

**Previously.**

- Domains
- Integral Domains
- Fields

**This Section.**

- Ideals
- Principal Ideals
- Prime Ideals
- Maximal Ideals

**Recall.** Consider the ring  $(R, +, \cdot)$ . Recall that  $(R, +)$  is an abelian group. So any subgroup  $S \leq R$  is automatically normal. In particular, we can construct  $R/S$ , the set of cosets of  $S$  in  $R$  as a group.

**Example.** The ring  $R = \mathbb{Z}[i]$  is a group under addition and it has the subgroup

$$S = \{ai : a \in \mathbb{Z}\} = i\mathbb{Z}.$$

The cosets of  $S$  are of the form  $r + S = r + i\mathbb{Z}$  where  $r \in R$ .  
Some cosets are

$$\begin{aligned} 2 + S &= \{2 + ai : a \in \mathbb{Z}\} \\ (5 - i) + S &= \{5 - i + ai : a \in \mathbb{Z}\} = \{5 + bi : b \in \mathbb{Z}\} \end{aligned}$$

On question we might ask is “Is  $R/S$  a ring?”

**Exercise 1.** Take the  $R = \mathbb{Z}[i]$  and  $S = i\mathbb{Z}$  as in the example above. Let’s try multiplying cosets.

- (a) (Elements) Try the following. Take generic elements  $ai, bi \in S = \mathbb{Z}i$  and compute

$$(2 + ai)((5 - i) + bi).$$

- (b) (Sets) What would we expect for the product

$$(2 + S)((5 - i) + S)?$$

- (c) (Verification) Is the value you computed for the first part of this exercise in this expected set?

**Lemma.**  $(S, +) \leq (R, +)$ , then

$$(a + S)(b + S) = (ab) + S$$

is well-defined if and only if

$$rS \subseteq S \quad \text{and} \quad Sr \subseteq S, \quad \text{for all } r \in R.$$

**Exercise 2.** Do some FOILING of  $(a + S)(b + S)$  and see how this relates to the containment of  $rS \subseteq S$  and  $Sr \subseteq S$ .

**Definition.** Let  $(R, +, \cdot)$  be a ring. An additive subgroup  $(I, +)$  of  $(R, +)$  is an **ideal** of  $R$  if  $rI \subseteq I$  and  $Ir \subseteq I$  for all  $r \in R$ .

**Exercise 3.** Verify that  $i\mathbb{Z}$  is an ideal of  $\mathbb{Z}[i]$ .

**Definition.** Equivalent definitions of an **ideal**  $I$  of a ring  $R$ : (given  $(I, +) \leq (R, +)$ )

- for all  $i \in I$ ,  $iR \subseteq I$  and  $Ri \subseteq I$
- for all  $i \in I$  and  $r \in R$ ,  $ir \in I$  and  $ri \in I$ .

**Note.** Some may call this a “two-sided ideal”. By considering just one of the containments, we could also define “left ideals” and “right ideals”. In a commutative ring, every ideal is two-sided. WHY??

**Warning.** Not all subgroups are ideals, as we will see in the following exercise!

**Exercise 4.** Show that  $\mathbb{Z}$  is not an ideal of the ring  $\mathbb{Q}$ .

**Theorem 3.3.1.** Let  $I$  be an ideal of the ring  $R$  (with unity). Then the additive group  $(R/I, +)$  becomes a ring with multiplication  $(r + I)(s + I) = rs + I$  called the **factor ring** or **quotient ring**. The unity of  $R/I$  is  $1 + I$  and if  $R$  is commutative, then  $R/I$  is commutative.

**Observations.** Let  $R$  be a ring (with unity)

1.  $\{0\}$  and  $R$  are ideals of  $R$ .
2.  $R/R \cong \{0\}$  and  $R/\{0\} \cong R$
3. Everything from quotient groups extends to quotient rings
  - (a)  $r + I = s + I$  if and only if  $r - s \in I$
  - (b)  $(r + I) + (s + I) = (r + s) + I$
  - (c)  $0 + I = I$
  - (d)  $-(r + I) = -r + I$
  - (e)  $k(r + I) = kr + I$  for all  $k \in \mathbb{Z}$

**Theorem 3.3.2.** If  $I$  is an ideal of the ring  $R$  (that has unity), then the following are equivalent

1.  $1 \in I$
2.  $I$  contains a unit
3.  $I = R$

## Principal Ideals

Given a fixed element  $a$  in a ring  $R$ , we can get an ideal easily by taking all of the multiples of that element.

$$\begin{aligned} Ra &= \{ra \mid r \in R\} \\ aR &= \{ar \mid r \in R\} \end{aligned}$$

**Definition.** If  $a \in Z(R)$ , then  $Ra = aR$  and we call this set the **principal ideal of  $R$  generated by  $a$** . Denote this set by  $(a)$ .

**Exercise 5.** Show that if  $a \in Z(R)$ , then  $Ra = aR$  is an ideal of  $R$  by showing that (1)  $Ra$  is a subgroup of  $R$  under addition and (2) for all  $r \in R$ , we have  $r(Ra) \subseteq Ra$  and  $(Ra)r \subseteq Ra$ .

**Warning.** The book uses  $\langle a \rangle$  for the ideal generated by  $a$ . To avoid mixing it up with cyclic groups, we'll use  $(a)$  in these notes.

**Exercise 6.** Is the set of multiples of 6 a principal ideal of  $\mathbb{Z}$ ?

**Exercise 7.** Consider  $R = \mathbb{Z}[i]$  and  $I = (2 + i)$ . Follow the listed steps to show that

$$R/I = \{0 + I, 1 + I, 2 + I, 3 + I, 4 + I\}.$$

(a) Show that  $5 \in I$  by writing  $5 = r(2 + i)$  for some  $r \in \mathbb{Z}[i]$ .

(b) Show that if  $n \in \mathbb{Z}$ , then  $n + I$  is the same as one of  $0 + I, 1 + I, 2 + I, 3 + I, 4 + I$ .

(c) Show that  $i + I = -2 + I$ . (Hint: Observation 3a on page 3 of the packet.)

(d) Show that if  $a + bi \in \mathbb{Z}[i]$  then  $(a + bi) + I = (a - 2b) + I$ .

(e) Conclude that every coset of  $I$  in  $\mathbb{Z}[i]$  is equal to one of  $0 + I, 1 + I, 2 + I, 3 + I, 4 + I$ .

(f) (Challenge) Show that if  $0 \leq m < n \leq 4$ , then  $m + I \neq n + I$ .

**Note.** There are many examples of ideals that are not principal. One example of this is the ideal

$$(2, 1 + \sqrt{-5}) = \{r(2) + s(1 + \sqrt{-5}) \mid r, s \in \mathbb{Z}[\sqrt{-5}]\}$$

of  $\mathbb{Z}[\sqrt{-5}]$ . See: <https://math.stackexchange.com/questions/543216/proving-that-a-ring-is-not-a-principal-ideal-domain>

**Definition.** We call a proper ideal  $P$  of a ring  $R$  **prime** if

$$rs \in P \quad \Rightarrow \quad r \in P \text{ or } s \in P.$$

**Example.** Let  $R = \mathbb{Z}$ , what ideals are prime? (This is a thought exercise, and the answer is what you expect, but why??)

**Theorem 3.3.3.** If  $R$  is a commutative ring, an ideal  $P \neq R$  of  $R$  is a prime ideal if and only if  $R/P$  is an integral domain.

**Theorem 3.3.4.** Let  $I$  be an ideal of the ring  $R$ . There is a correspondence

$$\left\{ \begin{array}{l} \text{ideals of } R \\ \text{containing } I \end{array} \right\} \leftrightarrow \{\text{ideals of } R/I\}.$$

Moreover, this correspondence respects containment.

**Definition.** Let  $R$  be a ring (not necessarily commutative), and let  $M$  be an ideal of  $R$ . We call  $M$  a **maximal ideal** of  $R$  if

1.  $M \neq R$ , and
2. if  $I$  is an ideal of  $R$  satisfying  $M \subseteq I \subseteq R$ , then  $I = M$  or  $I = R$ .

**Exercise 8.** Is  $5\mathbb{Z}$  maximal in  $\mathbb{Z}$ ?

Is  $6\mathbb{Z}$  maximal in  $\mathbb{Z}$ ?

**Definition.** A ring  $R$  is a **simple ring** if  $R \neq \{0\}$  and the only ideals of  $R$  are  $\{0\}$  and  $R$ .

**Example.**  $\mathbb{Q}$ ,  $\mathbb{R}$ ,  $\mathbb{C}$ ,  $\mathbb{Z}_p$

**Example.** A less trivial example,  $M_2(\mathbb{R})$ , or any matrix ring over a field.

**Theorem 3.3.5.** If  $R$  is a commutative ring with identity, then  $R$  is simple if and only if it is a field.

**Theorem 3.3.6.** Let  $M$  be an ideal of a ring  $R$ . Then  $M$  is maximal if and only if  $R/M$  is simple.

**Corollary 1.** Let  $R$  be a commutative ring, with unity. Let  $M$  be an ideal of  $R$ . Then  $M$  is maximal if and only if  $R/M$  is a field.

**Corollary 2.** Let  $R$  be a commutative ring, with unity. If  $M$  is a maximal ideal of  $R$ , then  $M$  is a prime ideal.

**Exercise 9.** Show that the converse of the second corollary is false:  
Let  $R = \mathbb{Z} \times \mathbb{Z}$  and  $I = \{(a, 0) \mid a \in \mathbb{Z}\}$ .

1. Verify  $I$  is an ideal of  $R$ .
2. Verify that  $I$  is a prime ideal.
3. Let  $J = \{(a, 2b) \mid a, b \in \mathbb{Z}\}$ . Show that  $J$  is also an ideal of  $R$  and  $I \subset J \subset R$  with  $I \neq J \neq R$ . Thus showing  $I$  is not maximal.

These will be important in Math 426.

**Lemma 3.3.3.** Let  $R$  be a ring with unity and  $n \geq 1$ . Every ideal of  $M_n(R)$  has the form  $M_n(A)$  for some ideal  $A$  of  $R$ .

**Theorem 3.3.7.** If  $R$  is a ring with unity then  $M_n(R)$  is simple if and only if  $R$  is simple.

**Corollary.** If  $R$  is a division ring then  $M_n(R)$  is simple.

**Note.** This last one is HUGE in my research!