

Math 1530: Abstract Algebra

Milan Capoor

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Groups

Lecture 1: Sept 7

Richard Schwartz

- richard.evan.schwartz@gmail.com

The Cube

Let G be the set of symmetries of the cube. Given $a, b \in G$, $a \star b$ is the concatenation of a and b

Notice:

- $(a \star b) \star c = a \star (b \star c)$ (associative)
- $\exists e$ such that $e \star a = a \star e = a \forall a \in G$ (identity)
- $\forall a \in G \exists b$ such that $a \star b = e$ (inverse)

A group is anything that satisfies these axioms

Examples of groups:

- Permutations of the Rubik's Cube
- the integers
- $\mathbb{Z}/n := \{0, \dots, n-1\}$ ("Z mod n" where $\mathbb{Z}/12$ would work like a clock)

Structures heuristically:

- A group is a set with addition/concatenation
- A ring is a group plus multiplication

- A field is a ring plus division and commutativity

Lecture 2: Sept 12

Groups

Group: a group is a set G with an operation $\star : G \times G \rightarrow G$ such that

1. \star is always defined
2. $a \star (b \star c) = (a \star b) \star c \quad \forall a, b, c \in G$ (Associativity)
3. $\exists e \in G$, such that $e \star a = a \star e = a \quad \forall a \in G$ (Identity)
4. $\forall a \in G$, $\exists b \in G$, such that $a \star b = b \star a = e$ (Inverses)

Lemma 1: In a group, e is unique.

Proof:

1. Suppose e and e' are both identity elements of the group G .
2. Consider $e \star e'$
3. Since e is an identity, $e \star e' = e'$
4. But since e' is an identity, $e \star e' = e$
5. Therefore, $e' = e$ ■

Lemma 2: Suppose $a \star c_1 = a \star c_2$. Then, $c_1 = c_2$.

Proof:

1. Let b be an inverse of a
2. Since $a \star c_1 = a \star c_2$,

$$b \star (a \star c_1) = b \star (a \star c_2)$$
3. Then by associativity,

$$(b \star a) \star c_1 = (b \star a) \star c_2$$
4. By the definition of inverses, $(b \star a) = e$ so

$$e \star c_1 = e \star c_2$$

5. And by identity,

$$c_1 = c_2 \quad \blacksquare$$

Lemma 3: Inverses are unique ($\forall a \in G \quad \exists! b \in G$ such that $a \star b = b \star a = e$)

Proof:

1. Suppose b_1 and b_2 are both inverses of a
2. Then,

$$a \star b_1 = e = a \star b_2$$

3. By lemma 2, $b_1 = b_2 \quad \blacksquare$

Examples of Groups

Permutation groups: The set of all bijective maps from $S \rightarrow S$ (the maps that hit every element in the codomain exactly once)

Surjective: onto; each element of the codomain is mapped to by at least one element of the domain.

Injective: one-to-one; each element of the codomain is mapped to by at most one element of the domain

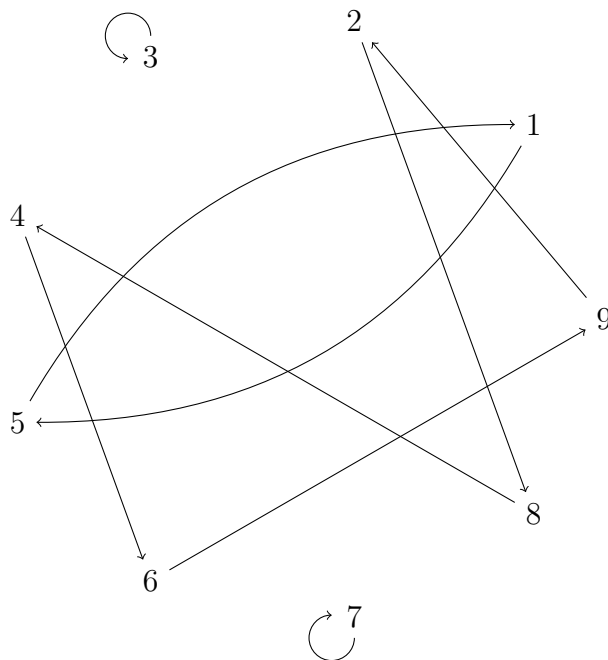
Permutation groups can be represented by arrow diagrams, tables, pairs, and cycles. For example,

S	$g(S)$
1	5
2	8
3	3
4	6
5	1
6	9
7	7
8	4
9	2

is the same as

$$\begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 \\ 5 & 8 & 3 & 6 & 1 & 9 & 7 & 4 & 2 \end{pmatrix}$$

which is also equivalent to



which can be notated

$$(3)(7)(15)(28469)$$

Homomorphisms

Homomorphism: a map between groups G_1 and G_2 , $\phi : G_1 \rightarrow G_2$ such that $\phi(a \star_1 b) = \phi(a) \star_2 \phi(b)$

Example: G_1 is rotations of a pentagon and $G_2 = \mathbb{Z}/5$

Isomorphism: a bijective homomorphism

Lecture 3: Sept 14

Recall: a homomorphism is a map $\phi : G_1 \rightarrow G_2$:

$$\phi(a \star_1 b) = \phi(a) \star_2 \phi(b)$$

Lemma: Let ϕ be a homomorphism from $G_1 \rightarrow G_2$. Then $\phi(g^{-1}) = (\phi(g))^{-1} \quad \forall g \in G_1$

Proof:

$$\begin{aligned}
\phi(e) &= e \\
g \cdot g^{-1} &= e \\
e = \phi(g \cdot g^{-1}) &= \phi(g) \cdot \phi(g^{-1}) && \text{by homomorphism} \\
e &= \phi(g) \cdot (\phi(g))^{-1} && \text{by definition of inverse} \\
\phi(g^{-1}) &= (\phi(g))^{-1} && \text{by cancellation} \quad \blacksquare
\end{aligned}$$

Subgroups

Kernel: Let $\phi : G_1 \rightarrow G_2$ be a homomorphism. Then

$$\ker(\phi) := \phi^{-1}(e) = \{a \in G_1 \mid \phi(a) = e\}$$

Lemma: $\ker(\phi)$ is a subgroup of G_1

Proof:

1. Suppose $a, b \in \ker(\phi)$

$$\phi(ab) = \phi(a)\phi(b) = ee = e \quad \checkmark$$

2. Suppose $a^{-1} \in \ker(\phi)$

$$\phi(a^{-1}) = [\phi(a)]^{-1} = e^{-1} = e \quad \checkmark$$

Therefore $\ker(\phi)$ is closed under multiplication and inverses, so it is a subgroup. \blacksquare

Theorem: ϕ is one-to-one (injective) if and only if $\ker(\phi) = \{e\}$

Proof:

$\phi(e) = e$ so $\phi(g) \neq e$ if $g \neq e$. Therefore, $\ker(\phi)$ must be $\{e\}$

Now for the other direction, suppose $\phi(x) = z$ and $\phi(y) = z$. We then know $\phi(y^{-1}) = z^{-1}$, so

$$\phi(y^{-1})\phi(x) = z^{-1}\phi(x) = z^{-1}z = e$$

Because ϕ is a homomorphism,

$$\phi(y^{-1})\phi(x) = \phi(y^{-1}x)$$

so

$$y^{-1}x \in \ker(\phi) \implies y^{-1}x = e \implies x = y \quad \blacksquare$$

More generally

Let $\phi : G_1 \rightarrow G_2$ be a homomorphism and H_2 a subgroup of G_2 ,

$$\phi^{-1}(H_2) = \{a \in G_1 | \phi(a) \in H_2\}$$

Lemma: $\phi^{-1}(H_2)$ is a subgroup of G_1

Proof:

1. Identity: $\phi(e) = e \quad e \in \phi^{-1}(H_2)$
2. Multiplication closure: $a, b \in \phi^{-1}(H_2)$,

$$\phi(ab) = \phi(a)\phi(b) \in H_2 \quad H_2 \text{ is closed under products}$$

$$\text{so } ab \in \phi^{-1}(H_2)$$

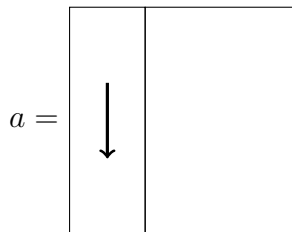
3. Inverse closure: $a \in \phi^{-1}(H_2)$

$$\phi(a^{-1}) = [\phi(a)]^{-1} \in H_2 \quad H_2 \text{ is closed under inverses}$$

$$\text{so } a^{-1} \in \phi^{-1}(H_2)$$

Interlude: Cube notation

Let



This means that we turn the left face down.

Notice that after four turns, we have returned to the beginning, so

$$aaaa = a^4 = e$$

which creates a (cyclic) subgroup of the cube,

$$H = \{e, a, a^2, a^3\}$$

Notation: Given G and $a \in G$,

$$\langle a \rangle = \{a^k, k \in \mathbb{Z}\}$$

,

Why are the symmetries of the cube not a cyclic group?

There is no generator of order 24.

OR cyclic groups are abelian.

$$a^m a^n = a^{m+n} = a^{n+m} = a^n a^m$$

Lecture 4: Sept 19

Review

Recall: A homomorphism is a map $\phi : G_1 \rightarrow G_2$ such that

$$\phi(ab) = \phi(a)\phi(b)$$

$$\phi(e_1) = e_2$$

$$\phi(g^{-1}) = (\phi(g))^{-1}$$

To confirm H is a subgroup: check that it is closed under multiplication and inverses. You do not need to show associativity because that is always true.

Generators: Let $G = \{a, a^2, a^3, \dots\}$ If $a^m = a^n$ $m < n$ then

$$a^{n-m} = e$$

$$a^k = e \quad (k = n - m)$$

$$(a^{k-1})a = e$$

$$a^{k-1} = a^{-1}$$

Are Abelian Groups always cyclic? *Answer:* No. Counterexample:

$$\mathbb{Z}/2 \times \mathbb{Z}/2 = \{(a, b) \mid a, b \in \mathbb{Z}/2\} = \{(0, 0), (0, 1), (1, 0), (1, 1)\}$$

has no generator.

(Left) Cosets

Definition: Given a group G and a subgroup $H \subset G$, a *left coset* is a set of the form

$$aH = \{ah \mid h \in H\}$$

where $a \in G$

If $a \in H$, then $aH = H$. (Notice that for all $s \in H$, $a(a^{-1}s) = s$ and $a^{-1}s \in H$)

This all leads to the observation that *every set of cosets contains the subgroup*.

Lemma: H and aH are the same size (there is a bijection from H to aH)

Proof: Define $\psi(h) = ah$. By definition, $aH = \psi(H)$ so ψ is onto. Now suppose $\psi(h_1) = \psi(h_2)$. Then $ah_1 = ah_2$ which by cancellation shows $h_1 = h_2$. Thus, ψ is one-to-one. Therefore, $\psi : H \rightarrow aH$ is a bijection. ■

Lemma: If $aH \cap bH \neq \emptyset$, then $aH = bH$.

Proof: Pick an element in common: $ah_1 = bh_2$. Then

$$a = bh_2h_1^{-1}$$

so for any $h \in H$,

$$ah = b(h_2h_1^{-1}h) \in bH$$

Since this is true for all $h \in H$, we know that $aH \subset bH$.

Interchanging a and b shows that $aH = bH$. ■

Lagrange's Theorem

Theorem: If G is a finite group and $H \subset G$ is a subgroup, then $o(H) \mid o(G)$ (The order of H divides the order of G .)

Proof: Look at all the cosets and denote the number of cosets n . We know

1. For any $g \in G$, $g = ge \in gH$ (every element is in a coset)
2. All cosets have $o(H)$ elements (from the bijection)
3. The cosets are mutually exclusive

So $o(G) = n \cdot o(H)$ ■

Corollary: If $g \in G$ and G is a finite group, then $o(g) \mid o(G)$

Proof: Let $H = \langle g \rangle$. Then $o(H) = o(g)$. Since $o(H) \mid o(G)$ (by Lagrange's), $o(g) \mid o(G)$. ■

Lecture 5: Sept 21

Recall

Lagrange's Theorem: $H \subset G \implies o(H) \mid o(G)$

Corollary of Lagrange's Theorem: if $g \in G$, $o(g) \mid o(G)$

Equivalence Relations

Relation: a relation on a set S is a subset $R \in S \times S$

$$x R y \implies (x, y) \in R$$

Equivalence Relation: a relation $x \sim y$ such that $(x, y) \in R$ and

1. $x \sim x \quad \forall x \in S$
2. $x \sim y \implies y \sim x \quad \forall x, y \in S$
3. $x \sim y, y \sim z \implies x \sim z \quad \forall x, y, z \in S$

Example: $H \subset G$ with $a \sim b$ if $a^{-1}b \in H$

$$a \sim a \implies a^{-1}a \in H \implies e \in H \checkmark \quad (1)$$

$$a \sim b \implies a^{-1}b \in H \implies (a^{-1}b)^{-1} = (b^{-1}a)^{-1} \implies b \sim a \checkmark \quad (2)$$

$$a \sim b, b \sim c \implies a^{-1}b, b^{-1}c \in H \implies a^{-1}x \in H \implies a \sim c \checkmark \quad (3)$$

Remark: if two equivalence classes overlap, they are the same *Proof:* an equivalence class is a coset

Example:

$$\begin{aligned} a^{-1}b &\in H \\ a^{-1}b &= h \in H \\ b &= ah \in aH \end{aligned}$$

The group $(\mathbb{Z}/n)^*$

Relatively Prime: $a, b \in \mathbb{Z}$ are *relatively prime* if $\gcd(a, b) = 1$

Lemma: if a, b are relatively prime then $\exists s, t$ such that

$$as + bt = 1$$

Proof:

\Leftarrow suppose $as + bt = 1$ and d divides a, b . Clearly, $d|as$ and $d|bt$ for $s, t \in \mathbb{Z}$. By distribution,

$$d|as + bt = 1 \implies d|1 \implies d = 1$$

\implies Let a, b be the smallest pair with $a < b$. Consider $a, b - a$. If a and $b - a$ are relatively prime, then

$$s'a + t'(b - a) = 1 = \underbrace{(s' - t')}_s a + \underbrace{t'}_t b = 1$$

To show that a and $b - a$ are relatively prime, we suppose $d|a$ and $d|b - a$ so $d|a + (b - a)$ so $d|b$. Using the first part of the proof, we know have $as + bt = 1$ for the smallest pair we did not know we could write that way. Thus it is true for all numbers.

Definition: $(\mathbb{Z}/n)^*$ is the subset of $\{1, \dots, N\}$ which is relatively prime to N together with group law multiplication and reduction.

$$(\mathbb{Z}/15)^* = \{1, 2, 4, 7, 8, 11, 13, 14\}$$

Example: $7 \cdot 8 = 56 - (15 * 3) = 11 \in (\mathbb{Z}/15)^*$

We now consider $a, b \in (\mathbb{Z}/15)^*$

$$\begin{cases} 1 = s_1a + t_1N \\ 1 = s_1b + t_2N \\ 1 = s_1s_a v + \dots N \end{cases} \implies ab \in (\mathbb{Z}/15)^*$$

(so identity)

Inverses in $(\mathbb{Z}/N)^*$:

$$\begin{aligned}a &\in (\mathbb{Z}/15)^* \\as + tN &= 1 \\s &= a^{-1} \\aa^{-1} + tN &= 1\end{aligned}$$

(so inverses mod multiples are in the group)

Order of $(\mathbb{Z}/15)^*$:

$$\phi(n) := o(\mathbb{Z}/15)^*$$

We have $\phi(15) = 8$, $\phi(17) = 16$, etc.

In general, if p is prime then $\phi(p) = p - 1$ and if p, q are prime then $\phi(pq) = (p - 1)(q - 1)$

$$\boxed{\frac{\phi(N)}{N} = \prod_{p|N} 1 - \frac{1}{p}}$$

Example: $N = 12$, $(\mathbb{Z}/12)^* = \{1, 5, 7, 11\}$

$$\frac{\phi(12)}{12} = (1 - \frac{1}{2})(1 - \frac{1}{3}) = \frac{1}{3} \implies \phi(12) = 4$$

RSA Cryptography

Corollary of Lagrange's Theorem: If a is relatively prime to N then

$$a^{\phi(N)} \equiv 1 \pmod{N}$$

The Algorithm:

1. Pick two very large primes p, q (choose very big numbers and check if they are prime)
2. publish the value of $N = pq$
3. Keep secret the number $\phi(N) = (p - 1)(q - 1)$
4. Choose a public E relatively prime to $\phi(N)$ ($DE + k\phi(N) = 1$) where D is your private “decoder”

Rings

Lecture 6: Sept 26

Ring: a set R with two operations (usually $+$, \cdot) such that:

1. $(R, +)$ is an abelian group
2. (R, \cdot) is a “group” which may or may not have inverses (the operation is always defined, it is associative, and there is an identity)
- 3.

$$\forall a, b, c \in R : \quad \begin{cases} a \cdot (b + c) = a \cdot b + a \cdot c \\ (b + c) \cdot a = b \cdot a + c \cdot a \end{cases}$$

We usually call 1 the multiplicative identity (the identity for the operation \cdot) and 0 the additive identity (the identity for $+$)

Lemma: $0 \cdot a = a \cdot 0 = 0 \quad \forall a \in R$

Proof:

$$0 + 0 = 0 \implies (0 + 0) \cdot a = 0a + 0a = 0 \cdot a$$

By the additive inverse,

$$-0a + 0a + 0a = -0a + -0a \implies 0a = 0 \quad \blacksquare$$

Lemma: $(-a) \cdot b = -(a \cdot b)$

Proof:

$$\begin{aligned}
 0 \cdot b &= 0 \\
 (-a + a) \cdot b &= 0 \\
 -a \cdot b + a \cdot b &= 0 \\
 -a \cdot b + a \cdot b - (a \cdot b) &= -(a \cdot b) \\
 -a \cdot b &= -(a \cdot b) \quad \blacksquare
 \end{aligned}$$

Examples of Rings

- The integers $(\mathbb{Z}, +, \cdot)$
- \mathbb{Z}/n
- $Z[x]$ (the set of integer polynomials $a_0 + a_1x + \cdots + a_nx^n$)
- $\mathbb{Z}/6[x]$ (polynomials with coefficients in $\mathbb{Z}/6$)
- $(R[x])[y]$ (the ring of polynomials in y whose coefficients are elements in $R[x]$)
- $R[x, y] = \{\sum a_{ij}x^i y^j \mid a_{ij} \in R\}$ (this is isomorphic to the example above)
- $M_n(R)$ is the $n \times n$ matrix ring with coefficients in R
- $\mathbb{Z}[i] = \{a + bi \mid a, b \in \mathbb{Z}, i^2 = -1\}$ (the Gaussian integers)
- $\mathbb{Z}[\omega] = \{a + b\omega \mid \omega = e^{2\pi i/3}\}$ (Eisenstein integers)

Ring Homomorphisms

Definition: $\phi : R_1 \rightarrow R_2$ is a ring homomorphism iff

1. $\phi(a + b) = \phi(a) + \phi(b)$
2. $\phi(ab) = \phi(a)\phi(b)$
3. $\phi(1) = 1$

Examples of homomorphisms:

- $\phi : \mathbb{Z} \rightarrow \mathbb{Z}/n \longrightarrow \phi(k) = k \bmod n$
- $\phi : \mathbb{Z}/mn \rightarrow \mathbb{Z}/n$

$\mathbb{Z}/6$	$\mathbb{Z}/3$
0	0
1	1
2	2
3	0
4	1
5	2

Lecture 7: Sept 28

Review

Ring: a set with two operations $(R, +, \cdot)$ where $(R, +)$ is an abelian group, (R, \cdot) follows all the group axioms except (potentially) inverses, and

$$a(b + c) = ab + ac$$

Ring Homomorphism: $\phi : R_1 \rightarrow R_2$ where

$$\phi(a + b) = \phi(a) + \phi(b)$$

$$\phi(ab) = \phi(a)\phi(b)$$

$$\phi(1) = 1$$

More examples:

- $\phi : \mathbb{Z} \rightarrow R_2$ is a unique homomorphism ($\phi(1) = 1$, $\phi(2) = \phi(1 + 1) = \phi(1) + \phi(1) = 2, \dots$)
- Similarly, (if it exists) $\mathbb{Z}/n \rightarrow \mathbb{R}$ will be unique
- $\phi : \mathbb{C} \rightarrow \mathbb{C}$. One homomorphism is $\phi(x + iy) = x + iy$. But $\phi(x + iy) = x - iy$ is also a homomorphism

Lemma: $\phi(ab) = \phi(a)\phi(b)$

Proof:

$$(a + bi)(c + di) = ac - bd + i(ad + bc)$$

$$(a - bi)(c - di) = ac - bd - i(ad + bc)$$

- $\mathbb{Z}[\sqrt{2}] = \{a + b\sqrt{2} \mid a, b \in \mathbb{Z}\}$, $\phi(a + b\sqrt{2}) = a - b\sqrt{2}$

Unit group

Unit: an element of a commutative ring with an inverse. i.e.,

$$a, b \in R : ab = 1$$

Lemma: (R^*, \cdot) is a group (where R^* is the set of units of R)

Proof:

1. The units are closed under composition

$$1 = aa' = bb' \implies 1 = aa'bb' = (ab)(a'b')$$

2. $R^* \subset R$ is a ring so associativity holds

3. We have an identity because $1 \in R^*$

4. We have inverses because $ab = 1 \implies ba = 1$

Example: $(\mathbb{Z}/N)^* =$ set of elements relatively prime to N

Because $(\mathbb{Z}/N)^*$ is a group, all its elements have inverses so

$$(\mathbb{Z}/N)^* \subset (\mathbb{Z}/N)^\#$$

(where $(\mathbb{Z}/N)^\#$ is the unit group)

Now let

$$ab = 1 \in \mathbb{Z}/N \tag{4}$$

$$b = kN + 1 \in \mathbb{Z} \tag{5}$$

$$ab - kN = 1 \implies ab \text{ is relatively prime to } N \tag{6}$$

So a is relatively prime to N so

$$(\mathbb{Z}/N)^\# \subset (\mathbb{Z}/N)^* \implies (\mathbb{Z}/N)^\# = (\mathbb{Z}/N)^*$$

Products of Rings

Definition:

$$R_1 \times R_2 = \{(a_1, a_2) \mid a_1 \in R_1, a_2 \in R_2\}$$

with

$$(a_1, a_2) + (b_1, b_2) = (a_1 + b_1, a_2 + b_2) \quad (7)$$

$$(a_1, a_2) \cdot (b_1, b_2) = (a_1 b_1, a_2 b_2) \quad (8)$$

Lemma: $(R_1 \times R_2^*) = R_1^* \times R_2^*$

If we have units in R_1, R_2 , then

$$(a_1, a_2) \cdot (b_1, b_2) = (1, 1)$$

Lecture 8: Oct 3

Special Cases of Rings

Field: $(R - \{0\}, \cdot) = R^*$ is an abelian group (every non-zero element has an inverse)

Integral Domain: A commutative ring where $ab = 0 \implies a = 0$ or $b = 0$

Ideals

Definition: An *ideal* $I \subset R$ is a subgroup under addition of R and has the “absorption property” such that

$$\forall a \in I, r \in R : ar \in I$$

Not an Ideal:

- $I = \mathbb{R}, R = \mathbb{R}[x]$
- $I = \{(n, n) \mid n \in \mathbb{Z}\}, R = \mathbb{Z} \times \mathbb{Z}$

Ideals:

- $I = 2\mathbb{Z}, R = \mathbb{Z}$
- $I = \{(n, 0) \mid n \in \mathbb{Z}\}, R = \mathbb{Z} \times \mathbb{Z}$

Principal Ideals: Given $a \in R$,

$$aR = \{ar \mid r \in R\}$$

Proof this is an ideal:

- Distribution: $ab_1 + ab_2 = a(b_1 + b_2)$
- Absorption: $s \in R, s(ar) = a(sr)$
- Inverse: $-ab = a(-b)$
- Additive identity: $a0 = 0$

An ideal that is not a principal ideal:

- (General case) All finite sums $\sum_i a_i r_i$ with $a_1, \dots, a_n, r_i \in R$

Observe

$$r \left(\sum_i a_i r_i \right) = \sum_i a_i (r r_i)$$

Quotients

Quotient ring: a ring \mathbb{R}/I from commutative ring R and ideal $I \in R$

The elements of R/I are the cosets of I ,

$$a + I, \quad a \in R$$

We have new group laws:

$$\begin{aligned} (a + I) + (b + I) &:= (a + b) + I \\ (a + I)(b + I) &:= (ab) + I \end{aligned}$$

Problem: what if a and b are redundant sets? When $R = \mathbb{Z}$, $I = 2\mathbb{Z}$ we have $1 + 2\mathbb{Z} = 13 + \mathbb{Z}$ (the odd integers) but $1 \neq 13$

Lemma: If $a' + I = a + I$ and $b' + I = b + I$ then

$$(a + b) + I = (a' + b') + I$$

Proof:

$$\begin{aligned} a' &= a + i & i \in I \\ b' &= b + j & j \in I \\ a' + b' &\in (a' + b' + I) \\ a' + b' &= a + b + (i + j) \in (a + b) + I \\ (a + b + I) \cap (a' + b') + I &\neq \emptyset \\ \therefore (a + b) + I &= (a' + b') + I \end{aligned}$$

Lemma: If $a' + I = a + I$ and $b' + I = b + I$ then

$$a'b' + I = ab + I$$

Proof:

$$\begin{aligned}a' &= a + i \\b' &= b + i \\a'b' &= (a + i)(b + i) \\&= ab + ib + aj + ij\end{aligned}$$

But by absorption, $ib + aj + ij \in ab + I$. so the rest follows from the same proof as above.

Showing Associativity:

$$\begin{aligned}(a + I + b + I) + c + I &= a + I + (b + I + c + I) \\((a + b) + c) + I &= a + (b + c) + I\end{aligned}$$

$$\text{Identity: } (a + I) + (0 + I) = a + I$$

$$\text{Inverse: } (a + I) + (-a + I) = (a - a) + I = 0 + I$$

Lecture 9: Oct 5

Review

Ideal:

In a commutative ring, $I \subset R$ is an *ideal* if I is a subgroup under addition and I has the absorber property

$$ar \in I, \quad \forall a \in I, r \in R$$

Quotient:

We can then construct R/I which is the set of cosets of I (as an abelian group)

$$R/I = \{a + I, \quad a \in R\}$$

However, this construction can obscure the fact that a single coset can be constructed in many ways (for example with $I = 2\mathbb{Z}$, both $0 + I$ and $-30 + I$ are the evens).

Thus we confirm that the operations

$$\begin{aligned}(a + I) + (b + I) &:= (a + b) + I \\ (a + I) \cdot (b + I) &:= ab + I\end{aligned}$$

on R/I are well-defined (because two cosets that overlap are the same)

Examples:

- $R/I = \mathbb{Z}/5\mathbb{Z}$ has five distinct cosets:

$$0 + 5\mathbb{Z}, 1 + 5\mathbb{Z}, 2 + 5\mathbb{Z}, 3 + 5\mathbb{Z}, 4 + 5\mathbb{Z}$$

so it is isomorphic to $\mathbb{Z}/5 = \{0, 1, 2, 3, 4\}$

•

$$R = \mathbb{R}[x] = \left\{ \sum_{i=0}^n a_i x^i : a_i \in \mathbb{R}, n \in \{0, 1, 2, \dots\} \right\}$$

with

$$I = R(x^2 + 1) = \{p(x)(x^2 + 1), p(x) \in R\}$$

gives the quotient ring R/I with elements like

$$\begin{cases} n + I & \forall n \in \mathbb{N} \\ -x^2 + I = -x^2 + (x^2 + 1) + I = 1 + I \\ (x^3 - 5) + I = (x^2 + I)(x + I) + (-5 + I) = (-1 + I)(x + I) + (-5 + I) \\ \vdots \end{cases}$$

More operations lead to the very strong conclusion: *every ideal can be written in the form*

$$\boxed{(a + I) + (bx + I) \quad a, b \in \mathbb{R}}$$

If we continue with this example, we can see

$$(x + I)(x + I) = x^2 + I = -1 + I$$

so in a sense $(x + I) = \sqrt{-1}$ and in fact this does define a ring isomorphism to the complex numbers!

- $R = \mathbb{Q}[x]$ and $I = R(x^2 - 2)$ allows us to define “ $\sqrt{2}$ ” via

$$(x + I)(x + I) = x^2 + I = x^2 - (x^2 - 2) + I = 2 + I$$

This particular ring also happens to be a field.

Principal Ideal: the set of all multiples of an element in the ring

Homomorphisms and Ideals

Ring homomorphism: a map $\phi : R_1 \rightarrow R_2$ which respects both rings’ operations:

$$\phi(a + b) = \phi(a) + \phi(b)$$

$$\phi(ab) = \phi(a) \cdot \phi(b)$$

$$\phi(1) = 1$$

Kernel:

$$\ker(\phi) = \{a \in R_1 \mid \phi(a) = 0\}$$

Lemma: $\ker(\phi)$ is an ideal

Proof: $\ker(\phi)$ is an abelian group since ϕ is also group homomorphism. Let $a \in I, r \in R$. Observe

$$\begin{aligned}\phi(ar) &= \phi(a)\phi(r) \quad \text{ring homomorphism} \\ &= 0 \cdot \phi(r) \quad a \in \ker \phi \\ &= 0\end{aligned}$$

So $ar \in I$. Thus $\ker \phi$ is an abelian group with absorption so it is a group.

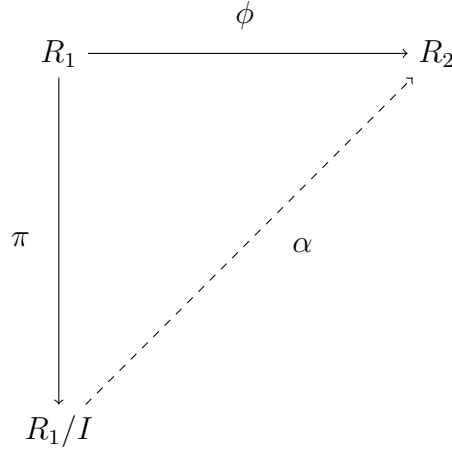
Example:

Given R and I ideal, we construct

$$\pi : R \rightarrow R/I \implies \phi(a) = a + I$$

Therefore, $\ker \pi = I$

This can also be represented:



with $I = \ker \phi$.

Does α exist? Observe:

$$\begin{aligned}\alpha(a + I) &= \phi(a) \\ \alpha(a' + I) &= \phi(a') \\ a' &= a + i \quad i \in I = \ker \phi \\ \phi(a') &= \phi(a + i) = \phi(a) + \phi(i) = \phi(a) + 0\phi(a)\end{aligned}$$

So the map α exists.

In fact,

$$\alpha(a + I) = 0 = \phi(a) \implies a \in \ker \phi = I$$

Thus

$$\ker \phi = \{I\} = 0$$

so ϕ is injective.

Theorem: If $\phi : R_1 \rightarrow R_2$ is onto (surjective) $\alpha : R_1/I \rightarrow R_2$ is an R_2

Example: $R_1 = \mathbb{R}[x]$, $R_2 = \mathbb{C}$, and $\phi : R_1 \rightarrow R_2$.

$$\phi\left(\sum_{k=0}^n a_k x^k\right) = \sum_{k=0}^n a_k i^k = x + iy$$

So

$$\ker \phi = \{0, x^2 + 1, p(x)(x^2 + 1)\} = R_1(x^2 + 1)$$

(0 obviously, $x^2 + 1 = i^2 + 1 = 0$, and any multiple of 0)

Thus,

$$\mathbb{R}[x]/(\mathbb{R}[x](x^2 + 1)) \cong \mathbb{C}$$

Corollary: In general, $\alpha : R_1/I \rightarrow \text{Im}(\phi)$ (where $\text{Im}(\phi) = \phi(R_1)$) is an isomorphism.

Lecture 10: Oct 10

2 special kinds of Rings	2 special kinds of Ideals
Integral domains	Prime ideals
Fields	Maximal Ideals

Unsurprisingly, they are related! We will take them one-by-one and then connect them.

Integral Domain: R is an integral domain if

$$\forall a, b \in R : \quad ab = 0 \implies a = 0 \text{ or } b = 0$$

Not every ring is an integral domain. Consider $\mathbb{Z}/6$: $2 \cdot 3 = 0$

Prime Ideal: $I \subset R$ is a prime ideal if

$$\forall a, b \in R : \quad ab \in I \implies a \in I \text{ or } b \in I$$

Examples:

- $R = \mathbb{Z}, I = 2\mathbb{Z}$ is a prime ideal (product of an even and odd or even and even is even)
- $R = \mathbb{Z}, I = 10\mathbb{Z}$ is NOT a prime ideal ($2 \notin I, 5 \notin I, 10 \in I$)
- $R = \mathbb{Z}[i], I = 5R$ is NOT an ideal (despite 5 being prime) because $1 \pm 2i \notin I, (1 + 2i)(1 - 2i) = 5 \in I$

Generally, $n\mathbb{Z}$ is a prime ideal precisely when n is prime.

Theorem: R/I is an integral domain if and only if I is a prime ideal.

Proof:

We want to show that both directions are true. First consider the lemma that I is prime if R/I is an integral domain.

Suppose $ab \in I$ (so $ab + I = 0 + I$). We seek to show that $a = 0$ or $b = 0$. Consider the cosets $a + I$ and $b + I$:

$$(a + I)(b + I) = ab + I = I$$

So

$$(a + I)(b + I) = 0 + I$$

Since R/I is an ID, $a + I = 0 + I$ or $b + I = 0 + I$ in R/I . So either $a \in I$ or $b \in I$. Hence, I is prime.

To see the other direction, first suppose I is prime. Then $a \in I$ or $b \in I$. So

$$(a + I)(b + I) = 0 \implies ab + I = 0 + I$$

Thus, $ab \in I$. Since I is prime, $a \in I$ or $b \in I$. This is equivalent to

$$(a + I = 0 + I) \vee (b + I = 0 + I)$$

So $a = 0$ or $b = 0$ and R/I is an integral domain. ■

Field: R is a field if $R^* = R - \{0\}$ (i.e. all non-zero elements have inverses)

Lemma: R is a field if the only ideals in R are $R, \{0\}$

Proof: Suppose R is a field and $I \neq \{0\}$ is an ideal. We want to show that $I = R$. Consider, $a \in I$, $a \neq 0$. Because R is a field, $b = a^{-1}$ exists. Further,

$$1 = ba \in I \quad (\text{by absorption})$$

for any $r \in R$,

$$r = r1 \in I$$

Therefore, $I = R$.

Going the other direction, suppose R is a ring whose only ideals in R are R and $\{0\}$. We pick any $a \in R$, $a \neq 0$ and consider $I = aR$. Since

$$a1 = a \in I \quad I \neq \{0\}$$

But if $I \neq \{0\}$, then $I = R$ so $1 \in I$. Then for any $r \in R$, $r1 = r \in I$ so $r = a^{-1}$ exists for any a . Thus, R is a field.

Maximal Ideal: $I \subset R$ is a maximal ideal if there are no ideals J with $I \subset J \subset R$ (with these being proper subsets)

Examples:

- $6\mathbb{Z} \subset \mathbb{Z}$ is not a maximal ideal because $6\mathbb{Z} \subset 2\mathbb{Z} \subset \mathbb{Z}$

Theorem: R/I is a field if and only if I is maximal.

Proof: There is a bijection between the set of ideals of R that contain I (A) and the set of ideals of R/I (B). (See Lecture 11). Then

$$R/I \text{ field} \iff \#B = 2 \iff \#A = 2 \iff I \text{ maximal}$$

Alternative Proof Structure:

Suppose I is maximal. We pick an ideal \bar{J} of R/I . We want to show that $\bar{J} = \{[0]\}$ or $\bar{J} = R/I$. Consider $\pi : R \rightarrow R/I$ whose kernel is just I . Let $J = \pi^{-1}(\bar{J})$. J is an ideal because it has the absorber property. Further, $I \subset J$ because $I = \ker(\pi)$. Therefore, $I \subset J \subset R$. Since I is maximal, $J = I$ or $J = R$. If $J = I$, then $\bar{J} = \{[0]\}$. Similarly, if $J = R$, then $\bar{J} = \pi(R)$. So the only ideals in R/I are $\{0\}$ and R .

To see the other direction, assume the only ideals in R/I are $\{0\}$ and R . Try to find a subset $I \subset J \subset R$ with $J \neq I$ and $J \neq R$ but as the ...

Corollary: $\mathbb{Z}/p\mathbb{Z}$ is a field for p prime.

Proof: Show $p\mathbb{Z}$ is a maximal ideal.

$$p\mathbb{Z} \subsetneq J \subset \mathbb{Z}$$

Since $J \neq p\mathbb{Z}$ there must be an element $n \in J$ relatively prime to p . Then,

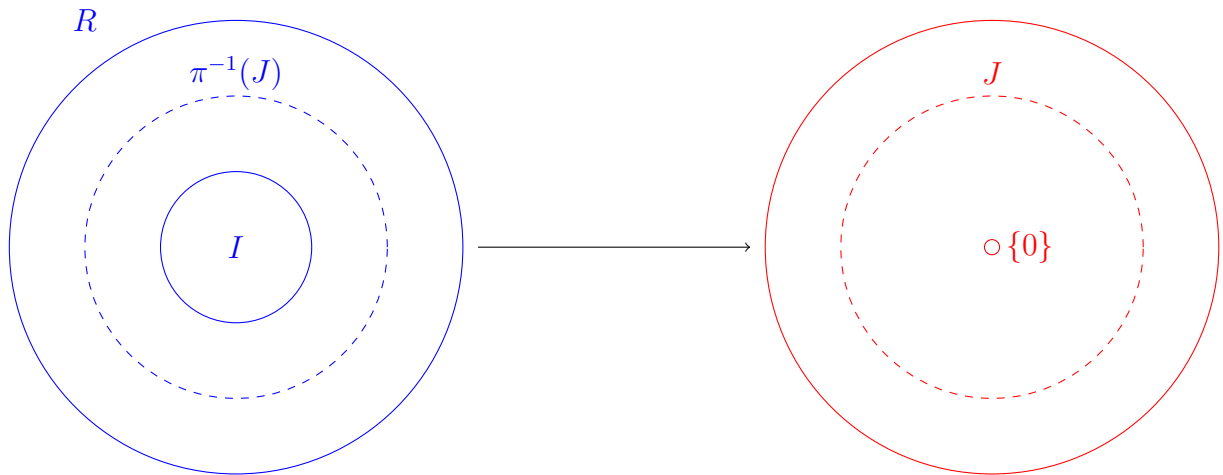
$$1 = ap + bn$$

$ap \in J$ because $ap \in p\mathbb{Z} \subset J$ and $bn \in J$ by absorber. Thus $1 \in J$ so $J = (1) = \mathbb{Z}$.

Lecture 11: Oct 17

Setup: R is a commutative ring and I is an ideal of R . We have a quotient ring $R/I = \{a+I \mid a \in R\}$ and a map $\pi : R \rightarrow R/I$ defined by $\pi(a) = a+I = \{a+b \mid b \in I\}$

R



Meadow: a ring whose only ideals are (0) and R ; synonymous with field

Lemma: there is an isomorphism between

$$\{\text{Ideals of } R \text{ which contain } I\} \stackrel{A}{\Longleftrightarrow} \{\text{Ideals of } R/I\} \stackrel{B}{\Longleftrightarrow}$$

Proof: To show there is an isomorphism between A and B it suffices to show that there are maps $f : A \rightarrow B$ and $g : B \rightarrow A$ where $f^{-1} = g$.

Take an element $K \in A$. Then $\pi(K)$ is a member of B and we just need to check that $\pi(K)$ is an ideal of R/I . Conversely, if $J \in B$, we need to check $\pi^{-1}(J)$ is an ideal of R .

Finally, we just need to check that the functions π and π^{-1} are in fact inverses:

$$\begin{cases} \pi(\pi^{-1}(J)) = J \\ \pi^{-1}(\pi(K)) = K \end{cases}$$

1. Show $\pi(K)$ is an ideal:

$$\begin{aligned} \pi(0) = 0 &\implies 0 \in \pi(K) \\ \pi(a) + \pi(b) &= \pi(a+b) \in \pi(K) & (\pi(a), \pi(b) \in \pi(K) \forall a, b \in K) \\ -\pi(a) &= \pi(-a) \in \pi(K) & (\pi \text{ is homomorphism and } -a \in K) \\ r\pi(a) = \pi(c)\pi(a) &= \pi(ca) \in \pi(K) & (r \in R/I \implies r = \pi(c) \mid c \in R) \end{aligned}$$

2. Show $\pi^{-1}(J)$ is an ideal: (Basically same proof)

3. Show $\pi(\pi^{-1}(J)) = J$:

By definition of π^{-1} , $\pi(\pi^{-1}(J)) \subset J$. Then we want show that $J \subset \pi(\pi^{-1}(J))$. Pick $a \in J$. Since π is onto, $a = \pi(r)$, $r \in R$. Because $\pi(r) \in J$, $r \in \pi^{-1}(J)$. So $a = \pi(r) \in \pi(\pi^{-1}(J))$

4. Show $\pi^{-1}(\pi(K)) = K$:

First we show $K \subset \pi^{-1}(\pi(K))$. Pick $a \in K$. Then $\pi(a) \in \pi(K) \implies a \in \pi^{-1}(\pi(K))$ because a has the property that it is mapped into $\pi(K)$ by π .

To show $\pi^{-1}(\pi(K)) \subset K$, choose $a \in \pi^{-1}(\pi(K))$. We know $\pi(a) \in \pi(K)$ so $\pi(a) = \pi(b) \mid b \in K$ so $\pi(a) - \pi(b) = \pi(a-b) = 0 \implies a-b \in I \implies a-b \in K$ (because $I \subset K$). So $a = (a-b) + b \in K$.

Fields

Lecture 12: Oct 19

Review

There is a bijective map between the set of ideals of R that contain I and the ideals of R/I given a homomorphism $\pi : R \rightarrow R/I$

Theorems:

- R/I is an integral domain if and only if I is a prime ideal
- R/I is a field if and only if I is maximal

Defining Fields

A field is:

- A ring where every non-zero element has an inverse
- A ring whose only ideals are R and $\{0\}$
- The quotient R/I if and only if I is maximal
- A ring with division and commutativity

Example of Fields:

- \mathbb{Q} - the rational numbers
- \mathbb{R} - the real numbers

- \mathbb{C} - complex numbers ($x + yi \mid x, y \in \mathbb{R}$ i.e., the set of linear combinations of x and i)
- $\mathbb{Q}[\sqrt{2}] = \{a + b\sqrt{2} \mid a, b \in \mathbb{Q}\}$ (*Proof:* $(a + b\sqrt{2})(a - b\sqrt{2}) = a^2 - 2b^2$)
- $\mathbb{Q}[\sqrt{D}]$ (if D is not a perfect square)
- $\mathbb{Z}/p\mathbb{Z}$ if p is prime (because $p\mathbb{Z}$ is maximal)
- $F = \{a + b\diamond \mid a, b \in \mathbb{Z}/3\} = \mathbb{Z}/3[x]/(x^2 - 2)\mathbb{Z}/3[x]$ where $\diamond^2 = 2$ in $\mathbb{Z}/3$ (this set has 9 elements because a and b each have three values)

Interlude: Constructing the rational numbers

$$\mathbb{Q} = a \star b, b \neq 0, a_1 \star b_1 \sim a_2 \star b_2 \iff a_1 b_2 = a_2 b_1$$

with multiplication defined on the equivalence class of quotients

$$[a_1 \star b_1][a_2 \star b_2] = [a_1 a_2 \star b_1 b_2]$$

and addition defined

$$[a_1 \star b_1] + [a_2 \star b_2] = [a_1 b_2 + a_2 b_1 \star b_1 b_2]$$

Note that \star is an operation that functions exactly like division but is meant to emphasize that it carries no other intrinsic properties except these operations on equivalence classes.

Vector spaces

Definition: a set V is a *vector space* over a field \mathbb{F} if

1. V is an abelian group (under addition)
2. $(a + b)\vec{v} = a\vec{v} + b\vec{v} \quad \forall a, b \in \mathbb{F}, \vec{v} \in V$
3. $(ab)\vec{v} = a(b\vec{v}) \quad \forall a, b \in \mathbb{F}, \vec{v} \in V$
4. $a(\vec{v} + \vec{w}) = a\vec{v} + a\vec{w} \quad \forall a \in \mathbb{F}, \vec{v}, \vec{w} \in V$

Examples:

- \mathbb{R}^n
- \mathbb{C}^n

- \mathbb{Q}^n
- (Extension Field) Given fields $\mathbb{F} \subset K$, K (the extension field) is a vector space over \mathbb{F} (the subfield)
- \mathbb{C} is a vector space over \mathbb{R}
- \mathbb{R} is a vector space over \mathbb{Q}
- $\mathbb{Q}[\sqrt{2}]$ is a vector space over \mathbb{Q}
- $\mathbb{Z}/\mathbb{Z}[\diamond]$ is a vector space over $\mathbb{Z}/3\mathbb{Z}$

Linear combinations of $v_1, v_2, \dots, v_n \in V$:

$$\sum_{i=1}^n a_i v_i \quad a_i \in \mathbb{F}$$

Spanning set: $\{v_i\}$ is a spanning set if every $v \in V$ is a linear combo of $\{v_i\}$

Independent set: $\{v_i\}$ is an independent set if

$$\sum_{i=1}^n a_i v_i = 0 \implies a_1, \dots, a_n = 0$$

Basis: $\{v_i\}$ is a basis if it is an independent spanning set

Theorem: If V has a finite basis, then all bases have the same number of elements (which we call $\dim(V)$)

Axiom of Choice: postulate that every vector space has a basis

Lecture 13: Oct 24

Notation: $F[x]$ is ring set of polynomials with coefficients in F

Long division of polynomials

Theorem: (Division Algorithm)

Suppose you have two polynomials

$$\begin{aligned} p(x) &= a_m x^m + \dots + a_1, & a_i \in F, a_m \neq 0 \\ q(x) &= b_n x^n + \dots + b_1, & b_i \in F, b_n \neq 0 \end{aligned}$$

(We say $\deg(p) = m$ and $\deg(q) = n$)

Then $q(x) = a(x)p(x) + r(x)$ where $\deg(r) < \deg(p)$

Proof: Induction on $n - m$

Base case: $n - m < 0$ so $q = 0p + r$

Generally,

$$q_* = q - \frac{b_n}{a_m} x^{n-m} p(x) \quad \deg(q_*) = n_* < n$$

By induction,

$$q_* = a_* p + r \quad \deg(r) < \deg(p)$$

so

$$q - \frac{b_n}{a_m} x^{n-m} p(x) = a_* p + r \implies q = \underbrace{\left(a_* + \frac{b_n}{a_m} x^{n-m}\right)}_a p + r \quad \blacksquare$$

Theorems of Polynomial Rings

Theorem: All ideals in $F[x]$ are principal

Proof: Let I be an ideal. Consider $p(x) \in I$, the smallest degree non-zero polynomial in I . Let $q \neq 0 \in I$. By the division algorithm,

$$q = ap + r \quad \deg r < \deg p$$

Since $r = q - ap$, and I is ideal, $r \in I$. Therefore, $\deg r = 0$ (or else it would be smaller than p). Thus, $q = ap$ which is a contradiction.

Definition: $p(x) \in F[x]$ is *irreducible* if $p(x) = a(x)b(x) \implies \deg(a) = 0 \vee \deg(b) = 0$

Theorem: if $p(x) \in F[x]$ is irreducible, then $I = p(x)F[x]$ is maximal

Proof: Let $J = b(x)F[x]$ be an ideal such that $I \subseteq J \subseteq F[x]$. We know $p \in J$ because $p \in I$. So

$$p(x) = a(x)b(x)$$

Case 1: $\deg(a) = 0$ so $p = b$ up to constants and $I = J$

Case 2: $\deg(b) = 0$ so $1 \in J \implies J = F[x]$ (because inverse of $b \in F[x]$)

Theorem: $F[x]/p(x)F[x]$ is a field.

Proof: Given $c \in F$, consider the coset $[c] = c + p(x)q(x)$. We define a ring homomorphism $\phi : F \rightarrow F/p(x)F[x], c \mapsto [c]$.

Suppose $c \in \ker \phi$. Then

$$[c] = [0] \implies c \in p(x)F[x] \implies c = a(x)p(x) \implies a(x) = c \implies c = 0$$

So $\ker \phi = 0$. Then by the isomorphism theorem, F is isomorphic to $\phi(F)$ which means that $\phi(F)$ is a field.

Theorem: $F[x]/p(x)F[x]$ contains a root of $p(x)$

Proof: Consider $[x] = x + p(x)F[x]$. Since $\phi(F)$ contains a copy of F (mapped to its cosets), we can write

$$p([x]) = [p(x)] = [0]$$

by using the formula for coset composition.

Lecture 14: Oct 26

Review

Polynomial division: we can write any polynomial $q = ap + r$ where $\deg r < \deg p$

Theorems:

- if F is a field, all ideals in $F[x]$ are principal
- If $p \in F[x]$ is irreducible, then $I = p(x)F[x]$ is maximal
- $F[x]/p(x)F[x]$ is a field (look at the map $\phi : F \rightarrow F[x]/p(x)F[x], a \mapsto [a] = a + p(x)F[x]$)
- $F[x]/p(x)F[x]$ contains a root of $p(x)$

Proof:

$$\begin{aligned} p(x) &= a_0 + \cdots + a_n x^n \in F[x] \\ p(x) &= [a_0] + \cdots + [a_n]x^n \in K[x] \\ p([x]) &= [a_0] + \cdots + [a_n][x]^n = [a_0 + a_1x + \cdots + a_nx^n] = [p(x)] = [0] \in K \end{aligned}$$

An Example: $F = \mathbb{R}$, $p(x) = x^2 + 1$, $K = \mathbb{R}[x]/(x^2 + 1)\mathbb{R}[x]$ We know $x^2 + 1$ is irreducible because $\sqrt{-1} \notin \mathbb{R}$. We then consider the map $r \rightarrow [r]$ so

$$[x]^2 + [1] = 0 \quad (9)$$

$$[x]^2 = [-1] \quad (10)$$

We define $i := [x]$ so $i^2 = -1$. Further,

$$[x] + i[y] \in K$$

for any element in K because

$$[x^n] = [x^2][x^{n-2}] = [-x^{n-2}]$$

so we can factor down any polynomial to lowest degree.

Bases

Basis: a linearly independent spanning set

Example: $\{1, i\}$ is a basis of \mathbb{C} over \mathbb{R}

Example: Is $S = \{[1], [x], \dots, [x^{n-1}]\}$ a basis?
because

Proof: It is a spanning set

$$[x^n] = -\frac{[a_{n-1}][x^{n-1}]}{[a_n]} - \frac{[a_0]}{[a_n]}$$

Suppose it is not linearly independent. then $\exists [b_0], \dots, [b_{n-1}]$ such that

$$[b_0][x] + \dots + [b_{n-1}][x^{n-1}] = [0]$$

Using the formula,

$$[b_0 + \dots + b_{n-1}x^{n-1}] = [0] = [p(x)]$$

Since the polynomial is in the ideal, it is a multiple of $p(x)$. But p has degree n and $\deg([b_0 + \dots + b_{n-1}x^{n-1}]) = n - 1$. But since $n - 1 < n$, it cannot be a multiple of p so contradiction.

Dimensionality

Let $F \subset K$. Then we denote

$$[K : F] = \dim_F K = \text{the dimension of } K \text{ as a vector space over } F$$

Example: $[\mathbb{C} : \mathbb{R}] = 2$ (because the basis is $\{1, i\}$)

Example: $[F[x]/p(x)F[x] : F] = \deg(p)$

A Homework Problem: $F = \mathbb{Q}$, $p(x) = x^3 - 2$

$$[F[x]/F[x](x^3 - 2) : \mathbb{Q}] = 3$$

(Here $[x] = \sqrt[3]{2}$) and the basis is

$$\{[1], [x], [x^2]\} = \{1, \sqrt[3]{2}, \sqrt[3]{4}\}$$

Theorem: $F \subset K \subset L$,

$$[L : F] = [L : K][K : F]$$

Proof: Let $m = [K : F]$, $n = [L : K]$. Let v_1, \dots, v_m be an F -basis for K . Let w_1, \dots, w_n be a K -basis for L . We consider the set $\{v_i w_j\}$ and seek to prove that it is a spanning set and linearly independent.

Lemma: $\{v_i w_j\}$ is a spanning set.

Proof: Pick an element $l \in L$. It can be written in the form

$$l = \sum_i \sum_j k_{ij} v_i w_j$$

Lemma: $\{v_i w_j\}$ is independent

Proof: Suppose $\sum_{i,j} f_{ij} v_i w_j = 0$.

$$\left(\sum_i f_{i1} v_i \right) w_1 + \dots + \left(\sum_i f_{in} v_i \right) w_n = 0$$

But the v_i are a basis for K so

$$\sum_{i,j} f_{ij} v_i = 0 \implies f_{ij} = 0 \quad \blacksquare$$

Groups - Part II

Lecture 15: Oct 31

Normal Subgroups

Let G be a group and $H \subset G$ be a subgroup. Further, we have two cosets:

$$\begin{aligned} aH &= \{ah \mid h \in H\} \\ Ha &= \{ha \mid h \in H\} \end{aligned}$$

1. When is $aH = Ha$?
2. Is $aH \cdot bH = abH$ well defined?

Definition: H is *normal* if $aH = Ha \quad \forall a \in G$

Equivalent Definitions:

- $H = aHa^{-1} \quad \forall a \in G$
- $a^{-1}Ha = H \quad \forall a \in G$
- $a^{-1}Ha \subset H \quad \forall a \in G$ (*Proof:* $a^{-1}(aha^{-1})a$)

Definition: we call $aHa^{-1} = H$ the *conjugation* of H

Examples of Normal Subgroups:

- All subgroups of an abelian group are normal
- $\{e\}$ is normal in every group ($e^{-1}He \subset H$)
- Any group is a normal subgroup of itself
- $\mathbb{Z}/3$ (rotation group) is a normal subgroup of D_3

Examples of Non-normal subgroup:

- The subgroup generated by a flip in D_3 is not normal (flips don't commute with rotations)

Lemma: If $\phi : G_1 \rightarrow G_2$ is a homomorphism, then $\ker \phi$ is normal in G_1

Proof: It suffices to show that if $h \in \ker(\phi)$ then $ah^{-1}a \in \ker(\phi)$. Observe:

$$\phi(aha^{-1}) = \phi(a)\phi(h)\phi(a^{-1}) = \phi(a)e_2\phi(a^{-1}) = \phi(aa^{-1}) = \phi(e_1) = e_2$$

Example: We always have a homomorphism $\phi : D_n \rightarrow \mathbb{Z}/2$,

$$\phi(g) = \begin{cases} 0 & g \text{ is rotation} \\ 1 & g \text{ is flip} \end{cases}$$

So $\ker \phi = \mathbb{Z}/n$, the rotations.

Quotient Construction for Groups

We want

$$aH \cdot bH = ab \cdot H \quad \forall a, b \in G$$

First, we need to check that it is well-defined. Suppose $a'H = aH$. If it is well-defined, then

$$a'bH = abH$$

Suppose $a' = ah$. Then, $a'bH = ahbH$. Is $ahbh_1 \in abH$ $a, b \in G, h_1 \in H$?

Well, clearly, this equivalent to the condition $ahbh_1 = abh_2$ $h_2 \in H$. Further,

$$\begin{aligned} hbh_1 &= bh_2 && \text{cancellation} \\ b^{-1}hbh_1 &= h_2 \\ b^{-1}hb &= h_2h^{-1} \\ b^{-1}hb &\in H \end{aligned}$$

So, the formula is well-defined when $b^{-1}hb \in H$ $\forall b \in G$. This is precisely when H is normal!

For the other side, we want to show that $ab'H = abH$ with $b' = bh$:

$$\begin{aligned}abhH &= abH \\ abhh_1 &= abh_2\end{aligned}$$

But h_1 and h_2 are arbitrary so $hh_1 = h_2$ by closure.

Thus, the formula is well-defined:

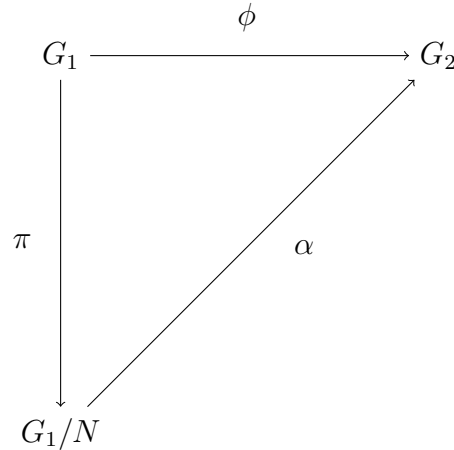
$$aN \cdot bN = ab \cdot N \quad a, b \in G$$

where $N \subseteq G$ is normal and we call the group of cosets of N , G/N

Consider, $\pi : G \rightarrow G/N$, $\pi(a) = aN$ so $\ker \pi = N$

Isomorphisms of Normal Subgroups

Say we a homomorphism $\phi : G_1 \rightarrow G_2$ whose $\ker \phi = N$



$$\alpha(a'N) = \phi(a') = \phi(a)\phi(n) = \phi(a) = \alpha(aN)$$

Further,

$$\ker \alpha = \{N\}$$

(the trivial subgroup of G/N) because

$$\alpha(aN) = e \implies \phi(a) = e \implies a \in \ker \phi \implies a \in N \implies aN = N$$

so

$\alpha : G/\ker(\phi) \rightarrow G_2$ is injective (one-to-one)

Theorem:

$\alpha : G_1/\ker(\phi) \implies \text{Im}(\alpha) = \text{Im}(\phi)$ is an isomorphism

Lecture 16: Nov 2

Review

Normal subgroups are those that satisfy

$$aN = Na \iff aNa^{-1} = N \iff aNa^{-1} \subset N \quad \forall a \in G$$

We call the group of cosets of N G/N whose composition is governed by

$$aN \cdot bN = ab \cdot N$$

Cayley's Theorem (12.2)

Theorem: Every group is isomorphic to a subgroup of a permutation group

Note on notation: we could equivalently consider the group of permutations of S (the set of bijections from $S \rightarrow S$), the automorphisms on S , the maps on S , and the bijections on S .

Proof: It suffices to construct a homomorphism from $G \rightarrow \text{Per}(G)$ (the permutations of G), then show it is injective and apply the isomorphism theorem.

First given a g , define $L_g : G \rightarrow G$ rby the formula $L_g(a) = ga$. Now it just remains to show:

1. L_g is a bijection
2. $\phi : g \rightarrow L_g$ is a homomorphism
3. $\phi : g \rightarrow L_g$ is injective

Lemma 1: L_g is a bijection

Proof: Suppose $L_g(a) = L_g(b)$. Then $ga = gb \implies a = b$ so injective. Further, $\forall a \in G$, $L_g(g^{-1}a) = g^{-1}ga = a$ so surjective. Thus L_g is a bijection. \square

Lemma 2: $\phi(gh) = \phi(g)\phi(h)$, i.e. $L_{gh} = L_g \cdot L_h$

Proof: Let $a \in G$. $L_{gh}a = gha$.

$$L_g \cdot L_h(a) = L_g(L_h(a)) = L_g(ha) = gha \quad \square$$

Lemma 3: ϕ is injective.

Proof: Suppose $L_g = L_h$. Then take

$$g = L_g(e) = L_h(e) = h \implies g = h \quad \square$$

Now by the isomorphism theorem, the map from $g \rightarrow L_g$ is an isomorphism. ■

Cayley Graphs

Let $S = a_1, \dots, a_n \in G$ be the generating set of G .

We can create a graph whose vertices are all the elements in the group and where the directed elements go from $g \rightarrow ga_i$ for each $a_i \in S$ and $g \in G$.

Notice that if we apply a permutation (say $L_g(g) = hg$) then all the edge relations are respected ($hg \rightarrow hga_i$).

Conclusion: we can extend Cayley's theorem to the group of symmetries of the graph.

Examples:

- $G = \mathbb{Z}$, $S = \{1\}$ generates the whole group
- $G = \mathbb{Z}^2$, $S = \{(1, 0), (0, 1)\}$ creates the 1x1 grid

Group Actions (6.2)

Definition: a group action of group G on a set S is a homomorphism from G to $\text{PER}(S)$.

Alternatively, a group action of G on S is a map $G \times S \rightarrow S$ such that

1. the map $(g, s) \rightarrow g \cdot s$ is a bijection if g is fixed
2. $gh \cdot s = g \cdot hs \quad \forall g, h \in G \text{ and } s \in S$ (Homomorphism condition)

Note: in general, there is no reason to expect there should be a well-defined composition between the elements of the group and the elements of the set. When there is, we have a group action.

Example:

- G is the invertible linear transformations in \mathbb{R}^2 and S is the lines in \mathbb{R}^2 . If we apply a linear transformation to a line, then we get a new line.
- The set of triangles and the group of linear transformations
- The group of symmetries of vertices of a dodecahedron and the set of 5 tetrahedrons created by the vertices
- $H \subset G, S = \{aH \mid a \in G\}$ so $g \cdot (aH) = gaH$

Definition: the *orbit* Gs of $s \in S$ is the set $\{g \cdot s \mid g \in G\}$

Definition: the *stabilizer* $Stab(s) \subset G$ of $s \in S$ is the set of all $g \in G$ such that $g \cdot s = s$. Note that it is also a subgroup.

Lecture 17: Nov 5

Review

Group action: a homomorphism $\phi : G \rightarrow \text{PER}(S)$ such that

$$\begin{aligned} gs &= \phi(g)(s) \\ ghs &= g(hs) \\ es &= s \quad \forall s \end{aligned}$$

Orbit: $Ga := \{ga : g \in G\}$

stabilizer: $S_a = \{g \in G : ga = a\} \quad (a \in S)$

Lemma: two orbits are identical or completely disjoint

Proof: Suppose $Ga \cap Gb \neq \emptyset$. Then $ga = hb$ for some $g, h \in G$.

$$\begin{aligned} g^{-1}ga &= g^{-1}hb \\ a &= g^{-1}hb \\ a = kb &\implies Ga \subset Gb \end{aligned}$$

Arguing from the other side shows $Ga = Gb$. ■

Lemma: the size of the orbit $\#Ga = \frac{\#G}{\#S_a}$

Proof: notice that the quotient is just the number of cosets of S_a . So consider any left coset bS_a and we try to map it into Ga :

$$b \cdot S_a \mapsto b \cdot a$$

We know this is well-defined because if we assume there is some other element of the coset

$$b'S_a = bg \cdot S_a \quad g \in S_a \implies b'a = bga = ba$$

Now we define $\psi : \text{cosets of } S_a \rightarrow Ga$. Clearly, ψ is onto. Further, it is 1-1: Suppose $\psi(g \cdot S_a) = \psi(h \cdot S_a)$. Thus,

$$ga = ha \implies a = g^{-1}ha \implies g^{-1}h \in S_a \implies h \in g \cdot S_a$$

So we conclude that $g \cdot S_a = h \cdot S_a$. We have established a bijection so they have the same number of elements. ■

Sylow's Theorem

Lemma (Abelian Cauchy): If G is an abelian group and p is prime and $p \mid G$, then G has an element of order p .

Proof:

- Case 1: G is cyclic ($G = \langle g \rangle$, $g^{o(G)} = e$). Then we can take $h = g^{o(G)/p}$ and $o(h) = p$.
- Case 2: G is not cyclic, so G has a non-trivial subgroup N .

1. $p \mid \#N$ so by induction, $\exists g \in N$ s.t. $o(g) = p$

2. $p \nmid o(N)$. We are in an abelian group so everything is normal and we can take the quotient: $\overline{G} = G/N$. By induction, $\exists \overline{g} \in \overline{G}$ with order p .

The elements of \overline{G} look like gN, g^2N, \dots, g^pN but $g \notin N$ and we want $g^p \in N$. If $g^p = e$ we would have an element of order p and we would be done. However, we also need to check the case

$$g^p = a \in N$$

Let $d = o(a)$ so d is relatively prime to p and check

$$(g^p)^d = e \implies (g^d)^p = e$$

So if $g^d \neq e$ we are done.

Proof by contradiction: suppose $g^d = e \in N$. Further, $g^p \in N$. By Bezout's,

$$ap + bd = 1 \implies g = g^1 = g^{ap+bd} = g^{ap}g^{bd} = (g^p)^a(g^d)^b \in N$$

so $g^d \neq e$. ■

Sylow's First Theorem: Suppose $\#G = p^n k$ and p does not divide k . Then G has a subgroup of order p^n .

Proof: Let G be a group of smallest order where we do not know Sylow's theorem is true.

Now let $S = G$ be a group action such that $ga = gag^{-1}$. We know this is a group action because:

$$gha = gha(gh)^{-1} = ghah^{-1}g^{-1} = gha$$

Now look at all stabilizers $S_a = \{g \in G : gag^{-1} = a\}$. These are going to be subgroups of G .

Cases:

1. $\#S_a = p^l$, $l < k \implies \exists H < S_a$ where $\#H = p^n$ and we are done.
2. $\#S_a = p^m$, $m < n$
3. $S_a = G$

If $S_a = G$ then $\#G/\#S_a = 1$ so every orbit has one element. These elements form the center of the group because $gag^{-1} = a$ for all g . Further, P divides $\#Z$ because for all the cases $\#S_a = p^m$ ($m < n$), clearly the order is divisible by p .

Since the center is abelian, $\exists N \subset Z(G)$ with $\#N = p$ (this subgroup is normal because abelian so $Na = aN$).

Now we create the quotient $\overline{G} = G/N$ and $\#\overline{G} = p^{n-1}k$. By induction, $\exists \overline{H} \subset \overline{G}$ with $\#\overline{H} = p^{n-1}$

Let $\pi : G \rightarrow \overline{G}$ (this is a p-to-1 map) and let $H = \pi^{-1}(\overline{H}) \subset G$. Hence, $\#H = p^n$. ■

Lecture 18: Nov 9

Sylow's Theorems

Definition: Let G be a group with order $p^n k$ such that $p \nmid k$. H is a Sylow p -subgroup if $\#H = p^n$

Sylow's First Theorem: the number of Sylow p -subgroups is greater than or equal to 1 (there is always at least one Sylow p -subgroup in G)

Sylow's Second Theorem: any two Sylow p -subgroups are conjugate: for some g ,

$$K = gHg^{-1}$$

Sylow's Third Theorem: the number of Sylow p -subgroups divides k and is congruent to 1 mod p .

Sylow's Second Theorem

Notation:

$$\begin{aligned} AB &= \{ab \mid a \in A, b \in B\} \\ AB &= \{abc \mid a \in A, b \in B, c \in C\} \\ AgBhCk &= \{agbhck \mid a \in A, b \in B, c \in C\} \end{aligned}$$

Lemma: If H is a subgroup of G , gHg^{-1} is a subgroup with $g \in G$.

$$\begin{aligned} gHg^{-1} &= \{ghg^{-1} \mid h \in H\} \\ (gh_1g^{-1})(gh_2g^{-1}) &= gh_1h_2g^{-1} \\ (ghg^{-1})^{-1} &= gh^{-1}g^{-1} \quad \blacksquare \end{aligned}$$

Sylow's Second Theorem: any two Sylow p -subgroups are conjugate: for some g ,

$$K = gHg^{-1}$$

Proof: Let HaK be a double coset where H, K are both subgroups of G . Since it is a coset,

$$HaK \cap HbK \neq \emptyset$$

$$\begin{aligned} h_1 a k_1 = h_2 b k_2 &\implies a(h_1^{-1} h_2) b(k_2 k_1^{-1}) \in H b K \\ &\implies H a K \subset H b K \end{aligned}$$

A similar argument would show $H b K \subset H a K$ so

$$H a K = H b K$$

Lemma:

$$|H a K| = \frac{|H| |K|}{|H \cap a K a^{-1}|}$$

Proof: $|H a K| = |H a K a^{-1}|$. Let $L = a K a^{-1}$ and $K = a^{-1} L a$ so

$$|L| = |K|$$

So equivalently, we want to show that

$$|H L| = \frac{|H| |L|}{|H \cap L|}$$

Letting $\mu = |H \cap L|$ we just need to show that there is a μ to 1 map from $H \times L \rightarrow H L$

The obvious map is $\phi(h, l) = h l$ so

$$\phi(h g_i, g_i^{-1} l) = h g_i g_i^{-1} l = h l$$

where $H \cap G = g_1, \dots, g_\mu$.

Suppose $\phi(h', l') = \phi(h l)$. Then

$$h' l' = h l \implies h^{-1} h' = l(l')^{-1} = g_i \implies \begin{cases} h' = h g_i \\ l' = g_i^{-1} l \end{cases}$$

Back to Sylow:

Now we look at $H a K$.

$$|H a K| = \frac{|H| |K|}{|H \cap a K a^{-1}|} = \frac{p^n \cdot p^n}{p^m} \quad m \leq n$$

(p^m because the denominator is a subgroup of H so its order divides p^n)

But $m \not\leq n$ (the double cosets $H a K$ partition G and any $m > n$ would mean that p^{n+1} would divide G which is not true). So $m = n$ and $H = a K a^{-1}$

Sylow's Third Theorem

Theorem: the number of Sylow p -subgroups divides K and is congruent to 1 mod p .

Proof: Let S be the set of Sylow p -subgroups. G acts on S by conjugation: $g \cdot H = gHg^{-1}$.

From Sylow 2, $G_H = S$ (the orbit of H is S).

$$\frac{|G|}{|S_H|} = |G_H| = |S|$$

where S_H is the stabilizer of H .

But the stabilizer is simply

$$S_H = \{a \in G \mid aHa^{-1} = H\}$$

which is a subgroup with $H \subset S_H$. Because the order of H is p^n , we apply Lagrange's so

$$|S_H| = p^n l$$

for some l .

Going back,

$$\frac{|G|}{|S_H|} = \frac{p^n k}{p^n l} = \frac{k}{l} = |S|$$

and clearly $\frac{k}{l} \mid k$. \square

For the second part, look at the partition given by $\{HaH \mid a \in G\}$. Either

1. $a \in S_H \implies HaH \subset S_H$
2. $a \notin S_H$

For the elements not in the stabilizer,

$$|HaH| = \frac{|H| |H|}{|H \cap aHa^{-1}|} = p^{n+\text{something}}$$

Further,

$$|S| = \frac{|G|}{|S_H|} = \frac{|S_H|}{|S_H|} + \frac{p^{n+1m}}{|S_H|} = 1 + p^x \quad \blacksquare$$

Lecture 19: Nov 14

Reviewing Sylow 1

Sylow 1: If $|G| = p^n k$ with $p \nmid k \implies \exists H \subset G$ such that $|H| = p^n$. H is then a “p-Sylow Subgroup”

Proof:

1. Pick a smallest G that does not have a known desired Sylow subgroup *Case*
1: G has a subgroup H with $|H| = p^l$ $l < n$. *Case 2:* G has no such subgroup.
2. If Case 1, we have a smaller Group so by induction we are done.
3. If Case 2, let G act on $X = G$ by conjugation

$$g \cdot a = gag^{-1} \quad a \in X$$

4. This creates a partition of X into orbits. The size of each orbit is

$$|Ga| = \frac{|G|}{|G_a|} = \frac{p^n k}{p^m k'} \quad (m < n)$$

So $p \mid |Ga|$.

5. Some of the orbits may have size 1 (such as the identity). These orbits form the center $Z = Z(G)$ and we know $p \mid |Z|$
6. *Lemma:* (Abelian Cauchy) Z contains a subgroup N of order p . This tells us that N is normal in G because Z is abelian. Now look at the quotient G/N : $|G/N| = p^{n-1}k$. By induction, $\exists \bar{H} \subset G/N$ with $|\bar{H}| = p^{n-1}$.
7. Consider the map $\pi : G \rightarrow G/N$. Since every element in G/N has p elements, this is a p -to-1 map. So $\pi^{-1}(\bar{H})$ is a subgroup of order p^n . ■

Reviewing Sylow 2

Sylow 2: All Sylow p -subgroups are conjugate. ($H = gKg^{-1}$)

Proof:

Lemma: $|HaK| = \frac{|H||K|}{|H \cap Ka^{-1}|}$

Let H and K be Sylow subgroups. Partition G into double cosets HaK . If $H \neq aKa^{-1}$, then

$$|HaK| = \frac{p^n \cdot p^n}{p^m} \quad m < n \implies p^{n+1} \mid |HaK|$$

But HaK is a subgroup of G so $|HaK| \mid |G|$. This is a contradiction so $H = aKa^{-1}$.
■

Reviewing Sylow 3

Sylow 3: The number of Sylow p -subgroups divides k (and equivalently, G) and is congruent to 1 mod p .

Proof: Let G act on the set of Sylow p -subgroups by conjugation. ($g \cdot P = gPg^{-1}$). Let $n = |P|$.

$$n = |S| = \frac{|G|}{|S_P|} = \frac{p^n k}{p^{nl}} = \frac{k}{l}$$

where S_P is the stabilizer of P .

But S_P is a subgroup of G so $|S_P| \mid |G|$. Thus, $\frac{k}{l} \mid k$.

Midterm Questions

1. Prove that $|H| = p^n$ has a subgroup of order p^m for any $m < n$.

Proof: $p \mid |Z|$. So $N \subset Z$ and $|N| = p$ (by Abelian Cauchy). Now look at the quotient $\overline{H} = H/N$. $|\overline{H}| = p^{n-1}$. Take the inverse image and we get a subgroup of p^{n-1} in H . We can repeat the same argument on \overline{H} to get a subgroup of order p^{n-2} and so on. ■

2. **Cauchy Theorem:** Every finite group with $p \mid |G|$ has an element of order p .

Proof: $|G| = p^n k$. By Sylow, $\exists H \subset G$ with $|H| = p^n$. Pick any $g \in H$ and look at $\langle g \rangle$. This is a cyclic subgroup so abelian and by Abelian Cauchy, $p \mid o(g)$. So there is an $a \in \langle g \rangle$ with $o(a) = p$. ■

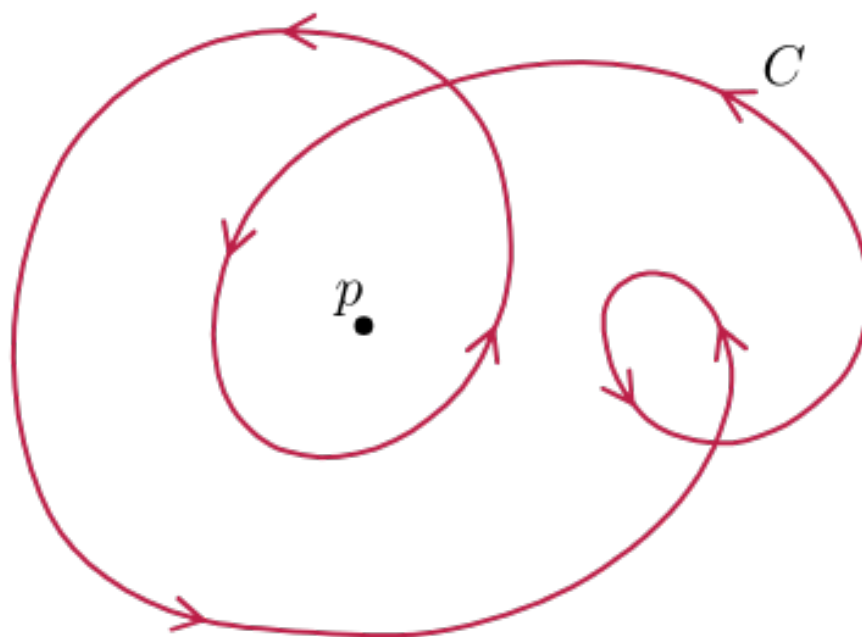
Lecture 20: Nov 21

The Fundamental Theorem of Algebra

Fundamental Theorem of Algebra: Let $p(z) = z^n + a_{n-1}z^{n-1} + \cdots + a_0 \in \mathbb{C}[z]$. Then $p(z)$ has a root in \mathbb{C} .

Motivation: Let $f(x) = x^{17} - 45x^{16} + 301x^{15} - 14x^2 + 1997$. We notice that x^{17} dominates the other terms so $\lim_{x \rightarrow -\infty} f(x) < 0$ and $\lim_{x \rightarrow \infty} f(x) > 0$. Since f is continuous, the intermediate value theorem guarantees a root. However, if f had even degree, we would not be able to immediately use the intermediate value theorem. It would be nice to know there were a root without needing any knowledge of the polynomial.

Winding number: the total number of times that a closed curve travels counter-clockwise around a point



A Trick: Draw a ray out from the point. If the curve intersects the ray moving counterclockwise, add one. If it intersects moving clockwise, subtract one. The sum will be the winding number.

Notice that as long as the curve does not intersect the origin, it can be translated

and rotated any amount.

Application: Fix $r > 0$. Look at the loop $p(\{z : |z| = r\}) = p(re^{i\theta})$ (the values of p on the circle of radius r). Let $w(r)$ be the winding number of $p(r)$.

Properties of w :

- w is constant (if we do not have a root)
- if r is small, $w(r) = 0$ (the curve does not move very much)
- if r is large, $w(r) = n$

But this leads to a contradiction!

Banach-Tarski Paradox

Definition: two sets A and B (that are subsets of 3-space) are “puzzle-equivalent” if $A = A_1 \sqcup \dots \sqcup A_n$ and $B = B_1 \sqcup \dots \sqcup B_n$ (where \sqcup is the disjoint union) and there are isometries T_1, \dots, T_n such that $T_i(A_i) = B_i \forall i$

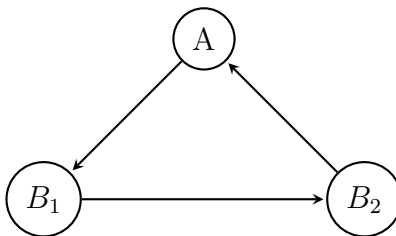
Definition: A is “nice” if A contains a ball and is contained in a ball (heuristically, there is a solid section)

Theorem: assuming the Axiom of Choice, any two nice sets are puzzle equivalent

Proof: Consider the group $\mathbb{Z}/2 \times \mathbb{Z}/3 = \langle a, b \mid a^2 = e, b^4 = e \rangle$ (a subgroup of the symmetries of the infinite trigonal tree)

Let $G = A \sqcup B_1 \sqcup B_2$ be the disjoint union of A (the set of all words that start with a and the empty word), B_1 (the set of all words that start with b), and B_2 (the set that starts with b^2)

Applying left multiplication by b , we get a cycle



This would suggest that A , B_1 , and B_2 are the same size. However, left multiplication

by a gives us

$$A \longrightarrow B_1 \cup B_2 \cup \overline{A}$$

where \overline{A} is some small extra subset of A .

But this means that we can continuous apply a and b to get multiple copies of A . However, this idea is completely unmoored from space. Let's try to put this geometrically.

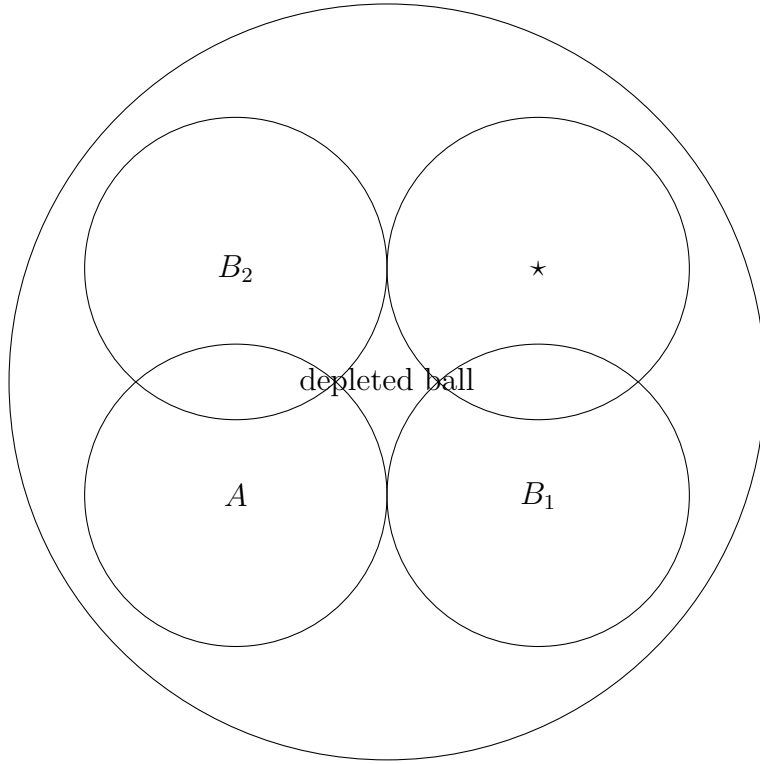
Consider a group action of G on the unit ball β . Let a act by a rotation of order 2 (i.e. 180 degree rotation) and b act by a rotation of order 3 (i.e. 120 degree rotation) on two arbitrary fixed axes.

Now cut out the axis of rotation of every element of G . Now we partition this depleted ball into its orbits and use the Axiom of Choice to pick one element from each (countable) orbit.

Each of those points is collected into a "magic set" M which is a union of points, 1 from each orbit. Since each orbit is countably large, it is functionally a copy of the group so

$$\begin{aligned} A &= A(M) \\ B_1 &= B_1(M) \\ B_2 &= B_2(M) \end{aligned}$$

So the depleted ball now looks like



Thus, using just isometries, we can create one depleted ball into two depleted balls plus some other stuff:

$$\begin{aligned}
 \beta' &= A \sqcup B_1 \sqcup B_2 \\
 &= A \sqcup A \sqcup A \\
 &= B_1 \sqcup B_2 \sqcup B_1 \sqcup B_2 \sqcup B_1 \sqcup B_2 \sqcup \star \\
 &= A \sqcup B_1 \sqcup B_2 \sqcup A \sqcup B_1 \sqcup B_2 \sqcup \star \\
 &= \beta' \sqcup \beta' \sqcup \star
 \end{aligned}$$

But we can also turn a solid ball into a depleted ball and then into many depleted balls!

Rings - Part II

Lecture 21: Nov 28

Motivation

In \mathbb{Z} , things can be uniquely factored into primes (up to ± 1 and order)

In $\mathbb{Z}[\sqrt{5}] = a + b\sqrt{5}$, we have $6 = 2 \cdot 3 = (1 + \sqrt{-5})(1 - \sqrt{-5})$ and these are all irreducible. So numbers can be factored but not uniquely.

In $R = \{a_1 t^{e_1} + \dots + a_n t^{e_n} : a_i \in \mathbb{R}, e_i > 0, n \in \mathbb{N} \cup \{0\}\}$ (the ring of polynomials with real exponents). Notice that t is not a unit in this ring (because $t \cdot t^{-1} = 1$ but $t^{-1} \notin R$). We can factor $t = t^{1/4} \cdot t^{1/4}$ but this can further be factored infinitely.

Definitions

Ideal: an *ideal* is an abelian subgroup of a ring under addition with the absorber property.

Principal Ideals: $aR = \{ar \mid r \in R\}$

PID: R is a PID (principal ideal domain) if all ideals are principal. (We also assume it is an integral domain ($ab = 0 \implies a = 0$ or $b = 0$))

In any integral domain R , there are three kinds of elements:

- *Units:* $u \in R : uv = 1$ for some $v \in R$
- *Non-units*
- 0

Reducible: a non-unit p is *reducible* if $p = ab$, where a, b are non-units.

Irreducible: p is *irreducible* if p is not reducible

Associates: a and b are *associates* if $a = ub$ for some unit u

Remark: being associates is an equivalence relation because

$$a = 1a$$

$$a = ub \implies u^{-1}a = b$$

$$a = ub, b = vc \implies a = uvc$$

UFD: R is a UFD (unique factorization domain) if

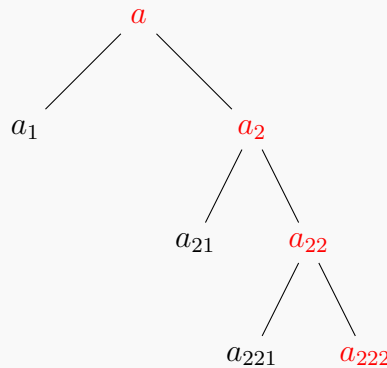
1. every non-unit factors into finitely many irreducibles
2. the factoring is unique up to units and reordering

Theorem

Theorem: If a ring is a PID, it is a UFD

Proof: Call $a \in R$ “bad” if a is a non-unit that does not factor into irreducibles.

Assume a factors. We will denote the bad elements in red.



Which gives an infinite tree of bad elements such that $a_2 \mid a$, $a_{22} \mid a_2$, $a_{222} \mid a_{22}$, etc.

Consider the ideals $I_0 = aR$, $I_1 = a_2R$, $I_2 = a_{22}R$, $I_3 = a_{222}R, \dots$

Clearly,

$$I_0 \subset I_1 \subset I_2 \subset I_3$$

Lemma: $I_k \neq I_{k+1}$

Proof: Refer back to the tree diagram. Suppose $I_1 \subset I_0$ so $a_2 \in aR$. But then

$$a_2 = ac = a_1a_2c = a_2a_1c$$

(by commutativity). We can do cancellation via

$$a_2a_1c = a_2(a_1(-1)) = 0 \implies a_2 \neq 0 \implies a_1(-1) = 0 \implies a_1c = 1$$

Then $a_1c = 1 \implies a_1$ is a unit. But this is a contradiction of a 's badness.

Then,

$$J = \bigcup I_k$$

is also an ideal.

Then because R is a PID,

$$J = cR \quad c \in J \implies c \in I_k$$

for some I_k .

Since I_k is an ideal,

$$rc \in I_k \quad \forall r \in R \implies J = I_k$$

But since J is the union of all the I_k , $I_{k+1} \subset I_k$ but we also have $I_k \subset I_{k+1}$ so $I_k = I_{k+1}$ which is a contradiction.

Lemma: In a PID, if p irreducible and $p \mid ab$, then $p \mid a$ or $p \mid b$

Proof: Look at the ideal $\{mp + na \mid m, n \in R\} = I$. $I = cR$ since R is a PID.

Case 1: c is not a unit.

Then $p = rc$ for some r . Since p is irreducible, r is a unit so

$$a = sc = (sr^{-1})p \implies p \mid a$$

Case 2: c is a unit.

Then

$$Rc = R \implies 1 \in I$$

so $ma + np = 1$ for some $m, n \in R$. We can multiply by b so

$$mab + nbp = b$$

p divides both terms on the left so $p \mid b$. ■

Back to the proof: We assume that

$$a_1 \dots a_n = b_1 \dots b_m$$

so

$$a_1 \mid b_1 \dots b_m$$

After reordering,

$$a_1 \mid b_1 \implies b_1 = ua_1 \implies a_1 \text{ and } b_1 \text{ are associates}$$

Using $b_1 = ua_1$,

$$a_1 a_2 \dots a_n = a_1 (b_2 u) b_3 \dots b_m$$

$$a_2 \dots a_n = (b_2 u) b_3 \dots b_m$$

\vdots

so we can repeat this process until we get $m = n$ and after reordering, a_i and b_i are associates. ■

Lecture 22: Nov 30

Review

Principal Ideal Domain: a ring which is an integral domain and all ideals are principal.

Theorem: If R is a principal ideal domain, it is a unique factorization domain

Remark: $\text{UFD} \not\Rightarrow \text{PID}$

Euclidean Domains

Example 1: the integers \mathbb{Z} is a Euclidean domain with $\sigma(n) = |n|$.

This function has the properties that $\sigma(mn) \geq \sigma(m)$ and that given a, b we can write $a = kb + r$ where $r = 0$ or $\sigma(r) < \sigma(b)$

Example 2: $\mathbb{Q}[x]$ has $\sigma(a_n x^n + \cdots + a_0) = n$ if $a_n \neq 0$ so $\sigma(p) = \deg(p)$

Again, we have $\sigma(mn) \geq \sigma(m)$ and division works via the polynomial division algorithm

Example 3: $\mathbb{Z}[i] = \{a + bi \mid a, b \in \mathbb{Z}\}$ is a Euclidean domain with $\sigma(a + bi) = a^2 + b^2$.

Example 4: $\mathbb{Z}[-\sqrt{5}]$ is **not** a Euclidean domain

Definition: a Euclidean Domain is an integral domain with a size function $\sigma : R \setminus \{0\} \rightarrow \{0, 1, 2, \dots\}$ such that

1.

$$\sigma(mn) \geq \sigma(m) \quad \forall m, n \in R \setminus \{0\}$$

2. Given $a, b \in R - \{0\}$ we can write

$$a = kb + r \quad \begin{cases} r = 0 \text{ OR} \\ \sigma(r) < \sigma(b) \end{cases}$$

Theorem: If a ring is a Euclidean Domain, it is a PID

Proof: Let $I \neq \{0\}$ be an ideal. Since σ is in the integers, there is a notion of a “smallest element.” Let $b \in I$ be an element which minimized σ on I .

Let $a \in I$ be any other element. We have

$$a = kb + r$$

where $r = 0$ or $\sigma(r) < \sigma(b)$. But $r = a - kb \in I$ so $r \in I$. But $\sigma(r) < \sigma(b)$ contradicts minimality of b . So $r = 0$ and $a = kb$. ■

Unique Factorization in $\mathbb{Z}[i]$

3 irreducible

$$5 = (1 + 2i)(1 - 2i) = (2 + i)(2 - i) \quad (\text{same up to associates})$$

7 irreducible

11 irreducible

$$13 = (2 + 3i)(2 - 3i)$$

$$17 = (4 + i)(4 - i)$$

But notice!

n	$n \bmod 4$
3	3
5	1
7	3
11	3
13	1
17	1

Which seems to suggest that p is reducible in $\mathbb{Z}[i]$ if and only if $p \equiv 1 \bmod 4$.

Theorem: if $p \in \mathbb{Z}$ is prime and $p \equiv 1 \bmod 4$, then $p = a^2 + b^2$

Proof: If p is irreducible, then

$$\mathbb{Z}[i]/p\mathbb{Z}[i]$$

would be a field and thus have no zero divisors.

Consider $p = 5$. Then $2^2 \equiv -1 \bmod 5$ and

$$2^2 + 1 \equiv 0 \bmod 5 \implies (2 + i)(2 - i) = 0$$

and this is precisely a zero divisor so p is not irreducible.

Generally, take $(\mathbb{Z}/p)^* = \{1, 2, \dots, \frac{p-1}{2}, -\frac{p-1}{2}, \dots, -1\}$. Because $p \equiv 1 \pmod{4}$, there is an odd number of elements in this set. If we take out 1 and -1 , the positive even half is the same size as the negative even half so the product of all elements except ± 1 is $-1 \pmod{p}$.

Eventually, we get $\alpha(-\alpha) \equiv 1 \pmod{13} \implies \alpha^2 + 1 \equiv 0 \pmod{13} \implies (\alpha + 1)(\alpha - 1) = 0 \blacksquare$

Lecture 23: Dec 05

The Two Square Theorem

Theorem: if p is a prime with $p \equiv 1 \pmod{4}$, then $p = a^2 + b^2$ for some $a, b \in \mathbb{Z}$

Proof:

Lemma: $\alpha^2 + 1 \equiv 0$ for some $\alpha \in \mathbb{Z}/p$

Proof:

1. the product of all elements in $(\mathbb{Z}/p)^* = -1$

2.

$$(1 \cdot 2 \cdots \frac{p-1}{2})(-1 \cdot -2 \cdots -\frac{p-1}{2}) = -1$$

3.

$$\underbrace{(2 \cdots \frac{p-1}{2})}_{\alpha} \underbrace{(-2 \cdots -\frac{p-1}{2})}_{\alpha} = 1$$

So $(\alpha)(-\alpha) = 1 \implies \alpha^2 = -1$ in \mathbb{Z}/p . \blacksquare

Let $R = \mathbb{Z}[i]$. R is a Euclidean domain so it is a PID.

Consider R/pR . It has zero divisors:

$$(\alpha + i)(\alpha - i) = \alpha^2 + 1 \equiv 0 \pmod{p}$$

Thus, R/pR is not a field, so pR is not maximal. Thus, there is some ideal I such that

$$pR \subsetneq I \subsetneq R$$

so

$$pR \subseteq cR \subseteq R$$

Since it is a subset, $p \in cR$ so $p = cd$ for some $d \in R$. But then

$$p^2 = \sigma(p) = \sigma(cd) = \sigma(c)\sigma(d)$$

where $\sigma(\alpha) = |\alpha|^2$

So we have two cases:

1. $\sigma(c) = 1 \implies c$ is a unit so $cR = R$ (Contradiction)
2. $\sigma(c) = p^2 \implies \sigma(d) = 1 \implies d$ is a unit so $cR = pR$ (Contradiction)

Therefore, $\sigma(c) = p$. By definition of σ , $c = a + bi$ so $p = a^2 + b^2$. ■

The Four Square Theorem

Theorem: Every positive integer is the sum of four squares

Proof: Let $N(q) = N(a + bi + cj + dk) = a^2 + b^2 + c^2 + d^2 = q\bar{q}$.

Generally for quaternions, we have that $\overline{qr} = (\bar{r})(\bar{q})$ so

$$N(qr) = qr \overline{qr} = qr \bar{r} \bar{q} = qN(r) \bar{q} = q\bar{q}N(r) = N(q)N(r)$$

Since the theorem is equivalent to saying that every integer is the norm of an integer quaternion, this factoring property means that it is sufficient to prove the theorem for odd primes (as everything else can just be multiplied up and we know that $2 = 1^2 + 1^2 + 0^2 + 0^2$)

Lemma: for p an odd prime $\exists \alpha, \beta : 1 + \alpha^2 + \beta^2 \equiv 0 \pmod{p}$.

Proof: Consider $A = \{\alpha^2 \pmod{p}\}$ and $B = \{-1 - \beta^2 \pmod{p}\}$. Both sets have $\frac{p+1}{2}$ elements (which is more than $p/2$) so they must intersect. Thus, there exists α, β for which $\alpha^2 = -1 - \beta^2 \pmod{p}$. Rearranging gives $1 + \alpha^2 + \beta^2 \equiv 0 \pmod{p}$. ■

We now introduce the **Hureuicz quaternions** where

$$\begin{cases} a, b, c, d \in \mathbb{Z} \\ a, b, c, d \text{ are odd half-integers} \end{cases}$$

whose units are the expected $\pm 1, \pm i, \pm j, \pm k$ but also $\frac{1}{2} + \frac{1}{2}i + \frac{1}{2}j + \frac{1}{2}k$ and all possible permutations of signs of that one (notice the norm is 1).

It is relatively easy to prove that these are a ring but it is not a commutative ring, so it is not a Euclidean domain. However, it is a *Left Euclidean Domain*. Though we will not prove it, this does imply that it is also a left principal idea.

Consider now R/Rp . It has zero divisors $-(1 + ai + bj)(1 - ai - bi) \equiv 0 \pmod{p}$ – so it is not a *division ring* (non-commutative field) and thus Rp is not a maximal ideal:

$$Rp \subsetneq I \subsetneq R$$

I is an ideal so it is also a left ideal so $I = Rc$ for some $c \in R$.

Similar argument to the two square theorem shows that $p = N(\alpha)$ for $\alpha \in R$.

Thus, we have successfully showed that every integer is the norm of a Hureuicz quaternion. All that remains is to show that it works for integer quaternions.

Notice

$$p = N(a) = N(a\omega)$$

for any unit ω . We pick ω so $\omega + \alpha$ is all even integers.

For example, if $\alpha = \frac{3}{2} - \frac{7}{2}i + \frac{5}{2}j + \frac{13}{2}k$, we can choose $\omega = \frac{1}{2} - \frac{1}{2}i - \frac{1}{2}j - \frac{1}{2}k$ so

$$\alpha + \omega = 4 - 4i + 2j + 6k$$

Then we can use an orthonormal matrix on one of the quaternions

$$\frac{1}{2} \begin{pmatrix} 1 & 1 & 1 & 1 \\ 1 & 1 & -1 & -1 \\ 1 & -1 & 1 & -1 \\ 1 & -1 & -1 & 1 \end{pmatrix} \begin{pmatrix} a/2 \\ b/2 \\ c/2 \\ d/2 \end{pmatrix} = \begin{pmatrix} \frac{1}{4}(a+b+c+d) = \alpha \\ \frac{1}{4}(a+b-c-d) = \beta \\ \frac{1}{4}(a-b+c-d) = \gamma \\ \frac{1}{4}(a-b-c+d) = \delta \end{pmatrix}$$

So all the entries are divisible by 4. Thus,

$$p = N(\alpha + \beta i + \gamma j + \delta k)$$

and we have any prime as the norm of an integer quaternion. ■

Fields - Part II

Lecture 24: Dec 07

Theorem: If p is prime and $n \geq 1$, there exists a field of order p^n

Proof 1 (Calculus): We generalize the derivative to $F[x]$:

$$\frac{d}{dx}(a_0 + a_1x + \cdots + a_nx^n) := a_1 + 2a_2x + 3a_3x^2 + \cdots + na_nx^{n-1}$$

$$\frac{d}{dx}(f + g) := \frac{df}{dx} + \frac{dg}{dx}$$

$$\frac{d}{dx}(fg) := f\frac{dg}{dx} + g\frac{df}{dx}$$

Now suppose we have $p(x) \in F[x]$ and it factors completely so we can write

$$p(x) = (x - a_1) \cdots (x - a_n) = (x - a_1)q_0(x)$$

If a_1 is a multiple root, we can use the product rule and take

$$\frac{dp}{dx} = (x - a_1)^2q_1(x) + 2(x - a_1)q_2(x) = (x - a_1)q_3(x)$$

(where each of the q s are just the product of the rest of the terms)

Thus, if p has a multiple root, then p and $\frac{dp}{dx}$ have a common factor. Thud, p is not a unit.

Example: $p(x) = x^p - x$, $\frac{dp}{dx} = px^{p-1} - 1 = -1 \implies p$ has p distinct roots in F .

Now we take the polynomial $p(x) = x^{p^n} - x \in \mathbb{Z}/p[x]$. There exists a field K that has all roots of p . We also know $\frac{dp}{dx} = p^n x^{p^n-1} - 1 = -1$ so p has p^n distinct roots.

Let $F \subset K$ be the union of all roots. We know:

1. $|F| = p^n$
2. $0 \in F$ and $1 \in F$
3. $a, b \in F \implies ab \in F$ because $(ab)^{p^n} = a^{p^n} b^{p^n} = (-a)(-b) = ab$
4. $a \in F \implies -a \in F$ because $(-a)^{p^n} = -a^{p^n} = -(-a) = a$
5. $a \in F \implies a^{-1} \in F$ because $(a^{-1})^{p^n} \cdot a^{p^n} = a^{-1} \cdot a = 1$
6. $(a+b)^p = a^p + \binom{p}{1}a^{p-1}b + \binom{p}{2}a^{p-2}b^2 + \cdots + \binom{p}{p-1}ab^{p-1} + b^p = a^p + b^p$
because $p \mid \binom{p}{k}$ for $1 \leq k \leq p-1$. So $a, b \in F \implies (a+b)^{p^n} = a^{p^n} + b^{p^n} = a + b \in F$.

Thus, F is a field of order p^n . ■