



## DESIGN OF MYOCONTROLLED NEUROPROSTHESES

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## Outline



- ✓ Background
- ✓ EMG signals during hybrid muscle contractions
- ✓ Devices for EMG recordings during electrical stimulation
- ✓ Filters to estimate the volitional EMG component
- ✓ Control strategies for myocontrolled neuroprostheses
- ✓ Example of applications on neurological patients
  - EU project MUNDUS
  - EU project RETRAINER

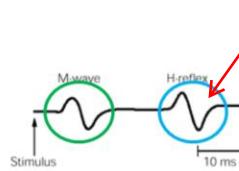
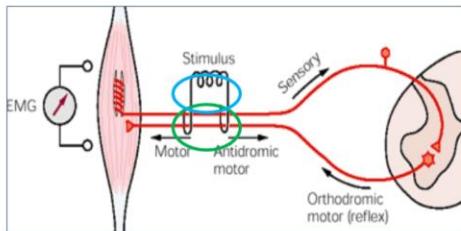
the signal while we are stimulating is not the usual. Even for recording some adaptations are needed, because of the FES stimulation. So filters. Then C.S.

processing is needed to have an useful EMG + adaptation during the reading

## Background



Neuromuscular Electrical Stimulation (NMES) has been used for **assistive** and **rehabilitative** purposes in neurorehabilitation for the last forty-years [Scheffler & Chae, 2007].



stimulation on  
afferent fibers

NMES induces muscle contractions through the depolarization of motor axons (**peripheral recruitment**) and **sensory axons** (**central recruitment**). [Bergquist, 2011].

H-reflex

stimulation of efferent and afferent fibers. FES induces MC with 2 mechanism: peripheral and central recruitment. If the muscle is not completely off, you can use EMG as signal of timing/intensity of stimulation. You basically amplify his intention using FES.



When muscles are not completely paralyzed, **EMG signals of the paretic limb can be used to control the timing and the intensity of the stimulation** [Jiang, 2010]

You need residual capability of the muscle

### WHY SUCH A CONTROL SCHEME IS ATTRACTIVE?

EMG: detect signal, then amplify it's movement

#### 1) ASSISTIVE PURPOSES [\*RESTORATION]

Myocontrolled NMES augments the force of the paretic muscles allowing the users to directly control the execution of the movements [Pedrocchi, 2013].

the sync between voluntary action + FES maximizes motor RE-LEARNING

#### 2) THERAPEUTIC PURPOSES [\*REHABILITATION]

NMES co-incidental with the voluntary drive enhances motor re-learning [Fujiwara, 2009; Shindo, 2011].

So this is interesting for both assistive and therapeutic devices

The sync between two actions maximize the motor learning process. So the myocontrolled assistive/therapeutic idea is really interesting.

## Background



### NEUROPHYSIOLOGICAL HYPOTHESIS FOR IMPROVED MOTOR LEARNING

#### CORTICAL LEVEL

- 1) NMES-augmented voluntary activations increase cortical excitability with respect to voluntary activations alone or passive NMES [Barsi, 2008]

FES + VOLITIONAL =>  
a lot of cortical excitability

Transcranial Magnetic Stimulation on 25 healthy subjects



Comparison between 3 training paradigms:  
NMES + VOL; NMES alone; VOL alone



NMES + VOL increase the amplitude of the Motor Evoked Potential



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5

With different technique (tMS, fMRI etc.) we have seen increase of excitability with FES + volitional contraction, instead of FES during passive movements.



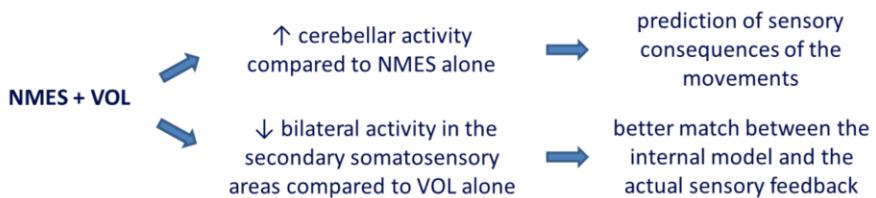
## NEUROPHYSIOLOGICAL HYPOTHESIS FOR IMPROVED MOTOR LEARNING

### CORTICAL LEVEL

FES + VOLITIONAL

- 2) NMES combined with voluntary effort improves the prediction of sensory consequences of motor commands [Iftime-Nielsen, 2012]

### Migliora il forward model



## Background

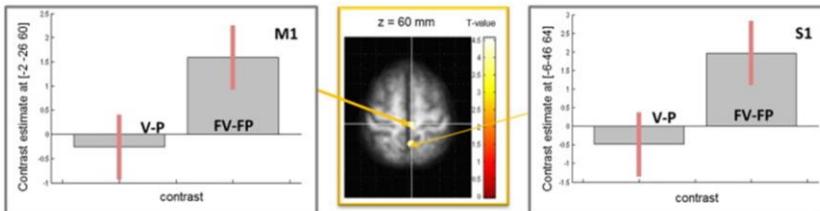


### NEUROPHYSIOLOGICAL HYPOTHESIS FOR IMPROVED MOTOR LEARNING

#### CORTICAL LEVEL

- 3) The NMES- augmented proprioception in the context of volitional intent produced a higher activation than NMES-augmented proprioception in the absence of volitional movement [Gandolla et al, 2014]

- ✓ fMRI study on 17 healthy subjects during ankle dorsi-flexion
- ✓ 2x2 factorial design, with volitional intention and NMES as factors:
  - V: only volitional; P: only passive; PV: passive + NMES; FV: volitional + NMES



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7

The authors demonstrated that the positive interaction was seen in both primary motor cortex (M1) and primary somatosensory cortex (S1);

FES-AUGMENTED  
in other words, the effect of augmented proprioception depends on volitional movement in both M1 and

S1. The interaction between FES and voluntary effort could be therefore the mechanism by

which FES leads to a clinically meaningful carryover effect in neurological patients.

With different technique we have seen increase of excitability with FES + volitional. It has been seen that the activation in the M1 and S1 (Sensory cortical area) has increased activation when FES is combined with volitional. So FES WAY BETTER with volitional ideas rather than passive activation.

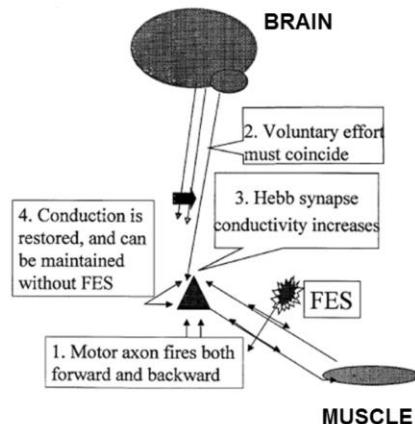
Brain activity with fMRI. The activation both in motor area M1 and S1 sensory cortical area, there's an increase of activation when FES is combined with volitional contraction. Instead this is not here during passive movements.

## NEUROPHYSIOLOGICAL HYPOTHESIS FOR IMPROVED MOTOR LEARNING

### SPINAL LEVEL

NMES antidromic impulses combined with coincident voluntary effort synchronize pre-synaptic and post-synaptic activity of the anterior horn cells

Restorative synaptic modifications at spinal level [Rushton, 2003]



## Background



TWO DIFFERENT SOLUTIONS OF **MYOCONTROLLED NEUROPROSTHESES**

- 1) *EMG-TRIGGERED NMES* You send a predetermined stimulus, simple

**Residual volitional EMG** is used to **trigger** the onset of a **predetermined simulation** sequence applied in an **open-loop modality** to the same muscle used for control.

- 2) *EMG-CONTROLLED NMES* you control timing and intensity based on volitional

**Residual volitional EMG** is used to **modulate the stimulation intensity** in a **closed-loop modality** to the same muscle used for control.



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9

**1. EMG triggered NMES:** you use EMG to trigger the activation, you send a predetermined stimulation just triggered by the volitional

a. In this case you don't have to record while stimulating, you don't have the problem of filtering + stimulation artifacts. But you have no guarantee about sync (maybe the sbj has stopped to contract?). You dont know if afterwards the subject was not contracting anymore and the FES was doing all the job.

**2. EMG controlled NMES:** online in closed loop you control time and intensity based on volitional contraction.  
a. Real sync: if you don't go on with the contraction the FES stops

## Background



### TWO DIFFERENT SOLUTIONS OF **MYOCONTROLLED NEUROPROSTHESIS**

|      | EMG-TRIGGERED NMES  | EMG-CONTROLLED NMES  |
|------|---|--|
| PROS | Simple to implement → EMG signal is measured only before NMES starts      | Assure the synchronization between NMES and voluntary effort   |
| CONS | No guarantees about the synchronization between NMES and voluntary effort | More complex technological solutions are needed for the design |



## EMG signals during hybrid muscle contractions



**Hybrid muscle activations:** muscle contractions both volitional and electrically induced [Langzam, 2006]

**Stimulation artifact:** spike lasting few ms due to the electric field generated by the stimulation current

**M-wave:** compound action potential due to the **synchronous firing** of the electrically elicited muscle fibers (some mV)

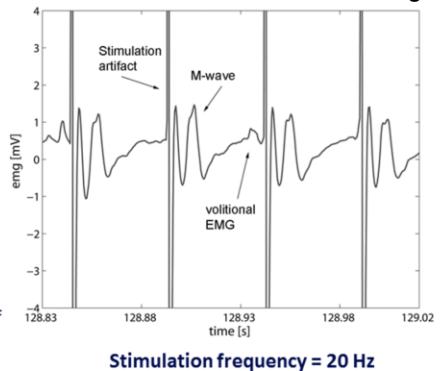
due to sensory fibers

**H-reflex:** second waveform determined by the orthodromic sensory volley

**F-wave:** small second compound action potential due to the antidromic efferent stimuli

**Volitional EMG:** stochastic signal with an amplitude of at least one magnitude less than the M-wave

Different from standard EMG signal!



these things: time variant signals (?)



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11

### EMG signal during hybrid muscle contraction (volitional + electrical contribution):

- Stimulation artifacts (due to spike sent by the FES). They come every xms => freq of stimulus It's HUGE to respect the signal usually acquired. Has to be canceled.
- M-Wave: sync of muscle fibers firing. Again bigger than volitional contraction
- “Little noise”: volitional EMG
- Others contribution: H-reflex, F-wave



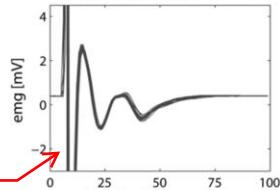
## EMG signals during hybrid muscle contractions



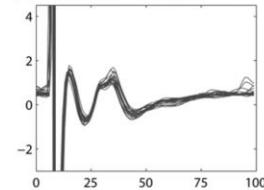
Differences between **isometric** and **anisometric** muscle contractions

passive movement: M wave is deterministic

(A) Isometric – only FES



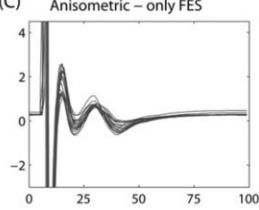
(B) Isometric – FES and vol



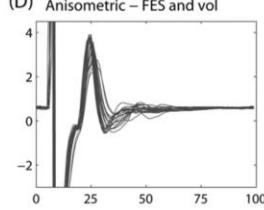
20 inter-pulse periods in each panel

Biceps muscle

(C) Anisometric – only FES



(D) Anisometric – FES and vol



### Stimulation parameters

Current = 18 mA

Pulse Width = 300 us

Frequency = 20 Hz



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12

Isometric/anisometric contractions: during isometric contraction (in short time, 20 consecutive) the signal is almost perfectly overlapped (FES). Anisometric: we have movement of muscles and relative to electrodes, M-wave is changing, it's difficult to filter in this way. In the first case we could just subtract with the stimulus effect.



## Devices for EMG recordings during NMES



Standard amplification unit for EMG recordings can not be used in the presence of NMES



it's already a differential signal!

The **stimulation artifact** is the result of a potential difference produced by the stimulation current between the EMG electrodes → it **can not be rejected by the differential amplifier**



Since its amplitude is one to three orders greater than the M-wave, it can **saturate or even damage the amplifier of a standard EMG circuit**

stimulation artifacts: V  
measured wanted: uV



Different solutions have been proposed to face the problem of the **suppression of the stimulation artifact**.



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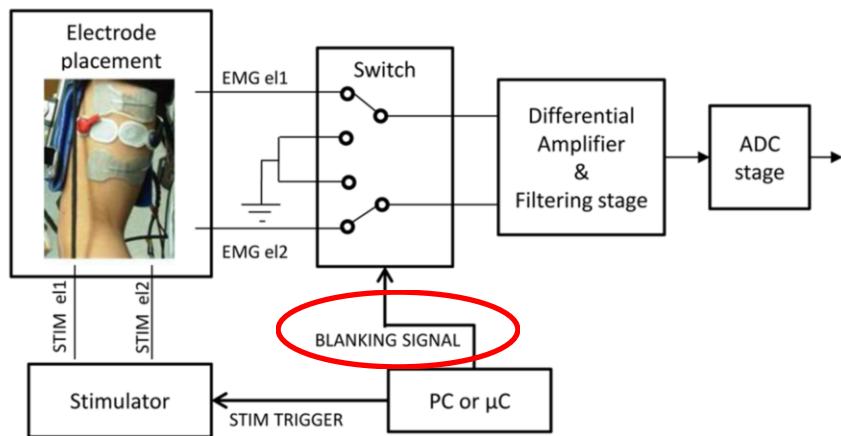
13

The stimulation artifacts (due to FES stimulation) could break the amplifiers which also have an huge gain and are made for really small signal. Solution:

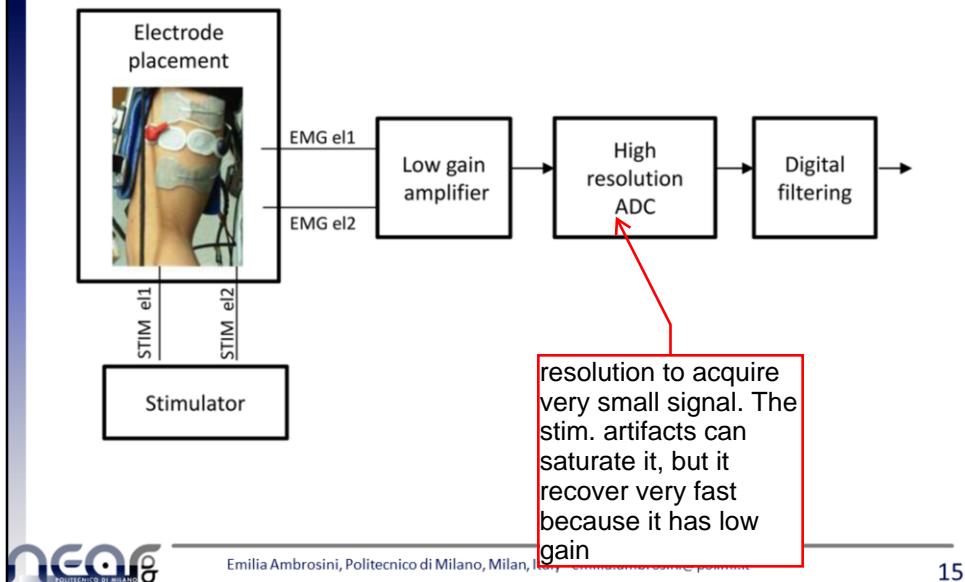
-**Blanking circuits: tipo scolleghi l'amp quando stimoli**

-Very low gain + ADC (23bits) => acquire very small signals. The stimulation can saturate the amp, but it can recover very fast because it has low gain, and you have time to see the volitional.

## Devices for EMG recordings during NMES



## Devices for EMG recordings during NMES



## Devices for EMG recordings during NMES



### RECORDING AND STIMULATION ELECTRODE

**Standard solution:** separate recording and stimulation electrodes

The relative placement of the electrodes affect the capability of the system to suppress the stimulation artifact

Common placement for  
EMG recordings  
(SENIAM guidelines)



This placement is  
preferred in the presence  
of NMES [Frigo, 2000]



Higher common mode  
component of the  
stimulation artifact

■ Stimulation electrode  
● Recording electrode



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16

we are doubling the electrodes, 2 for stim, 2 for recordin. In clinics you have to increase the number of el, and for small muscles is difficult to place all of these electrodes. There are some works to integrate the two in the same electrodes, but nothing commercially available.

## Filters to estimate the volitional EMG



**Blocking window** [Langzam, 2006]

The signal is zeroed for the first 20 or 25 ms of each inter-pulse period.

The volitional EMG is estimated from the remaining part of the inter-pulse period.

→ The M-wave is not completely removed

**Comb filter** [Frigo, 2000]

doesnt work good with  
anysotropic contraction.  
Correct in isometric

*Assumption:* the volitional EMG is a stochastic signal and the M-wave is a time-invariant deterministic signal

$$EMG_v(n) = \frac{EMG_r(n) - EMG_r(n - N)}{\sqrt{2}}$$

$EMG_v(n)$  volitional EMG

$EMG_r(n)$  raw EMG signal

$N$  number of samples of each inter-pulse period

$\sqrt{2}$  scale factor to maintain the same power between input and output



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17

Filter volitional EMG: different solution.

-**Blocking window**, cancel the first half then just take the second half of the window. You remove a lot of signal.

-**Comb filter**: you do a subtraction between the actual window and the last window.

-**High pass filter**: the high freq component in the second half is correlated to the volitional EMG. While the M-wave is low freq.

-**Linear prediction adaptive filter**. It's a difference, but also with a linear combination of a number of previous intervals.

## Filters to estimate the volitional EMG



**High-Pass filter** [Muraoka, 2002; Schauer, 2004]

*Assumption:* 20-30 ms after the stimulation pulse, only low-frequency electrically-induced components superpose the volitional EMG

Blocking window + high-pass filter with a cut-off frequency between 200 and 330 Hz

**Linear Prediction Adaptive filter** [Sennels, 1997]

*Assumption:* the volitional EMG is a band-limited Gaussian signal and the M-wave is time-variant

$$EMG_v(n) = EMG_r(n) - \sum_{i=1}^M b_i EMG_r(n - iN)$$

linear comb. of a number of previous intervals (6), b coefficients obtain by LSQ.

$M$  number of previous inter-pulse periods used for prediction (6)

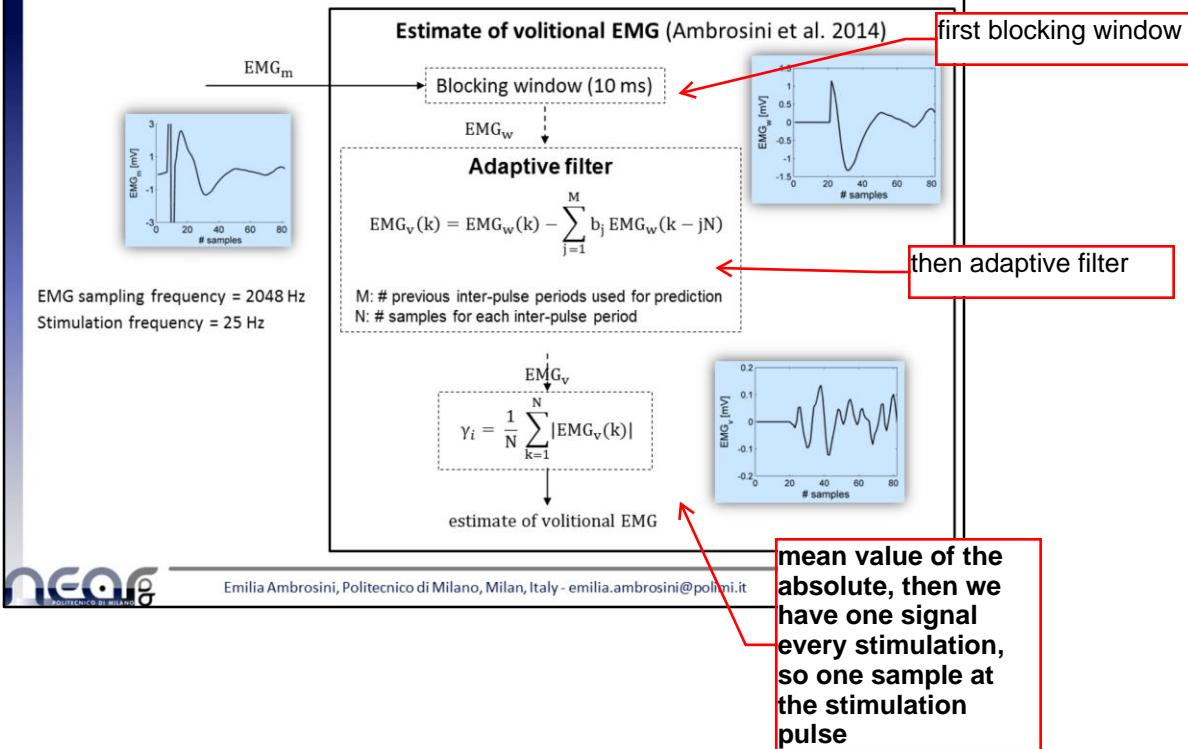
$b_j$  filter coefficients computed by solving a least square algorithm that minimizes the output energy of the current inter-pulse period

$b_j$  are updated at a rate equal to the stimulation frequency

## Filters to estimate the volitional EMG



### Linear Prediction Adaptive filter

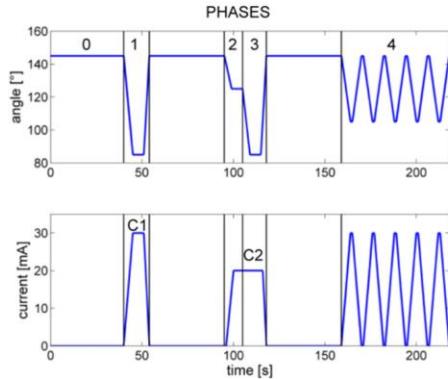
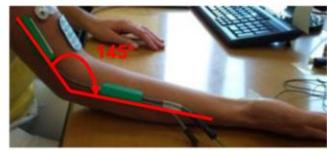


## Filters to estimate the volitional EMG



### HOW TO IDENTIFY THE BEST FILTER ?

Comparison between a time-domain (**adaptive filter**) and a frequency-domain method (**high-pass filter**) on dynamic EMG signals acquired on **healthy subjects** (N=10) and **neurological patients** (N=8)



phase 0: rest

phase 1: stimulation C1, no volitional

phase 2: stimulation C2, no volitional

phase 3: stimulation C2 plus volitional

phase 4: variable stimulation, no volitional

[Ambrosini et al, JEM, 2014]



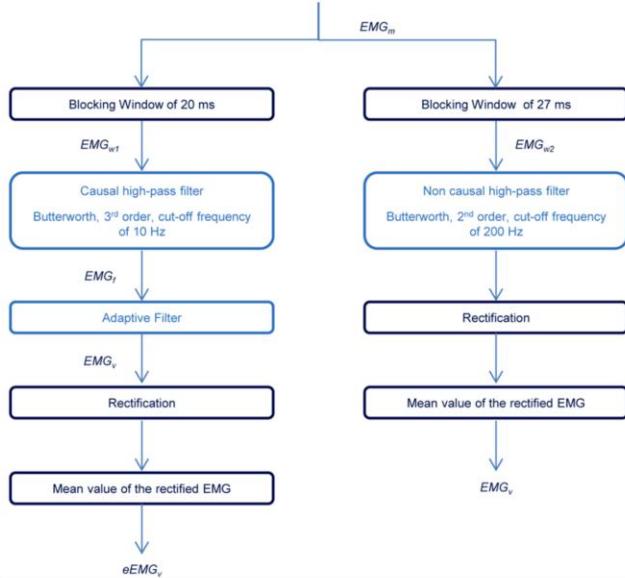
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20

## Filters to estimate the volitional EMG



Measured EMG signal  
(volitional EMG + NMES-induced components)



## Filters to estimate the volitional EMG

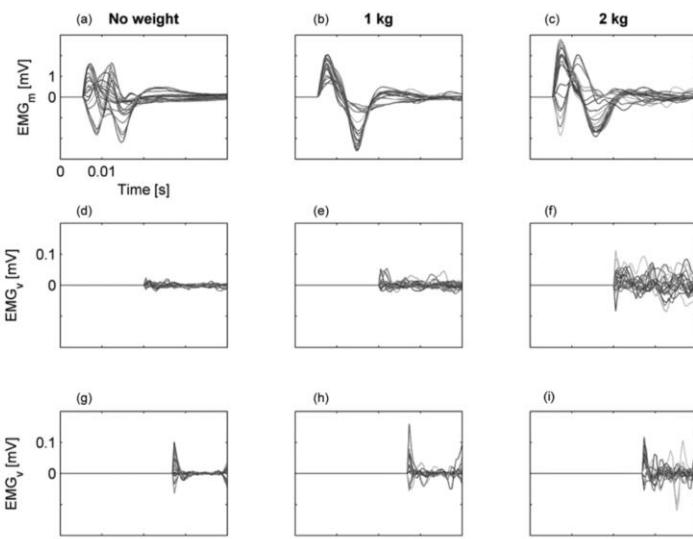


Stimulation of the  
biceps brachii

current = 15 mA  
PW = 300  $\mu$ s  
frequency = 25 Hz

ADAPTIVE  
FILTER

HIGH-PASS  
FILTER

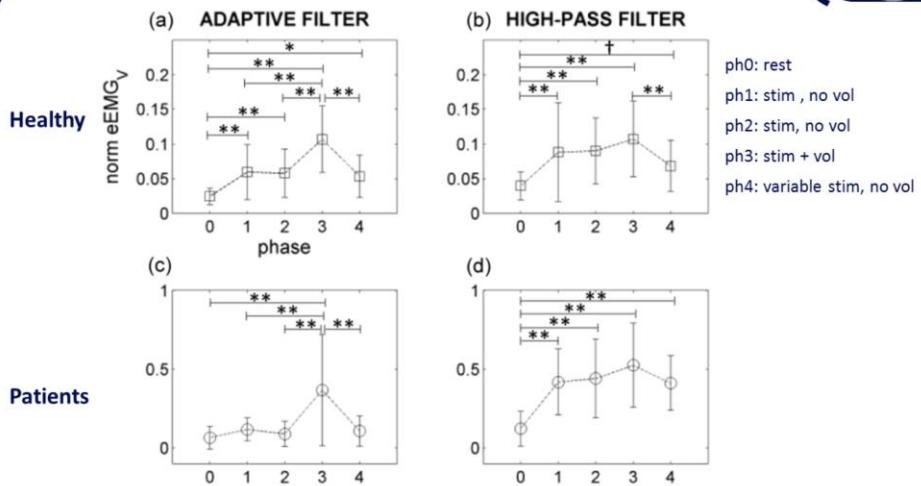


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22



## Filters to estimate the volitional EMG



Two-factors repeated measures ANOVA

\*  $p=0.002$ ; †  $p=0.015$ ; \*\*  $p<0.001$ .

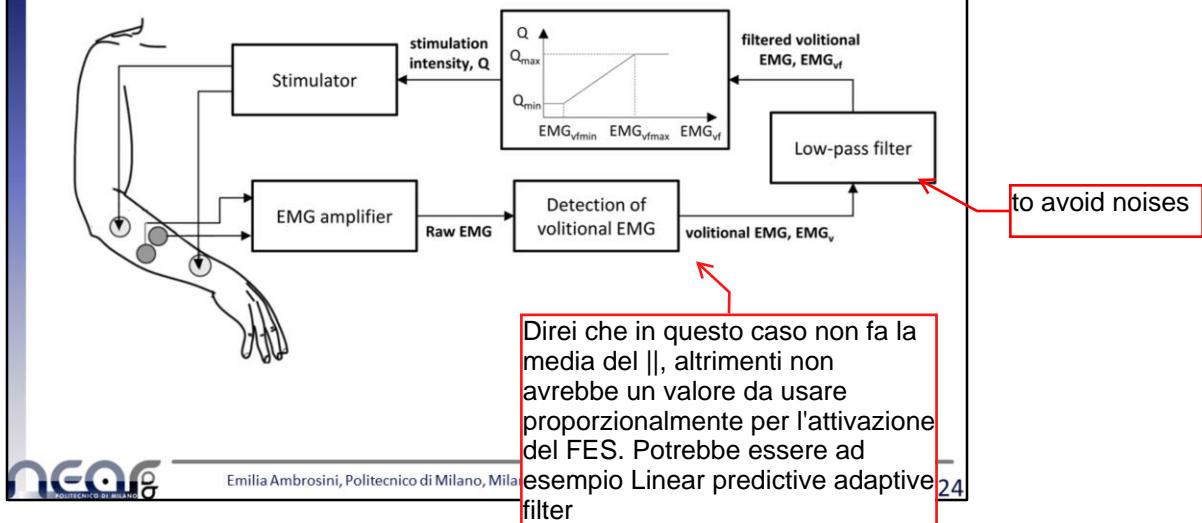
Ambrosini et al, JEK 2014



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23

## PROPORTIONAL CONTROLLER



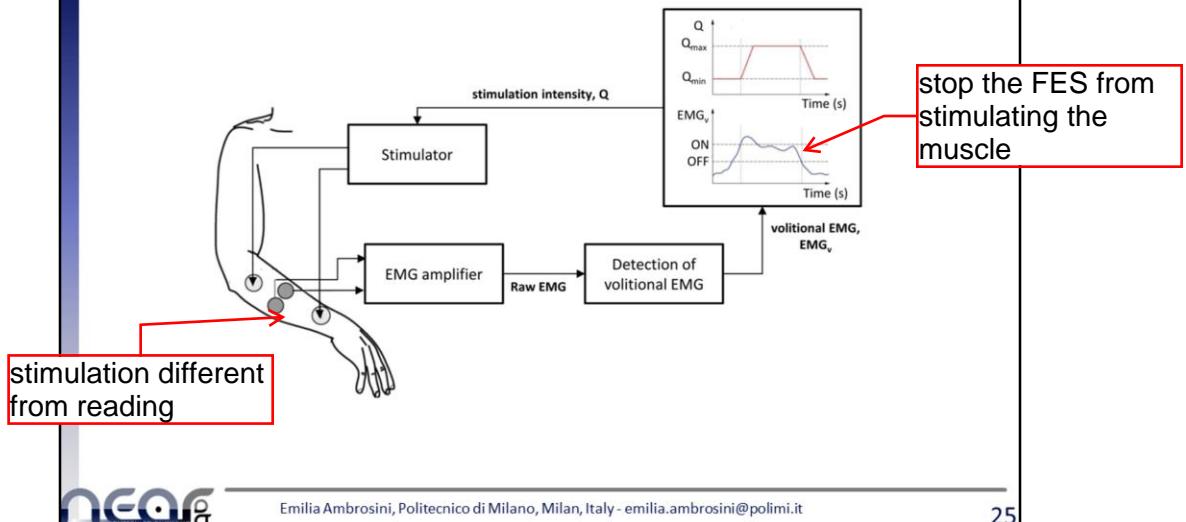
Control strategies (\*dell'EMG controlled, non triggered):

- Proportional controlled. **Drawbacks mainly due to the fact that the patient cant really do a smooth contraction and modulate their activity. Usually the patient has a very low activity and to use it the controller may be really unstable.**
- On/off controlled with double threshold [Trigger di schmidth] This just controls the timing and not amplitude

## Control strategies for myocontrolled NMES



### ON/OFF CONTROLLER



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25

the subject can trigger the start and stop! But the amplitude of stimulation is not controlled, it's precalibrated. He's just controlling the timing.

## Example of applications on patients



Only few clinical applications of EMG-controlled neuroprostheses exist.

- 1) EMG-proportional controller supports hand functions during daily life activities in people with Spinal Cord Injury [Thorsen 1999, 2001, 2006]

→ assistive system  
stimulation of a muscle closed to the one used for control

- 2) EMG-proportional controller improves hand functions in post-stroke patients [Fujiwara 2009; Shindo 2011]

→ rehabilitative purposes  
same electrodes for stimulation and recordings  
stimulation of the same muscle used for control

this was on mundus, so there was also an exoskeleton passive

## Example of applications on patients

**Test of the ON/OFF controller**

**Task:** elbow flexion-extension with and without myocontrolled-NMES support

**Participants:** 2 healthy subjects and 3 people with Spinal Cord Injury

The neuroprosthesis was integrated with a passive exoskeleton for weight relief to further support the patients.

(a) STIM OFF

(b) STIM ON

(c)

(d)

elbow angle measured with the encoder of joint

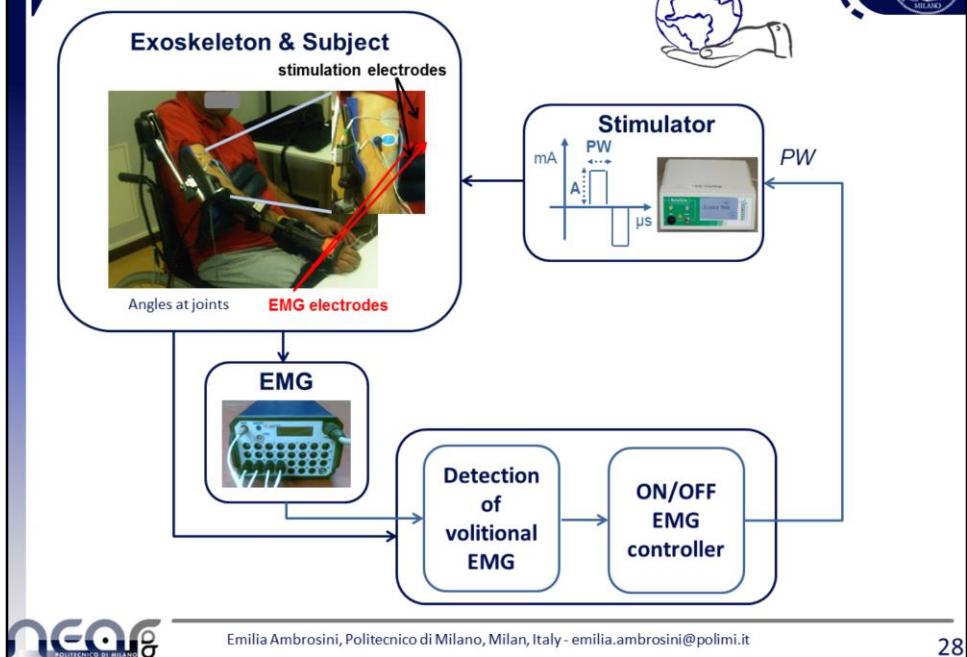
[Ambrosini et al, JEK, 2014]

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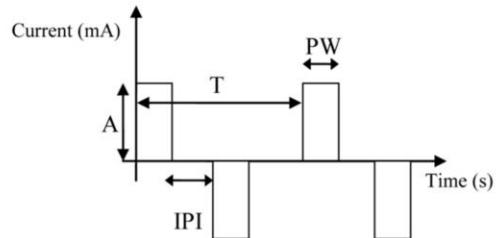
27

The figure consists of four subplots. Subplots (a) and (b) show the elbow angle in degrees over time (0 to 60 seconds) for 'STIM OFF' and 'STIM ON' conditions respectively. Subplot (c) shows the eEMG signal in mV over time (0 to 60 seconds). Subplot (d) shows the eEMG signal in mV over time (0 to 60 seconds) with a red dashed line indicating pulse width (PW) in microseconds (150, 300, 450). A red arrow points from the text 'elbow angle measured with the encoder of joint' to subplot (b).

BTW in this case not FES alone but with integration of an exoskeleton in order to alleviate the gravity. FES alone couldn't achieve movement. Also a button can be used for locking a position after a movement.



## 8-CHANNEL CURRENT CONTROLLED-STIMULATOR



- Pulse amplitude (A): 10-120 mA
  - Pulse duration (PW): 100-500  $\mu$ s
  - Stimulation frequency (f): 20-60 Hz
- } Number of recruited fibers:  
*Spatial summation*
- } Rate at which the fibers fire:  
*Temporal summation*



## Multi-channel EMG AMPLIFIER

## Technical specification



- Gain 20 x
- Input Impedance >  $10^{12}$  Ohm
- Common Mode Rejection Ratio > 100 dB
- Sampling frequency (fs) up to 2048 Hz
- High resolution ADC (22 bit)
- Range  $\pm 3$  V
- Quantization step =  $\frac{6}{20} \times \frac{1}{2^{21}} = 71.5 \text{ nV}$
- Low – pass filter, cut-off frequency of  $0.27 * fs$  to avoid aliasing
- Active shielded-cables to avoid cable movement artifacts

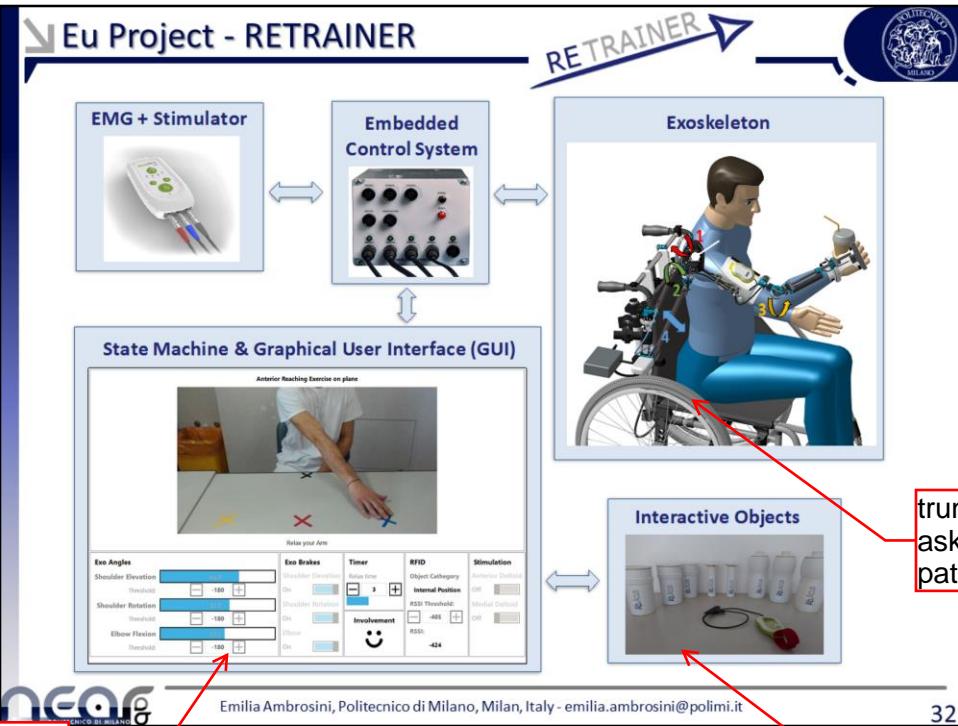
### Upper limb exoskeleton

#### Technical specification



- 3 degrees of freedom
- humeral rotation fixed
- forearm prono/supination, wrist flexion/extension, and wrist deviation mechanically fixed at subject-specific positions
- adjustable weight support
- Electromagnetic DC brakes to lock each DOF
- weight = 2.2 kg

equipped with breaks that locks dof, using a button.

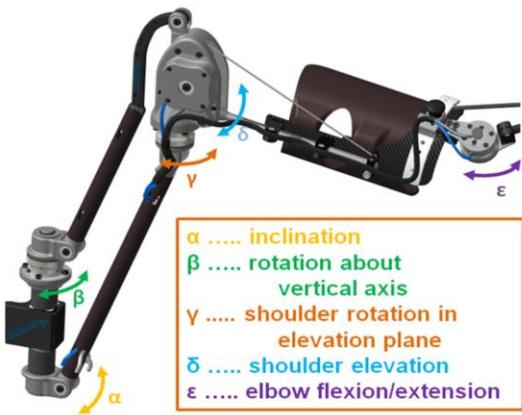


on surface pro,  
used by therapist to  
configure, + subject  
visual feedback  
about envelopment.  
It shows angles,  
breaks..

trunk movement!  
asked by the  
patients

this objects have an RFID, antenna on the exoskeleton, used to automatically reconize target position. We do the exercise of taking the object, with the rfid reader integrated with the angles we record automatically the target position, then instead of having to press a button, the stimulation automatically switches off.

32



**$\alpha$**  ..... inclination  
 **$\beta$**  ..... rotation about vertical axis  
 **$\gamma$**  ..... shoulder rotation in elevation plane  
 **$\delta$**  ..... shoulder elevation  
 **$\epsilon$**  ..... elbow flexion/extension

|   |            |
|---|------------|
| Inclination ( $\alpha$ )                          | 40°...65°  |
| Rotation about vertical axis ( $\beta$ )          | 0°....300° |
| Shoulder elevation ( $\delta$ )                   | 0°...120°  |
| Shoulder rotation in elevation plane ( $\gamma$ ) | 0°...110°  |
| Elbow flexion/extension ( $\epsilon$ )            | 0°...120°  |



### REHAMOVE-PRO STIMULATOR

- ✓ Miniature Size, lightweight, ergonomic casing
- ✓ 4 Stimulation channels, 2 of them with EMG-measurement function
- ✓ USB-connection to the Embedded Target
- ✓ Adjustable stimulation waveform
- ✓ Current: 0-150mA; resolution of 0.5mA
- ✓ Stimulation frequency: with 4 channels active up to 190Hz



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34

integrating EMG +  
stimulating

we were using on/off, with two threshold. If EMG is very low is hard to recognize 2 thresholds, where one should be at least the double of the other to have a stable controller.

## Eu Project - RETRAINER

### NMES Controller

- ✓ NMES is triggered based on the residual volitional EMG activity.
- ✓ If the muscle does not reach the pre-defined threshold, NMES is started after a time-out.
- ✓ A happy or sad emoji is visualized at the end of each task based on the subject's active involvement.

AD: Anterior Deltoid  
PD: Posterior Deltoid

continuiamo a valutare

**NEAR** POLITECNICO DI MILANO

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35

- A. The subject was not able to trigger FES to the Anterior Deltoid and FES was triggered after a time-out of 5s; however, when FES was switched on the subject was able to actively participate in the movement.
- B. The subject was able to trigger FES of the Posterior Deltoid based on the volitional effort (lower panel) and to maintain the active involvement during the task execution.

For patients not able to start stimulation (Very very low emg activity), we switched to a triggered EMG. But we still measure EMG during stimulation, and provide visual feedback about the voluntary activity. We still know if the subject is just passive.. and we promote him to be active! But the actual control is just done by a trigger. After a time elapsed it starts automatically, and it sort of made the user remember a bit. Some stroke patients at the beginning of therapy don't have residual EMG.