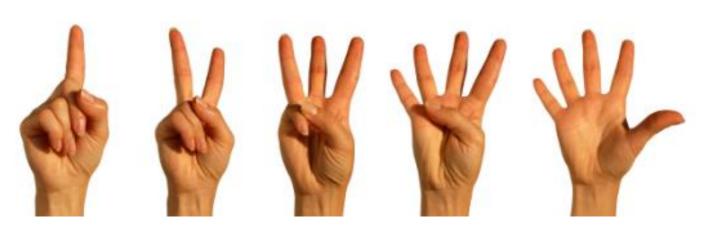
# Counting

(a.k.a. Combinatorics)



### **COUNTING?**

#### **BUT I KNOW HOW TO COUNT ALREADY!**





# There's Counting, and there's Formal Counting

- The number of operations performed by nested loops? → Big-Oh complexity
- How many different IP addresses are there for a given protocol e.g. IPv4/6?
- How many paths there are between vertices in a graph?
- The number of possible passwords given certain size + possible symbols?
- Number of subsets of a finite set?
- How many unique "addressable" memory locations given address of a certain width?

# Ashtung!

You know and use the "rules" we are about to study (specially product and sum rules)

Don't let the formalism that follows make you think otherwise.

The formalism simply allows us to reason about less intuitive problems, and derive general rules.





### The product rule: Motivating Problem

 Suppose a password on a computer system consists of 4 characters. Let's consider these two different scenarios.

- 1. Each must be a digit
- 2. Each must be a digit or a letter of the alphabet (small case only)
- There are no other constraints.
- How many such passwords are there for Case #1? Case #2?

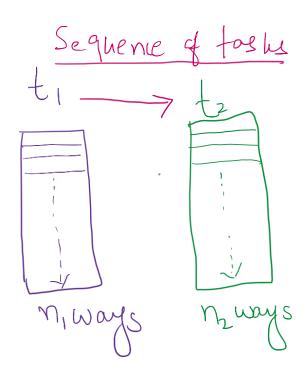


The Product Rule: A procedure can be broken down into a sequence of two tasks.

IF There are  $n_1$  ways to do the first task and  $n_2$  ways to do the second task THEN Then there are  $n_1 \cdot n_2$  ways to do the procedure.

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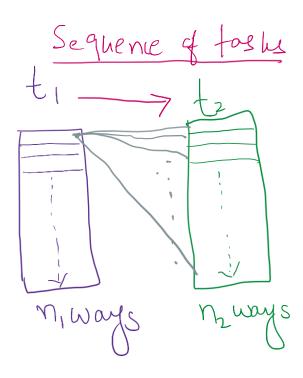
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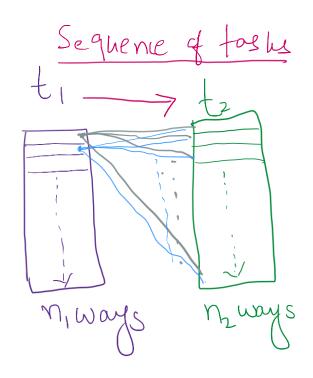
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n, x n2 ways to do this sequence of tashs.

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There are no other constraints.

How many such passwords are there?

$$M_1 \times M_2 \times M_3 \times M_4$$

$$m_1 = m_2 = m_3 = m_4 = 10$$
 ways to fill one box.  
So  $10 \times 10 \times 10 \times 10 = 10^4 = 10,000$  such passwords.

9999

Suppose a password on a computer system consists of 4 characters.

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How many such passwords are there?

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- There are no other constraints.
- How many such passwords are there?

$$n_1 = n_2 = n_3 = n_4 = 26 + 10 = 36$$
 ways to fill one box.

So 
$$36\times36\times36\times36=36=1,679,616$$
 such passwords

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#### Example: How many bit strings of length seven are there?

(You can think of placing a bit at one location as a "task")

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Example: How many bit strings of length seven are there?

9,0,0,0,0,0,0,0,

(You can think of placing a bit at one location as a "task")

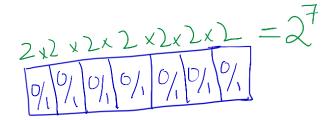
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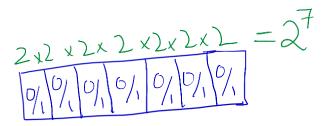
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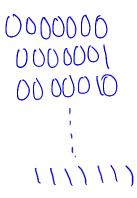
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Example: How many bit strings of length seven are there?

Solution: Since each of the seven bits is either a 0 or a 1, the answer is  $2^7 = 128$ .



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Example: How many *digit* strings (0-9) of length seven are there?

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Example: How many *digit* strings (0-9) of length seven are there?

Each of the 7 Tasks' has 10 possibilities

### The Product Rule

Example: How many different license plates can be made if each plate contains a sequence of three uppercase English letters followed by three digits?

**Solution: ?** 

26 choices for each letter digit

### The Product Rule

Example: How many different license plates can be made if each plate contains a sequence of three uppercase English letters followed by three digits?

Solution: By the product rule,

there are  $26 \cdot 26 \cdot 26 \cdot 10 \cdot 10 \cdot 10 = 17,576,000$  different possible license plates.

### The Product Rule – We've been using it already!

How many times is the <loop-body> executed?

### The Product Rule

How many times is the <loop-body> executed?

Each loop can be thought of as a "tash", that can be done in Mr ways (ie Mr iterations).

So total possibilities (ie iterations) = nix n2 x n3 x -- x nm

### The Product Rule



#### How

### Rules to compute running times

- Rule 1 Loops
- The running time of a loop is at most the running time of the statements inside the loop (including tests) multiplied by the number of iterations
- Rule 2 Nested loops
  - Should be analysed inside out. Total running time of a statement inside a group of nested loops is running time of statement multiplied by the product of the sizes of all the loops

```
ALG1(n)
  for i = 0 to n-1
   for j = 0 to n-1
    for k = 0 to n-1
    increment x
```

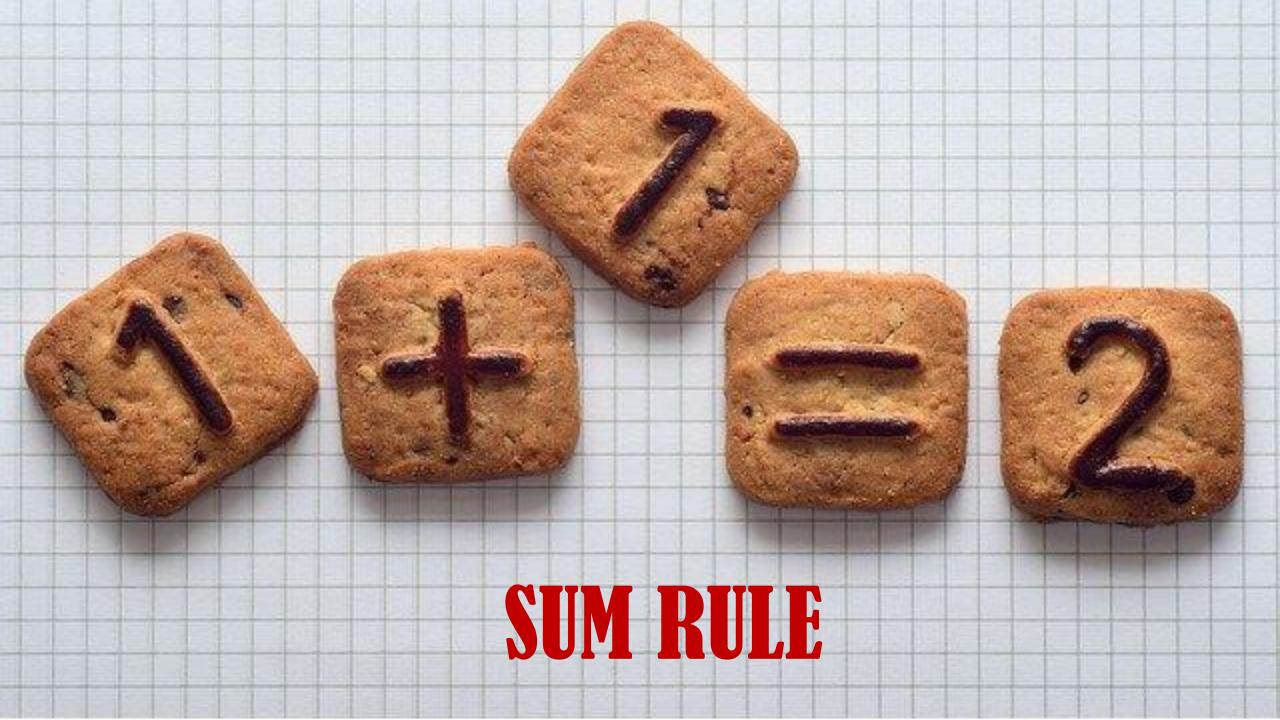
 $O(n^3)$ 



### **Product Rule in Cartesian Products**

- · If  $A_1, A_2, \ldots, A_m$  are finite sets, then the number of elements in the Cartesian product of these sets is the product of the number of elements of each set.
- The task of choosing an element in the Cartesian product  $A_1 \times A_2 \times \cdots \times A_m$  is done by choosing an element in  $A_1$ , an element in  $A_2$ , ..., and an element in  $A_m$ .
- By the product rule, it follows that:

$$|A_1 \times A_2 \times \cdots \times A_m| = |A_1| \cdot |A_2| \cdot \cdots \cdot |A_m|$$



### The sum rule: Motivating Problem

Suppose statement labels in a programming language can be

either a single letter

OR

a single digit.

Find the number of possible labels.



#### The Sum Rule:

If: a task can be done *either* in one of  $n_1$  ways OR in one of  $n_2$ , where: none of the elements of set of  $n_1$  ways is the same as any of the  $n_2$  ways, then: there are  $n_1 + n_2$  ways to do the task.



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### The sum rule: Back to the Motivating Problem

Suppose statement labels in a programming language can be

either a single letter

OR

a single digit.

Find the number of possible labels.

26+10 (a-2) (b-9)

By sum rule, there are 26 + 10 = 36 possible labels



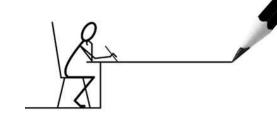
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The mathematics department must choose either a student OR a faculty member as a representative for a university committee. How many choices are there for this representative if there are 37 members of the mathematics faculty and 83 mathematics majors, <u>and no one is both a faculty member and a student</u>.

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Solution: By the sum rule it follows that there are 37 + 83 = 120 possible ways to pick a representative.

### The Sum Rule in terms of sets

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Let's say set A consists of the  $n_1$  ways the task can be done in one way and set B consists of the  $n_2$  ways the task can be done in another way

Then  $A \cup B$  contains all possible ways the task can be done

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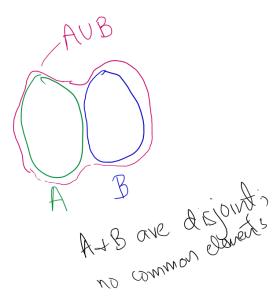
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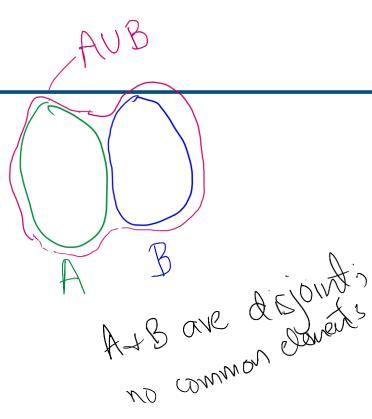


### The Sum Rule in terms of sets

Hence, the sum rule can be phrased in terms of sets.

 $|A \cup B| = |A| + |B|$  as long as <u>A and B are disjoint sets</u>.

Or more generally,



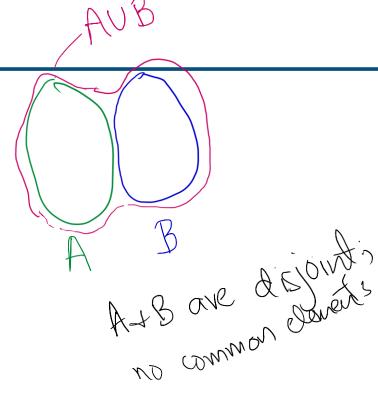
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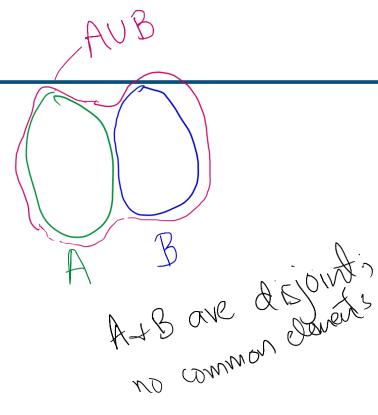
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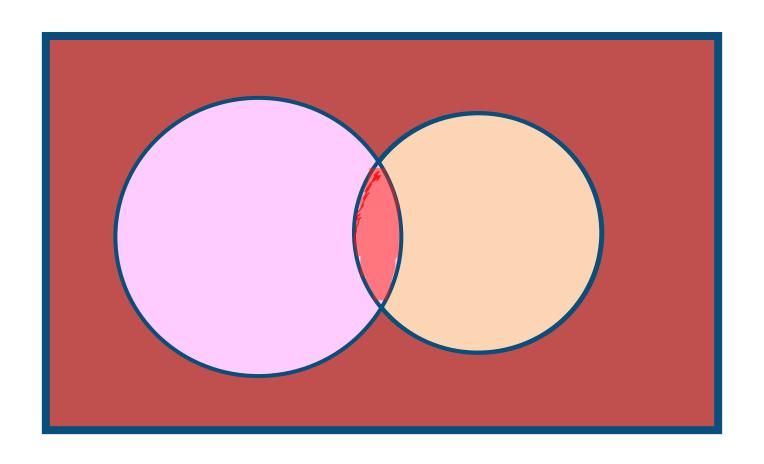
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· What if there are common elements? (sets are not disjoint?)

### THE INCLUSION-EXCLUSION RULE

(ALSO KNOWN AS: SUBTRACTION RULE)



### Can you solve this using product and/or sum rule?

• How many bit strings of length 8 either start with a 1 or end with 00 (or both)?

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there are 2<sup>8</sup> bit strings of length 8

product rule: 8 positions each can be filled in 2 different ways



#### How many bit strings of length 8 either start with a 1 or end with 00?

- there are 2<sup>8</sup> bit strings of length 8
- there are 2<sup>7</sup> bit strings of length 8 that start with a 1
  - note, this also includes strings that end with 00

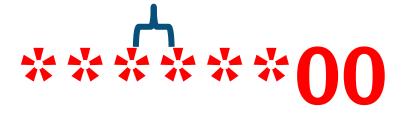
product rule: 7 positions each can be filled in 2 different ways



#### How many bit strings of length 8 either start with a 1 or end with 00?

- there are 2<sup>8</sup> bit strings of length 8
- there are 2<sup>7</sup> bit strings of length 8 that start with a 1
- there are 2<sup>6</sup> bit strings of length 8 that end with 00
  - note, this also includes strings that start with a 1

product rule: 6 positions each can be filled in 2 different ways



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- there are 2<sup>7</sup> bit strings of length 8 that start with a 1
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- using sum rule
  - there are  $2^7 + 2^6$  bit strings that start with 1 or end with 00
    - but we have over-counted
    - adding in twice the strings that start with 1 and end with 00

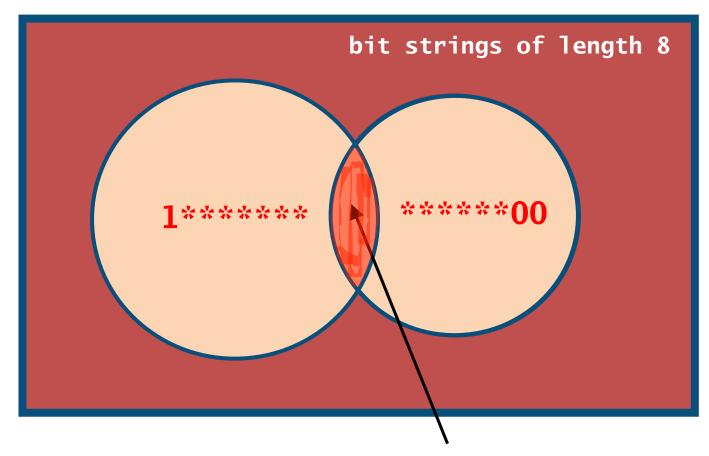
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  - there are  $2^7 + 2^6$  bit strings that start with 1 or end with 00
    - but we have over-counted
    - adding in twice the strings that start with 1 and end with 00
- there are 2<sup>5</sup> bit strings of length 8 that start with a 1 and end with 00 product rule: 5 positions each can be filled in 2 different ways



#### How many bit strings of length 8 either start with a 1 or end with 00?

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    - but we have over-counted
    - adding in twice the strings that start with 1 and end with 00
- there are 2<sup>5</sup> bit strings of length 8 that start with a 1 and end with 00
- therefore we actually have  $2^7 + 2^6 2^5$  bit strings



overcounted strings of the form 1\*\*\*\*\*00

### **Basic Counting Principles: Principle of Inclusion-Exclusion**

(also known as principle Subtraction Rule)

<u>Principle of Inclusion–Exclusion:</u> If a task can be done either in one of  $n_1$  ways or in one of  $n_2$  ways, then the total number of ways to do the task is  $n_1 + n_2$  minus the number of ways to do the task that are common to the two different ways.

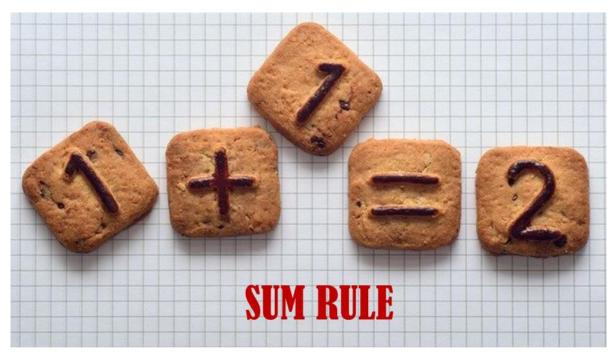
• Also known as, the *Subtraction Rule*:

$$|A \cup B| = |A| + |B| - |A \cap B|$$

• The SUM RULE was simply a special case of this principle, where the sets where assumed to be disjoint, so their intersection was empty, and thus  $|A \cap B| = 0$ 

# **Combining Product and Sum Rules**







Example: Suppose statement labels in a programming language can be either a single letter OR a letter followed by a digit.

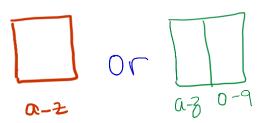
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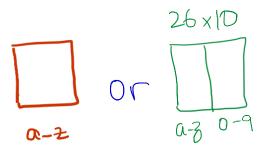
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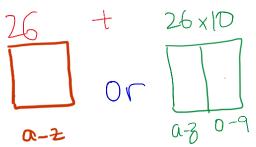
Solution: Use the sum and product rule.



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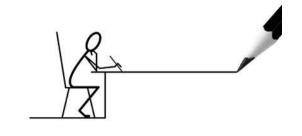
Find the number of possible labels.

Solution: Use the sum and product rule.



# many passwords are there, where the passwords must have -6 alpha-numeric characters and first character must be a capital letter pha-numeric $\text{pha-num$ How many passwords are there, where the passwords must have

**-** ?

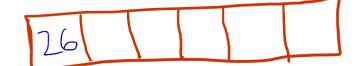


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#### There are 26 choices for the 1st position

i.e. A or B or C or ... or Z



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Other positions?

#### How many passwords are there, where the passwords must have

6 alpha-numeric characters and first character must be a capital letter

#### There are 26 choices for the 1st position

i.e. A or B or C or ... or Z



Using the <u>sum rule</u> there are 26+26+10=62 choices for each of the  $2^{nd}$ ,  $3^{rd}$ ,  $4^{th}$ ,  $5^{th}$  and  $6^{th}$  positions (a-z, A-Z, or 0-9)

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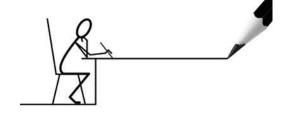
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Using the product rule this yields a total of

 $26 \cdot 62 \cdot 62 \cdot 62 \cdot 62 \cdot 62 = 23,819,453,632$  choices

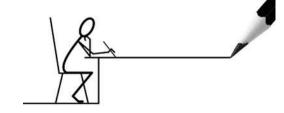
Suppose that a password is of length between 4 and 6 characters, consists of letters and digits, and is case sensitive

- how many distinct passwords are there?
- how many contain at least one letter and at least one digit?
- how long would it take a hacker to test all passwords at 10<sup>9</sup> tests per second?



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There are 26+26+10=62 available characters (sum rule)
62<sup>r</sup> passwords of length **r** (product rule)

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So 624 passwords of length 4

So 62<sup>5</sup> passwords of length 5

So 626 passwords of length 6

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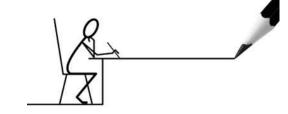
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So 626 passwords of length 6

 $62^4+62^5+62^6 = 57,731,144,752$  passwords in total (sum rule)

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#### We have to exclude passwords consisting of

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- just letters: (26+26)^4 + (26+26)^5 + (26+26)^6 = 20,158,125,312
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- just letters: (26+26)^4 + (26+26)^5 + (26+26)^6 = 20,158,125,312
```

- just digits:  $10^4 + 10^5 + 10^6 = 1,110,000$ 

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- how many contain at least one letter and at least one digit?
- how long would it take a hacker to test all passwords at 109 tests per second?

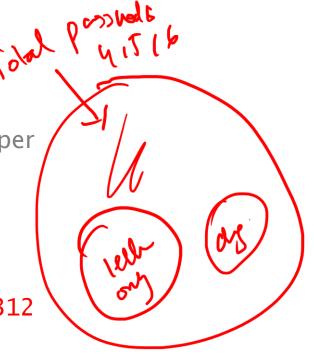
#### We have to exclude passwords consisting of

- just letters:  $(26+26)^4 + (26+26)^5 + (26+26)^6 = 20,158,125,312$
- just digits:  $10^4 + 10^5 + 10^6 = 1,110,000$

#### Hence there are

57,731,144,752 - (20,158,125,312 + 1,110,000)

passwords which contain at least one letter and one digit



Suppose that a password is of length between 4 and 6 characters, consists of letters and digits, and is case sensitive

- how many distinct passwords are there?
- how many contain at least one letter and at least one digit?
- how long would it take a hacker to test all passwords at 109 tests per second?

At  $10^9$  tests per second, we need  $57,731,144,752/10^9=57.7$  seconds so all passwords could be checked in less than 1 minute

#### Note!

- For this problem...
  - how many contain at least one letter and at least one digit?
- · ...we could have taken another (maybe more intuitive, but also more difficult to compute) approach, of adding up the count of all VALID categories of passwords (instead of subtracting all INVALID categories from the grand total).

 So, we could have added all the following counts to arrive at the \_same\_ answer (check yourself to confirm)

```
4 character passwords with 1 letter and 3 digits
4 character passwords with 2 letters and 2 digits
4 character passwords with 3 letters and 1 digits
5 character passwords with 1 letter and 4 digits
5 character passwords with 2 letters and 3 digits
5 character passwords with 3 letters and 2 digits
5 character passwords with 4 letters and 1 digit
6 character passwords with 1 letter and 5 digits
6 character passwords with 2 letters and 4 digits
6 character passwords with 3 letters and 3 digits
6 character passwords with 4 letters and 2 digits
6 character passwords with 4 letters and 2 digits
6 character passwords with 5 letters and 1 digit
```

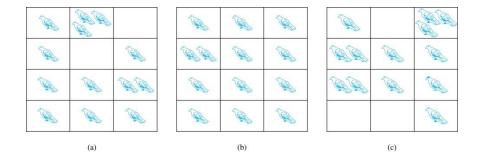
Hence: sometimes, when there are less INVALID categoroes and more VALID categories, it is much easier to subtract count of INVALID cagtegories from a total, rather then add all VALID categories.

# THE PIGEONHOLE PRINCIPLE



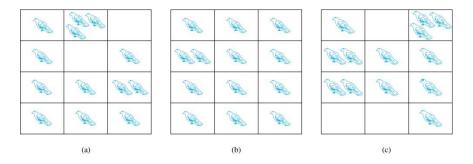
#### The Pigeonhole Principle

• If a flock of 13 pigeons roosts in a set of 12 pigeonholes, one of the pigeonholes must have more than 1 pigeon.



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#### The Pigeonhole Principle

• If a flock of 13 pigeons roosts in a set of 12 pigeonholes, one of the pigeonholes must have more than 1 pigeon.

Pigeonhole Principle: If k is a positive integer and k + 1 objects are placed into k boxes, then at least one box contains two or more objects.

#### **Pigeonhole Principle**

Example: Among any group of 367 people, there must be at least two with the same birthday, because there are only 366 possible birthdays.

#### Pigeonhole Principle – How to answer this question?

Example: What if there were 1000 people? there must be at least \_\_\_?\_\_ with the same birthday, because there are only 366 possible birthdays.

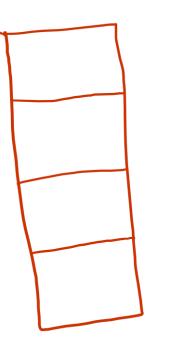
į.

Let's say we have 4 pigeonholes.

 Then, if there are 12 pigeons, then at least one box will have \_\_\_\_ (or more) pigeons.

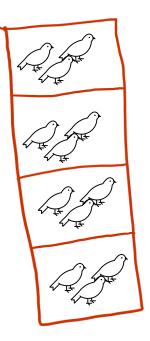
Let's say we have 4 pigeonholes.

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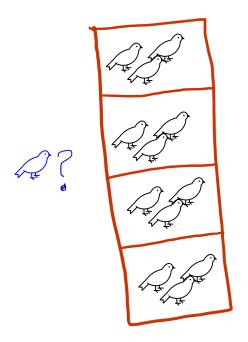
- Let's say we have 4 pigeonholes.
- Then, if there are 12 pigeons, then *at least* one box will have \_\_\_\_\_\_ (or more) pigeons.

where 
$$3 = 12/4$$



Let's say we have 4 pigeonholes.

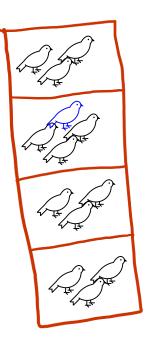
 Then, if there are 13 pigeons, then at least one box will have \_\_?\_ (or more) pigeons.



Let's say we have 4 pigeonholes.

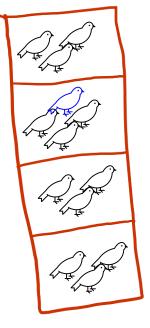
• Then, if there are 13 pigeons, then at least one box will have 4 (or more) pigeons.

where 
$$4 = ?$$



- Let's say we have 4 pigeonholes.
- Then, if there are 13 pigeons, then at least one box will have 4 (or more) pigeons.

where 
$$4 = (13/4) = 3.25$$
 round  $4 = 4$ 



rceilings DY Ceil operation

Let's say we have 4 pigeonholes.

- Let's say we have 4 holes.
- If there are 12 pigeons, then at least one box will have ceil(12/4) = ceil(3) = 3 (or more) pigeons
- If there are 13 pigeons, then at least one box will have ceil(13/4) = ceil(3.25) = 4 (or more) pigeons
- If there are 14 pigeons, then at least one box will have ceil(14/4) = ceil(3.50) = 4 (or more) pigeons
- If there are 15 pigeons, then at least one box will have ceil(15/4) = ceil(3.75) = 4 (or more) pigeons
- If there are 16 pigeons, then at least one box will have ceil(16/4) = ceil(4) = 4 (or more) pigeons
- If there are 17 pigeons, then at least one box will have ceil(17/4) = ceil(4.25) = 5 (or more) pigeons

If k+1 objects are placed in k containers then one container must contain at least 2 objects

The generalised pigeonhole principle:	

If k+1 objects are placed in k containers then one container must contain at least 2 objects

#### The generalised pigeonhole principle:

```
if n objects are placed in k containers, then at least one container has at least ceil (n/k) objects

or

m = ceil (n/k)
```

- ceil(x) (the ceiling of x) the smallest integer greater than or equal to x
- i.e.round up to the nearest integer (example: 3.001 becomes 4)

# Pigeonhole Principle - Coming back to this question

Example: What if there were 1000 people? there must be at least \_\_\_?\_\_ with the same birthday, because there are only 366 possible birthdays.

if **n** objects are placed in **k** containers, then at least one container has at least **ceil(n/k)** objects: m = ceil(n/k)

Given 100 people in a room, at least how many people are born in the same month?

if **n** objects are placed in **k** containers, then at least one container has at least **ceil(n/k)** objects: m = ceil(n/k)

Given 100 people in a room, at least how many people are born in the same month?

What are the containers?

months people are born (size 12)

What are the objects?

people (size 100)

if **n** objects are placed in **k** containers, then at least one container has at least **ceil(n/k)** objects: m = ceil(n/k)

Given 100 people in a room, at least how many people are born in the same month?

What are the containers? months people are born (size 12) What are the objects? people (size 100)

Answer: ceil(100/12)=ceil(8.333)=9

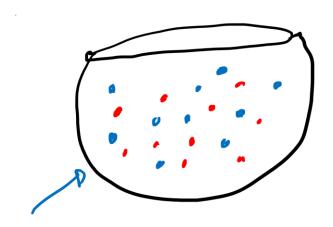
If **n** objects are placed in **k** containers, then at least one container has at least **ceil(n/k)** objects: m = ceil(n/k)

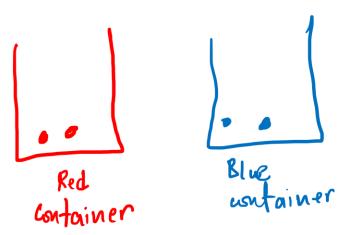
A bowl contains 10 red and 10 blue balls

A person selects balls at random, without replacement

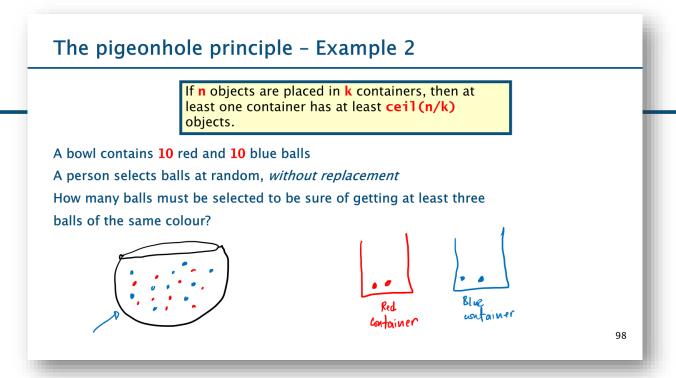
How many balls must be selected to be sure of getting at least three

balls of the same colour?





#### Point to note!



$$m = ceil (n/k)$$

While this is still a "pigeon-hole" problem, the unknown is not what is was in earlier problems.

Before, the question was: given n objects are placed in k containers, then at least one container will have at least how many object? The unknown was: m

Now: We know that, given k containers, at least one container has at least m items. What is the minimum number of items picked? The unknown now is: n

If **n** objects are placed in **k** containers, then at least one container has at least **ceil(n/k)** objects. m = ceil(n/k)

A bowl contains 10 red and 10 blue balls

A person selects balls at random, without replacement

How many balls must be selected to be sure of getting at least three

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What are the containers?

If **n** objects are placed in **k** containers, then at least one container has at least **ceil(n/k)** objects. m = ceil(n/k)

A bowl contains 10 red and 10 blue balls

A person selects balls at random, without replacement

How many balls must be selected to be sure of getting at least three

balls of the same colour?

What are the containers?

ball colours (size 2)

red balls

If **n** objects are placed in **k** containers, then at least one container has at least **ceil(n/k)** objects.

A bowl contains 10 red and 10 blue balls

A person selects balls at random, without replacement

How many balls must be selected to be sure of getting at least three

balls of the same colour?

What are the k containers?

ball colours (size 2)

What are the n objects?

balls selected (what we want to find)

blue balls

red balls

If n objects are placed in k containers, then at least one container has at least ceil(n/k) objects. m = ceil(n/k)

A bowl contains 10 red and 10 blue balls

A person selects balls at random, without replacement

How many balls must be selected to be sure of getting at least three

balls of the same colour?

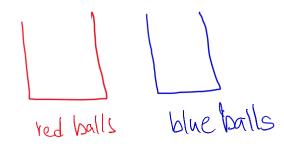


ball colours (size 2)

What are the n objects?

balls <u>selected</u> (size n - what we want to find)

Answer: smallest n such that m = ceil(n/2) = 3, i.e. n=5 - since 4/2=2 and 5/2=2.5



#### Given ceil(n/2)=3

- how do we find n?

#### Or, in general, given: ceil(n/k) = m

- how do we find n (total number of items placed in buckets)
- given:
  - k (number of buckets)
  - m (at least one bucket must have these many items)

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#### First, find n such that n/k = m-1- e.g., n/2 = 3-1 = 2; then n=4

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Then at this current value for n, n/k is exactly equal to m-1

-e.g., 4/2 = 2

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#### Or, in general, given: ceil(n/k) = m

- how do we find n (total number of items placed in buckets)
- given:
  - k (number of buckets)
  - m (at least one bucket must have these many items)
- reasoning to get to the answer:
   find n such that n/k is exactly
   equaly to m-1; one less than the
   number we are looking for.
- then, simply add one to n

First, find n such that n/k = m-1

- e.g., n/2 = 3-1 then n=4

Then at this current value for n, n/k is exactly equal to m-1

-e.g., 4/2 = 2

This means if we increase n by 1, then taking ceil(n/k) will get us to m

- e.g., ceil((4+1)/2) = ceil(5/2) = 3
- so the answer is n = 3

## Solve the same example but with different k and m

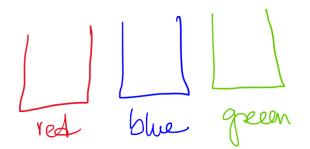
A bowl contains 10 red, 10 blue balls, and 10 green balls (so k = 3)

A person selects balls at random, without replacement

How many balls must be selected to be sure of getting at least five (so m = 5)

balls of the same colour?

- We know that m = ceil(n/k), i.e., 5 = ceil(n/3)
- How much is n?



# Solve the same example but with different k and m

A bowl contains 10 red, 10 blue balls, and 10 green balls (so k = 3)

A person selects balls at random, without replacement

How many balls must be selected to be sure of getting at least five (so m = 5)

#### balls of the same colour?

- We know that m = ceil(n/k), i.e., 5 = ceil(n/3)
- How much is n?
- Find n such that n/k=m-1, i.e., n/3 = 4, so n=12
- Answer is 12+1 = 13
- Check: ceil(13/3) = ceil(4.33) = 5

