

# Algorithmics

## Lecture 6

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# Section 3 – Graphs and graph algorithms

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## Graph basics

- definitions: directed, undirected, connected, bipartite, ...

## Graph representations

- adjacency matrix/lists and implementation

## Graph search and traversal algorithms

- depth/breadth first search

## Topological ordering

## Weighted graphs

- shortest path (Dijkstra's algorithm)
- minimum spanning tree (Prim-Jarnik and Dijkstra's refinement)

# Directed Acyclic Graphs –Topological ordering

A **Directed Acyclic Graph** (DAG) is a directed graph with no cycles

A **topological order** on a DAG is a labelling of the vertices **1, ..., n** such that  **$(u, v) \in E$  implies  $\text{label}(u) < \text{label}(v)$**

- many applications, e.g. scheduling, **PERT** networks, **deadlock** detection

## Scheduling and PERT networks

- PERT – program/project evaluation and review technique
- can model a project with a DAG
  - vertices are the tasks/activities and edges indicate dependencies
  - can also add timing information to edges
- if we have a topological order can find longest path (see tutorial 6) or longest weighted path
- can then determine which activities are "critical" (i.e., on longest paths)

# Directed Acyclic Graphs –Topological ordering

A directed graph **D** has a topological order if and only if it is a DAG

- obviously impossible if **D** has a cycle (try to label the vertices in a cycle)

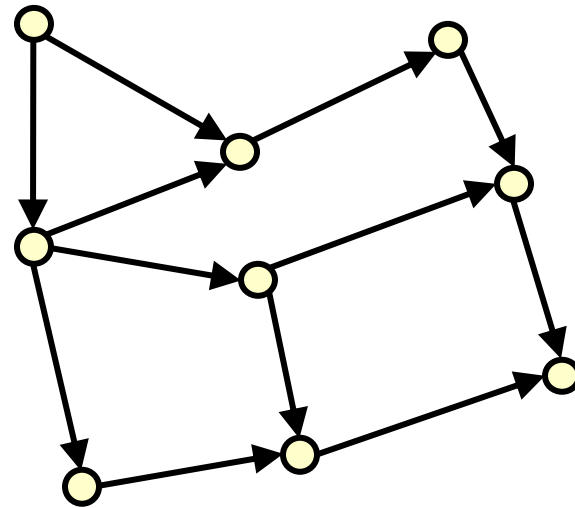
A **source** is a vertex of in-degree **0** and a **sink** has out-degree **0**

**Basic fact: a DAG has at least one source and at least one sink**

- forms the basis of a topological ordering algorithm
- if there is no source or sink can build a cycle, and therefore not acyclic
  - if no source or sink can always keep adding vertices to the start or end of a path respectively as any vertex is neither a source nor a sink
  - eventually must add the same vertex twice to the path as there are only finitely many vertices, and therefore create a cycle

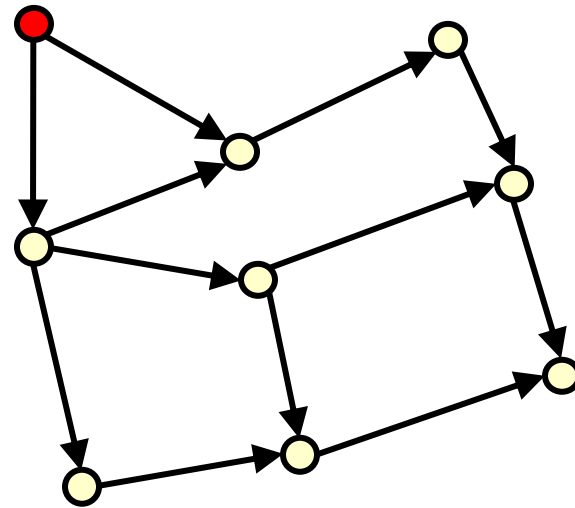
# Directed Acyclic Graphs – Example

Directed acyclic graph **D**



# Directed Acyclic Graphs – Example

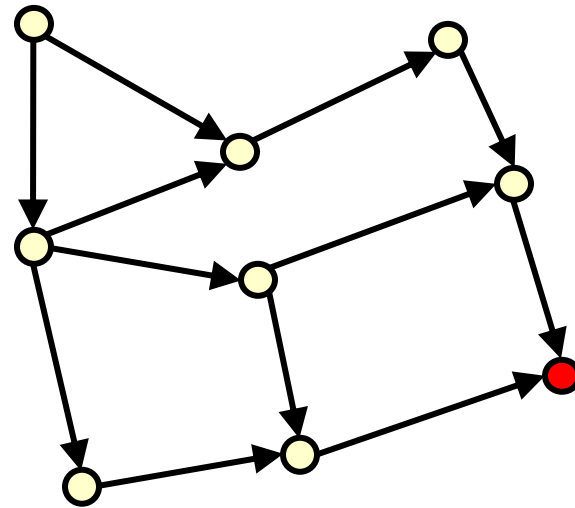
Directed acyclic graph **D**



Source vertex (in-degree equals **0**)

# Directed Acyclic Graphs – Example

Directed acyclic graph **D**

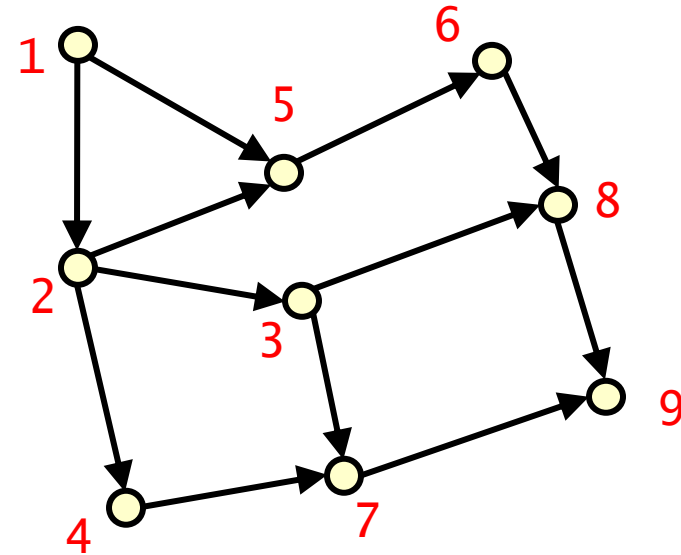


Sink vertex (out-degree equals **0**)

# Directed Acyclic Graphs – Example

Directed acyclic graph **D**

Topological ordering of **D**



A **topological order** on a DAG is a labelling of the vertices **1, ..., n** such that  $(u, v) \in E$  implies **label(u) < label(v)**



# Topological ordering algorithm

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Add two integer attributes to every vertex in the graph

**label**

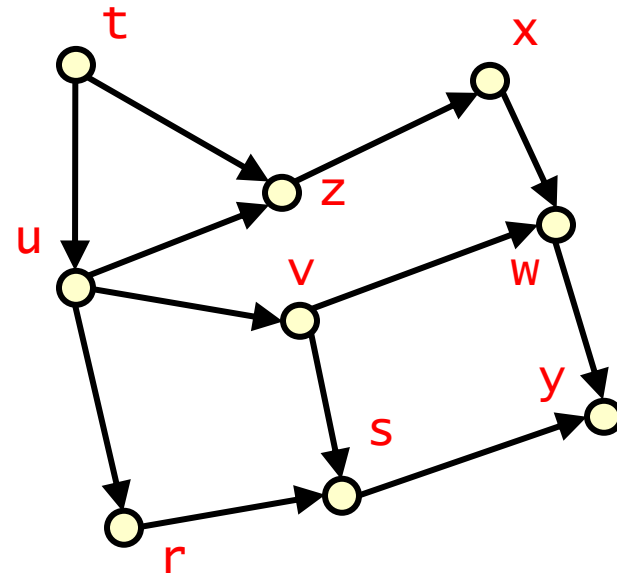
- the label in the topological order

**count**

- initially equals the number of incoming edges (in-degree) of the vertex
- updated as the algorithm labels vertices
- always equals the number of incoming edges from vertices **not labelled**
  - require the label of this vertex is greater than that of all incoming vertices
  - therefore if all vertices that have incoming edges have been labelled we can just label this vertex with a greater value
- when attribute becomes zero add vertex to a queue to be labelled
  - any source vertex can be added to the queue immediately

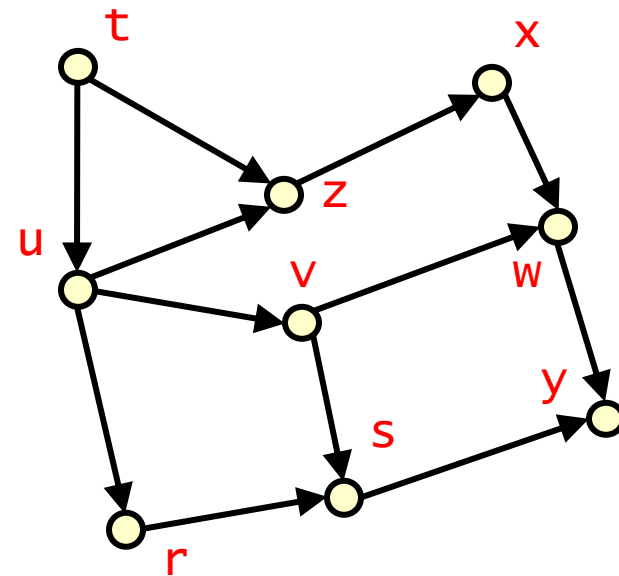
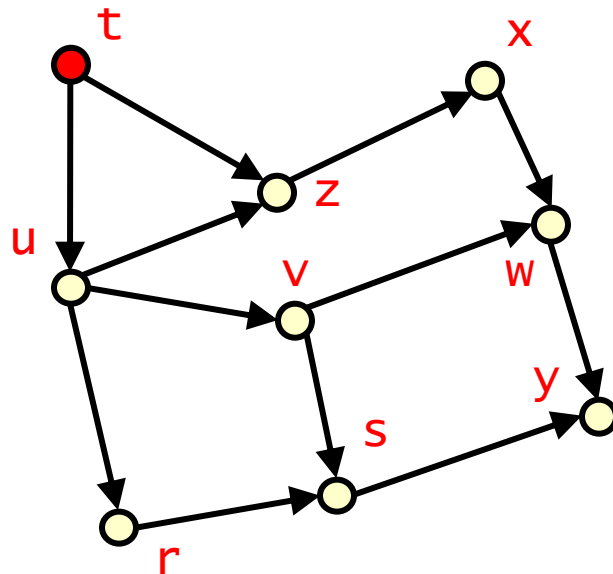
# Topological ordering – Example

Directed acyclic graph **D**



# Topological ordering – Example

Directed acyclic graph **D**

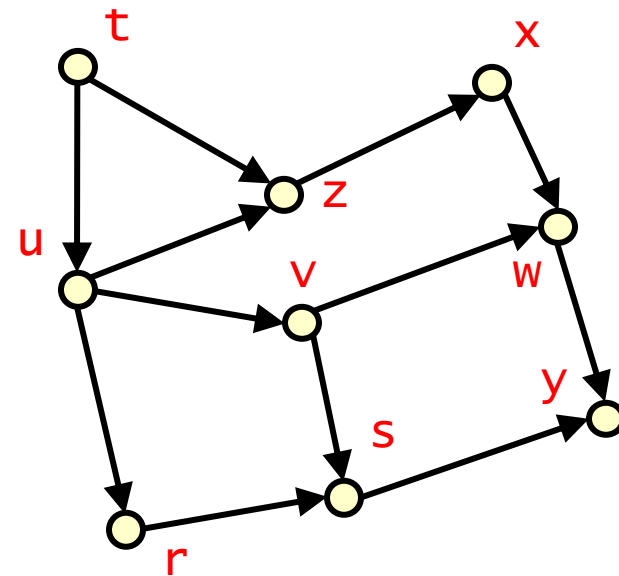
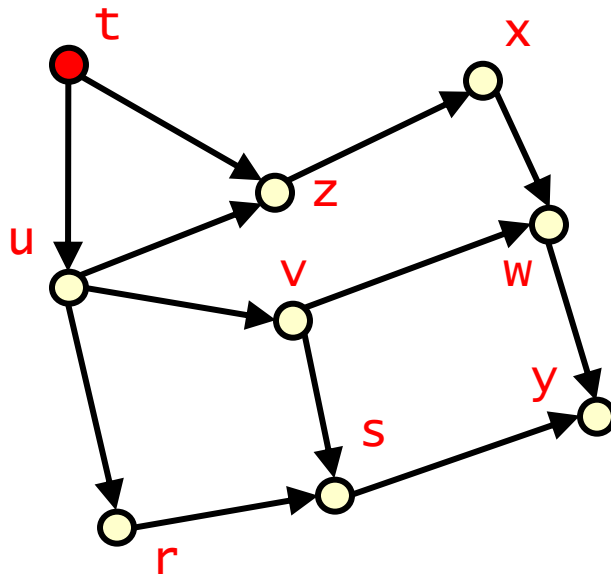


- labelled vertices
- queued vertices (count equals 0)
- vertices with count greater than 0

# Topological ordering – Example

Directed acyclic graph **D**

source queue: **<t>**



**t** is the only source vertex  
(only vertex with zero  
incoming edges)

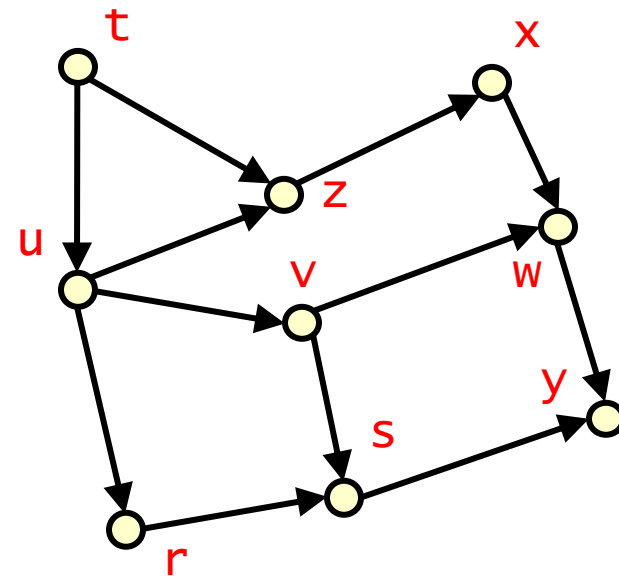
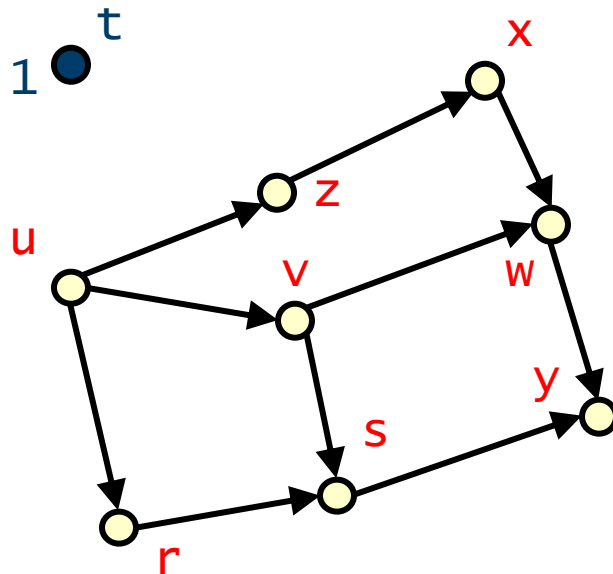
add **t** to the source queue

- labelled vertices
- queued vertices (count equals 0)
- vertices with count greater than 0

# Topological ordering – Example

Directed acyclic graph **D**

source queue:  $\langle \rangle$



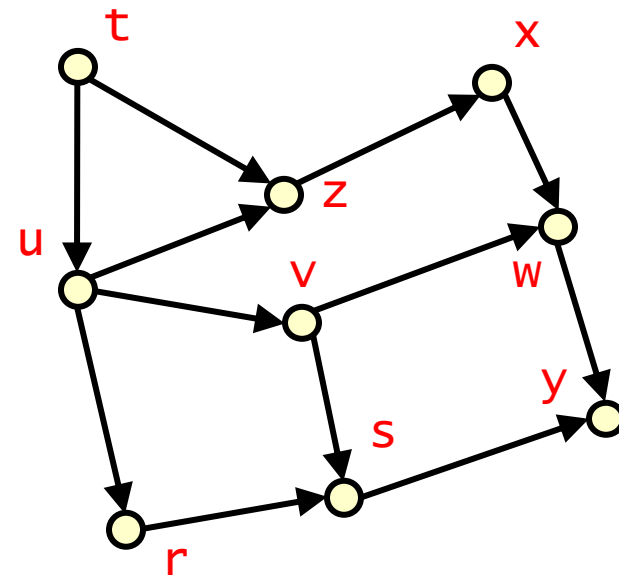
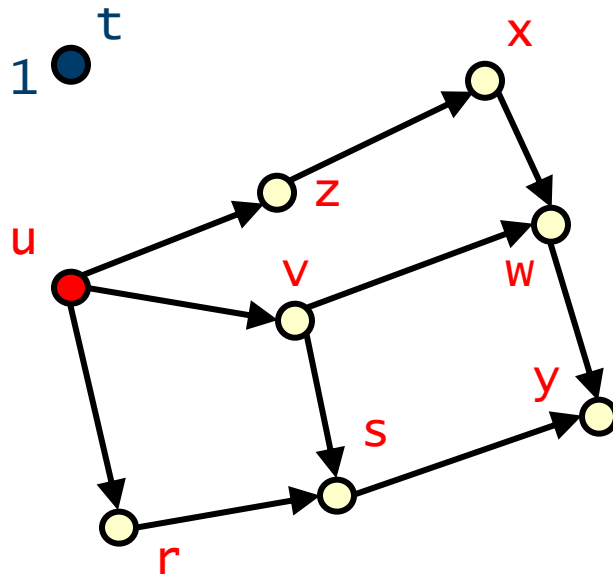
label and remove **t** from the graph and source queue and decrement the count for adjacent vertices

- labelled vertices
- queued vertices (count equals 0)
- vertices with count greater than 0

# Topological ordering – Example

Directed acyclic graph **D**

source queue: **<u>**



**u** now has no incoming edges

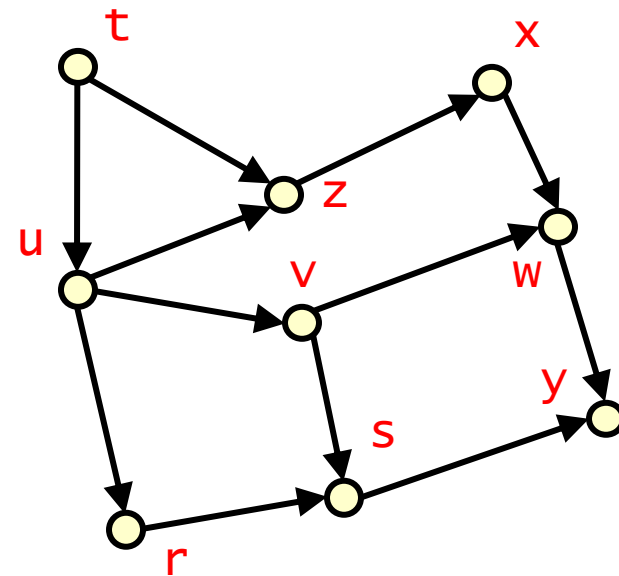
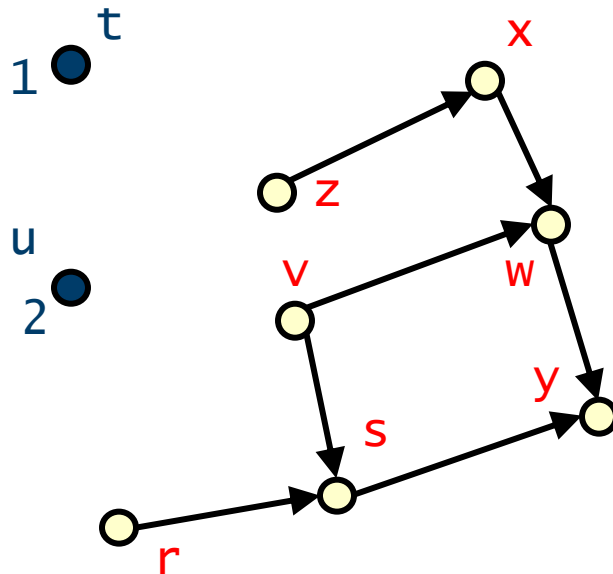
add **u** to the source queue

- labelled vertices
- queued vertices (count equals 0)
- vertices with count greater than 0

# Topological ordering – Example

Directed acyclic graph **D**

source queue:  $\langle \rangle$



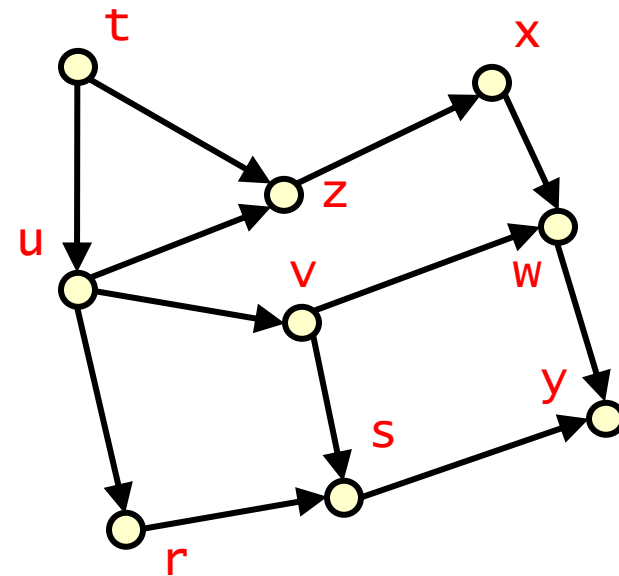
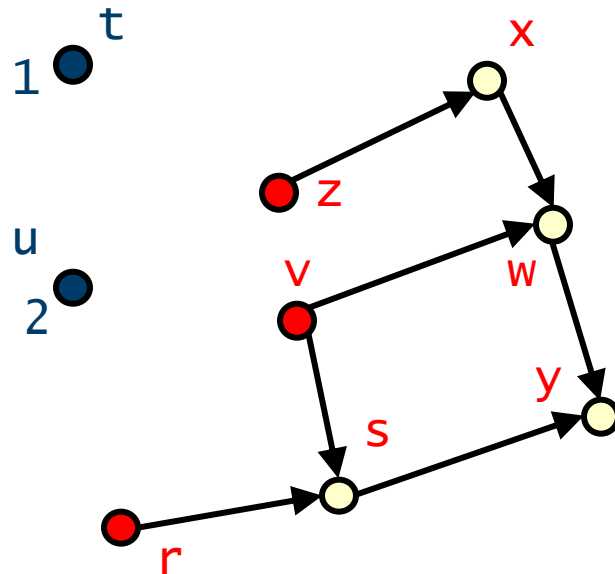
label and remove **u** from the graph and source queue

- labelled vertices
- queued vertices (count equals 0)
- vertices with count greater than 0

# Topological ordering – Example

Directed acyclic graph **D**

source queue:  $\langle v, r, z \rangle$



$v$ ,  $r$  and  $z$  become queued vertices (no incoming edges)

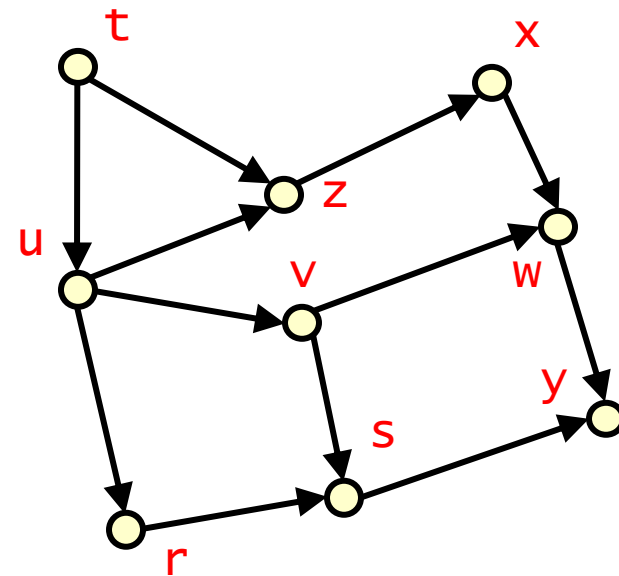
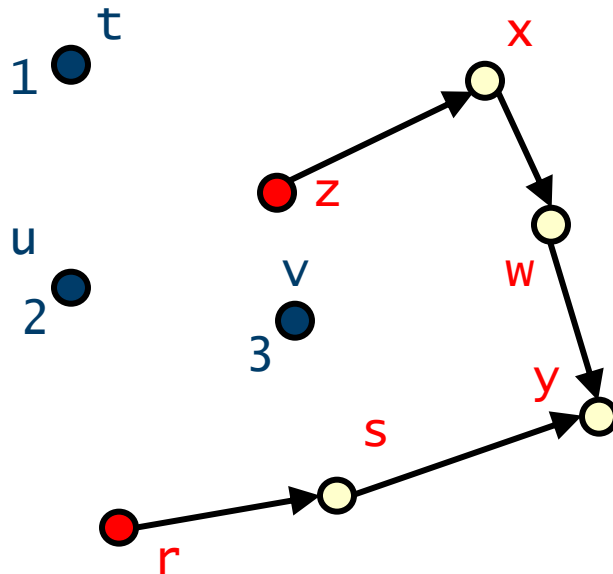
- labelled vertices
- queued vertices (count equals 0)
- vertices with count greater than 0



# Topological ordering – Example

Directed acyclic graph **D**

source queue:  $\langle r, z \rangle$



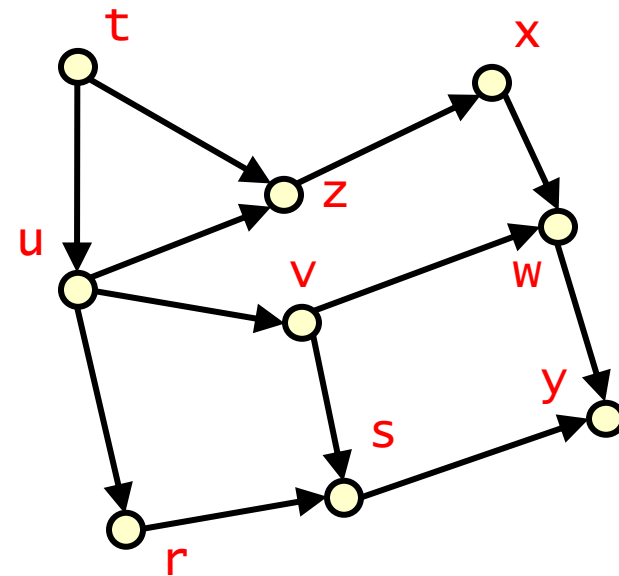
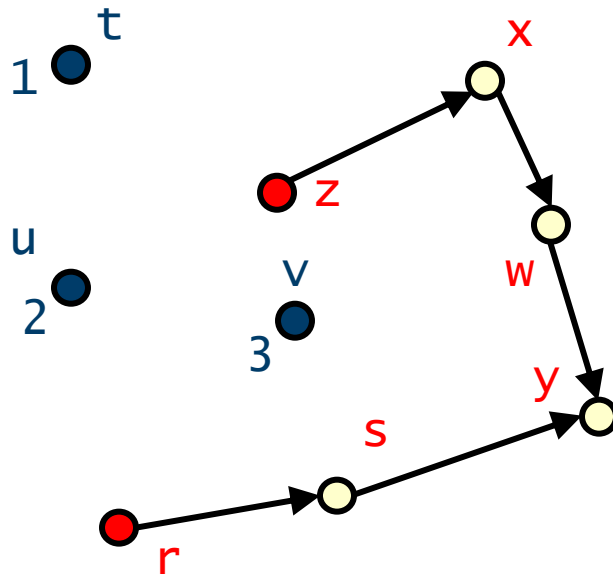
label and remove **v** from the graph and source queue

- labelled vertices
- queued vertices (count equals 0)
- vertices with count greater than 0

# Topological ordering – Example

Directed acyclic graph **D**

source queue:  $\langle r, z \rangle$



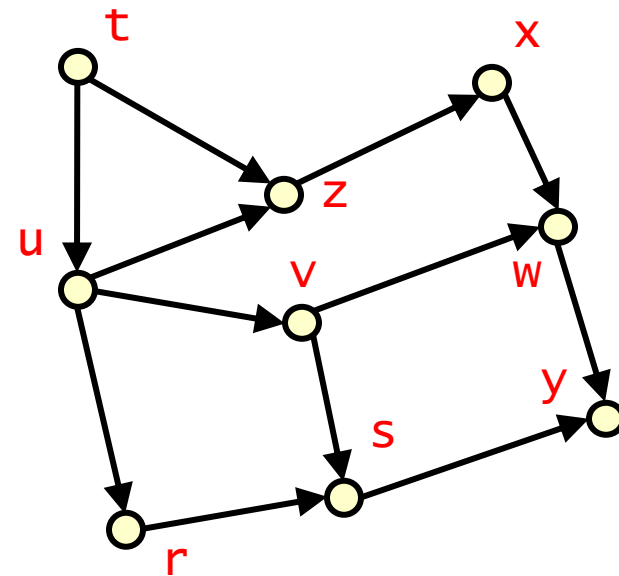
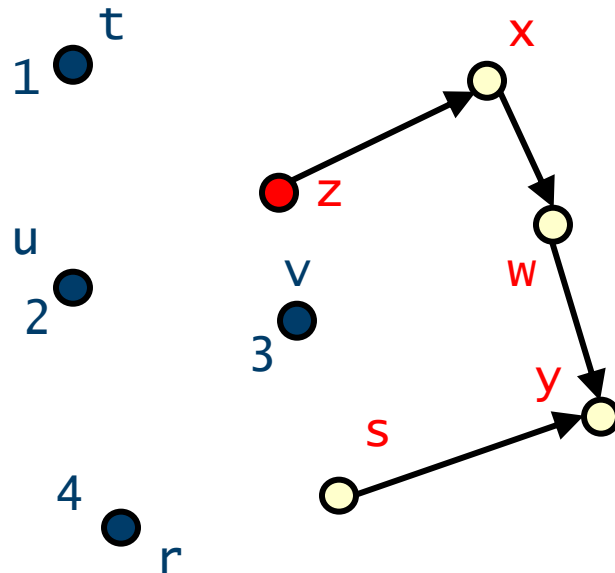
no new vertices have zero incoming edges so source queue remains unchanged

- labelled vertices
- queued vertices (count equals 0)
- vertices with count greater than 0

# Topological ordering – Example

Directed acyclic graph **D**

source queue: **<z>**



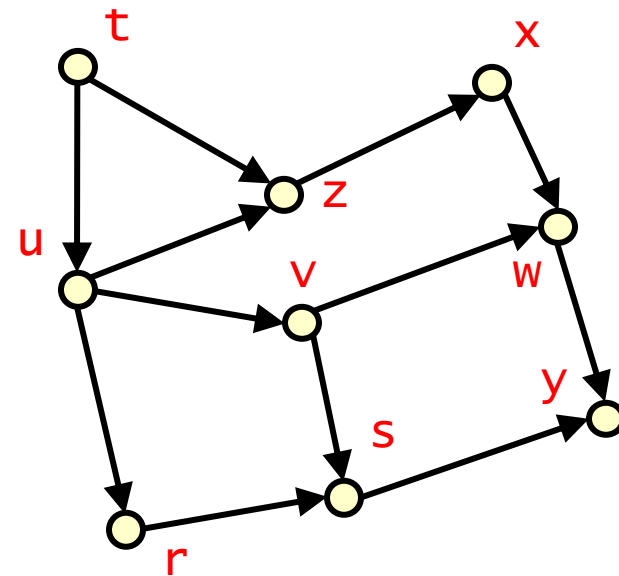
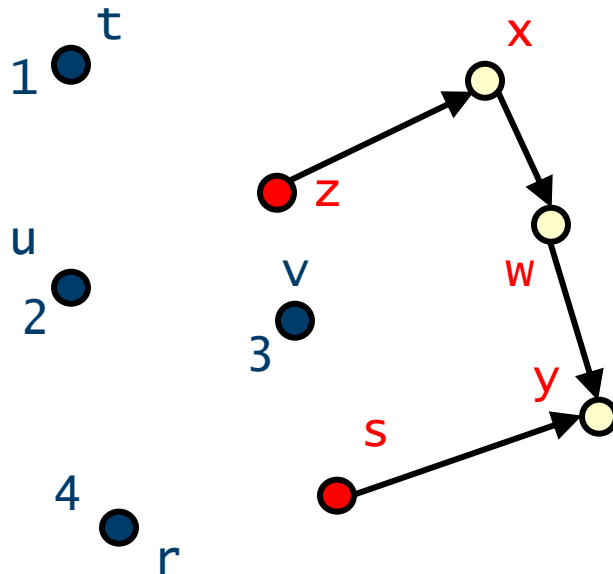
label and remove **r** from the graph and source queue

- labelled vertices
- queued vertices (count equals 0)
- vertices with count greater than 0

# Topological ordering – Example

Directed acyclic graph **D**

source queue:  $\langle z, s \rangle$



label and remove **r** from the graph and source queue

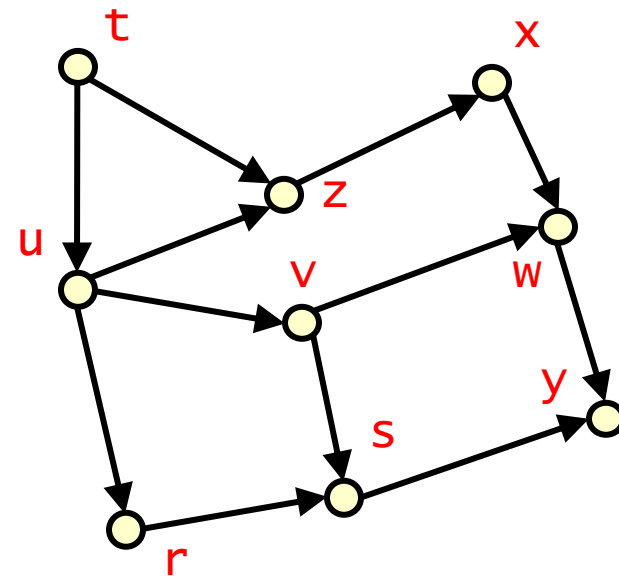
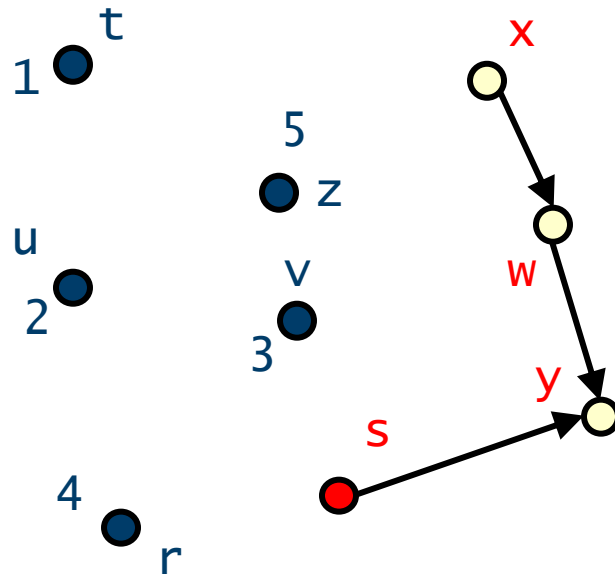
**s** now has no incoming edges so add to the queue

- labelled vertices
- queued vertices (count equals 0)
- vertices with count greater than 0

# Topological ordering – Example

Directed acyclic graph **D**

source queue: **<s>**



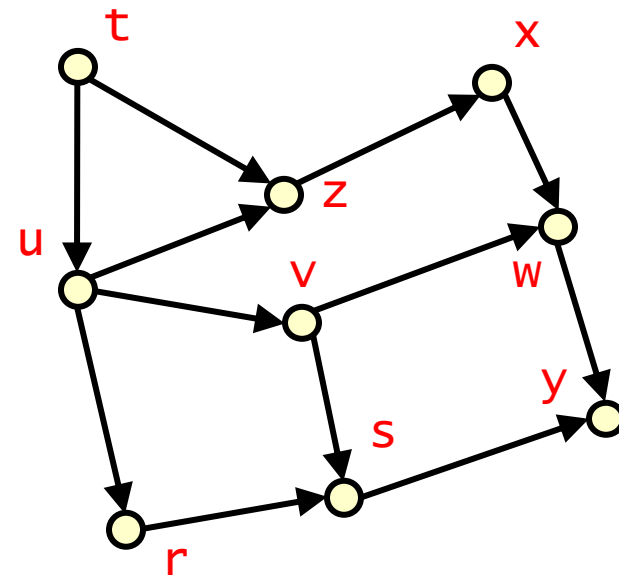
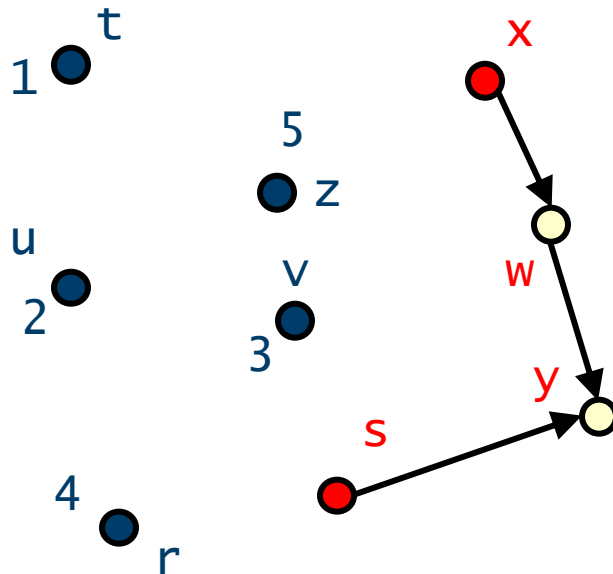
label and remove **z** from the graph and source queue

- labelled vertices
- queued vertices (count equals 0)
- vertices with count greater than 0

# Topological ordering – Example

Directed acyclic graph **D**

source queue:  $\langle s, x \rangle$



label and remove **z** from the graph and source queue

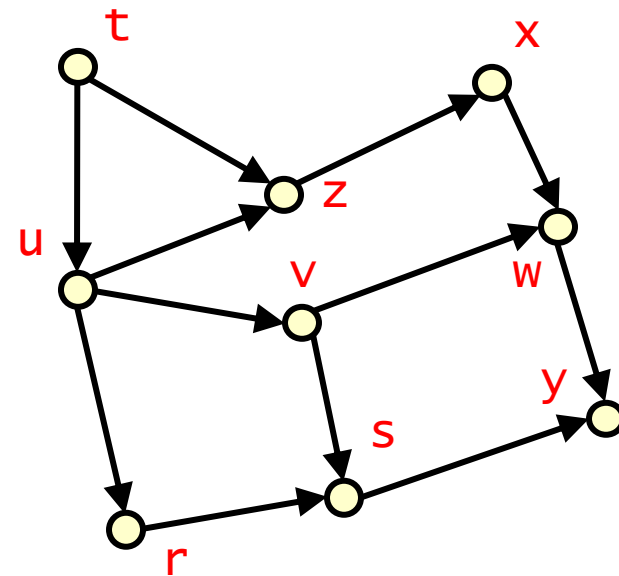
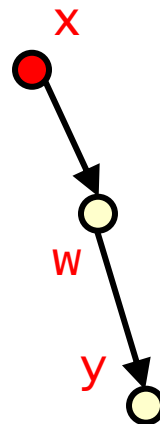
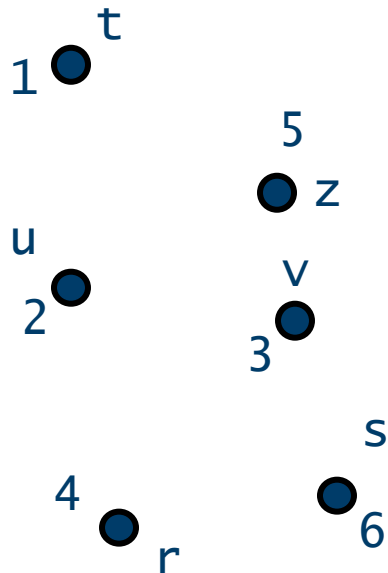
**x** now has no incoming edges so add to the source queue

- labelled vertices
- queued vertices (count equals 0)
- vertices with count greater than 0

# Topological ordering – Example

Directed acyclic graph **D**

source queue: **<x>**



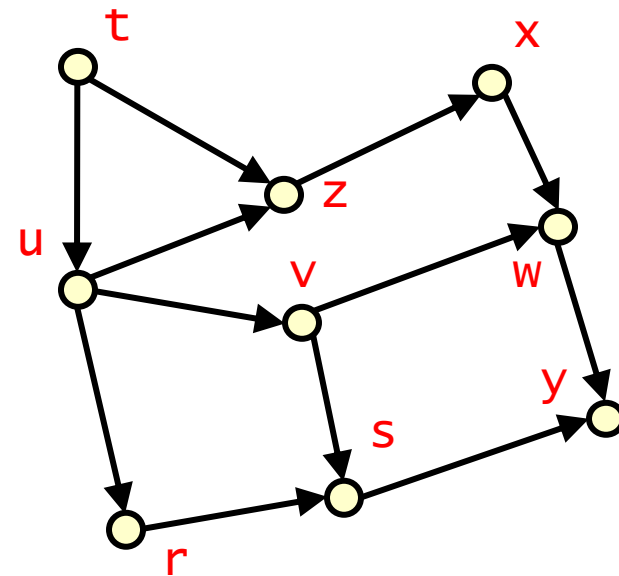
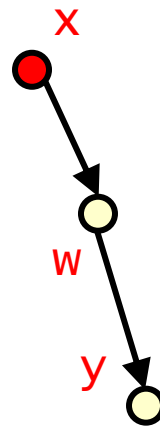
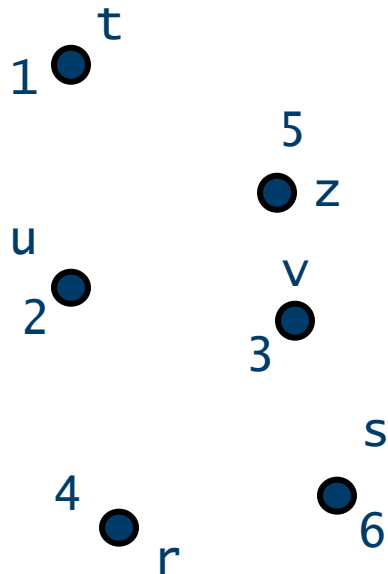
label and remove **s** from the graph and source queue

- labelled vertices
- queued vertices (count equals 0)
- vertices with count greater than 0

# Topological ordering – Example

Directed acyclic graph **D**

source queue: **<x>**



no new vertices has zero incoming edges so source queue remains unchanged

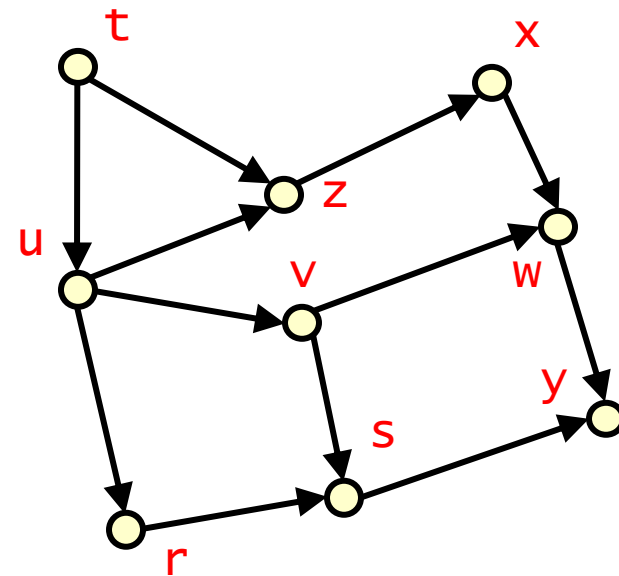
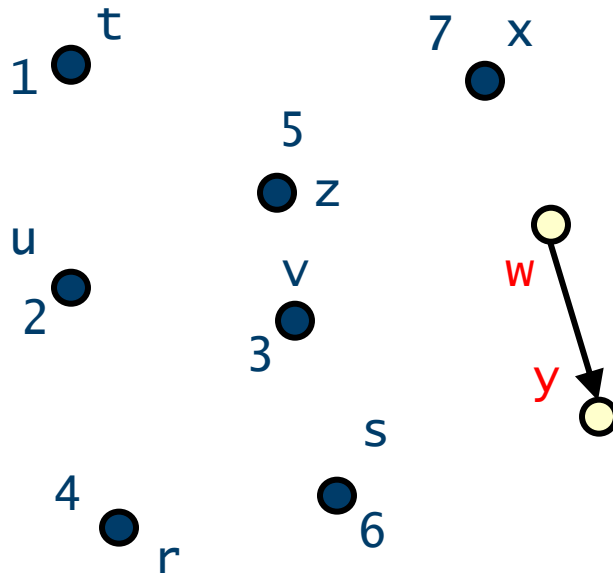
- labelled vertices
- queued vertices (count equals 0)
- vertices with count greater than 0



# Topological ordering – Example

Directed acyclic graph **D**

source queue:  $\langle \rangle$



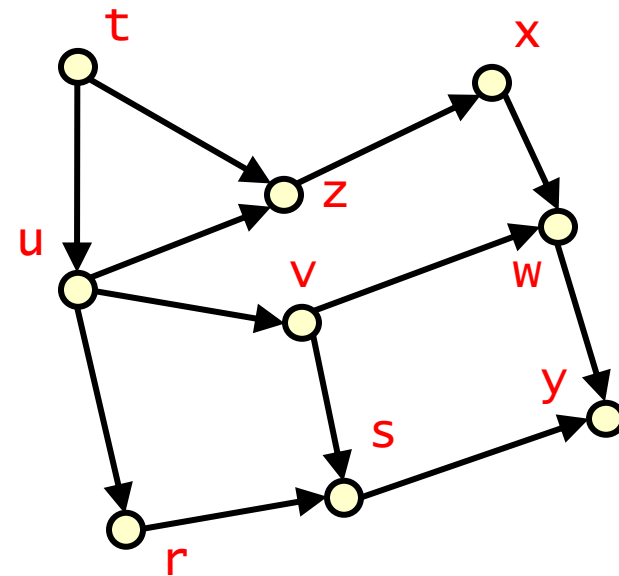
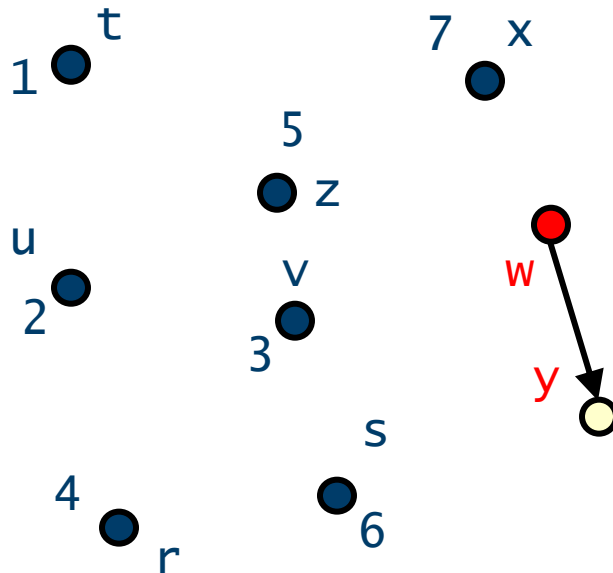
label and remove **x** from the graph and source queue

- labelled vertices
- queued vertices (count equals 0)
- vertices with count greater than 0

# Topological ordering – Example

Directed acyclic graph **D**

source queue: **w**



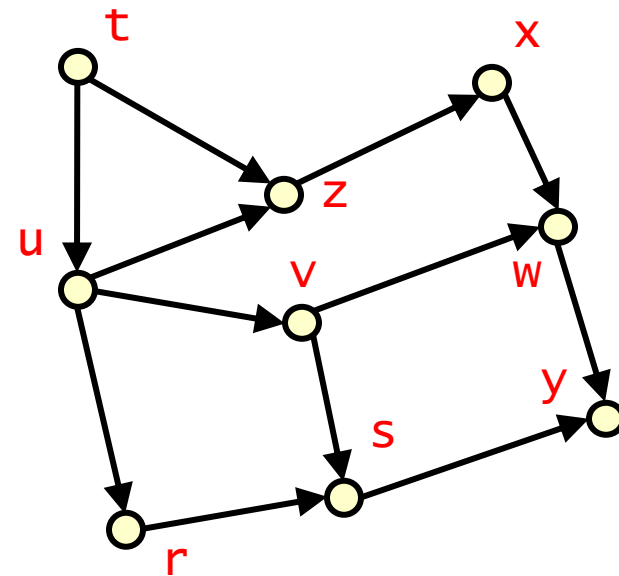
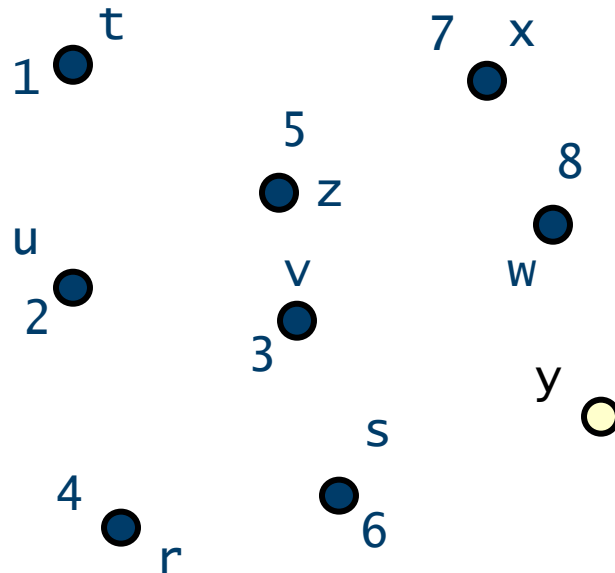
**w** now has no incoming edges so added to the queue

- labelled vertices
- queued vertices (count equals 0)
- vertices with count greater than 0

# Topological ordering – Example

Directed acyclic graph **D**

source queue: **<>**



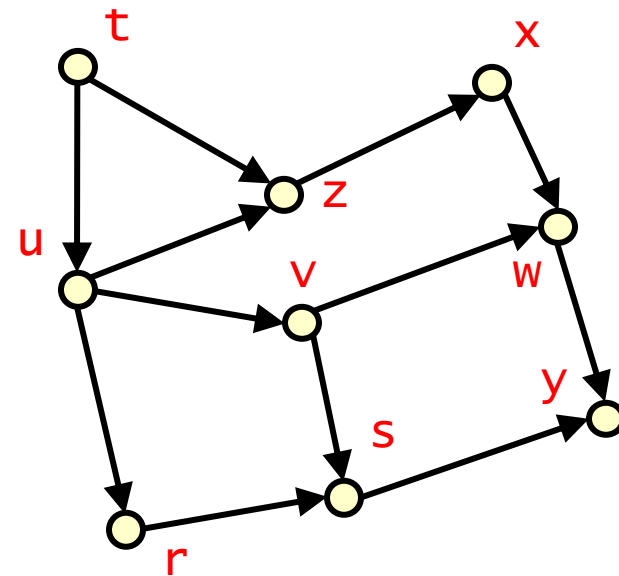
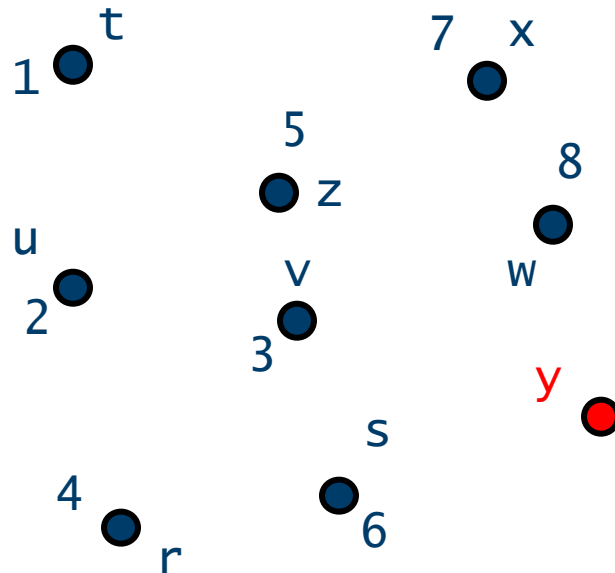
label and remove **w** from the graph and source queue

- labelled vertices
- queued vertices (count equals 0)
- vertices with count greater than 0

# Topological ordering – Example

Directed acyclic graph **D**

source queue: **<y>**



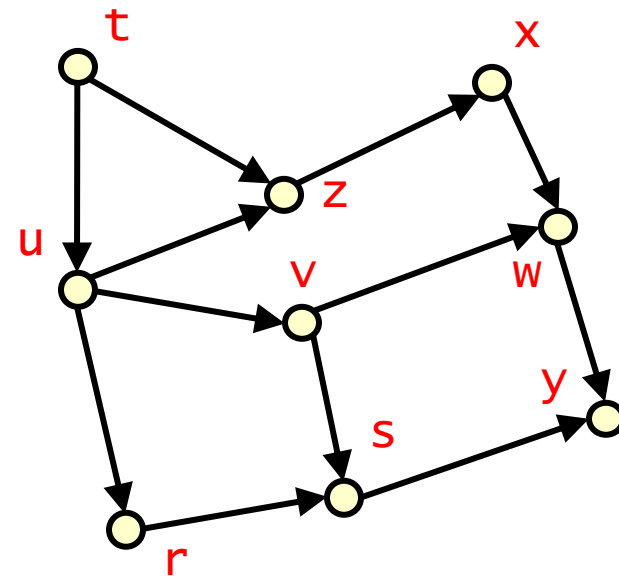
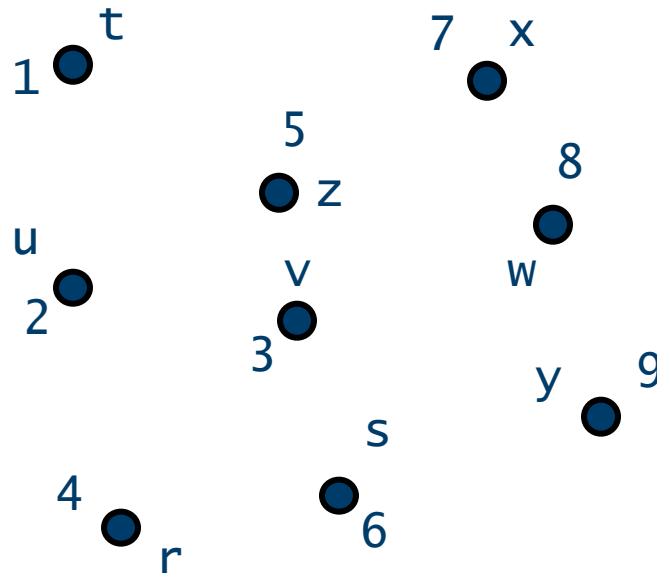
**y** now has no incoming edges so added to the queue

- labelled vertices
- queued vertices (count equals 0)
- vertices with count greater than 0

# Topological ordering – Example

Directed acyclic graph **D**

source queue: **<>**



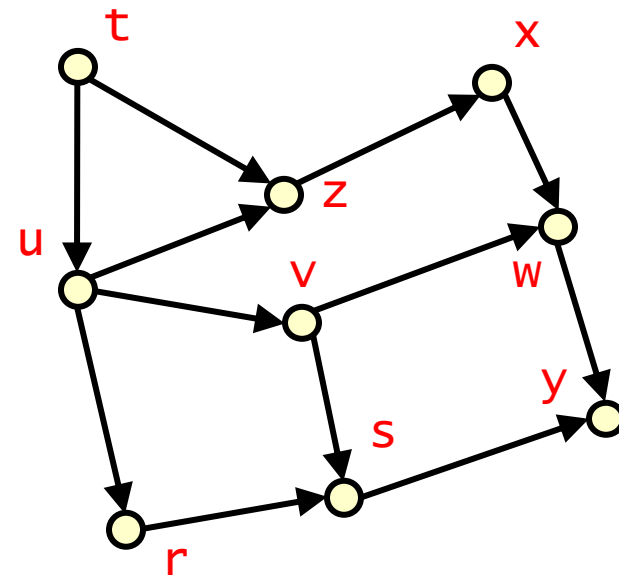
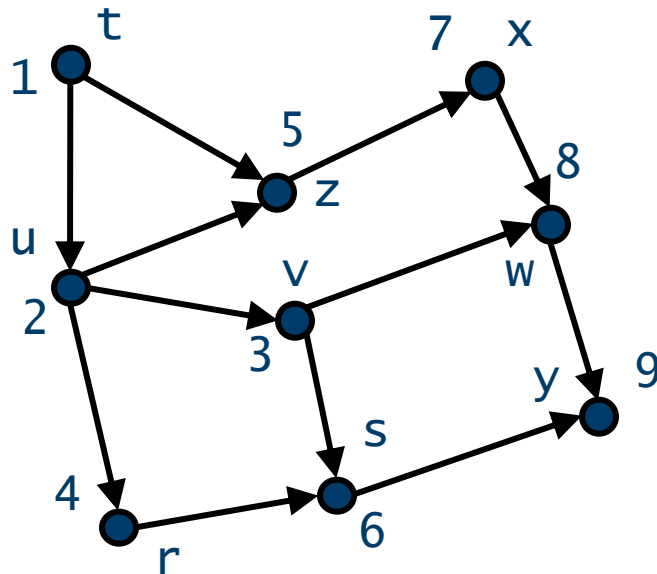
after labelling and removing **y**, the topological ordering is complete

- labelled vertices
- queued vertices (count equals 0)
- vertices with count greater than 0

# Topological ordering – Example

Directed acyclic graph **D**

source queue:  $\langle \rangle$



a topological ordering on **D**

# Topological ordering algorithm

```
// assume each vertex has 2 integer attributes: label and count  
// count is the number of incoming edges from unlabelled vertices  
// label will give the topological ordering
```

```
for (each vertex v) v.setCount(v.getInDegree()); // initial count values
```

Set up an empty sourceQueue

```
for (each vertex v) // add vertices with no incoming edges to the queue  
    if (v.getCount() == 0) add v to sourceQueue; // i.e. source vertices
```

```
int nextLabel = 1; // initialise labelling (gives topological ordering)  
while (sourceQueue is non-empty){  
    dequeue v from sourceQueue;  
    v.setLabel(nextLabel++); // label vertex (and increment nextLabel)  
    for (each w adjacent from v){ // consider each vertex w adjacent from v  
        w.setCount(w.getCount() - 1); // update attribute count  
        // add vertex to source queue if there are no incoming vertices  
        if (w.getCount() == 0) add w to sourceQueue;  
    }  
}
```

# Topological ordering algorithm – Correctness

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A vertex is given a label only when the number of incoming edges from unlabelled vertices is zero

- all predecessor vertices must already be labelled with smaller numbers
- dependent on using a queue (first-in-first-out for labelling)



# Topological ordering algorithm – Analysis

Analysis (**n** vertices, **m** edges)

- for adjacency lists representation
  - finding in-degree of each vertex is  $O(n+m)$ 
    - set the count for each vertex
    - scan the adjacency list for each vertex
  - main loop is executed **n** times
    - each time one adjacency list is scanned – that of the vertex being labelled
    - decrement the count of all vertices that have an incoming edge with the vertex labelled as source
    - therefore the same list is never scanned twice
  - so every list is scanned again and overall algorithm is  $O(n+m)$

# Topological ordering algorithm – Analysis

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Analysis (**n** vertices, **m** edges)

- for adjacency matrix representation
  - finding in-degree of each vertex is  $O(n^2)$ 
    - scan each column to find the in-degree
  - main loop is executed **n** times within it one row is scanned  $O(n)$ 
    - looking for edges going out
  - so overall the algorithm is  $O(n^2)$

# Section 3 – Graphs and graph algorithms

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## Graph basics

- definitions: directed, undirected, connected, bipartite, ...

## Graph representations

- adjacency matrix/lists and implementation

## Graph search and traversal algorithms

- depth/breadth first search

## Topological ordering

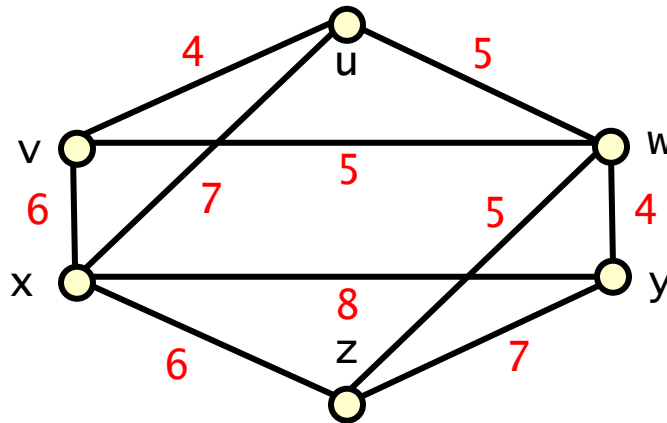
## Weighted graphs

- shortest path (Dijkstra's algorithm)
- minimum spanning tree (Prim–Jarnik and Dijkstra's refinement)

# Weighted graphs

Each edge **e** has an integer **weight** given by  $wt(e) > 0$

- graph may be undirected or directed
- weight may represent length, cost, capacity, etc
- if an edge is not part of the graph its weight is assumed to be infinity



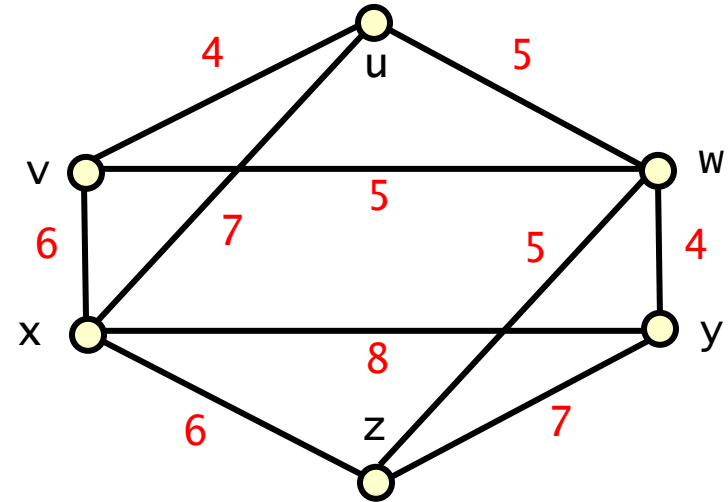
**Example: cost of sending a message down a particular edge**

- could be a monetary cost or some combination of time and distance
- can be used to formulate the shortest path problem for routing packets

# Weighted graphs – Representation

Adjacency matrix becomes **weight matrix**

Adjacency lists include weight in node



adjacency matrix

	u	v	w	x	y	z
u	0	4	5	7	0	0
v	4	0	5	6	0	0
w	5	5	0	0	4	5
x	7	6	0	0	8	6
y	0	0	4	8	0	7
z	0	0	5	6	7	0

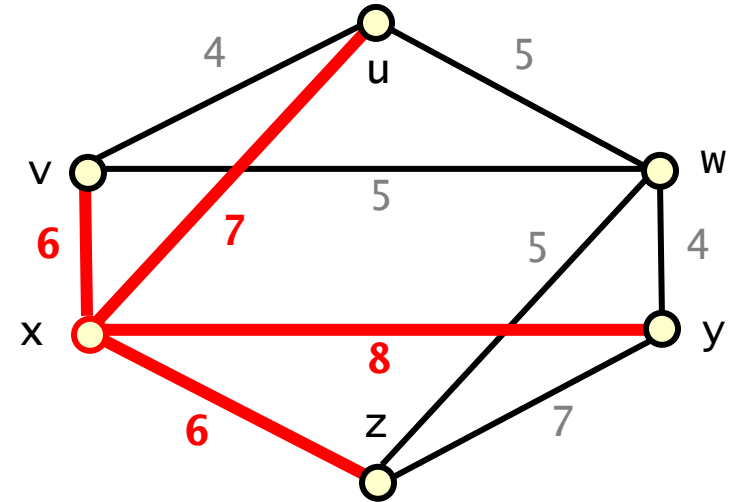
adjacency list

u: v(4) → w(5) → x(7)  
v: u(4) → w(5) → x(6)  
w: u(5) → v(5) → y(4) → z(5)  
x: u(7) → v(6) → y(8) → z(6)  
y: w(4) → x(8) → z(7)  
z: w(5) → x(6) → y(7)

# Weighted graphs – Representation

Adjacency matrix becomes **weight matrix**

Adjacency lists include weight in node



adjacency matrix

	u	v	w	x	y	z
u	0	4	5	7	0	0
v	4	0	5	6	0	0
w	5	5	0	0	4	5
x	7	6	0	0	8	6
y	0	0	4	8	0	7
z	0	0	5	6	7	0

adjacency list

u: v(4) → w(5) → x(7)  
v: u(4) → w(5) → x(6)  
w: u(5) → v(5) → y(4) → z(5)  
**x: u(7) → v(6) → y(8) → z(6)**  
y: w(4) → x(8) → z(7)  
z: w(5) → x(6) → y(7)

# Section 3 – Graphs and graph algorithms

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## Graph basics

- definitions: directed, undirected, connected, bipartite, ...

## Graph representations

- adjacency matrix/lists and implementation

## Graph search and traversal algorithms

- breadth/depth first search

## Weighted graphs

- shortest path (Dijkstra's algorithm)
- minimum spanning tree (Prim-Jarnik and Dijkstra's refinement)

## Topological ordering

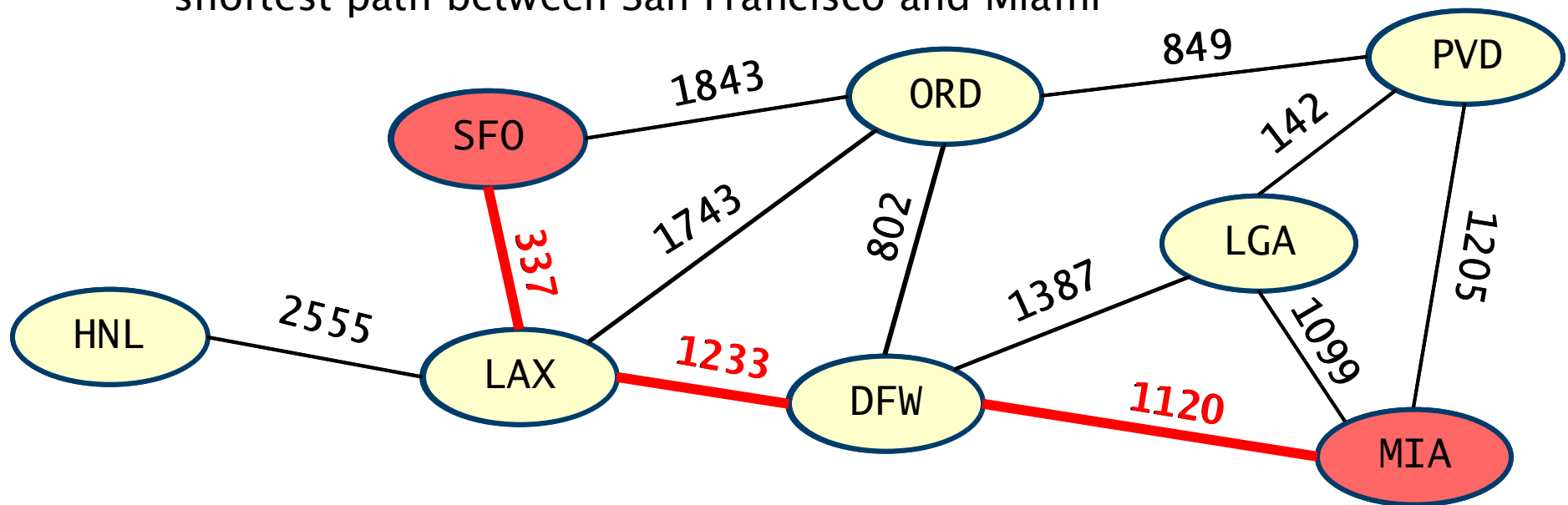
# Weighted graphs – Shortest Paths

Given a weighted (un)directed graph and two vertices **u** and **v**  
find a **shortest path** between **u** and **v** (for directed from **u** to **v**)

- where the **length of a path** is the sum of the weights of its edges

**Example: weights are distances between airports**

- shortest path between San Francisco and Miami





# Weighted graphs – Shortest Paths

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Given a weighted (un)directed graph and two vertices **u** and **v**  
find a **shortest path** between **u** and **v** (for directed from **u** to **v**)  
— where the **length of a path** is the sum of the weights of its edges

**Example: weights are distances between airports**

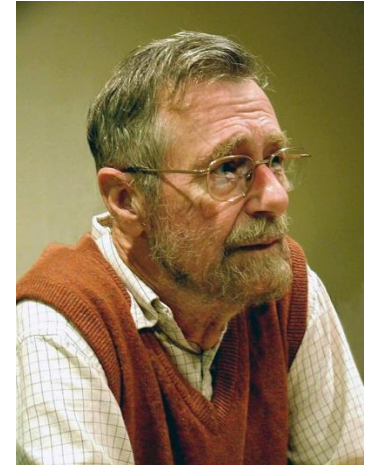
- shortest path between San Francisco and Miami

**Applications include:**

- flight reservations
- internet packet routing
- driving directions

# Edsger Dijkstra, in an interview in 2010...

"... the algorithm for the shortest path, which I designed in about 20 minutes. One morning I was shopping in Amsterdam with my young fiancé, and tired, we sat down on the cafe terrace to drink a cup of coffee, and I was just thinking about whether I could do this, and I then designed the algorithm for the shortest path."



Dijkstra, E.W. A note on two problems in Connexion with graphs.  
**Numerische Mathematik 1, 269–271 (1959)**

Dijkstra describes the algorithm in English in 1956 (he was 26 years old)

- most people were programming in assembly language
- only one high-level language: Fortran by John Backus at IBM and not quite finished

No **big O** notation in 1959, in the paper, Dijkstra says: “my solution is preferred to another one ... the amount of work to be done seems considerably less.”

# Dijkstra's algorithm

Algorithm finds shortest path between one vertex **u** and all others

- based on maintaining a set **S** containing all vertices for which shortest path with **u** is currently known
- **S** initially contains only **u** (obviously shortest path between **u** and **u** is 0)
- eventually **S** contains all the vertices (so all shortest paths are known)

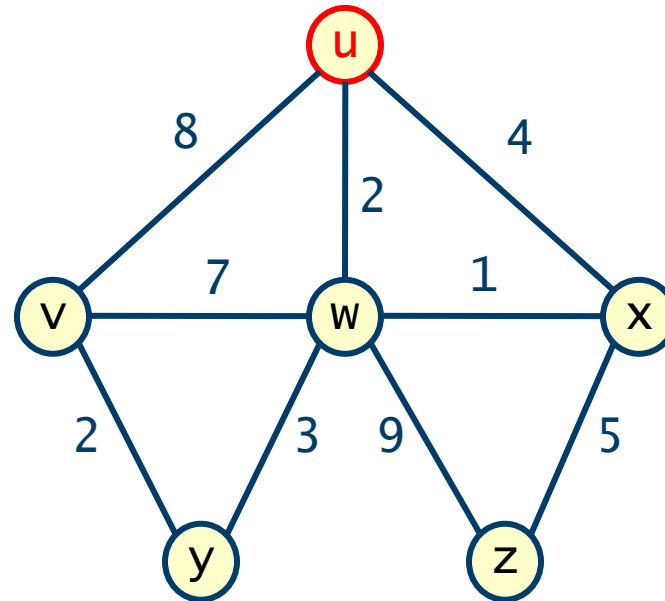
Each vertex **v** not in **S** has a label **dist(v)** indicating the length of a shortest path between **u** and **v** passing **only** through vertices in **S**

- if no path exists then we set **dist(v)** to infinity
- first step: if **v** is adjacent to **u** then  $\text{dist}(v) = \text{wt}(\{u, v\})$ , otherwise  $\text{dist}(v) = \infty$
- let's see how the algorithm works on an example and then get back to formalising it and the pseudocode implementation

# Dijkstra's algorithm – Example

## Weighted graph

- compute shortest path with **u**

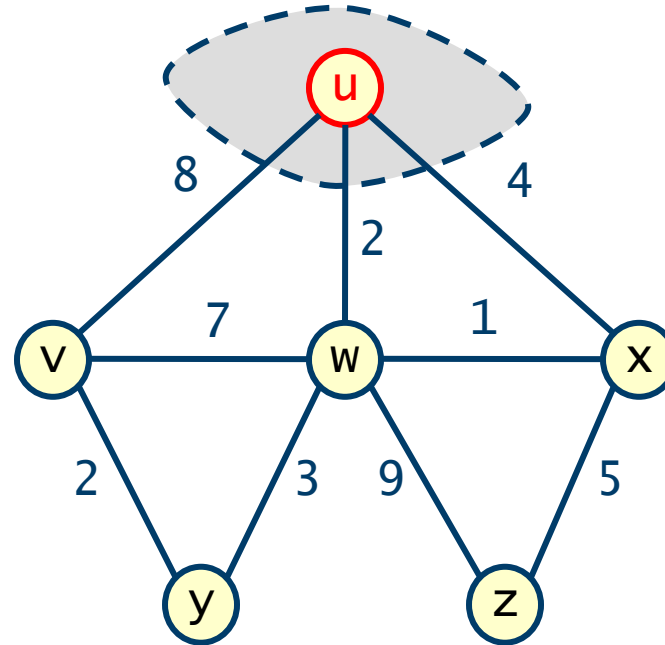


# Dijkstra's algorithm – Example

## Weighted graph

- compute shortest path with **u**

$S = \{u\}$



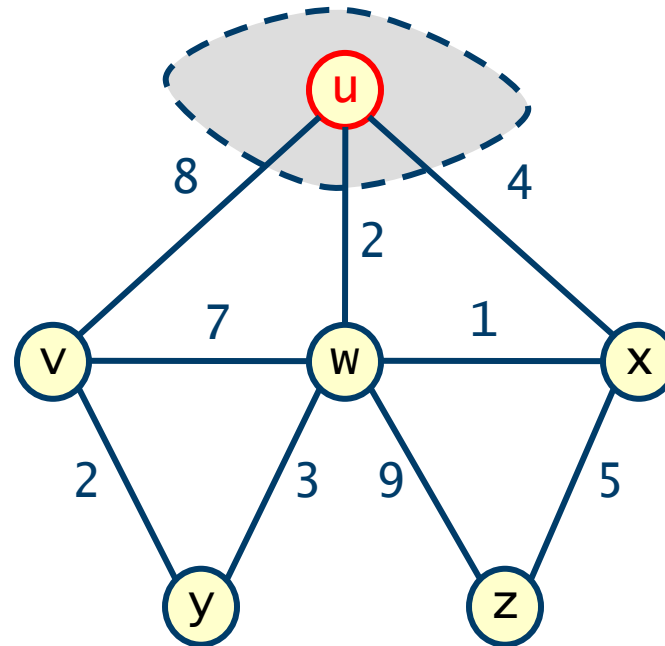
# Dijkstra's algorithm – Example

## Weighted graph

- compute shortest path with **u**

$S = \{u\}$

$\text{dist}(u) = 0$   
 $\text{dist}(v) = 8$   
 $\text{dist}(w) = 2$   
 $\text{dist}(x) = 4$   
 $\text{dist}(y) = \infty$   
 $\text{dist}(z) = \infty$



compute distances

# Dijkstra's algorithm – Example

## Weighted graph

- compute shortest path with **u**

**$S = \{u\}$**

$\text{dist}(u) = 0$

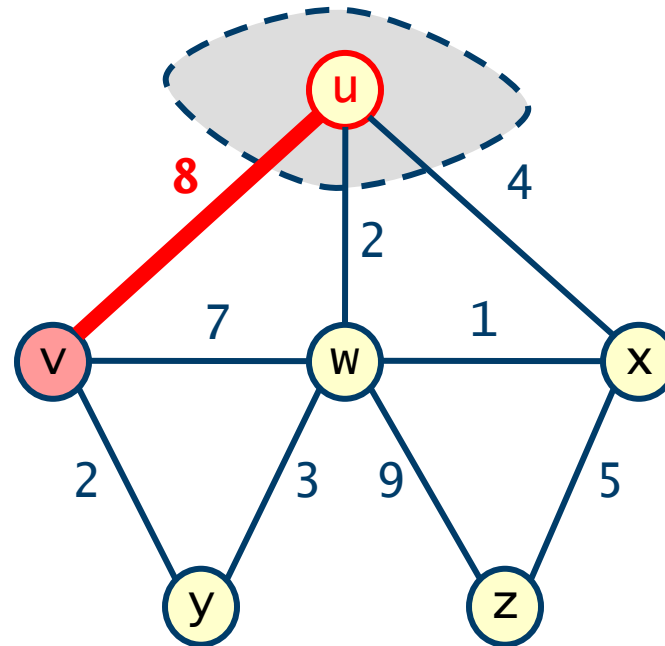
**$\text{dist}(v) = 8$**

$\text{dist}(w) = 2$

$\text{dist}(x) = 4$

$\text{dist}(y) = \infty$

$\text{dist}(z) = \infty$



compute distances

# Dijkstra's algorithm – Example

## Weighted graph

- compute shortest path with **u**

**$S = \{u\}$**

$\text{dist}(u) = 0$

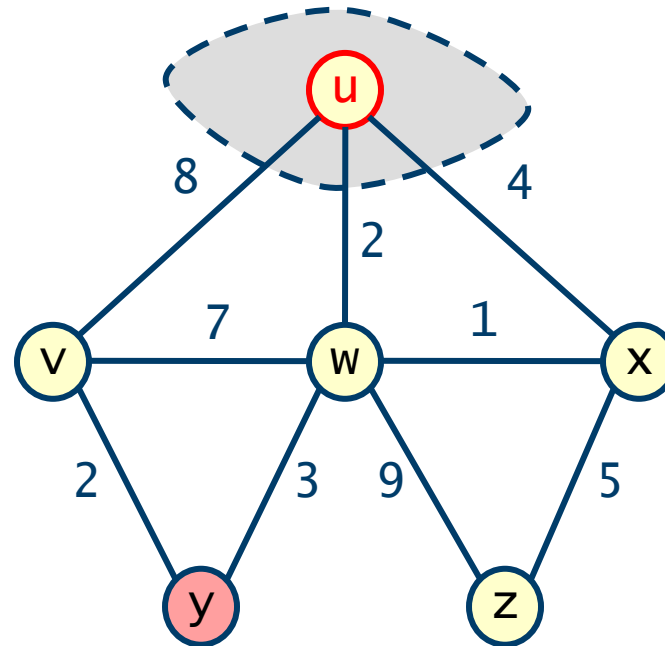
$\text{dist}(v) = 8$

$\text{dist}(w) = 2$

$\text{dist}(x) = 4$

**$\text{dist}(y) = \infty$**

$\text{dist}(z) = \infty$



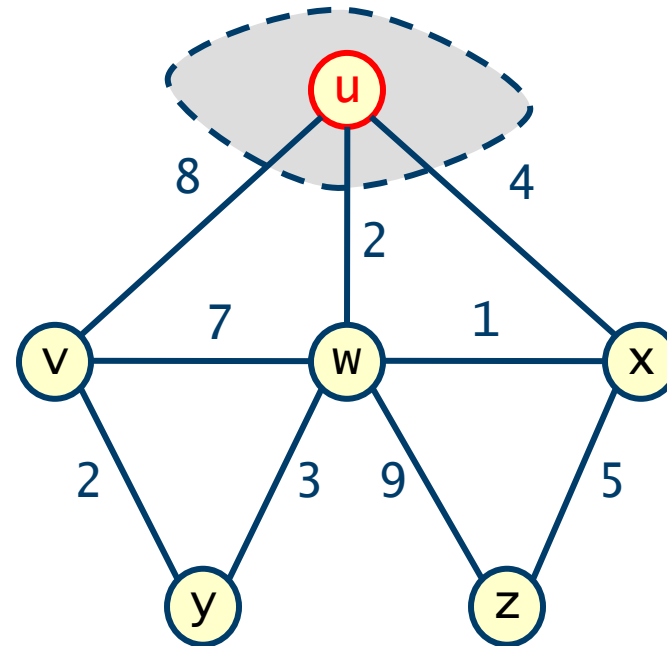
compute distances



# Dijkstra's algorithm – Example

## Weighted graph

- compute shortest path with **u**



$S = \{u\}$

$\text{dist}(u) = 0$

$\text{dist}(v) = 8$

$\text{dist}(w) = 2$  ← minimum distance

$\text{dist}(x) = 4$

$\text{dist}(y) = \infty$

$\text{dist}(z) = \infty$

# Dijkstra's algorithm – Example

## Weighted graph

- compute shortest path with **u**

$S = \{u, w\}$

$\text{dist}(u) = 0$

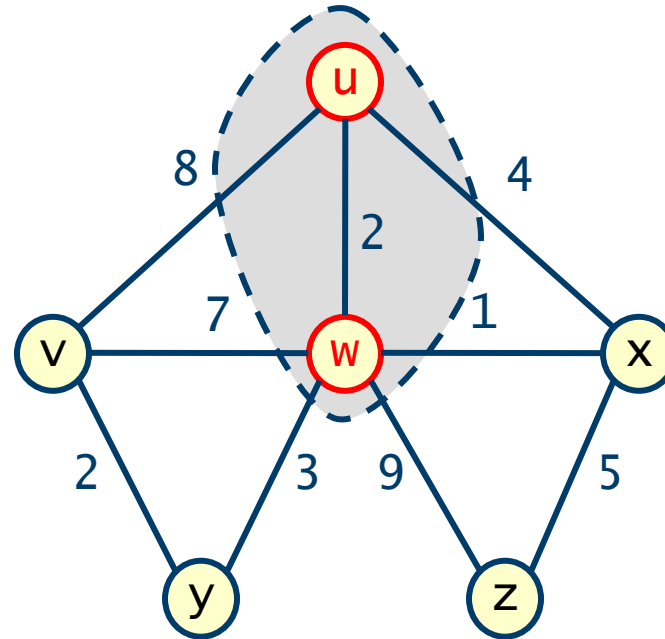
$\text{dist}(v) = 8$

$\text{dist}(w) = 2$

$\text{dist}(x) = 4$

$\text{dist}(y) = \infty$

$\text{dist}(z) = \infty$



add **w** to the set **S**

# Dijkstra's algorithm – Example

## Weighted graph

- compute shortest path with **u**

$S = \{u, w\}$

$\text{dist}(u) = 0$

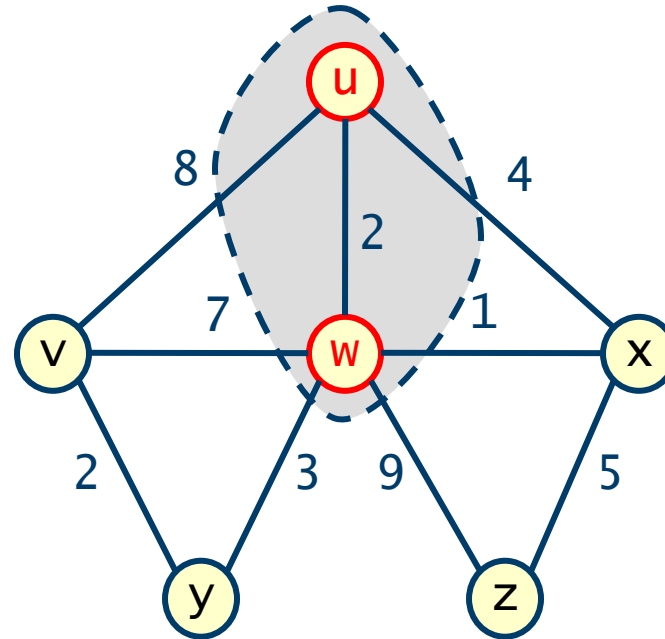
$\text{dist}(v) = 8 \rightarrow \min\{8, 2+7\} = 8$

$\text{dist}(w) = 2$

$\text{dist}(x) = 4 \rightarrow \min\{4, 2+1\} = 3$

$\text{dist}(y) = \infty \rightarrow \min\{\infty, 2+3\} = 5$

$\text{dist}(z) = \infty \rightarrow \min\{\infty, 2+9\} = 11$



perform relaxation:

$\text{dist}(\text{vertex}) = \min\{\text{dist}(\text{vertex}), \text{dist}(w) + \text{wt}(\text{vertex}, w)\};$

# Dijkstra's algorithm – Example

## Weighted graph

- compute shortest path with **u**

$S = \{u, w\}$

$\text{dist}(u) = 0$

**$\text{dist}(v) = 8 \rightarrow \min\{8, 2+7\} = 8$**

$\text{dist}(w) = 2$

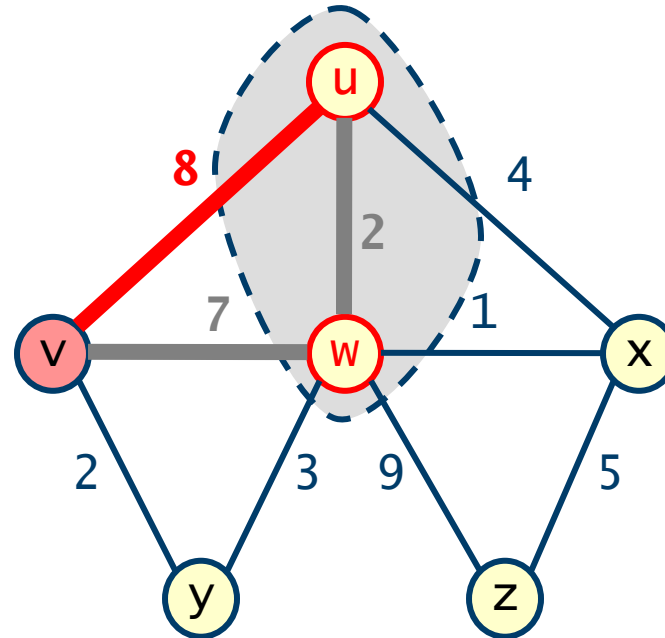
$\text{dist}(x) = 4 \rightarrow \min\{4, 2+1\} = 3$

$\text{dist}(y) = \infty \rightarrow \min\{\infty, 2+3\} = 5$

$\text{dist}(z) = \infty \rightarrow \min\{\infty, 2+9\} = 11$

perform relaxation

$\text{dist}(v) = \min\{\text{dist}(v), \text{dist}(w) + \text{wt}(v, w)\} = \min\{8, 2+7\}$



# Dijkstra's algorithm – Example

## Weighted graph

- compute shortest path with **u**

$S = \{u, w\}$

$\text{dist}(u) = 0$

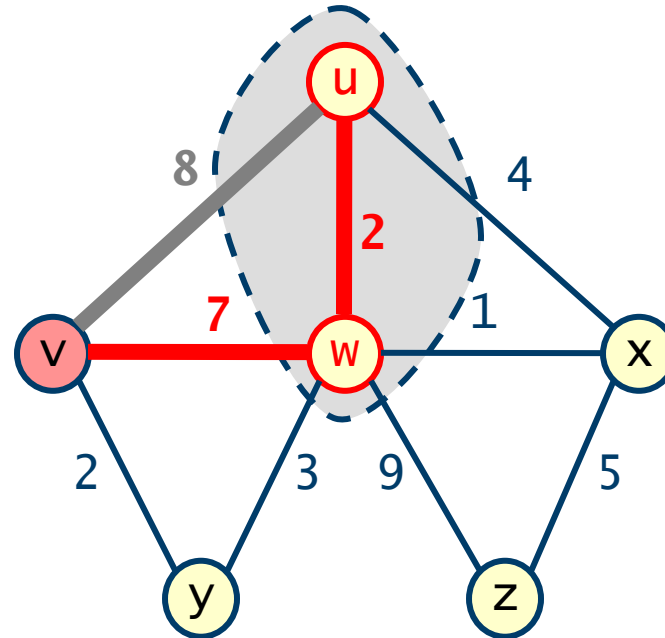
**$\text{dist}(v) = 8 \rightarrow \min\{8, 2+7\} = 8$**

$\text{dist}(w) = 2$

$\text{dist}(x) = 4 \rightarrow \min\{4, 2+1\} = 3$

$\text{dist}(y) = \infty \rightarrow \min\{\infty, 2+3\} = 5$

$\text{dist}(z) = \infty \rightarrow \min\{\infty, 2+9\} = 11$



perform relaxation

$\text{dist}(v) = \min\{\text{dist}(v), \text{dist}(w) + \text{wt}(v, w)\} = \min\{8, 2+7\}$

# Dijkstra's algorithm – Example

## Weighted graph

- compute shortest path with **u**

$S = \{u, w\}$

$\text{dist}(u) = 0$

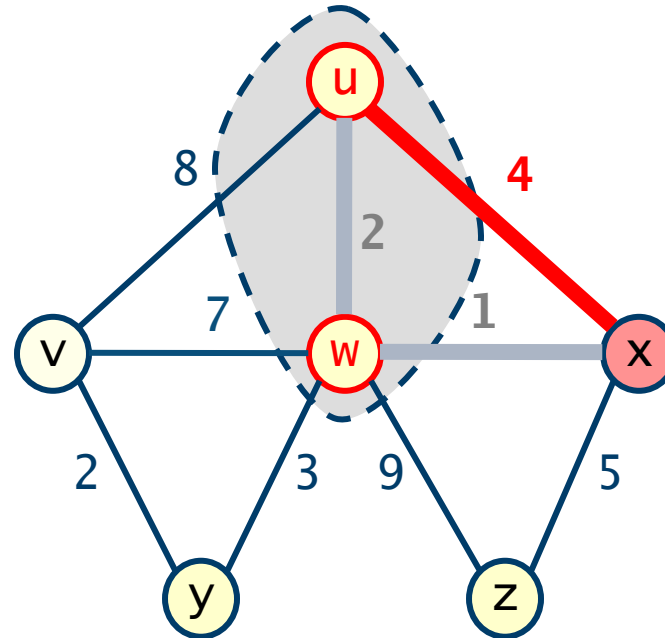
$\text{dist}(v) = 8 \rightarrow \min\{8, 2+7\} = 8$

$\text{dist}(w) = 2$

**$\text{dist}(x) = 4 \rightarrow \min\{4, 2+1\} = 3$**

$\text{dist}(y) = \infty \rightarrow \min\{\infty, 2+3\} = 5$

$\text{dist}(z) = \infty \rightarrow \min\{\infty, 2+9\} = 11$



perform relaxation

$\text{dist}(x) = \min\{\text{dist}(x), \text{dist}(w) + \text{wt}(x, w)\} = \min\{4, 2+1\}$

# Dijkstra's algorithm – Example

## Weighted graph

- compute shortest path with **u**

$S = \{u, w\}$

$\text{dist}(u) = 0$

$\text{dist}(v) = 8 \rightarrow \min\{8, 2+7\} = 8$

$\text{dist}(w) = 2$

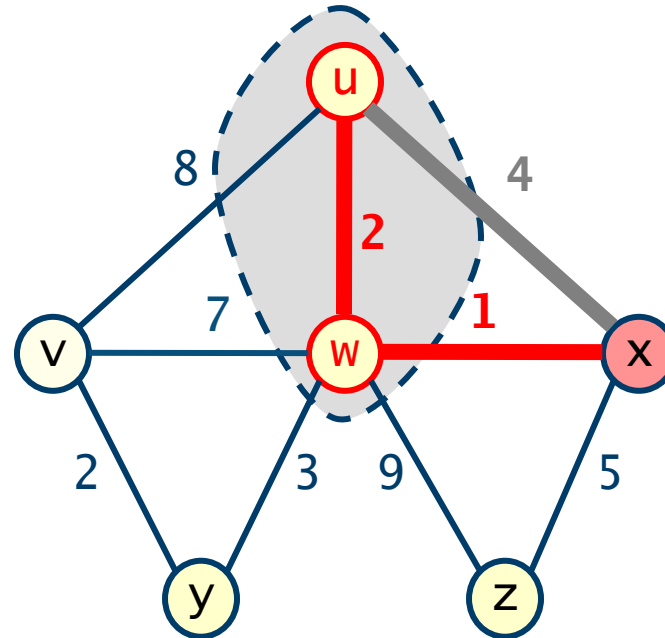
**$\text{dist}(x) = 4 \rightarrow \min\{4, 2+1\} = 3$**

$\text{dist}(y) = \infty \rightarrow \min\{\infty, 2+3\} = 5$

$\text{dist}(z) = \infty \rightarrow \min\{\infty, 2+9\} = 11$

perform relaxation

$\text{dist}(v) = \min\{\text{dist}(v), \text{dist}(w) + \text{wt}(v, w)\} = \min\{4, 2+1\}$



# Dijkstra's algorithm – Example

## Weighted graph

- compute shortest path with **u**

$S = \{u, w\}$

$\text{dist}(u) = 0$

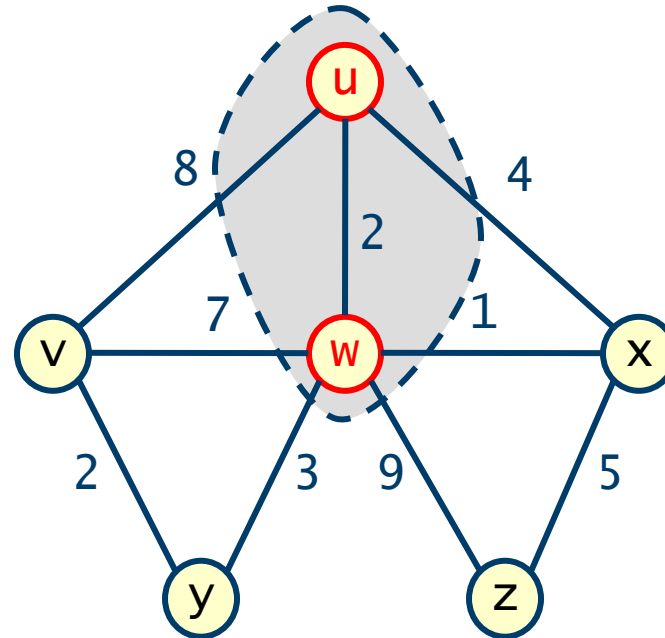
$\text{dist}(v) = 8$

$\text{dist}(w) = 2$

$\text{dist}(x) = 3$

$\text{dist}(y) = 5$

$\text{dist}(z) = 11$





# Dijkstra's algorithm – Example

## Weighted graph

- compute shortest path with **u**

$S = \{u, w\}$

$\text{dist}(u) = 0$

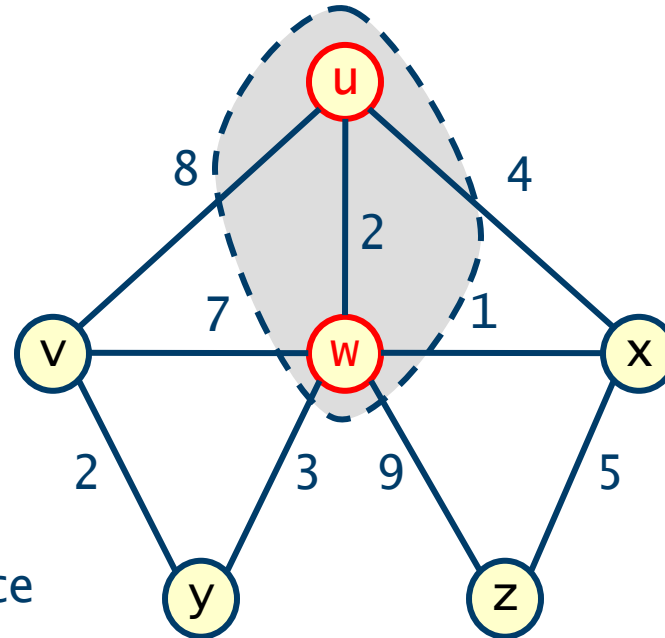
$\text{dist}(v) = 8$

$\text{dist}(w) = 2$

$\text{dist}(x) = 3$  ← minimum distance

$\text{dist}(y) = 5$

$\text{dist}(z) = 11$



# Dijkstra's algorithm – Example

## Weighted graph

- compute shortest path with **u**

$S = \{u, w, \mathbf{x}\}$

$\text{dist}(u) = 0$

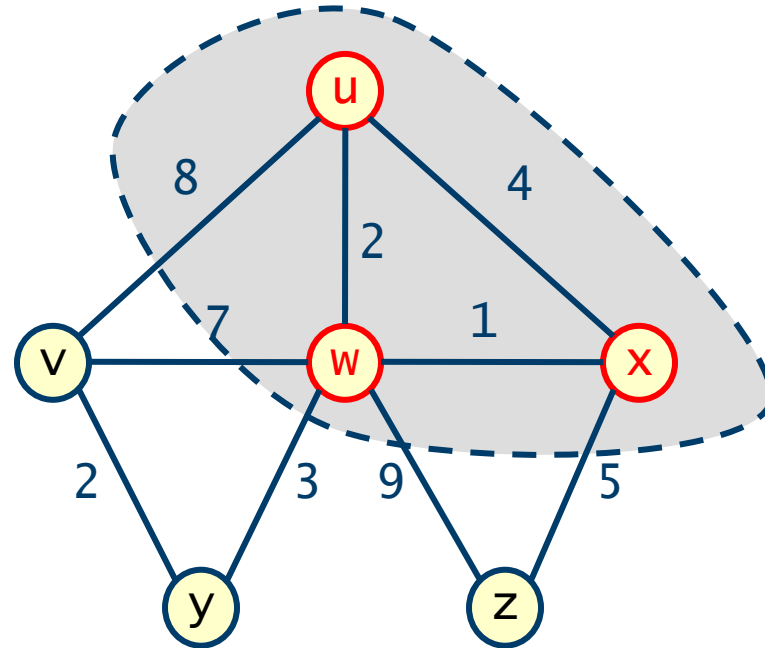
$\text{dist}(v) = 8$

$\text{dist}(w) = 2$

$\text{dist}(x) = 3$

$\text{dist}(y) = 5$

$\text{dist}(z) = 11$



add **x** to the set **S**

# Dijkstra's algorithm – Example

## Weighted graph

- compute shortest path with **u**

$S = \{u, w, \mathbf{x}\}$

$\text{dist}(u) = 0$

$\text{dist}(v) = 8 \rightarrow \min\{8, 3 + \infty\} = 8$

$\text{dist}(w) = 2$

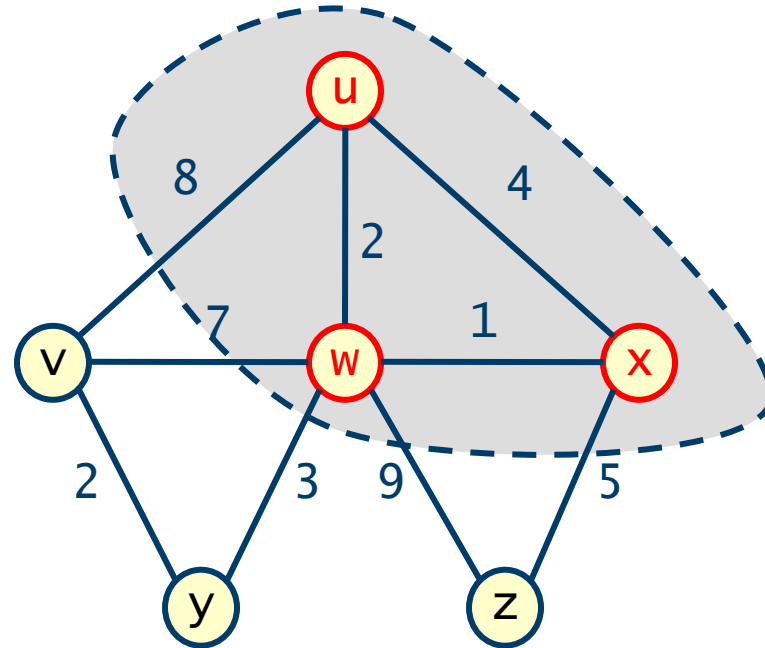
$\text{dist}(x) = 3$

$\text{dist}(y) = 5 \rightarrow \min\{5, 3 + \infty\} = 5$

$\text{dist}(z) = 11 \rightarrow \min\{11, 3 + 5\} = 8$

perform relaxation

$\text{dist}(\text{vertex}) = \min\{\text{dist}(\text{vertex}), \text{dist}(\mathbf{x}) + \text{wt}(\text{vertex}, \mathbf{x})\};$



# Dijkstra's algorithm – Example

## Weighted graph

- compute shortest path with **u**

$S = \{u, w, \mathbf{x}\}$

$\text{dist}(u) = 0$

$\text{dist}(v) = 8 \rightarrow \min\{8, 3 + \infty\}$

$\text{dist}(w) = 2$

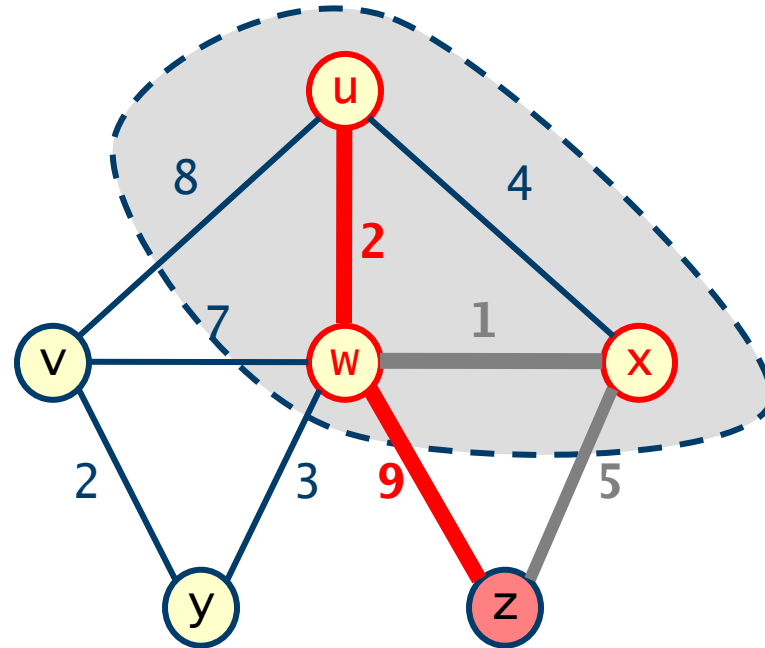
$\text{dist}(x) = 3$

$\text{dist}(y) = 5 \rightarrow \min\{5, 3 + \infty\}$

**$\text{dist}(z) = 11 \rightarrow \min\{11, 3 + 5\} = 8$**

perform relaxation

$\text{dist}(z) = \min\{\text{dist}(z), \text{dist}(x) + \text{wt}(z, x)\} = \min\{11, 3 + 5\}$



# Dijkstra's algorithm – Example

## Weighted graph

- compute shortest path with **u**

$S = \{u, w, \mathbf{x}\}$

$\text{dist}(u) = 0$

$\text{dist}(v) = 8 \rightarrow \min\{8, 3 + \infty\}$

$\text{dist}(w) = 2$

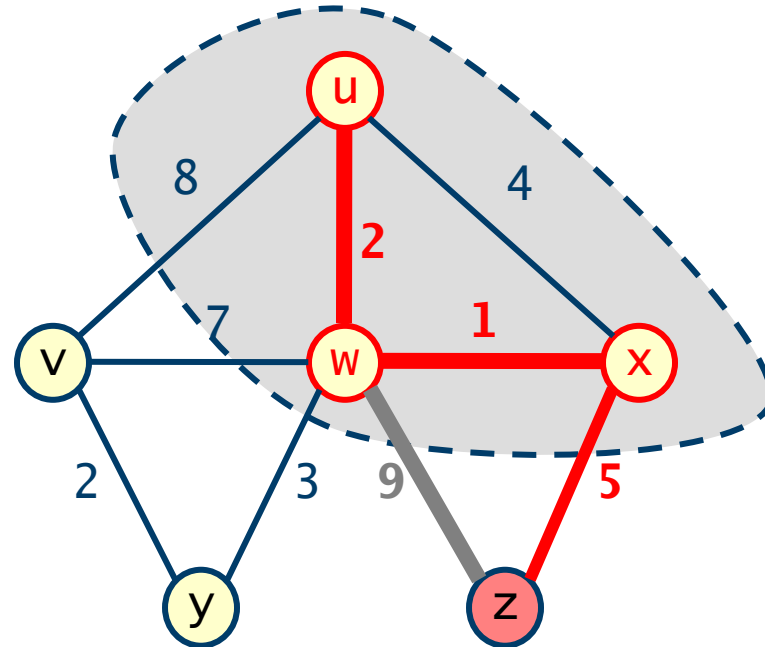
$\text{dist}(x) = 3$

$\text{dist}(y) = 5 \rightarrow \min\{5, 3 + \infty\}$

**$\text{dist}(z) = 13 \rightarrow \min\{11, 3 + 5\} = 8$**

perform relaxation

$\text{dist}(z) = \min\{\text{dist}(z), \text{dist}(\mathbf{x}) + \text{wt}(z, \mathbf{x})\} = \min\{13, 3 + 5\}$



# Dijkstra's algorithm – Example

## Weighted graph

- compute shortest path with **u**

$S = \{u, w, x\}$

$\text{dist}(u) = 0$

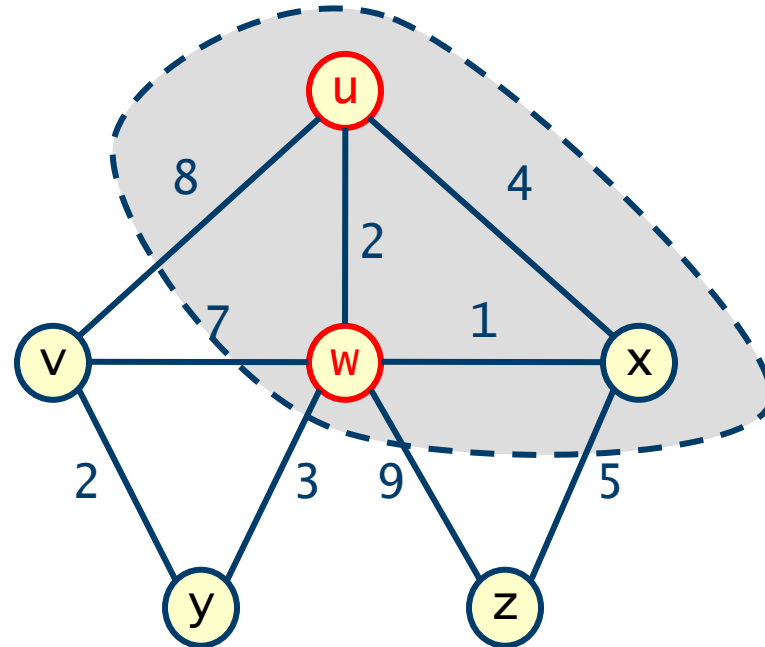
**$\text{dist}(v) = 8$**

$\text{dist}(w) = 2$

$\text{dist}(x) = 3$

**$\text{dist}(y) = 5$**

**$\text{dist}(z) = 8$**



# Dijkstra's algorithm – Example

## Weighted graph

- compute shortest path with **u**

$S = \{u, w, x\}$

$\text{dist}(u) = 0$

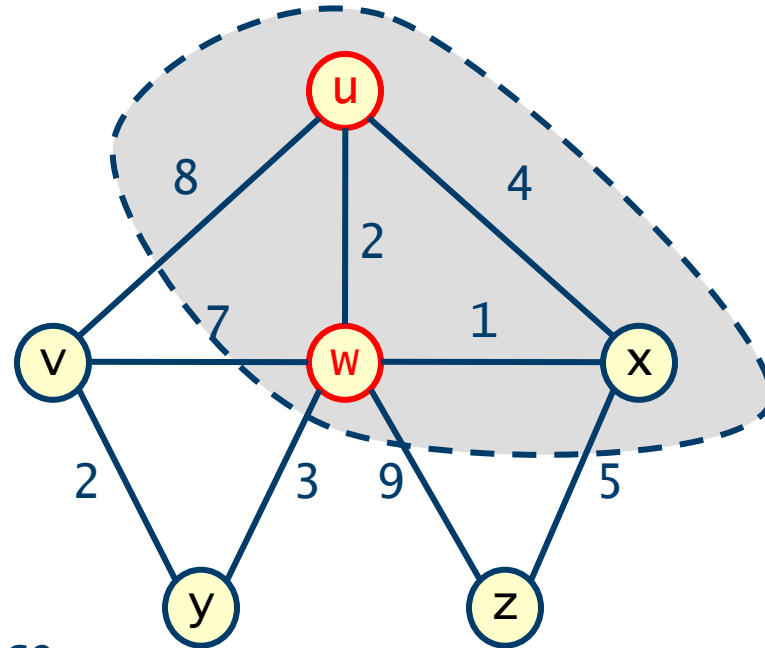
**$\text{dist}(v) = 8$**

$\text{dist}(w) = 2$

$\text{dist}(x) = 3$

**$\text{dist}(y) = 5$**  ← minimum distance

**$\text{dist}(z) = 8$**



# Dijkstra's algorithm – Example

## Weighted graph

- compute shortest path with **u**

$S = \{u, w, x, \mathbf{y}\}$

$\text{dist}(u) = 0$

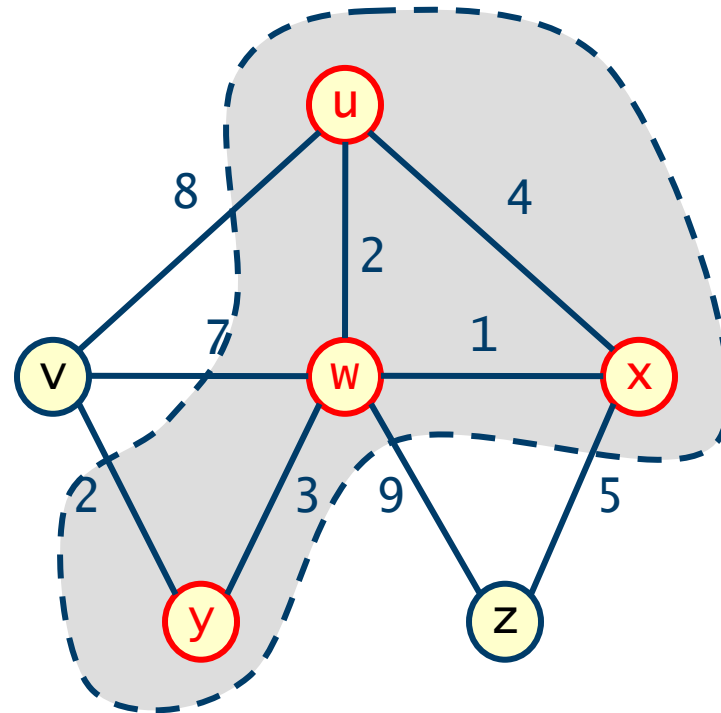
$\mathbf{\text{dist}(v) = 8}$

$\text{dist}(w) = 2$

$\text{dist}(x) = 3$

$\text{dist}(y) = 5$

$\mathbf{\text{dist}(z) = 8}$



add **y** to the set **S**



# Dijkstra's algorithm – Example

## Weighted graph

- compute shortest path with **u**

$S = \{u, w, x, \mathbf{y}\}$

$\text{dist}(u) = 0$

$\text{dist}(v) = 8 \rightarrow \min\{8, 5 + 2\} = 7$

$\text{dist}(w) = 2$

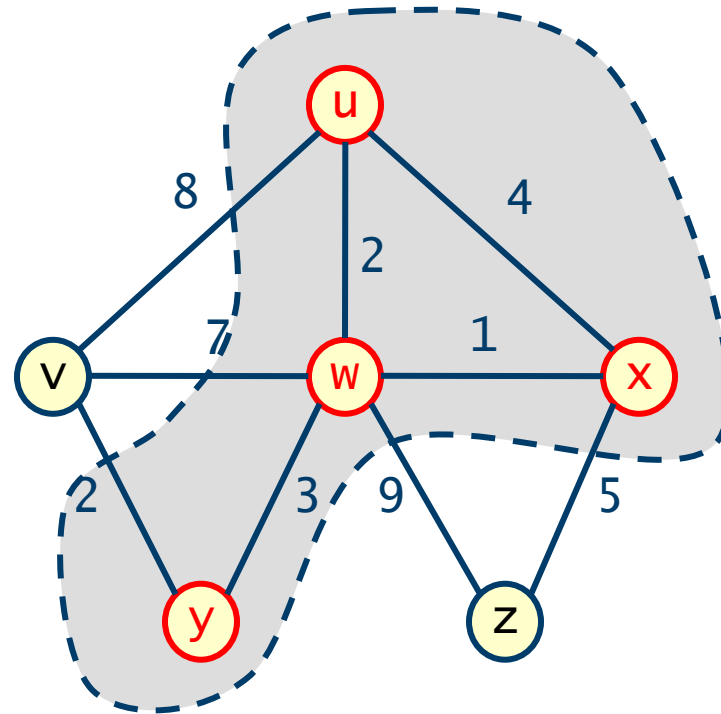
$\text{dist}(x) = 3$

$\text{dist}(y) = 5$

$\text{dist}(z) = 8 \rightarrow \min\{8, 5 + \infty\} = 8$

perform relaxation

$\text{dist}(\text{vertex}) = \min\{\text{dist}(\text{vertex}), \text{dist}(\mathbf{y}) + \text{wt}(\text{vertex}, \mathbf{y})\};$



# Dijkstra's algorithm – Example

## Weighted graph

- compute shortest path with **u**

$S = \{u, w, x, \mathbf{y}\}$

$\text{dist}(u) = 0$

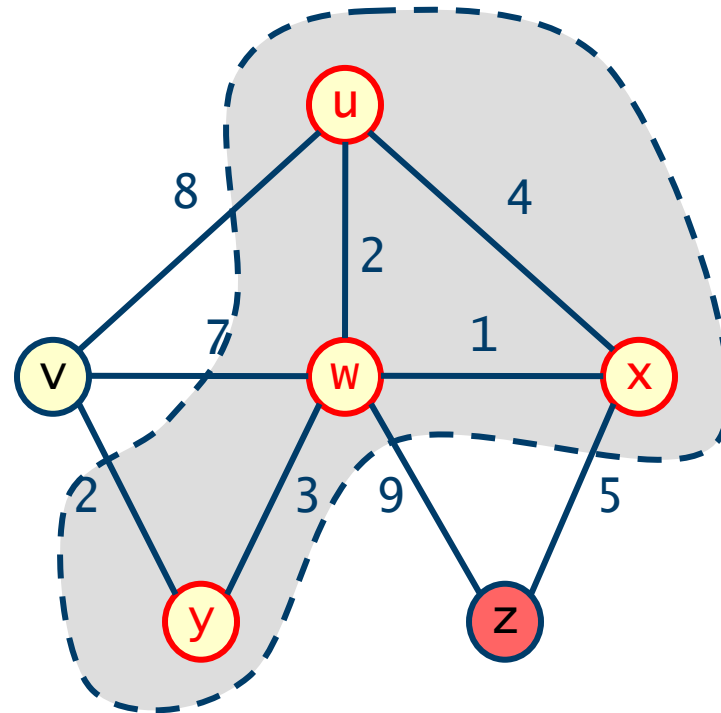
$\text{dist}(v) = 8 \rightarrow \min\{8, 5+2\}$

$\text{dist}(w) = 2$

$\text{dist}(x) = 3$

$\text{dist}(y) = 5$

$\text{dist}(z) = 8 \rightarrow \min\{8, 5+\infty\}$



perform relaxation

$\text{dist}(z) = \min\{\text{dist}(z), \text{dist}(\mathbf{y}) + \text{wt}(z, \mathbf{y})\} = \min\{8, 5+\infty\}$

# Dijkstra's algorithm – Example

## Weighted graph

- compute shortest path with **u**

$S = \{u, w, x, y\}$

$\text{dist}(u) = 0$

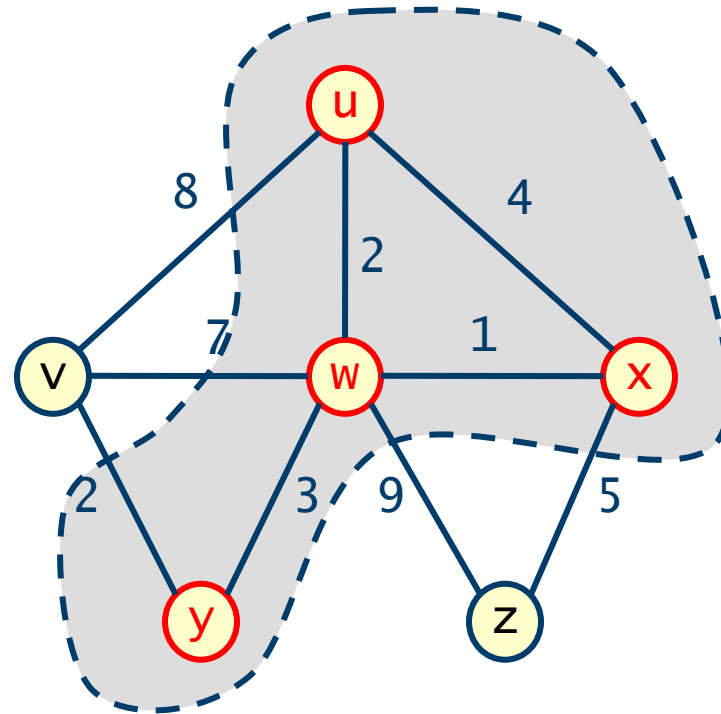
**$\text{dist}(v) = 7$**

$\text{dist}(w) = 2$

$\text{dist}(x) = 3$

$\text{dist}(y) = 5$

**$\text{dist}(z) = 8$**



# Dijkstra's algorithm – Example

## Weighted graph

- compute shortest path with **u**

$S = \{u, w, x, y\}$

$\text{dist}(u) = 0$

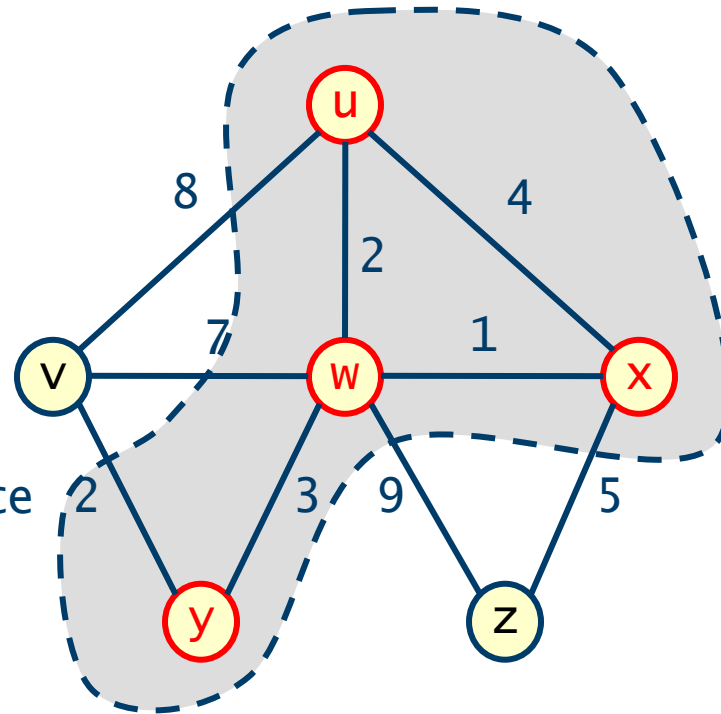
**$\text{dist}(v) = 7$**  ← minimum distance

$\text{dist}(w) = 2$

$\text{dist}(x) = 3$

$\text{dist}(y) = 5$

**$\text{dist}(z) = 8$**



# Dijkstra's algorithm – Example

## Weighted graph

- compute shortest path with **u**

$S = \{u, \mathbf{v}, w, x, y\}$

$\text{dist}(u) = 0$

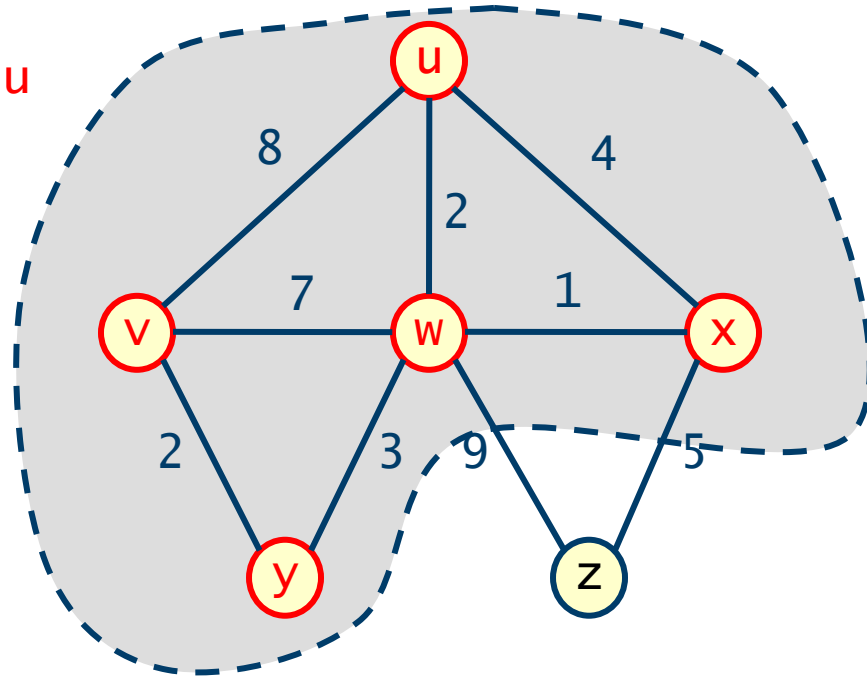
$\text{dist}(v) = 7$

$\text{dist}(w) = 2$

$\text{dist}(x) = 3$

$\text{dist}(y) = 5$

$\mathbf{\text{dist}(z) = 8}$



add **v** to the set **S**

# Dijkstra's algorithm – Example

## Weighted graph

- compute shortest path with **u**

$S = \{u, \mathbf{v}, w, x, y\}$

$\text{dist}(u) = 0$

$\text{dist}(v) = 7$

$\text{dist}(w) = 2$

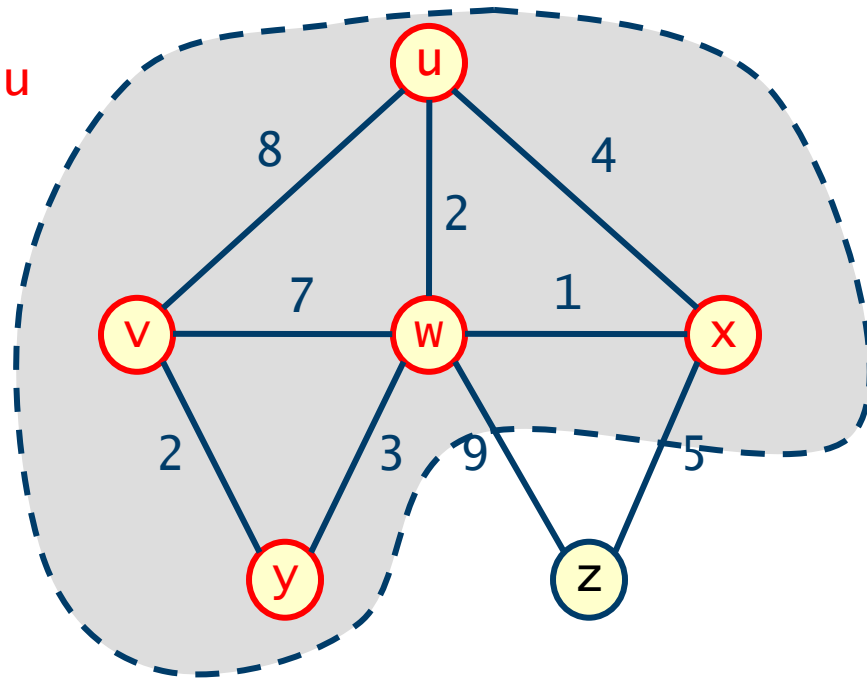
$\text{dist}(x) = 3$

$\text{dist}(y) = 5$

$\text{dist}(z) = 8 \rightarrow \min\{8, 7 + \infty\}$

perform relaxation

$\text{dist}(\text{vertex}) = \min\{\text{dist}(\text{vertex}), \text{dist}(\mathbf{v}) + \text{wt}(\text{vertex}, \mathbf{v})\};$



# Dijkstra's algorithm – Example

## Weighted graph

- compute shortest path with **u**

$S = \{u, v, w, x, y\}$

$\text{dist}(u) = 0$

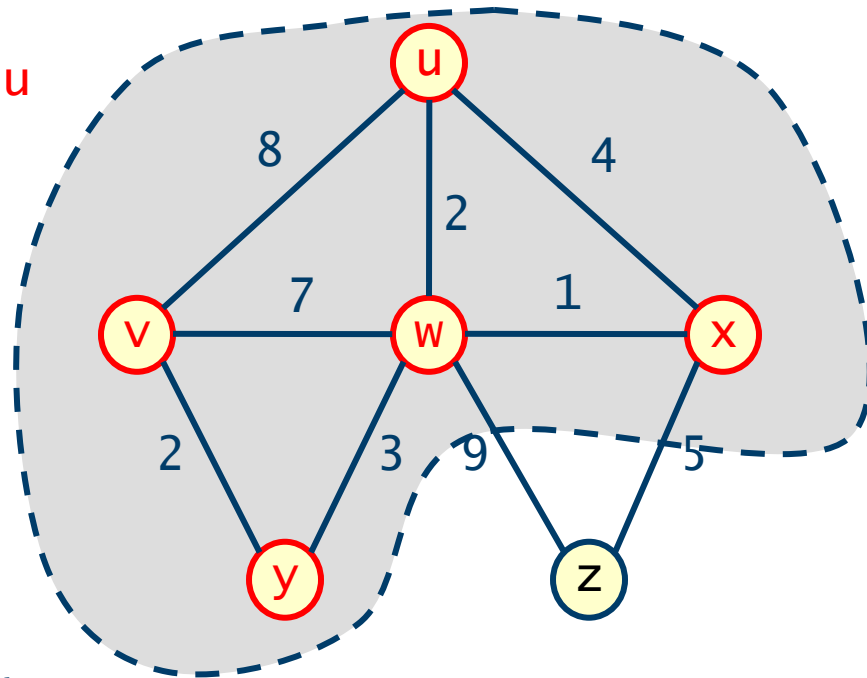
$\text{dist}(v) = 7$

$\text{dist}(w) = 2$

$\text{dist}(x) = 3$

$\text{dist}(y) = 5$

**$\text{dist}(z) = 8$**  ← minimum distance



# Dijkstra's algorithm – Example

## Weighted graph

- compute shortest path with **u**

$S = \{u, v, w, x, y, \mathbf{z}\}$

**dist(u)=0**

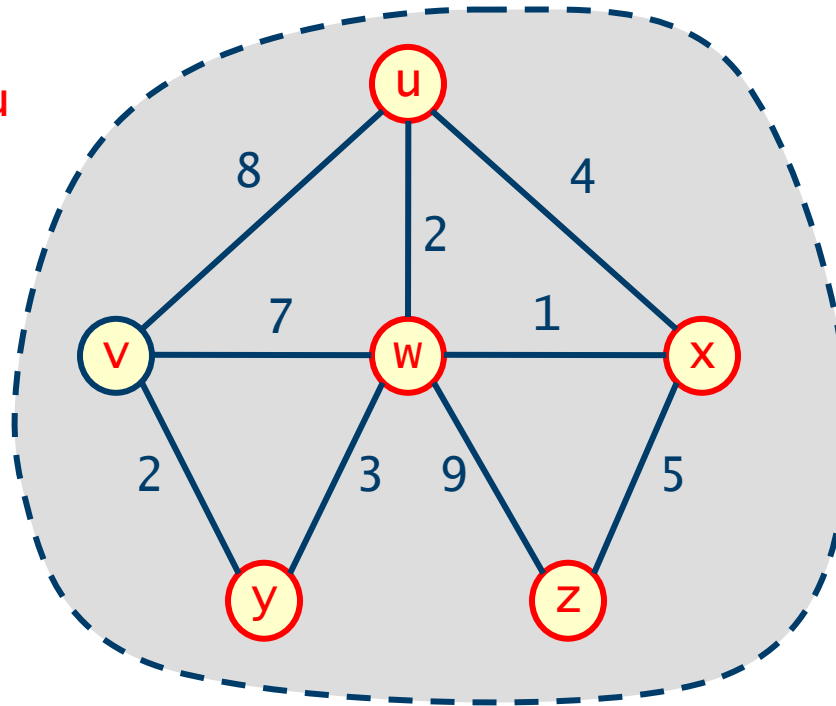
**dist(v)=7**

**dist(w)=2**

**dist(x)=3**

**dist(y)=5**

**dist(z)=8**



add **z** to the set **S**



# Dijkstra's algorithm

---

Algorithm finds shortest path between one vertex **u** and all others

- based on maintaining a set **S** containing all vertices for which shortest path with **u** is currently known
- **S** initially contains only **u** (obviously shortest path between **u** and **u** is **0**)
- eventually **S** contains all the vertices (so all shortest paths are known)

Each vertex **v** not in **S** has a label **dist(v)** indicating the length of a shortest path between **u** and **v** passing **only** through vertices in **S**

# Dijkstra's algorithm

---

Each vertex  $v$  not in  $S$  has a label  $\text{dist}(v)$  indicating the length of a shortest path between  $u$  and  $v$  passing **only** through vertices in  $S$

- if no path exists then we set to  $\text{dist}(v)$  infinity

# Dijkstra's algorithm

---

Each vertex  $v$  not in  $S$  has a label  $\text{dist}(v)$  indicating the length of a shortest path between  $u$  and  $v$  passing **only** through vertices in  $S$

- at each step we add to  $S$  the vertex  $v$  not in  $S$  such that  $\text{dist}(v)$  is **minimum**
- this ensures that at any point the shortest path for vertices not in  $S$  to  $u$  have distance greater than or equal to that for all vertices in  $S$

# Dijkstra's algorithm

---

Each vertex  $v$  not in  $S$  has a label  $\text{dist}(v)$  indicating the length of a shortest path between  $u$  and  $v$  passing **only** through vertices in  $S$

- at each step we add to  $S$  the vertex  $v$  not in  $S$  such that  $\text{dist}(v)$  is **minimum**
- after having added a vertex  $v$  to  $S$ , carry out **edge relaxation** operations i.e. we update the distance  $\text{dist}(w)$  for all vertices  $w$  still not in  $S$ 
  - $\text{dist}(w)$  is the length of a shortest path between  $u$  and  $v$  passing **only** through vertices in  $S$
  - and  $S$  has changed since we have added vertex  $v$  to  $S$

# Dijkstra's algorithm

---

Each vertex  $v$  not in  $S$  has a label  $\text{dist}(v)$  indicating the length of a shortest path between  $u$  and  $v$  passing **only** through vertices in  $S$

- at each step we add to  $S$  the vertex  $v$  not in  $S$  such that  $\text{dist}(v)$  is **minimum**
- after having added a vertex  $v$  to  $S$ , carry out **edge relaxation** operations  
i.e. we update the distance  $\text{dist}(w)$  for all vertices  $w$  still not in  $S$

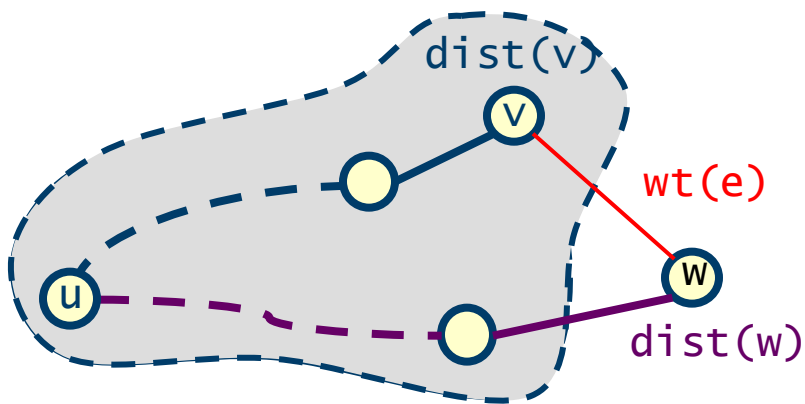
**Version described finds shortest path lengths only, not the paths**

- tutorial question concerns generating paths

# Dijkstra's algorithm – Edge relaxation

Each vertex  $v$  not in  $S$  has a label  $\text{dist}(v)$  indicating the length of a shortest path between  $u$  and  $v$  passing only through vertices in  $S$

- suppose  $v$  and  $w$  are not in  $S$  then we know
- the shortest path between  $u$  and  $v$  passing only through  $S$  equals  $\text{dist}(v)$
- the shortest path between  $u$  and  $w$  passing only through  $S$  equals  $\text{dist}(w)$
- now suppose  $v$  is added to  $S$  and the edge  $e = \{v, w\}$  has weight  $\text{wt}(e)$
- calculate the shortest path between  $u$  and  $w$  passing only through  $S \cup \{v\}$



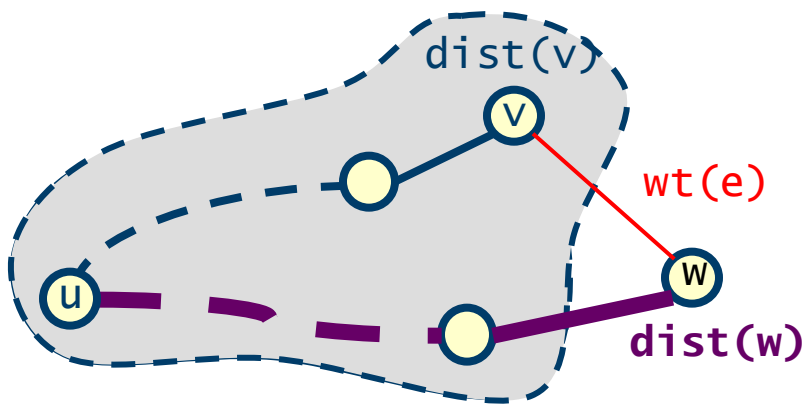
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shortest path is either:

- original path through  $S$  of length  $\text{dist}(w)$



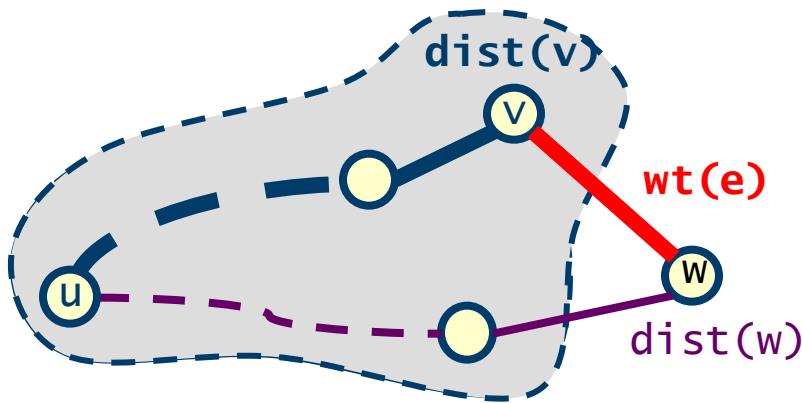
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shortest path is either:

- original path through  $S$  of length  $\text{dist}(w)$
- path combining edge  $e$  and shortest path between  $v$  and  $u$  which has length  $\text{wt}(e) + \text{dist}(v)$





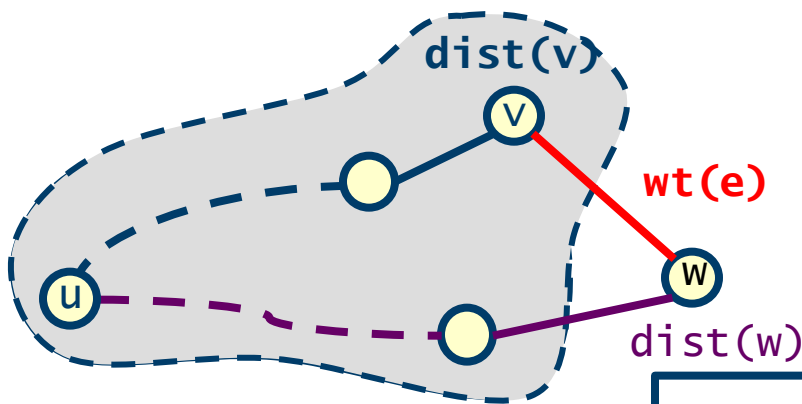
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therefore distance updated to:

$$\text{dist}(w) = \min\{\text{dist}(w), \text{dist}(v) + \text{wt}(e)\}$$

# Dijkstra's algorithm – Pseudo code

```
// S is set of vertices for which shortest path with u is known  
// dist(w) represents length of a shortest path between u and w  
// passing only through vertices of S  
  
S = {u}; // initialise S  
for (each vertex w) dist(w) = wt(u,w); // initialise distances  
  
while (S != V){ // still vertices to add to S  
    find v not in S with dist(v) minimum;  
    add v to S;  
    for (each w not in S and adjacent to v) // perform relaxation  
        dist(w) = min{ dist(w), dist(v)+wt(v,w) };  
}
```

# Dijkstra's algorithm – Complexity

```
S = {u}; // initialise S
for (each vertex w) dist(w) = wt(u,w); // initialise distances

while (S != V){ // still vertices to add to S
    find v not in S with dist(v) minimum;
    add v to S;
    for (each w not in S and adjacent to v) // perform relaxation
        dist(w) = min{ dist(w), dist(v)+wt(v,w) };
}
```

Consider two ways of implementing distances **dist(v)**

- unordered array
- heap

# Dijkstra complexity – unordered array

```
S = {u}; // initialise S
for (each vertex w) dist(w) = wt(u,w); // initialise distances

while (S != V){ // still vertices to add to S
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    add v to S;
    for (each w not in S and adjacent to v) // perform relaxation
        dist(w) = min{ dist(w) , dist(v)+wt(v,w) };
}
```

## Analysis (**n** vertices and **m** edges) using unordered array for distances

- $O(n)$  to initialise distances
- finding minimum is  $O(n^2)$  overall
  - each time it takes  $O(n)$  and there are  $n-1$  to find
- relaxation is  $O(m)$  overall
  - each edge is considered once and updating distance takes  $O(1)$
  - note: we are not considering each iteration of the while loop but overall ops

# Dijkstra complexity – unordered array

```
S = {u}; // initialise S
for (each vertex w) dist(w) = wt(u,w); // initialise distances

while (S != V){ // still vertices to add to S
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  - each edge is considered once and updating distance takes  $O(1)$

hence  $O(n^2)$  overall (number of edges at most  $n(n-1)$ )

# Dijkstra complexity – heap

```
S = {u}; // initialise S
for (each vertex w) dist(w) = wt(u,w); // initialise distances

while (S != V){ // still vertices to add to S
    find v not in S with dist(v) minimum;
    add v to S;
    for (each w not in S and adjacent to v) // perform relaxation
        dist(w) = min{ dist(w) , dist(v)+wt(v,w) };
}
```

## Analysis ( $n$ vertices and $m$ edges) using a heap for distances

- $O(n)$  to initialise distances and create heap
- finding minimum is  $O(n \log n)$  overall
  - each time it takes  $O(\log n)$  and there are  $n-1$  to find
- relaxation is  $O(m \log n)$  overall
  - each edge is considered once and updating distance takes  $O(\log n)$
  - note: this involves updating a specific value in the heap not the root  
so care must be taken (need to keep track of positions of vertices in the heap)

# Dijkstra complexity – heap

```
S = {u}; // initialise S
for (each vertex w) dist(w) = wt(u,w); // initialise distances

while (S != V){ // still vertices to add to S
    find v not in S with dist(v) minimum;
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## Analysis ( $n$ vertices and $m$ edges) using a heap for distances

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- relaxation is  $O(m \log n)$  overall
  - each edge is considered once and updating distance takes  $O(\log n)$

hence  $O(m \log n)$  overall (more edges than vertices)

- a graph with  $n$  vertices has  $O(n^2)$  edges

# Next lecture

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## Graph basics

- definitions: directed, undirected, connected, bipartite, ...

## Graph representations

- adjacency matrix/lists and implementation

## Graph search and traversal algorithms

- depth/breadth first search

## Topological ordering

## Weighted graphs

- shortest path (Dijkstra's algorithm)
- minimum spanning tree (Prim-Jarnik and Dijkstra's refinement)



