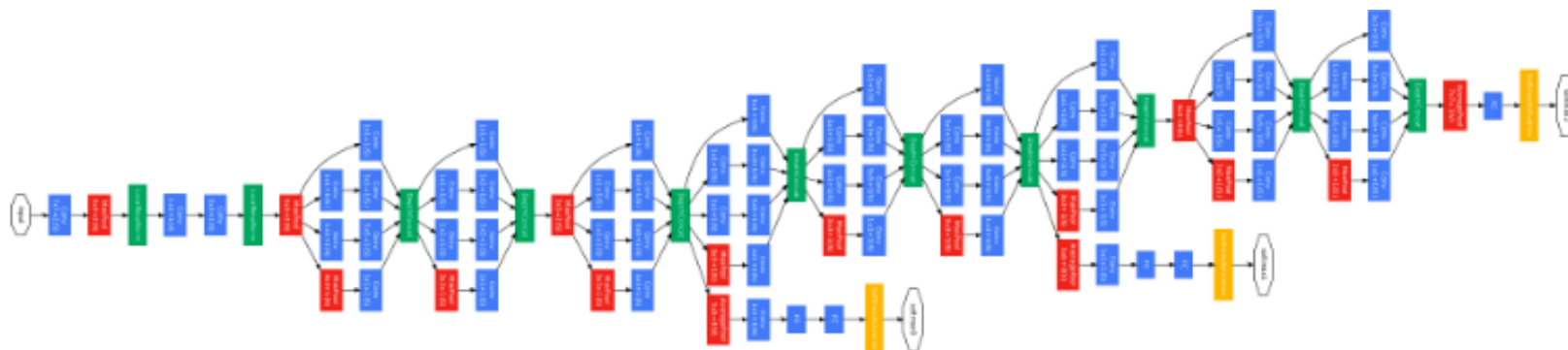
$$s[1000] = \text{ReLU}(\text{FC}(L_{10}, \Phi_s)) \quad \text{class scores}$$

# VGG, 2014



Stanford CS231

# Inception, Google, 2014



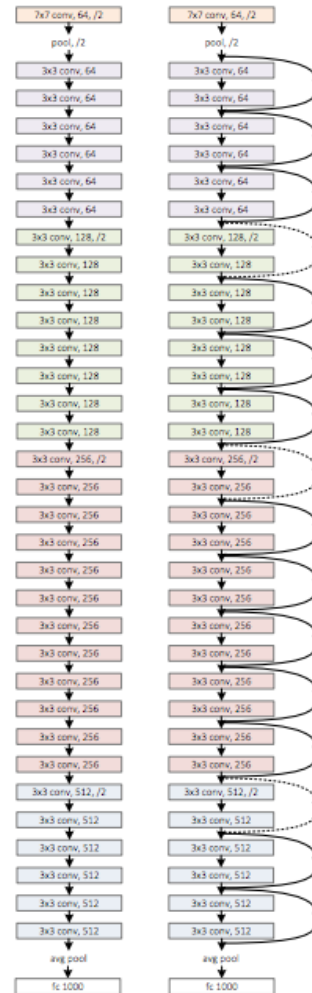


# ResNet, 2015

plain net

ResNet

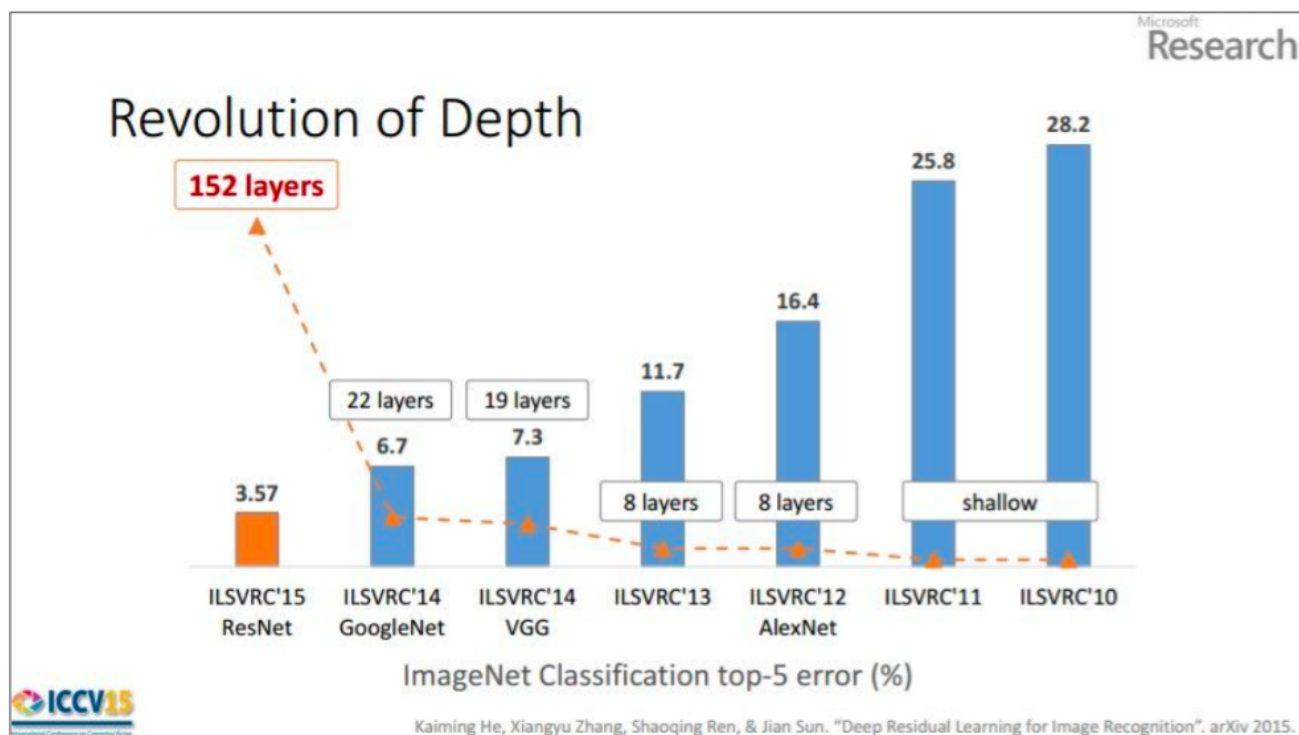
er)



[Kaiming He]

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**END**

## Image to Column (Im2C)

Reduce convolution to matrix multiplication  
more space but faster.

$$\begin{aligned} & \tilde{L}_{\ell+1}[b, x, y, n] \\ &= \left( \sum_{\Delta x, \Delta y, i} K[\Delta x, \Delta y, i, n] * L_{\ell}[b, x + \Delta x, y + \Delta y, i] \right) + B[n] \end{aligned}$$

We make a bigger tensor  $\tilde{L}$  with two additional indices.

$$\tilde{L}_{\ell}[b, x, y, \Delta x, \Delta y, i] = L_{\ell}[b, x + \Delta x, y + \Delta y, i]$$

## Image to Column (Im2C)

$$\tilde{L}_{\ell+1}[b, x, y, n]$$

$$= \left( \sum_{\Delta x, \Delta y, i} K[\Delta x, \Delta y, i, n] * L_{\ell}[b, x + \Delta x, y + \Delta y, i] \right) + B[n]$$

$$= \left( \sum_{\Delta x, \Delta y, i} \tilde{L}_{\ell}[b, x, y, \Delta x, \Delta y, i] * K[\Delta x, \Delta y, i, n] \right) + B[n]$$

$$= \left( \sum_{(\Delta x, \Delta y, i)} \tilde{L}_{\ell}[(b, x, y), (\Delta x, \Delta y, i)] * K[(\Delta x, \Delta y, i), n] \right) + B[n]$$