



# ELEC 341: Systems and Control

## Lecture 4

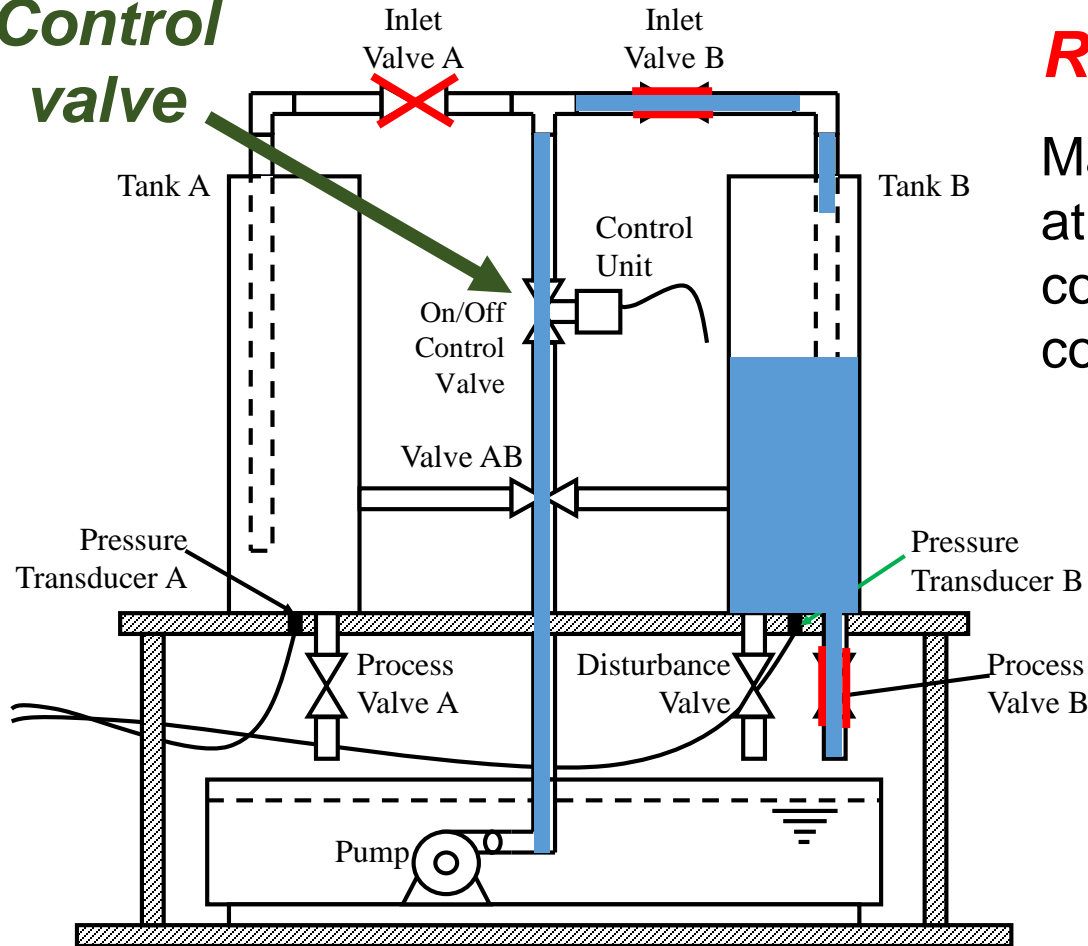
### Modeling of electrical & mechanical systems

# Water tank level control

**Control valve**

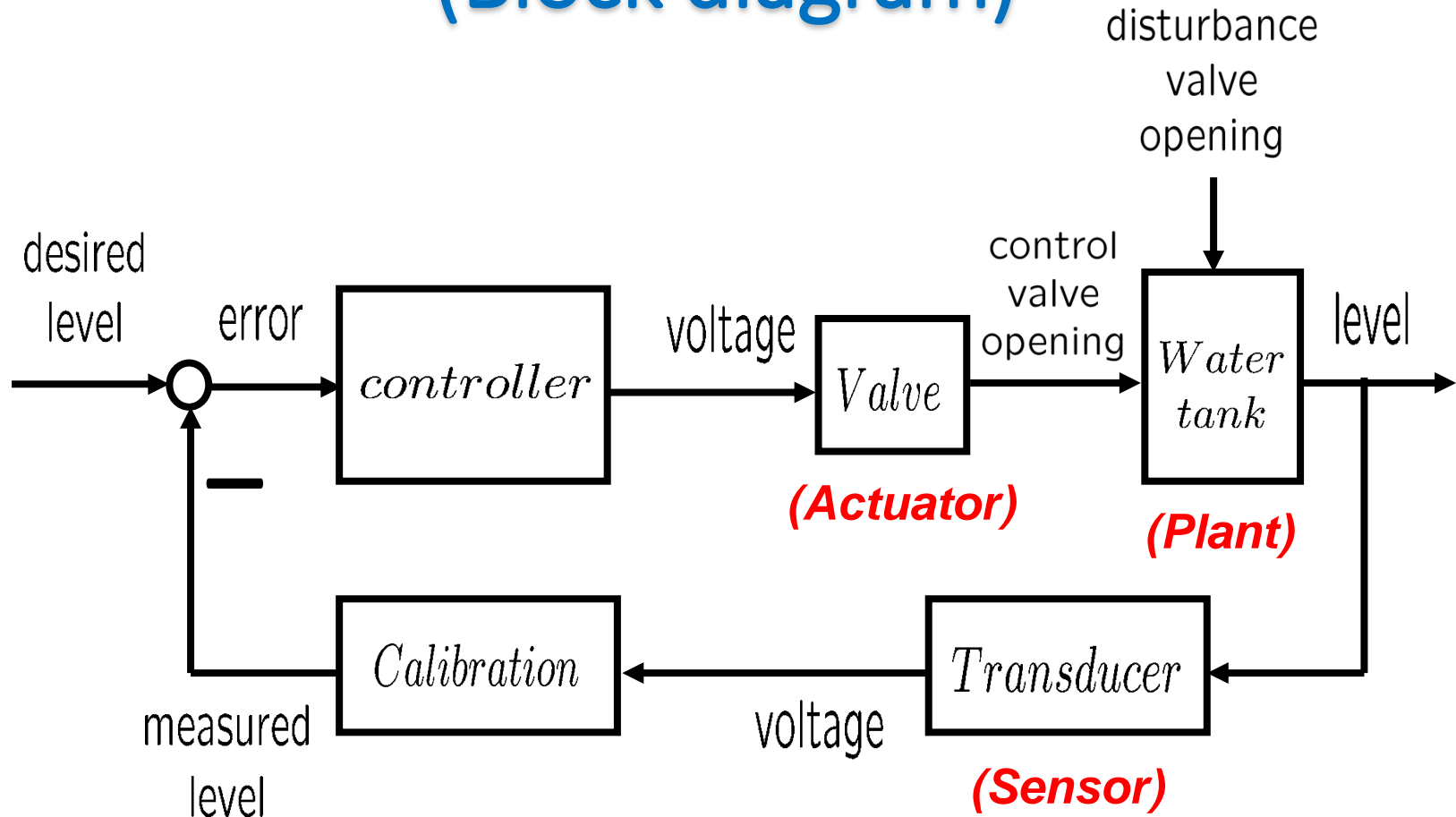
**Requirement:**

Maintain the level of Tank B at a desired level by controlling the flow through control valve.



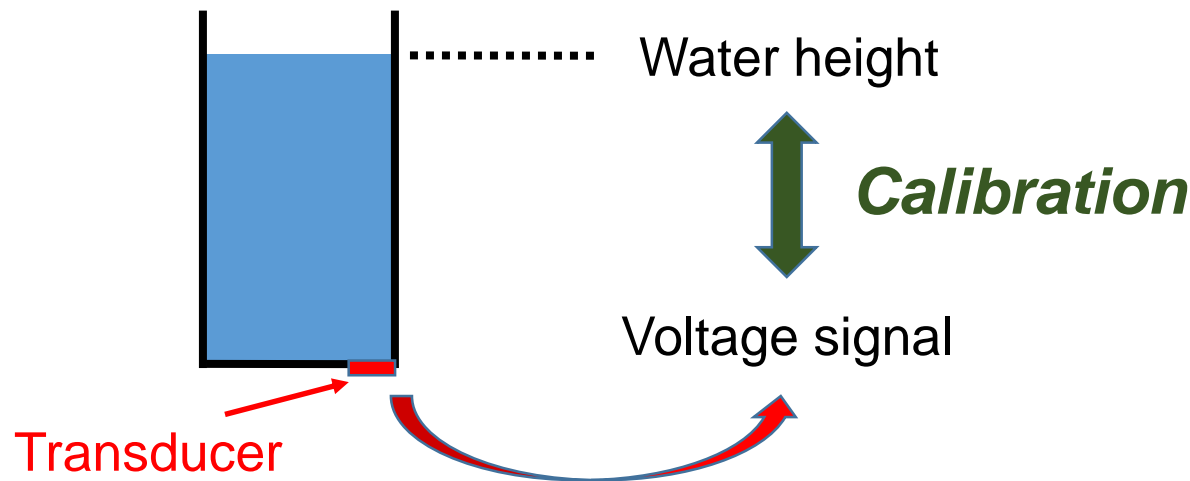
**Figure:** Schematic of the Tank Level Control Setup.

# Water tank level control (Block diagram)



# Two main tasks

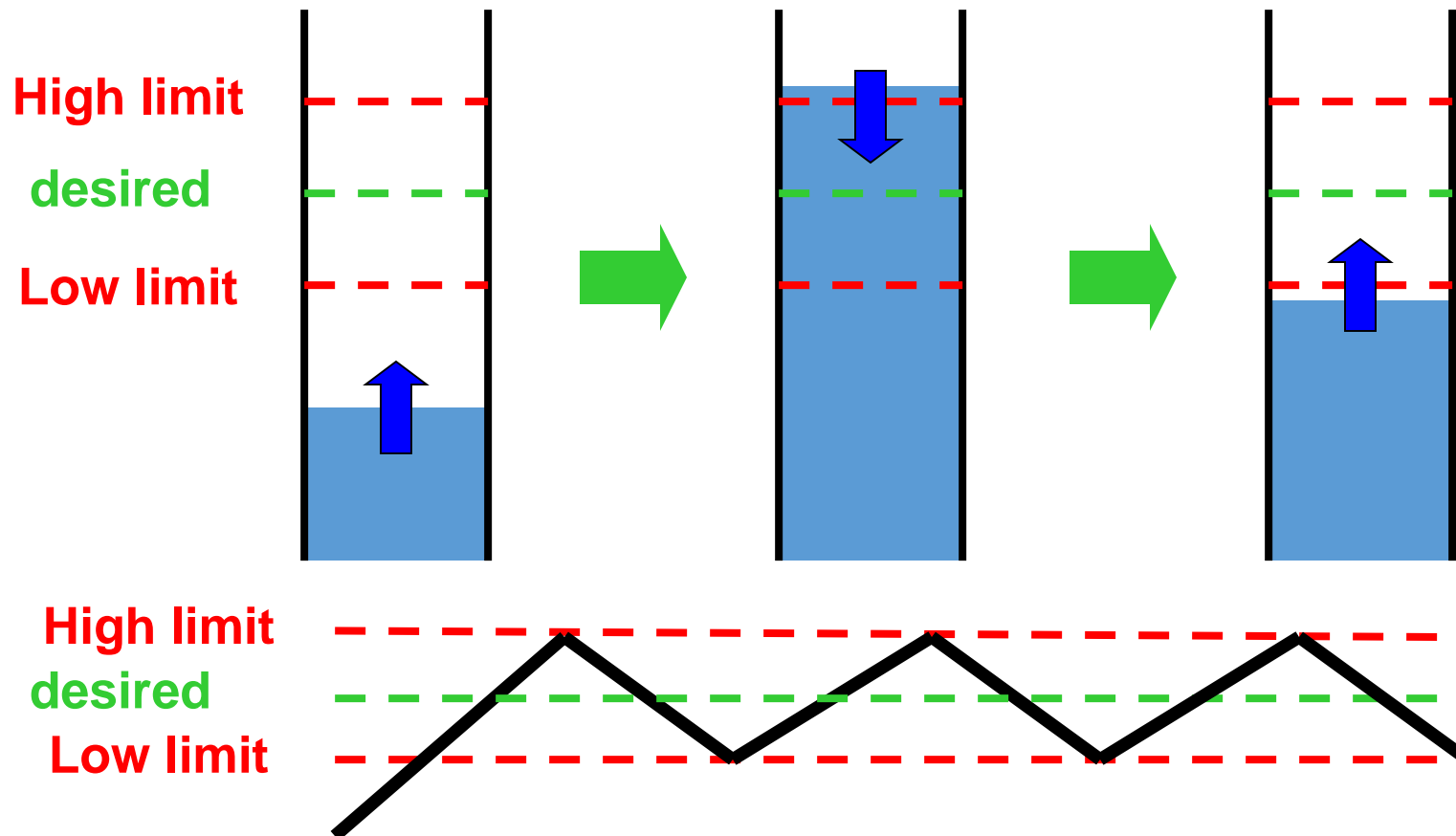
- Calibration
  - Relate transducer output voltage to actual water level.



- Implementation of the Proportional or ON/OFF controller
  - Analyze the performance of the closed-loop system with a provided ON/OFF controller block.

# ON/OFF (bang-bang) control

“On” (Valve fully open)      “Off” (Valve fully closed)



# Remarks on ON/OFF control



- Simplest **design control algorithm**
- Oscillatory behavior
- Difficult to maintain the level at the desired level.
- Small difference between high and low limits causes the **chattering** (rapid switching) problem.
- Over-reaction (small change of water level may cause full action of valve). This can be avoided by a **proportional control**.

# Course roadmap

## Modeling

✓ Laplace transform  
➡ Transfer function

Models for systems

- Electrical
- Electromechanical
- Mechanical

Linearization, delay

## Analysis

Stability

- Routh-Hurwitz
- Nyquist

Time response

- Transient
- Steady state

Frequency response

- Bode plot

## Design

Design specs

Root locus

Frequency domain

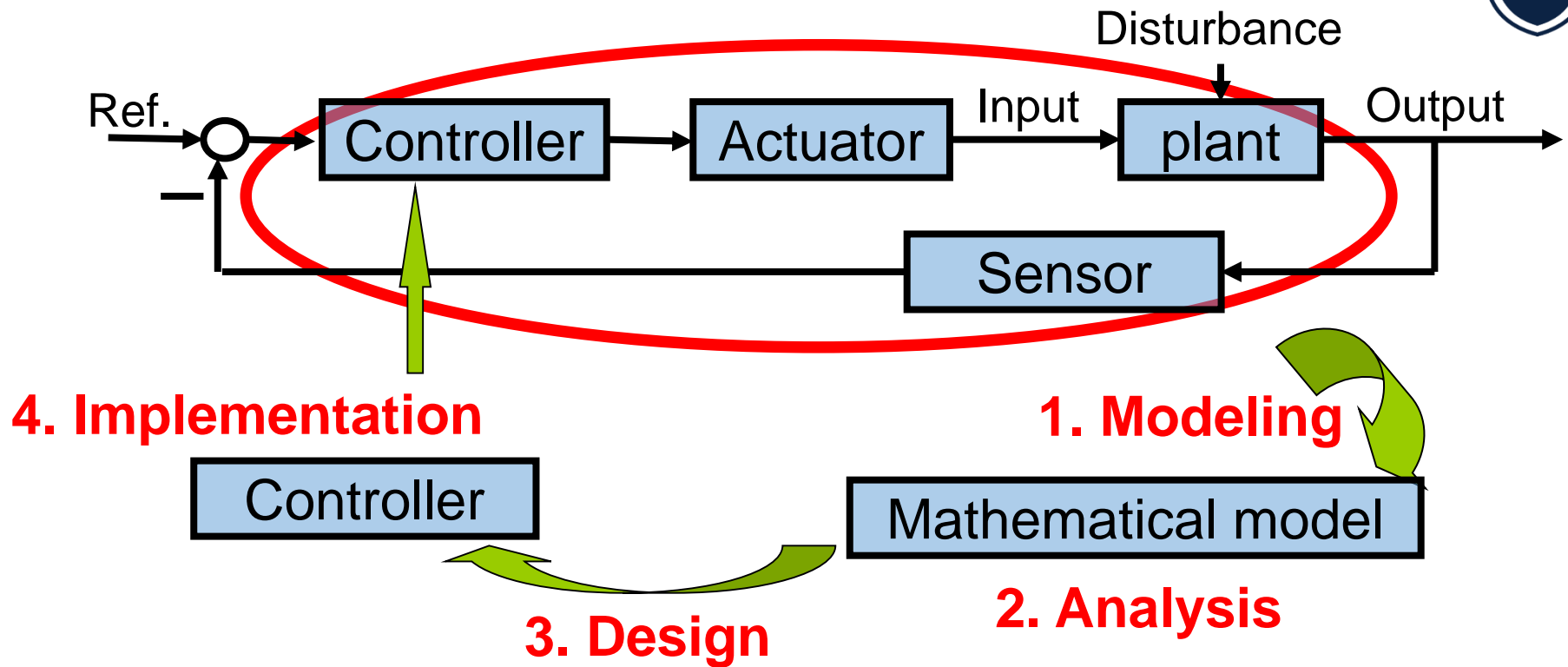
PID & Lead-lag

Design examples

↖ ↗

Matlab simulations

# Controller design process (review)

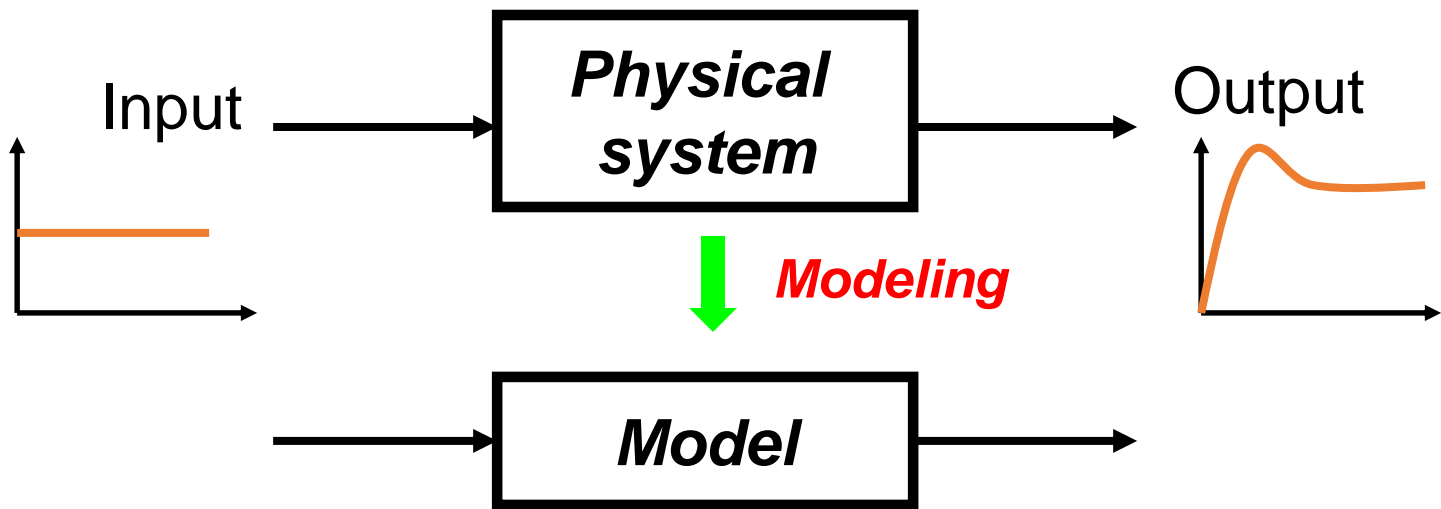


- What is the “mathematical model”?
- Transfer function
- Modeling of electrical & mechanical systems



# Mathematical model

- A mathematical model is a representation of the input-output (signal) relation of a physical system:



- A model is used for the **analysis** and **design** of control systems.

# Important remarks on models

- Modeling is one of the **most important and most difficult tasks** in control system design.
- No mathematical model exactly represents a physical system.

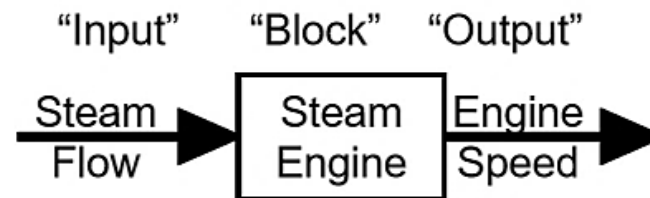
Math model  $\neq$  Physical system

Math model  $\approx$  Physical system

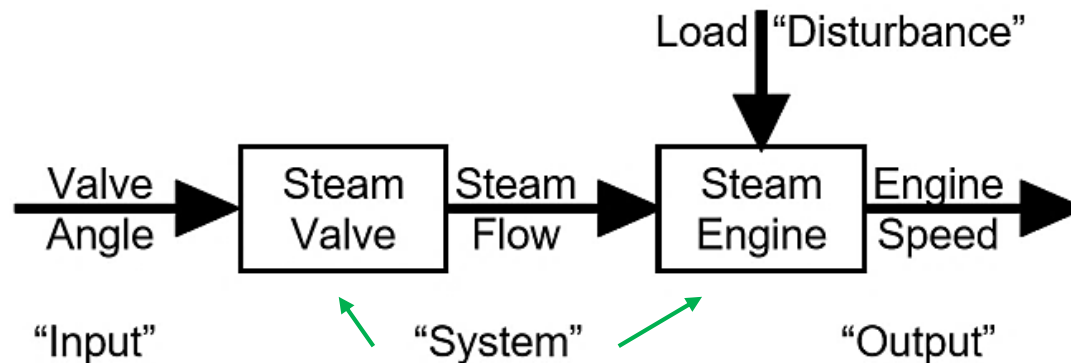
- Do not confuse **models** with **physical systems**!

# Block diagram

- Communication tool for Engineering Systems
  - Composed of Blocks with inputs and outputs



- Blocks Connect to form systems
  - Outputs of one block becomes input to another

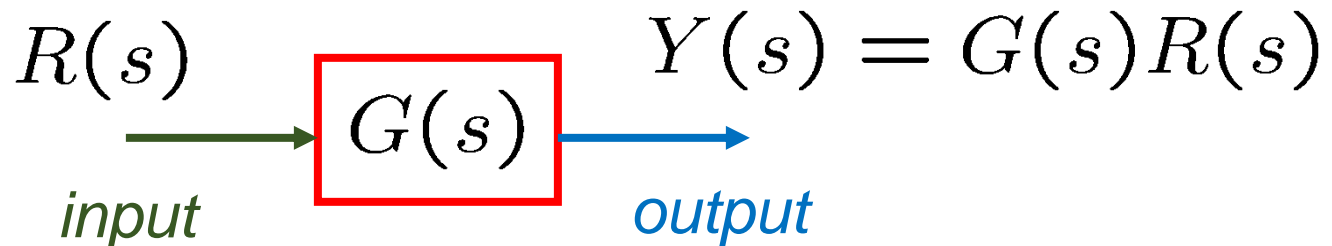


# Transfer function

- A **transfer function** is defined by

$$G(s) = \frac{Y(s)}{R(s)}$$

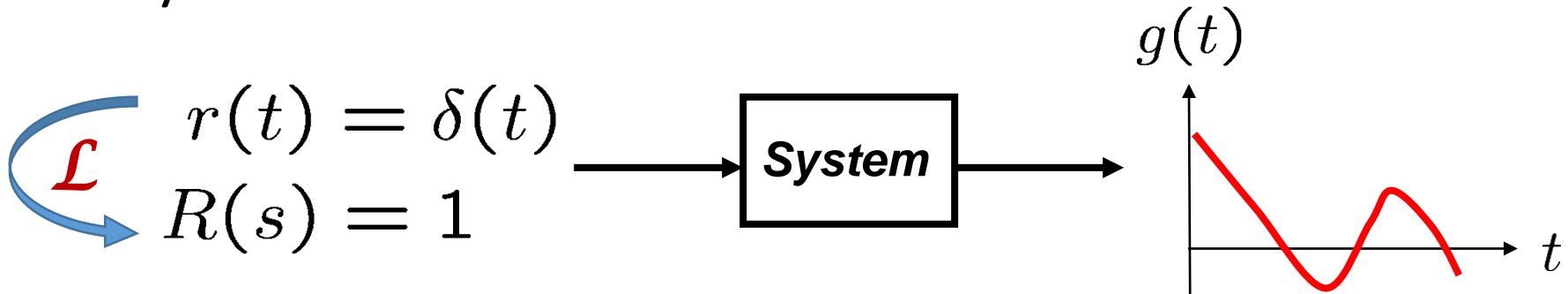
*Laplace transform of system output* (pointing to  $Y(s)$ )  
*Laplace transform of system input* (pointing to  $R(s)$ )



- Transfer function is a generalization of “gain” concept.

# Impulse response

- Suppose that  $r(t)$  is the unit impulse function and system is at rest.



- The output  $g(t)$  for the unit impulse input is called *unit impulse response*.
- Since  $R(s)=1$ , the transfer function can also be defined as the **Laplace transform of impulse response**:

$$G(s) = \mathcal{L} \{g(t)\}$$

# Course roadmap

## Modeling

- ✓ Laplace transform
- ✓ Transfer function
- Models for systems
  - Electrical
  - Electromechanical
  - Mechanical
- Linearization, delay

## Analysis

- Stability
  - Routh-Hurwitz
  - Nyquist
- Time response
  - Transient
  - Steady state
- Frequency response
  - Bode plot

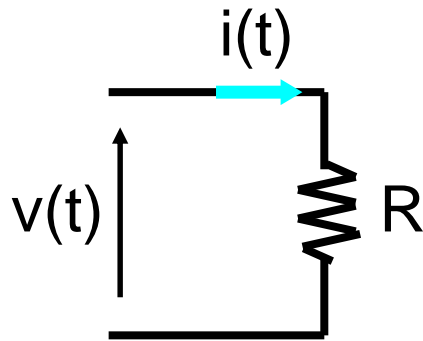
## Design

- Design specs
- Root locus
- Frequency domain
- PID & Lead-lag
- Design examples

*Matlab simulations*

# Models of electrical elements

## Resistance

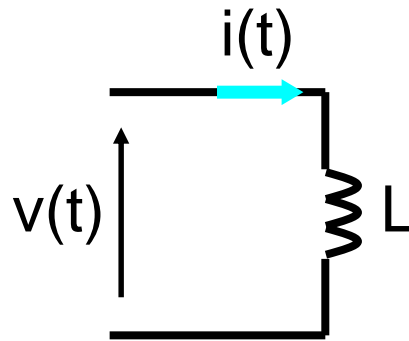


$$v(t) = Ri(t)$$

↓ Laplace transform

$$\frac{V(s)}{I(s)} = \underline{R}$$

## Inductance

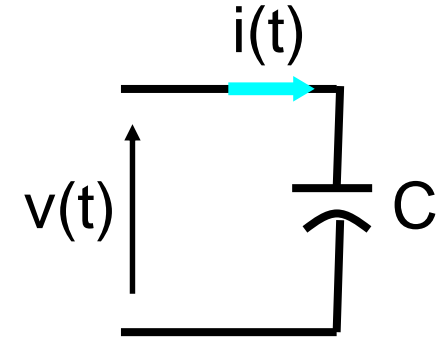


$$v(t) = L \frac{di(t)}{dt}$$

↓ ( $i(0) = 0$ )

$$\frac{V(s)}{I(s)} = \underline{sL}$$

## Capacitance



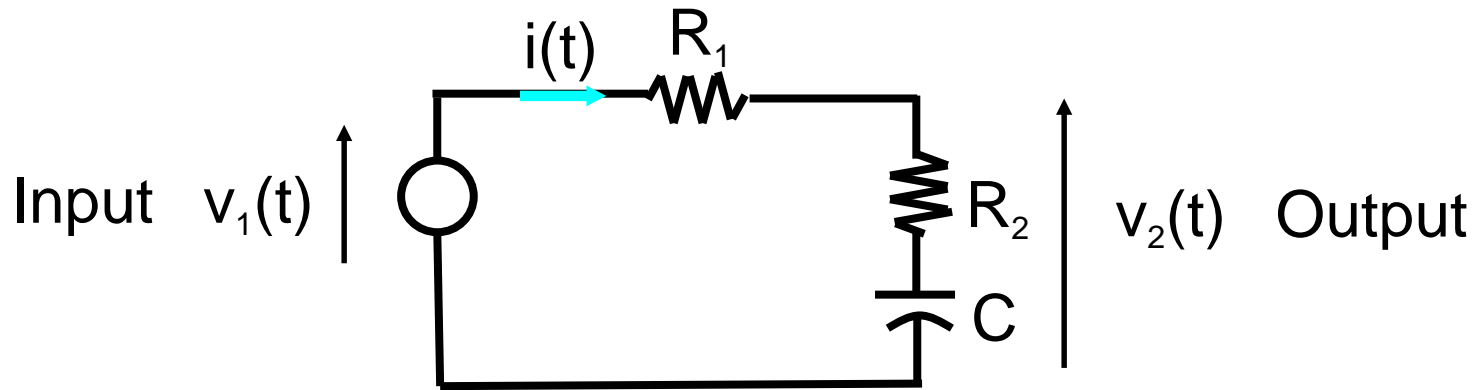
$$i(t) = C \frac{dv(t)}{dt}$$

↓ ( $v(0) = 0$ )

$$\frac{V(s)}{I(s)} = \underline{\frac{1}{sC}}$$

Impedance

# Example 1: Modeling



- **Kirchhoff voltage law** (with zero initial conditions)

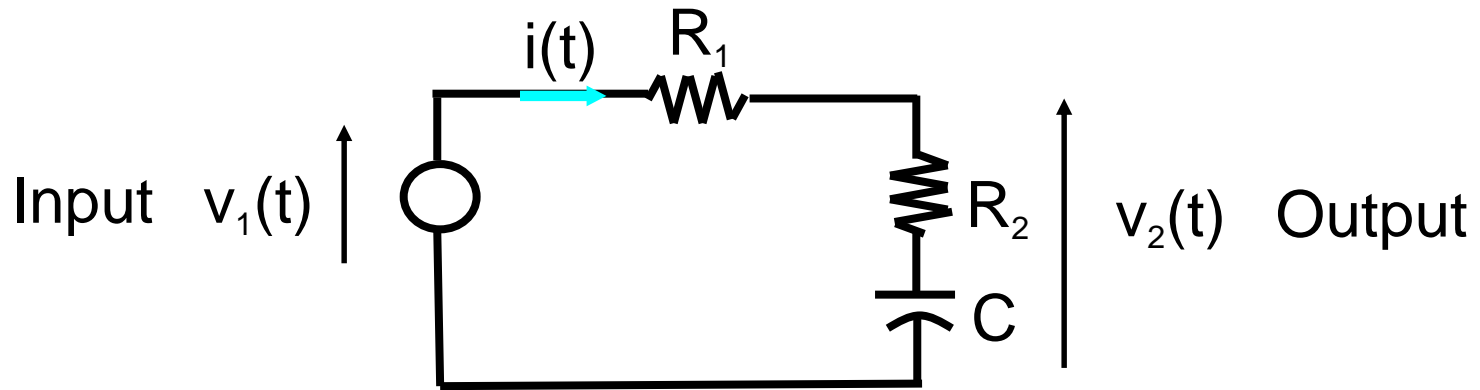
$$\begin{aligned}v_1(t) &= (R_1 + R_2)i(t) + \frac{1}{C} \int_0^t i(\tau) d\tau \\v_2(t) &= R_2 i(t) + \frac{1}{C} \int_0^t i(\tau) d\tau\end{aligned}$$

- By **Laplace transform**,

$$\begin{aligned}V_1(s) &= (R_1 + R_2)I(s) + \frac{1}{sC}I(s) \\V_2(s) &= R_2 I(s) + \frac{1}{sC}I(s)\end{aligned}$$



# Example 1 (cont'd)



- Transfer function

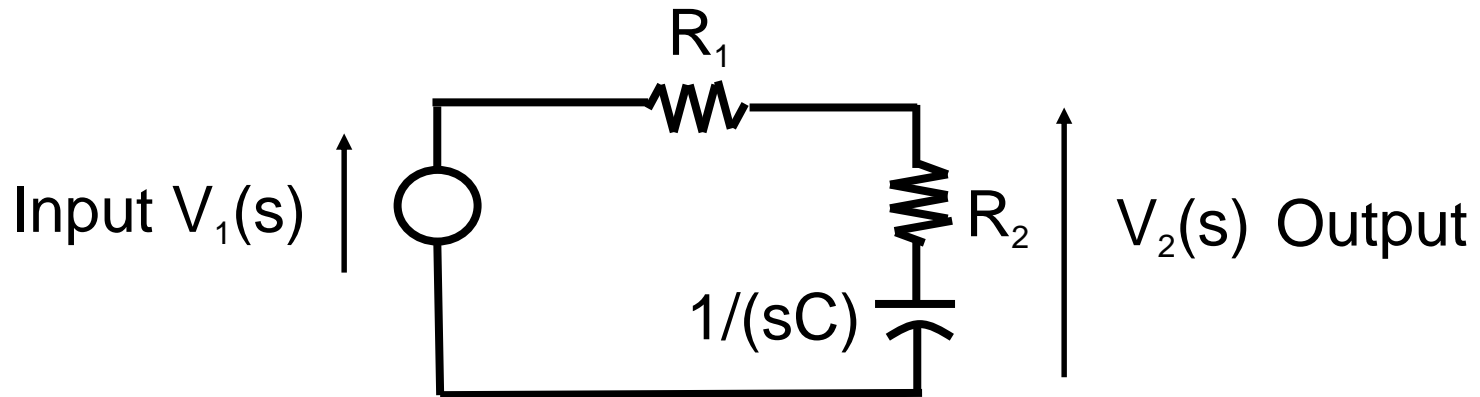
$$\begin{aligned} G(s) = \frac{V_2(s)}{V_1(s)} &= \frac{R_2 + \frac{1}{sC}}{(R_1 + R_2) + \frac{1}{sC}} \\ &= \frac{R_2Cs + 1}{(R_1 + R_2)Cs + 1} \quad (\text{first-order system}) \end{aligned}$$

# Example 1 (cont'd)



- **Impedance method**

- **Step 1:** Replace electrical elements with impedances.
- **Step 2:** Deal with impedances as if they were resistances.

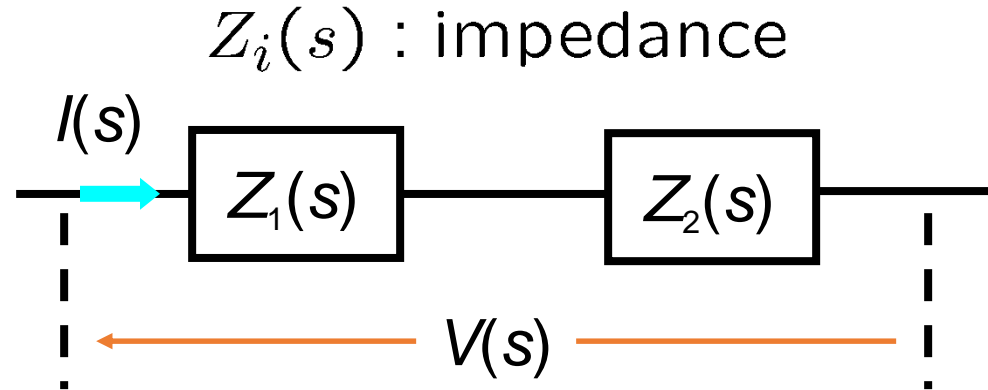


$$\begin{aligned} G(s) = \frac{V_2(s)}{V_1(s)} &= \frac{(\text{Impedance for output})}{(\text{Total impedance})} = \frac{R_2 + \frac{1}{sC}}{R_1 + R_2 + \frac{1}{sC}} \\ &= \frac{R_2Cs + 1}{(R_1 + R_2)Cs + 1} \end{aligned}$$

# Impedance computation

- Series connection

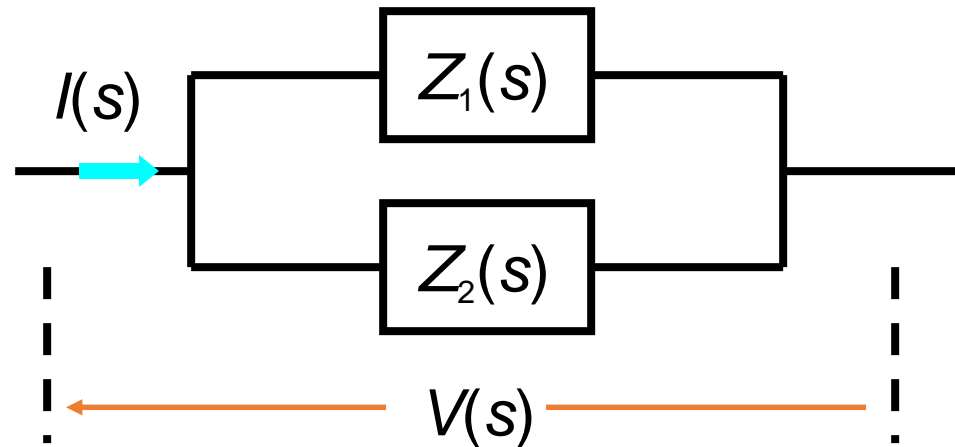
$$Z(s) = Z_1(s) + Z_2(s)$$



- Parallel connection

$$Z(s) = \frac{Z_1(s)Z_2(s)}{Z_1(s) + Z_2(s)}$$

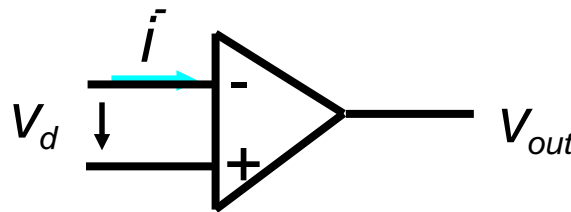
$$\frac{1}{Z(s)} = \frac{1}{Z_1(s)} + \frac{1}{Z_2(s)}$$



# Operational amplifier (op-amp)



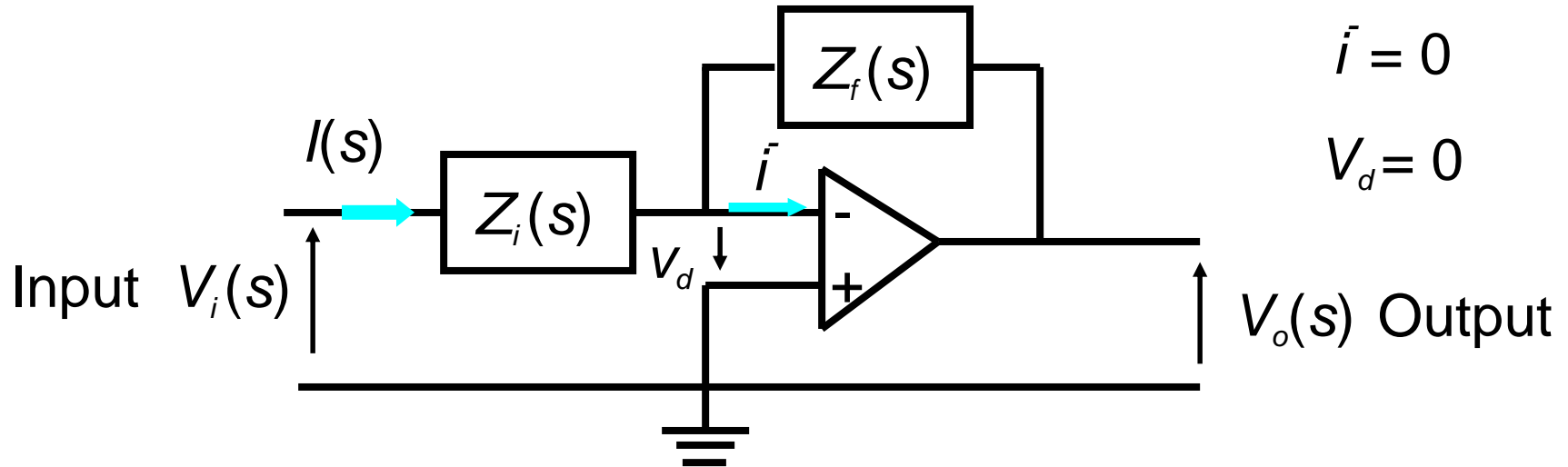
- Electronic voltage amplifier
- Basic building block of analog circuits
- Ideal op-amp (does not exist, but is a good approximation of reality):



$$\vec{i} = 0$$

$$V_d = 0$$

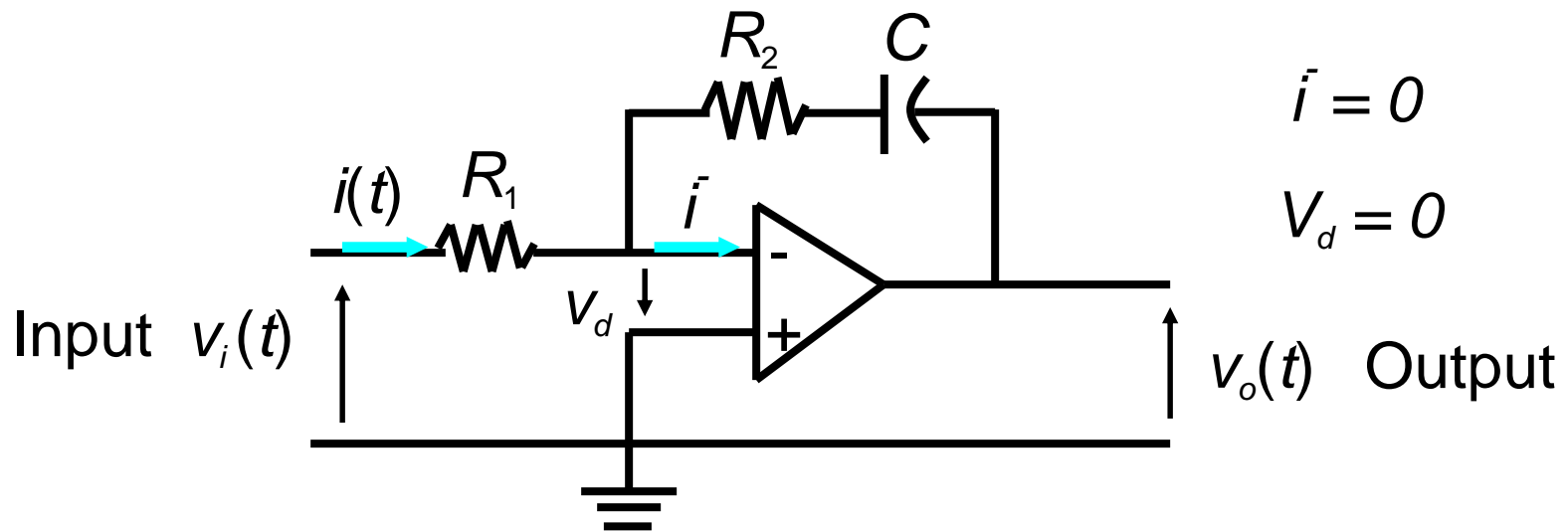
# Example 2: Modeling of op-amp



- **Impedance**  $Z(s)$ :  $V(s) = Z(s)I(s)$
- **Transfer function** of the above op amp:

$$G(s) = \frac{V_o(s)}{V_i(s)} = \frac{-Z_f(s)I(s)}{Z_i(s)I(s)} = -\frac{Z_f(s)}{Z_i(s)}$$

## Example 2 (cont'd)



- By the formula in previous two slides,

$$G(s) = \frac{V_o(s)}{V_i(s)} = \frac{-(R_2 + \frac{1}{sC})}{R_1} = -\frac{R_2Cs + 1}{R_1Cs}$$

(first-order system)

# Course roadmap

## Modeling

- ✓ Laplace transform
- ✓ Transfer function
- Models for systems
  - ✓ • Electrical
  - Electromechanical
  - • Mechanical
- Linearization, delay

## Analysis

- Stability
  - Routh-Hurwitz
  - Nyquist
- Time response
  - Transient
  - Steady state
- Frequency response
  - Bode plot

## Design

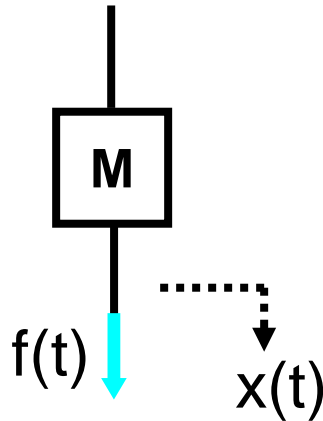
- Design specs
- Root locus
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*Matlab simulations*


# Translational mechanical elements



## Mass

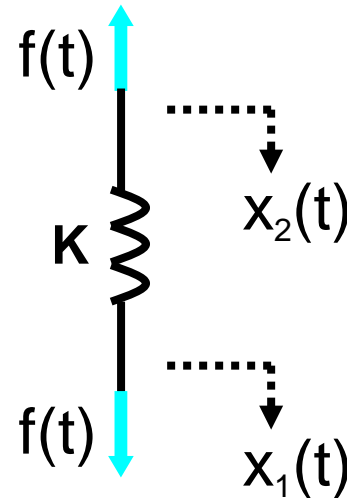


$$f(t) = Mx''(t)$$



 $\left( \begin{array}{l} x(0) = 0 \\ \dot{x}(0) = 0 \end{array} \right)$

$$F(s) = Ms^2X(s)$$

## Spring

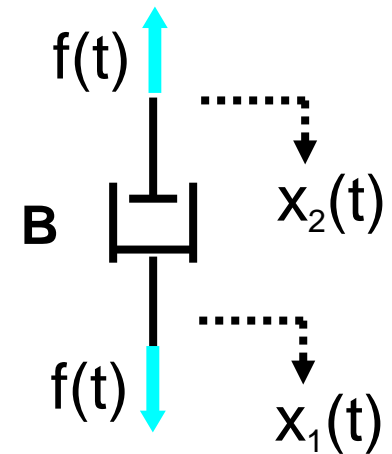


$$f(t) = K(x_1(t) - x_2(t))$$




$$F(s) = K(X_1(s) - X_2(s))$$

## Damper



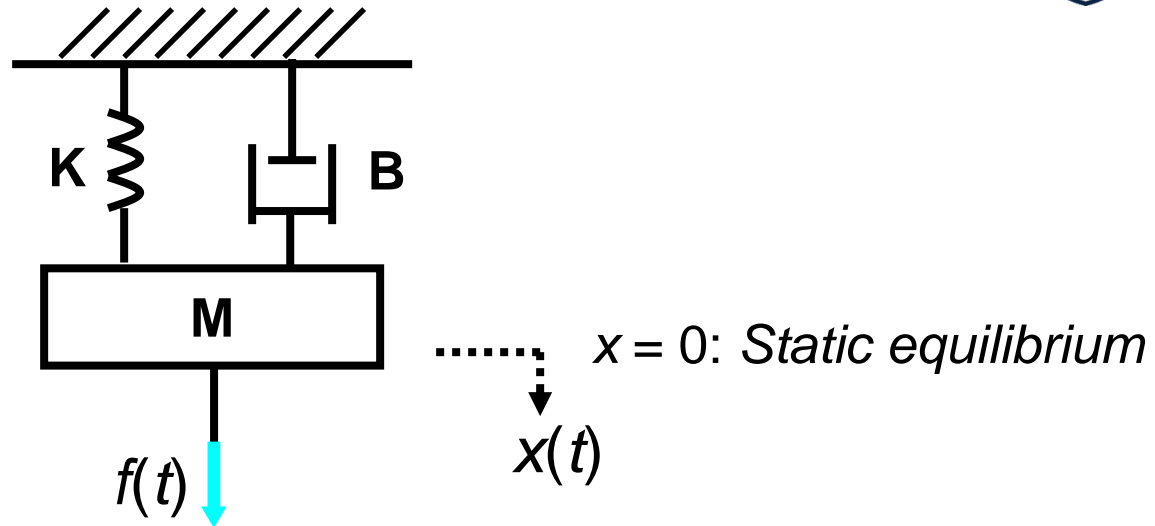
$$f(t) = B(x_1'(t) - x_2'(t))$$


 $\left( \begin{array}{l} x_1(0) = 0 \\ x_2(0) = 0 \end{array} \right)$

$$F(s) = Bs(X_1(s) - X_2(s))$$



# Example 3: Mass-spring-damper system



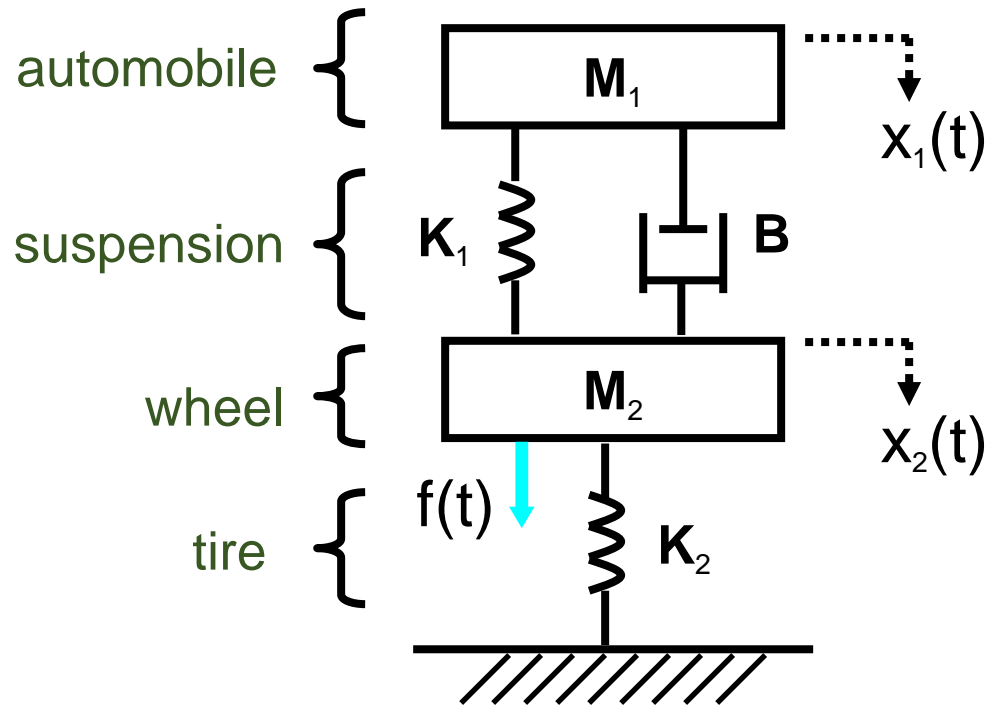
- Equation of motion by Newton's 2<sup>nd</sup> law

$$Mx''(t) = f(t) - Bx'(t) - Kx(t)$$

- By Laplace transform (with zero initial conditions),

$$\frac{X(s)}{F(s)} = \frac{1}{Ms^2 + Bs + K} \quad (2^{\text{nd}} \text{ order system})$$

# Example 4: Automobile suspension system

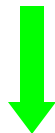


- Equations of motion by Newton's 2<sup>nd</sup> law

$$\begin{cases} M_1 x_1''(t) = -B(x_1'(t) - x_2'(t)) - K_1(x_1(t) - x_2(t)) \\ M_2 x_2''(t) = f(t) - B(x_2'(t) - x_1'(t)) - K_1(x_2(t) - x_1(t)) - K_2 x_2(t) \end{cases}$$

## Example 4 (cont'd)

$$\begin{cases} M_1 x_1''(t) = -B(x_1'(t) - x_2'(t)) - K_1(x_1(t) - x_2(t)) \\ M_2 x_2''(t) = f(t) - B(x_2'(t) - x_1'(t)) - K_1(x_2(t) - x_1(t)) - K_2 x_2(t) \end{cases}$$



Laplace transform with zero ICs

$$\begin{cases} M_1 s^2 X_1(s) = -B(sX_1(s) - sX_2(s)) - K_1(X_1(s) - X_2(s)) \\ M_2 s^2 X_2(s) = F(s) - B(sX_2(s) - sX_1(s)) - K_1(X_2(s) - X_1(s)) - K_2 X_2(s) \end{cases}$$



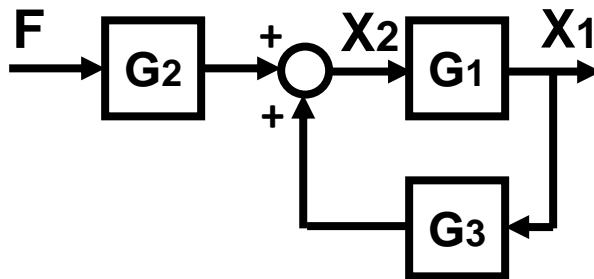
$$\begin{cases} X_1(s) = \underbrace{\frac{Bs + K_1}{M_1 s^2 + Bs + K_1}}_{G_1(s)} X_2(s) \\ X_2(s) = \underbrace{\frac{1}{M_2 s^2 + Bs + K_1 + K_2}}_{G_2(s)} F(s) + \underbrace{\frac{Bs + K_1}{M_2 s^2 + Bs + K_1 + K_2}}_{G_3(s)} X_1(s) \end{cases}$$

*Make transfer functions so that*  
 $\deg(\text{den}) \geq \deg(\text{num})$

Note: To save space, from now on, I will not show the top curly bracket.

# Example 4 (cont'd)

$$\begin{cases} X_1(s) = \underbrace{\frac{Bs + K_1}{M_1s^2 + Bs + K_1}}_{G_1(s)} X_2(s) \\ X_2(s) = \underbrace{\frac{1}{M_2s^2 + Bs + K_1 + K_2}}_{G_2(s)} F(s) + \underbrace{\frac{Bs + K_1}{M_2s^2 + Bs + K_1 + K_2}}_{G_3(s)} X_1(s) \end{cases}$$



Block diagram

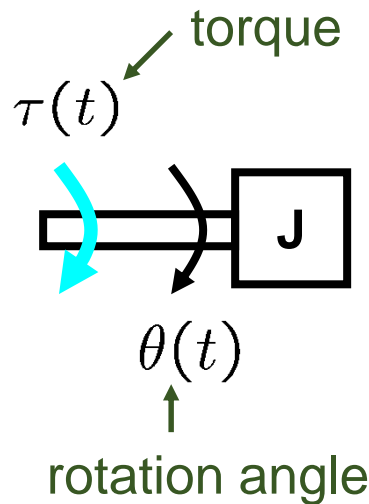


$$\frac{X_1(s)}{F(s)} = \frac{G_1(s)G_2(s)}{1 - G_1(s)G_3(s)}$$

We will study how to derive this transfer function in the next lecture.

# Rotational mechanical elements

## Moment of inertia

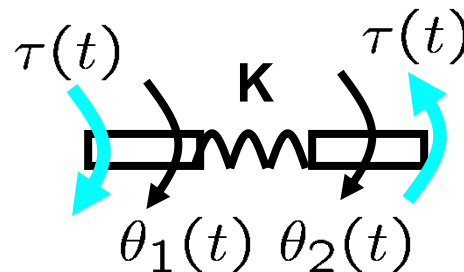


$$\tau(t) = J\theta''(t)$$

$$\downarrow \begin{pmatrix} \theta(0) = 0 \\ \dot{\theta}(0) = 0 \end{pmatrix}$$

$$T(s) = Js^2\Theta(s)$$

## Rotational spring

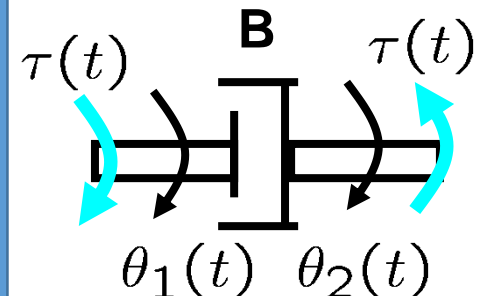


$$\tau(t) = K(\theta_1(t) - \theta_2(t))$$

$$\downarrow$$

$$T(s) = K(\Theta_1(s) - \Theta_2(s))$$

## Friction

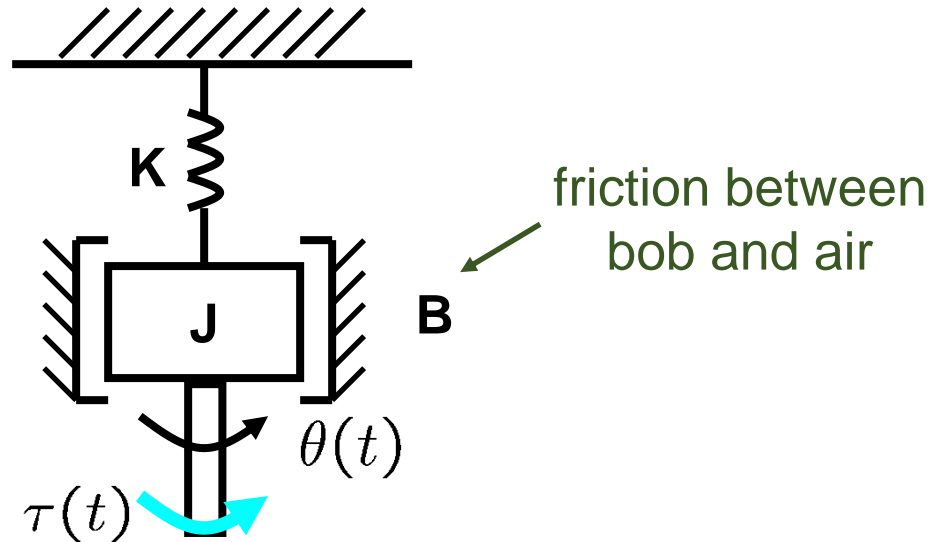


$$\tau(t) = B(\theta_1'(t) - \theta_2'(t))$$

$$\downarrow \begin{pmatrix} \theta_1(0) = 0 \\ \theta_2(0) = 0 \end{pmatrix}$$

$$T(s) = Bs(\Theta_1(s) - \Theta_2(s))$$

# Example 5: Torsional pendulum system



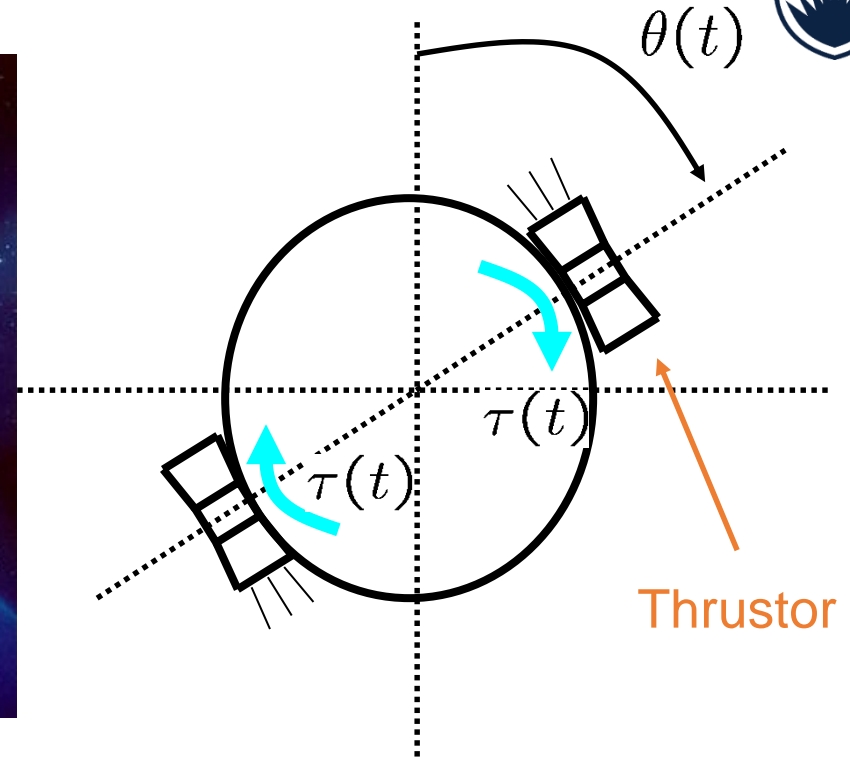
- Equation of motion by Newton's law

$$J\theta''(t) = \tau(t) - B\theta'(t) - K\theta(t)$$

- By Laplace transform (with zero ICs),

$$G(s) = \frac{\Theta(s)}{T(s)} = \frac{1}{Js^2 + Bs + K} \quad (2^{\text{nd}} \text{ order system})$$

# Rigid satellite



- Broadcasting
- Weather forecast
- Communication
- GPS, etc.

$$\tau(t) = J\ddot{\theta}(t)$$

$$\rightarrow G(s) = \frac{\Theta(s)}{T(s)} = \frac{1}{Js^2}$$

*Double  
integrator*

# State Space Modeling



- Two approaches are available for the analysis and design of feedback control systems.
- The first is known as the **classical**, or **frequency-domain**, technique.
- This approach is based on converting a system's differential equation to a transfer function, thus generating a mathematical model of the system that algebraically relates a representation of the output to a representation of the input.
- Replacing a differential equation with an algebraic equation not only simplifies the representation of individual subsystems but also simplifies modeling interconnected subsystems.
- The primary disadvantage of the classical approach is its limited applicability: It can be applied only to linear, time-invariant systems or systems that can be approximated as such.
- A major advantage of frequency-domain techniques is that they rapidly provide stability and transient response information.
- Thus, we can immediately see the effects of varying system parameters until an acceptable design is met.



# State Space Modeling



- With the arrival of space exploration, requirements for control systems increased in scope.
- The **state-space** approach (also referred to as the **modern**, or **time-domain**, approach) is a **unified method** for modeling, analyzing, and designing a wide range of systems.
- Time-varying systems, (for example, missiles with varying fuel levels or lift in an aircraft flying through a wide range of altitudes) can be represented in state space.
- Many systems do not have just a single input and a single output. Multiple-input, multiple-output systems can be compactly represented in state space with a model similar in form and complexity to that used for single-input, single-output systems.
- The state space approach is also attractive because of the availability of numerous state-space software packages for the personal computer.
- While the state-space approach can be applied to a wide range of systems, it is not as intuitive as the classical approach.
- The designer has to engage in several calculations before the physical interpretation of the model is apparent, whereas in classical control a few quick calculations or a graphic presentation of data rapidly yields the physical interpretation.

# State Space Modeling



1. We select a particular *subset* of all possible system variables and call the variables in this subset *state variables*.
2. For an  $n$ th-order system, we write  $n$  *simultaneous, first-order differential equations* in terms of the state variables. We call this system of simultaneous differential equations *state equations*.
3. If we know the initial condition of all of the state variables at  $t_0$  as well as the system input for  $t \geq t_0$ , we can solve the simultaneous differential equations for the state variables for  $t \geq t_0$ .
4. We *algebraically* combine the state variables with the system's input and find all of the other system variables for  $t \geq t_0$ . We call this algebraic equation the *output equation*.
5. We consider the state equations and the output equations a viable representation of the system. We call this representation of the system a *state-space representation*.

# State Space Modeling



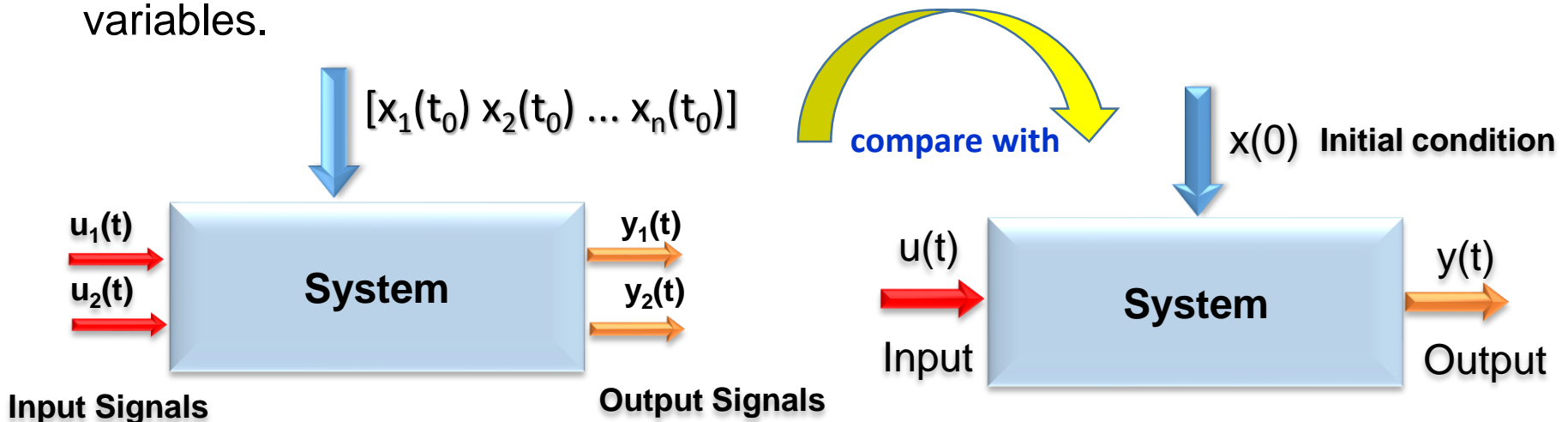
## State Variables of a Dynamic System:

- The time-domain analysis and design of control systems utilizes the concept of the state of a system.
- The state of a system is a set of variables such that the knowledge of these variables and the input functions will, with the equations describing the dynamics, provide the future state and output of the system.
- For a dynamic system, the state of a system is described in terms of a set of state variables

$$[x_1(t) \quad x_2(t) \quad \dots \quad x_n(t)]$$

# State Space Modeling

- The state variables are those variables that determine the future behavior of a system when the present state of the system and the excitation signals are known.
- Consider the system shown below, where  $y_1(t)$  and  $y_2(t)$  are the output signals and  $u_1(t)$  and  $u_2(t)$  are the input signals. A set of state variables  $[x_1 \ x_2 \ \dots \ x_n]$  for the system shown in the figure is a set such that knowledge of the initial values of the state variables  $[x_1(t_0) \ x_2(t_0) \ \dots \ x_n(t_0)]$  at the initial time  $t_0$ , and of the input signals  $u_1(t)$  and  $u_2(t)$  for  $t \geq t_0$ , suffices to determine the future values of the outputs and state variables.



# State Space Modeling

## State Differential Equation:

- The state of a system is described by the set of **first-order** differential equations written in terms of the state variables  $[x_1 \ x_2 \ \dots \ x_n]$ . These first-order differential equations can be written in general form as:

$$\dot{x}_1 = a_{11}x_1 + a_{12}x_2 + \dots a_{1n}x_n + b_{11}u_1 + \dots b_{1m}u_m$$

$$\dot{x}_2 = a_{21}x_1 + a_{22}x_2 + \dots a_{2n}x_n + b_{21}u_1 + \dots b_{2m}u_m$$

$$\vdots$$

$$\dot{x}_n = a_{n1}x_1 + a_{n2}x_2 + \dots a_{nn}x_n + b_{n1}u_1 + \dots b_{nm}u_m$$

# State Space Modeling

- Thus, this set of simultaneous differential equations can be written in matrix form as follows:

$$\frac{d}{dt} \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{bmatrix} = \begin{bmatrix} a_{11} & a_{12} & \cdots & a_{1n} \\ a_{21} & a_{22} & \cdots & a_{2n} \\ \vdots & \cdots & \cdots & \vdots \\ a_{n1} & a_{n2} & \cdots & a_{nn} \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{bmatrix} + \begin{bmatrix} b_{11} & \cdots & b_{1m} \\ \vdots & \cdots & \vdots \\ b_{n1} & \cdots & b_{nm} \end{bmatrix} \begin{bmatrix} u_1 \\ \vdots \\ u_m \end{bmatrix}$$

$n$ : number of state variables,  $m$ : number of inputs.

- The column matrix consisting of the state variables is called the **state vector** and is written as:

$$\mathbf{x} = \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{bmatrix}$$

# State Space Modeling

- The vector of input signals is defined as  $u$ . Then the system can be represented by the compact notation of the state differential equation as:

$$\dot{\mathbf{x}} = \mathbf{A} \mathbf{x} + \mathbf{B} \mathbf{u}$$

- This differential equation is also commonly called the **state equation**. The matrix  $\mathbf{A}$  (the system matrix) is an  $n \times n$  square matrix, and  $\mathbf{B}$  (the input matrix) is an  $n \times m$  matrix. The state differential equation relates the rate of change of the state of the system to the state of the system (i.e.,  $\mathbf{x}$ ) and the input signals (i.e.,  $\mathbf{u}$ ). In general, the outputs of a linear system can be related to the state variables and the input signals by the **output equation**:

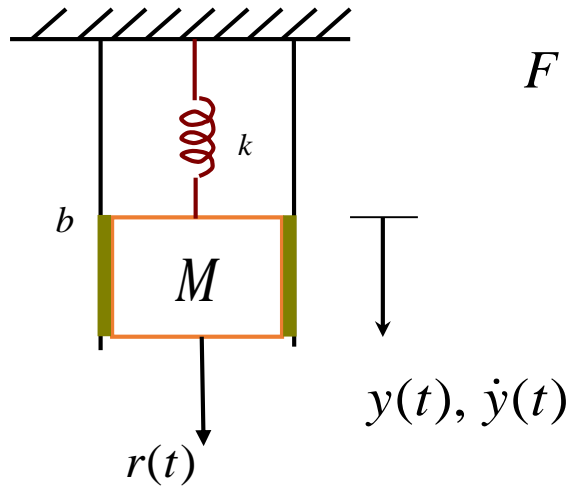
$$\mathbf{y} = \mathbf{C} \mathbf{x} + \mathbf{D} \mathbf{u}$$

- Where  $\mathbf{y}$  is the set of output signals expressed in column vector form,  $\mathbf{C}$  is the output matrix, and  $\mathbf{D}$  is the feed-forward matrix. The **state-space representation** (or **state-variable representation**) is comprised of the state variable differential equation and the output equation.



# Example 6

By Newton's Law



$$F = M \ddot{y} \rightarrow r - ky - b\dot{y} = M \ddot{y} \Rightarrow M \ddot{y} + b\dot{y} + ky = r$$

let  $x_1 = y, x_2 = \dot{y}$

$$\Rightarrow \begin{cases} \dot{x}_1 = \dot{y} = x_2 \\ \dot{x}_2 = \ddot{y} = -\frac{b}{M} \dot{y} - \frac{k}{M} y + \frac{1}{M} r \\ \quad = -\frac{b}{M} x_2 - \frac{k}{M} x_1 + \frac{1}{M} u \quad (u = r) \end{cases}$$

$$\Rightarrow \begin{cases} \dot{x}_1 = x_2 \\ \dot{x}_2 = -\frac{k}{M} x_1 - \frac{b}{M} x_2 + \frac{1}{M} u \end{cases}$$

$$\dot{\mathbf{x}} = \mathbf{A} \mathbf{x} + \mathbf{B} \mathbf{u}$$

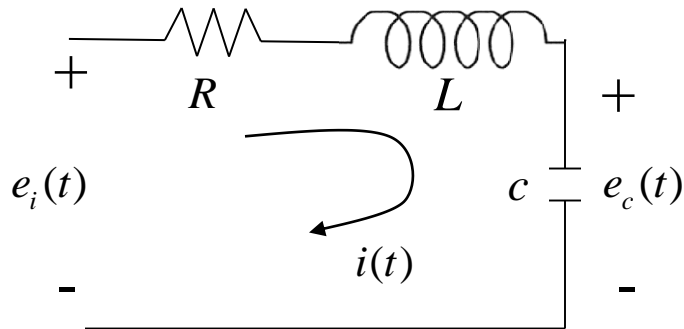
$$\mathbf{y} = \mathbf{C} \mathbf{x} + \mathbf{D} \mathbf{u}$$

$$\Rightarrow \begin{bmatrix} \dot{x}_1 \\ \dot{x}_2 \end{bmatrix} = \underbrace{\begin{bmatrix} 0 & 1 \\ -\frac{k}{M} & -\frac{b}{M} \end{bmatrix}}_{\mathbf{A}} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} + \underbrace{\begin{bmatrix} 0 \\ \frac{1}{M} \end{bmatrix}}_{\mathbf{B}} \cdot u \quad \mathbf{y} = \underbrace{\begin{bmatrix} 1 & 0 \end{bmatrix}}_{\mathbf{C}} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} + \underbrace{0 \cdot u}_{\mathbf{D}}$$



# Example 7

**Remark:** The choice of states is not unique and one can also have multiple outputs.



$$Ri(t) + L \frac{di(t)}{dt} + \frac{1}{C} \int_0^t i(t) dt = e_i(t)$$

(a)

let  $\begin{cases} x_1(t) = i(t) \\ x_2(t) = \int i(t) dt \\ y(t) = i(t) \end{cases} \longrightarrow \begin{cases} \begin{bmatrix} \dot{x}_1 \\ \dot{x}_2 \end{bmatrix} = \begin{bmatrix} -\frac{R}{L} & -\frac{1}{LC} \\ 1 & 0 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} + \begin{bmatrix} \frac{1}{L} \\ 0 \end{bmatrix} e_i(t) \\ y(t) = \begin{bmatrix} 1 & 0 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} \end{cases}$

(b)

let  $\begin{cases} x_1(t) = e_c(t) \\ x_2(t) = i(t) \\ y_1(t) = e_c(t) \\ y_2(t) = e_R(t) = Ri \end{cases} \longrightarrow \begin{cases} \begin{bmatrix} \dot{x}_1 \\ \dot{x}_2 \end{bmatrix} = \begin{bmatrix} 0 & \frac{1}{C} \\ -\frac{1}{LC} & -\frac{R}{L} \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} + \begin{bmatrix} 0 \\ \frac{1}{L} \end{bmatrix} e_i(t) \\ \begin{bmatrix} y_1 \\ y_2 \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & R \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} \end{cases}$

# Obtain transfer function from the state equation

Dynamical equation



Transfer function

$$\left. \begin{aligned} \dot{x}(t) &= Ax(t) + Bu(t) \\ y(t) &= Cx(t) + Du(t) \end{aligned} \right\} \text{Dynamical equation}$$

Laplace transform



$$\begin{aligned} sX(s) - x(0) &= AX(s) + BU(s) \\ Y(s) &= CX(s) + DU(s) \end{aligned}$$

assume  $x(0) = 0$

$$X(s) = (sI - A)^{-1} BU(s)$$

$$Y(s) = [C(sI - A)^{-1} B + D]U(s) \rightarrow$$

Transfer function

$$T(s) = \frac{Y(s)}{U(s)} = \mathbf{C}(s\mathbf{I} - \mathbf{A})^{-1} \mathbf{B} + \mathbf{D}$$

Transfer function

$A, B, C, D$ , and  $I$  are all matrices.

# Example 8:

## (State-space representation to transfer function)

Given the system defined by the following equations, find the transfer function,  $T(s) = Y(s)/U(s)$  where  $U(s)$  is the input and  $Y(s)$  is the output.

$$\dot{\mathbf{x}} = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ -1 & -2 & -3 \end{bmatrix} \mathbf{x} + \begin{bmatrix} 10 \\ 0 \\ 0 \end{bmatrix} u$$

$$y = [1 \quad 0 \quad 0] \mathbf{x}$$

**Solution:**

$$\begin{aligned} \dot{\mathbf{x}}(t) &= \mathbf{A}\mathbf{x}(t) + \mathbf{B}u(t) \\ \mathbf{y}(t) &= \mathbf{C}\mathbf{x}(t) + \mathbf{D}u(t) \end{aligned}$$

Compare with the above two equations

$$\begin{aligned} \mathbf{A} &= \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ -1 & -2 & -3 \end{bmatrix} & \mathbf{B} &= \begin{bmatrix} 10 \\ 0 \\ 0 \end{bmatrix} \\ \mathbf{C} &= [1 \quad 0 \quad 0] & \mathbf{D} &= 0 \end{aligned}$$

$$(s\mathbf{I} - \mathbf{A}) = \begin{bmatrix} s & 0 & 0 \\ 0 & s & 0 \\ 0 & 0 & s \end{bmatrix} - \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ -1 & -2 & -3 \end{bmatrix} = \begin{bmatrix} s & -1 & 0 \\ 0 & s & -1 \\ 1 & 2 & s+3 \end{bmatrix}$$

$$(s\mathbf{I} - \mathbf{A})^{-1} = \frac{\text{adj}(s\mathbf{I} - \mathbf{A})}{\det(s\mathbf{I} - \mathbf{A})} = \frac{\begin{bmatrix} (s^2 + 3s + 2) & s + 3 & 1 \\ -1 & s(s + 3) & s \\ -s & -(2s + 1) & s^2 \end{bmatrix}}{s^3 + 3s^2 + 2s + 1}$$

$$T(s) = \frac{Y(s)}{U(s)} = \mathbf{C}(s\mathbf{I} - \mathbf{A})^{-1} \mathbf{B} + \mathbf{D}$$

$$T(s) = \frac{10(s^2 + 3s + 2)}{s^3 + 3s^2 + 2s + 1}$$

# Signal Flow Graphs (Introduction)



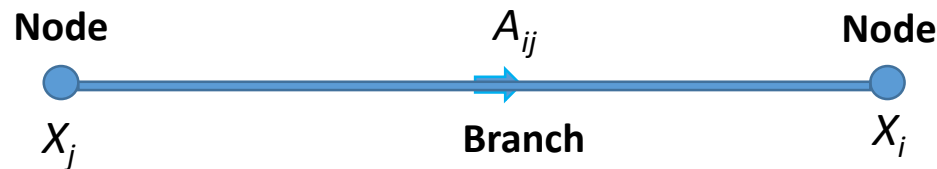
- Signal-flow graphs are an alternative to block diagrams.
- Signal flow graphs are a pictorial representation of the simultaneous equations describing a system.
- These graphs display the transmission of signals through the system, as does the block diagrams.
- Unlike block diagrams, which consist of blocks, signals, summing junctions, and pickoff points, a signal-flow graph consists only of branches, which represent systems, and nodes, which represent signals.

# Fundamentals of Signal Flow Graphs

- Consider a simple equation below and let us draw its signal flow graph:

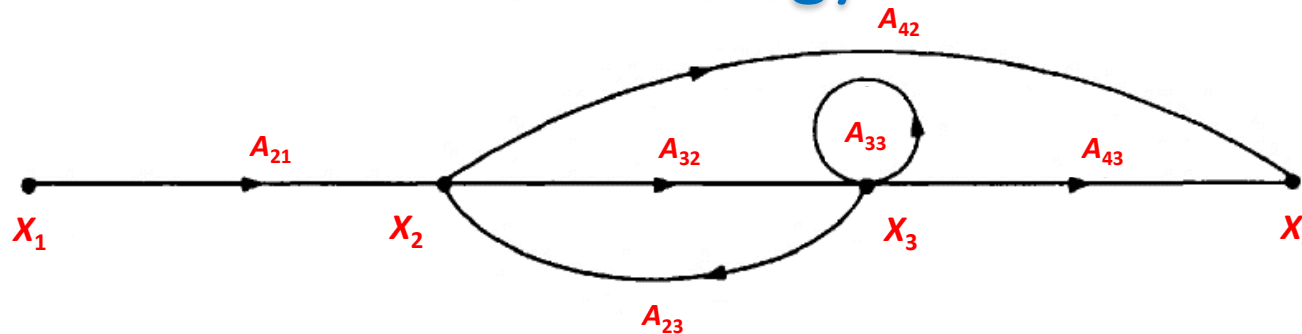
$$X_i = A_{ij}X_j$$

- The signal flow graph of the equation is shown below:



- Every variable in a signal flow graph is designed by a **Node**.
- Every transmission function in a signal flow graph is designed by a **Branch**.
- Branches are always **unidirectional**.
- The arrow in the branch denotes the **direction** of the signal flow.
- The variables  $X_i$  and  $X_j$  are represented by a small dot or circle called a **Node**.
- The **transmission function**  $A_{ij}$  is represented by a line with an arrow and placed on the line (i.e., on the branch).
- The node  $X_j$  is called **input node** and node  $X_i$  is called **output node**.

# Terminology

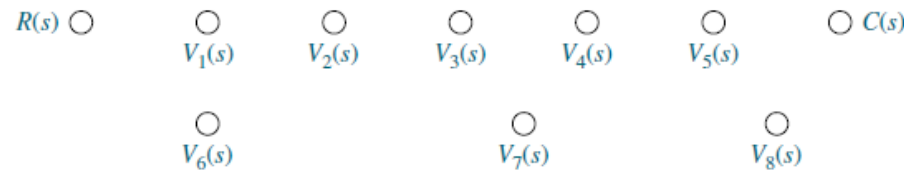
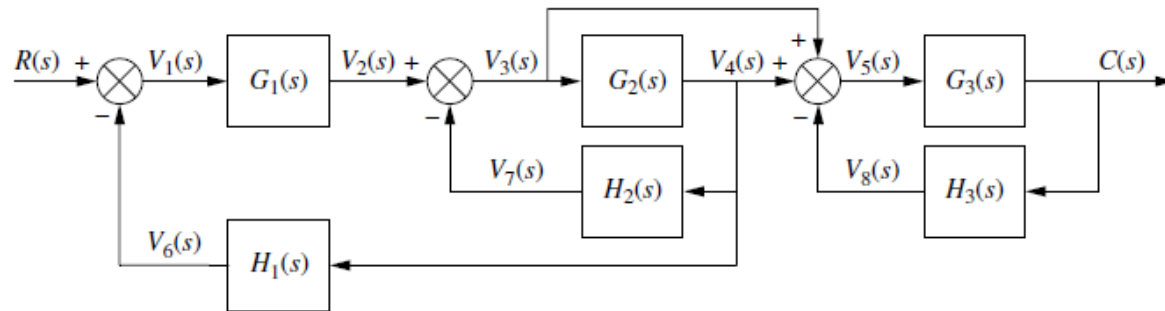


- An **input node** or **source** contains only the outgoing branches, i.e.,  $X_1$ .
- An **output node** or **sink** contains only the incoming branches, i.e.,  $X_4$ .
- A **path** is a continuous, unidirectional succession of branches along which no node is passed more than once, i.e.,  $X_1$  to  $X_2$  to  $X_3$  to  $X_4$ , also  $X_2$  to  $X_3$  to  $X_4$ , and  $X_1$  to  $X_2$  to  $X_4$ , are all paths.
- A **forward path** is a path from the input node to the output node, i.e.,  $X_1$  to  $X_2$  to  $X_3$  to  $X_4$ , and  $X_1$  to  $X_2$  to  $X_4$ , are forward paths.
- A **feedback path** or feedback loop is a path which originates and terminates on the same node, i.e.,  $X_2$  to  $X_3$  and back to  $X_2$  is a feedback path.
- A **self-loop** is a feedback loop consisting of a single branch, e.g.,  $A_{33}$  is a self loop.
- The **gain** of a branch is the transmission function of that branch when the transmission function is a multiplicative operator, e.g.,  $A_{33}$ .
- The **path gain** is the product of branch gains encountered in traversing a path, e.g.,  $X_1$  to  $X_2$  to  $X_3$  to  $X_4$  is  $A_{21}A_{32}A_{43}$ .
- The **loop gain** is the product of the branch gains of the loop, e.g., the loop gain of the feedback loop from  $X_2$  to  $X_3$  and back to  $X_2$  is  $A_{32}A_{23}$ .

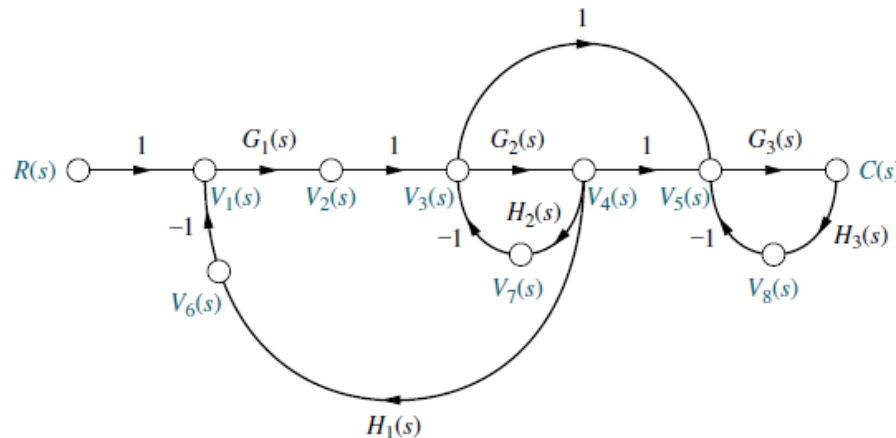
## Example 9:

### Converting Feedback System Block Diagram into a Signal Flow Graph

- First thing is to draw the signal nodes for the system. The signal nodes for the given system are shown in Figure (a).
- Next thing is to interconnect the signal nodes with system branches. The interconnection of the nodes with branches that represent the subsystem is shown in Figure (b).



(a)

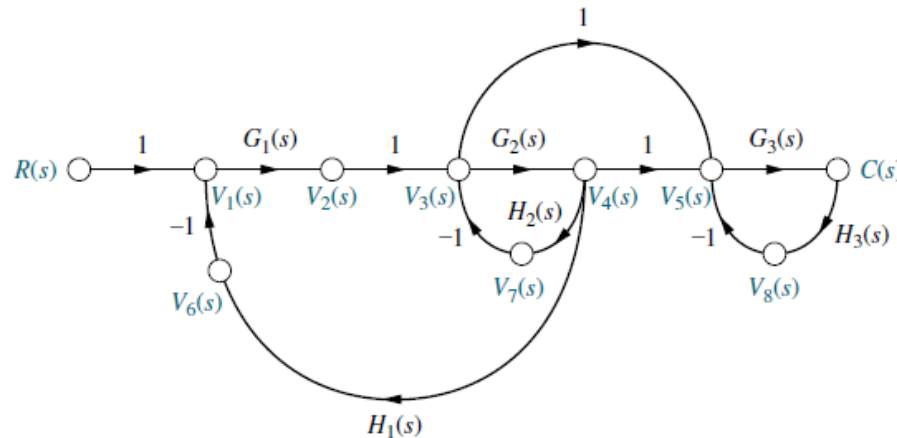


(b)

## Example 9 (cont'd)

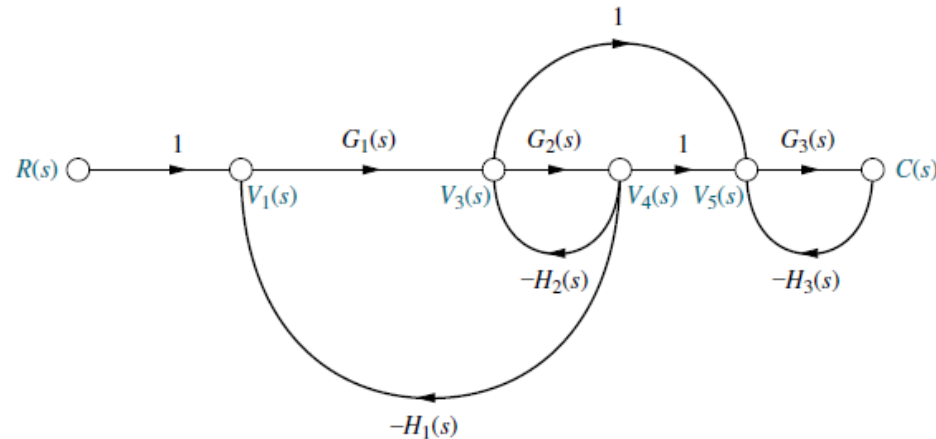
- If desired, simplify the signal-flow graph to the one shown in Figure (c) by eliminating signal nodes that have a single flow in and a single flow out, such as  $V_2(s)$ ,  $V_6(s)$ ,  $V_7(s)$ , and  $V_8(s)$ .

(b)



(from previous slide)

(c)





# Mason's Rule (an alternative to block diagram)



- As will be shown later, the block diagram reduction technique requires successive application of fundamental relationships in order to arrive at the system transfer function.
- On the other hand, Mason's rule for reducing a signal-flow graph to a single transfer function requires the application of one formula. However, the use of the rule is somehow cumbersome and less straightforward.
- In this course, we will be using Block Diagram approach and Mason's Rule will not be covered.



# Summary

- Modeling
  - Modeling is an important task!
  - Transfer function
  - Modeling of electrical & mechanical systems
  - State-space modeling
  - Signal flow graph
- Next
  - Modeling of electromechanical systems