



ELEC 341: Systems and Control

Lecture 9

Step responses of 1st and 2nd order systems

Course roadmap

Modeling

- ✓ Laplace transform
- ✓ Transfer function
- Models for systems
 - ✓ • Electrical
 - ✓ • Electromechanical
 - ✓ • Mechanical
- ✓ Linearization, delay

Analysis

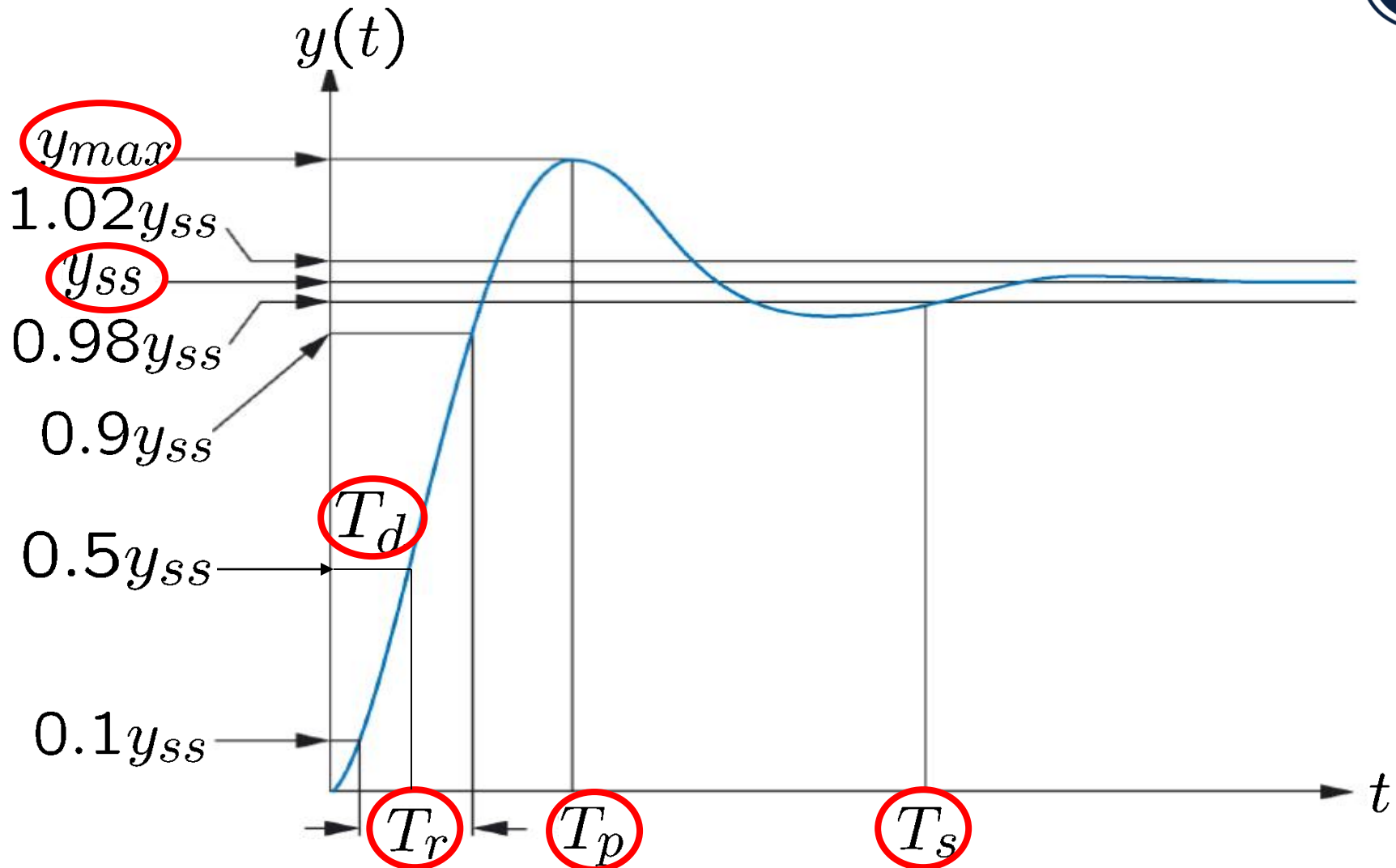
- ✓ Stability
 - Routh-Hurwitz
 - Nyquist
- Time response
 - ✓ • Transient
 - ✓ • Steady state
- Frequency response
 - Bode plot

Design

- Design specs
- Root locus
- Frequency domain
- PID & Lead-lag
- Design examples

Matlab simulations

Typical step response (review)



Performance measures

- Transient response
 - Peak value
 - Peak time
 - Percent overshoot
 - Delay time
 - Rise time
 - Settling time
- Steady state response
 - Steady state error

(Today's lecture)

***Next, we will connect
these performance
measures
with s-domain.***

(Done)

Today's topics

- Characterization of step responses (**performance measures**) for

- 1st-order system

$$G(s) = \frac{K}{Ts + 1}$$

- 2nd-order system

$$G(s) = \frac{\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2}$$

in terms of

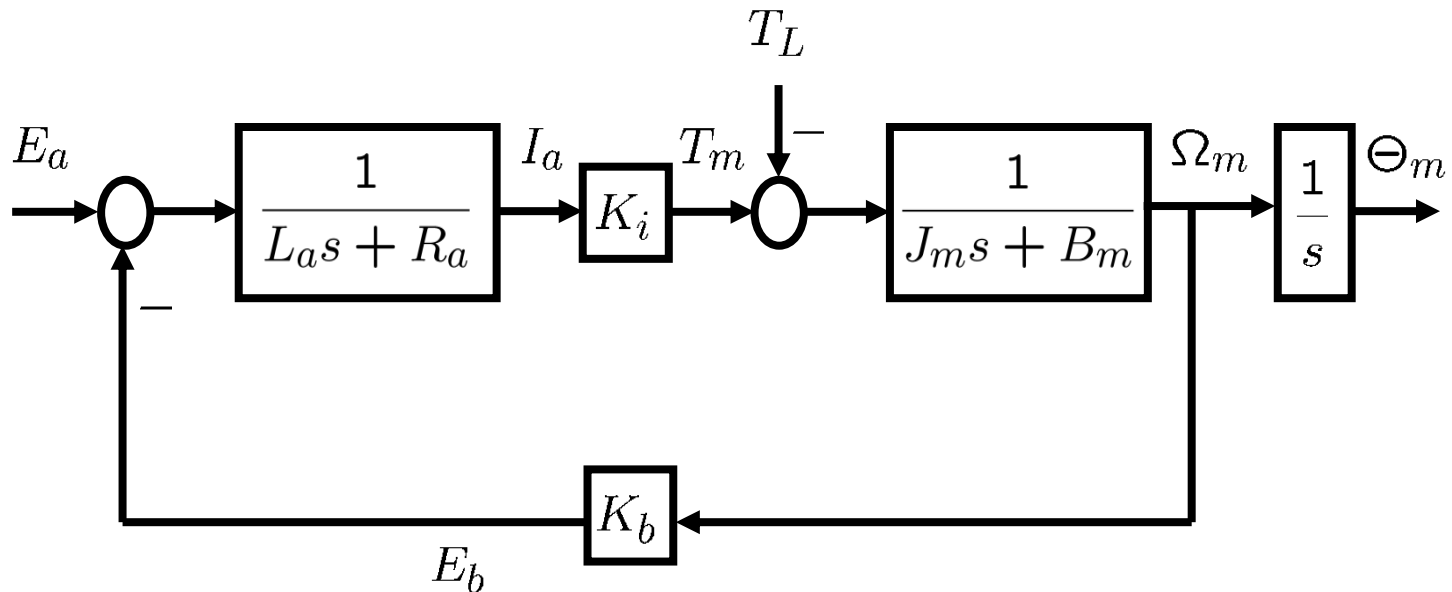
- System parameters $(K, T), (\zeta, \omega_n)$
- Pole locations

First-order system

- A **standard form** of the first-order system:

$$G(s) = \frac{K}{Ts + 1}$$

- DC motor example (See L5)



DC motor example (cont'd)

- If $L_a \ll R_a$, we can obtain a 1st-order system

$$\frac{\Omega_m(s)}{E_a(s)} = \frac{K_i}{(L_a s + R_a)(J_m s + B_m) + K_b K_i} \approx \frac{K_i}{R_a(J_m s + B_m) + K_b K_i}$$

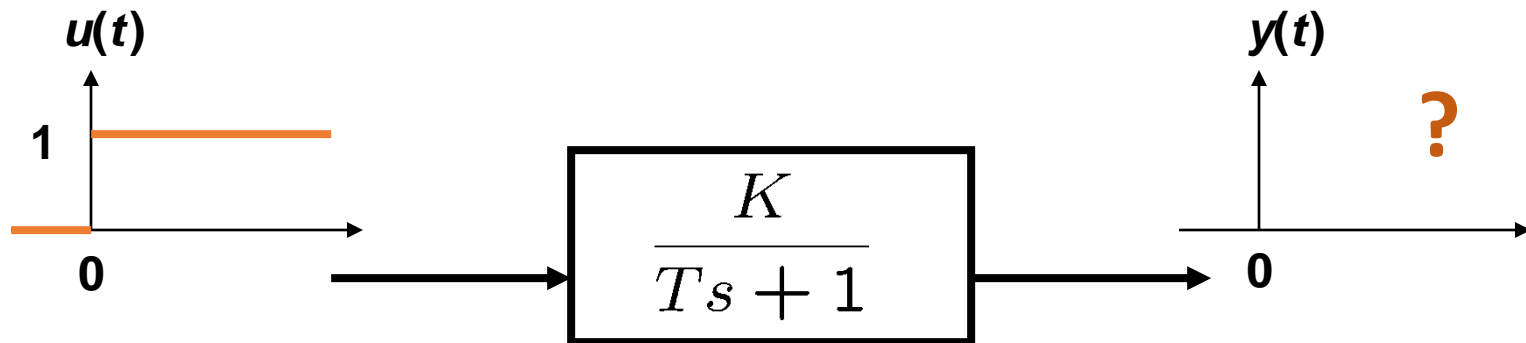
$$= \frac{K}{Ts + 1} \quad \left(K = \frac{K_i}{R_a B_m + K_b K_i}, T = \frac{R_a J_m}{R_a B_m + K_b K_i} \right)$$

2nd order system \longrightarrow *1st order system*

- Remember that TF from motor voltage to
 - motor **speed** is 1st-order
 - motor **position** is 2nd-order

Step response of 1st-order system

- Input a **unit step function** to a first-order system. Then, what is the output?



$$\begin{aligned}
 Y(s) &= G(s)U(s) \\
 &= \frac{K/T}{s+1/T} \cdot \frac{1}{s} \\
 &= \frac{K}{s} + \frac{-K}{s+1/T}
 \end{aligned}$$

(Partial fraction expansion)

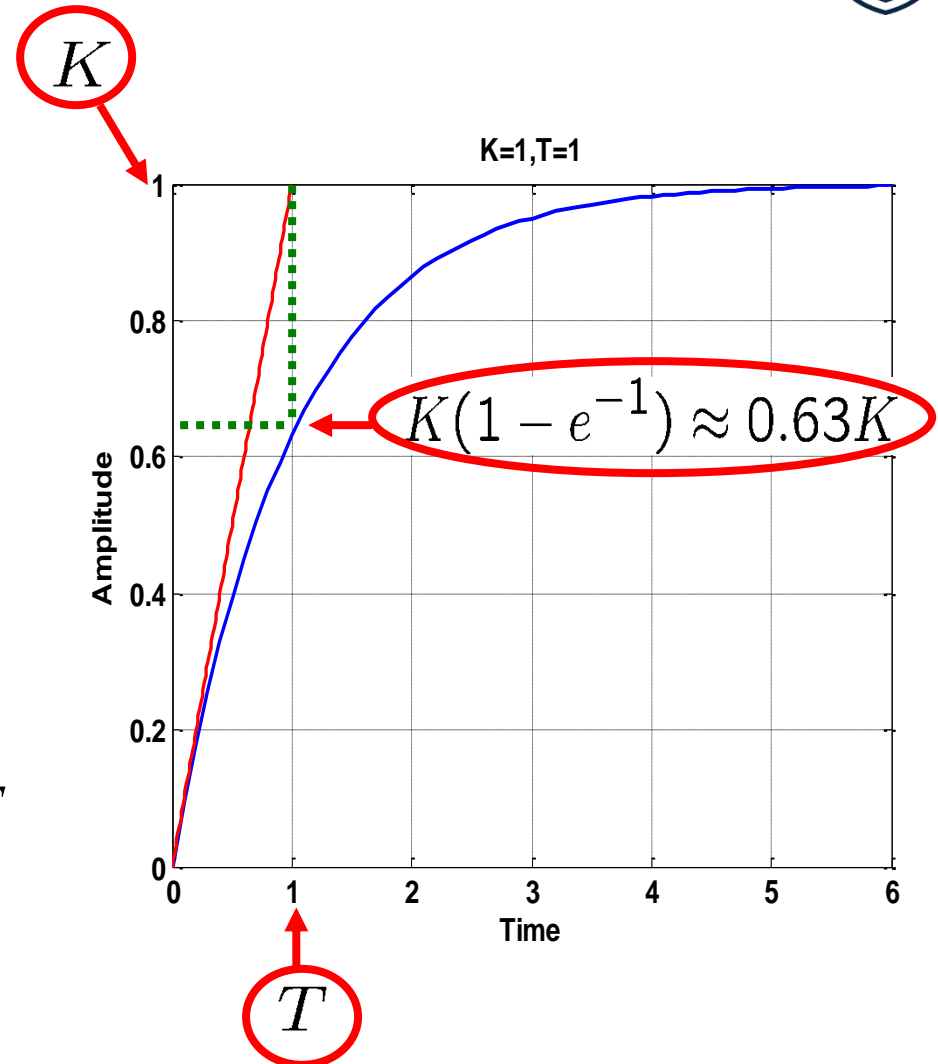
$$\begin{aligned}
 \mathcal{L}^{-1} \quad y(t) &= \mathcal{L}^{-1} \{Y(s)\} \\
 &= \frac{K(1 - e^{-t/T})}{(t > 0)}
 \end{aligned}$$

Meaning of K and T

- K : **DC gain** (next slide)
 - Final (steady-state) value

$$\lim_{t \rightarrow \infty} y(t) = K$$

- T : **Time constant**
 - Time when response rises to 63% of final value
 - Indication of **speed** of response (convergence)
 - Response is faster as T (also shown by τ) becomes smaller.



DC gain for a stable system

- **DC gain:** Final value of a unit step response
 - For a **stable system** G , DC gain is $G(0)$.

$$\lim_{t \rightarrow \infty} y(t) = \lim_{s \rightarrow 0} sG(s) \frac{1}{s} = G(0)$$

Final value theorem

- Examples:

$$G(s) = \frac{3}{2s + 5} \longrightarrow G(0) = \frac{3}{5}$$

$$G(s) = \frac{7}{s^2 + 2s + 3} \longrightarrow G(0) = \frac{7}{3}$$

Settling time of 1st-order systems

$$y(t) = K(1 - e^{-t/T})$$

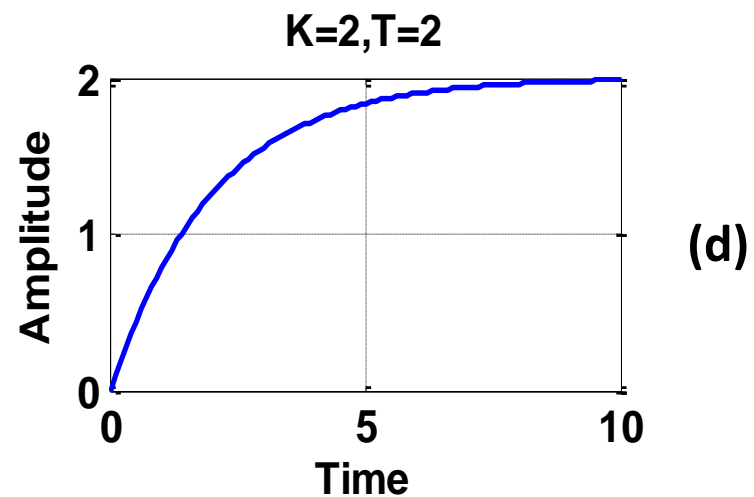
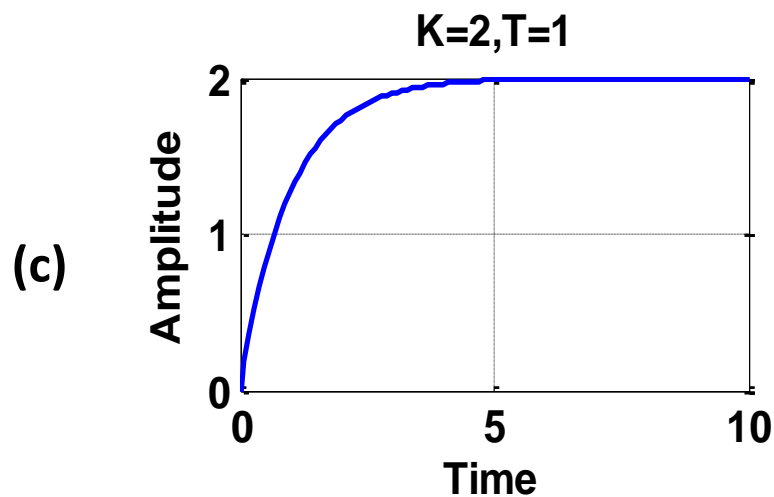
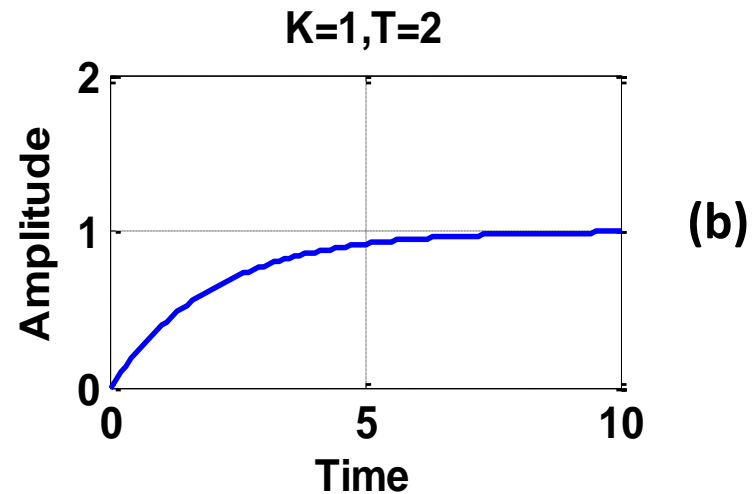
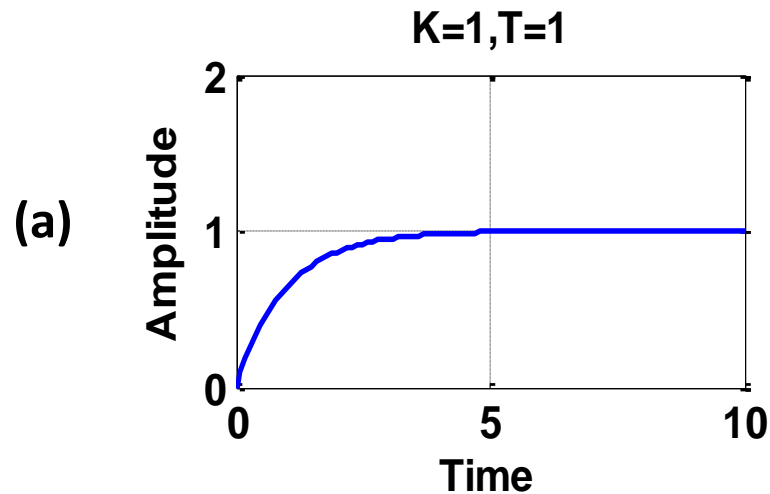
- Relation between time and exponential decay

t	$e^{-t/T}$
0	1
T	0.3679
$2T$	0.1353
$3T$	0.0498
$4T$	0.0183
$5T$	0.0067

← 5% settling time is about $3T$

← 2% settling time is about $4T$

Step response for some K & T

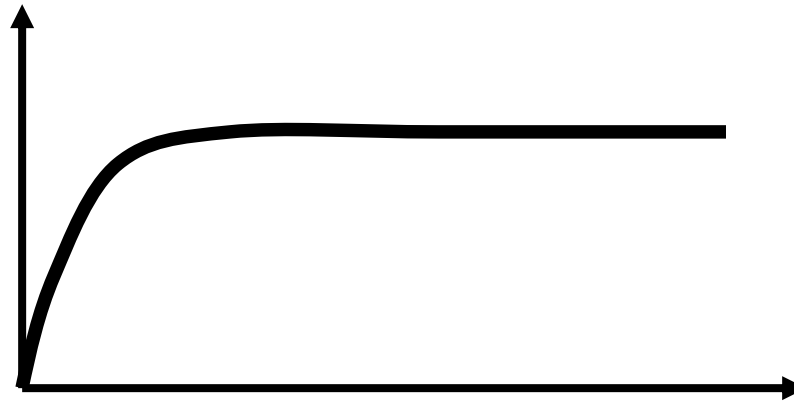


System identification

- Suppose that we have a “black-box” system:



- Obtain step response:



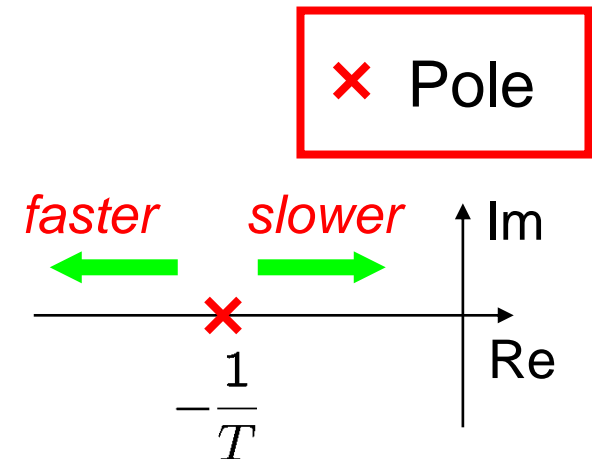
- Can you obtain a transfer function? How?

Summary:

Step response of 1st order systems

$$G(s) = \frac{K}{Ts + 1}$$

- For 1st order systems, step responses have:
 - Steady-state value: K
 - Peak value, peak time, percent overshoot: undefined
 - Delay time: $0.7T$
 - Rise time: $2.2T$
 - Settling time
 - 2%: $4T$
 - 5%: $3T$
 - Characterization in terms of poles



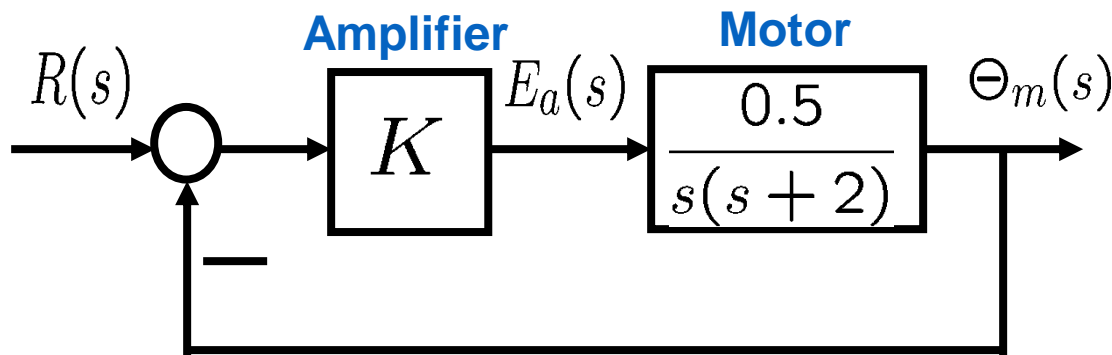
$$|\text{Real part of the pole}| = \frac{1}{T} \longrightarrow T = \frac{1}{|\text{Real part of the pole}|}$$

Second-order systems

- A **standard form** of the second-order system:

$$G(s) = \frac{\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2} \quad \left\{ \begin{array}{l} \zeta : \text{damping ratio} \\ \omega_n : \text{undamped natural frequency} \end{array} \right.$$

- DC motor position control example:

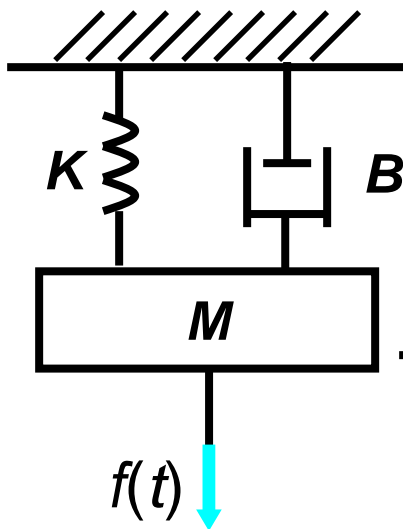


Closed-loop TF (CLTF):

$$\frac{\Theta_m(s)}{R(s)} = \frac{0.5K}{s^2 + 2s + 0.5K}$$

Second-order system (Mechanical Example)

- Mass spring damper system (L4):



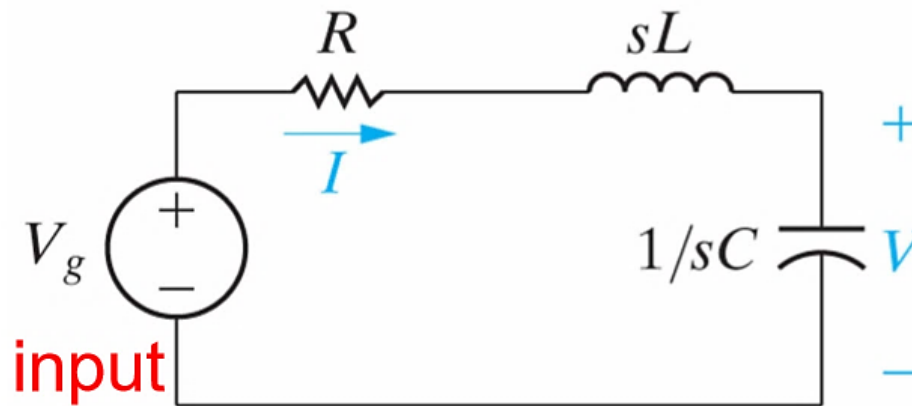
$$\begin{aligned}
 \frac{X(s)}{F(s)} &= \frac{1}{Ms^2 + Bs + K} \\
 &= \frac{1}{K} \cdot \frac{1}{(M/K)s^2 + (B/K)s + 1} \\
 &= \frac{1}{K} \cdot \frac{(K/M)}{s^2 + (B/M)s + (K/M)} \\
 &= \frac{1}{K} \cdot \frac{\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2}
 \end{aligned}$$

$x = 0$: Static equilibrium

$$\zeta = \frac{B}{2\sqrt{KM}}, \quad \omega_n = \sqrt{\frac{K}{M}}$$

Second-order system (Electrical Example)

- Series RLC circuit system:

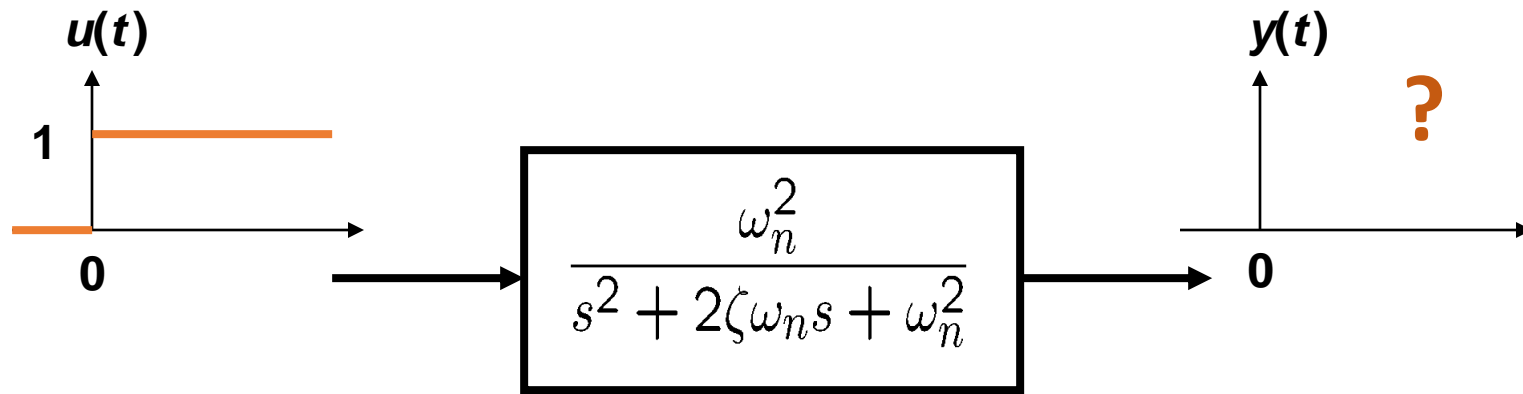


- If the output is the capacitor voltage (V):

$$\frac{V}{V_g} = \frac{(sC)^{-1}}{R + sL + (sC)^{-1}} = \frac{1}{s^2 LC + sRC + 1}$$

Step response of 2nd-order system

- Input a **unit step function** to a 2nd-order system. What is the output?



$$\lim_{t \rightarrow \infty} y(t) = \lim_{s \rightarrow 0} sG(s) \frac{1}{s} = G(0)$$

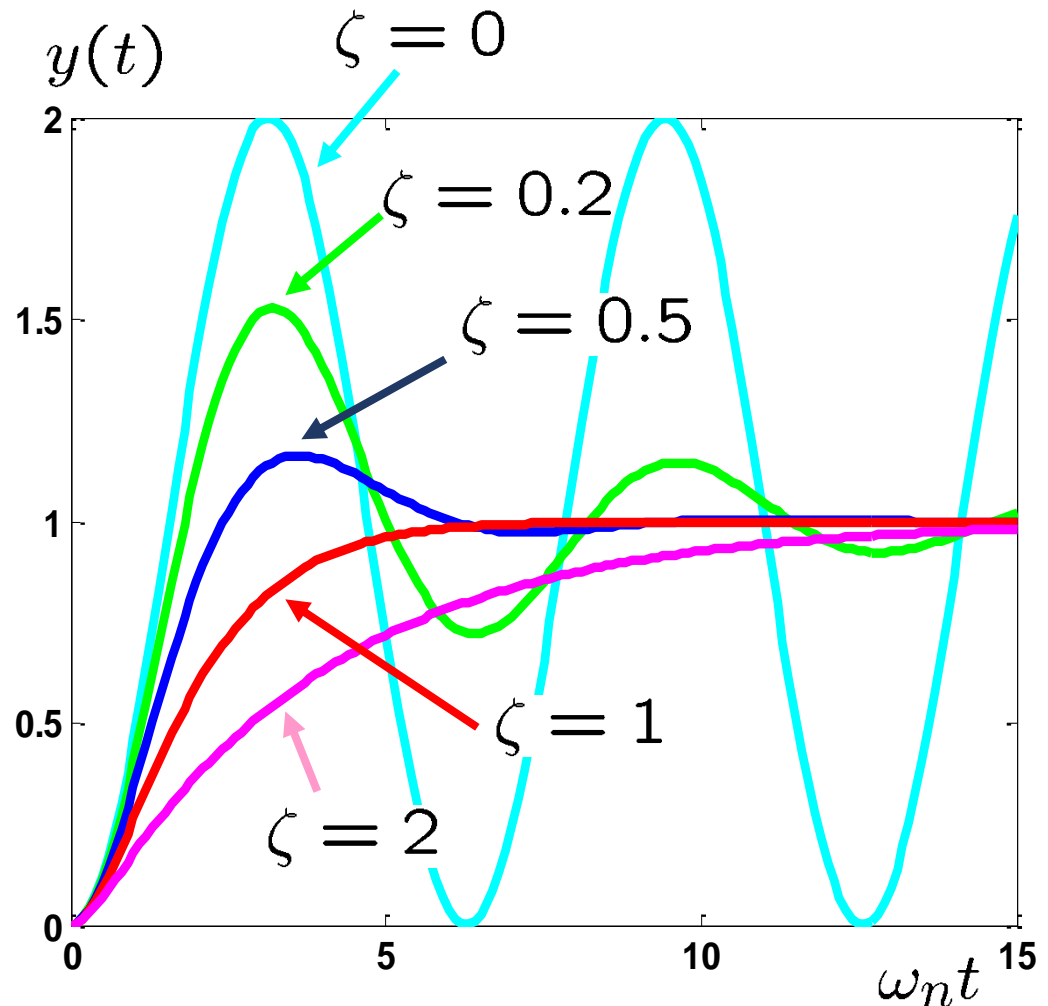
Final value theorem

DC gain

$$\lim_{t \rightarrow \infty} y(t) = G(0) = 1 \text{ if } G \text{ is stable}$$

Step response of 2nd-order system for various damping ratios

- Undamped
 $\zeta = 0$
- Underdamped
 $0 < \zeta < 1$
- Critically damped
 $\zeta = 1$
- Overdamped
 $\zeta > 1$



Step response of 2nd-order system: Underdamped case

- Math expression of $y(t)$ for underdamped case
 $0 < \zeta < 1$

$$Y(s) = \frac{\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2} \cdot \frac{1}{s}$$

$$\mathcal{L}^{-1} \rightarrow y(t) = 1 - \frac{e^{-\zeta\omega_n t}}{\sqrt{1 - \zeta^2}} \sin(\omega_d t + \cos^{-1} \zeta)$$

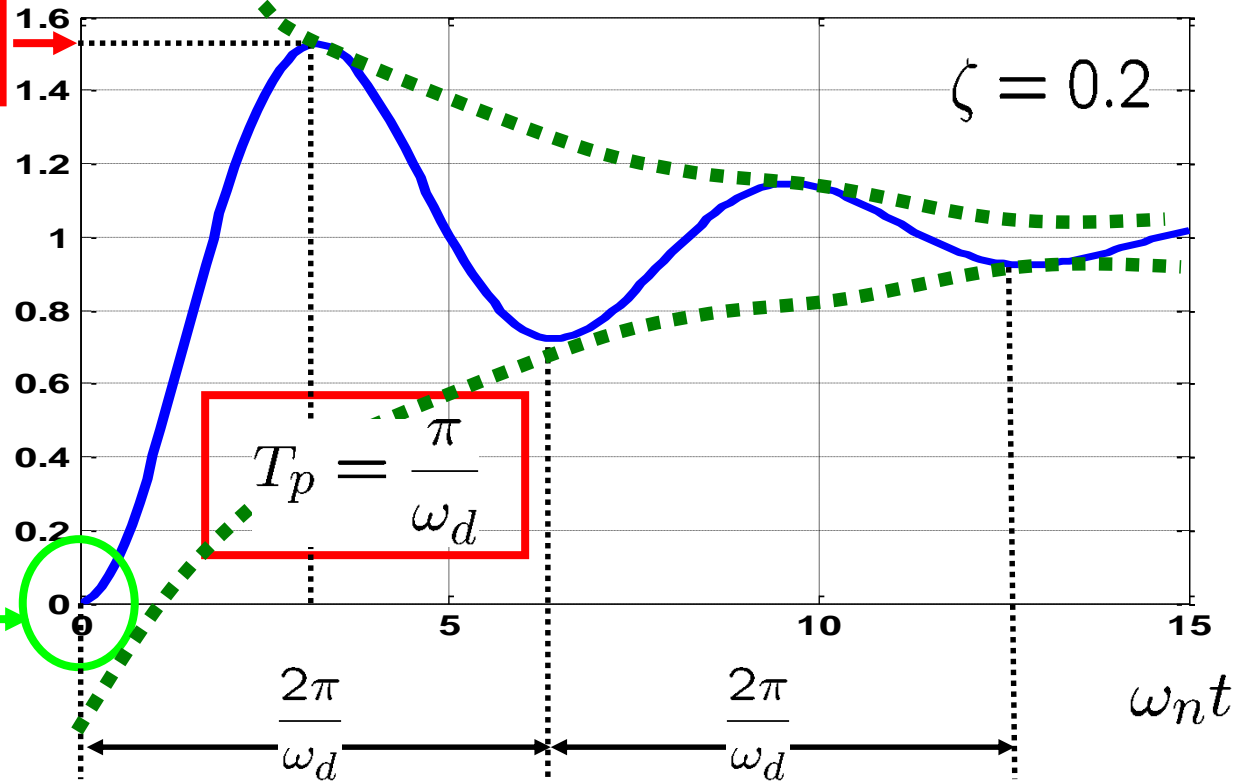
Damped natural frequency $\longrightarrow \omega_d = \omega_n \sqrt{1 - \zeta^2}$

Peak value and peak time: Underdamped case

$$y(t) = 1 - \frac{e^{-\zeta\omega_n t}}{\sqrt{1-\zeta^2}} \sin(\omega_d t + \cos^{-1} \zeta)$$

$$y_{max} = 1 + e^{-\frac{\zeta\pi}{\sqrt{1-\zeta^2}}}$$

$y(t)$



$$y(0) = 0$$

$$y'(0) = 0$$

Properties of underdamped 2nd-order system in terms of ζ and ω_n

$$G(s) = \frac{\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2} \quad 0 < \zeta < 1$$

	(5%)	(2%)
Settling time	$\approx \frac{3}{\zeta\omega_n}$ or $\frac{4}{\zeta\omega_n}$	
Peak time	$\frac{\pi}{\omega_d} = \frac{\pi}{\omega_n\sqrt{1-\zeta^2}}$	
Peak value	$1 + e^{-\zeta\pi/\sqrt{1-\zeta^2}}$	
Percent overshoot	$100e^{-\zeta\pi/\sqrt{1-\zeta^2}}$	

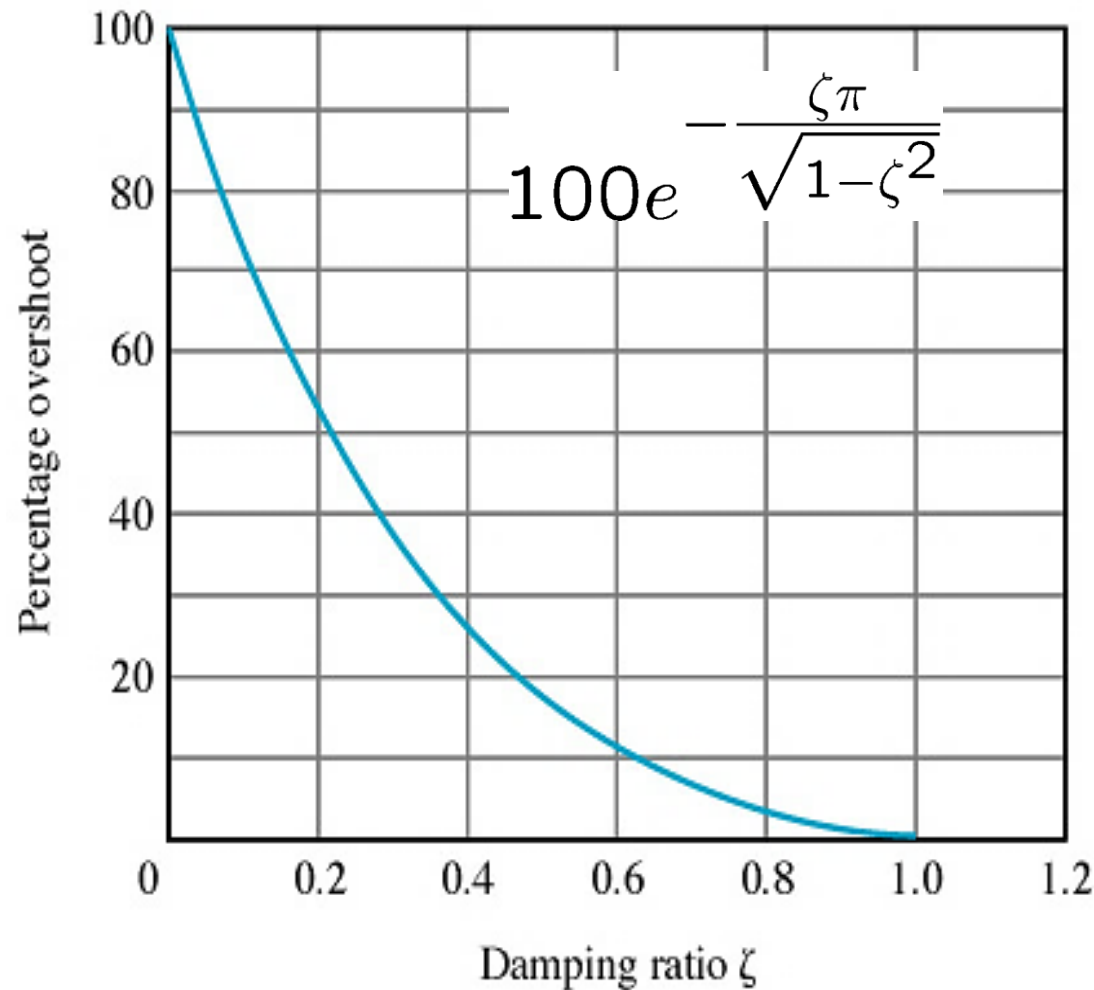
Remarks for underdamped cases



- Time constant is $1/(\zeta\omega_n)$, indicating convergence speed.
- Percent overshoot depends on ζ , but NOT ω_n . (See the next slide.)
- For $\zeta > 1$ (overdamped case), we cannot define peak time, peak value, and percent overshoot (PO).
- For the 2nd-order transfer function, analytic expressions of delay & rise time are harder to obtain. You can use the following formula for rise time:

$$\omega_n T_r = (1.76\zeta^3 - 0.417\zeta^2 + 1.039\zeta + 1)$$

PO vs. damping ratio

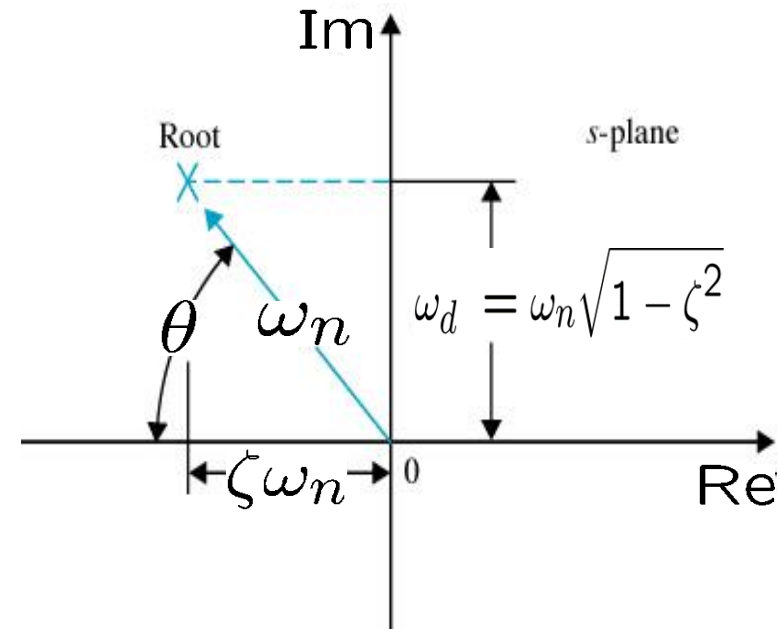


Step response properties of underdamped 2nd order system in terms of pole locations

- Poles ($0 < \zeta < 1$)

$$s = -\zeta\omega_n \pm j\underbrace{\omega_n\sqrt{1-\zeta^2}}_{\omega_d}$$

$$\zeta = \cos \theta$$

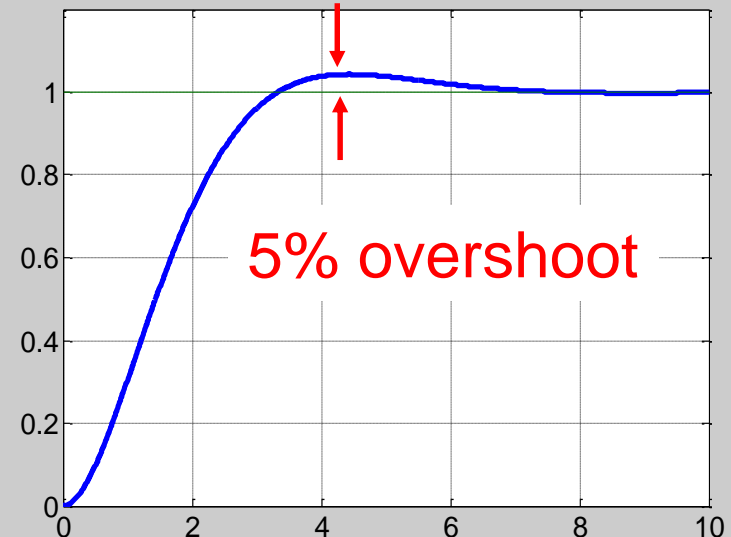
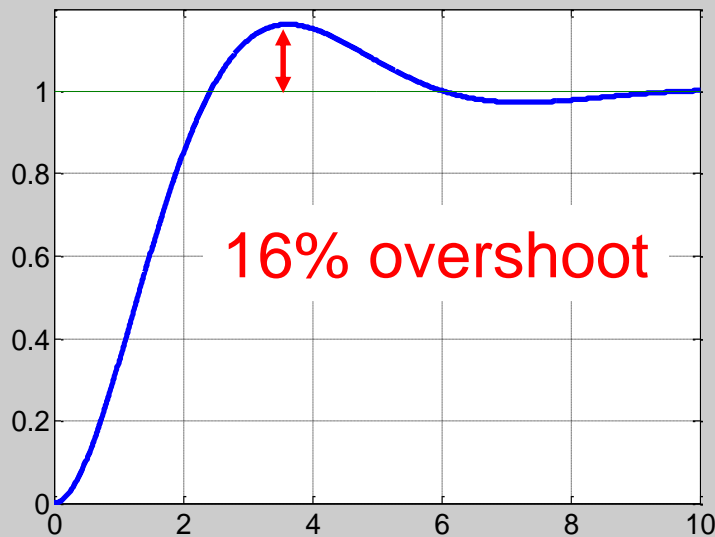
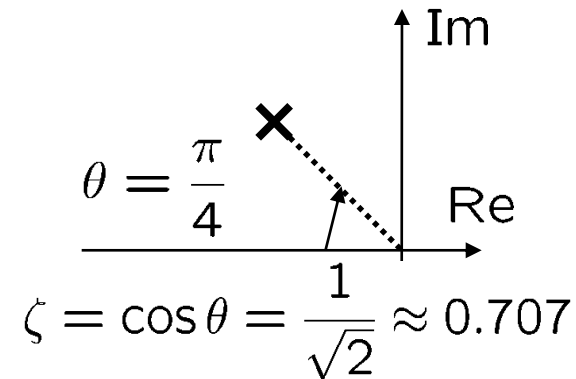
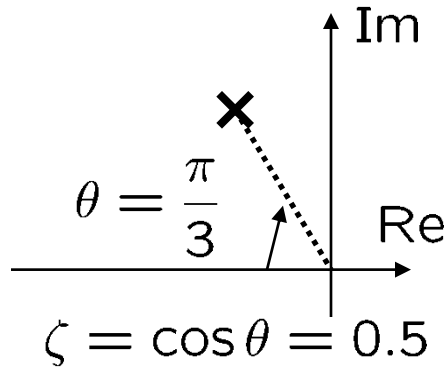


Pole		Performance	
Real part	$\zeta\omega_n$	determines	$T_s = \frac{3}{\zeta\omega_n}, \frac{4}{\zeta\omega_n}$
Imag. part	ω_d	determines	$T_p = \frac{\pi}{\omega_d}$
Angle	θ	determines	overshoot

$$= \frac{3}{|\text{Re}|}, \frac{4}{|\text{Re}|}$$

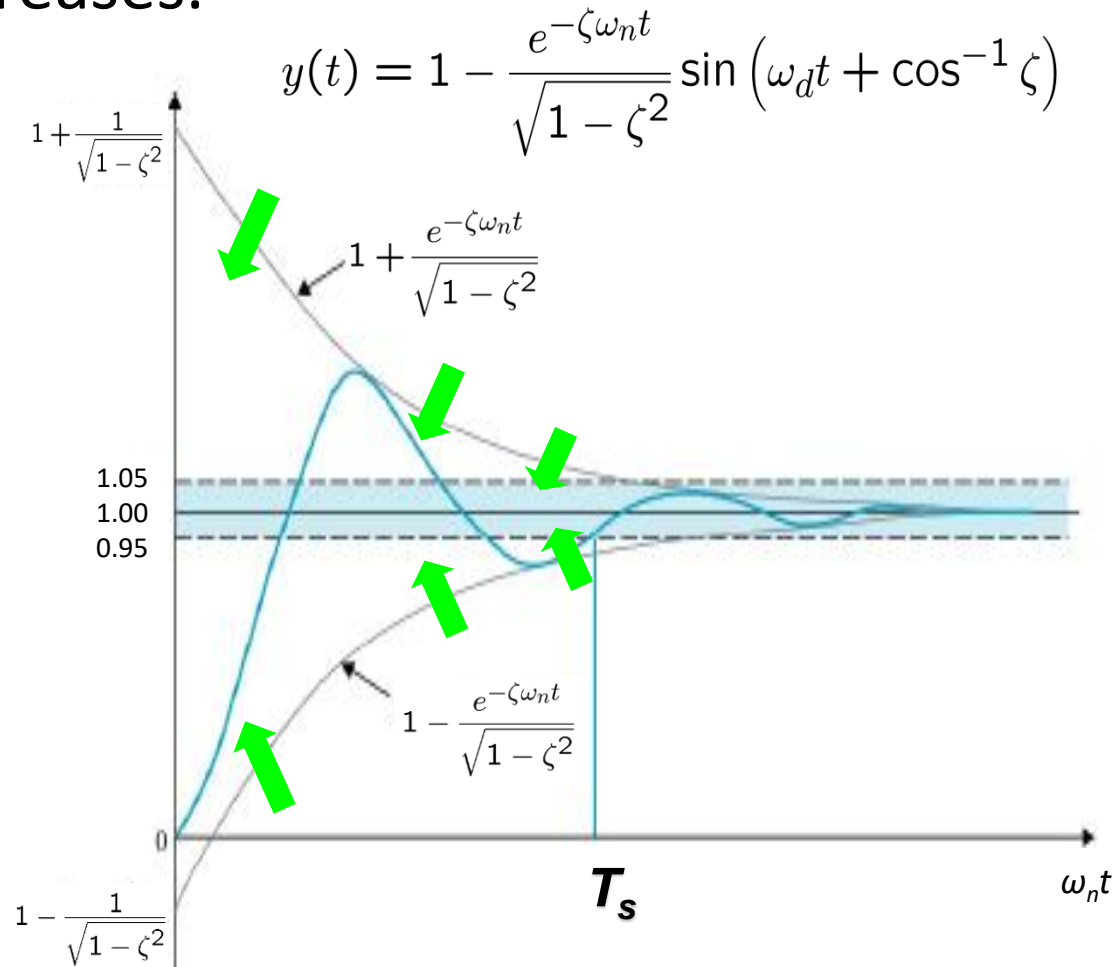
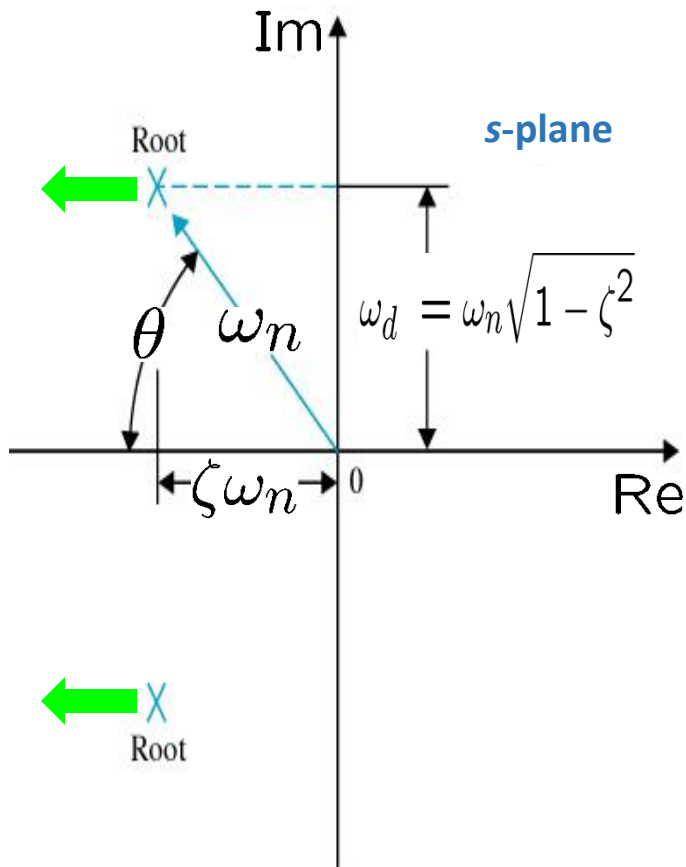
$$= \frac{\pi}{|\text{Im}|}$$

Angle θ and the overshoot



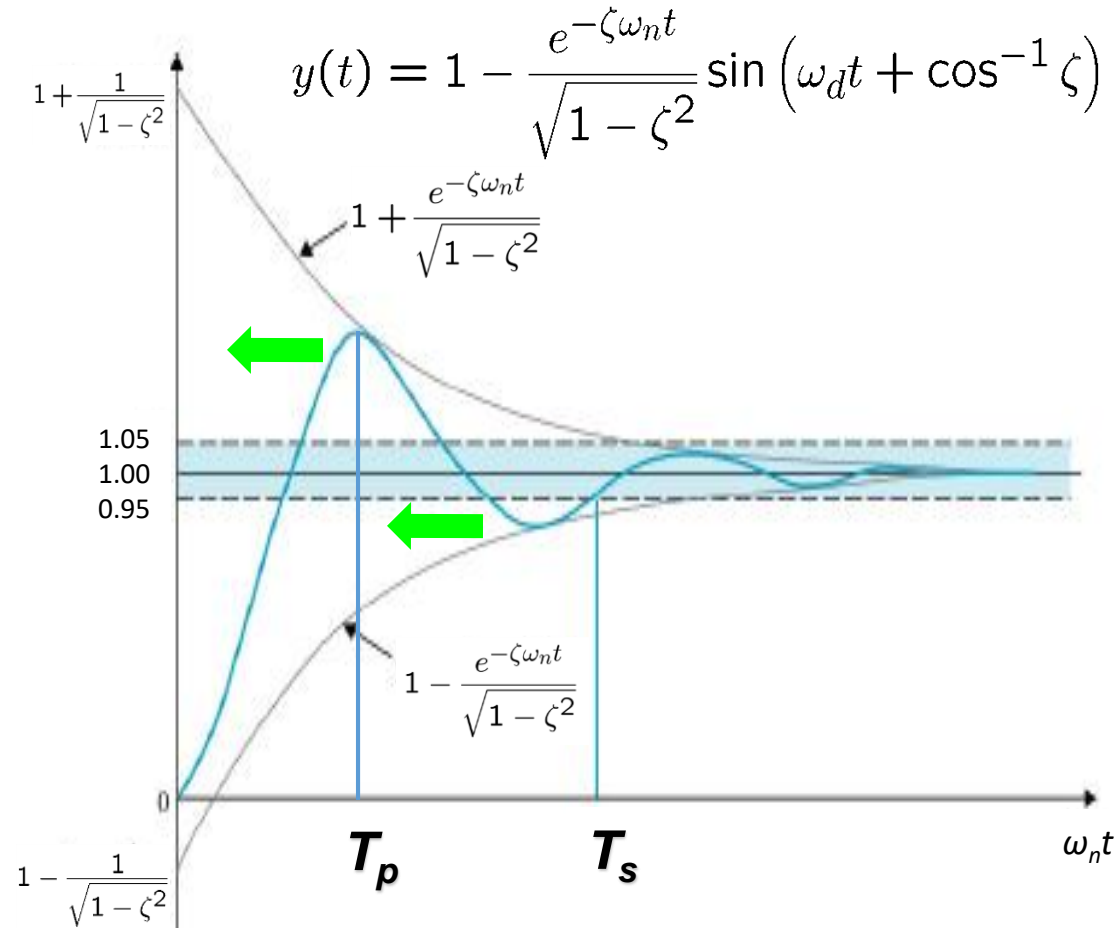
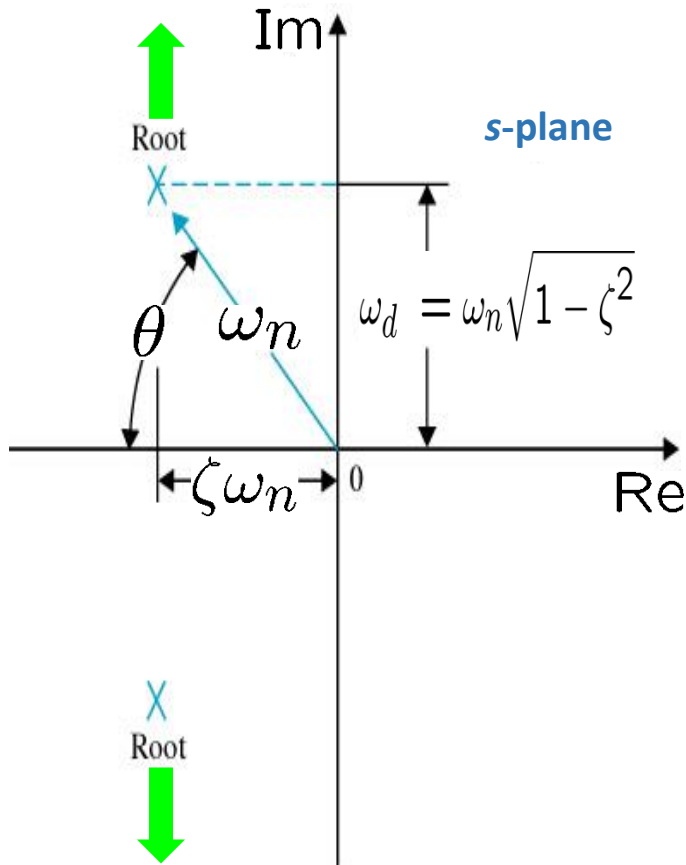
Influence of real part of poles

- Settling time T_s decreases.



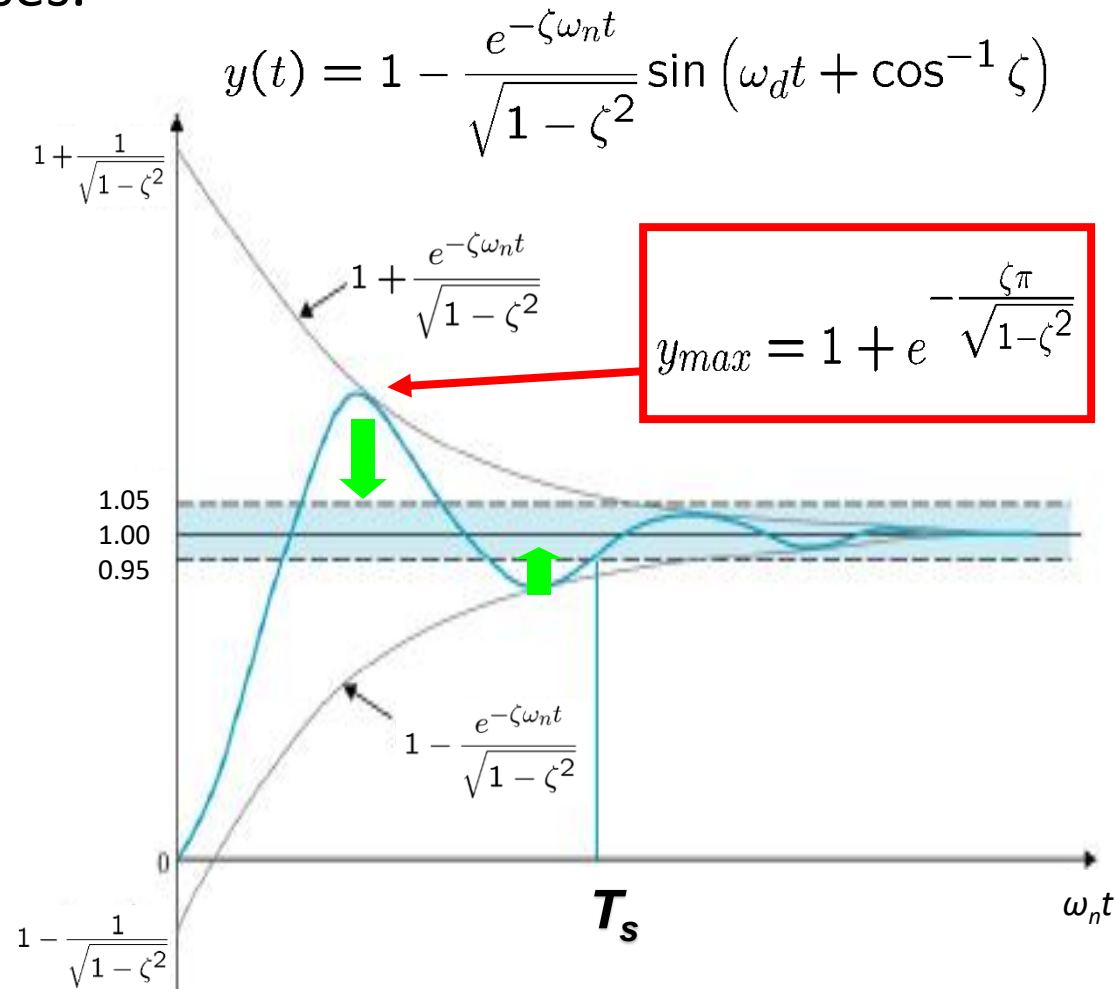
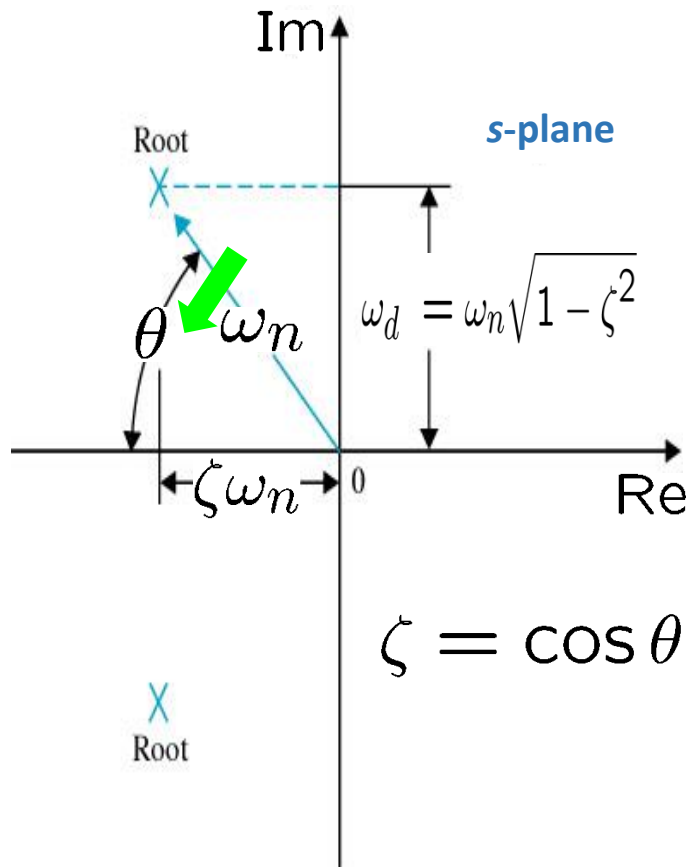
Influence of imag. part of poles

- As oscillation frequency (ω_d) increases, T_p decreases.



Influence of angle of poles

- As θ decreases from 90° to 0° , ζ increases from 0 to 1 and over/under-shoot decreases.



Summary

- Step responses of
 - 1st-order system is characterized by
 - Time constant, T , and DC gain, K
 - Pole location
 - 2nd-order system is characterized by
 - Damping ratio ζ & undamped natural frequency ω_n
 - Pole location
- Next
 - Time response examples