Caregiver reconstruction of children's errors: The preservation of complexity in patterned

systems

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12   Abstract

13   Why do languages change? One possibility is they evolve in response to two competing

14   pressures: (1) to be easily learned, and (2) to be effective for communication. In a number of

15   domains, variation in the world's natural languages appears to be accounted for by different

16   but near–optimal tradeoffs between these two pressures. Models of these evolutionary

17   processes have used transmission chain paradigms in which errors of learning by one agent

18   become the language input for the subsequent generation. However, a critical feature of

19   human language is that children do not learn in isolation. Rather, they learn in

20   communicative interactions with caregivers who draw inferences from their errorful

21   productions to their intended interests. In a set of iterated reproduction experiments with

22   both children and adults, we show that this supportive context can have a powerful

23   stabilizing role in the development of artificial patterned systems, allowing them to achieve

24   higher levels of complexity than they would by vertical transmission alone. Yet, the systems

25   retain equivalent transmission accuracies—they are equally easy to transmit to the new

26   generation. Thus, the caregiver plays a dual role as both a teacher and a protector of the

27   patterned system as whole, facilitating its evolution to an optimal balance of learnability and

28   communicability

29   *Keywords:* keywords

30   Word count: X

Caregiver reconstruction of children's errors: The preservation of complexity in patterned systems

The languages we speak today are not the same as the ones we spoke 300 years ago. Nor are they the same as the ones we spoke 500, 1000, or 2000 years ago. Why do languages change, aside from acquiring new vocabulary? One working theory is that they evolve to adapt to two dynamic competing pressures: (1) to be easily learned and transmitted, and (2) to be effective for communication (Kirby, Tamariz, Cornish, & Smith, 2015).

While children are often the actors who drive language evolution (Senghas, 2003), they differ from adults in their cognitive capabilities (Kempe, Gauvrit, & Forsyth, 2015), interests and early vocabularies, and conversation partners. As early language producers who are inundated with new information each day, children may be particularly biased towards simplification (Senghas, 2003). Indeed, when children are learning language, they often make simplification errors (Bowerman, 1982). This reflects the influence of the transmissibility pressure – children may latch onto word-forms which are simpler and thus easier to acquire. For example, if a child is asking for her bottle, she may be unable to produce the canonical label "bottle", and may produce the simplified form, "baba", instead. If this child grew up without competent speakers of the language and, unlike an average child, failed to have multiple opportunities to acquire the correct label, it is possible that she would retain and reproduce "baba", even to her children in the future. In this way, her error is retained in the language and sustained over generations. With too many of these simplification errors, however, a language can lose its ability to be effective for communication (Kempe et al., 2015). What enables languages to retain their communicative utility and expressivity in the face of these learnability pressures?

Children do not learn language in isolation, but they communicate with fluent speakers of the language–their parents and caregivers. Caregivers are able to combine their children's productions with both their own knowledge of the language as well as their knowledge of

57 their children. This enables caregivers to be excellent interpreters of child utterances

58 (Chouinard & Clark, 2003). Their interpretation skills may be a form of scaffolding for their

59 children's language learning (Lustigman & Clark, 2019). Parents are able to successfully

60 interpret a more simplified utterance, thus shouldering some of the complexity of the

61 language – for a short time. Our hypothetical child may continue to call a bottle "baba"

62 until she can handle the cognitive load of "bottle", and her caregivers will support this

63 learning in multiple ways.

64   Caregivers, through their explicit interventions as well as their implicit modeling of

65 correct language, may be scaffolding their children's language-learning, by providing a space

66 for their children to simplify, as well as by re-introducing complexity into their

67 communications. Adults can explicitly correct their children's language errors in various

68 ways (e.g., by interruptions or repeating the correct word/grammatical form; Penner, 1987).

69 Yet, children primarily learn language through listening to others talk, rather than explicit

70 instruction (Romberg & Saffran, 2010). Thus, parents' modeling of accurate language

71 constructions can have a powerful effect on reducing children's language errors: over time,

72 children fix their own mistakes because they have had multiple opportunities to learn correct

73 constructions from their caregivers (Hudson Kam & Newport, 2005). By way of this

74 feedback, both implicit and explicit, children's simplification errors are corrected, and

75 children are able to acquire adult-like speech. Eventually, when a child becomes an adult,

76 they will not transmit the errors they previously had, but the correct forms they learned

77 from their caregivers – as long as learning the correct forms is useful and necessary. Thus,

78 over the course of a lifetime, the child language learner grows to become a parent language

79 teacher, correcting their own children's errors. These error reconstructions may be a

80 mechanism by which more complexity is retained in language over many lifetimes than

81 children could sustain alone.
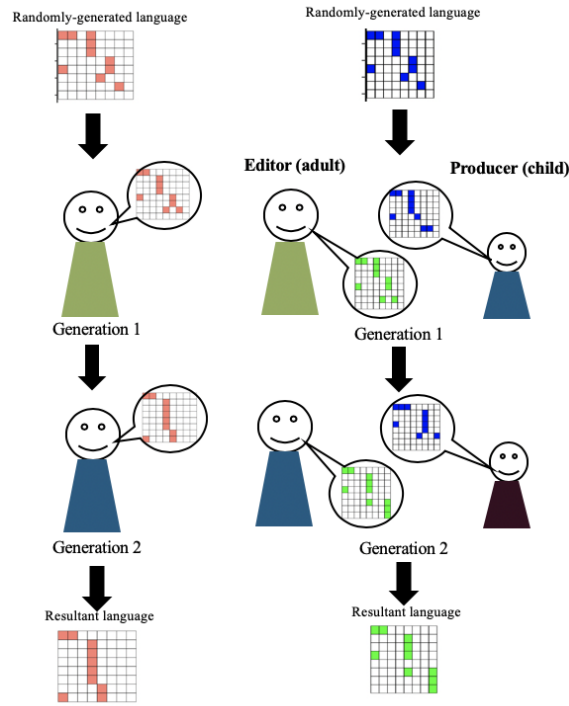
Figure 1. Experiments 1a, 2a, and 3a follow the conventional diffusion chain (iterated learning) paradigm, where a novel language is transmitted vertically through successive learning and recall. In Experiments 1b, 2b, and 3b, an element of horizontal transmission is added to the paradigm: novel language producers' reproductions are subject to alterations from a secondary participant, whose input is passed to the subsequent generation.

Using iterated reproduction to study language change

To model the impact of these competing pressures on language evolution in the laboratory, we use a diffusion chain paradigm developed by (Kirby, Cornish, & Smith, 2008). In this paradigm, one participant is trained on a randomly-generated language – e.g, a set of words created by arbitrarily pairing syllables together. The participant is later asked to recall the language, but inevitably makes some errors. Their errorful output becomes the training input for the subsequent participant, forming a transmission chain. This iterated learning process models the transmission of language over generations, with each participant unintentionally changing the language through their memory biases. The errors produced by

participants reflect their memory or inductive biases–essentially, when the participant makes a mistake or mis-remembers, they rely on what they expect to see (Kalish, Griffiths, & Lewandowsky, 2007).

This paradigm has been used productively across a number of studies of cross-generational transmission in adults (Christiansen & Kirby, 2003; Kirby, Dowman, & Griffiths, 2007; Kirby, Griffiths, & Smith, 2014; Smith & Wonnacott, 2010), and children (Kempe et al., 2015; Raviv & Arnon, 2018). Various recent studies have also compared languages evolved over multiple generations (vertical transmission) to languages evolved by iterated use in the same conversational partners (horizontal transmission; Kirby et al., 2015). Indeed, research has shown that horizontal interaction between participants, specifically repair, does affect the language evolution process, resulting in increased communicative efficiency. However, repair's effects on communicative success (accuracy) are unclear (Micklos, Macuch Silva, & Fay, 2018). Typically, participants in horizontal transmission scenarios had similar levels of knowledge and similar cognitive constraints. This is different from children, who learn language in asymmetric knowledge situations, where their parent both knows more language and has an adult cognitive and executive-functioning (working-memory) system (1). We predict that this asymmetry may have a unique role in the evolution of language, allowing it to resist some of the simplifying pressure of transmissibility through adults' ability to maintain complexity while their children develop.

We adapted Kempe et al. (2015)'s non-linguistic iterated reproduction paradigm, as it has been used successfully with children (a similar task was used in non-human primates by Calidière, Smith, Kirby, & Fagot, 2014). This paradigm uses a stimulus set of novel grid patterns, which are akin to language in that they are patterned, structured systems–just as early language learning is attuning to and recognizing patterns in sound (Yurovsky, Yu, & Smith, 2012). We therefore use this paradigm, adapted to an iPad and Amazon Mechanical Turk format, to model the effect of introducing a secondary, error-correcting participant on

the evolution of language. We hypothesize that these error-correctors (analogous to caregivers and teachers) are important not only to an individual's successful language acquisition, but also to the evolution of the language as a whole. This is because those who correct mistakes and provide feedback are able to protect against the strong transmissibility bias in early language producers by re-introducing and preserving complexity.

All experiments included in this paper were IRB-approved and were preregistered on Open Science Framework, and all tasks, data, and analysis code are available on Github (FOOTNOTE; see page XX for links).

Experiment 1a: Replicating Kempe et al. (2015)

We began by replication Kempe et al. (2015)'s experiment using a nonlinguistic stimulus to study the evolution of structure in an artificial symbolic system. Our motivations for using this paradigm were twofold. First, the stimuli lent themselves to algorithmic quantification of complexity. Second, Kempe et al. (2015) used this paradigm successfully with children, and our goal was to test our hypotheses not just in adult-adult chains, but also in child-child and child-adult chains (Experiments 3a and 3b). We chose to use the diffusion chain paradigm for six transmission generations. Although Kempe et al. (2015) original study used ten transmission generations, the results appeared to approach stable levels of complexity at six generations, so this number was chosen as our starting point.

Participants. Participants were 125 adults recruited on Amazon Mechanical Turk. Because five users failed to meet inclusion criteria, a larger number of participants was required to obtain the planned sample of 120. These participants were members of one of twenty diffusion chains, each of which had six generations. Each participant gave informed consent. The task was approximately eight minutes long, and subjects were compensated $0.50 for their participation.

Design and Procedure. Participants were asked to re-create patterns on a grid. Subjects were informed that they would see a target grid appear on their computer screen

for ten seconds, followed by a picture (visual mask) displayed for three seconds. After the

visual mask, participants viewed a blank 8x8 grid where they were given one minute to

re-create the target grid (see **??**). A visual mask was used to ensure that the participants

were storing the target patterns in working memory, rather than sensory memory (i.e., they

were not re-producing the patterns from a transitory image; Phillips, 1974). Participants

could click on any cell in the grid to change its color and could also remove any color placed.

A counter on the screen showed how many cells had been colored, and it varied dynamically

with the participant's clicks. After placing 10 colored blocks (called "stickers" in the

experiment), participants could click a button to advance to the next trial (See Appendix

Figures XXXXX for example grids). A timer was displayed on the screen, and participants

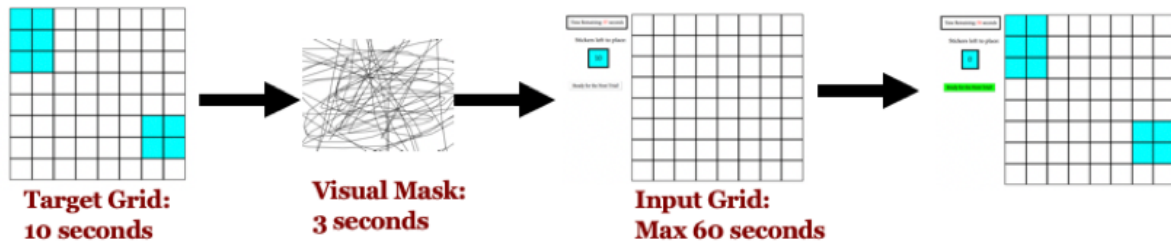were given an audio cue when they had fifteen seconds left.

Figure 2. XXX shows the experimental task (training trial shown) for experiments 1a, 2a, and 3a, as well as for producers in 1b, 2b, and 3b.

After completing one training and three practice trials, each participant completed 6

experiment trials. During the experimental trials, there was an additional display on the

screen which informed the participant of how many trials they had left. Throughout the

experimental trials, participants heard various engaging audio cues, including "You're doing

great, keep it up!", "You're halfway there!", and "Just one more to go!". These were added

to the task to add an additional level of engagement for data collection with children

(Experiments 3a and 3b). Participants in the first generation of each chain received the same

initial grid patterns. These initial 8x8 grids were generated by randomly selecting 10 of the

64 possible cells to be filled using a random-number generator. Participants in subsequent generations received as their targets the outputs produced by the previous participant in their chain.

Prior to the experimental trials, all participants received the same training and practice trials. In the preliminary training trial, subjects viewed two 8x8 grids side-by-side and were instructed to make the blank grid on the right match the target grid on the left. Participants were unable to progress to the practice and experimental trials without reaching perfect accuracy on this first trial. The three practice trials followed the format in Figure 2; however, the target patterns were simpler to reproduce. Participants were required to meet a set of attention criteria for their data to be included in the transmission chain. If the participant scored less than 75% accuracy on the last two practice trials, or if they failed to select 10 cells before time ran out, their outputs were not transmitted to the next generation.

Analysis Our primary measures of interest were reproduction accuracy and pattern complexity. Reproduction accuracy served as a proxy for transmissibility – higher reproduction accuracies indicated that the language was easier to learn. Reproduction accuracy was computed as the proportion of targets out of 10 placed in the exact same location on the target and input grids. This measure of accuracy did not count for the degree of error made by a participant–if they only misplaced a block by one unit, it was counted as incorrect, just as if they had misplaced the block by more than one unit.

Complexity served as a proxy for expressiveness. The ideal mechanism for measuring complexity is still contested, therefore, we followed Kempe et al. (2015) in using several metrics: algorithmic complexity, chunking, and edge length. Algorithmic complexity was calculated using the Block Decomposition Method, a measure of Kolmogorov-Chaitin Complexity applied to 2-dimensional patterns (Feldman, 2006; Zenil, Soler-Toscano, Dingle, & Louis, 2014). This measure computes the length of the shortest Turing machine program required to produce the observed pattern. The shorter the program, the simpler the pattern.

Chunking is the number of groups of colored blocks which share an edge. The more groups of blocks, the easier the pattern is to transmit, and the lower its complexity. Edge length is the total perimeter of the colored blocks and is similar to chunking. Implementation of these metrics was adapted from code provided by Gauvrit, Soler-Toscano, and Guida (2017). While algorithmic complexity was our primary dependent variable of interest, chunking and edge length served as additional measures to check the reliability of the Block Decomposition Method.

Results – FIX & PUT IN PLOTS

If iterated learning captures the hypothesized pressures of learnability and expressiveness, we predict that reproduction accuracy should increase, and complexity should decrease over generations. We tested these predictions with mixed–effects logistic regressions, first beginning with the most maximal model, and then reducing the model by first removing slopes, and then removing intercepts until the model converged. Our final model predicted accuracy and all three measures of complexity separately from fixed effects of generation and trial number, and random intercepts for participant and initial grid (e.g. accuracy - generation + trial + (1|subject) + (1|initialGrid)). Reproduction accuracy increased significantly over generations (Figure 3; $\beta = 0.033$, t = 3.146, p = .002). Complexity on all three measures (algorithmic complexity, chunking, and edge length) decreased significantly over generations, as shown in Figure 4 ($\beta_{BDM} = $ -3.219, t = -6.696, p = < .001; $\beta_{chunking} = $ -0.35, t = -6.499, p = < .001; $\beta_{edge} = $ -0.763, t = -6.662, p = < .001). Trial number, or how far along the subject was in the task, was not a significant predictor in any model.

Experiment 1b: Introducing an Editor

This project aims to model the effects of the simplicity pressure present in language learning–retaining too much complexity in language is infeasible and unproductive. Without any scaffolds, adults are unable to retain the original complexity of the symbol system, as shown in Experiment 1a–instead, the patterns simplify to a more easily-transmissible level of

²¹⁴ complexity. What happens if scaffolding supports are introduced into the transmission

²¹⁵ process, just as they are in real-life with knowledgeable speakers, caregivers, and teachers?

²¹⁶ In order to add an element of feedback from a more experienced interlocutor to the

²¹⁷ iterated reproduction process, we adapted the task from Experiment 1a to include a

²¹⁸ secondary, "editing" participant. This participant was analogous to a caregiver who protects

²¹⁹ their child from acquiring and perpetuating errors in symbol systems (i.e., language).

²²⁰ **Participants.** Participants in Experiment 1b were 289 adults recruited on Amazon

²²¹ Mechanical Turk. Approximately 17% (n=49) of participants in Experiment 1b were

²²² excluded from analysis due to failure to meet accuracy requirements on the practice trials

²²³ (n=38) or failure to select the necessary number of targets on one or more experimental

²²⁴ trials (n=11). More participants who were designated as "editors" failed to meet accuracy

²²⁵ requirements (n=23) compared to those who were designated as "producers" (n=15). This

²²⁶ resulted in a total of 240 participants included in the analysis. These participants occupied

²²⁷ one of twenty diffusion chains and one of six generations. Each participant gave informed

²²⁸ consent and was compensated with $0.50 for their participation in this 8-minute task.

²²⁹ **Design and Procedure.** A primary participant was designated as a "producer" and

²³⁰ completed the same task as in Experiments 1a and 1b (see **??**). As before, the "producer"

²³¹ completed an iterated reproduction task, where they were told to re-create patterns on a

²³² grid. After completing the experiment, a secondary, "editing" participant was given an

²³³ adapted task. Throughout the study, including in training and practice trials, editors were

²³⁴ not told to re-create patterns, but to edit patterns to resemble a target grid exactly. Editors

²³⁵ in this experiment viewed the same target grid as producers, but instead of seeing an empty

²³⁶ input grid, they were given a grid prepopulated with 10 elements. These were the elements

²³⁷ the previous producer had generated. The editing participant could then change the 10

²³⁸ items' positions. There was no "reset" button during this task, so data reflected participants'

²³⁹ initial instincts. In Experiment 2a, a generation consisted of a producer, who re-created the

²⁴⁰ target grid, and an editor, who altered the producer's re-creation to match the same target

241 grid. The editor's changed pattern was used as the target grid for the subsequent generation.

242 **Analysis.**   As in Experiments 1a and 1b, our primary measures of analysis were

243 accuracy and complexity. Transmission accuracy was calculated as the proportion of 10

244 targets placed correctly, while complexity was measured using the Block Decomposition

245 Method of algorithmic complexity (Zenil et al., 2014), as well as measures of chunking and

246 edge length, as in Experiment 1a (Gauvrit et al., 2017).

Results – FIX

248 Figure 5 shows the transmission accuracy results by editors and producers in

249 Experiment 1b. We fit a linear mixed-effects model of the form accuracy - condition x

250 log(generation) + trial + (1|initialGrid) + (trial|subject). There was no main effect of

251 generation, meaning that the patterns were not produced more accurately over transmissions

252 ($\beta_{log(generation)}$ = 0.023, t = 0.783, p = 0.434). There was, however, a significant effect of

253 condition, with producers having lower transmission accuracies compared to editors ($\beta_{producer}$

254 = -0.198, t = -3.504, p < .001). There was an additional significant effect of trial, with later

255 trials having higher accuracies than earlier trials in the task (β_{trial} = 0.060, t = 1.478, p =

256 0.027).

257 Figure 8 shows the relationship between the complexity of editors' and producers'

258 patterns. In each generation, the producer decreased the complexity of the pattern, and the

259 editor was able to compensate for some of this loss by re-introducing complexity. We fit the

260 same model as above, this time predicting algorithmic complexity rather than transmission

261 accuracy. Trends were consistent across the additional measure of edge length and chunking.

262 There was a main effect of generation, with both producers and editors producing simpler

263 patterns over transmissions ($\beta_{log(generation)}$ = -4.357, t = -3.316, p = 0.001). Producers had

264 lower complexity values than editors ($\beta_{producer}$ = -5.537, t = -2.187, p = 0.029). There was

265 an additional significant effect of trial, with participants creating more complex patterns as

266 they completed more trials in the task (β_{trial} = 0.767, t = 3.078, p = 0.002).

Experiment 2: Replication Study

In line with Kempe et al. (2015), we found that accuracy increased across generations and complexity decreased on all three measures in Experiment 1a. In Experiment 1b, we introduced an element of horizontal transmission into the diffusion chain paradigm by adding a secondary "editing" participant who adjusted the producer's patterns to match a target. However, in both studies, we were interested in the shape of the trends observed – namely, whether there were differences in the rates of change with successive generations. We thus replicated both experiments 1a and 1b while increasing the number of generations from six to twelve. This replication would not only increase the strength of our findings, but it would also help to estimate the shape of the functions modeled by the algorithmic complexity findings.

Experiment 2a: Adult Baseline Replication

This experiment replicated the task from Experiment 1a with the addition of twice as many chains and generations.

Participants.   Participants were 519 adults recruited on Amazon Mechanical Turk. Approximately 8% (n=39) of participants in Experiment 2a were excluded due to failure to meet accuracy requirements on the practice trials or failure to select the complete number of cells on one or more experimental trials. This resulted in a total of 480 participants included in the analysis. These participants were members of one of forty diffusion chains, each of which had twelve generations. Each participant gave informed consent and was compensated with $0.50 for their participation in this 8-minute task.

Design and Procedure.   The task in Experiment 2a was identical to Experiment 1a. Participants were told to reproduce patterns on a grid, and their responses were passed to the next subject in the transmission chain. They completed one training, three practice, and six experimental trials.

Results − FIX

For sample patterns produced by participants during the task, see Appendix Figure 22. The results of this experiment replicated those found in Experiment 1a. Reproduction accuracies increased significantly over generations, as shown in Figure 7 ($\beta = 0.01$, t = 6.029, p = < .001).

Figure 8 shows the results for algorithmic complexity. Algorithmic complexity appeared to follow an exponential function of the form y = e-ax + b. We therefore fit an exponential mixed-effects regression model predicting complexity from fixed effects of generation and trial number, and random intercepts for participant and initial grid (e.g. log(complexity) − log(generation+1) + trial + (1|subject) + (1|initial)). Algorithmic complexity decreased over generations, and the rate of change decreased as well ($\beta_{BDM} = $ -7.307, t = -10.998, p = < .001). Similar trends were found with chunking and edge length, the alternate measures of complexity ($\beta_{chunking} = $ -0.693, t = -14.955, p = < .001; $\beta_{edge} = $ - 1.375, t = -13.11, p = < .001). As in Experiment 1a, trial number was not a significant predictor in any model.

Experiment 2b: Adult–Adult Dyad Replication

This experiment replicated the task from Experiment 1b with the addition of twice as many chains and generations. As in Experiment 1b, a secondary participant was assigned to be an "editor", completing a variation of the standard iterated reproduction task.

Participants. Participants in Experiment 2b were 1031 adults recruited on Amazon Mechanical Turk. Approximately 8% (n=71) of participants in Experiment 2b were excluded from analysis due to failure to meet accuracy requirements on the practice trials or failure to select the necessary number of targets on one or more experimental trials. This resulted in a total of 960 participants included in the analysis. These participants occupied one of forty transmission chains and one of twelve generations. Each participant gave informed consent and was compensated with $0.50 for their participation in this 8-minute task.

₃₁₇   **Design and Procedure.**   The procedure in this task replicated that of Experiment

₃₁₈ 1b. As before, one participant was designated as a "producer", who completed the standard

₃₁₉ iterated reproduction task. This participant was told to re-create patterns on a blank grid to

₃₂₀ match a target they had seen displayed for ten seconds. After the completion of one training,

₃₂₁ three practice, and six experimental trials, the producer's data was passed to a second,

₃₂₂ "editing" participant. This participant was told to "fix" the producer's patterns to match the

₃₂₃ same target, which they had also seen displayed for ten seconds. The editor's edits were

₃₂₄ passed as the target for the subsequent generation. Together, the producer and editor

₃₂₅ occupied one "generation".

Analysis and Results – FIX

₃₂₆

₃₂₇   As in previous experiments, the data were analyzed for transmission accuracy and

₃₂₈ three measures of complexity. Figure 9 displays the results for transmission accuracy.

₃₂₉ According to a linear mixed-effects model predicting group from generation and trial number

₃₃₀ and controlling for random effects of subject and initial grid, reproduction accuracies

₃₃₁ between groups were significantly different ($\beta_{condition--producer}$ = -0.086, t = -11.338, p = <

₃₃₂ .001). Neither the editors' nor producers' transmission accuracies increased significantly over

₃₃₃ generations ($\beta_{editors}$ = 0.007, t = 1.108, p = .269; $\beta_{producers}$ = 0.011, t = 1.706, p = .089).

₃₃₄   Figure 10 shows the relationship between the complexity of editors' and producers'

₃₃₅ patterns. In each generation, the producer decreased the complexity of the pattern, and the

₃₃₆ editor was able to compensate for some of this loss by re-introducing complexity into the

₃₃₇ grid patterns. As in Experiment 2a, we fit an exponential function to this data. There was

₃₃₈ no significant effect of trial number in this model. There was., however, a main effect of

₃₃₉ generation, with both the editors' and producers' patterns decreasing in complexity over

₃₄₀ generations ($\beta_{log(generation)}$ = -0.024, t = -6.448, p < .001). Additionally, the producers had

₃₄₁ significantly lower levels of complexity compared to the editors ($\beta_{producer}$ = -0.020, t =

₃₄₂ -4.593, p < 0.001).

Experiment 2 Results – FIX

Figure 11 shows the combined accuracy results of Experiments 2a and 2b. We fit a linear mixed–effects model predicting condition (baseline adult, producer, or editor) from accuracy, log(generation), and trial number, including random effects of subject and initial grid. There were significant main effects of generation and condition, where accuracy increased over generations ($\beta_{log(generation)}$ = 0.088, t = 6.589, p < 0.001). Editors had significantly higher transmission accuracies compared to the other groups (β_{editor} = 0.211, t = 5.541, p < .001). There were additional interaction effects, with both producers and editors showing smaller increases in accuracy over generations compared with the baseline condition ($\beta_{editor*log(generation)}$ = -0.071, t = -3.640, p < .001; $\beta_{producer*log(generation)}$ = -0.065, t = -3.513, p < .001). Trial number was not a significant predictor in this model.

Figure 12 shows the combined complexity results for Experiments 2a and 2b. As in previous experiments, we fit an exponential function to this data. Algorithmic complexity of the patterns decreased over generations ($\beta_{log(generation)}$ = -0.029, t = -8.129, p < 0.001). Notably, editors and producers had significantly higher levels of algorithmic complexity across generations compared to the adult baseline condition from Experiment 2a (β_{editor} = 0.049, t = 9.618, p < 0.001; $\beta_{producer}$ = 0.028, t = 5.509, p < .001). There was no significant effect of trial number in this model.

Discussion

The combined results of Experiments 1a and 2a replicate the adult results found in a similar task by Kempe et al. (2015). In a standard iterated reproduction experiment, the complexity of adults' produced patterns decreased over transmission generations to reach an asymptote. This shows that generational transmission creates a bottleneck in the evolutionary process, where patterned systems quickly lose complexity, but appear to reach a level of simplicity which is easier to reproduce. These results are supported by transmission accuracy findings, which show that participants become better at reproducing the target

patterns over generations. These effects are robust, as they were replicated in two experiments. The pressure of passing a patterned system to a new participant modeled the simplicity pressure on language learning. In Experiment 2a, we see that the system did not simplify to nothingness but reached a more stable level of simplicity over transmissions. Thus, this system was not losing all of its descriptiveness, instead reaching a balance point between transmissibility and expressivity.

In a few instances, we also see significant effects of trial number, where the more trials a participant completes, the more complex their patterns become. Perhaps this is a fatigue issue, where participants are placing blocks randomly when they forget their exact location. However, this is contrary to the practice effect, which predicts that participants are actually becoming better at the task and are simply retaining more complexity because they remember the patterns better over time (Donovan & Radosevich, 1999).

The results of Experiments 1b and 2b attempt to more closely model pattern-system transmissions (loosely analogous to language-learning) using an iterated reproduction paradigm. These experiments introduce a secondary participant into the iterated reproduction process–a caregiver-like participant who has more knowledge about the novel system. These editing participants had higher transmission accuracies, reflecting their greater "knowledge" about the task and ability to reproduce more-accurate patterns compared to producers, who had to re-create grid patterns from scratch. This relationship is meant to mirror parents who have a relatively easier time recalling, editing, and producing language compared to early child language learners. Indeed, in Experiments 1b and 2b, "editors" were not simply completely re-creating the patterns made by "producers", but they were fixing their errors.

The algorithmic complexity results of Experiment 1b and 2b show that the loss in complexity from Experiments 1a and 1b is not permanent cultural regression (Henrich, 2004), as complexity can be reintroduced in the patterned system by way of a secondary

395 participant. When the iterated reproduction process begins to resemble the true process of

396 language-learning, where there is an imbalance in knowledge during horizontal transmission,

397 a lesser amount of complexity was lost during transmission. In Experiment 2b, the editor's

398 corrected "language" was passed to the next producer in the chain, representing a child who,

399 after many years of being corrected by their own parent, becomes a parent, and, in turn,

400 passes their language to the next generation. Due to the higher transmission accuracies, or

401 knowledge, of editors, they were able to compensate for some (though not all) of the

402 producers' losses in complexity. Do these results hold, however, when there is a true working

403 memory imbalance between participants, when the task is completed by adults and *real*

404 children?

405   **Experiment 3a: Child Baseline**

406   While experiments 1 and 2 were meant to mimic child language-learners, it is likely

407 that there are significant differences between a child-like adult (i.e., the producers in

408 Experiments 1b and 2b) and true children. As the goal of this project is to understand the

409 role that children and adults–mirroring caregivers and parents–play in language evolution,

410 we make a comparison between adults and children on the same iterated reproduction task.

411 Although past iterated learning studies are meant to mirror language-learners, few use

412 children as participants (Kempe et al., 2015; Raviv & Arnon, 2018).

413   This experiment is identical to Experiment 1a, in that it is meant to gauge a baseline

414 for how children perform during an iterated transmission task. Children in this experiment

415 complete the task on iPads, primarily at a science museum in Chicago. iPads have been

416 shown to be effective media for conducting experiments, especially with children, as they are

417 engaging and intuitive to use (Frank, Sugarman, Horowitz, Lewis, & Yurovsky, 2016).

418 Conducting the experiment on iPads also allows us to retain a high degree of comparability

419 between experiments 1a-b and 2a-b, as although adults participated remotely online, and

420 children participated in-person with an experimenter nearby, both groups of participants are

completing the same task using technology.

The results of this experiment will inform us of whether iterated learning studies can equate child learners with adult learners–do children respond the same way to stimuli as adults? Do their iterated reproductions show similar trends as adults?

Data Collection (can definitely be cut a lot).   Data collection is in progress for this experiment and will likely be completed by the end of Summer 2019. Therefore, reported results are incomplete and not finalized. Data for experiments 3a and 3b were collected simultaneously from August 2018-June 2019. Participants completed the task at one of three locations: The Museum of Science and Industry (MSI), Chicago; the University of Chicago campus, and a private school in Chicago. The majority of data was collected at the MSI. Data collection at all three locations followed similar procedures. All experimenters were trained by the first author to follow a script, and all experimenters were IRB-approved and trained to collect data at all locations.

At the MSI, experimenters from the Communication and Learning Lab arranged a table next to a popular exhibit for children under ten years old. A sign advertised available studies for the target age range, 6-8 years old. Interested families approached the experimenters at the table, who informed them of the general study procedures (a short, 8-minute iPad task), and obtained written consent. Many families also completed an optional demographics sheet, which included questions about caregiver education levels and the languages the child hears at home. After written consent was provided by a parent or legal guardian, and verbal consent was obtained from the child, children went with one of the experimenters to a nearby bench. The experimenter introduced the child to the task, explaining that they would be playing a memory game. Children were given headphones in order to hear the various audio cues throughout the task. The experimenter aided the child in the first training trial, demonstrating how "stickers" (colored blocks) could be placed or removed by tapping on the screen. Additional guidance was given during the first three

₄₄₇ practice trials if necessary, for example, if the child did not understand that they needed to

₄₄₈ place exactly 10 stickers down on each trial, or if they did not understand the reproduction

₄₄₉ element of the task. After the training and practice trials were complete, the experimenter

₄₅₀ ceased verbal contact with the child, except if the child wanted to end the study. If the child

₄₅₁ asked the experimenter a question, or expressed frustration about the difficulty of the task,

₄₅₂ the experimenter replied, "Just do your best." After completion of the study, children

₄₅₃ received their choice of one or two stickers as compensation.

₄₅₄   For participants who completed the study at the University of Chicago, the procedure

₄₅₅ was similar. The only differences were that participants were recruited through the

₄₅₆ UChicago Center for Early Childhood Research database, and scheduled appointments to

₄₅₇ come into the laboratory. After providing written consent (from parents/legal guardians)

₄₅₈ and verbal consent (from the child), children were taken into a separate, quiet room.

₄₅₉ Experimenters were trained to follow the same procedure described above. Participants were

₄₆₀ compensated with $10 and children received a book or toy for their participation.

₄₆₁   At the local private school, consent forms were distributed to a kindergarten class prior

₄₆₂ to data collection. Those children who returned signed consent forms participated in the

₄₆₃ study. At the beginning of the school day, experimenters brought small groups of children to

₄₆₄ a quiet room, where they completed the task following the same procedure above. Children

₄₆₅ were compensated with stickers.

₄₆₆   **Participants.**   Participants consisted of 89 children ages 6-8 ($\mu = 6.92$ years; 54%

₄₆₇ male). 83 children completed the task at the Museum of Science and Industry, Chicago, and

₄₆₈ 2 participants completed the task on the University of Chicago campus. A small number of

₄₆₉ participants' data (n=4) were collected at a private school in Chicago. 29 children were

₄₇₀ removed from the data set due to failure to complete the task, failure to select ten blocks on

₄₇₁ all experimental trials, or failure to meet accuracy requirements on two out of three practice

₄₇₂ trials. These participants were removed from the transmission chains, and their re-creations

473 were not passed to the subsequent participant. This results in a total sample size of 60

474 participants ($\mu = 6.95$ years; 53% male) which makes up 50% of our goal of 120 participants.

475 The included data is relatively evenly-distributed across generations.

476   **Design and Procedure.**   The task for this experiment was identical to experiments

477 1a and 2a. Participants viewed a target grid pattern for ten seconds, followed by a visual

478 mask, followed by a blank grid. Children had to tap on the grid to place colored blocks,

479 while a counter dynamically marked how many (out of ten) were left to be placed. A timer

480 counted down from 60 seconds to let the participant know how much time they had left on a

481 particular trial. Auditory cues were presented throughout the task, to encourage the child

482 ("You're doing great!") and to let them know when they were running out of time. After

483 completing one training and three practice trials, participants completed six experimental

484 trials. Participants were in one of 20 chains, each of which contained 6 generations. Children

485 were compensated with stickers for their participation in this 6-10-minute task.

486   **Analysis.**   As in previous experiments, the patterns produced by participants were

487 analyzed using a measure of accuracy as well as multiple measures of complexity. Accuracy

488 was measured by the number of blocks (out of ten) placed in exactly the same position as in

489 the target pattern. Our primary measure of complexity was calculated using the Block

490 Decomposition Method (see page X for a detailed explanation of this method). Chunking

491 and edge length were used as additional measures of complexity.

Results – FIX

493   For sample patterns produced by children in the study, see Appendix Figure X. As in

494 previous conditions, we fit a linear mixed-effects model to the data, predicting transmission

495 accuracy from log(generation), including random effects from subject and initial grid.

496 Results for accuracy are shown in Figure 13. Transmission accuracies increased significantly

497 over generations ($\beta_{log(generation)} = 0.142$, t = 4.890, p = $< .001$).

498   Figure 14 shows the results for algorithmic complexity. Again, we fit a linear

mixed-effects model, this time predicting algorithmic complexity from log(generation). As in previous experiments, the results from algorithmic complexity were in line with the additional measures of complexity. Algorithmic complexity decreased significantly over generations ($\beta_{log(generation)}$ = -32.948, t = -6.129, p = < .001). Trial number was not a significant predictor in any model.

Experiment 3b: Adult–Child Dyad

This experiment investigates whether introducing a secondary participant alters the patterned system's evolution. This experiment uses the same paradigm as Experiments 1b and 2b, with one participant designated as a "producer" and another as an "editor". Notably, in this experiment, children are designated as "producers" and adults are designated as "editors". Thus, this condition is the closest step towards to the goal of understanding the impact of caregiver-child language correction in the language evolution process.

Data Collection. Data collection with children was identical to Experiment 3a. Participants were recruited, and data were collected at one of three locations: The Museum of Science and Industry, the University of Chicago, or a local private school.

Participants. Participants consisted of 103 children ages 6-8 (μ = 6.84 years; 45% male) and 123 adults. 76 participants completed the task at the Museum of Science and Industry, Chicago, and 18 children completed the task on the University of Chicago campus. A small number of participants' data (n=9) were collected at a private school in Chicago. All adults completed the task online on Amazon Mechanical Turk. 6 children were removed from the dataset due to failure to select ten blocks on all experimental trials, or failure to meet accuracy requirements on two out of three practice trials. 27 adults were removed from the dataset due to failure to select ten blocks on all experimental trials or failure to meet accuracy requirements.

Design and Procedure. Because children were designated as producers in this dyad task, the task procedure was identical to that of Experiment 3a. Adults, who were

525 designated to be "editors", completed the task online on Amazon Mechanical Turk.

526 Therefore, their procedure was identical to that of the editors in Experiments 1b and 2b. As

527 in Experiment 1b, we chose to complete the task with twenty diffusion chains, transmitted

528 over six generations. This choice was made after observing the results of Kempe et al.

529 (2015), and additionally due to time constraints on collecting data with children.

Results − FIX

531   Figure 15 shows the transmission accuracy results by editors and producers in

532 Experiment 3b. We fit a linear mixed-effects model predicting accuracy from group and

533 log(generation) and trial number and controlling for random effects of subject and initial

534 grid. There was no main effect of generation, meaning that the patterns did not become

535 significantly easier to produce over transmission generations (in line with findings from Exp.

536 2b) ($\beta_{log(generation)}$ = 0.067, t = 1.084, p = 0.280). There was a significant main effect of

537 condition, with child producers having lower transmission accuracies than adult editors

538 ($\beta_{producers}$ = -0.293, t = -3.469, p < 0.001).

539   Figure 16 shows the relationship between the complexity of adult editors' and child

540 producers' patterns. In each generation, the producer decreased the complexity of the

541 pattern, and the editor was able to compensate for some of this loss by re-introducing

542 complexity. As in previous experiments, we fit a linear mixed-effects model to this data to

543 predict complexity from group, generation and trial number, and random intercepts for

544 participant and initial grid (e.g. complexity - condition + generation + trial + (trial|subject)

545 + (1|initialGrid). Only results from the measure of algorithmic complexity are reported,

546 however, trends were consistent across edge length and chunking. There was a

547 marginally-significant main effect of log(generation), with patterns decreasing over

548 transmissions ($\beta_{log(generation)}$ = -8.144, t = -1.901, p = 0.059). There was also a

549 marginally-significant difference between the algorithmic complexities of producers and

550 editors ($\beta_{producer}$ = -12.686, t = -1.741, p =.083). There were no significant effects of trial

551 number in either complexity or accuracy models.

Experiment 3 Results – FIX

553 Figure 17 shows the combined accuracy results of Experiments 3a and 3b. We fit a

554 linear mixed-effects model predicting condition (child baseline, child producer, or adult

555 editor) from accuracy and generation, including random effects of subject and initial grid.

556 There was a main effect of generation, with all conditions showing increases in transmission

557 accuracies over generations ($\beta_{log(generation)} = 0.216$, t = 4.710, p < .001). Editors also had

558 higher transmission accuracies than baseline children or producers ($\beta_{editor} = 0.437$, t = 4.700,

559 p < .001). However, both producers and editors showed significant and marginally-significant

560 interaction effects, where their transmission accuracies increased less over generations

561 compared with the baseline children ($\beta_{editor*log(generation)} = -0.143$, t = -1.950, p = .0053;

562 $\beta_{producer*log(generation)} = -0.173$, t = -2.950, p = .0035).

563 Figure 12 shows the combined complexity results for Experiments 3a and 3b. As in

564 previous experiments, there was a main effect of generation, where complexity decreased

565 significantly across generations ($\beta_{log(generation)} = -35.220$, t = -3.461, p < .001). However, the

566 complexity of editors' patterns decreased less over generations compared to producers or

567 baseline children ($\beta_{editor*log(generation)} = 27.933$, t = 4.330, p < .001). There were no

568 significant effects of trial order in either the accuracy or complexity models.

Experiment 3 Discussion

570 The results of Experiments 3a and 3b continue to push the diffusion chain paradigm

571 further towards modeling true processes of language-learning, this time modeling

572 language-learning by children–those who are the best language-learners. Although data

573 collection is only 50% complete, in Experiment 3a, as in Experiment 1a, we see a dramatic

574 linear decrease in algorithmic complexity over generations, coupled with a linear increase in

575 transmission accuracy. This reflects the effects of the learnability pressure, which, as

576 hypothesized, is especially strong in children. It also replicates the findings of Kempe et al.

577 (2015).

578   In Experiment 3b, we see similar trends as were expected. Editors (adults) and

579 producers (children) had significantly different reproduction accuracies, with editors being

580 better at re-creating the target, just as they were in Experiment 2b. However, unlike in

581 Experiment 2b or 1b, the reproduction accuracies of producers increased over generations.

582 Thus, children were becoming better at reproducing the grid patterns over time, perhaps

583 pointing to features of the grids which were facilitating easier transmission. Baseline children

584 (Experiment 3a) had significantly higher transmission accuracies than child producers (Exp.

585 3b). However, the trends shown are somewhat different from those seen in Exps. 1b and 2b,

586 with the child producer and baseline conditions being more similar than the adult baseline

587 and producer conditions. Adult editors had significantly higher levels of complexity

588 compared to baseline children. Thus, the addition of an adult editor–analogous to a parent

589 or caregiver–allowed a significantly higher level of complexity to be retained in the language.

590 **Experiment 2–3 Results − FIX**

591   In order to compare between Experiments 2 and 3, we subset the data from

592 Experiment 2 to only the first six generations. Figure 19 displays the comparison between

593 transmission accuracies across Experiments 2 and 3. To compare across experiments, we fit a

594 linear mixed effects model of the form lmer(accuracy – person x condition x

595 log(generation+1) + trial + (1|initialGrid) + (trialCount|subject). We found main effects for

596 Experiments 3a & 3b (child–involved experiments), editors, and generation. Baseline

597 children and producers in Experiment 3b had lower percent accuracies (β_{child} = -0.326, t =

598 -4.030, p < .001). Editors (Experiments 2b and 3b) had significantly higher accuracies

599 (β_{editor} = 0.231, t = 4.823, p < .001). Overall, percent accuracies increased across

600 generations ($\beta_{log(generation)}$ = 0.088, t = 6.436, p < .001). Additionally, adult editors in

601 Experiment 3b and children in the baseline condition had accuracies which increased more

over generations, although the editors' accuracies in both experiments increased less than the baseline conditions over generations ($\beta_{child*log(generation)}$ = 0.118, t = 2.124, p = .034; $\beta_{editor*log(generation)}$ = -0.0894, t = -2.978, p = .00298).

Figure 20 shows the comparison between Experiments 2 and 3 for algorithmic complexity. As with accuracy, we fit a mixed-effects linear model predicting algorithmic complexity from experiment and condition, fitting effects for display order, initial grid and subject. There was a main effect of generation, with all patterns simplifying over transmissions ($\beta_{log(generation)}$ = -8.944, t = -9.161, p < .001). There was an additional significant main effect of display order, with participants producing slightly more complex patterns later in the task (β = 0.426, t = 2.425, p = .016). There was no main effect of whether the participant was an editor (Experiments 2b, 3b) or whether they were in the baseline condition (β_{editor} = 2.472, t = 0.724, p= 0.469). There were multiple interaction effects, whereby the editors in Experiment 3b (child-adult dyad) had lower complexity values than those in Experiment 2b ($\beta_{child*editor}$ = -23.715, t = -3.013, p = .003). While participants in Experiments 3a and 3b had complexity values which decreased more over transmission generations compared with Experiments 2a and 2b ($\beta_{child*log(generation)}$ = -26.520, t = -6.647, p < .001), the editors in Experiment 3b (child-adult dyad) decreased significantly less over generations compared to the children in the baseline condition ($\beta_{child*editor*log(generation)}$ = 23.847, t = 4.319, p < .001).

General Discussion

In Experiments 1a and 2a, patterns produced by adults over transmission generations in an iterated reproduction task simplified rapidly and dramatically, reflecting the strong transmissibility pressure in memory-based tasks related to early language learning. With children ages 6-8, we see a similarly rapid, dramatic, and linear decrease in complexity of patterned systems over generations. These findings replicated those of Kempe et al. (2015): when transmitting an artificial patterned system, complexity was lost.

628 Editors in Experiment 1b, 2b, and 3b represented caregivers – they were more accurate

629 at reproducing the grid patterns and could therefore be seen as more fluent speakers of the

630 "language". The producers, on the other hand, had a more difficult task, which greater

631 strained their working memories, similar to the strain on a child language producer who is

632 exposed to many new words each day. Indeed, when there were real children introduced into

633 the iterated reproduction process, we see that editors still preserve and re-introduce

634 complexity into the patterned systems. In fact, adult editors are able to re-introduce

635 complexity to the level that adults attained on their own. Therefore, it seems that adults are

636 able to compensate for children's losses in complexity. Notably, producers in the child-adult

637 dyad condition showed increases in transmission accuracies, unlike the editors in the same

638 condition. Although the grid patterns were continuing to simplify, the patterns appeared to

639 be evolving to be easier to transmit for children, but not for adults.

640 Despite the use of a non-linguistic task, we were able to measure change in a

641 culturally-transmitted, reproduced symbol system. When an element of horizontal

642 transmission more closely resembling the relationship between caregivers and children is

643 introduced into the typical diffusion chain paradigm, a greater level of complexity is retained

644 in an evolving "language", as adults are able to re-introduce and protect against

645 oversimplification.

General Discussion (second one; integrate and remove qual analysis stuff)

647 In a number of iterated reproduction studies with both children and adults, we show

648 the impact of introducing an element of horizontal transmission into the iterated

649 reproduction paradigm. Additionally, we show similar, yet slightly different findings for

650 adults and children, pointing to the importance of involving those who are the most

651 frequent–and best–language-learners in studies meant to model language evolution.

652 Both adults and children show similar trends in baseline tasks. Experiments 1a, 2a, and

3a, describe how both children and adults show increases in the learnability and decreases in the expressiveness of randomly-generated patterned systems. However, when a secondary participant is introduced, it is pivotal whether they are a child or a child-like adult. Results show that, in child-adult dyads (Exp. 3b), adults are able to reintroduce complexity to the point where adults were on their own (to the level of Exp. 2a). Editors in this task were able to prevent the language from oversimplification by children. Similarly, real caregivers do not resort to taking children's simplified utterances literally but infer complexity into their productions. Additionally, we see from Experiment 4 that children and adults may not be able to be equated in iterated reproduction tasks, as they are perhaps not simply more errorful-adults, but they make different types of errors during these reproduction tasks. Thus, children and adults could have different patterned-system priors, which may relate to the different strategies and skills they bring to the early language-learning process.

Additionally, the results of this project suggest that introducing an element of horizontal transmission, namely, error-correction by a more knowledgeable participant, changes the system-transmission process. Yet, error-correction, whether explicit or implicit, is a constant, common part of the language-learning process. Therefore, when we attempt to model language evolution in the laboratory, we should include the relationships and phenomena which are found commonly in transmission.

Although the system of grid patterns transmitted and reproduced in this study is quite different, and quite abstracted from the language-learning process, we were able to successfully collect data with young children. The task was engaging, and it allowed us to manipulate the task difficulty level for comparison between adults and children. Many attempts to study language evolution with children in the past have failed, as the tasks used are either too simple or are too difficult for kids (Raviv & Arnon, 2018). However, it is important and necessary, in order to study language evolution, to study those who are most often learning and changing language (Senghas, 2003).

⁶⁷⁹ This study is a first, reliable step towards future studies implementing both horizontal

⁶⁸⁰ and vertical transmission between children and adults in the patterned-system reproduction

⁶⁸¹ process. Overall, the results suggest that when a caregiver prevents their child from growing

⁶⁸² up to believe that "baba" is the word for "bottle", they are not only helping their individual

⁶⁸³ child become a competent speaker of the language, but they are also helping the language

⁶⁸⁴ system as a whole from oversimplifying.

⁶⁸⁵ We do not learn language as passive listeners, who absorb a proportion of the linguistic

⁶⁸⁶ input they hear. Therefore, we cannot measure language learning only through measuring

⁶⁸⁷ input, nor through measuring only linguistic output. Language is both learned and changed

⁶⁸⁸ through conversation to evolve to the needs of its users. Therefore, in order to understand

⁶⁸⁹ how language adapts to and evolves with communicative interactions, we should study

⁶⁹⁰ language learning in process.

⁶⁹¹ | All experiments were pre-registered on Open Science Framework, and all data and code will be made available through GitHub after de-anonymization. |

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