

# Algorithmics

## Lecture 10

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# Section 5 – Computability

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## Introduction

- unsolvable and undecidable problems
- the tiling problem
- Post's correspondence problem
- the halting problem

## Models of computation

- finite-state automata
- pushdown automata
- Turing machines
- Counter machines
- Church–Turing thesis

# Section 5 – Computability

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## Introduction

- unsolvable and undecidable problems
- the tiling problem
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- the halting problem

## Models of computation

- finite-state automata – first part
- pushdown automata
- Turing machines
- Counter machines
- Church–Turing thesis

# Introduction to Computability

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## What is a computer?



## What can the black box do?

- it computes a function that maps an input to an output

## Computability is concerned with which **functions** can be computed

- a formal way of answering ‘what problems can be solved by a computer?’
- or alternatively ‘what problems cannot be solved by a computer?’

## To answer such questions we require a formal definition

- i.e. a definition of what a computer is
- or what an **algorithm** is if we view a computer as a device that can execute an algorithm

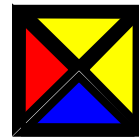
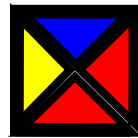
# Unsolvable problems

Some problems cannot be solved by a computer

- even with **unbounded time**

**Example: The Tiling Problem (decision problem)**

- a **tile** is a **1×1** square, divided into **4** triangles by its diagonals with each triangle is given a colour
- each tile has a fixed orientation (no rotations allowed)
- example tiles:

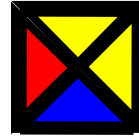
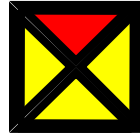


**Instance:** a finite set **S** of tile descriptions

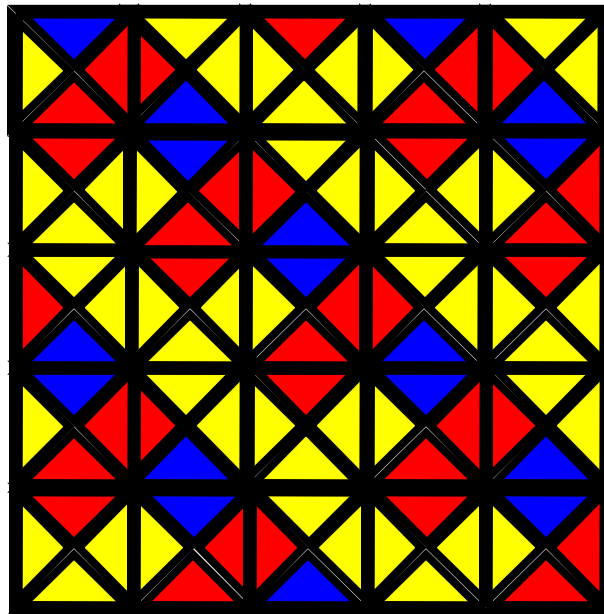
**Question:** can any finite area, of any size, be completely covered using only tiles of types in **S**, so that adjacent tiles colour match?

# Tiling problem – Tiling a $5 \times 5$ square

Available tiles:



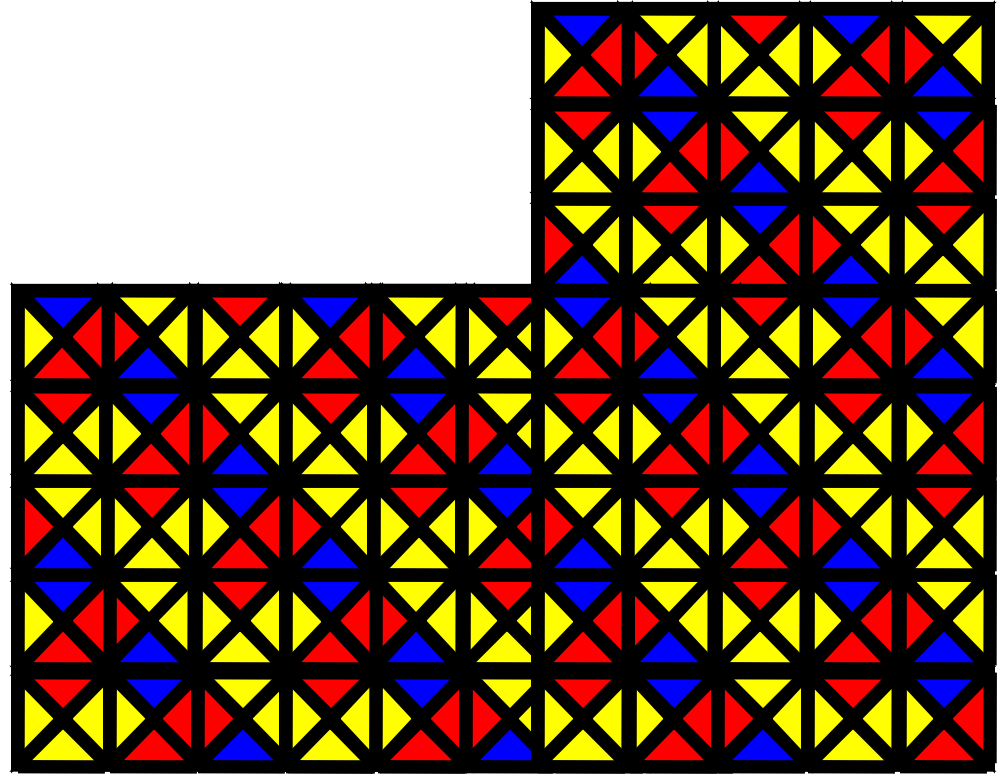
We can use these tiles to tile a  $5 \times 5$  square as follows:



# Tiling problem – Extending to a larger region

Overlap the top two rows with  
the bottom two rows

- obtain an  $8 \times 5$  tiled area



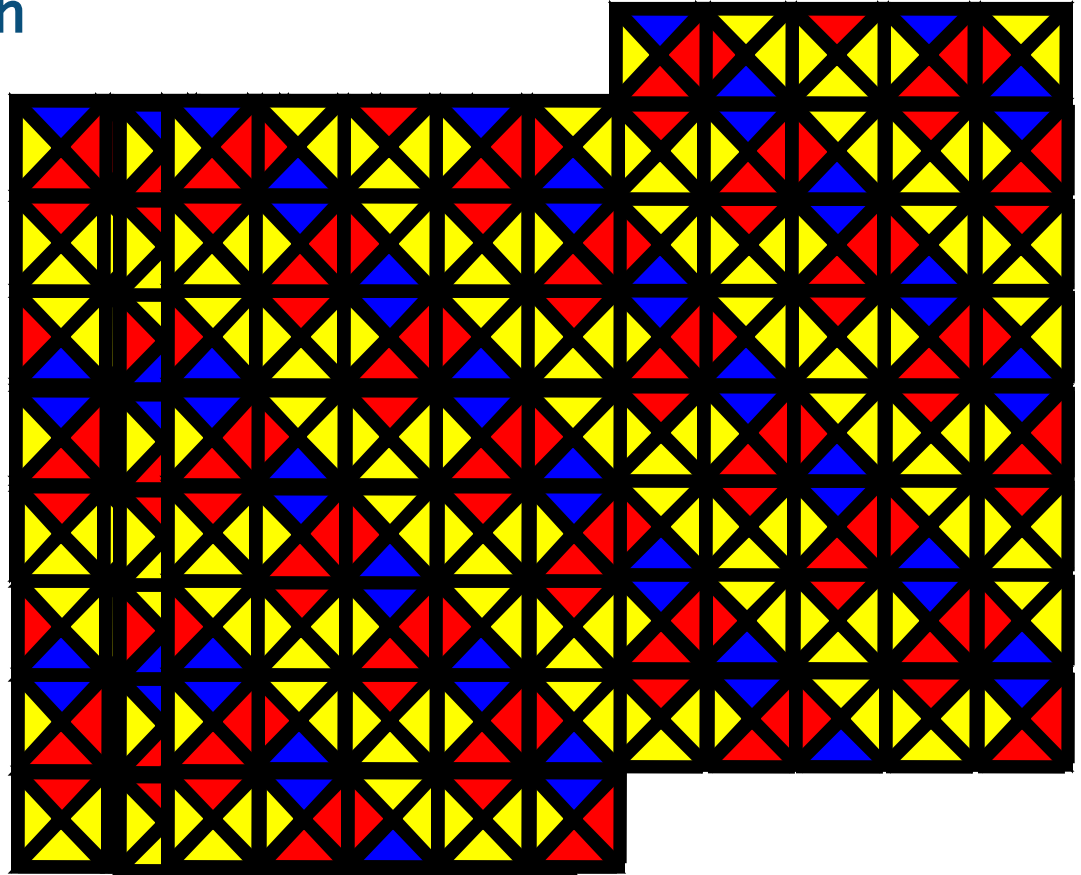
# Tiling problem – Extending to a larger region

Overlap the top two rows with  
the bottom two rows

- obtain an  $8 \times 5$  tiled area

Next place two of  
these  $8 \times 5$  rectangles  
side by side

- with the right hand  
rectangle one row  
above the left hand  
rectangle



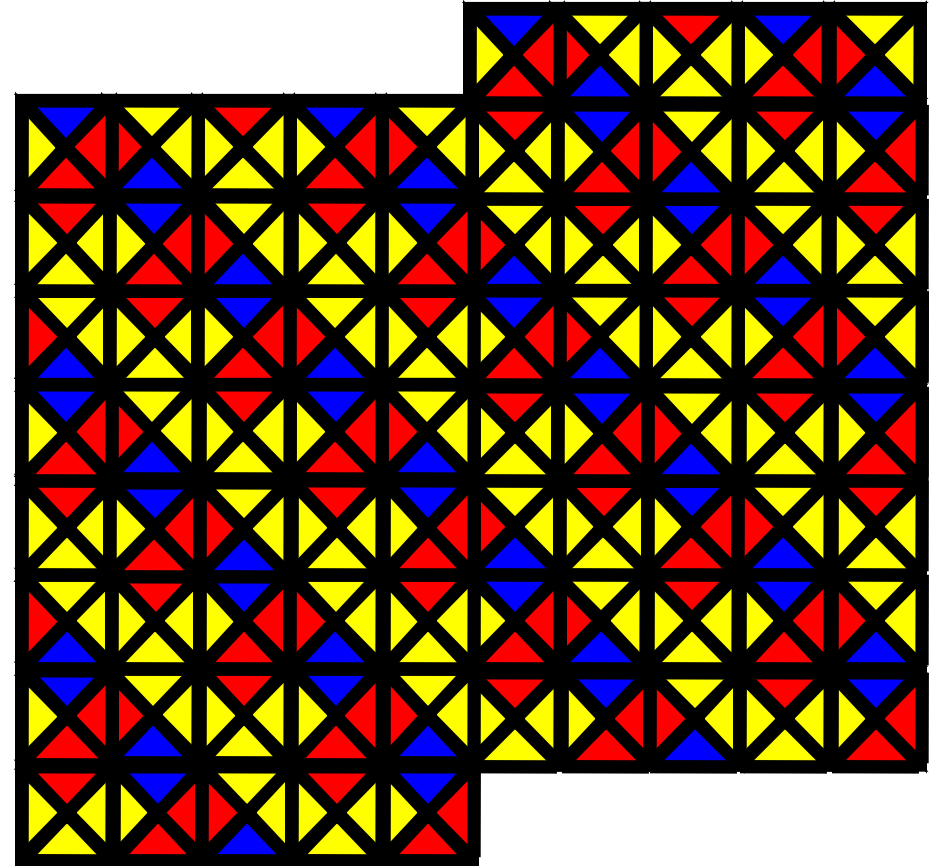


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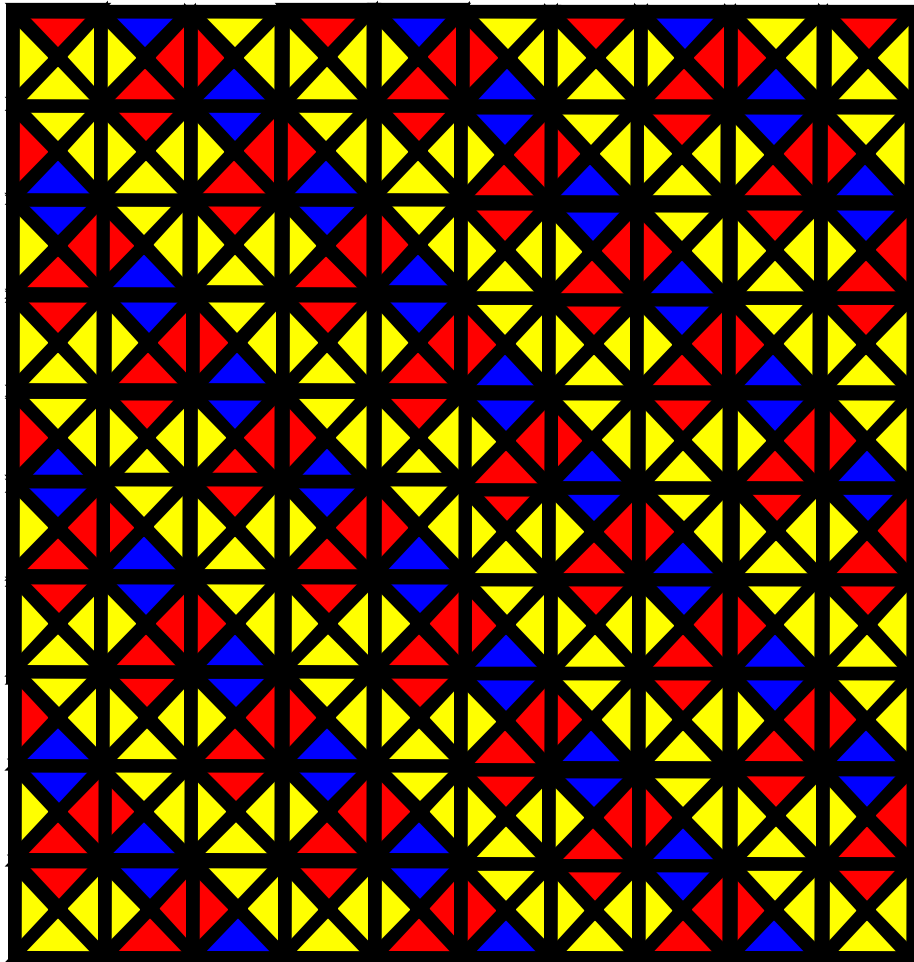
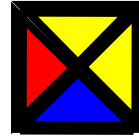
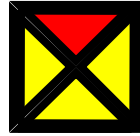
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By repeating this pattern it  
follows that any finite area  
can be tiled



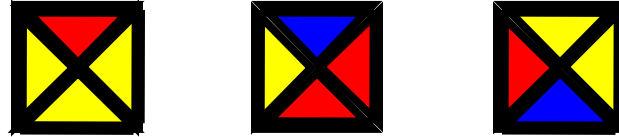
# Tiling problem – Tiling a $10 \times 10$ square

Available tiles:

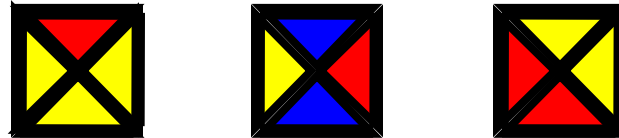


# Tiling problem – Altering the tiles

Original tiles:

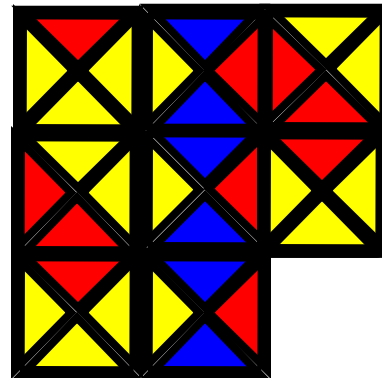


New tiles:



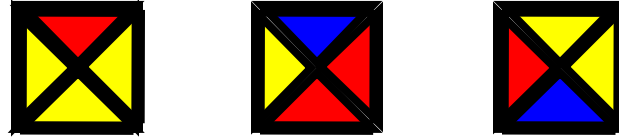
Now impossible to tile a  $3 \times 3$  square

If we try:

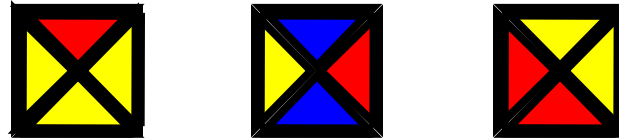


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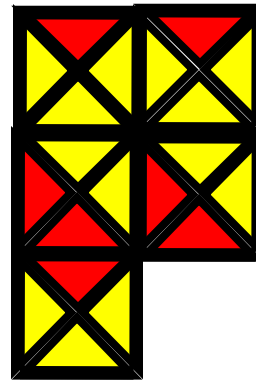


New tiles:



Now impossible to tile a  $3 \times 3$  square

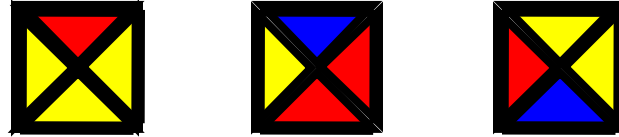
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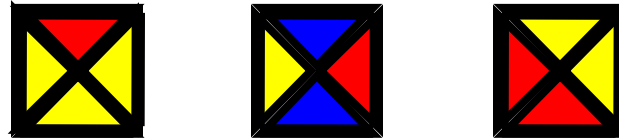
# Tiling problem – Altering the tiles

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Original tiles:



New tiles:



Now impossible to tile a  $3 \times 3$  square

There are  $3^9=19,683$  possibilities if you want to try them all out...

# Tiling problem

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**Tiling problem:** given a set of tile descriptions, can any finite area, of any size, be completely ‘tiled’ using only tiles from this set?

There is **no** algorithm for the tiling problem

- it’s been proved that for any algorithm **A** that we might try to formulate there is a set of tiles **S** for which either **A** does **not terminate** or **A** gives the **wrong answer**

The problem is that:

- “any size” means we do have to check all finite areas and there are infinitely many of these
- and for certain sets of tile descriptions that can tile any area, there is no “repeated pattern” we can use
- so to be correct the algorithm would really have to check all finite areas

# Undecidable problems

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A problem  $\Pi$  that admits no algorithm is called **non-computable** or **unsolvable**

If  $\Pi$  is a decision problem and  $\Pi$  admits no algorithm it is called **undecidable**

The Tiling Problem is undecidable

# Post's correspondence problem (PCP)

A **word** is a finite string over some given finite alphabet

**Instance:** two finite sequences of words  $X_1, \dots, X_n$  and  $Y_1, \dots, Y_n$

- the words are all over the same alphabet

**Question:** does there exist a sequence  $i_1, i_2, \dots, i_r$  of integers chosen from  $\{1, \dots, n\}$  such that  $X_{i_1}X_{i_2}\dots X_{i_r} = Y_{i_1}Y_{i_2}\dots Y_{i_r}$ ?

- i.e. concatenating the  $X_{i_j}$ 's and the  $Y_{i_j}$ 's gives the same result

**Example:**  $n=5$

- $X_1 = abb$ ,  $X_2 = a$ ,  $X_3 = bab$ ,  $X_4 = baba$ ,  $X_5 = aba$
- $Y_1 = bbab$ ,  $Y_2 = aa$ ,  $Y_3 = ab$ ,  $Y_4 = aa$ ,  $Y_5 = a$
- correspondence is given by the sequence 2, 1, 1, 4, 1, 5
  - word constructed from  $X_i$ 's:
  - word constructed from  $Y_i$ 's:



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- i.e. concatenating the  $X_{i_j}$ 's and the  $Y_{i_j}$ 's gives the same result

**Example:**  $n=5$

- $X_1 = \text{abb}$ ,  $X_2 = \text{a}$ ,  $X_3 = \text{bab}$ ,  $X_4 = \text{baba}$ ,  $X_5 = \text{aba}$
- $Y_1 = \text{bbab}$ ,  $Y_2 = \text{aa}$ ,  $Y_3 = \text{ab}$ ,  $Y_4 = \text{aa}$ ,  $Y_5 = \text{a}$
- correspondence is given by the sequence  $2, 1, 1, 4, 1, 5$ 
  - word constructed from  $X_i$ 's:  $\text{a}$
  - word constructed from  $Y_i$ 's:  $\text{aa}$

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- $Y_1 = \text{bbab}$ ,  $Y_2 = \text{aa}$ ,  $Y_3 = \text{ab}$ ,  $Y_4 = \text{aa}$ ,  $Y_5 = a$
- correspondence is given by the sequence 2, **1**, 1, 4, 1, 5
  - word constructed from  $X_i$ 's:  $a\text{abb}$
  - word constructed from  $Y_i$ 's:  $aa\text{bbab}$

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- correspondence is given by the sequence 2, 1, **1**, 4, 1, 5
  - word constructed from  $X_i$ 's:  $\text{aabbabb}$
  - word constructed from  $Y_i$ 's:  $\text{aabbabbbab}$

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  - word constructed from  $X_i$ 's:  $\text{aabbabb} \text{baba}$
  - word constructed from  $Y_i$ 's:  $\text{aabbabbbab} \text{aa}$

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- correspondence is given by the sequence 2, 1, 1, 4, **1**, 5
  - word constructed from  $X_i$ 's: aabbabbbabab**abb**
  - word constructed from  $Y_i$ 's: aabbabbbabab**bbab**

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- $Y_1 = \text{bbab}$ ,  $Y_2 = \text{aa}$ ,  $Y_3 = \text{ab}$ ,  $Y_4 = \text{aa}$ ,  $Y_5 = \text{a}$
- correspondence is given by the sequence 2, 1, 1, 4, 1, 5
  - word constructed from  $X_{i_j}$ 's: aabbabbbabaabbaba
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- $Y_1 = \text{bbab}$ ,  $Y_2 = \text{aa}$ ,  $Y_3 = \text{ab}$ ,  $Y_4 = \text{aa}$ ,  $Y_5 = \text{a}$
- correspondence is given by the sequence  $2, 1, 1, 4, 1, 5$ 
  - word constructed from  $X_i$ 's: **aabbabbbabaabbaba**
  - word constructed from  $Y_i$ 's: **aabbabbbabaabbaba**

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- i.e. concatenating the  $X_{i_j}$ 's and the  $Y_{i_j}$ 's gives the same result

**Example:**  $n=5$  (with first letter from  $X_1$  and  $Y_1$  removed)

- $X_1 = bb, \quad X_2 = a, \quad X_3 = bab, \quad X_4 = bab, \quad X_5 = aba$
- $Y_1 = bab, \quad Y_2 = aa, \quad Y_3 = ab, \quad Y_4 = aa, \quad Y_5 = a$



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- $Y_1 = bab$ ,  $Y_2 = aa$ ,  $Y_3 = ab$ ,  $Y_4 = aa$ ,  $Y_5 = a$
- to get a match we must start with either 2 or 5

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- $Y_1 = bab$ ,  $Y_2 = aa$ ,  $Y_3 = ab$ ,  $Y_4 = aa$ ,  $Y_5 = a$
- suppose we start with 2
  - word constructed from  $X_i$ 's: **a**
  - word constructed from  $Y_i$ 's: **aa**

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- $Y_1 = bab$ ,  $Y_2 = aa$ ,  $Y_3 = ab$ ,  $Y_4 = aa$ ,  $Y_5 = a$
- but then must repeatedly follow with 2 as again nothing else matches
  - word constructed from  $X_i$ 's: **aa**
  - word constructed from  $Y_i$ 's: **aaaa**

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- but then must repeatedly follow with 2 as again nothing else matches
  - word constructed from  $X_i$ 's: **aaaa**
  - word constructed from  $Y_i$ 's: **aaaaaaaa**

# Post's correspondence problem (PCP)

A **word** is a finite string over some given finite alphabet

**Instance:** two finite sequences of words  $X_1, \dots, X_n$  and  $Y_1, \dots, Y_n$

- the words are all over the same alphabet

**Question:** does there exist a sequence  $i_1, i_2, \dots, i_r$  of integers chosen from  $\{1, \dots, n\}$  such that  $X_{i_1}X_{i_2}\dots X_{i_r} = Y_{i_1}Y_{i_2}\dots Y_{i_r}$ ?

- i.e. concatenating the  $X_{i_j}$ 's and the  $Y_{i_j}$ 's gives the same result

**Example:**  $n=5$  (with first letter from  $X_1$  and  $Y_1$  removed)

- $X_1 = bb$ ,  $X_2 = a$ ,  $X_3 = bab$ ,  $X_4 = bab$ ,  $X_5 = aba$
- $Y_1 = bab$ ,  $Y_2 = aa$ ,  $Y_3 = ab$ ,  $Y_4 = aa$ ,  $Y_5 = a$
- suppose we start with 5
  - word constructed from  $X_i$ 's: **aba**
  - word constructed from  $Y_i$ 's: **a**

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- suppose we start with 5 must follow with 1 to get a match
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- suppose we start with **5** must follow with **1**, then we are stuck
  - word constructed from  $X_i$ 's: **ababb**
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- follows that we can now never get a correspondence

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**Post's Correspondence Problem is undecidable**

- there is no algorithm that will either always give the right answer or always terminate

# The halting problem

---

**An impossible project: write a program  $Q$  that takes as input**

- a legal program  $X$  (say in Java)
- an input string  $S$  for program  $X$

**and returns as output**

- **yes** if program  $X$  halts (terminates) when run with input  $S$
- **no** if program  $X$  enters an infinite loop (doesn't terminate) when run with input  $S$

**It has been proved that no such program  $Q$  can exist, meaning the halting problem is undecidable**

# The halting problem

---

## Example (small) programs

```
public void test(int n){  
    if (n == 1)  
        while (true)  
            null;  
}
```

The program '**test**' will terminate if and only if input  **$n \neq 1$**

# The halting problem

## Example (small) programs

```
public int erratic(int n){  
    while (n != 1)  
        if (n % 2 == 0) n = n/2;  
        else n = 3*n + 1;  
}
```

For example if **'erratic'** is called with **n=7** sequence of values:

**22, 11, 34, 17, 52, 26, 13, 40, 20, 10, 5, 16, 8, 4, 2, 1**

Nobody knows whether **'erratic'** terminates for all values of **n**

# The halting problem – Undecidability

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A formal definition of the halting problem (HP)

**Instance:** a legal Java program **X** and an input string **S** for **X**

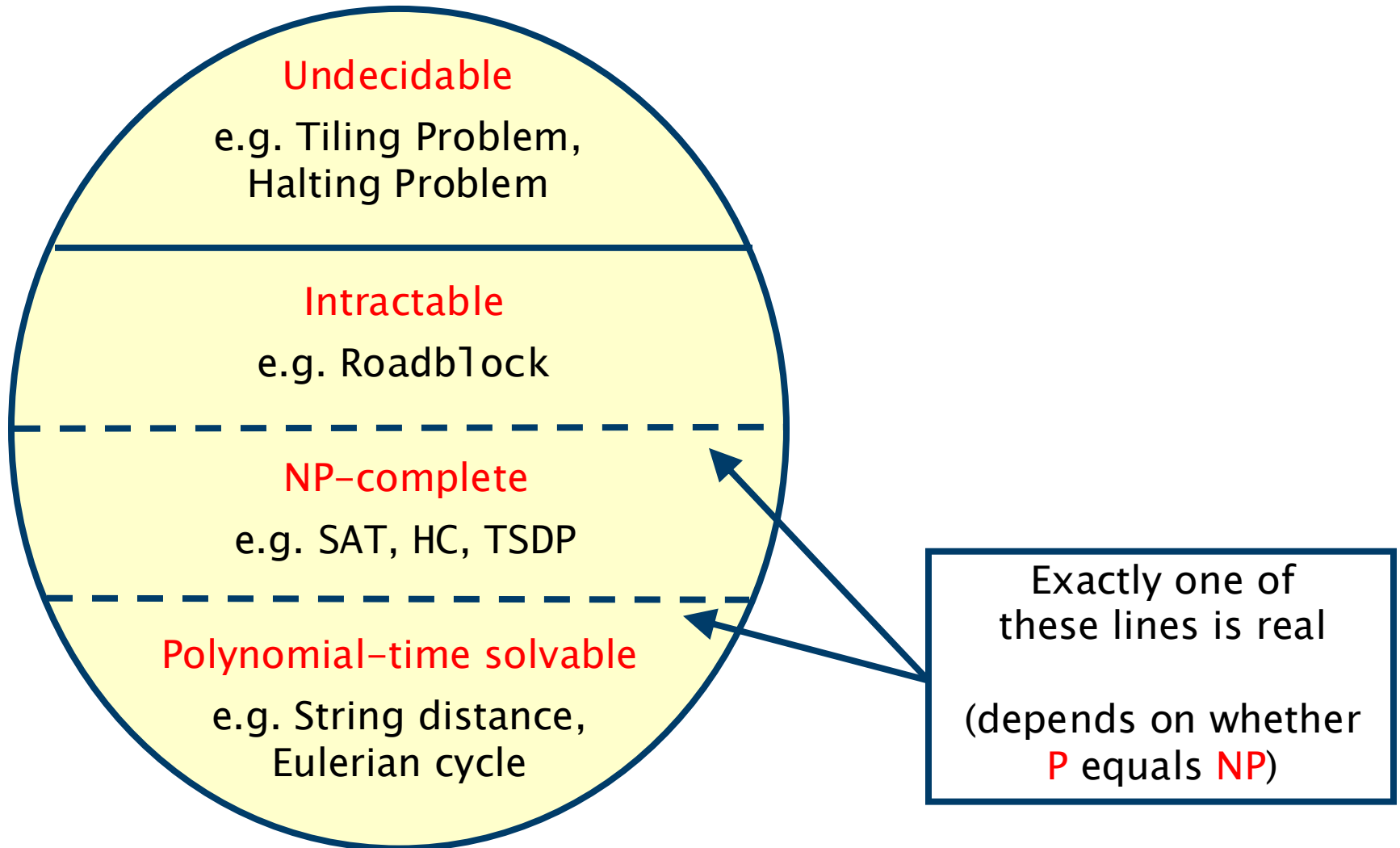
- can substitute any language for Java

**Question:** does **X** halt when run with input **S**?

**Theorem:** HP is undecidable

- proof by contradiction – at the end of these slide notes
- pre-recorded video available on Moodle
- this proof is supplementary material – non examinable

# Hierarchy of decision problems



# Section 5 – Computability

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Introduction

The halting problem

## Models of computation

- finite-state automata
- pushdown automata
- Turing machines
- Counter machines
- Church–Turing thesis



# Models of computation

---



## Attempts to define "the black box"

- we will look at three classical models of computation of increasing power
- **Finite-State Automata**
  - simple machines with a fixed amount of memory
  - have very limited (but still useful) problem-solving ability
- **Pushdown Automata (PDA)**
  - simple machines with an unlimited memory that behaves like a stack
- **Turing machines (TM)**
  - simple machines with an unlimited memory that can be used essentially arbitrarily, in any way
  - these have essentially the same power as a typical computer

# Section 5 – Computability

---

## Introduction

### Models of computation

- **finite-state automata**
- pushdown automata
- Turing machines
- Counter machines
- Church–Turing thesis

# Deterministic finite-state automata

Simple machines with limited memory which **recognise** input on a read-only tape

A DFA consists of

- a finite input **alphabet**  $\Sigma$
- a finite **set of states**  $Q$
- a **initial/start** state  $q_0 \in Q$  and set of **accepting** states  $F \subseteq Q$
- control/program or **transition relation**  $T \subseteq (Q \times \Sigma) \times Q$ 
  - $((q, a), q') \in T$  means if in state  $q$  and read  $a$ , then move to state  $q'$
- **deterministic** means that if
$$((q, a_1), q_1), ((q, a_2), q_2) \in T \text{ either } a_1 \neq a_2 \text{ or } q_1 = q_2$$
- i.e. for any state and action there is at most one move (i.e. no choice)

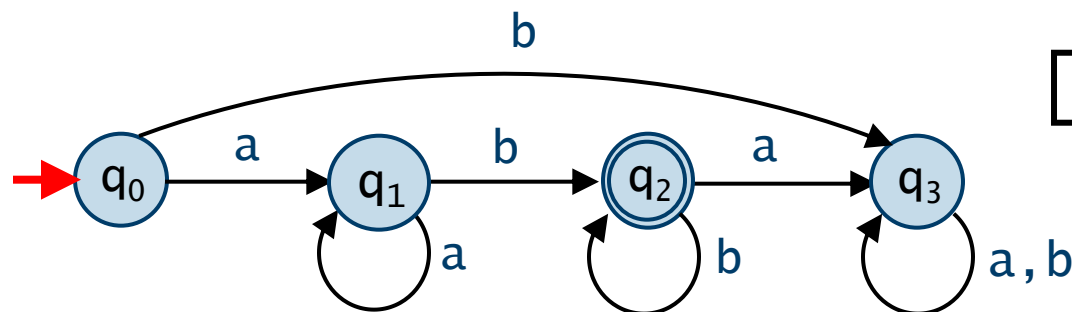
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add input tape (finite sequence of elements/actions from the alphabet)



initial state denoted by  
incomming arrow

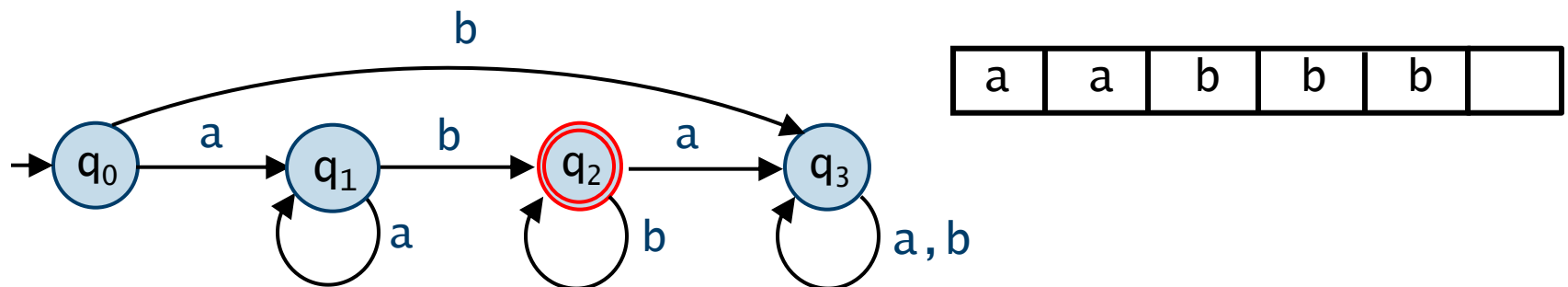
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accepting states denoted by double circles

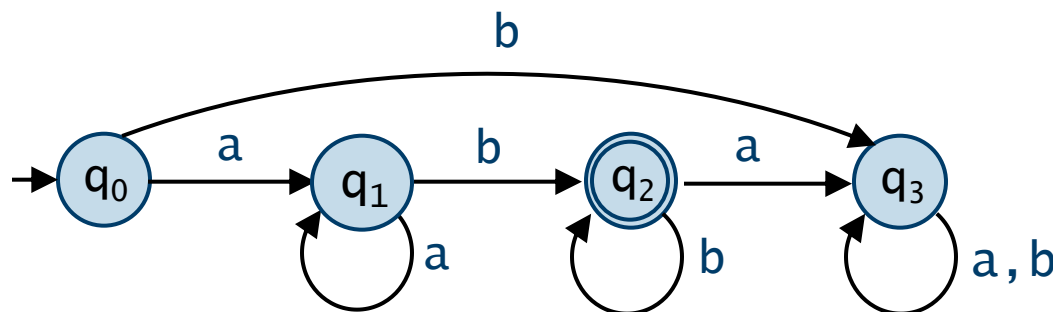
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control/program

$((q_0, a), q_1)$   
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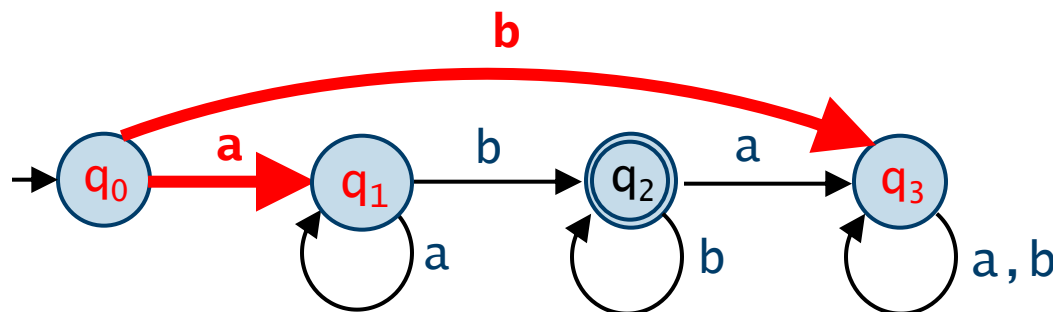
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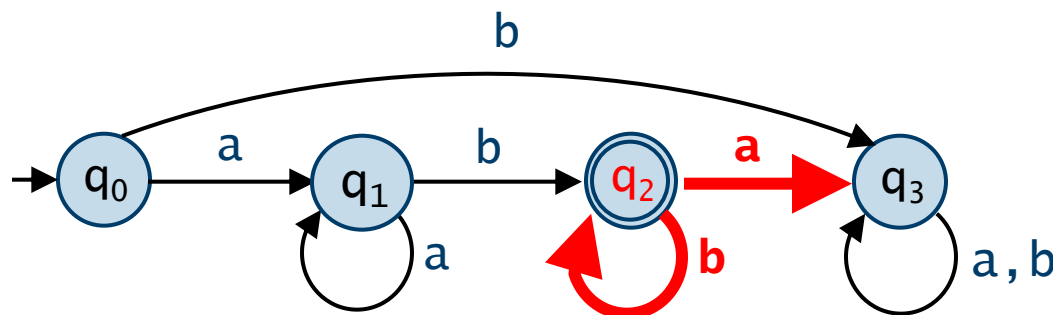
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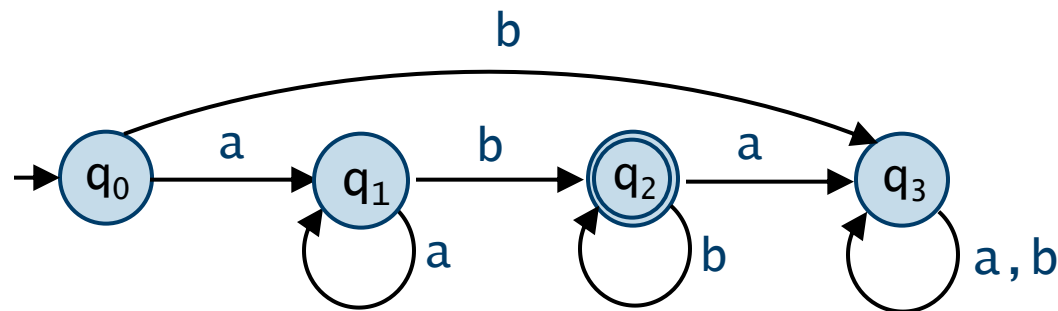
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- determines whether the string on the input tape belongs to that language
- in other words, it solves a decision problem

## More precisely a DFA **recognises** or **accepts** a language

- the input strings which when ‘run’ end in an accepting state

Question: what language does this DFA recognise?



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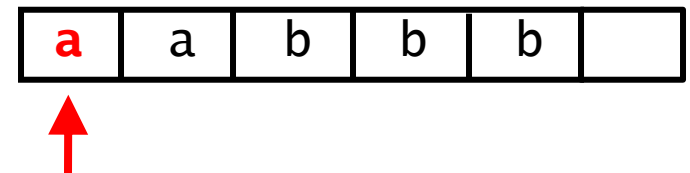
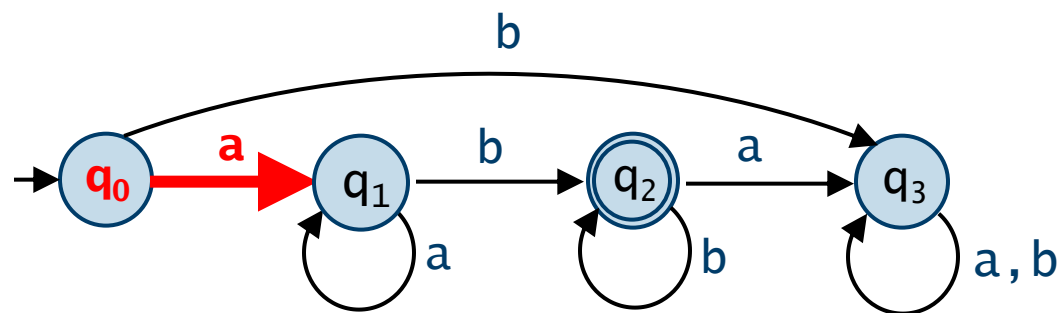
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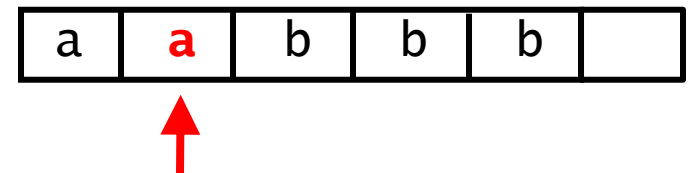
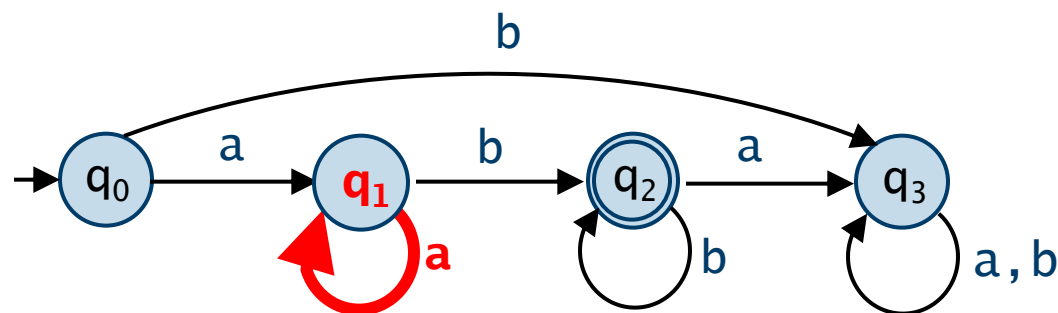
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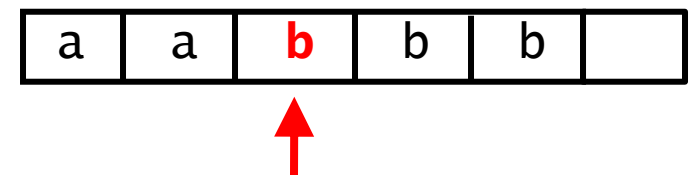
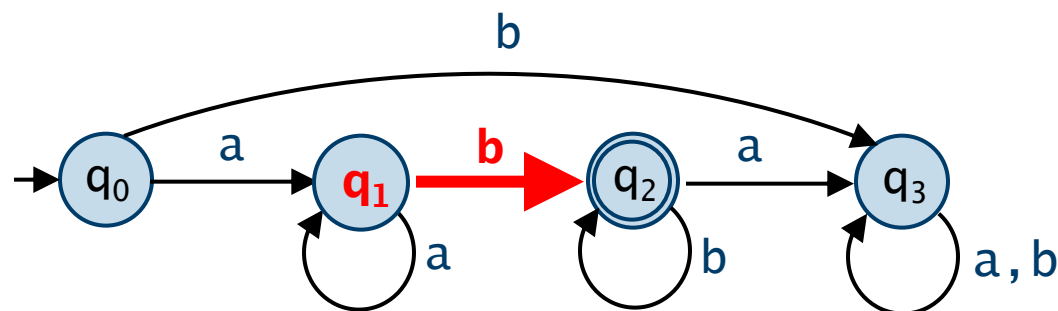
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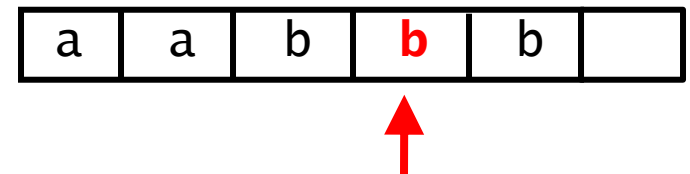
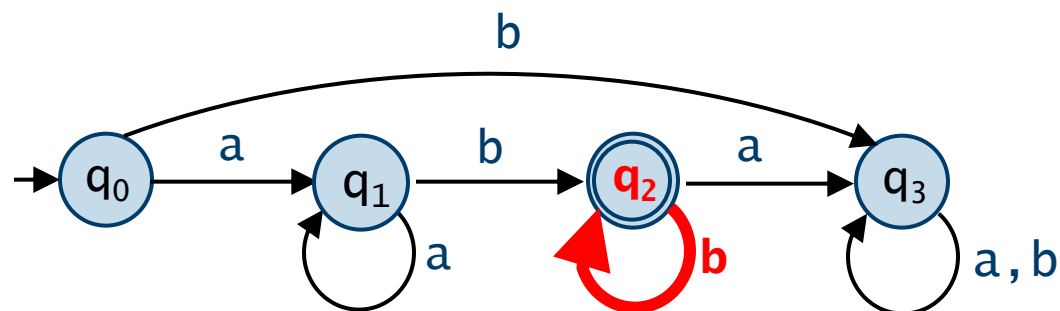
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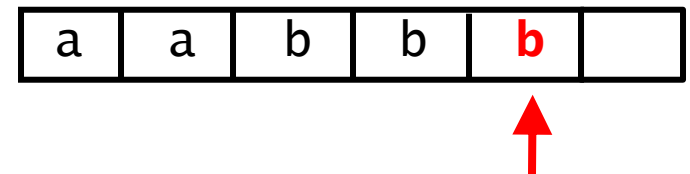
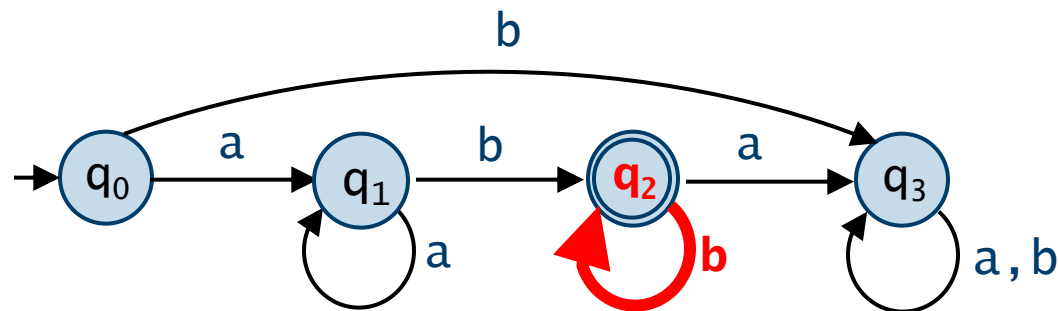
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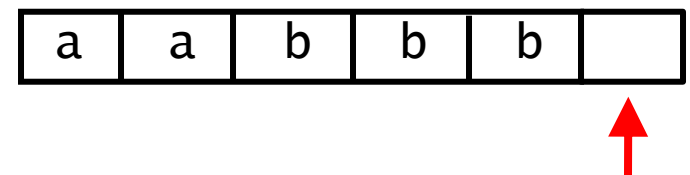
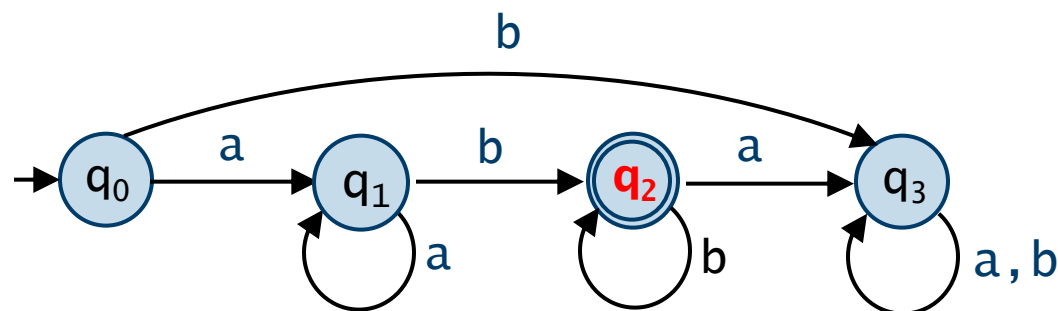
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**string is accepted**

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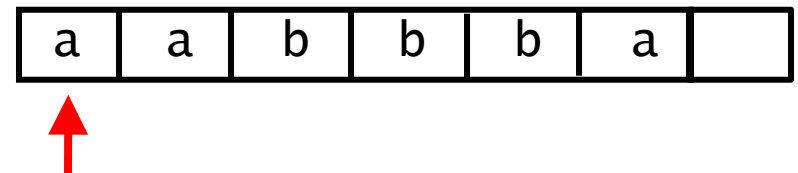
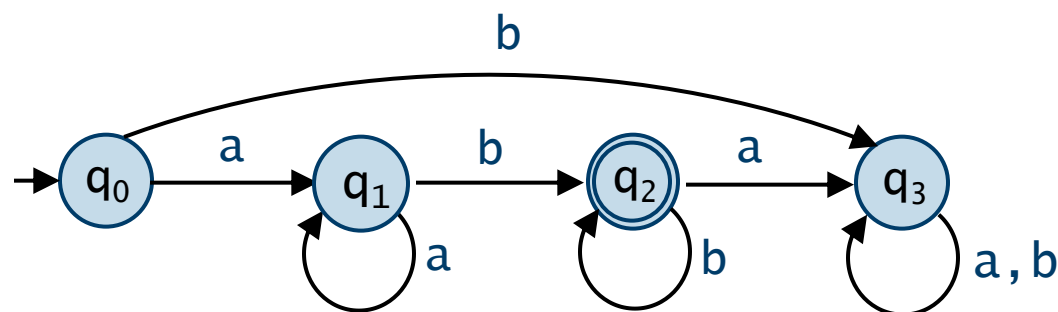
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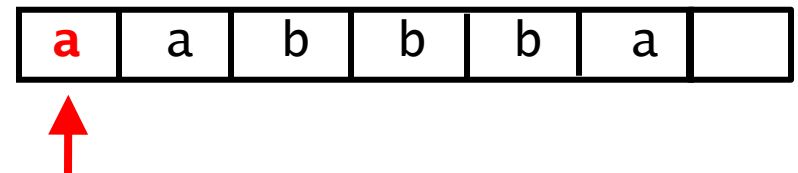
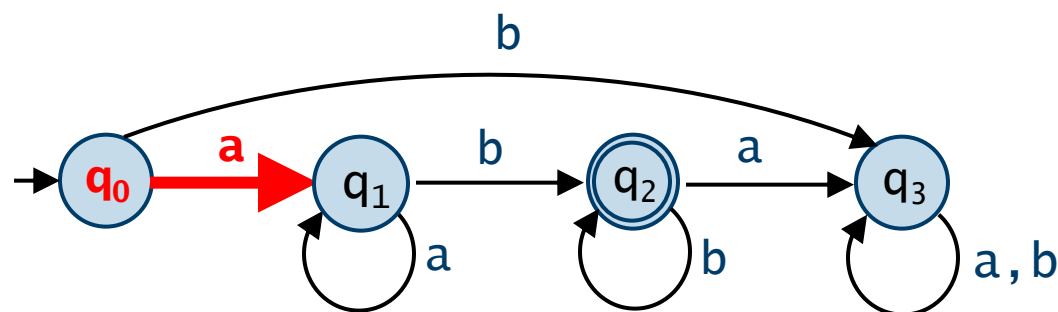
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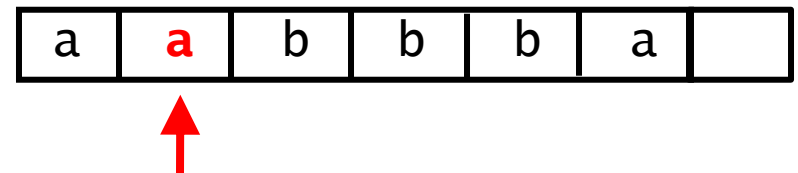
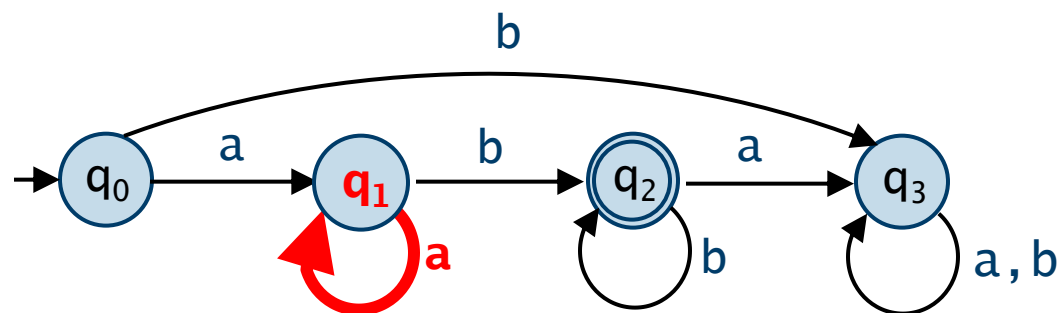
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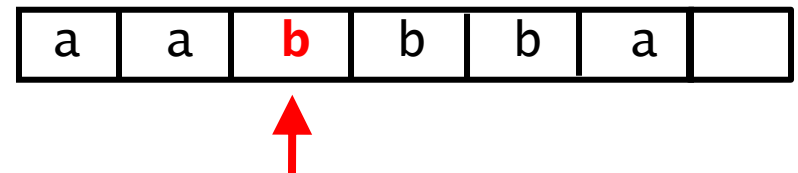
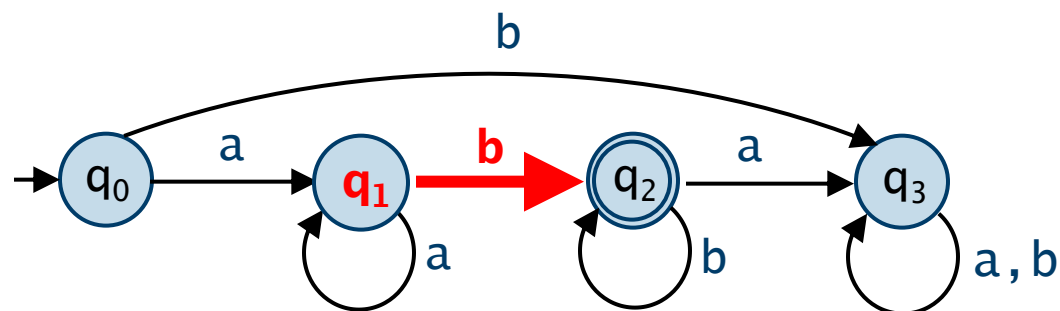
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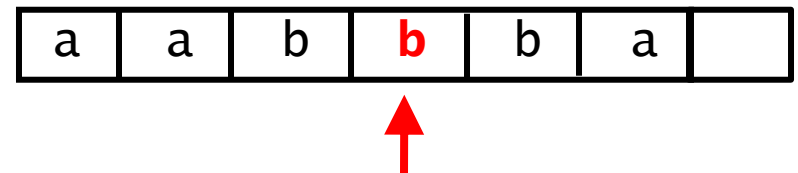
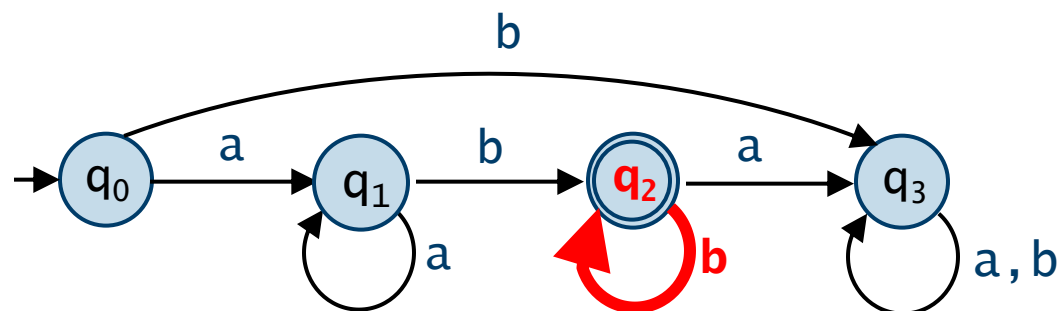
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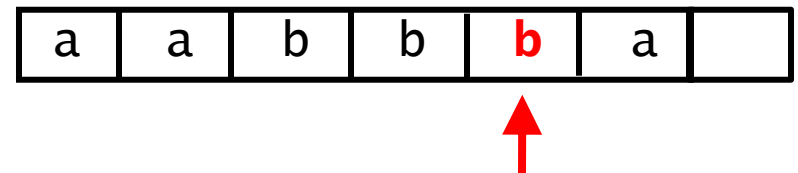
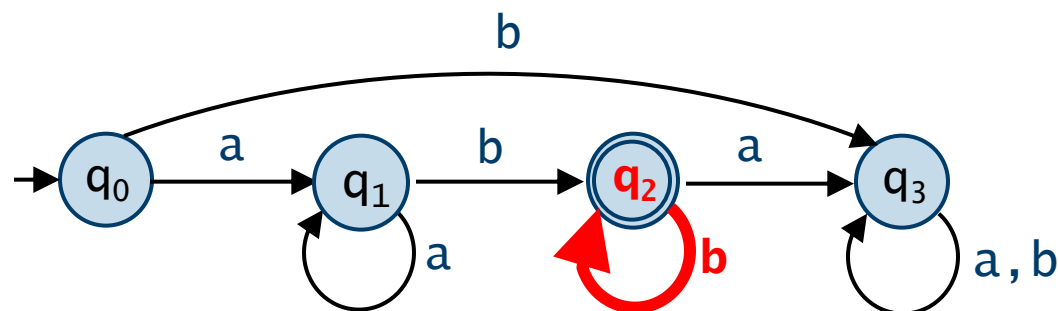
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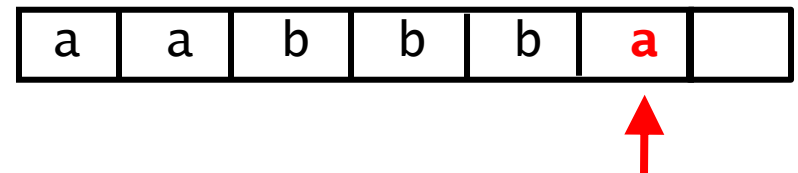
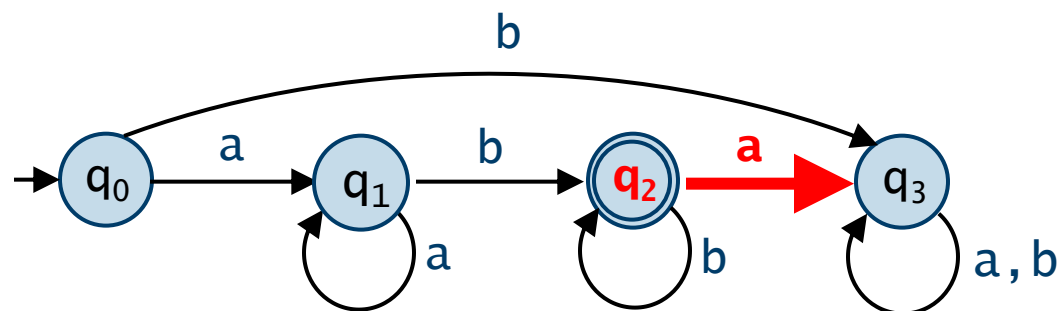
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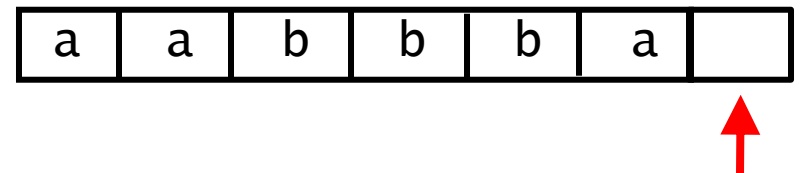
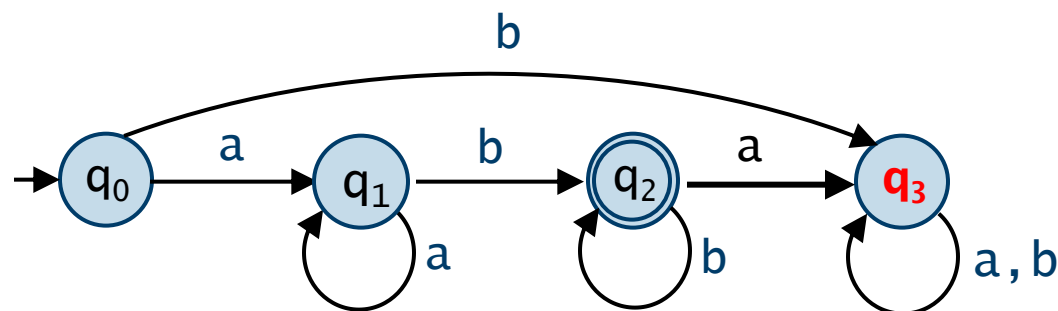
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- determines whether the string on the input tape belongs to that language
- in other words, it solves a decision problem

## More precisely a DFA **recognises** or **accepts** a language

- the input strings which when ‘run’ end in an accepting state

Question: what language does this DFA recognise?



**string is not accepted**

# Deterministic finite-state automata

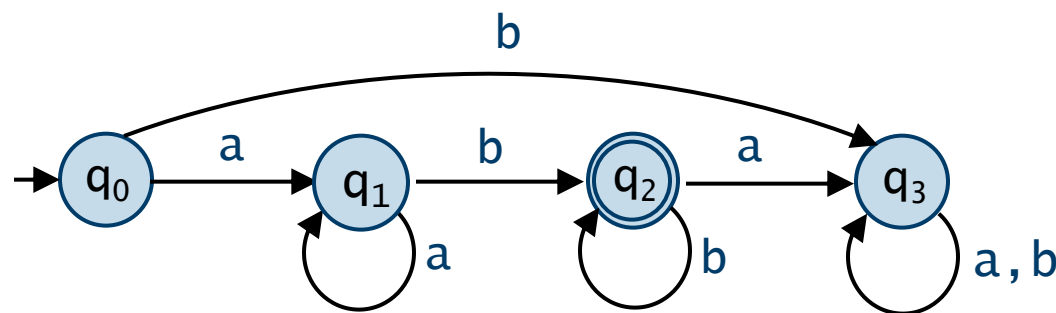
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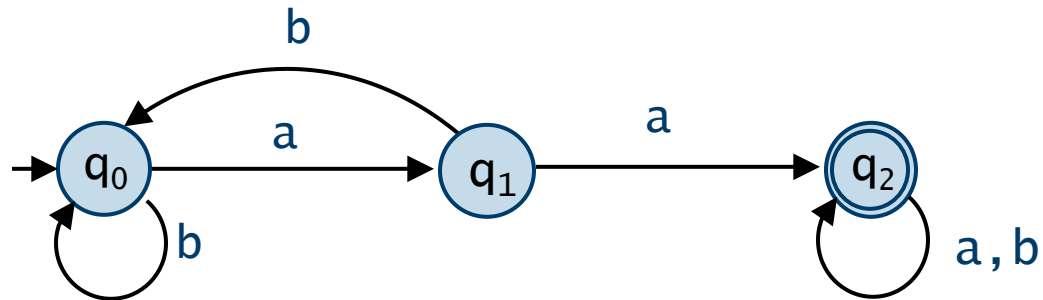


answer: the language  
consisting of the set of  
all strings comprising  
one or more **a**'s followed  
by one or more **b**'s

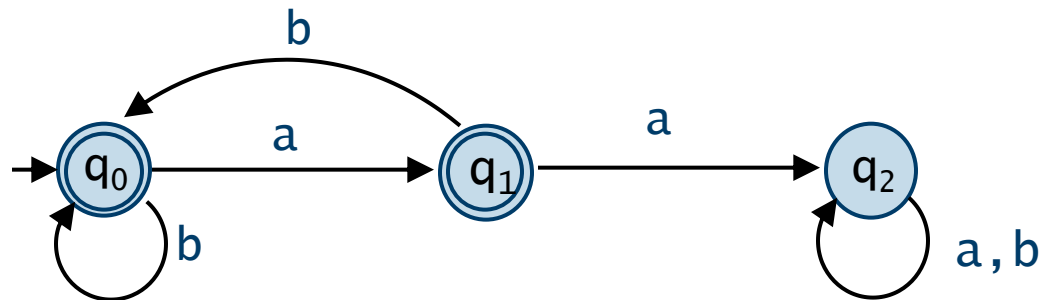


# Deterministic finite-state automata

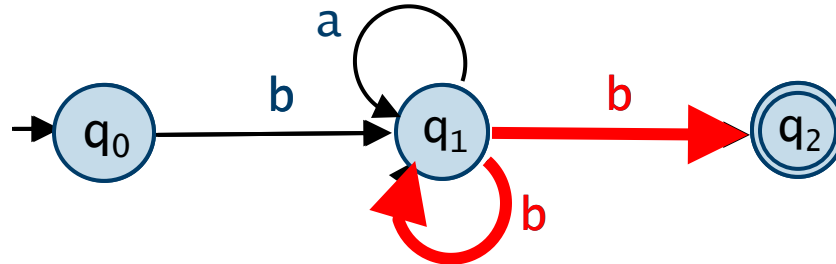
Recognises the language of strings containing two consecutive **a**'s



Recognises the complement, i.e., the language of strings that do not contain two consecutive **a**'s



# Another example



Recognises strings that start and end with **b**

However this is not a DFA, but a **non-deterministic finite-state automaton (NFA)**

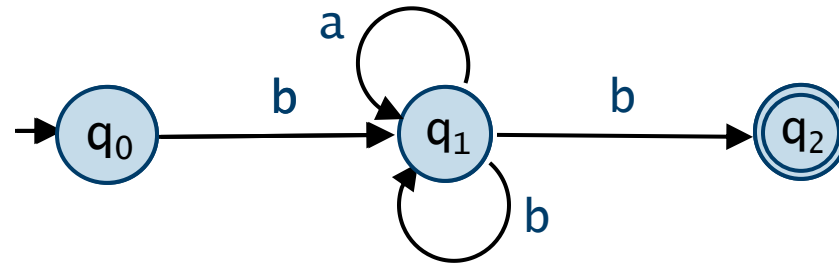
- in state **q<sub>1</sub>** under **b** can move to **q<sub>1</sub>** or **q<sub>2</sub>**

Recognition for NFA is similar to non-deterministic algorithms

“solving” a decision problem

- only require there exists a ‘run’ that ends in an accepting state
- i.e. under one possible resolution of the nondeterministic choices the input is accepted

# Another example



Recognises strings that start and end with **b**

However this is not a DFA, but a **non-deterministic finite-state automaton (NFA)**

- in state **q<sub>1</sub>** under **b** can move to **q<sub>1</sub>** or **q<sub>2</sub>**

But any NFA can be **converted** into a DFA

Therefore non-determinism does not expand the class of languages that can be recognised by finite state automata

- being able to guess does not give us any extra power

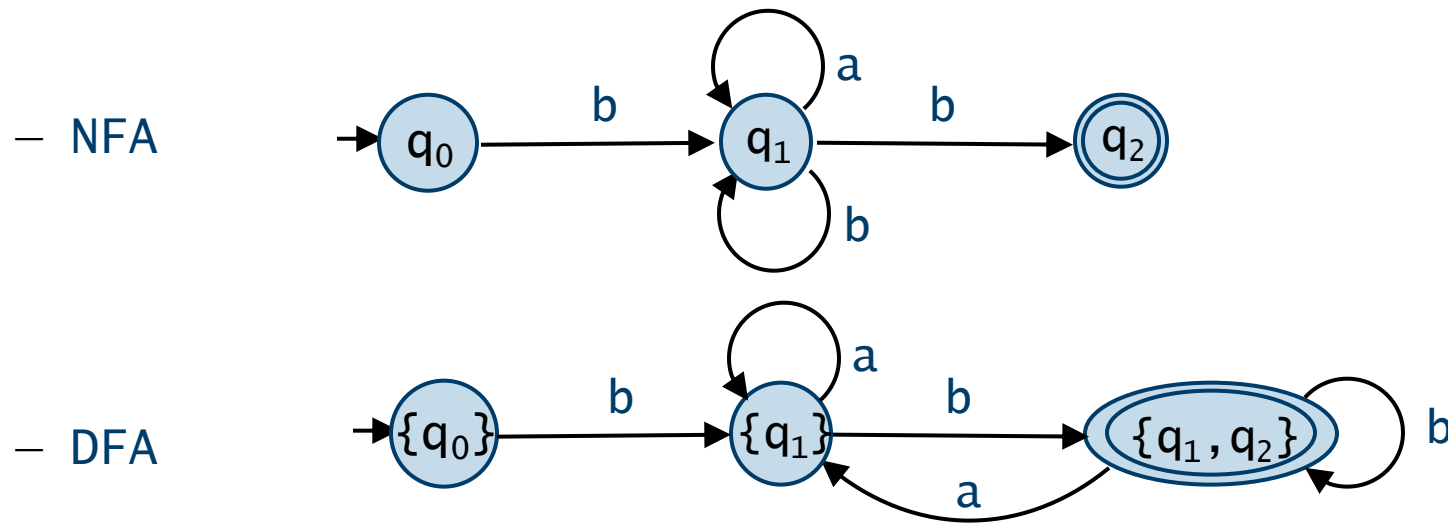
# NFA to DFA reduction

## Can reduce a NFA to a DFA using the subset construction

- states of the DFA are **sets** of states of the NFA
- construction can cause a blow-up in the number of states
  - in the worst case from **N** states to  **$2^N$**  states

## Example (without blow-up)

- recognises strings that start and end with **b**



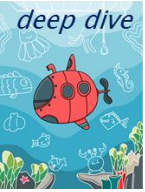
# Next time – Section 5 – Computability

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## Introduction

### Models of computation

- finite-state automata – regular languages and regular expressions
- pushdown automata
- Turing machines
- Counter machines
- Church–Turing thesis



# The halting problem

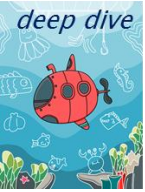
An impossible project: write a program **Q** that takes as input

- a legal program **X** (say in Java)
- an input string **S** for program **X**

and returns as output

- **yes** if program **X** halts (terminates) when run with input **S**
- **no** if program **X** enters an infinite loop (doesn't terminate) when run with input **S**

It has been proved that no such program **Q** can exist, meaning the halting problem is undecidable

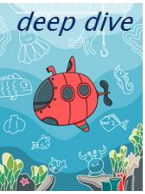


# The halting problem

## Example (small) programs

```
public void test(int n){  
    if (n == 1)  
        while (true)  
            null;  
}
```

The program '**test**' will terminate if and only if input  **$n \neq 1$**



# The halting problem

## Example (small) programs

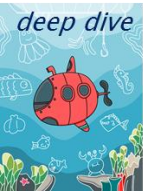
```
public int erratic(int n){  
    while (n != 1)  
        if (n % 2 == 0) n = n/2;  
        else n = 3*n + 1;  
}
```

For example if **'erratic'** is called with **n=7** sequence of values:

**22, 11, 34, 17, 52, 26, 13, 40, 20, 10, 5, 16, 8, 4, 2, 1**

Nobody knows whether **'erratic'** terminates for all values of **n**





# The halting problem – Undecidability

A formal definition of the halting problem (**HP**)

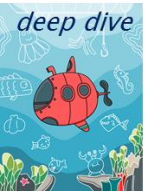
**Instance:** a legal Java program **X** and an input string **S** for **X**

- can substitute any language for Java

**Question:** does **X** halt when run with input **S**?

**Theorem:** **HP is undecidable**

- proof by contradiction in the following slides
- this is non examinable



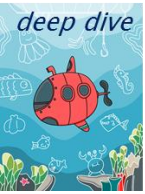
# Proving undecidability by reduction

Suppose we can reduce any instance  $I$  of  $\Pi_1$  into an instance  $J$  of  $\Pi_2$  such that

- $I$  has a 'yes'–answer for  $\Pi_1$  if and only if  $J$  has a "yes"–answer for  $\Pi_2$  (like PTRs but no need for  $J$  to be constructed in polynomial time, just need to be able to construct  $J$ )

If  $\Pi_1$  is undecidable and we can perform such a reduction, then  $\Pi_2$  is undecidable

- proof by contradiction
- suppose  $\Pi_2$  is decidable
- then using this reduction we can decide  $\Pi_1$
- this is a contradiction since  $\Pi_1$  is undecidable, therefore  $\Pi_2$  cannot be decidable



# The halting problem – Undecidability

A formal definition of the halting problem (HP)

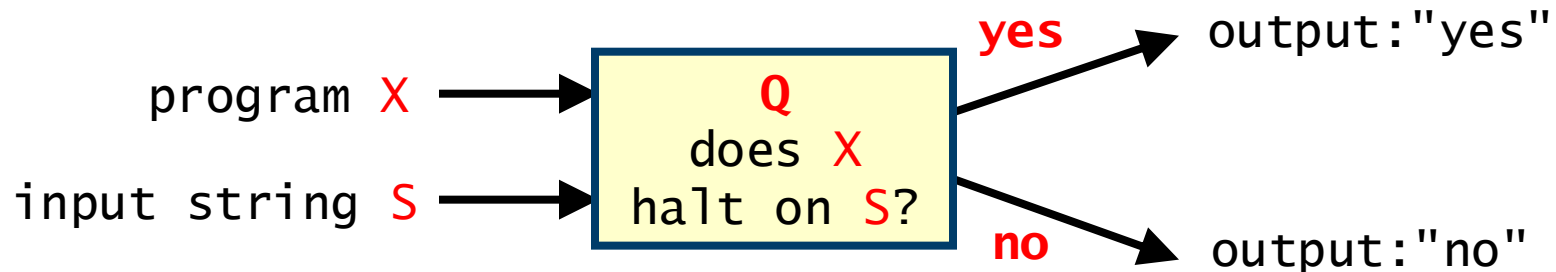
**Instance:** a legal Java program **X** and an input string **S** for **X**

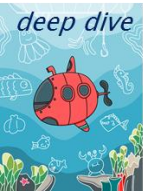
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**Theorem:** HP is undecidable proof (by contradiction):

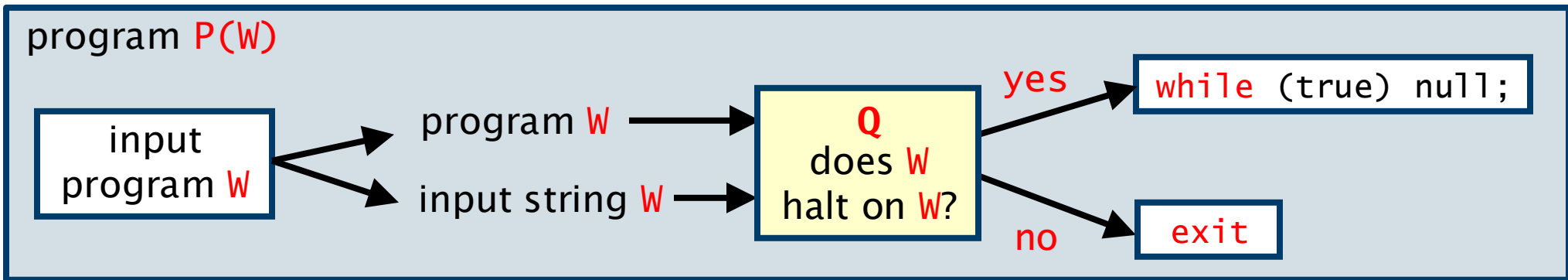
- suppose we have an algorithm **A** that decides (solves) HP
- let **Q** be an implementation of this algorithm as a Java method with **X** and **S** as parameters



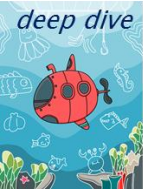


# The halting problem – Undecidability

Define a new program **P** with input a legal program **W** in Java



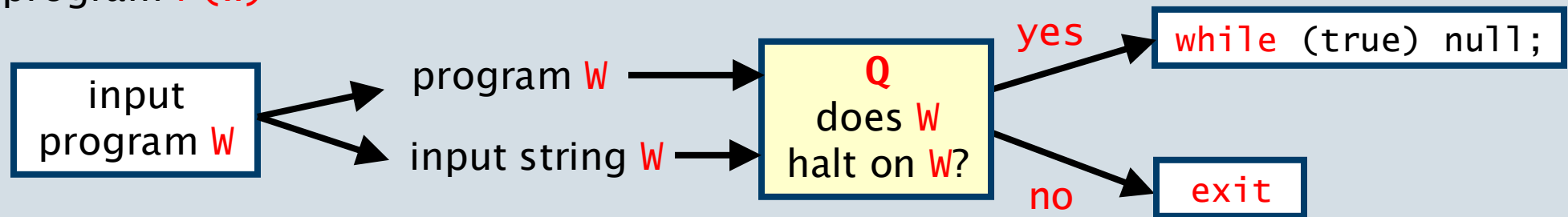
- **P** makes a copy of **W** and calls **Q(W,W)**
- **Q** terminates by assumption, returning either "**yes**" or "**no**"
- if **Q** returns "**yes**", then **P** enters an infinite loop
- if **Q** returns "**no**", then **P** terminates



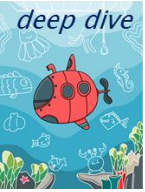
# The halting problem – Undecidability

Define a new program **P** with input a legal program **W** in Java

program **P(W)**



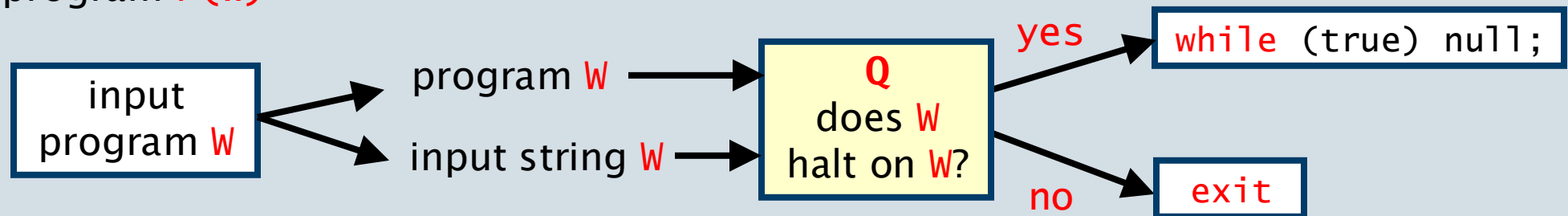
Now let the input **W** be the program **P** itself



# The halting problem – Undecidability

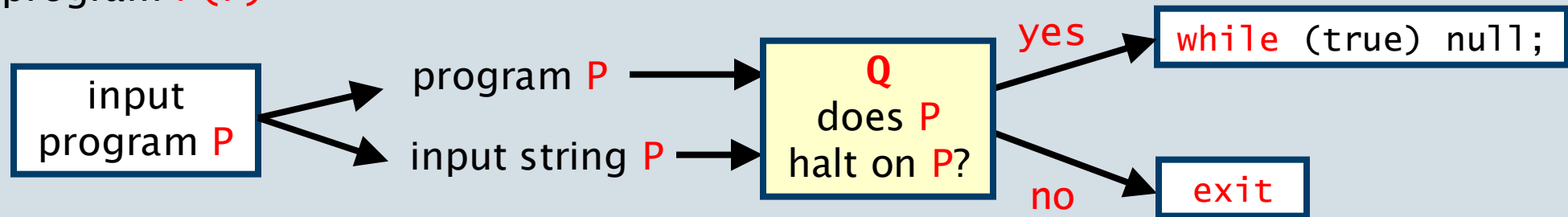
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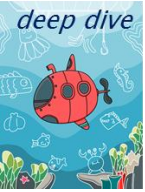
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Now let the input **W** be the program **P** itself

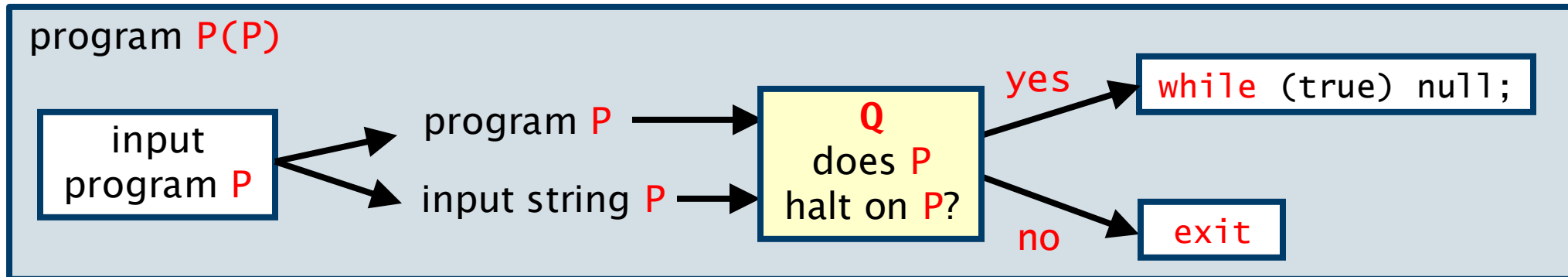
program **P(P)**





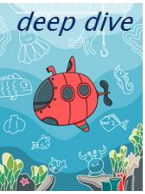
# The halting problem – Undecidability

Now let the input **W** to **P** be the program **P** itself



**P** calls **Q(P, P)**

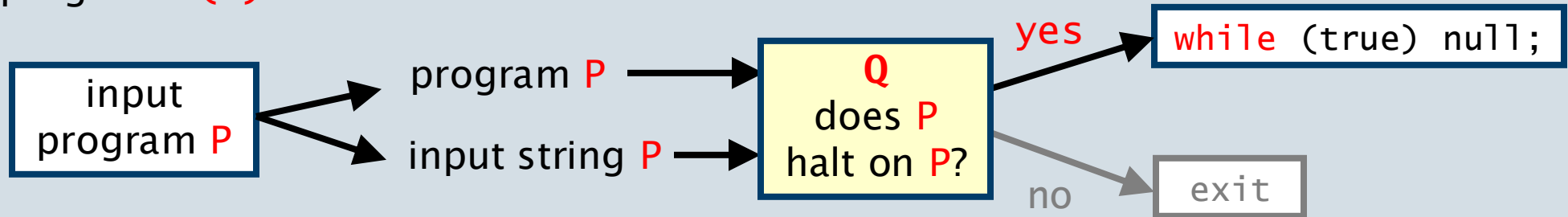
- **Q** terminates by assumption, returning either "**yes**" or "**no**"
- recall we have assumed **Q** solves the halting problem



# The halting problem – Undecidability

Now let the input **W** to **P** be the program **P** itself

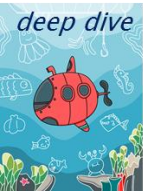
program **P(P)**



**P** calls **Q(P, P)**

- **Q** terminates by assumption, returning either "**yes**" or "**no**"
- recall we have assumed **Q** solves the halting problem
- suppose **Q** returns "**yes**", then by definition of **Q** this means **P** terminates
- but this also means **P** does not terminate (it enters the infinite loop)
  - this is a **contradiction**: **P** terminates and **P** does not terminate!
- therefore **Q** can't return "**yes**" and must return "**no**"

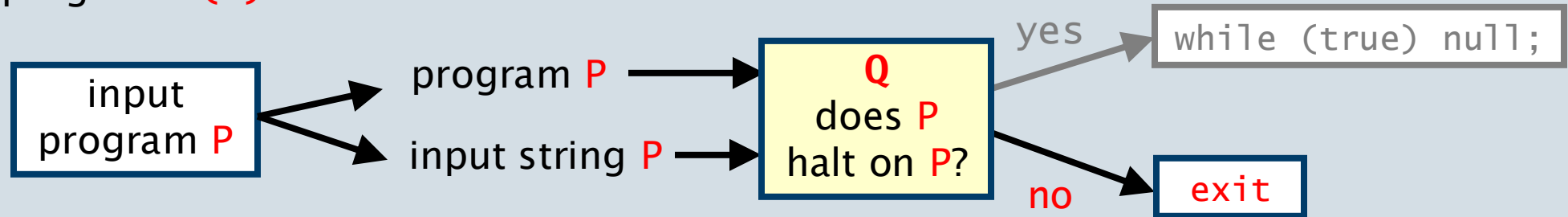




# The halting problem – Undecidability

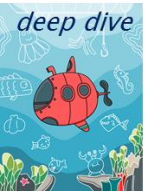
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program **P(P)**



**P** calls **Q(P, P)**

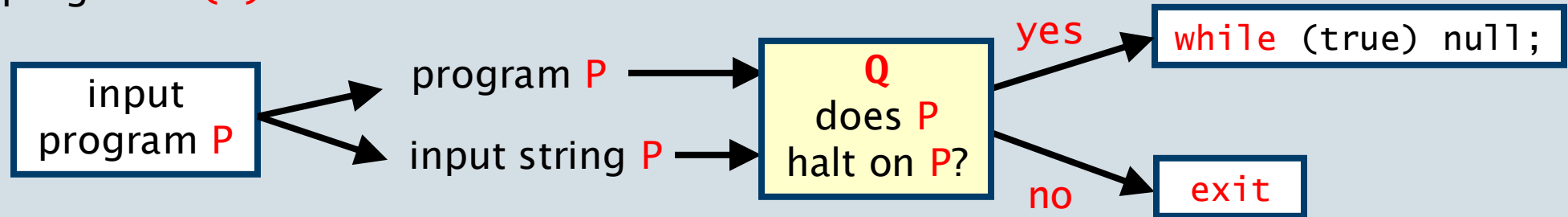
- **Q** terminates by assumption, returning either "**yes**" or "**no**"
- recall we have assumed **Q** solves the halting problem
- therefore **Q** must return "**no**"
- this means by definition of **Q** that **P** does not terminate
- but this also means **P** does terminate by construction with an **exit**
- so again a **contradiction**



# The halting problem – Undecidability

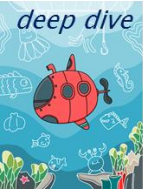
Now let the input **W** to **P** be the program **P** itself

program **P(P)**



**P** calls **Q(P, P)**

- **Q** terminates by assumption, returning either "**yes**" or "**no**"
- recall we have assumed **Q** solves the halting problem
- therefore **Q** can return neither "**yes**" nor "**no**"
- meaning no such program **Q** can exist
- if no such **Q** can exist, then no algorithm can solve the halting problem
- hence the problem is **undecidable**



# The halting problem – Undecidability

## To summarise the proof

- we assumed the existence of an algorithm **A** that solved HP
- implemented this algorithm as the program **Q**
- then constructed a program **P** which contains **Q** as a subroutine
- showing that if **Q** gives the answer "**yes**", we reach a contradiction
- so **Q** must give the answer "**no**", but this also leads to a contradiction
- the contradiction stems from assuming that **Q**, and hence **A**, exists
- therefore no algorithm **A** exists and HP is undecidable

Notice we are not concerned with the complexity of **A**, just the existence of **A**