

# Math 1820A Spring 2024 - Homework 6

**Instructions:** This assignment is worth twenty points. Please complete the following problems assigned below. Submissions with insufficient explanation may lose points due to a lack of reasoning or clarity. If you are handwriting your work, please ensure it is readable and well-formatted for the grader.

Be sure when uploading your work to **assign problems to pages**. Problems with pages not assigned to them **may not be graded**.

## Textbook Problems:

**Additional Problems:** For these problems if you see an  $S$  in front of a group, you can assume it means determinant 1, e.g. all elements of  $SO(3, \mathbb{R})$  and  $SO(2, 1)$  have determinant 1.

1. Let  $\mathfrak{n}, \mathfrak{h}$ , and  $\mathfrak{g}$  be Lie-algebras that fit into the short exact sequence  $\mathfrak{n} \longrightarrow \mathfrak{g} \longrightarrow \mathfrak{h}$ . Finish our proof in class that  $\mathfrak{g}$  is solvable if and only if both  $\mathfrak{n}$  and  $\mathfrak{h}$  are solvable by showing if both  $\mathfrak{n}$  and  $\mathfrak{h}$  are solvable, so is  $\mathfrak{g}$ .

Suppose both  $\mathfrak{n}$  and  $\mathfrak{h}$  are solvable. Then we have that

$$1 = \mathfrak{n}_k \triangleleft \mathfrak{n}_{k-1} \triangleleft \cdots \triangleleft \mathfrak{n}_1 \triangleleft \mathfrak{n}$$

and

$$1 = \mathfrak{h}_m \triangleleft \mathfrak{h}_{m-1} \triangleleft \cdots \triangleleft \mathfrak{h}_1 \triangleleft \mathfrak{h}$$

where  $\mathfrak{n}_i/\mathfrak{n}_{i+1}$  and  $\mathfrak{h}_j/\mathfrak{h}_{j+1}$  are abelian.

Since we have the short exact sequence, we can say

$$\mathfrak{n}/[\mathfrak{n}, \mathfrak{n}] \hookrightarrow \mathfrak{g}/[\mathfrak{g}, \mathfrak{g}] \twoheadrightarrow \mathfrak{h}/[\mathfrak{h}, \mathfrak{h}]$$

and since  $\mathfrak{n}/[\mathfrak{n}, \mathfrak{n}]$  and  $\mathfrak{h}/[\mathfrak{h}, \mathfrak{h}]$  are abelian, the commutator subgroups fit into the derived sequences above.

Thus denote  $\mathfrak{g}_{n+1} = \mathfrak{g}_n/[\mathfrak{g}_n, \mathfrak{g}_n]$ . Then we have that

$$\begin{array}{ccccc} \mathfrak{n} & \hookrightarrow & \mathfrak{g} & \twoheadrightarrow & \mathfrak{h} \\ \downarrow & & \downarrow & & \downarrow \\ \mathfrak{n}_1 & \hookrightarrow & \mathfrak{g}_1 & \twoheadrightarrow & \mathfrak{h}_1 \\ \vdots & & \vdots & & \vdots \\ \mathfrak{n}_n & \hookrightarrow & \mathfrak{g}_n & \twoheadrightarrow & \mathfrak{h}_n \end{array}$$

However, since  $\mathfrak{n}$  and  $\mathfrak{h}$  are solvable, we have that  $\mathfrak{n}_n = 0 = \mathfrak{h}_n$  for  $n \geq \max\{k, m\}$ . Then we have that

$$0 \hookrightarrow \mathfrak{g}_n \twoheadrightarrow 0$$

so  $\mathfrak{g}_n = 0$  and  $\mathfrak{g}$  is solvable. ■

2. A *derivation* of a Lie-algebra  $\mathfrak{n}$  is any linear map  $D : \mathfrak{n} \longrightarrow \mathfrak{n}$  satisfying  $D[X, Y] = [DX, Y] + [X, DY]$  for all  $X, Y \in \mathfrak{n}$ . For example,  $\text{ad}_X(Y) := [X, Y]$  is a derivation for any  $X \in \mathfrak{n}$ . A *representation* of a Lie-algebra  $\mathfrak{h}$  into  $\mathfrak{n}$  is a Lie-algebra homomorphism  $\phi : \mathfrak{h} \longrightarrow \mathfrak{gl}(\mathfrak{n})$  where  $\mathfrak{gl}(\mathfrak{n})$  denotes the Lie-algebra of all derivations. The Lie bracket on  $\mathfrak{gl}(\mathfrak{n})$  is the difference of function composition:  $[A, B] := A \circ B - B \circ A$ .

Let  $H$  and  $N$  be groups and  $\Phi : H \longrightarrow \text{Aut}(N)$  be a homomorphism. Use this to construct a representation of a Lie-algebra of  $\mathfrak{h}$  into  $\mathfrak{gl}(\mathfrak{n})$ .

Since  $\Phi : H \rightarrow \text{Aut}(N)$ ,  $h \mapsto \{\psi(g) : N \hookrightarrow N\}$  is a lie group homomorphism, we can calculate the lie algebra homomorphism  $\phi : \mathfrak{h} \rightarrow \mathfrak{gl}(\mathfrak{n})$  by

$$\phi(X) = \left. \frac{d}{dt} \right|_{t=0} \Phi(e^{tX})$$

and  $\left. \frac{d}{dt} \right|_{t=0} \Phi(e^{tX})$  is an endomorphism  $\mathfrak{n} \rightarrow \mathfrak{n}$  so  $\phi$  is a lie algebra homomorphism. ■

3. Let  $\mathfrak{h}$  and  $\mathfrak{n}$  be Lie-algebras, and  $\phi : \mathfrak{h} \longrightarrow \mathfrak{gl}(\mathfrak{n})$  be a Lie-algebra representation. Consider the *vector space homomorphisms*  $i_{\mathfrak{n}} : \mathfrak{n} \longrightarrow \mathfrak{n} \oplus \mathfrak{h}$  and  $i_{\mathfrak{h}} : \mathfrak{h} \longrightarrow \mathfrak{n} \oplus \mathfrak{h}$  defined by the embeddings

$$i_{\mathfrak{n}}(X) = (X, 0) \text{ and } i_{\mathfrak{h}}(Y) = (0, Y)$$

Prove there exists a unique *Lie-algebra* on  $\mathfrak{n} \oplus \mathfrak{h}$  for which both  $i_{\mathfrak{n}}$  and  $i_{\mathfrak{h}}$  are Lie-algebra homomorphisms and  $[(0, Y), (X, 0)] := \phi(Y)(X)$  for all  $X \in \mathfrak{n}$  and  $Y \in \mathfrak{h}$ . We denote this Lie-algebra by  $\mathfrak{n} \oplus_{\phi} \mathfrak{h}$  and call it the semi-direct product of  $\mathfrak{n}$  and  $\mathfrak{h}$  over  $\phi$ . With this Lie-algebra equipped on  $\mathfrak{n} \oplus \mathfrak{h}$ , prove that the sequence of Lie-algebras in Equation 1 is split-exact.

$$\mathfrak{n} \xrightarrow{i_{\mathfrak{n}}} \mathfrak{n} \oplus_{\phi} \mathfrak{h} \xrightarrow{p} \mathfrak{h} \tag{1}$$

where  $p : \mathfrak{n} \oplus_{\phi} \mathfrak{h} \longrightarrow \mathfrak{h}$  denotes projection  $p(X, Y) = Y$

To be lie algebra homomorphisms, the vector space homomorphisms must satisfy

$$\psi([X, Y]) = [\psi(X), \psi(Y)]$$

for all  $X$  and  $Y$ .

This introduces the conditions,

$$i_{\mathfrak{n}}([X, Y]) = [i_{\mathfrak{n}}(X), i_{\mathfrak{n}}(Y)] \implies ([X, Y], 0) = [(X, 0), (Y, 0)]$$

and

$$i_{\mathfrak{h}}([X, Y]) = [i_{\mathfrak{h}}(X), i_{\mathfrak{h}}(Y)] \implies (0, [X, Y]) = [(0, X), (0, Y)]$$

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Clearly,  $\text{im } i_{\mathfrak{n}} = (X, 0) = \ker p$  and further,  $p$  admits the section  $\sigma = i_{\mathfrak{h}}$ :

$$p(i_{\mathfrak{h}}(Y)) = p(0, Y) = Y$$

so the sequence is split exact.

4. Let  $\mathfrak{g}$  be a Lie-algebra and assume one can find an *ideal*  $\mathfrak{n} \leq \mathfrak{g}$  and a *sub-algebra*  $\mathfrak{h} \leq \mathfrak{g}$  for which  $\mathfrak{g} = \mathfrak{n} \oplus \mathfrak{h}$  as *vector spaces*. Prove that  $\mathfrak{g}$  is isomorphic to a semi-direct product of  $\mathfrak{n}$  and  $\mathfrak{h}$ . Exhibit such an  $\mathfrak{n}$  and  $\mathfrak{h}$  in the case where  $\mathfrak{g} = \mathfrak{i}$  is the Lie-algebra of  $\text{Isom}^+(\mathbb{R}^2)$ .

$$\mathfrak{i} = \left\{ \left( \begin{array}{ccc} 0 & -z & x \\ z & 0 & y \\ 0 & 0 & 0 \end{array} \right) \mid x, y, z \in \mathbb{R} \right\}$$

We know that  $\mathfrak{g} = \mathfrak{n} \oplus \mathfrak{h}$  as vector spaces. To show that  $\mathfrak{g} \simeq \mathfrak{n} \rtimes_{\phi} \mathfrak{h}$ , it suffices to show that there exists a split exact sequence

$$\mathfrak{n} \hookrightarrow \mathfrak{g} \twoheadrightarrow \mathfrak{h}$$

Since  $\mathfrak{n}$  is an ideal of  $\mathfrak{g}$ , we have the inclusion map  $i_{\mathfrak{n}} : \mathfrak{n} \hookrightarrow \mathfrak{g}$ . For the projection, it suffices to define  $\mathfrak{h} = \mathfrak{g}/\mathfrak{n}$  and  $p : \mathfrak{g} \rightarrow \mathfrak{h}$  as the quotient map. Then, as normal, the sequence is split exact.

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In HW 3, we proved that  $\text{Isom}^+(\mathbb{R}^2) \simeq \mathbb{R}^2 \rtimes_{\phi} \mathbb{R}$  for  $\phi : \mathbb{R} \rightarrow \text{Aut}(\mathbb{R}^2)$  by the map  $\phi(t) = \begin{pmatrix} \cos t & -\sin t \\ \sin t & \cos t \end{pmatrix}$ .

Therefore, as a vector space  $\mathfrak{i} = \mathbb{R}^2 \oplus \mathbb{R}$  so we can take  $\mathfrak{n} = \mathbb{R}^2$  and  $\mathfrak{h} = \mathbb{R}$  and from Part 1, we have that  $\mathfrak{i} \simeq \mathbb{R}^2 \rtimes \mathbb{R}$ . ■

5. Use the Killing form to distinguish  $\mathfrak{i}$  and  $\mathfrak{e}(1, 1)$  as Lie-algebras, where  $\mathfrak{e}(1, 1)$  is the Lie-algebra

$$\mathfrak{e}(1, 1) = \left\{ \begin{pmatrix} 0 & z & x \\ z & 0 & y \\ 0 & 0 & 0 \end{pmatrix} \mid x, y, z \in \mathbb{R} \right\}$$

In class, we calculated the Killing form of  $\mathfrak{e}(1, 1)$  with lie algebra  $[X, Y] = 0$ ,  $[Z, X] = Y$ ,  $[Z, Y] = X$  as

$$\begin{pmatrix} \langle X, X \rangle & \langle X, Y \rangle & \langle X, Z \rangle \\ & \langle Y, Y \rangle & \langle Y, Z \rangle \\ & & \langle Z, Z \rangle \end{pmatrix} = \begin{pmatrix} 0 & 0 & 0 \\ & 0 & 0 \\ & & 2 \end{pmatrix}$$

By similar process, we can calculate the Killing form of  $\mathfrak{i}$ .

Let

$$Z = \begin{pmatrix} 0 & -1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, Y = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix}, X = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

so that  $[X, Y] = 0$ ,  $[Z, X] = Y$ ,  $[Z, Y] = -X$ .

Then,  $\mathfrak{g}^1 = [\mathfrak{g}, \mathfrak{g}] = \langle X, Y \rangle$ ,  $\mathfrak{g}^2 = [\mathfrak{g}^1, \mathfrak{g}^1] = \langle -X, Y \rangle = 0$  so  $\mathfrak{g}$  is solvable.

Thus,

$$B(X, X) = \text{tr}(\text{ad}_X \circ \text{ad}_X) = \text{tr}(\underbrace{[X, \cdot]}_{\in \mathfrak{g}^1}, \underbrace{[X, \cdot]}_{\in \mathfrak{g}^1}) = \text{tr} 0 = 0$$

$$B(X, Y) = \text{tr}(\underbrace{[X, \cdot]}_{\in \mathfrak{g}^1}, \underbrace{[Y, \cdot]}_{\in \mathfrak{g}^1}) = \text{tr} 0 = 0$$

$$B(X, Z) = \text{tr}([X, [Z, \cdot]]) = \text{tr}([X, \langle -X, Y \rangle]) = 0$$

$$B(Y, Y) = \text{tr}([Y, [Y, \cdot]]) = \text{tr}([Y, \langle X \rangle]) = 0$$

$$B(Y, Z) = \text{tr}([Y, [Z, \cdot]]) = \text{tr}([Y, \langle Y, -X \rangle]) = 0$$

$$B(Z, Z) = \text{tr}([Z, [Z, \cdot]]) = \text{tr}([Z, \langle -X, Y \rangle]) = \text{tr}(\langle -X, -Y \rangle) = -2$$

Therefore, the signature of the Killing form of  $\mathfrak{i}$  is  $(0, 0, -)$  but the signature of the Killing form of  $\mathfrak{e}(1, 1)$  is  $(0, 0, +)$  so they are not isomorphic. ■

6. Prove that  $\mathfrak{g}$  is solvable if and only if there exists a sequence of subalgebras

$$0 = \mathfrak{h}_n < \mathfrak{h}_{n-1} < \dots < \mathfrak{h}_1 < \mathfrak{h}_0 = \mathfrak{g} \quad (2)$$

such that each  $\mathfrak{h}_{i+1}$  is an ideal of  $\mathfrak{h}_i$  and each  $\mathfrak{h}_i/\mathfrak{h}_{i+1}$  is abelian.

Suppose  $\mathfrak{g}$  is solvable. Then, denote

$$\mathfrak{h}_n := [\mathfrak{h}^{n-1}, \mathfrak{h}^{n-1}]$$

so  $\mathfrak{h}_0 = \mathfrak{g}$  and  $\mathfrak{h}_n = 0$  for some  $n$ .

Then,  $\mathfrak{h}_{i+1}$  is an ideal of  $\mathfrak{h}_i$  since

$$[\mathfrak{h}_{i+1}, \mathfrak{h}_i] = [[\mathfrak{h}_i, \mathfrak{h}_i], \mathfrak{h}_i] \subset [\mathfrak{h}_i, \mathfrak{h}_i] \subset \mathfrak{h}_i$$

Further,  $\mathfrak{h}_i/\mathfrak{h}_{i+1}$  is in fact the abelianization of  $\mathfrak{h}_i$  since  $\mathfrak{h}_{i+1} = [\mathfrak{h}_i, \mathfrak{h}_i]$  so we have a sequence of subalgebras satisfying the conditions.

Conversely, suppose we have a sequence of subalgebras satisfying the conditions and let  $\mathfrak{h}_0 = \mathfrak{g}$  and  $\mathfrak{h}_n = 0$  for some  $n$ . Then, since each  $\mathfrak{h}_{i+1}$  is an ideal of  $\mathfrak{h}_i$  we can take quotients. Now we can induct on  $n$ : since  $[\mathfrak{h}_n, \mathfrak{h}_n] = 0$  we know that  $\mathfrak{h}_n$  is solvable.

Suppose  $\mathfrak{h}_i$  is solvable. Then  $\mathfrak{h}_i \subset \mathfrak{h}_{i-1}$  and

$$\mathfrak{h}_i \hookrightarrow \mathfrak{h}_{i-1} \twoheadrightarrow \mathfrak{h}_{i-1}/\mathfrak{h}_i$$

since  $\mathfrak{h}_{i-1}/\mathfrak{h}_i$  is abelian, it is nilpotent. Since it is nilpotent, it is solvable. Then since  $\mathfrak{h}_i$  and  $\mathfrak{h}_{i-1}/\mathfrak{h}_i$  are solvable,  $\mathfrak{h}_{i-1}$  is solvable. Therefore,  $\mathfrak{h}_0 = \mathfrak{g}$  is solvable. ■

7. Prove that the sum of solvable ideals is solvable. (Hint: Use the 2nd isomorphism theorem for Lie-algebras which states that for two ideals  $\mathfrak{a}, \mathfrak{b} \leq \mathfrak{g}$  one has that  $(\mathfrak{a} + \mathfrak{b})/\mathfrak{a} \simeq \mathfrak{b}/(\mathfrak{a} \cap \mathfrak{b})$ ). Use this to prove that there exists a *unique* maximal solvable ideal inside a finite dimensional Lie-algebra. We call this maximal ideal the *radical* of  $\mathfrak{g}$ , and is frequently denoted  $\text{rad } \mathfrak{g}$ . Prove that  $\mathfrak{g}/\text{rad } \mathfrak{g}$  is semi-simple.

Let  $\mathfrak{a}, \mathfrak{b} \subseteq \mathfrak{g}$  be solvable ideals.

We can create the short exact sequence by the standard inclusion and quotient maps:

$$\mathfrak{a} \hookrightarrow \mathfrak{a} + \mathfrak{b} \twoheadrightarrow (\mathfrak{a} + \mathfrak{b})/\mathfrak{a}$$

Then,  $\mathfrak{a} + \mathfrak{b}$  is solvable if and only if  $\mathfrak{a}$  and  $(\mathfrak{a} + \mathfrak{b})/\mathfrak{a}$  are solvable. We already have that  $\mathfrak{a}$  is solvable so it suffices to show that  $(\mathfrak{a} + \mathfrak{b})/\mathfrak{a}$  is solvable.

By the 2nd isomorphism theorem,  $(\mathfrak{a} + \mathfrak{b})/\mathfrak{a} \simeq \mathfrak{b}/(\mathfrak{a} \cap \mathfrak{b})$  so we just need to show that  $\mathfrak{b}/(\mathfrak{a} \cap \mathfrak{b})$  is solvable. Trivially,  $\mathfrak{b} \cap \mathfrak{a}$  is a subgroup of  $\mathfrak{b}$  so it is solvable since  $\mathfrak{b}$  is solvable.

Therefore,  $\mathfrak{a} + \mathfrak{b}$  is solvable. ■

Now consider the sum of all solvable ideals  $M$  in  $\mathfrak{g}$ . By the above, this is a solvable ideal. Further, this sum is maximal since for any solvable ideal  $\mathfrak{a} \subset \mathfrak{g}$ ,  $\mathfrak{a} \subset M$ . Finally, this maximal ideal is unique since if  $M$  and  $N$  are maximal solvable ideals, then  $M + N$  is a solvable ideal containing both  $M$  and  $N$  so  $M + N = M = N$ .

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Let  $\text{rad } \mathfrak{g}$  be the unique maximal solvable ideal in  $\mathfrak{g}$ . We seek to show that  $\mathfrak{g}/\text{rad } \mathfrak{g}$  is semi-simple.

By definition, a lie algebra is semi-simple if it has no non-zero solvable ideals. Assume  $\mathfrak{g}/\text{rad } \mathfrak{g}$  contains a non-zero solvable ideal  $A$ . Then consider the pre-image of  $A$  under the quotient map  $\pi : \mathfrak{g} \rightarrow \mathfrak{g}/\text{rad } \mathfrak{g}$ .  $\pi^{-1}(A)$  is a solvable ideal of  $\mathfrak{g}$  since

$$A = \pi^{-1}(A)/\text{rad } \mathfrak{g}$$

and we have that  $A$  and  $\text{rad } \mathfrak{g}$  are solvable. But then by uniqueness and maximality of  $\text{rad } \mathfrak{g}$ ,  $\pi^{-1}(A) \subseteq \text{rad } \mathfrak{g}$  so  $\pi^{-1}(A) = \text{rad } \mathfrak{g} \implies A = \text{rad } \mathfrak{g}/\text{rad } \mathfrak{g} = 0$ . This is a contradiction so  $\mathfrak{g}/\text{rad } \mathfrak{g}$  is semi-simple. ■

**Bonus:** [4 pts] Let  $B_4$  denote the  $4 \times 4$ -upper triangular matrices with 1's along the main diagonal. Express  $G = B_4/Z(B_4)$  as the semi-direct product familiar groups and obtain a faithful representation of  $G \longrightarrow \text{Aut}(\mathbb{R}^n)$  for some sufficiently large  $n$ .