# Math 1820A: Lie Algebras - Final Exam Review

# 1 Lecture Notes

Killing form:  $B(X,Y) = \operatorname{tr}(\operatorname{ad}_X \circ \operatorname{ad}_Y)$  is a symmetric bilinear form on  $\mathfrak{g}$  which is Ad-invariant, i.e.

$$B(\mathrm{Ad}_q X, \mathrm{Ad}_q Y) = B(gXg^{-1}, gYg^{-1}) = B(X, Y)$$

Cartan's Criterion for Semisimplicity: a lie algebra is semi-simple (has no non-zero solvable ideals) iff its Killing form is non-degenerate (i.e. B(X,Y) = 0 for all  $Y \in \mathfrak{g}$  or the signature has no zeros)

# Solvability

A lie algebra is **solvable** iff the derived series terminates:

$$\mathfrak{g}^0 = \mathfrak{g}, \quad \mathfrak{g}^{n+1} = [\mathfrak{g}^n, \mathfrak{g}^n]$$

- $\mathfrak{e}(1,1)$  is solvable
- $\mathfrak{sl}(2,\mathbb{R})$  is not solvable

A group is solvable iff there exists a composition series

$$1 = G_n \triangleleft G_{n-1} \triangleleft \cdots \triangleleft G_1 \triangleleft G_0 = G$$

where  $G_i/G_{i+1}$  is abelian.

Lemmas:

- Every abelian group is solvable
- If  $H \subseteq G$  and G is solvable, then H is solvable
- If G is solvable and  $\phi: G \twoheadrightarrow H$  then H is solvable
- If  $N \hookrightarrow G \twoheadrightarrow H$ , G is solvable iff N and H are solvable

Levi Decomposition: Every finite-dimensional lie algebra fits into a split exact sequence

$$\mathfrak{h} \hookrightarrow \mathfrak{g} \twoheadrightarrow \mathfrak{g}/\mathfrak{h}$$

where  ${\mathfrak h}$  is the unique maximal solvable ideal and  ${\mathfrak g}/{\mathfrak h}$  is semi-simple.

Maximal Solvable Ideal: Rad( $\mathfrak{g}$ ), the *maximal solvable ideal* is defined as expected by inclusion using the fact that  $\mathfrak{a}$ ,  $\mathfrak{b}$  solvable implies  $\mathfrak{a} + \mathfrak{b}$  is solvable.

# Nilpotency

A group is **nilpotent** iff the lower central series terminates:

$$G_0 = G, \quad G_{n+1} = [G, G_n]$$

equivalently,

$$1 = G_n \triangleleft G_{n-1} \triangleleft \cdots \triangleleft G_1 \triangleleft G_0 = G$$

where  $G_i \triangleleft G$  and the quotients  $G_k/G_{k+1}$  are central:

$$G_k/G_{k+1} \subseteq Z(G/G_{k+1})$$

(this is a much stronger statement than that quotients are abelian!)

Further, up to diffeomorphism, each of the quotients is of the form  $\mathbb{R}^k$ 

Lemmas:

- Nilpotent implies solvable
- Each  $G_n \triangleleft G$  and  $G_{i+1} \triangleleft G_i$
- G nilpotent  $\implies$  the Killing form of G is identically zero

Commutator:  $[x, y] = xyx^{-1}y^{-1} \in G$  is the *commutator* of x, y.

The commutator subgroup [G,G] is the subgroup generated by all commutators

$$[G,G] = \{[x,y] : x,y \in G\}$$

**Abelianization**  $G^{ab} = G/[G, G]$ , the abelianization of G is the largest abelian quotient of G.

Jordan-Holder Theorem: For finite groups, a composition series with "nice" quotients always exists

- Ascending sequence:  $1 = G_0 \triangleleft G_1 \triangleleft \cdots \triangleleft G_{n-1} \triangleleft G_n = G$
- Descending sequence:  $1 \triangleleft \cdots \triangleleft G_2 \triangleleft G_1 \triangleleft G$

Notice, we can write the series of extensions of a nilpotent group as either an ascending or descending series of normal subgroups.

The lower central series gives the descending sequence

$$1 = G_n \triangleleft G_{n-1} \triangleleft \cdots \triangleleft G_1 \triangleleft G_0 = G$$

with central quotients.

However, we can also write G as a series of central extensions using the upper central series

$$1 = G_0 \triangleleft G_1 \triangleleft \cdots \triangleleft G_{n-1} \triangleleft G_n = G$$

such that as the generalized centers converge to G, the quotient subgroups converge to 0

Intuitively, the lower central series yields the largest central quotient while the upper central series yields the largest central subgroup. (Of course, is simply  $G_1 = Z(G)$ )

We say a nilpotent group is k-steps if we need k extensions of G for the series to terminate.

**Theorem:** Let G be a connected lie group. Then G is a nilpotent group iff  $\mathfrak{g}$  is a nilpotent lie algebra. Further,  $\mathfrak{g}$  is solvable iff G is solvable.

Recall that if  $\mathfrak{n} \hookrightarrow \mathfrak{g} \twoheadrightarrow \mathfrak{h}$ ,  $\mathfrak{g}$  is solvable iff  $\mathfrak{n}$  and  $\mathfrak{h}$  are solvable.

However, this is *not* true for nilpotency: consider  $\mathbb{R} \hookrightarrow \mathfrak{aff}(1,\mathbb{R}) \twoheadrightarrow \mathbb{R}$ 

Claim: The upper triangular matrices  $U_n$  are solvable

### Representations

**Representation:** a representation of a group into a vector space V is a homomorphism

$$\Phi: \begin{array}{ll} G \to \operatorname{Aut}(V) \\ g \mapsto \{\Phi(g) : V \hookrightarrow V\} \end{array}$$

where  $\Phi(g)(v)$  is another vector in V.

Differentiating, we have

$$\phi: \begin{array}{l} \mathfrak{g} \to \mathfrak{gl}(V) \\ X \mapsto \frac{d}{dt} \Big|_{t=0} \Phi(e^{tX}) \end{array}$$

which is a lie-algebra homomorphism  $(\phi([X,Y]) = [\phi(X), \phi(Y)])$ 

Ado's Theorem: Let  $\mathfrak{g}$  be a lie algebra. Then there exists a sufficiently large vector space V such that  $\mathfrak{g} \hookrightarrow \mathfrak{gl}(V)$ 

**Fact:** If G is connected,  $\exp(\mathfrak{g})$  generates G as a group, i.e.  $\forall g \in G$ ,

$$g = \exp(x_1) \exp(x_2) \cdots \exp(x_n)$$

for some  $x_i \in \mathfrak{g}$ 

**Lie's Theorem:** Let  $\mathfrak{g}$  be a solvable lie algebra and let  $\pi : \mathfrak{g} \to \mathfrak{gl}(V)$  be a representation of  $\mathfrak{g}$  with real eigenvalues for all  $\pi(X)$ ,  $X \in \mathfrak{g}$ . Then there exists a  $v \neq 0 \in V$  such that v is an eigenvector of  $\pi(X)$  for all  $X \in \mathfrak{g}$ 

Corollary: In the same context as the theorem, there exists a sequence of subspaces

$$0 = V \subset V_m \subset V_{m-1} \subset \cdots \subset V_0 = V$$

such that  $V_i$  is stable under  $\pi(X) \in \mathfrak{gl}(V)$  and each  $V_i$  is codimension 1 in the next.

Equivalently, there exists a basis for V for which  $(\pi(X))_{\beta}$  is upper triangular for all  $X \in \mathfrak{g}$ .

**Conclusion:** If we have a solvable lie algebra, then it is embeddable in the upper triangular lie-algebras (with sufficiently many eigenvalues). The upper triangular lie algebras are the only ones.

**Proposition:** Let  $\pi : \mathfrak{g} \to \mathfrak{gl}(V)$  be a representation of a nilpotent lie algebra  $\mathfrak{g}$ . Then each operator  $\pi(X)$  with  $X \in \mathfrak{g}$  is nilpotent.

**Engel's Theorem:** Let  $\pi : \mathfrak{g} \to \mathfrak{gl}(V)$  be a representation such that each  $\pi(X)$  is nilpotent (vanishes under powers). Then  $\pi(\mathfrak{g})$  is a nilpotent lie-algebra (lower central series converges).

# The Quaternions

The Unit Quaternions:

$$Q_8 = \{1, i, j, k \mid i^2 = j^2 = k^2 = -1, ij = k, jk = i, ki = j\}$$

is a group of order 8 with  $Z(Q_8) = \{1, -1\}$ 

The General Quaternion Group (Hamiltonians):

$$\mathbb{H} = \{ a + b\widehat{\imath} + c\widehat{\jmath} + d\widehat{k} \mid a, b, c, d \in \mathbb{R} \}$$

As a vector space,  $\mathbb{H} \simeq \mathbb{R}^4$  with basis  $\{1, \widehat{\imath}, \widehat{\jmath}, \widehat{k}\}$ . As a group,  $\mathbb{H}$  is non-abelian with multiplication rule

$$(a+b\widehat{\imath}+c\widehat{\jmath}+d\widehat{k})(w+x\widehat{\imath}+y\widehat{\jmath}+z\widehat{k})$$

$$= aw + ax\widehat{\imath} + ay\widehat{\jmath} + az\widehat{k} + bw\widehat{\imath} + bx\widehat{\imath}^2 + by\widehat{\imath}\widehat{\jmath} + bz\widehat{\imath}\widehat{k} + cw\widehat{\jmath} + cx\widehat{\jmath}\widehat{\imath} + cy\widehat{\jmath}^2 + cz\widehat{\jmath}\widehat{k} + dw\widehat{k} + dx\widehat{k}\widehat{\imath} + dy\widehat{k}\widehat{\jmath} + dz\widehat{k}^2$$

$$=(aw-bx-cy-dz)+(ax+bw+cz-dy)\widehat{\imath}+(ay-bz+cw+dx)\widehat{\jmath}+(az+by-cx+dw)\widehat{k}$$

Given  $q = a + b\hat{\imath} + c\hat{\jmath} + d\hat{k}$ , we define

- Scal(q) = a is the scalar part of q
- $\operatorname{Vec}(q) = b\hat{\imath} + c\hat{\jmath} + d\hat{k}$  is the vector part of q
- $\overline{q} = a b\widehat{\imath} c\widehat{\jmath} d\widehat{k} = \text{Scal}(q) \text{Vec}(q)$  is the *conjugate* of q
- $||q|| = \sqrt{a^2 + b^2 + c^2 + d^2}$  is the *norm* of q
- $p^{-1} = \frac{\overline{p}}{||p||^2}$  is the *inverse* of p

We say q is a unit quaternion if ||q|| = 1 and pure if Scal(q) = 0.

### **Properties:**

• For two pure quaternions,

$$pq = -p \cdot q + p \times q$$

• Similarly, for  $X = x + \vec{p}$  and  $Y = y + \vec{q}$ ,

$$XY = (x + \vec{p})(y + \vec{q}) = xy + x\vec{q} + y\vec{p} + \vec{p}\vec{q} = (xy - \vec{p} \cdot \vec{q}) + (x\vec{q} + y\vec{p} + \vec{p} \times \vec{q})$$

- $p\overline{p} = ||p||^2 = p \cdot p$
- For u pure and unital,  $u^4 = 1$
- $\bullet \ \overline{pq} = \overline{q}\,\overline{p}$

Note that  $||\cdot||: \mathbb{H}^{\times} \to \mathbb{R}^+$  given by  $p \mapsto ||p||$  is multiplicative:

$$||pq|| = (pq)(\overline{pq}) = pq\overline{q}\overline{p} = p||q||^2\overline{p} = ||p||^2||q||^2$$

We can also look at its kernel:

$$S^{3} = \{ p \in \mathbb{H}^{\times} \mid ||p|| = 1 \} = \{ w + x\hat{\imath} + y\hat{\jmath} + z\hat{k} \mid \sqrt{w^{2} + x^{2} + y^{2} + z^{2}} = 1 \}$$

# **Group Actions**

There exists a group action of  $S^3$  on  $\mathbb{R}^3$ .

Notice that group conjugation  $(q \in \mathbb{H}^{\times} \mapsto \{I_q : H \hookrightarrow \mathbb{H}\})$  respects Hamiltonian conjugation:

$$\operatorname{conj}(I_q(p)) = I_q(\operatorname{conj}(p))$$

This means that a quantity expressed in terms of p and  $\bar{p}$  will be preserved by  $I_q$ .

In particular, for a quaternion p,

$$Scal(p) = \frac{p + \overline{p}}{2}$$

$$Vec(p) = \frac{p - \overline{p}}{2} Norm(p) = \sqrt{p\overline{p}}$$

so if p is pure,  $I_q(p)$  is pure.

If we think of  $\mathbb{H} = \mathbb{R} \oplus \operatorname{Span}_{\mathbb{R}} \{ \widehat{\imath}, \widehat{\jmath}, \widehat{k} \} = R \oplus P$ , where P is the space of pure quaternions, then  $I_q$  preserves the 3-dimensional, real subspace P. (In fact, since  $I_q$  preserves the norm, it is an isometry of P).

Therefore, we have a map

$$S^3 \to SO(3, \mathbb{R})$$
  
 $q \mapsto I_q : P \hookrightarrow P$ 

What is  $I_q$ ? By definition  $I_q(p) = qp^{-1}q$ . Trivially, this is a map in  $SO(3,\mathbb{R})$  and has fixed axis  $I_q(q) = qqq^{-1} = q$ . Therefore, it suffices to examine the action of  $I_q$  on the orthogonal complement C of  $\mathbb{R}q$ . Take  $v \in \mathbb{R}q^{\perp}$ . Using some of the pure quaternion multiplication properties, we find that  $I_q(v) = qvq^{-1} = -v$ .

Therefore,  $I_q$  is a line symmetry with axis of symmetry  $\mathbb{R}q$ . Relative to  $\beta = \{q, v, q \times v\}$ ,

$$(I_q)_{\beta} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & -1 \end{pmatrix}$$

Cartan-Dieudonné Theorem: Any isometry of  $\mathbb{R}^n$  is expressible as at most (n+1)-reflections in hyperplanes

**Proposition:** The composition of two line symmetries  $L_B \circ L_A$  in the plane is a a rotation by twice the angle from A to B

**Euler-Rodrigues Theorem:** Let  $q = \cos \frac{\theta}{2} + u \sin \frac{\theta}{2}$  be a unit quaternion in  $S^3$ . Then  $I_q: P \hookrightarrow P$  is a rotation about the axis  $u \in P$  by an angle of  $\theta$ .

# **Covering Maps**

By the Euler-Rodrigues theorem, there exists  $\phi: S^3 \to SO(3,\mathbb{R})$  with kernel  $\mathbb{Z}_2$  called the *spin double cover of*  $SO(3,\mathbb{R})$ .

In a sense,  $S^3/\mathbb{Z}_2$  is the topology on the space of lines through the origin in  $\mathbb{R}^4$ :

$$\mathbb{RP}^3 \simeq S^3/\mathbb{Z}_2 \simeq \mathrm{SO}(3,\mathbb{R})$$

### $\mathbf{SU}(2,\mathbb{C})$ isomorphism:

We have another isomorphism. Consider  $\mathbb{H}$  a right  $\mathbb{C}$ -vector space  $\mathbb{H}^{\mathbb{C}}$  with  $\mathbb{C}$ -basis  $\{1, \hat{\jmath}\}$  so

$$(a+b\widehat{\imath}+c\widehat{\jmath}+d\widehat{k})_{\beta}=1\cdot(a+bi)+\widehat{\jmath}(c-di)$$

and

$$L_q(pc) = q(pc) = (qp)c = L_q(p)c$$

so that  $\forall q = z + w \hat{\jmath} \in \mathbb{H}$ ,  $L_q$  is an honest  $\mathbb{C}$ -endomorphism of  $\mathbb{H}^{\mathbb{C}}$  so  $q \mapsto L_q$  yields

$$\mathbb{H}^{\times} \hookrightarrow \mathrm{GL}(\mathbb{H}^{\mathbb{C}}) \simeq \mathrm{GL}(2, \mathbb{C})$$

so relative to  $\beta = \{1, \widehat{\jmath}\},\$ 

$$(L_q)_{\beta} = \begin{pmatrix} z & -\overline{w} \\ w & \overline{z} \end{pmatrix}$$

Restricting to  $S^3$  we have  $S^3 \hookrightarrow \mathrm{SU}(2,\mathbb{C})$  where

$$\mathrm{SU}(2,\mathbb{C}) = \left\{ \begin{pmatrix} z & -\overline{w} \\ w & \overline{z} \end{pmatrix} \middle| z\,\overline{z} + w\,\overline{w} = 1 \right\}$$

then  $S^3 \hookrightarrow \mathrm{SU}(2,\mathbb{C})$  again with  $\mathbb{Z}_2$  kernel.

#### Spin 4 double cover:

Similar to the case of  $\mathbb{H}$  as a  $\mathbb{C}$ -vector space, we can consider  $\mathbb{H}$  as an  $\mathbb{R}$ -vector space which means that we do not have to worry about left/right structure.

With basis  $\beta = \{1, \widehat{\imath}, \widehat{\jmath}, \widehat{k}\}$ , we have

$$L_{q} \sim \begin{pmatrix} x & -y & -z & -w \\ y & x & -w & z \\ z & w & x & -y \\ w & -z & y & x \end{pmatrix}, \quad R_{q} \sim \begin{pmatrix} x & -y & -z & -w \\ y & x & w & -z \\ z & -w & x & y \\ w & z & -y & x \end{pmatrix}$$

Since we have orthogonal columns, if ||q|| = 1, then  $L_q \in SO(4, \mathbb{R})$  and  $R_q \in SO(4, \mathbb{R})$  so we have a homomorphism

$$S^3 \times S^3 \to SO(4, \mathbb{R})$$
  
 $(q, p) \mapsto (L_q \circ R_{p^{-1}})_\beta$ 

Using Euler-Rodrigues, we can show this map is surjective with another  $\mathbb{Z}_2$  kernel so

$$S^3 \times S^3/Z_2 \simeq SO(4, \mathbb{R})$$

(i.e.  $S^3 \times S^3$  is a double cover of  $SO(4,\mathbb{R})$ ) and

$$S^3 \times S^3 \simeq \text{Spin}(4, \mathbb{R})$$

#### Fibre Bundles

**Fibre Bundle:** A fibre bundle is a surjective smooth math  $p: E \to B$  such that for a small set  $U \subseteq B$ ,

$$p^{-1}(U) = U \times F$$

**Fibre:** the inverse-image (pullback) of a point under a map. (Heuristically, a parameterization of the total space over a variable base space)

#### **Examples:**

- p(x,y) = x is an  $\mathbb{R}$ -bundle over  $\mathbb{R}$  of total space  $\mathbb{R}^2$
- A cylinder projected onto its base is an I-bundle over  $S^1$  for interval I
- ullet The Mobius band is a *I*-bundle over  $S^1$  (but the Mobius band is orientable while the cylinder is not)
- $T^2$  is a  $S^1$ -bundle over  $S^1$
- The Klein bottle is an  $S^1$ -bundle over  $S^1$

**Principal G-bundle:** a fibre bundle E with fibre G such that the fibre is isomorphic to G as a group. Equivalently, a fibre bundle equipped with a right G-action on the total space so the fibres are the orbits of the action.

### Examples:

- With (x,y)t=(x,y+x) (an upwards translation),  $\mathbb{R}^2$  is an principal  $\mathbb{R}$ -bundle over I
- With  $S^1$ -action  $(\theta, \phi)t = (\theta, \phi + t), t \in \mathbb{R}/\mathbb{Z}, T^2$  is a principal  $S^1$ -bundle over  $S^1$
- Let  $E = \{(p, \{v_1, v_2\}) \mid p \in S^2, v_1, v_2 \in T_p S^2\}$  such that  $T_p S^2 = \operatorname{Span}_{\mathbb{R}} \{v_1, v_2\}$  with action  $(p, \{v_1, v_2\})t = p$ . Then E is a  $\operatorname{GL}(2, \mathbb{R})$  bundle over  $S^2$  and our group action is  $q^{-1}(N) \simeq \operatorname{GL}(2, \mathbb{R})$  because we just need two linearly independent vectors in  $T_N S^2 \simeq \mathbb{R}^2$

The Hopf Fibration: a principal  $S^1$ -bundle over  $S^2$  with total space  $S^3$  when  $S^3$  acts on itself by conjugation Recall that  $S^3 \to \operatorname{Aut}(S^2)$  given by  $q \mapsto I_q : S^2 \hookrightarrow S^2$  is a transitive  $S^3$  action on  $S^2$ . Consequently, we have  $H: S^3 \to S^2$  via  $q \mapsto I_q(\widehat{k})$  with  $\operatorname{Orbit}(\widehat{k}) = S^2$ .

By the orbit stabilizer theorem,  $S^3/\mathrm{Stab}(\widehat{k}) \simeq \mathrm{Orbit}(\widehat{k}) \simeq S^2$ . We know

$$\mathrm{Stab}(\widehat{k}) = \{ q \in S^3 \mid I_q(\widehat{k}) = \widehat{k} \} = \{ q \in S^3 \mid q\widehat{k}q^{-1} = \widehat{k} \}$$

Certainly we know  $q\widehat{k}q^{-1} = \widehat{k}$  for  $q \in \operatorname{Span}_{\mathbb{R}}\{1, \widehat{k}\} \simeq \mathbb{C}$ . Further, we can show it does not commute with  $\operatorname{Span}\{\widehat{\imath}, \widehat{\jmath}\}$  so

$$\operatorname{Stab}(\widehat{k}) = \{\cos \theta + \sin \theta \widehat{k} \mid \theta \in \mathbb{R}\} \simeq S^1$$

is our fibre over  $\hat{k}$ .

What about over the rest of  $S^2$ ?

Notice:

$$H(qp) = qp\hat{k}p^{-1}q^{-1} = qH(p)q^{-1} = I_q(H(p))$$

so left multiplication is just rotation of H(p) about the vector part of q.

This means that finding the fibre over a point  $v \in S^2$  is a matter of finding a single  $q \in S^3$  with H(q) = v since  $H^{-1}(v) = qS$  where  $S = \operatorname{Stab}(\widehat{k})$ .

Geometrically, this means we need to find  $p \in S^3$  so

$$H(p\hat{k}) = v \implies I_p(H(\hat{k})) = v \implies I_p(\hat{k}) = v$$

and then let  $q = p\hat{k}$  so  $H^{-1}(v) = p\hat{k}S = pS$ 

To find p, we can use the fact that  $p = \cos \phi + u \sin \phi$  where  $\phi = \theta/2$  to reduce the problem to finding the fixed axis of rotation which transforms  $\hat{k}$  to v and the angle  $\theta$  from  $\hat{k}$  to v.

For all  $v = (a, b, c) \neq \{\hat{k}, -\hat{k}\}\$ , the obvious choice of axis is

$$u = \frac{v \times \widehat{k}}{\left|\left|v \times \widehat{k}\right|\right|} = \frac{1}{\left|\left|v \times \widehat{k}\right|\right|} \begin{vmatrix} \widehat{\imath} & \widehat{\jmath} & \widehat{k} \\ a & b & c \\ 0 & 0 & 1 \end{vmatrix} = \frac{1}{\sqrt{a^2 + b^2}} (b\widehat{\imath} - a\widehat{\jmath})$$

Then

$$\begin{aligned} v \cdot \widehat{k} &= ||v|| \ \left| \left| \widehat{k} \right| \right| \cos \theta \implies c = \cos \theta \implies \cos \phi = \sqrt{\frac{1+c}{2}} \\ \sin \phi &= \sqrt{\frac{1-c}{2}} \end{aligned}$$

SO

$$p = \frac{1}{\sqrt{2+2c}}(1+c-b\hat{\imath}+a\hat{\jmath})$$

and the full fibre over  $S^2$  is

$$H^{-1}(v) = \frac{1}{\sqrt{2+2c}}(1+c-b\widehat{\imath}+a\widehat{\jmath})(\cos\theta+\sin\theta\widehat{k})$$

# Linked Curves

For two generic points in  $S^2$ , their fibres in  $S^3$  will be linked. Explicitly,  $p,q \in S^2$  will lead to linked circles  $H^{-1}(p), H^{-1}(q)$  via  $H^{-1}: S^2 \to S^3$  and stereographic projection  $F: S^3/\widehat{k} \longrightarrow \mathbb{R}^3$  defined by

$$F(x, y, z, w) = \left(\frac{x}{1-w}, \frac{y}{1-w}, \frac{z}{1-w}\right)$$

*Example:* the fibre at  $\hat{k}$  is a line along the x-axis. The fibre of  $-\hat{k}$  is a circle in the yz-plane. A simple drawing shows that these two circles are linked. But how do we formalize this notion?

Consider a curve  $\gamma(t)$  that loops many times around  $p \in \mathbb{C}$  with  $\gamma(0) = \gamma(1)$ . We want to find the **winding** number of oriented wraps around p.

We can consider  $\pi_1(s)$ , the group of curves at  $p \in S$  up to continuous deformation. One way is to imagine picking an orientation on  $R^2/0$  and deforming the curve to wrap around a generator n-times where n is the winding number.

We can also think about this geometrically in terms of one-forms. In a sense, we have a function  $\mathbb{R}^2/0 \to \mathbb{R}$  which measures the angle relative to 0 at a point p. If we imagine the vector  $\vec{OP}$  as the hypotenuse of a triangle with legs x and y, then (locally) the angle is  $\theta = \arctan(y/x)$  so (locally)

$$d\theta = -\frac{y}{x^2 + y^2} \, dx + \frac{x}{x^2 + y^2} \, dy$$

which means that we can integrate along curves!

$$\gamma \mapsto \int_{\gamma} d\theta$$

but since  $\gamma(0) = \gamma(1)$ , we (morally) have

$$\int_{\gamma} d\theta \approx \theta(\gamma(1)) - \theta(\gamma(0))$$

In fact, there is no honest function  $f: \mathbb{R}^2/0 \to \mathbb{R}$  so that  $df = \omega$  where  $[\omega]$  generates  $H^1(\mathbb{R}^2/0)$ . If there were, then  $\int_{\gamma} d\theta = 0$  exactly but if we consider the simplest curve  $\gamma(t) = (\cos t, \sin t)$ , we see

$$\int_{\gamma} d\theta = \frac{1}{2\pi} \int_{0}^{2\pi} \frac{-\sin t}{\sin^{2} t + \cos^{2} t} d(\cos t) + \frac{\cos t}{\sin^{2} t + \cos^{2} t} d(\sin t)$$

$$= \frac{1}{2\pi} \int_{0}^{2\pi} \sin t (\sin t) + \cos t (\cos t) dt$$

$$= \frac{1}{2\pi} \int_{0}^{2\pi} 1 dt = 1$$

which would give

$$\omega = \frac{1}{2\pi} \begin{pmatrix} -y & dx \\ x & dy \end{pmatrix} \frac{1}{x^2 + y^2}$$

but this is a contradiction.

Our original goal was to understand why linked and unlined curves are fundamentally different in order to understand why  $H^{-1}(p)$ ,  $H^{-1}(q)$  are linked.

First reduction: consider  $p, q \in S^3$  and their fibres. It suffices to show the case  $p = \hat{k}, q = -\hat{k}$ . Intuitively, the linkage of fibres should be invariant under isotopy (i.e. under deformation, linked curves should remain linked and vice versa). Therefore, if we move p to  $\hat{k}$  and q to  $-\hat{k}$ , the fibres should remain linked.

We can imagine two curves a and b, each wrapped around a two parallel poles. Clearly, there is no way to deform a to b. In fact, a, b generate a free group in  $\pi_1(\mathbb{R}^3/\text{two parallel lines})$ 

This problem then resolves to finding a non-trivial relation on the generating circles a, b of two linked curves.

# 2 Homework Results

For a short exact sequence  $\mathfrak{n} \hookrightarrow \mathfrak{g} \twoheadrightarrow \mathfrak{h}$ ,  $\mathfrak{g}$  is solvable iff  $\mathfrak{n}$  and  $\mathfrak{h}$  are solvable.

A derivation of a lie algebra  $\mathfrak{n}$  is any linear map  $D: \mathfrak{n} \to \mathfrak{n}$  such that

$$D[X,Y] = [DX,Y] + [X,DY]$$

for all  $X, Y \in \mathfrak{n}$ .

Example:  $\operatorname{ad}_X(Y) = [X, Y]$  is a derivation of  $\mathfrak{g}$  for any  $X \in \mathfrak{g}$ 

A representation of a lie algebra  $\mathfrak{h}$  into  $\mathfrak{n}$  is a Lie-algebra homomorphism  $\phi:\mathfrak{h}\to\mathfrak{gl}(\mathfrak{n})$ 

To show that  $\mathfrak{g} \simeq \mathfrak{n} \rtimes_{\phi} \mathfrak{h}$ , it suffices to show there exists a split exact sequence  $\mathfrak{n} \hookrightarrow \mathfrak{g} \twoheadrightarrow \mathfrak{h}$ .

If  $\mathfrak{n} \subset \mathfrak{g}$  is an ideal and  $\mathfrak{h} \subset \mathfrak{g}$  is a sub-algebra for which  $\mathfrak{g} = \mathfrak{n} \oplus \mathfrak{h}$  as vector spaces, then  $\mathfrak{g} \simeq \mathfrak{n} \rtimes \mathfrak{h}$   $\mathfrak{g}$  is solvable iff there exists a sequence of subalgebras

$$0 = \mathfrak{h}_n \subset \mathfrak{h}_{n-1} \subset \cdots \subset \mathfrak{h}_1 \subset \mathfrak{h}_0 = \mathfrak{g}$$

such that each  $\mathfrak{h}_{i+1}$  is an ideal of  $\mathfrak{h}_i$  and  $\mathfrak{h}_i/\mathfrak{h}_{i+1}$  is abelian.

The sum of solvable ideas is solvable.

For two ideals  $\mathfrak{a}, \mathfrak{b} \subset \mathfrak{g}$ ,

$$(\mathfrak{a} + \mathfrak{b})/\mathfrak{a} = \mathfrak{b}/(\mathfrak{a} \cap \mathfrak{b})$$

 $\exp: \mathfrak{so}(3,\mathbb{R}) \to SO(3,\mathbb{R})$  is surjective.

Let  $X \in \mathfrak{so}(3,\mathbb{R})$  such that  $||X|| = \sqrt{2}$ . Then

$$\exp(tX) = I_3 + \sin(t)X + (1 - \cos t)X^2$$

Every element of  $SO(n, \mathbb{R})$  is the product of at most (n+2)/2 reflections.

$$\mathfrak{so}(3,\mathbb{R})\oplus\mathfrak{so}(3,\mathbb{R})\simeq\mathfrak{so}(4,\mathbb{R})$$

The linking number of two smooth curves  $\gamma: I \to \mathbb{R}^3$  with no self-intersections and where  $\gamma(0) = \gamma(1)$  is given by

$$L(\gamma_1, \gamma_2) = \frac{1}{4\pi} \oint \oint_{S^1 \times S^1} \frac{1}{|\gamma_1(s) - \gamma_2(t)|^3} \det \left( \frac{d\gamma_1}{ds}(s) \quad \frac{d\gamma_2}{dt}(t) \quad \gamma_1(s) - \gamma_2(t) \right) ds dt$$

$$S^3/S^1 \simeq S^1 \times \mathbb{R}^2$$

# 3 Important Formulae

## Group action:

- 1.  $\alpha(1, x) = x$
- 2.  $\alpha(g, \alpha(h, x)) = \alpha(gh, x)$

One parameter subgroup:  $\alpha : \mathbb{R} \to \mathrm{GL}(n, \mathbb{R})$ 

- 1.  $\alpha(0) = I$
- 2.  $\alpha(s+t) = \alpha(s)\alpha(t)$
- 3.  $\alpha'(t) = \alpha(t)\alpha'(0)$

### Matrix exponential:

$$e^{tA} = I_n + At + \frac{t^2}{2}A^2 + \frac{t^3}{3!}A^3 + \dots$$

If AB = BA,

$$e^A e^B = e^B e^A = e^{A+B}$$

With A diagonalizable,

$$e^{tA} = e^{tPDP^{-1}} = Pe^{tD}P^{-1}$$

For any  $A \in M_n(\mathbb{C})$ ,

$$\det(e^A) = e^{\operatorname{tr} A}$$

For A(t) a smooth family of matrices in  $\mathrm{GL}(2,\mathbb{R})$  and A(0)=I,

$$\left. \frac{d}{dt} \right|_{t=0} \det A(t) = \operatorname{tr} A'(0)$$

**Lie Algebra:** A vector space with a pairing  $[\cdot,\cdot]$ :  $\mathfrak{g} \times \mathfrak{g} \to \mathfrak{g}$  such that

- 1. [A, B] = -[B, A] (skew-symmetry)
- 2. [A+cB,D] = [A,D] + c[B,D] (bilinearity)
- 3. [A, [B, C]] + [B, [C, A]] + [C, [A, B]] = 0 (Jacobi identity)

and where

$$[A, B] = \frac{d}{dt} \bigg|_{t=0} \frac{d}{ds} \bigg|_{s=0} e^{tA} e^{sB} e^{-tA} = AB - BA$$

**Ideal:**  $\mathfrak{h} \subseteq \mathfrak{g}$  such that  $[\mathfrak{g}, \mathfrak{h}] \subseteq \mathfrak{h}$ 

Short exact sequence:

$$N \stackrel{i}{\hookrightarrow} G \stackrel{p}{\twoheadrightarrow} H$$

where  $\ker p = \operatorname{im} i$ 

# Split exact sequence:

$$N \stackrel{i}{\longleftrightarrow} G \stackrel{p}{\stackrel{p}{\longrightarrow}} H$$

with ker p = im i and  $p\sigma = 1_H$ .

Equivalently,  $G \simeq N \rtimes_{\phi} H$  where  $\phi : H \to \operatorname{Aut}(N)$  by

$$(n,h)(a,b) = (n\phi_h(a),hb)$$

(if  $\phi = id$ , then  $G \simeq N \times H$ )

## Lie Algebra Homomorphism:

$$\psi([X,Y]) = [\psi(X), \psi(Y)]$$

Adjoint Representation:  $Ad_g(X) = gXg^{-1}$  for  $g \in G$ 

adjoint Representation:  $ad_x(Y) = [X, Y]$ 

$$\frac{d}{dt}\bigg|_{t=0} \operatorname{Ad}_{g(t)} = \operatorname{ad}(g'(0)) = \operatorname{ad}(X)$$

**Killing Form:**  $B(X,Y) = \operatorname{tr} (\operatorname{ad}_X \circ \operatorname{ad}_Y)$ 

One may assign a symmetric matrix A such that  $B(X,Y) = x^T A y$ . The signs of the eigenvalues of A determine the signature of the Killing form.

Polarization identity:

$$B(x + y, x + y) = B(x, x) + 2B(x, y) + B(y, y)$$

If  $\mathfrak{g}$  is nilpotent, the Killing form is 0.

A lie algebra is semi-simple iff the Killing form is non-degenerate.

**Solvable:**  $g^0 = g, g^{i+1} = [g^i, g^i]$ 

- 1.  $H \subseteq G \implies H$  solvable if G solvable
- 2.  $\phi: G \twoheadrightarrow H \implies H$  solvable if G solvable
- 3.  $N \hookrightarrow G \twoheadrightarrow H \implies G$  solvable iff N and H are solvable
- 4. G connected implies  $\mathfrak{g}$  solvable if G solvable

Nilpotent:  $\mathfrak{g}_0 = \mathfrak{g}, \, \mathfrak{g}_{i+1} = [\mathfrak{g}, \mathfrak{g}_i]$ 

- 1. G connected implies  $\mathfrak g$  nilpotent if G nilpotent
- 2.  ${\mathfrak g}$  nilpotent implies  ${\mathfrak g}$  solvable

If G is connected, for all  $g \in G$ ,

$$g = \exp(x_1) \exp(x_2) \cdots \exp(x_n)$$

for  $x_1, \ldots, x_n \in \mathfrak{g}$ 

Pure quaternions:

$$pq = -p \cdot q + p \times q$$

If u pure and unital,  $u^4 = 1$ 

**Euler-Rodrigues:** 

$$q = \cos\frac{\theta}{2} + u\sin\frac{\theta}{2}$$

is a rotation about fixed axis u by angle  $\theta$ 

 $SO(4,\mathbb{R})$  embedding: Left multiplication action of  $q = x + y\hat{\imath} + z\hat{\jmath} + w\hat{k}$  can be written

$$L_{q} \sim \begin{pmatrix} x & -y & -z & -w \\ y & x & -w & z \\ z & w & x & -y \\ w & -z & y & x \end{pmatrix}$$

Fibre Bundle:  $p: E \rightarrow B$  such that  $p^{-1}(U) = U \times F$ 

**Principal G-bundle:** a fibre bundle with fibre G such that the fibre is isomorphic to G as a group