

Algorithmics

Lecture 11

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Section 5 – Computability

Introduction

Models of computation

- finite-state automata – regular languages and regular expressions
- pushdown automata
- Turing machines
- Counter machines
- Church–Turing thesis

Computability recap

What is a computer?



What can the black box do?

- it computes a function that maps an input to an output

Computability concerned with which **functions** can be computed

- a formal way of answering ‘which problems can be solved by a computer?’
- or alternatively ‘which problems cannot be solved by a computer?’

To answer such questions we require a formal definition

- i.e. a definition of what a computer is
- alternatively of what an **algorithm** is if we view a computer as a device that can execute an algorithm

Deterministic finite-state automata recap

Simple machines with limited memory which **recognise** input on a read-only tape

A DFA consists of

- a finite input **alphabet** Σ – i.e. symbols on the read-only tape
- a finite **set of states** Q – i.e. memory
- a **initial/start** state $q_0 \in Q$ and set of **accepting** states $F \subseteq Q$
- control/program or **transition relation** $T \subseteq (Q \times \Sigma) \times Q$
 - $((q, a), q') \in T$ means if in state q and read a , then move to state q'

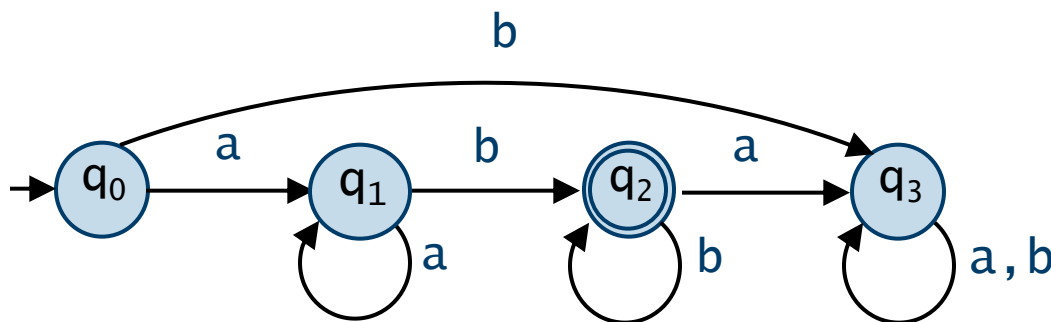
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add input tape (finite sequence of elements/actions from the alphabet)



control/program

$((q_0, a), q_1)$
 $((q_0, b), q_3)$
 $((q_1, a), q_1)$
 $((q_1, b), q_2)$
 $((q_2, a), q_3)$
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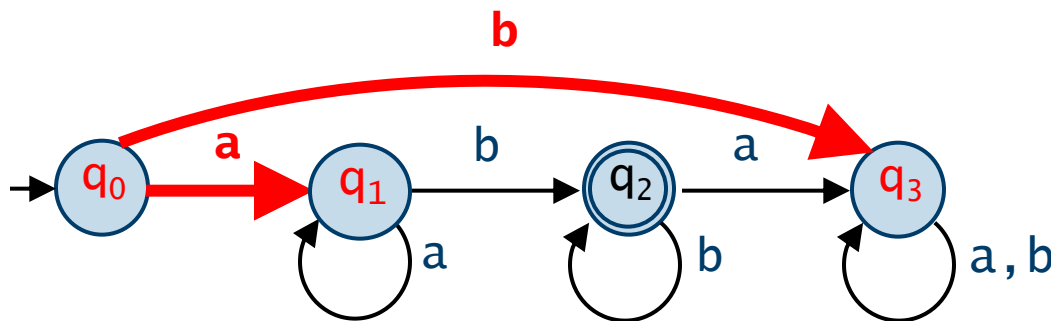
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```

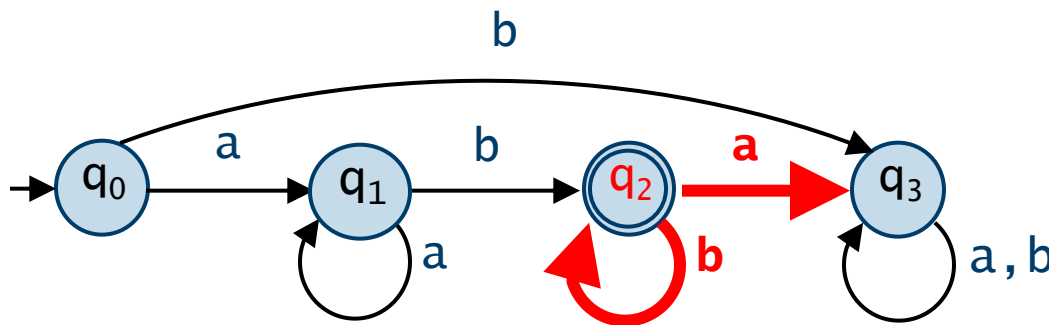
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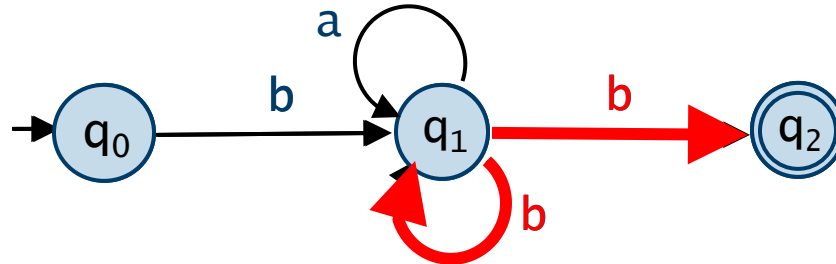
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```

Another example



Recognises strings that start and end with **b**

However this is not a DFA, but a **non-deterministic finite-state automaton (NFA)**

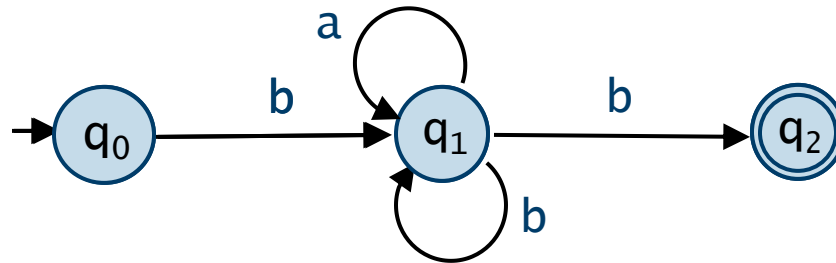
- in state **q₁** under **b** can move to **q₁** or **q₂**

Recognition for NFA is similar to non-deterministic algorithms

“solving” a decision problem

- only require there exists a ‘run’ that ends in an accepting state
- i.e. under one possible resolution of the nondeterministic choices the input is accepted

Another example



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However this is not a DFA, but a **non-deterministic finite-state automaton (NFA)**

- in state **q₁** under **b** can move to **q₁** or **q₂**

But any NFA can be **converted** into a DFA

Therefore non-determinism does not expand the class of languages that can be recognised by finite state automata

- being able to guess does not give us any extra power

DFAs and languages

A (formal) language with alphabet Σ is a set of words over Σ

- a word over Σ is a sequence of symbols taken from Σ
- a word w over Σ is **recognised** or **accepted** by a finite-state automaton with alphabet Σ if the the automaton reaches an accepting state when started in the initial state on the tape that contains the word w

The languages that can be recognised by finite-state automata are called the **regular languages**

Regular languages and regular expressions

The languages that can be recognised by finite-state automata are called the **regular languages**

A regular language (over an alphabet Σ) can be specified by a **regular expression** over Σ

- ε (the empty string) is a regular expression
- σ is a regular expression (for any single character $\sigma \in \Sigma$)

if **R** and **S** are regular expressions, then so are

- **RS** which denotes **concatenation**
- **R | S** which denotes **choice** between **R** or **S**
- **R*** which denotes **0** or more copies of **R** (sometimes called **closure**)
- **(R)** bracketing is sometimes needed to override precedence between operators

Regular expressions

Order of precedence (highest first)

- closure (*) then concatenation then choice (|)
- with brackets used to override this order

Example: suppose $\Sigma = \{a, b, c, d\}$

- $R = (ac|a^*b)d$ means $((ac) | ((a^*)b))d$
- corresponding language L_R is
 $\{acd, bd, abd, aabd, aaabd, aaaabd, \dots\}$

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Additional operations

- complement $\neg x$
 - equivalent to the 'or' of all characters in Σ except x
- any single character $?$
 - equivalent to the 'or' of all characters

Regular expressions

Regular expressions (regex or regexp) have an important role in CS applications especially those involving text

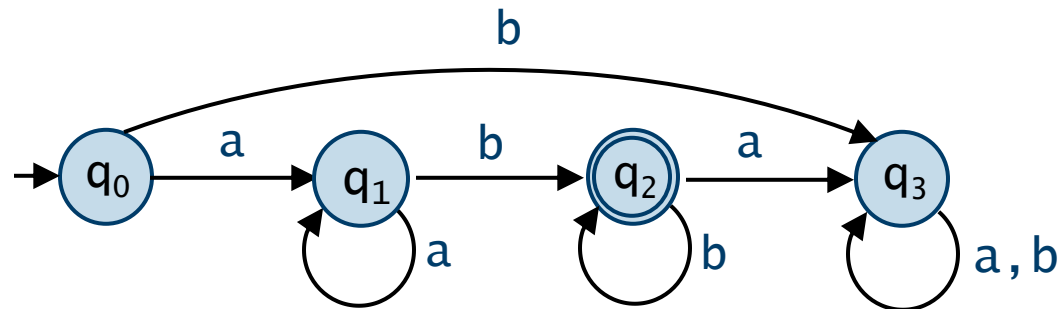
- i.e. searching for strings that satisfy certain patterns
- search engines, text editors, word processors
- e.g. text processing utilities in Unix such as **awk**, **grep**, **sed**
 - the IEEE POSIX standard for basic regular expression syntax
- built into the syntax of Perl
- supported by standard libraries of programming languages
 - e.g. Python, Java, JavaScript, C++, C#, etc.

Regular expressions – Examples

The examples from previous lecture over $\{a, b\}$

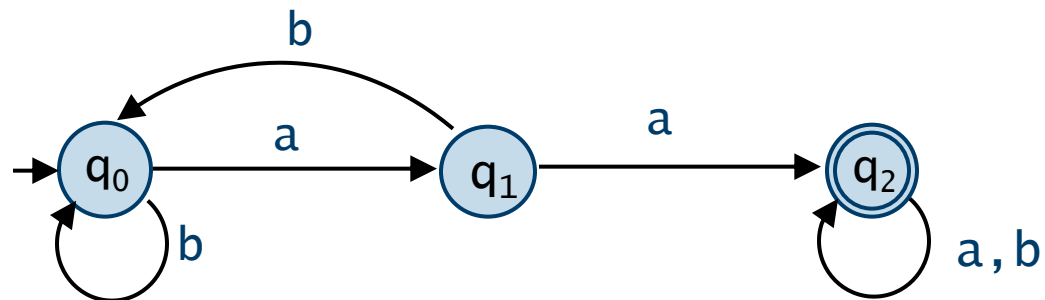
1) the language comprising one or more a 's followed by one or more b 's

– aa^*bb^*



2) the language of strings containing two consecutive a 's

– $(a|b)^*aa(a|b)^*$

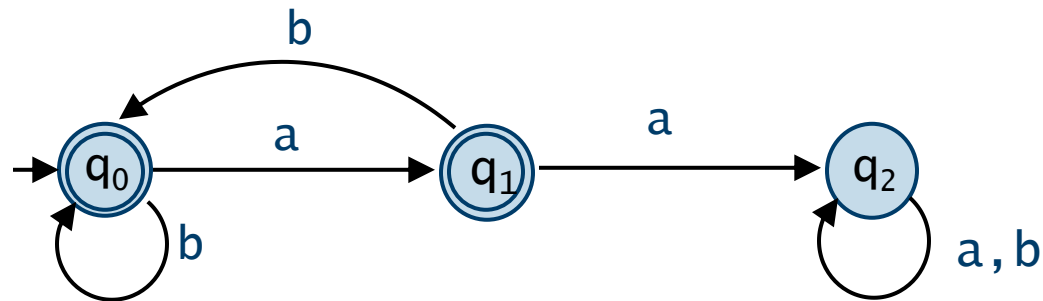


Regular expressions – Examples

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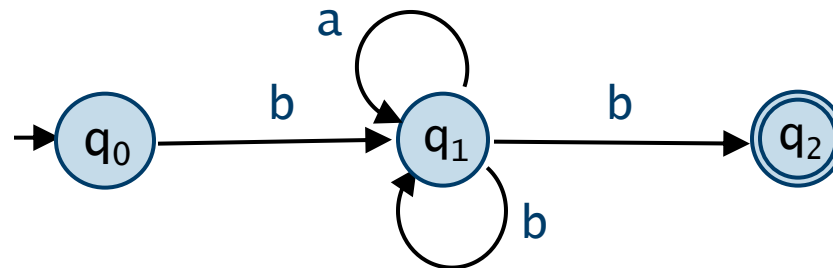
3) the language of strings that do not contain two consecutive a 's

– $b^*(abb^*)^*(\varepsilon | a)$



4) the language of strings that start and end with b

– $b(a|b)^*b$



Regular expressions – Closure

To clarify what R^* means

- corresponds to 0 or more copies of the regular expression R

Let $L(R)$ be the language corresponding to the regular expression R

- then concatenation is given by $L(RS) = \{ rs \mid r \in L(R) \text{ and } s \in L(S) \}$
and $L(R^*) = L(R^0) \cup L(R^1) \cup L(R^2) \dots$
where $L(R^0) = \{\varepsilon\}$ and $L(R^{i+1}) = L(RR^i)$
- for example: $ab, aab \in L(a^*b^*)$, and hence $abaab \in L((a^*b^*)^*)$

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where $L(R^0) = \{\varepsilon\}$ and $L(R^{i+1}) = L(RR^i)$
- note $(a^*b^*)^*$ is in fact equivalent to $(a|b)^*$

$L(R^*)$ does not mean $\{ r^* \mid r \in L(R) \}$

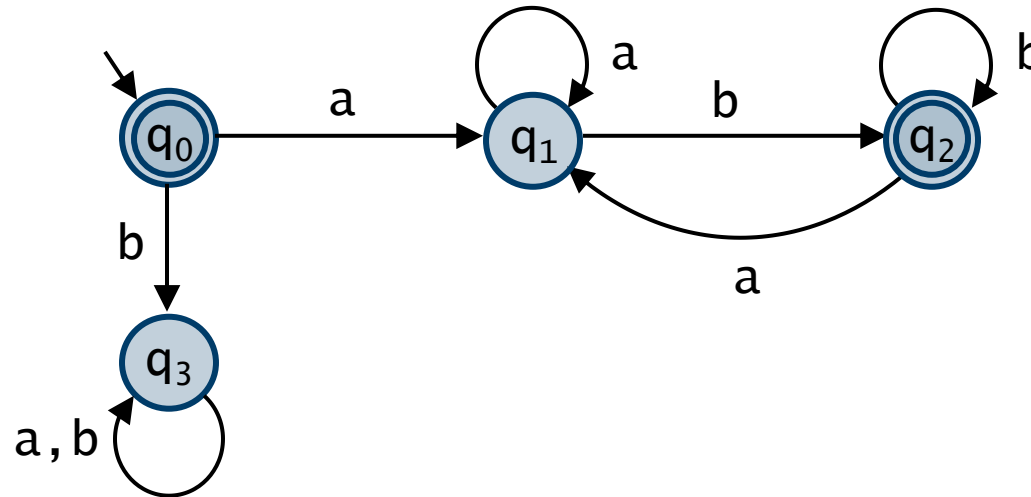
- not zero or more copies of one element from $L(R)$
- but zero or more copies where each copy can be anything from $L(R)$
- for certain regular expressions cannot be recognized by any DFA
- essentially for such a language would need a memory to remember which string in $r \in L(R)$ is repeated and there might be an unbounded number

Regular expressions – Example

Consider the language $(aa^*bb^*)^*$

- i.e. zero or more sequences which consist of a non-zero number of a 's followed by a non-zero number of b 's

Corresponding DFA:

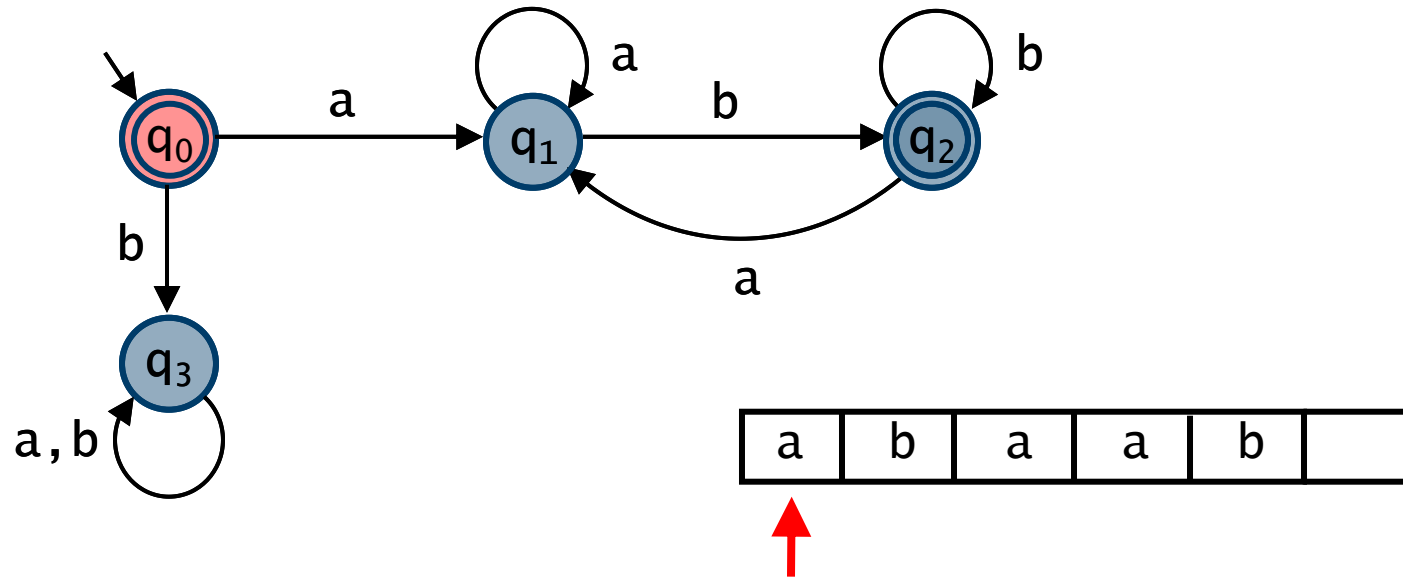


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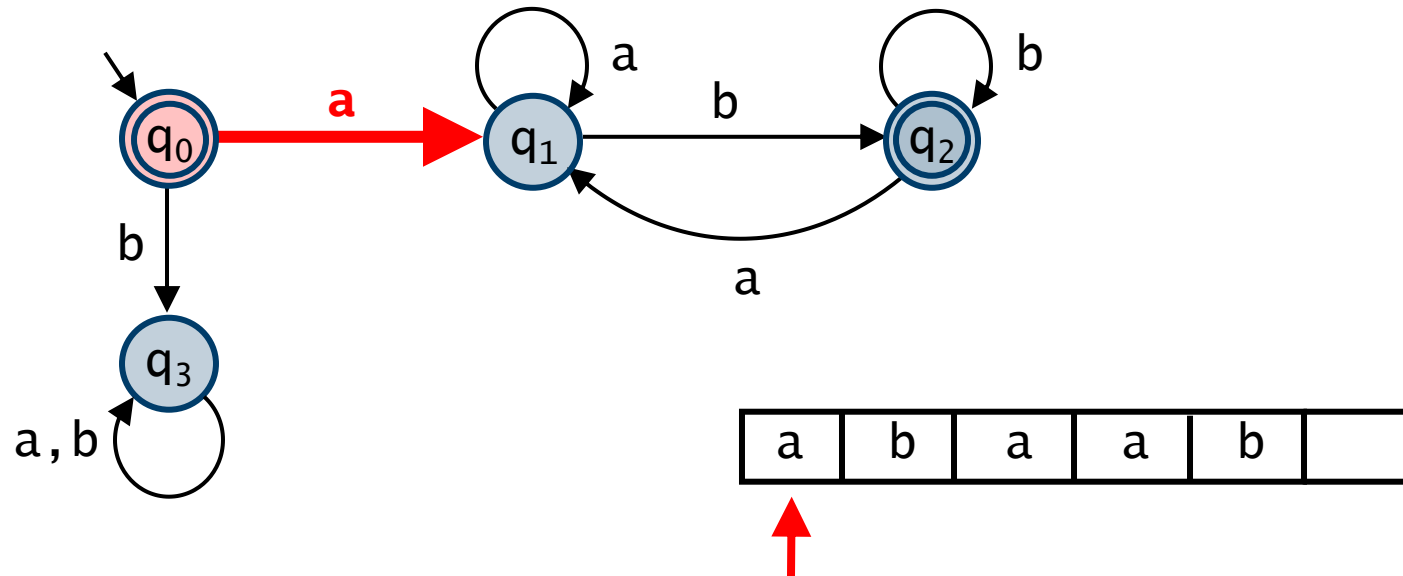


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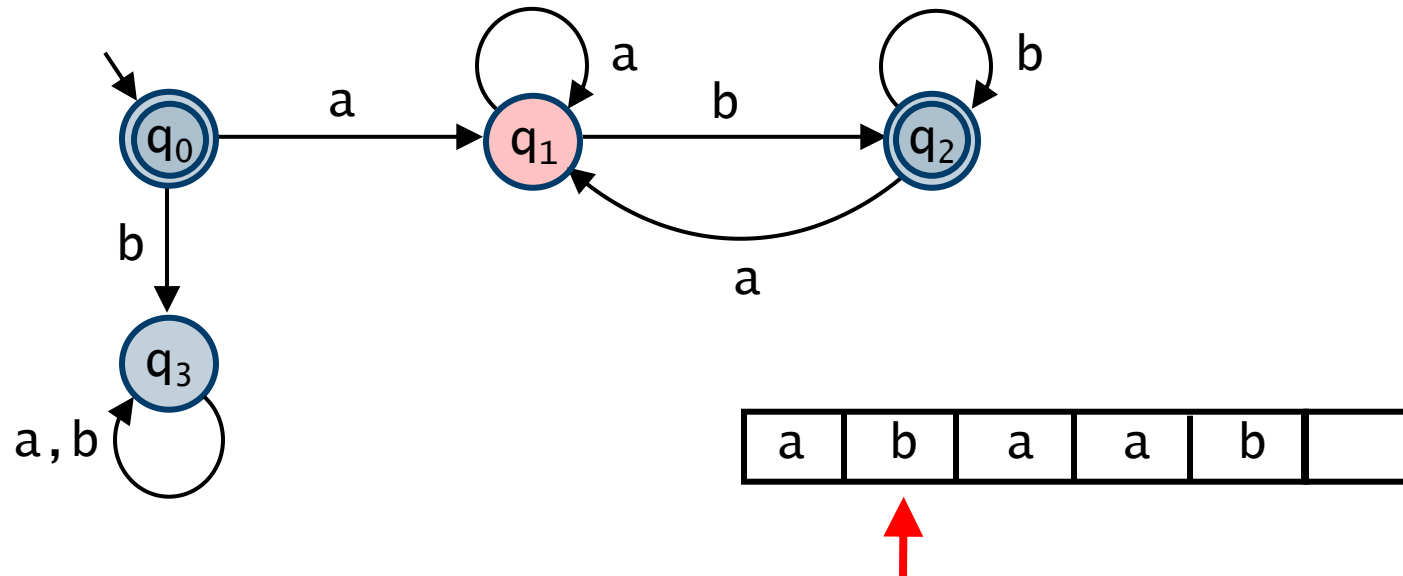


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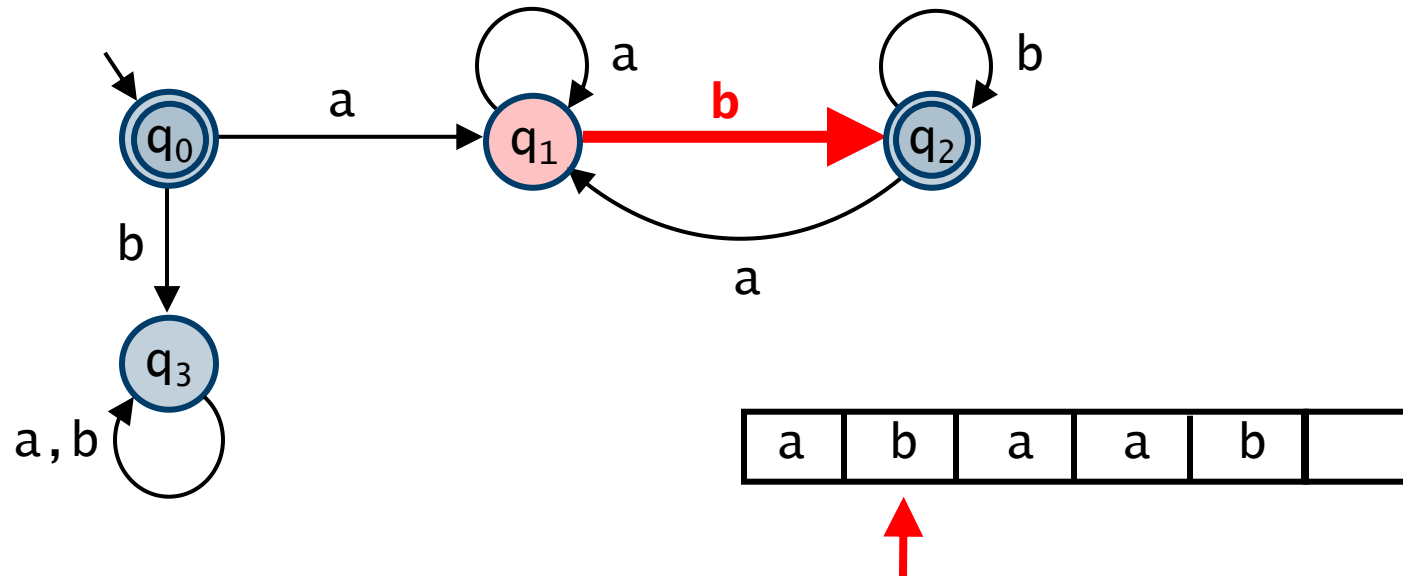


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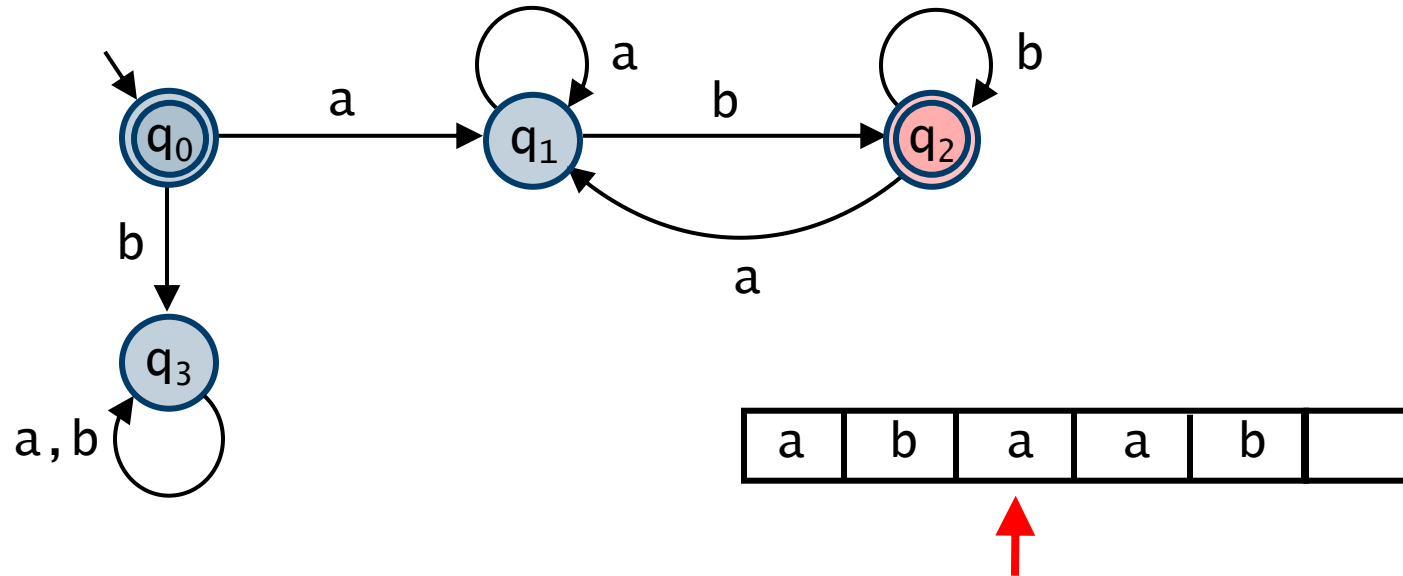


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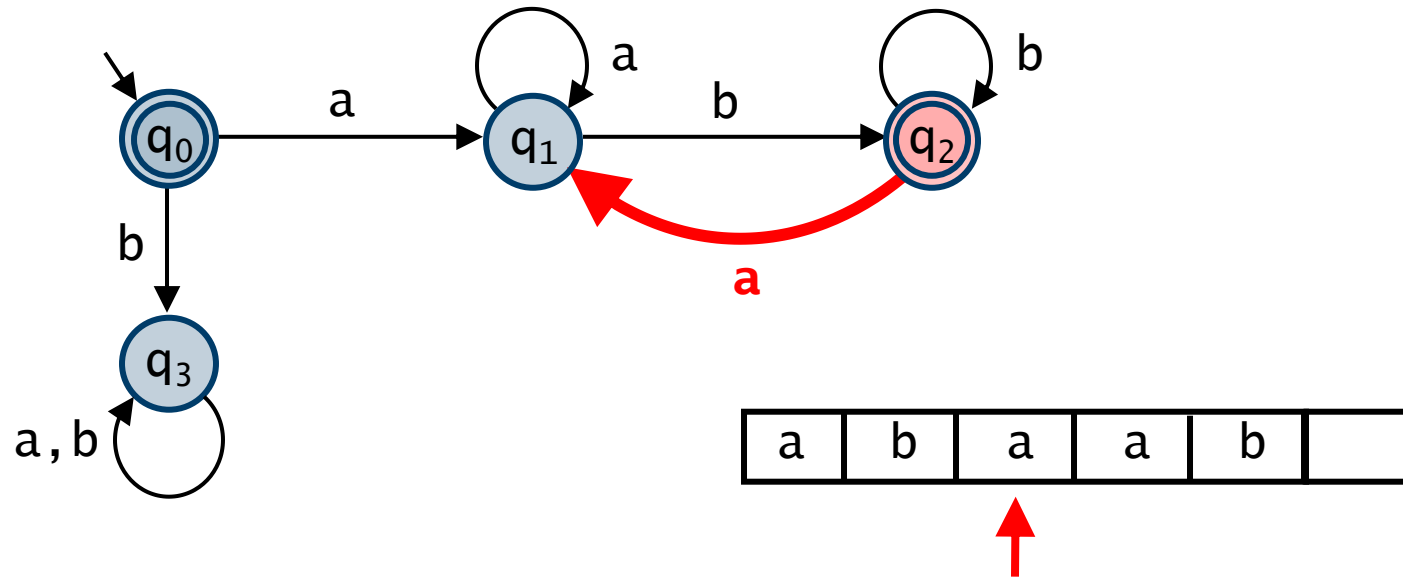


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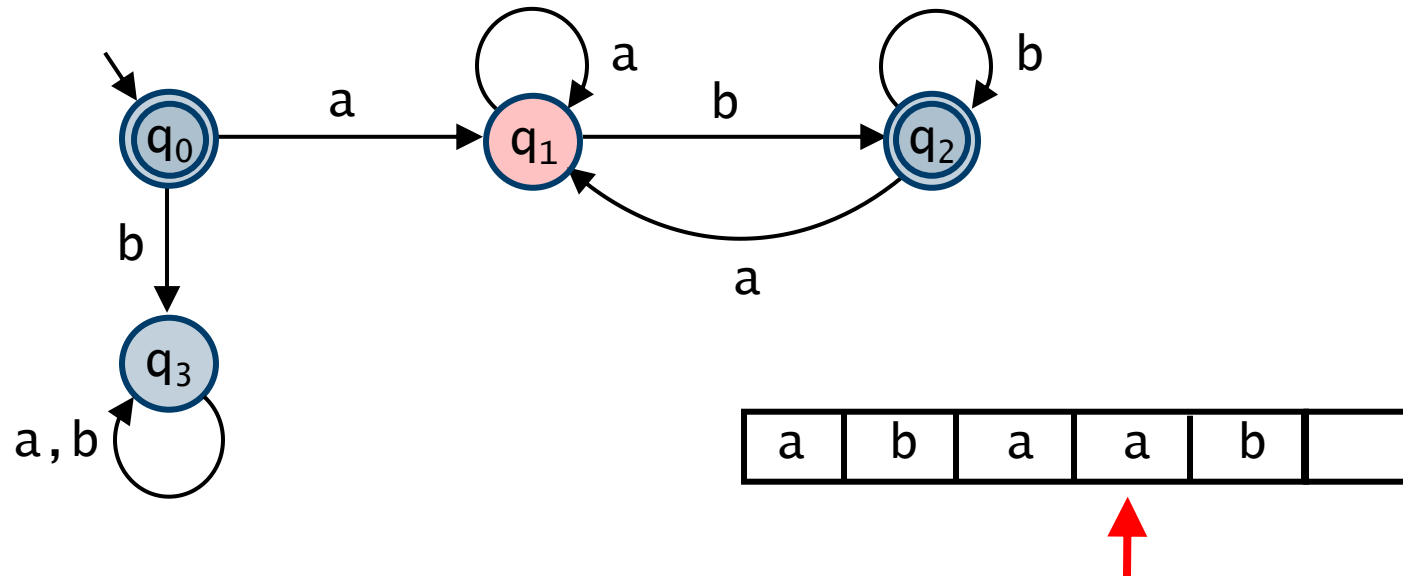


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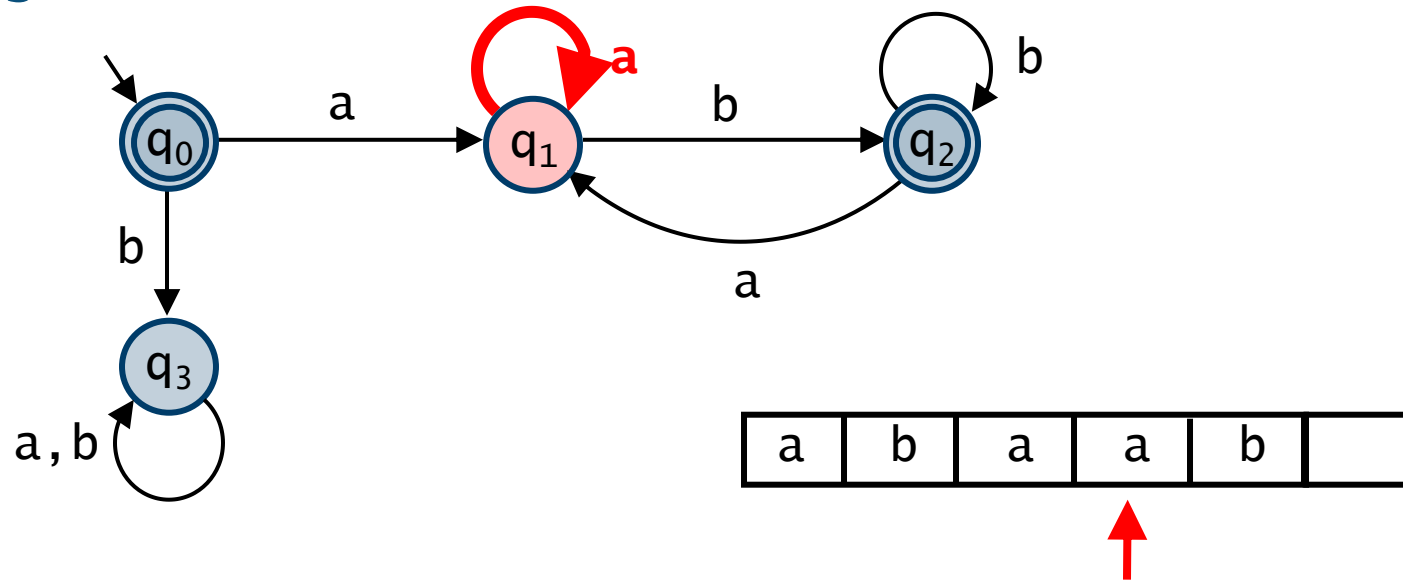


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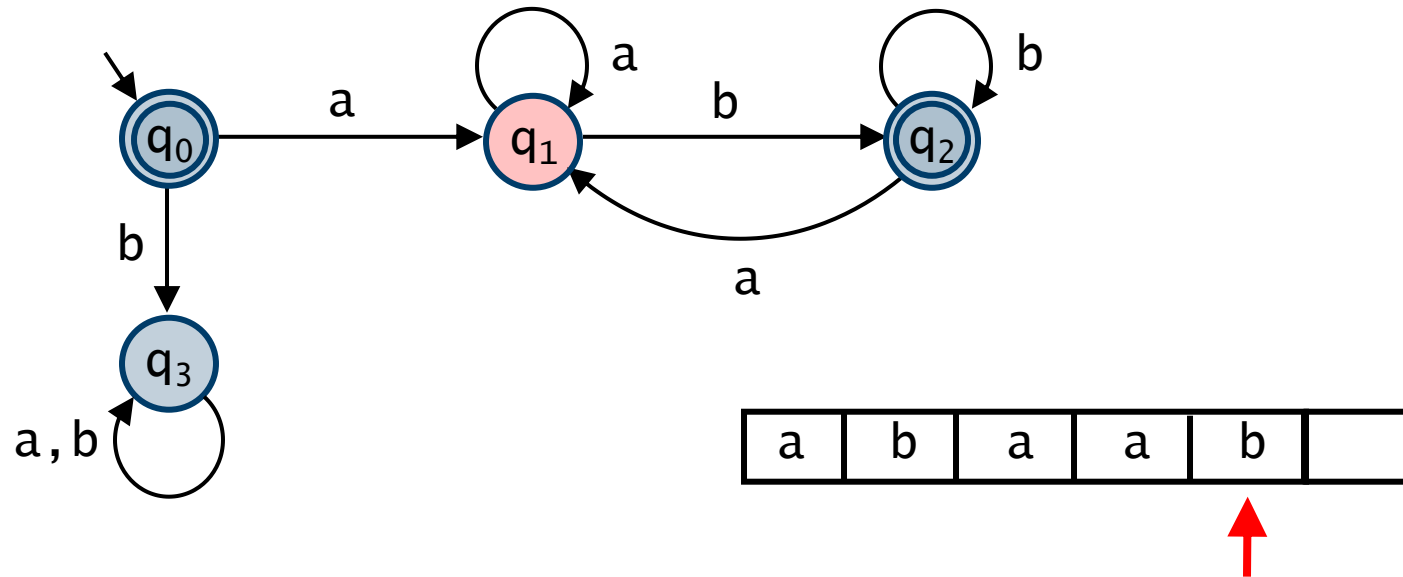


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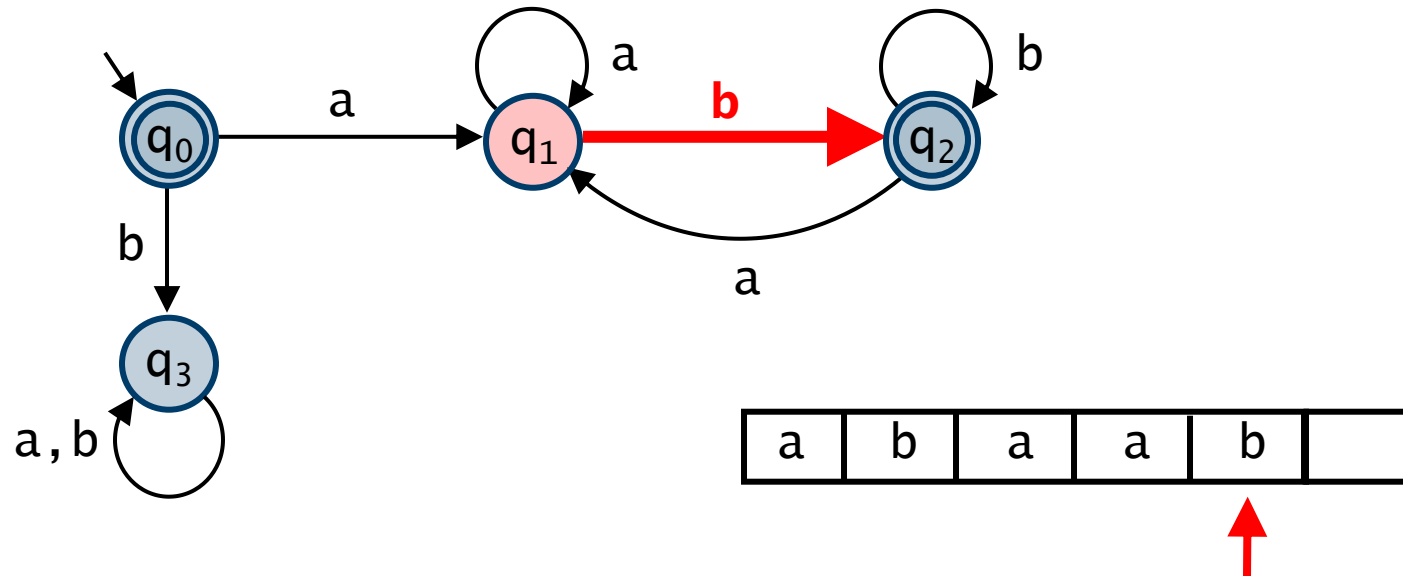


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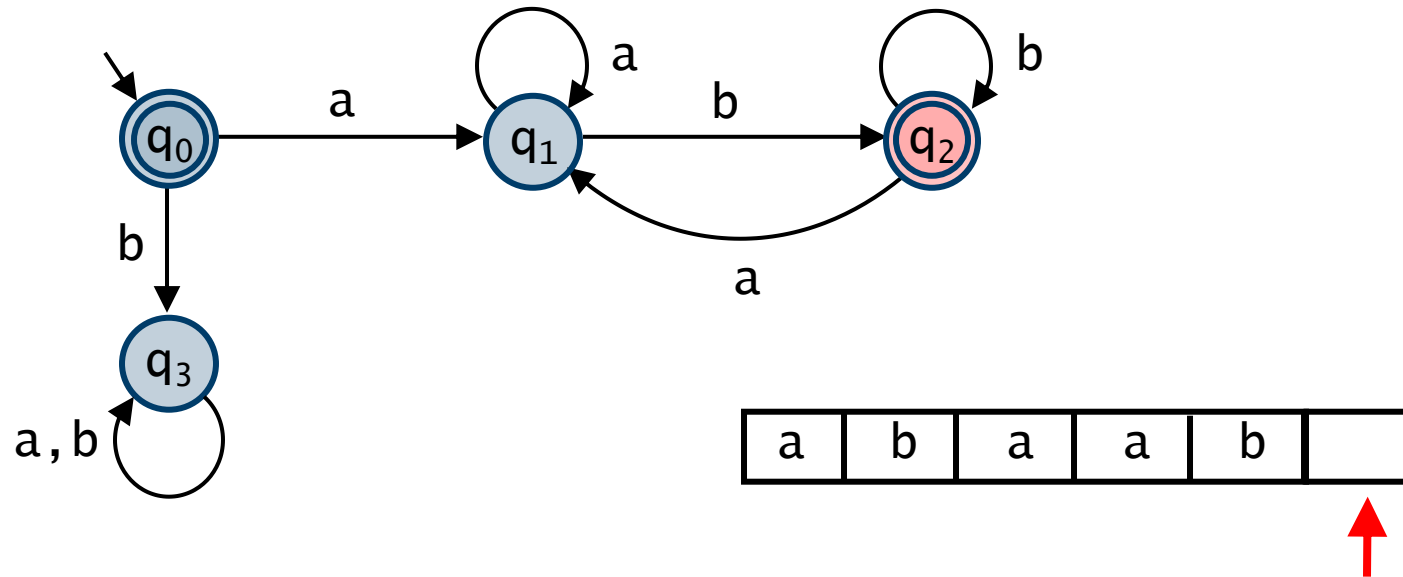


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Consider the language $(aa^*bb^*)^*$

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Corresponding DFA:



Regular expressions – Example

A DFA cannot recognise $\{ r^* \mid r \in L(aa^*bb^*) \}$

- i.e. $\{ (a^m b^n)^* \mid m > 0 \text{ and } n > 0 \}$
- the problem is the DFA would need to remember the m and n to check that a string is in the language
- but there are infinitely many values for m and n
- hence the DFA would need infinitely many states
- and we only have a finite number (DFA = deterministic **finite** automaton)

Similarly a DFA cannot recognise $\{ a^n b^n \mid n > 0 \}$

- i.e. a number of a 's followed by the same number of b 's

Languages that are recognised by DFAs are called **regular languages** so, for example $\{ a^n b^n \mid n > 0 \}$ is not regular

Regular expressions – Example

How can we recognise strings of the form $a^n b^n$?

- i.e. a number of a 's followed by the same number of b 's

It turns out that there is no DFA that can recognise this language

- it cannot be done without some form of **memory**, e.g. a stack

Idea: as you read a 's, push them onto a stack, then pop the stack as you read b 's, i.e. the stack works like a **counter**

So there are some functions (languages) that we would regard as computable that cannot be computed by a finite-state automaton

- DFAs are not an adequate model of a general-purpose computer
i.e. our 'black box'

Pushdown automata extend finite-state automata with a **stack**

Section 5 – Computability

Introduction

The halting problem

Models of computation

- finite-state automata
- pushdown automata
- Turing machines
- Counter machines
- Church–Turing thesis

Why extending DFAs

A deterministic finite-state automaton (DFA) cannot recognise the language $\{ a^n b^n \mid n \geq 0 \}$

- i.e. a number of a 's followed by the same number of b 's
- the problem is the DFA would need to remember n to check that a string is in the language
- but there are infinitely many values for n
- hence the DFA would need infinitely many states and we only have a finite number

Why extending DFAs

How can we recognising strings of the form $a^n b^n$?

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So there are some functions (languages) that we would regard as **computable** that cannot be computed by a finite-state automaton

- finite-state automata are not an adequate model of a general-purpose computer i.e. our 'black box'

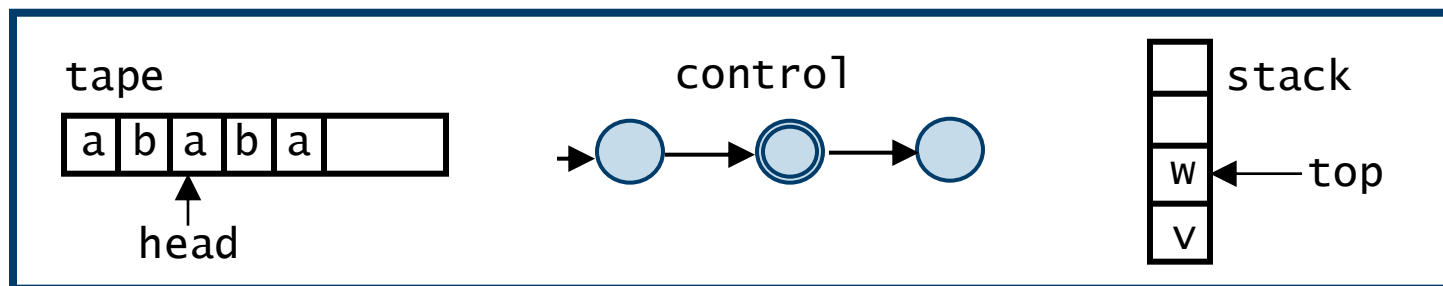
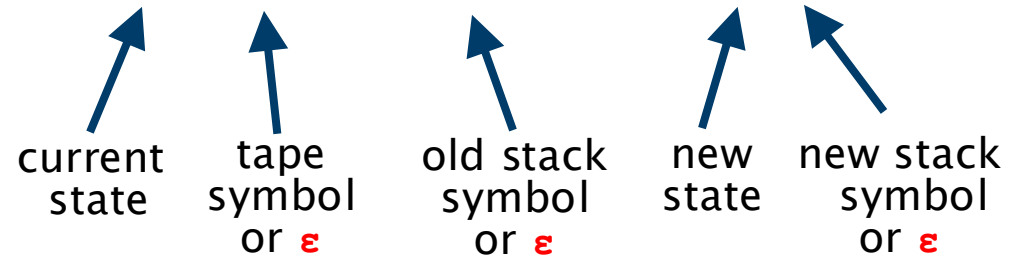
Pushdown automata extend finite-state automata with a **stack**

Pushdown automata

A **pushdown automaton (PDA)** consists of:

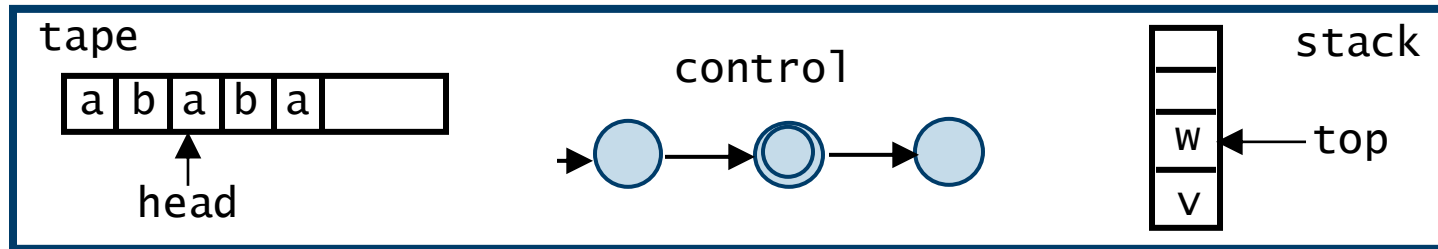
- a finite input **alphabet** Σ , a finite set of stack symbols G (same or different)
- a finite **set of states** Q including **start** state and set of **accepting** states
- control or **transition relation** $T \subseteq (Q \times \Sigma \cup \{\varepsilon\} \times G \cup \{\varepsilon\}) \times (Q \times G \cup \{\varepsilon\})$

ε – empty string



Pushdown automata

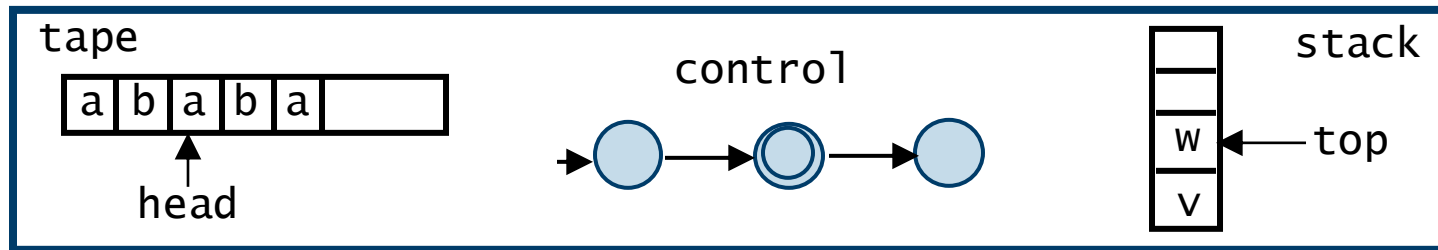
Transition relation $T \subseteq (Q \times \Sigma \cup \{\varepsilon\} \times G \cup \{\varepsilon\}) \times (Q \times G \cup \{\varepsilon\})$



Informally, the transition $(q_1, a, w) \rightarrow (q_2, v)$ means that

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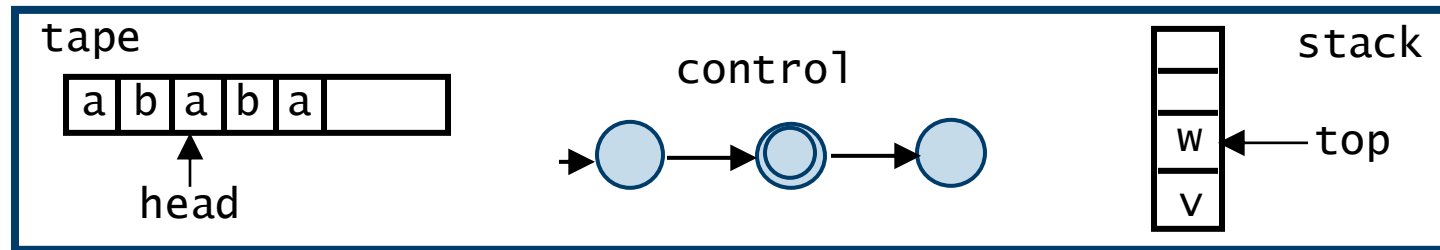


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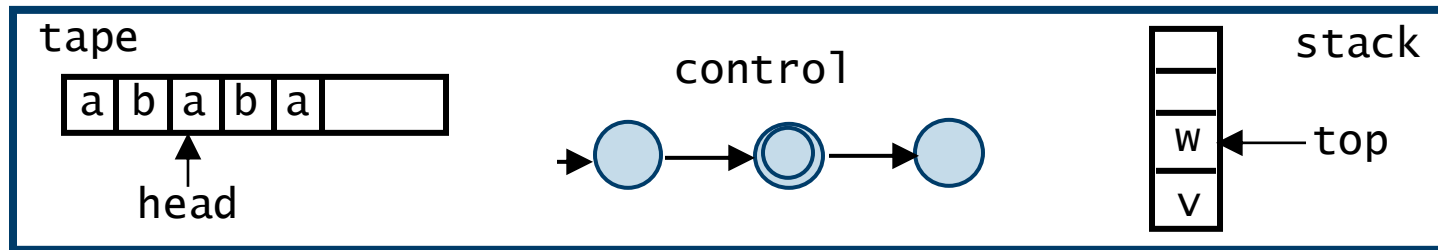


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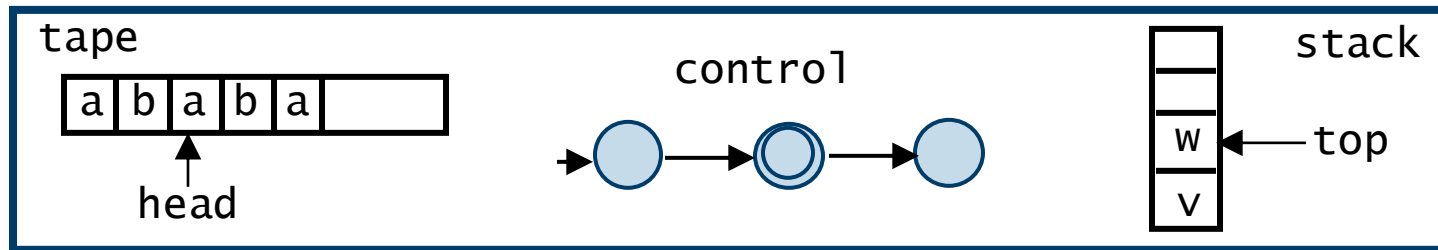


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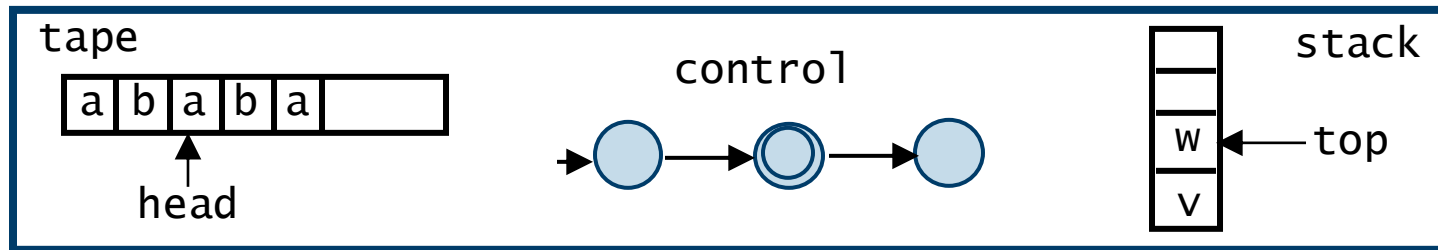


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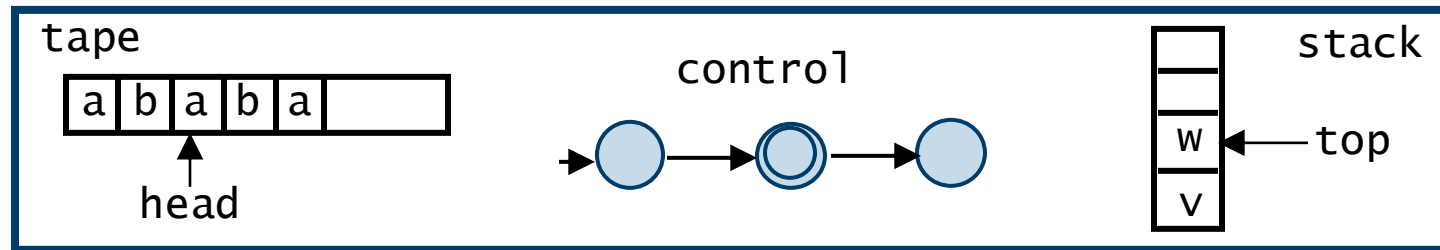


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- then move to state q_2 and
- if $a \neq \varepsilon$, then move head forward one position
 - i.e. we have read the symbol a from the head of the tape

Pushdown automata

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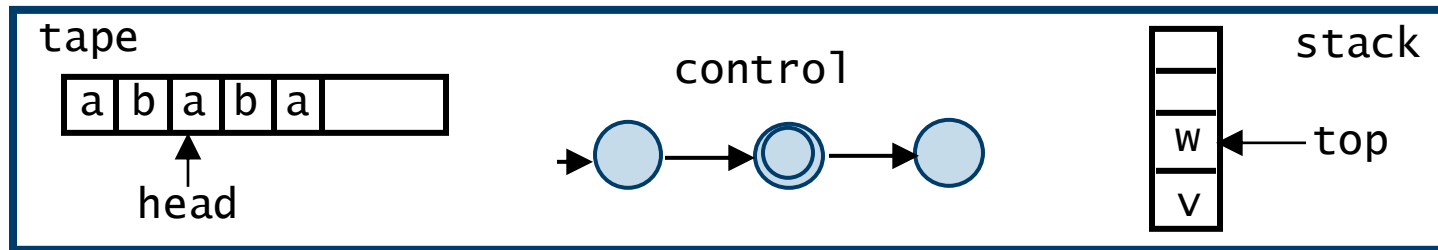


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- then move to state q_2 and
- if $a \neq \varepsilon$, then move head forward one position
- if $w \neq \varepsilon$, then **pop** w from the stack
 - a requirement was that w is on the top of the stack

Pushdown automata

Transition relation $T \subseteq (Q \times \Sigma \cup \{\varepsilon\} \times G \cup \{\varepsilon\}) \times (Q \times G \cup \{\varepsilon\})$



Informally, the transition $(q_1, a, w) \rightarrow (q_2, v)$ means that

- if we are in state q_1
- if $a \neq \varepsilon$, then the symbol a is at the head of the tape
- if $w \neq \varepsilon$, then the symbol w is on top of the stack
- then move to state q_2 and
- if $a \neq \varepsilon$, then move head forward one position
- if $w \neq \varepsilon$, then **pop** w from the stack
- if $v \neq \varepsilon$, then **push** v onto the stack

Pushdown automata

A **PDA accepts** an input if and only if after the input has been read, the stack is empty and control is in an accepting state

Example tuples from a **PDA** program when in state **q_1**

- $(q_1, \varepsilon, \varepsilon) \rightarrow (q_2, \varepsilon)$ move to **q_2**
- $(q_1, a, \varepsilon) \rightarrow (q_2, \varepsilon)$ if head of tape is **a** , move to **q_2** & move head forward
- $(q_1, a, \varepsilon) \rightarrow (q_2, v)$ if head of tape is **a** , move to **q_2** , move head forward & push **v** onto stack
- $(q_1, a, w) \rightarrow (q_2, \varepsilon)$ if head of tape is **a** & **w** is top stack, move to **q_2** , move head forward & pop **w** from stack
- $(q_1, a, w) \rightarrow (q_2, v)$ if head of tape is **a** & **w** is top of stack, move to **q_2** , move head forward, pop **w** & push **v** onto stack

Pushdown automata

There is no explicit test that the stack is empty

- this can be achieved by adding a special symbol (\$) to the stack at the start of the computation
- i.e. we add the symbol to the stack when we know the stack is empty and we never add \$ at any other point during the computation
 - unless we pop it from the stack as at this point we again know its empty
- then can check for emptiness by checking \$ is on top of the stack
- when we want to finish in an accepting state we just need to make sure we pop \$ from the stack (we will see this in an example later)

Pushdown automata

Note **PDA** defined here are **non-deterministic (NDPDA)**

- deterministic **PDA**s (**DPDA**s) are less powerful
- this differs from **DFA**s where non-determinism does not add power
- i.e. there are languages that can be recognised by a **NDPDA** but not by a **DPDA**, e.g. the language of palindromes
 - palindromes: strings that read the same forwards and backwards

Pushdown automata – Palindromes

Palindromes are sequences of characters that read the same forwards and backwards (second half is the reverse of the first half)

How to recognize palindromes with a pushdown automaton?

- push the first half of the sequence onto the stack
- then as we read each new character check it is the same as the top element on the the stack and pop this element
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Why do we need non-determinism?

- we need to “guess” where the middle of the stack is
 - and if there are even or odd number of characters
- cannot work this out first and then check the string as would need
 - to read the string twice (as only have a stack)
 - an unbounded number of states as the string could be of any finite length

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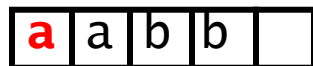
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Pushdown automata – Example

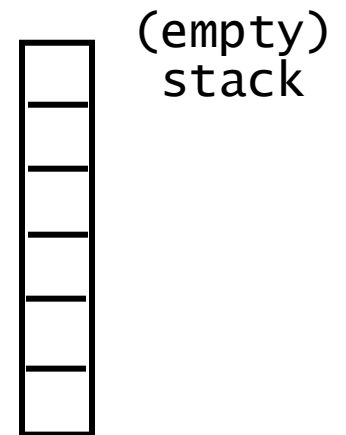
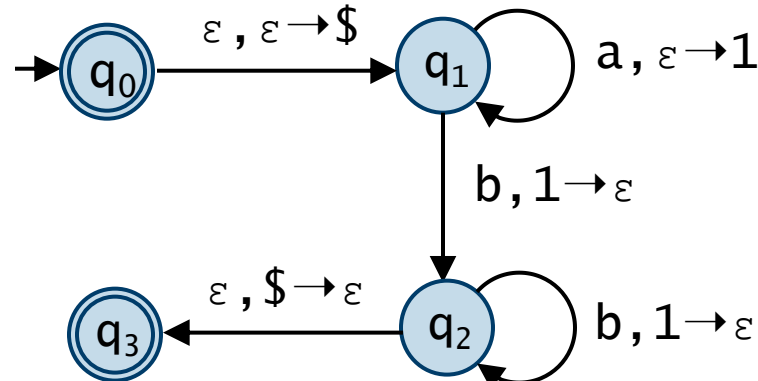
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tape



↑
head

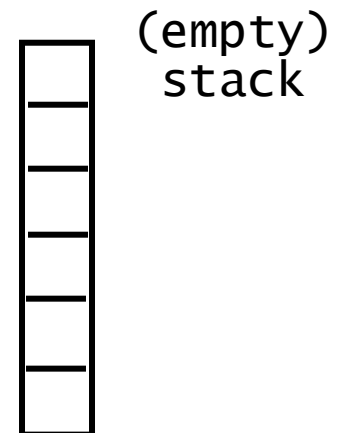
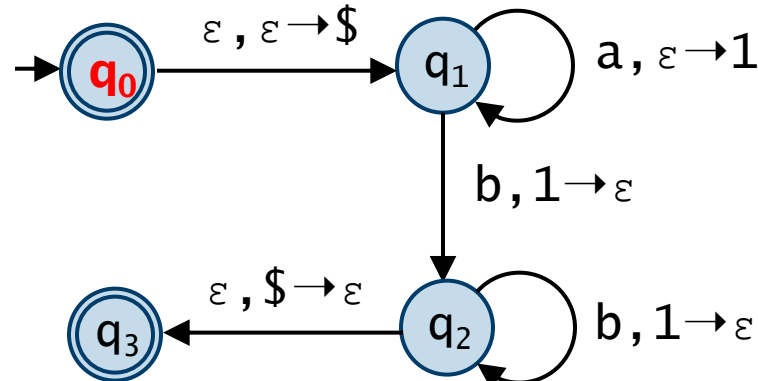
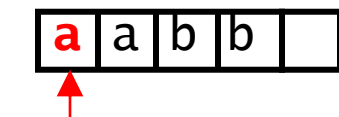


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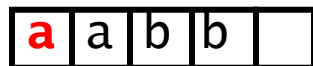


Pushdown automata – Example

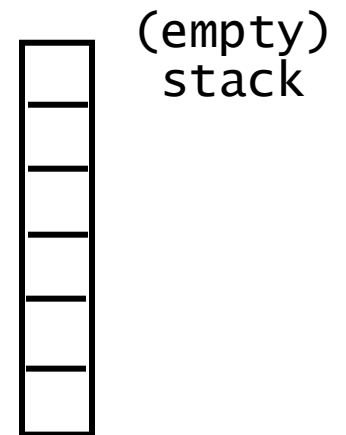
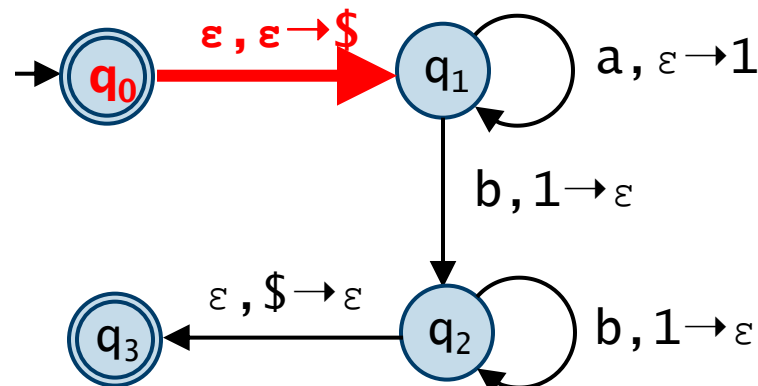
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↑
head

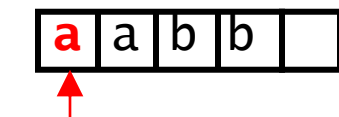


Pushdown automata – Example

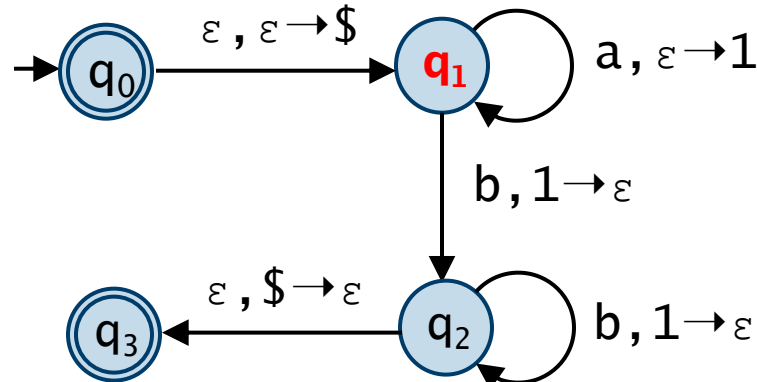
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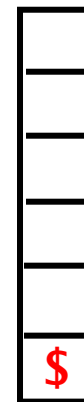
tape



head



stack

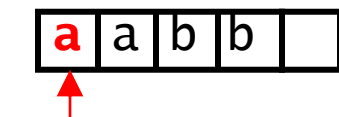


Pushdown automata – Example

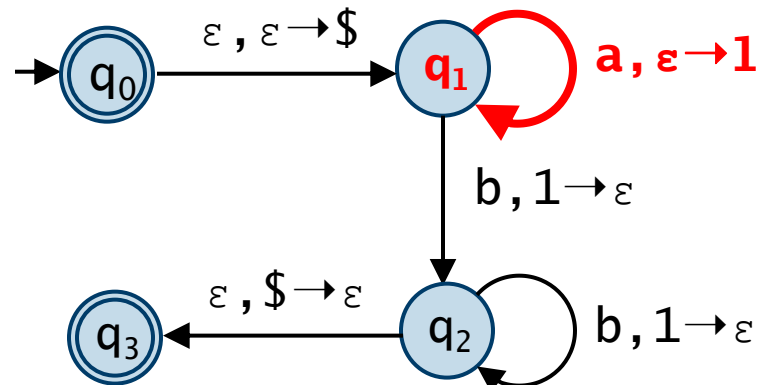
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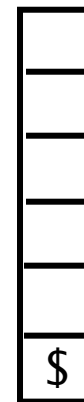
tape



head



stack

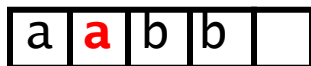


Pushdown automata – Example

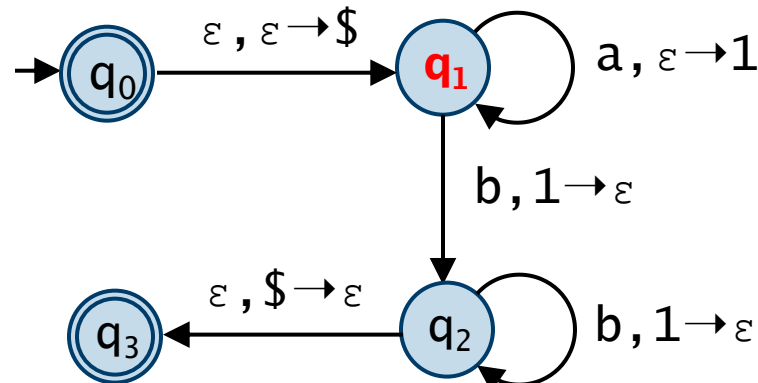
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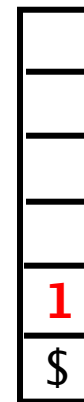
tape



↑
head



stack

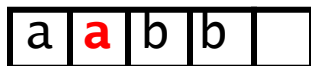


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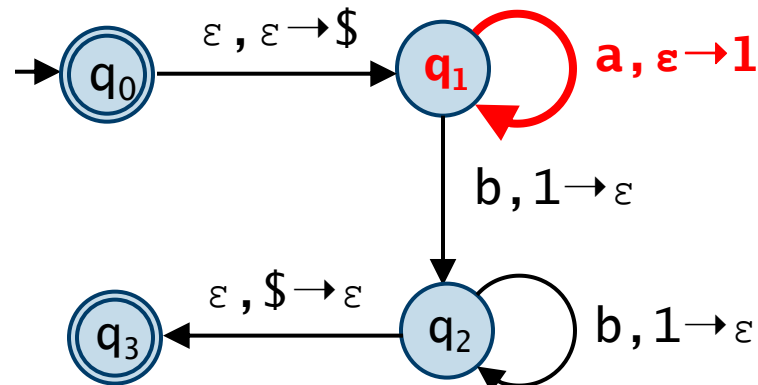
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stack

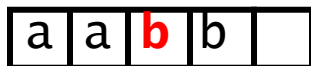


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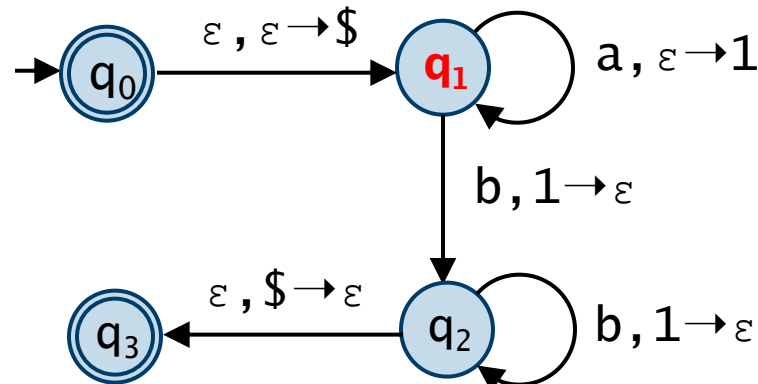
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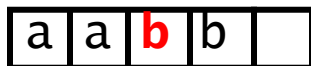


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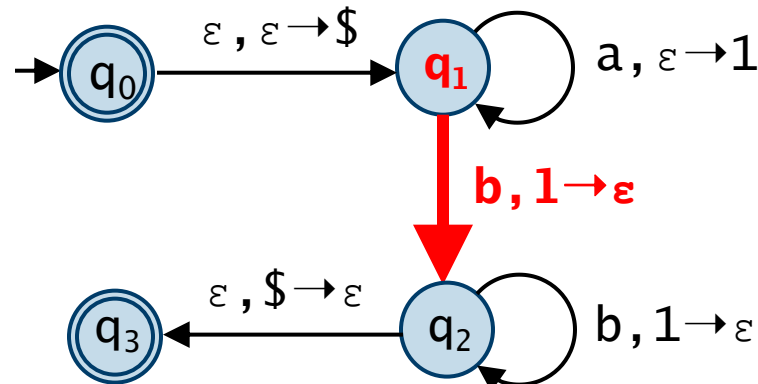
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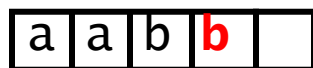


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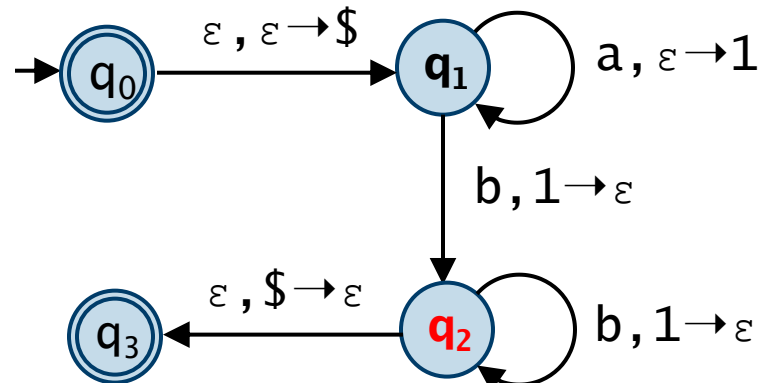
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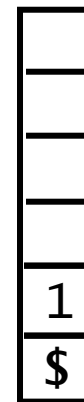
tape



↑
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stack

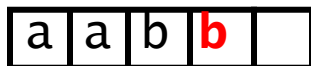


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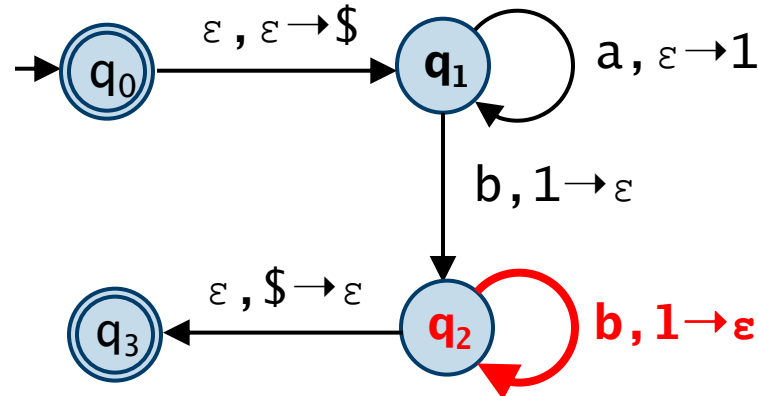
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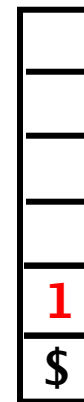
tape



↑
head



stack

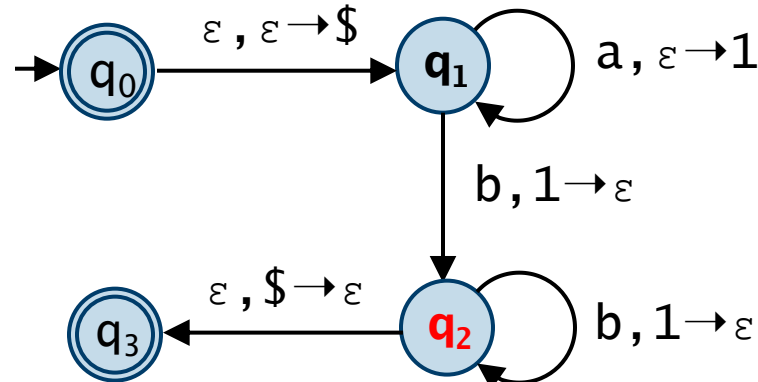
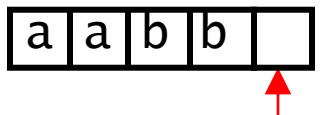


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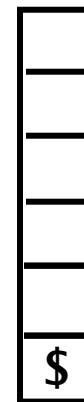
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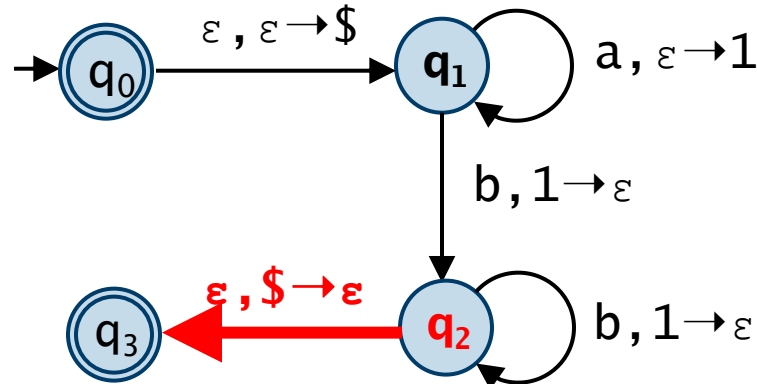
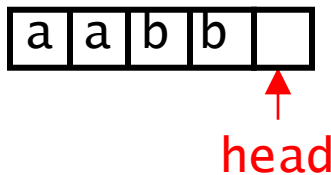


Pushdown automata – Example

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- q_0 is the start state and q_0 and q_3 are the only accepting states
- $(q_0, \varepsilon, \varepsilon) \rightarrow (q_1, \$)$ move to q_1 and push $\$$ onto stack ($\$$ – special symbol)
- $(q_1, a, \varepsilon) \rightarrow (q_1, 1)$ read a & push 1 onto stack
- $(q_1, b, 1) \rightarrow (q_2, \varepsilon)$ read b & 1 is top of stack, pop stack & move to q_2
- $(q_2, b, 1) \rightarrow (q_2, \varepsilon)$ read b & 1 is top of stack, pop stack
- **$(q_2, \varepsilon, \$) \rightarrow (q_3, \varepsilon)$** if **$\$$** is the top of the stack, pop stack & move to **q_3**

tape



stack

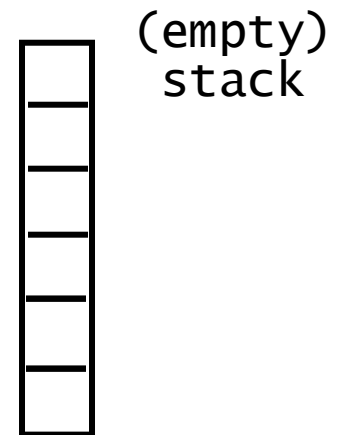
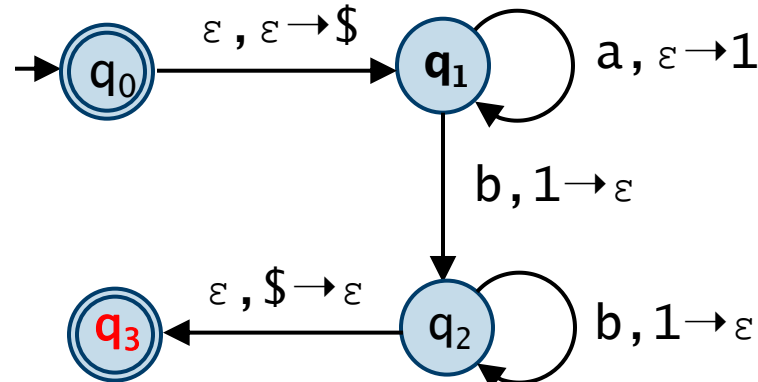
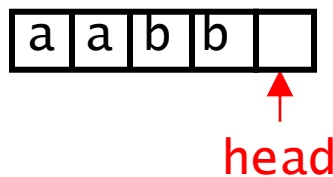


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Example inputs

- if you try to recognise $aabb$, all of the input is read, as we have just seen end up in an accepting state, and the stack is empty
- if you try to recognise $aaabb$, all the input is read, you end up in state q_2 and the stack is not empty
- if you try to recognise $aabbb$, you are left with b on the tape, which cannot be read because of an empty stack

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Automaton recognises the language: $\{ a^n b^n \mid n \geq 0 \}$

Pushdown automata

Pushdown automata are more powerful than finite-state automata

- a PDA can recognise some languages that cannot be recognised by a DFA
- e.g. $\{a^n b^n \mid n \geq 0\}$ is recognised by the PDA example

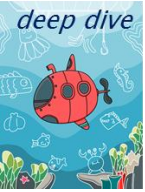
The languages that can be recognised by a PDA are the **context-free languages**

Are all languages regular or context-free?

i.e. is a PDA an adequate model of a general-purpose computer (our 'black box')?

No, for example, consider the language $\{a^n b^n c^n \mid n \geq 0\}$

- this cannot be recognised by a PDA
- but it is easy to write a program (say in Java) to recognise it
- next lecture – Turing machines as general model of a computer



Applications of DFAs & PDAs

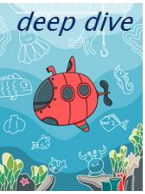
Theoretical

- understanding computability and complexity
- formal specification of software behaviour and verifying properties

Practical applications in software development

- DFAs – design compilers and interpreters, lexical analysis
- regexp – string matching and validation
- DFAs – modelling and analysing communication protocols as finite state machines in embedded systems, network protocols, user interfaces
- context-free grammars/CFGs (which generate context-free languages/CFLs) used for defining the syntactic structure of programming languages – useful for designing new programming languages
- CFGs are used for data interchange formats like JSON and XML (nested structures)

Computational thinking skills, problem-solving



Hierarchy of grammars and automata

Regular Languages (type 3)

- grammar: Regular Grammars
- automaton: Finite Automata (FA) – both Deterministic (DFA) and Non-deterministic (NFA)

Context-Free Languages (type 2)

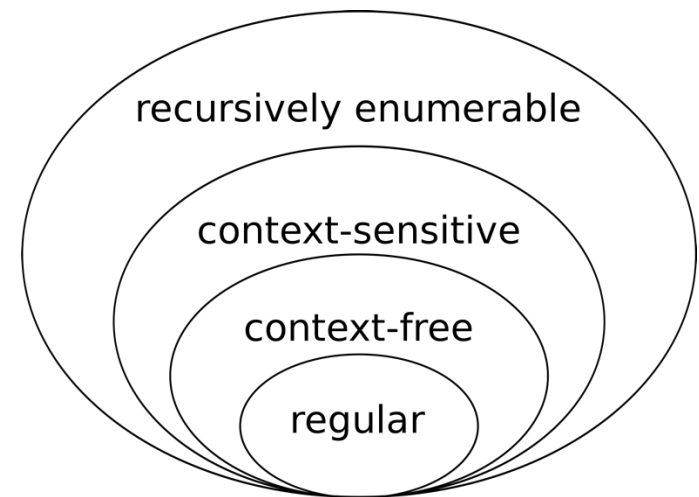
- grammar: Context-Free Grammars (CFG)
- automaton: Pushdown Automata (PDA)

Context-Sensitive Languages (type 1)

- grammar: Context-Sensitive Grammars (CSG)
- automaton: Linear Bounded Automaton (LBA)

Recursively Enumerable Languages (type 0)

- grammar: Unrestricted Grammars
- automaton: Turing Machine (TM) – both Deterministic (DTM) and Non-deterministic (NTM)



https://en.wikipedia.org/wiki/Chomsky_hierarchy

Chomsky hierarchy
introduced in the 1950's by Noam Chomsky

Next time – Section 5 – Computability

Introduction

Models of computation

- finite-state automata – regular languages and regular expressions
- pushdown automata
- Turing machines
- Counter machines
- Church–Turing thesis