

SACM  
Internet-Draft  
Intended status: Standards Track  
Expires: March 5, 2017

D. Waltermire, Ed.  
NIST  
K. Watson  
DHS  
C. Kahn  
L. Lorenzin  
Pulse Secure, LLC  
M. Cokus  
D. Haynes  
The MITRE Corporation  
H. Birkholz  
Fraunhofer SIT  
September 2016

SACM Information Model  
draft-ietf-sacm-information-model-??

Abstract

This document defines the Information Elements that are necessary for the transportation of endpoint information between Secure Automation and Continuous Monitoring (SACM) components, as well as tasks carried out on the endpoint information by SACM components. The primary purpose of the SACM Information Model is to ensure the interoperable exchange of security posture information by defining the structure and metadata used to exchange the posture information represented by platform and/or vendor specific collection data models. The Information Elements and corresponding types are maintained as the IANA "SACM Information Elements" registry.

Status of This Memo

This Internet-Draft is submitted in full conformance with the provisions of BCP 78 and BCP 79.

Internet-Drafts are working documents of the Internet Engineering Task Force (IETF). Note that other groups may also distribute working documents as Internet-Drafts. The list of current Internet-Drafts is at <http://datatracker.ietf.org/drafts/current/>.

Internet-Drafts are draft documents valid for a maximum of six months and may be updated, replaced, or obsoleted by other documents at any time. It is inappropriate to use Internet-Drafts as reference material or to cite them other than as "work in progress."

This Internet-Draft will expire on March 5, 2017.

## Copyright Notice

Copyright (c) 2016 IETF Trust and the persons identified as the document authors. All rights reserved.

This document is subject to BCP 78 and the IETF Trust's Legal Provisions Relating to IETF Documents (<http://trustee.ietf.org/license-info>) in effect on the date of publication of this document. Please review these documents carefully, as they describe your rights and restrictions with respect to this document. Code Components extracted from this document must include Simplified BSD License text as described in Section 4.e of the Trust Legal Provisions and are provided without warranty as described in the Simplified BSD License.

## Table of Contents

1.	Introduction . . . . .	5
2.	Conventions Used In This Document . . . . .	7
2.1.	Requirements Language . . . . .	7
2.2.	Information Element Examples . . . . .	7
3.	Information Elements Overview . . . . .	7
3.1.	Information Element Naming Convention . . . . .	7
3.2.	Extensibility of Information Elements . . . . .	7
3.3.	Structure of Information Elements . . . . .	8
3.4.	Notation of Information Elements . . . . .	8
3.5.	Categories . . . . .	10
4.	Predefined SACM Subjects . . . . .	10
4.1.	SACM Content Elements . . . . .	11
4.2.	SACM Statements . . . . .	11
4.3.	Relationships . . . . .	12
4.4.	Event . . . . .	13
5.	Abstract Data Types . . . . .	14
5.1.	Simple Datatypes . . . . .	15
5.1.1.	IPFIX Datatypes . . . . .	15
5.2.	Structured Datatypes . . . . .	15
5.2.1.	List Datatypes . . . . .	15
5.2.2.	Enumeration Datatype . . . . .	17
6.	Information Model Assets . . . . .	18
6.1.	Asset . . . . .	19
6.2.	Endpoint . . . . .	19
6.3.	Hardware Component . . . . .	20
6.4.	Software Component . . . . .	20
6.4.1.	Software Instance . . . . .	20
6.5.	Identity . . . . .	21
6.6.	Guidance . . . . .	21
6.6.1.	Collection Guidance . . . . .	21
6.6.2.	Evaluation Guidance . . . . .	22

6.6.3.	Classification Guidance	22
6.6.4.	Storage Guidance	23
6.6.5.	Evaluation Results	23
7.	Information Model Elements	23
7.1.	accessPrivilegeType	23
7.2.	accountName	24
7.3.	administrativeDomainType	24
7.4.	addressAssociationType	24
7.5.	addressMaskValue	24
7.6.	addressType	24
7.7.	addressValue	25
7.8.	authenticator	25
7.9.	authenticationType	25
7.10.	certificate	25
7.11.	collectionTaskType	25
7.12.	confidence	26
7.13.	contentAction	26
7.14.	dataOrigin	26
7.15.	dataSource	26
7.16.	discoverer	26
7.17.	eventType	27
7.18.	eventThreshold	27
7.19.	eventThresholdName	27
7.20.	eventTrigger	27
7.21.	eventTrigger	28
7.22.	firmwareId	28
7.23.	hostName	28
7.24.	interfaceLabel	28
7.25.	ipv6AddressSubnetMask	29
7.26.	ipv6AddressSubnetMaskCidrNotation	29
7.27.	ipv6AddressValue	29
7.28.	ipv4AddressSubnetMask	29
7.29.	ipv4AddressSubnetMaskCidrNotation	29
7.30.	ipv4AddressValue	29
7.31.	layer2InterfaceType	30
7.32.	layer4PortAddress	30
7.33.	layer4Protocol	30
7.34.	locationName	30
7.35.	macAddressValue	30
7.36.	methodLabel	31
7.37.	methodRepository	31
7.38.	networkAccessLevelType	31
7.39.	networkId	31
7.40.	networkInterfaceName	31
7.41.	networkLayer	32
7.42.	networkName	32
7.43.	organizationId	32
7.44.	osComponent	32

7.45. osLabel . . . . .	32
7.46. osName . . . . .	33
7.47. osType . . . . .	33
7.48. osVersion . . . . .	33
7.49. privilegeName . . . . .	33
7.50. privilegeValue . . . . .	33
7.51. protocol . . . . .	34
7.52. publicKey . . . . .	34
7.53. relationshipContentElementGuid . . . . .	34
7.54. relationshipStatementElementGuid . . . . .	34
7.55. relationshipObjectLabel . . . . .	34
7.56. relationshipType . . . . .	35
7.57. roleName . . . . .	35
7.58. sessionStateType . . . . .	35
7.59. statementGuid . . . . .	36
7.60. statementType . . . . .	36
7.61. status . . . . .	36
7.62. subAdministrativeDomain . . . . .	36
7.63. subInterfaceLabel . . . . .	36
7.64. superAdministrativeDomain . . . . .	37
7.65. superInterfaceLabel . . . . .	37
7.66. teAssessmentState . . . . .	37
7.67. teLabel . . . . .	37
7.68. teId . . . . .	38
7.69. timestampType . . . . .	38
7.70. WGS84Longitude . . . . .	38
7.71. WGS84Latitude . . . . .	38
7.72. WGS84Altitude . . . . .	39
7.73. hardwareSerialNumber . . . . .	39
7.74. interfaceName . . . . .	39
7.75. interfaceIndex . . . . .	39
7.76. interfaceMacAddress . . . . .	39
7.77. interfaceType . . . . .	40
7.78. interfaceFlags . . . . .	40
7.79. networkInterface . . . . .	40
7.80. globallyUniqueIdentifier . . . . .	41
7.81. dataOrigin . . . . .	41
7.82. dataSource . . . . .	41
7.83. creationTimestamp . . . . .	41
7.84. collectionTimestamp . . . . .	42
7.85. publicationTimestamp . . . . .	42
7.86. relayTimestamp . . . . .	42
7.87. storageTimestamp . . . . .	42
7.88. type . . . . .	43
7.89. protocolIdentifier . . . . .	43
7.90. sourceTransportPort . . . . .	43
7.91. sourceIPv4PrefixLength . . . . .	43
7.92. ingressInterface . . . . .	44

7.93.	destinationTransportPort	44
7.94.	sourceIPv6PrefixLength	44
7.95.	sourceIPv4Prefix	44
7.96.	destinationIPv4Prefix	45
7.97.	sourceMacAddress	45
7.98.	ipVersion	45
7.99.	interfaceDescription	45
7.100.	exporterIPv4Address	45
7.101.	exporterIPv6Address	46
7.102.	portId	46
7.103.	templateId	46
7.104.	collectorIPv4Address	47
7.105.	collectorIPv6Address	47
7.106.	interface	47
7.107.	interfaceName	48
7.108.	physicalProtocol	48
7.109.	hwAddress	49
7.110.	transportProtocol	49
7.111.	localAddress	49
7.112.	localPort	50
7.113.	localFullAddress	50
7.114.	foreignAddress	50
7.115.	foreignFullAddress	50
8.	Acknowledgements	51
9.	IANA Considerations	51
10.	Security Considerations	51
11.	Operational Considerations	52
11.1.	Endpoint Designation	52
11.2.	Timestamp Accuracy	53
12.	Privacy Considerations	54
13.	References	54
13.1.	Normative References	54
13.2.	Informative References	55
Appendix A.	Change Log	56
A.1.	Changes in Revision 01	56
A.2.	Changes in Revision 02	57
A.3.	Changes in Revision 03	57
A.4.	Changes in Revision 04	58
A.5.	Changes in Revision 05	58
A.6.	Changes in Revision 06	58
A.7.	Changes in Revision 07	59
Authors' Addresses		59

## 1. Introduction

The SACM Information Model (IM) serves multiple purposes:

- o to ensure interoperable exchange of security posture information which is represented by different data models,
- o to provide a standardized set of Information Elements - the SACM Vocabulary - to enable the exchange of content vital to automated security posture assessment, and
- o to enable secure information sharing in a scalable and extensible fashion in order to support the tasks conducted by SACM components.

A complete set of requirements imposed on the IM can be found in [I-D.ietf-sacm-requirements]. The SACM IM is intended to support a standardized data exchange mechanism between SACM components (data in motion).

The information model expresses, for example, target endpoint (TE) attributes, guidance, and evaluation results metadata describing the collected posture data. The corresponding Information Elements are consumed and produced by SACM components as they carry out tasks.

The primary tasks that this information model supports (on data, control, and management plane) are:

- o TE Discovery
- o TE Characterization
- o TE Classification
- o Collection
- o Evaluation
- o Information Sharing
- o SACM Component Discovery
- o SACM Component Authentication
- o SACM Component Authorization
- o SACM Component Registration

These tasks are defined in [I-D.ietf-sacm-terminology].

## 2. Conventions Used In This Document

### 2.1. Requirements Language

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC 2119 [RFC2119].

### 2.2. Information Element Examples

The notation used to define the SACM Information Elements (IEs) is based on a customized version of the IPFIX information model syntax. It should be noted that while examples may include actual names of information elements, they are not intended to influence how corresponding SACM IEs should be defined. The examples are provided for demonstration purposes only.

## 3. Information Elements Overview

The IEs defined in this document comprise the building blocks by which all SACM content is composed. They are consumed and provided by SACM components on the data plane. Every Information Element has a unique label: its name. Every IE defined by the SACM IM is registered as a type at the IANA registry. The Integer Index of the IANA SMI number tables can be used by SACM data models.

### 3.1. Information Element Naming Convention

SACM Information Elements must adhere to the following naming conventions.

- o Names SHOULD be descriptive
- o Names MUST be unique within the SACM registry. Enterprise-specific names SHOULD be prefixed with a Private Enterprise Number [PEN].
- o Names MUST start with lowercase letters unless it begins with a Private Enterprise Number
- o Composed names MUST use capital letters for the first letter of each part

### 3.2. Extensibility of Information Elements

A SACM data model based on this information model MAY include additional information elements that are not defined here. The labels of additional Information Elements included in different SACM

data models MUST NOT conflict with the labels of the Information Elements defined by this information model, and the names of additional Information Elements MUST NOT conflict with each other or across multiple data models. In order to avoid naming conflicts, the labels of additional IEs SHOULD be prefixed to avoid collisions across extensions. The prefix MUST include an organizational identifier and therefore, for example, MAY be an IANA enterprise number, a (partial) name space URI, or an organization name abbreviation.

### 3.3. Structure of Information Elements

There are two basic types of IEs:

- o Attributes: are "atomic" information elements with a unique name and a simple value. Attributes can be components of Subjects.
- o Subjects: are composite information elements with a unique name and are composed of attributes and/or other subjects. Every IE that is part of a subject can have a quantity associated with it (0..1, 0..\*, etc.). The contents of a subject can be ordered or unordered.

Subjects can be nested and the SACM IM allows for circular or recursive nesting. The association of IEs via nesting results in a tree-like structure wherein subjects compose the root and intermediary nodes and attributes the leaves of the tree. This semantic structure does not impose a specific structure on SACM data models regarding data in motion or data repository schemata for data at rest.

### 3.4. Notation of Information Elements

The notation of the SACM IM is defined below and is based on a modified version of the IP Information Flow Export (IPFIX) Information Model syntax described in Section 2.1 of [RFC7012]. The customized syntax used by the SACM IM is defined below in Figure 1.

elementId (required):	The numeric identifier of the Information Element. It is used for the compact identification of an Information Element. If this identifier is used without an enterpriseID, then the elementId must be unique, and the description of allowed values is administrated by IANA. The value "TBD" may be used during
-----------------------	---



development of the information model until an `elementId` is assigned by IANA and filled in at publication time.

- `enterpriseId` (optional): Enterprises may wish to define Information Elements without registering them with IANA, for example, for enterprise-internal purposes. For such Information Elements, the `elementId` is not sufficient when used outside the enterprise. If specifications of enterprise-specific Information Elements are made public and/or if enterprise-specific identifiers are used by SACM components outside the enterprise, then the enterprise-specific identifier MUST be made globally unique by combining it with an enterprise identifier. Valid values for the `enterpriseId` are defined by IANA as Structure of Management Information (SMI) network management private enterprise numbers.
- `name` (required): A unique and meaningful name for the Information Element.
- `dataType` (required): There are two kinds of datatypes: simple and structured. Attributes are defined using simple datatypes and subjects are defined using structured datatypes. The contents of the datatype field will be either a reference to one of the simple datatypes listed in Section 5.1, or the specification of structured datatype as defined in Section 5.2.
- `status` (required): The status of the specification of the Information Element. Allowed values are "current" and "deprecated". All newly defined Information Elements have "current"

status. The process for moving Information Elements to the "deprecated" status is TBD.

- description (required): Describes the meaning of the Information Element, how it is derived, conditions for its use, etc.
- structure (optional): A parsable property that provides details about the definition of structured Information Elements as described in Section 5.2.
- references (optional): Identifies other RFCs or documents outside the IETF which provide additional information or context about the Information Element.

Figure 1: Information Element Specification Template

### 3.5. Categories

Categories are special IEs which represent a choice among multiple IEs via just one name. A prominent example of a category is network-address. Network-address is a category that every kind of network address is associated with, e.g. mac-address, ipv4-address, ipv6-address, or typed-network-address. If a subject includes network-address as one of its components, any of the category members are valid to be used in its place.

Another prominent example is EndpointIdentifier. Some IEs can be used to identify (and over time re-recognize) target endpoints - those are associated with the category endpoint-identifier.

## 4. Predefined SACM Subjects

The SACM IM provides two conceptual top-level subjects that are used to ensure a homogeneous structure for SACM content and its associated metadata: SACM statements and SACM content elements. Every set of IEs that is provided by a SACM component must provide the information contained in these two subjects although it is up to the implementer whether or not the subjects are explicitly defined in a data model.

In general, every piece of information that enables security posture assessment or further enriches the quality of the assessment process can be associated with metadata. In the SACM IM, metadata is represented by special, predefined subjects and is bundled with other

attributes or subjects to provide additional information about them. The IM explicitly defines two kinds of metadata: `sacmMetadata`, focusing on the data origin (the SACM component that provides the information to the SACM domain), and `contentMetadata`, focusing on the data source (the target endpoint that is assessed).

Metadata can also include relationships that refer to other associated IEs (or SACM content in general) by using referencing labels that have to be included in the metadata of the associated IE.

Lastly, event subjects provide a structure to represent the change of IE values that was detected by a collection task at a specific point of time.

#### 4.1. SACM Content Elements

Every piece of information that is provided by a SACM component is always associated with a set of metadata, for example, the timestamp at which this set of information was produced (e.g. by a collection task) or what target endpoint this set of information is about (e.g. the data-source or a target endpoint identifier, respectively). The subject that associates content IE with content-metadata IE is called a content-element. Content metadata can also include relationships that express associations with other content-elements.

```
contentElement = (  
  contentMetadata = (  
    collectionTimestamp = 146193322,  
    dataSource = fb02e551-7101-4e68-8dec-1fde6bd10981  
  ),  
  collectedData  
)
```

Figure 2: Example of collected data associated with a timestamp and a target endpoint label.

#### 4.2. SACM Statements

One or more SACM content elements are bundled in a SACM statement. In contrast to content-metadata, statement-metadata focuses on the providing SACM component instead of the target endpoint that the content is about. The only content-specific metadata included in the SACM statement is the content-type IE. Therefore, multiple content-elements that share the same statement metadata and are of the same content-type can be included in a single SACM statement. A SACM statement functions similar to an envelope or a header. Its purpose is to enable the tracking of the origin of data inside a SACM domain

and more importantly to enable the mitigation of conflicting information that may originate from different SACM components. How a consuming SACM component actually deals with conflicting information is out-of-scope of the SACM IM. Semantically, the term statement implies that the SACM content provided by a SACM component might not be correct in every context, but rather is the result of a best-effort to produce correct information.

```
sacmStatement = (  
  statementMetadata = (  
    publishTimestamp = 1461934031,  
    dataOrigin = 24e67957-3d31-4878-8892-da2b35e121c2,  
    content-type = observation  
  ),  
  contentElement = (  
    contentMetadata = (  
      collectionTimestamp = 146193322,  
      dataSource = fb02e551-7101-4e68-8dec-1fde6bd10981  
    ),  
    collectedData  
  )  
  contentElement = (  
    contentMetadata = (  
      collectionTimestamp = 146193324,  
      dataSource = fb02e001-7104-4e68-8dec-1fde6bd10981  
    ),  
    collectedData  
  )  
)
```

Figure 3: Example of a simple SACM statement including content elements.

#### 4.3. Relationships

An IE can be associated with another IE, e.g. a user-name attribute can be associated with a content-authorization subject. These references are expressed via the relationships subject, which can be included in a corresponding content-metadata subject. The relationships subject includes a list of one or more references. The SACM IM does not enforce a SACM domain to use unique identifiers as references. Therefore, there are at least two ways to reference another

- o The value of a reference represents a specific content-label that is unique in a SACM domain (and has to be included in the corresponding content-element metadata in order to be referenced), or

- o The reference is a subject that includes an appropriate number of IEs in order to identify the referenced content-element by its actual content.

It is recommended to provide unique identifiers in a SACM domain and the SACM IM provides a corresponding naming-convention as a reference in Section 3.1. The alternative highlighted above summarizes a valid approach that does not require unique identifiers and is similar to the approach of referencing target endpoints via identifying attributes included in a characterization record.

```
contentElement = (  
  contentMetadata = (  
    collectionTimestamp = 1461934031,  
    teLabel =  
    fb02e551-7101-4e68-8dec-1fde6bd10981  
    relationships = (  
      associated-with-user-account =  
      f3d70ef4-7e18-42af-a894-8955ba87c95d  
    )  
  ),  
  collectedData  
)  
  
contentElement = (  
  contentMetadata = (  
    contentLabel = f3d70ef4-7e18-42af-a894-8955ba87c95d  
  ),  
  collectedData  
)  
)
```

Figure 4: Example instance of a content-element subject associated with another subject via its content metadata.

#### 4.4. Event

Event subjects provide a structure to represent the change of IE values that was detected by a collection task at a specific point of time. It is mandatory to include the new values and the collection timestamp in an event subject and it is recommended to include the past values and a collection timestamp that were replaced by the new IE values. Every event can also be associated with a subject-specific event-timestamp and a lastseen-timestamp that might differ from the corresponding collection-timestamps. If these are omitted the collection-timestamp that is included in the content-metadata subject is used instead.

```

sacmStatement = (
  statementMetadata = (
    publishTimestamp = 1461934031,
    dataOrigin = 24e67957-3d31-4878-8892-da2b35e121c2,
    contentType = event
  ),
  event = (
    eventAttributes = (
      eventName = "host-name change",
      contentElement = (
        contentMetadata = (
          collectionTimestamp = 146193322,
          dataSource =
            fb02e551-7101-4e68-8dec-1fde6bd10981,
          eventComponent = past-state
        ),
        collectedData
      ),
      contentElement = (
        contentMetadata = (
          collectionTimestamp = 146195723,
          dataSource =
            fb02e551-7101-4e68-8dec-1fde6bd10981,
          eventComponent = current-state
        ),
        collectedData
      )
    )
  )
)

```

Figure 5: Example of a SACM statement containing an event.

## 5. Abstract Data Types

This section describes the set of valid abstract data types that can be used for the specification of the SACM Information Elements in Section 7. SACM currently supports two classes of datatypes that can be used to define Information Elements.

- o Simple: Datatypes that are atomic and are used to define the type of data represented by an attribute Information Element.
- o Structured: Datatypes that can be used to define the type of data represented by a subject Information Element.

Note that further abstract data types may be specified by future extensions of the SACM information model.

## 5.1. Simple Datatypes

### 5.1.1. IPFIX Datatypes

To facilitate the use of existing work, SACM supports the following abstract data types defined in Section 3 of [RFC7012].

- o unsigned8, unsigned16, unsigned32, unsigned64
- o signed8, signed16, signed32, signed64
- o float32, float64
- o boolean
- o macAddress
- o octetArray
- o string
- o dateTimeSeconds, dateTimeMilliseconds, dateTimeMicroseconds, dateTimeNanoSeconds
- o ipv4Address, ipv6Address

## 5.2. Structured Datatypes

### 5.2.1. List Datatypes

SACM defines the following abstract list data types that are used to represent the structured data associated with subjects.

- o list: indicates that the Information Element order is not significant but MAY be preserved.
- o orderedList: indicates that Information Element order is significant and MUST be preserved.

The notation for defining a SACM structured datatype is based on regular expressions, which are composed of the keywords "list" or "orderedList" and an Information Element expression. IE expressions use some of the regular expression syntax and operators, but the terms in the expression are the names of defined Information Elements instead of character classes. The syntax for defining list and orderedList datatypes is described below, using BNF:

```

<list-def> -> ("list"|"orderedList") "(" <ie-expression> ")"
<ie-expression> -> <ie-name> <cardinality>?
                  ( ("," | "|") <ie-name> <cardinality>?)*
<cardinality> -> "*" | "+" | "?" |
                  ( "(" <non-neg-int> ("," <non-neg-int>)? ")" )

```

Figure 6: Syntax for Defining List Datatypes

As seen above, multiple occurrences of an Information Element may be present in a structured datatype. The cardinality of an Information Element within a structured Information Element definition is defined by the following operators:

- \* - zero or more occurrences
- + - one or more occurrences
- ? - zero or one occurrence
- (m,n) - between m and n occurrences

Figure 7: Specifying Cardinality for Structured Datatypes

The absence of a cardinality operator implies one mandatory occurrence of the Information Element.

Below is an example of a structured Information Element definition.



```

personInfo = list(firstName, middleNames?, lastName)
firstName = string
middleNames = orderedList(middleName+)
middleName = string
lastName = string

```

As an example, consider the name "John Ronald Reuel Tolkien". Below are instances of this name, structured according to the personInfo definition.

```

personInfo = (firstName="John", middleNames(middleName="Ronald",
middleName="Reuel"), lastName="Tolkien")

personInfo = (middleNames(middleName="Ronald", middleName=" Reuel"),
lastName="Tolkien", firstName="John")

```

The instance below is not legal with respect to the definition of personInfo because the order in middleNames is not preserved.

```

personInfo = (firstName="John", middleNames(middleName=" Reuel",
middleName="Ronald"), lastName="Tolkien")

```

Figure 8: Example of Defining a Structured List Datatype

### 5.2.2. Enumeration Datatype

SACM defines the following abstract enumeration datatype that is used to represent the restriction of an attribute value to a set of values.

```

name, hex-value, description
<enumeration-def> -> -> <name> ";" <hex-value> ";" <description>
<name> -> [0-9a-zA-Z]+
<hex-value> -> 0x[0-9a-fA-F]+
<description> -> [0-9a-zA-Z\.\,]+

```

Figure 9: Syntax for Defining an Enumeration Datatype

Below is an example of a structured Information Element definition for an enumeration.

```

Red      ; 0x1  ; The color is red.
Orange   ; 0x2  ; The color is orange.
Yellow   ; 0x3  ; The color is yellow.
Green    ; 0x4  ; The color is green.
...

```

Figure 10: Example of Defining a Structured Enumeration Datatype

## 6. Information Model Assets

In order to represent the Information Elements related to the areas listed in , the information model defines the information needs (or metadata about those information needs) related to following types of assets which are defined in [I-D.ietf-sacm-terminology] (and included below for convenience) which are of interest to SACM. Specifically:

- o Endpoint
- o Software Component
- o Hardware Component
- o Identity
- o Guidance
- o Evaluation Results

The following figure shows the make up of an Endpoint asset which contains zero or more hardware components and zero or more software components each of which may have zero or more instances running an endpoint at any given time as well as zero or more identities that act on behalf of the endpoint when interfacing with other endpoints, tools, or services. An endpoint may also contain other endpoints in the case of a virtualized environment.

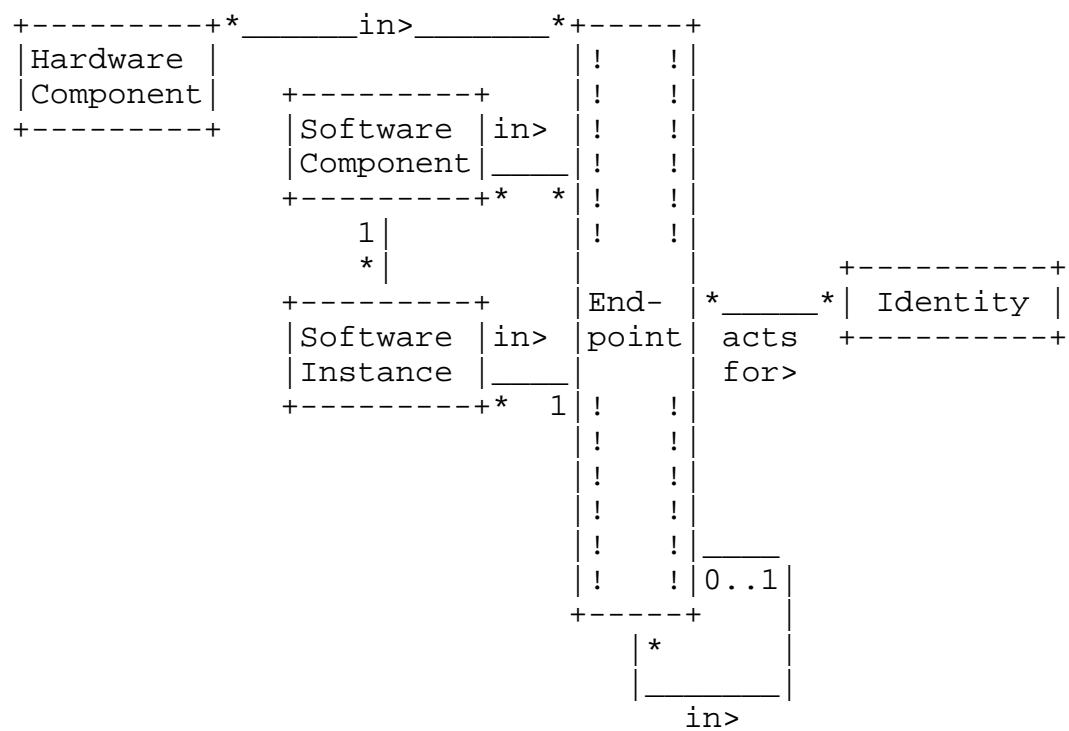


Figure 11: Model of an Endpoint

6.1. Asset

As defined in [RFC4949], an asset is a system resource that is (a) required to be protected by an information system’s security policy, (b) intended to be protected by a countermeasure, or (c) required for a system’s mission.

In the scope of SACM, an asset can be composed of other assets. Examples of Assets include: Endpoints, Software, Guidance, or Identity. Furthermore, an asset is not necessarily owned by an organization.

6.2. Endpoint

From [RFC5209], an endpoint is any computing device that can be connected to a network. Such devices normally are associated with a particular link layer address before joining the network and potentially an IP address once on the network. This includes: laptops, desktops, servers, cell phones, or any device that may have an IP address.

To further clarify, an endpoint is any physical or virtual device that may have a network address. Note that, network infrastructure

devices (e.g. switches, routers, firewalls), which fit the definition, are also considered to be endpoints within this document.

Physical endpoints are always composites that are composed of hardware components and software components. Virtual endpoints are composed entirely of software components and rely on software components that provide functions equivalent to hardware components.

The SACM architecture differentiates two essential categories of endpoints: Endpoints whose security posture is intended to be assessed (target endpoints) and endpoints that are specifically excluded from endpoint posture assessment (excluded endpoints).

### 6.3. Hardware Component

Hardware components are the distinguishable physical components that compose an endpoint. The composition of an endpoint can be changed over time by adding or removing hardware components. In essence, every physical endpoint is potentially a composite of multiple hardware components, typically resulting in a hierarchical composition of hardware components. The composition of hardware components is based on interconnects provided by specific hardware types (e.g. mainboard is a hardware type that provides local busses as an interconnect). In general, a hardware component can be distinguished by its serial number.

Examples of a hardware components include: motherboards, network interfaces, graphics cards, hard drives, etc.

### 6.4. Software Component

A software package installed on an endpoint (including the operating system) as well as a unique serial number if present (e.g. a text editor associated with a unique license key).

It should be noted that this includes both benign and harmful software packages. Examples of benign software components include: applications, patches, operating system kernel, boot loader, firmware, code embedded on a webpage, etc. Examples of malicious software components include: malware, trojans, viruses, etc.

#### 6.4.1. Software Instance

A running instance of the software component (e.g. on a multi-user system, one logged-in user has one instance of a text editor running and another logged-in user has another instance of the same text editor running, or on a single-user system, a user could have multiple independent instances of the same text editor running).

## 6.5. Identity

Any mechanism that can be used to identify an asset during an authentication process. Examples include usernames, user and device certificates, etc. Note, that this is different than the identity of assets in the context of designation as described in Section 11.1.

## 6.6. Guidance

Guidance is input instructions to processes and tasks, such as collection or evaluation. Guidance influences the behavior of a SACM component and is considered content of the management plane. Guidance can be manually or automatically generated or provided. Typically, the tasks that provide guidance to SACM components have a low-frequency and tend to be sporadic. A prominent example of guidance are target endpoint profiles, but guidance can have many forms, including:

Configuration, e.g. a SACM component's name, or a CMDB's IPv6 address.

Profiles, e.g. a set of expected states for network behavior associated with target endpoints employed by specific users.

Policies, e.g. an interval to refresh the registration of a SACM component, or a list of required capabilities for SACM components in a specific location.

### 6.6.1. Collection Guidance

A collector may need guidance to govern what it collects and when. Collection Guidance provides instructions for a Collector that specifies which endpoint attributes to collect, when to collect them, and how to collect them. Collection Guidance is composed of Target Endpoint Attribute Guidance, Frequency Guidance, and Method Guidance.

- o Target Endpoint Attribute Guidance: Set of endpoint attributes that are supposed to be collected from a target endpoint. The definition of the set of endpoint attributes is typically based on an endpoint characterization record.
- o Frequency Guidance: Specifies when endpoint attributes are to be collected.
- o Method Guidance: Indicates how endpoint attributes are to be collected.

### 6.6.2. Evaluation Guidance

An evaluator typically needs guidance to govern what it considers to be a good or bad security posture. Evaluation Guidance provides instructions for an Evaluator that specifies which endpoint attributes to evaluate, the desired state of those endpoint attributes, and any special requirements that enable an Evaluator to determine if the endpoint attributes can be used in the evaluation (e.g. freshness of data, how it was collected, etc.). Evaluation Guidance is composed of Target Endpoint Attribute Guidance, Expected Endpoint Attribute Value Guidance, and Frequency Guidance.

- o Target Endpoint Attribute Guidance: Set of target endpoint attributes that are supposed to be used in an evaluation as well as any requirements on the endpoint attributes. The definition of the set of endpoint attributes is typically based on an endpoint characterization record.
- o Expected Endpoint Attribute Value Guidance: The expected values of the endpoint attributes described in the Target Endpoint Attribute Guidance.
- o Frequency Guidance: Specifies when endpoint attributes are to be evaluated.
- o Method Guidance: Indicates how endpoint attributes are to be collected.

### 6.6.3. Classification Guidance

A SACM Component carrying out the Target Endpoint Classification Task may need guidance on how to classify an endpoint. Specifically, how to associate endpoint classes with a specific target endpoint characterization record. Target Endpoint Classes function as guidance for collection, evaluation, remediation and security posture assessment in general. Classification Guidance is composed of Target Endpoint Attribute Guidance and Class Guidance.

- o Target Endpoint Attribute Guidance: Set of target endpoint attributes that are supposed to be used to identify the endpoint characterization record.
- o Class Guidance: A list of target endpoint classes that are to be associated with the identified target endpoint characterization record.

#### 6.6.4. Storage Guidance

An SACM Component typically needs guidance to govern what information it should store and where. Storage Guidance provides instructions for a SACM Component that specifies which security automation information should be stored, for how long, and on which endpoint. Storage Guidance is composed of Target Endpoint Attribute Guidance, Expected Security Automation Information Guidance, and Retention Guidance.

- o Target Endpoint Attribute Guidance: Set of target endpoint attributes that are supposed to be used to identify the endpoint where the security automation information is to be stored.
- o Expected Security Automation Information Guidance: The security automation information that is expected to be stored (guidance, collected posture attributes, results, etc.).
- o Retention Guidance: Specifies how long the security automation information should be stored.

#### 6.6.5. Evaluation Results

Evaluation Results are the output of comparing the actual state of an endpoint against the expected state of an endpoint. In addition to the actual results of the comparison, Evaluation Results should include the Evaluation Guidance and actual target endpoint attributes values used to perform the evaluation.

### 7. Information Model Elements

This section defines the specific Information Elements and relationships that will be implemented by data models and transported between SACM Components.

#### 7.1. accessPrivilegeType

```
elementId: TBD
name: accessPrivilegeType
dataType: string
status: current
description: A set of types that represent access
privileges (read, write, none, etc.).
```

## 7.2. accountName

elementId: TBD  
name: accountName  
dataType: string  
status: current  
description: A label that uniquely identifies an account that can require some form of (user) authentication to access.

## 7.3. administrativeDomainType

elementId: TBD  
name: accessPrivilegeType  
dataType: string  
status: current  
description: A label the is supposed to uniquely identify an administrative domain.

## 7.4. addressAssociationType

elementId: TBD  
name: accessPrivilegeType  
dataType: string  
status: current  
description: A label the is supposed to uniquely identify an administrative domain.

## 7.5. addressMaskValue

elementId: TBD  
name: addressMaskValue  
dataType: string  
status: current  
description: A value that expresses a generic address subnetting bitmask.

## 7.6. addressType

elementId: TBD  
name: addressType  
dataType: string  
status: current  
description: A set of types that specifies the type of address that is expressed in an address subject (e.g. ethernet, modbus, zigbee).



### 7.7. addressValue

elementId: TBD  
name: addressValue  
dataType: string  
status: current  
description: A value that expresses a generic network address.

### 7.8. authenticator

elementId: TBD  
name: authenticator  
dataType: string  
status: current  
description: A label that references a SACM component that can authenticate target endpoints (can be used in a target-endpoint subject to express that the target endpoint was authenticated by that SACM component).

### 7.9. authenticationType

elementId: TBD  
name: authenticationType  
dataType: string  
status: current  
description: A set of types that expresses which type of authentication was used to enable a network interaction/connection.

### 7.10. certificate

elementId: TBD  
name: certificate  
dataType: string  
status: current  
description: A value that expresses a certificate that can be collected from a target endpoint.

### 7.11. collectionTaskType

elementId: TBD  
name: collectionTaskType  
dataType: string  
status: current  
description: A set of types that defines how collected SACM content was acquired (e.g. network-observation, remote-acquisition, self-reported).

## 7.12. confidence

elementId: TBD  
name: confidence  
dataType: string  
status: current  
description: A representation of the subjective probability that the assessed value is correct. If no confidence value is given, it is assumed that the confidence is 1. Acceptable values are between 0 and 1.

## 7.13. contentAction

elementId: TBD  
name: contentAction  
dataType: string  
status: current  
description: A set of types that express a type of action (e.g. add, delete, update). It can be associated, for instance, with an event subject or with a network observation.

## 7.14. dataOrigin

elementId: TBD  
name: dataOrigin  
dataType: string  
status: current  
description: A label that uniquely identifies a SACM component in and across SACM domains.

## 7.15. dataSource

elementId: TBD  
name: dataSource  
dataType: string  
status: current  
description: A label that is supposed to uniquely identify the data source (e.g. a target endpoint or sensor) that provided an initial endpoint attribute record.

## 7.16. discoverer

elementId: TBD  
name: contentAction  
dataType: string  
status: current  
description: A label that refers to the SACM component that discovered a target endpoint (can be used in a target-endpoint subject to express, for example, that the target endpoint was authenticated by that SACM component).

#### 7.17. eventType

elementId: TBD  
name: eventType  
dataType: string  
status: current  
description: a set of types that define the categories of an event (e.g. access-level-change, change-of-priviledge, change-of-authorization, environmental-event, or provisioning-event).

#### 7.18. eventThreshold

elementId: TBD  
name: eventThreshold  
dataType: string  
status: current  
description: if applicable, a value that can be included in an event subject to indicate what numeric threshold value was crossed to trigger that event.

#### 7.19. eventThresholdName

elementId: TBD  
name: eventThresholdName  
dataType: string  
status: current  
description: If an event is created due to a crossed threshold, the threshold might have a name associated with it that can be expressed via this value.

#### 7.20. eventTrigger

elementId: TBD  
name: eventTrigger  
dataType: string  
status: current  
description: This value is used to express more complex trigger conditions that may cause the creation of an event.

#### 7.21. eventTrigger

elementId: TBD  
name: eventTrigger  
dataType: string  
status: current  
description: This value is used to express more complex trigger conditions that may cause the creation of an event.

#### 7.22. firmwareId

elementId: TBD  
name: firmwareId  
dataType: string  
status: current  
description: A label that represents the BIOS or firmware ID of a specific target endpoint.

#### 7.23. hostName

elementId: TBD  
name: hostName  
dataType: string  
status: current  
description: A label typically associated with an endpoint, but, not always intended to be unique given scope.

#### 7.24. interfaceLabel

elementId: TBD  
name: interfaceLabel  
dataType: string  
status: current  
description: A unique label that can be used to reference a network interface.

## 7.25. ipv6AddressSubnetMask

elementId: TBD  
name: ipv6AddressSubnetMask  
dataType: string  
status: current  
description: An IPv6 subnet bitmask.

## 7.26. ipv6AddressSubnetMaskCidrNotation

elementId: TBD  
name: ipv6AddressSubnetMaskCidrNotation  
dataType: string  
status: current  
description: An IPv6 subnet bitmask in CIDR notation.

## 7.27. ipv6AddressValue

elementId: TBD  
name: ipv6AddressValue  
dataType: ipv6Address  
status: current  
description: An IPv6 subnet bitmask in CIDR notation.  
a network interface.

## 7.28. ipv4AddressSubnetMask

elementId: TBD  
name: ipv4AddressSubnetMask  
dataType: string  
status: current  
description: An IPv4 subnet bitmask.

## 7.29. ipv4AddressSubnetMaskCidrNotation

elementId: TBD  
name: ipv4AddressSubnetMaskCidrNotation  
dataType: string  
status: current  
description: An IPv4 subnet bitmask in CIDR notation.

## 7.30. ipv4AddressValue

elementId: TBD  
name: ipv4AddressValue  
dataType: ipv4Address  
status: current  
description: An IPv4 address value.

## 7.31. layer2InterfaceType

elementId: TBD  
name: layer2InterfaceType  
dataType: string  
status: current  
description: A set of types referenced by IANA ifType.

## 7.32. layer4PortAddress

elementId: TBD  
name: layer4PortAddress  
dataType: unsigned32  
status: current  
description: A layer 4 port address  
typically associated with TCP and UDP  
protocols.

## 7.33. layer4Protocol

elementId: TBD  
name: layer4Protocol  
dataType: string  
status: current  
description: A set of types that express a layer 4  
protocol (e.g. UDP or TCP).

## 7.34. locationName

elementId: TBD  
name: locationName  
dataType: string  
status: current  
description: A value that represents a named region of  
physical space.

## 7.35. macAddressValue

elementId: TBD  
name: macAddressValue  
dataType: string  
status: current  
description: A value that expresses an Ethernet address.

## 7.36. methodLabel

elementId: TBD  
name: methodLabel  
dataType: string  
status: current  
description: A label that references a specific method registered and used in a SACM domain (e.g. method to match and re-identify target endpoints via identifying attributes).

## 7.37. methodRepository

elementId: TBD  
name: methodRepository  
dataType: string  
status: current  
description: A label that references a SACM component methods can be registered at and that can provide guidance in the form of registered methods to other SACM components.

## 7.38. networkAccessLevelType

elementId: TBD  
name: networkAccessLevelType  
dataType: string  
status: current  
description: A set of types that expresses categories of network access-levels (e.g. block, quarantine, etc.).

## 7.39. networkId

elementId: TBD  
name: networkId  
dataType: string  
status: current  
description: Most networks such as AS, OSBF domains, or VLANs can have an ID.

## 7.40. networkInterfaceName

elementId: TBD  
name: networkInterfaceName  
dataType: string  
status: current  
description: A label that uniquely identifies an interface associated with a distinguishable endpoint.

## 7.41. networkLayer

elementId: TBD  
name: networkLayer  
dataType: string  
status: current  
description: A set of layers that expresses the specific network layer an interface operates on.

## 7.42. networkName

elementId: TBD  
name: networkName  
dataType: string  
status: current  
description: A label that is associated with a network. Some networks, for example, effective layer2-broadcast-domains are difficult to "grasp" and therefore quite difficult to name.

## 7.43. organizationId

elementId: TBD  
name: organizationId  
dataType: string  
status: current  
description: A label that uniquely identifies an organization via a PEN.

## 7.44. osComponent

elementId: TBD  
name: osComponent  
dataType: string  
status: current  
description: A label that references a "sub-component" that is part of the operating system (e.g. a kernel module, microcode, or ACPI table).

## 7.45. osLabel

elementId: TBD  
name: osLabel  
dataType: string  
status: current  
description: A label that references a specific version of an operating system, including patches and hotfixes.



## 7.46. osName

elementId: TBD  
name: osName  
dataType: string  
status: current  
description: The name of an operating system.

## 7.47. osType

elementId: TBD  
name: osType  
dataType: string  
status: current  
description: A set of types that identifies the type of an operating system (e.g. real-time, security-enhanced, consumer, server).

## 7.48. osVersion

elementId: TBD  
name: osVersion  
dataType: string  
status: current  
description: A value that represents the version of an operating-system.

## 7.49. privilegeName

elementId: TBD  
name: privilegeName  
dataType: string  
status: current  
description: The attribute name of the privilege represented as an AVP.

## 7.50. privilegeValue

elementId: TBD  
name: privilegeValue  
dataType: string  
status: current  
description: The value content of the privilege represented as an AVP.

## 7.51. protocol

elementId: TBD  
name: protocol  
dataType: string  
status: current  
description: A set of types that defines specific protocols above layer 4 (e.g. http, https, dns, ipp, or unknown).

## 7.52. publicKey

elementId: TBD  
name: publicKey  
dataType: string  
status: current  
description: The value of a public key (regardless of its method of creation, crypto-system, or signature scheme) that can be collected from a target endpoint.

## 7.53. relationshipContentElementGuid

elementId: TBD  
name: relationshipContentElementGuid  
dataType: string  
status: current  
description: A reference to a specific content element used in a relationship subject.

## 7.54. relationshipStatementElementGuid

elementId: TBD  
name: relationshipStatementElementGuid  
dataType: string  
status: current  
description: A reference to a specific SACM statement used in a relationship subject.

## 7.55. relationshipObjectLabel

elementId: TBD  
name: relationshipObjectLabel  
dataType: string  
status: current  
description: A reference to a specific label used in content (e.g. a te-label or a user-id). This reference is typically used if matching content attribute can be done efficiently and can also be included in addition to a relationship-content-element-guid reference.

#### 7.56. relationshipType

elementId: TBD  
name: relationshipType  
dataType: string  
status: current  
description: A set of types that is in every instance of a relationship subject to highlight what kind of relationship exists between the subject the relationship is included in (e.g. associated\_with\_user, applies\_to\_session, seen\_on\_interface, associated\_with\_flow, contains\_virtual\_device).

#### 7.57. roleName

elementId: TBD  
name: roleName  
dataType: string  
status: current  
description: A label that references a collection of privileges assigned to a specific entity (identity? FIXME).

#### 7.58. sessionStateType

elementId: TBD  
name: sessionStateType  
dataType: string  
status: current  
description: A set of types a discernible session (an ongoing network interaction) can be in (e.g. Authenticating, Authenticated, Postured, Started, Disconnected).

## 7.59. statementGuid

elementId: TBD  
name: statementGuid  
dataType: string  
status: current  
description: A label that expresses a global unique ID referencing a specific SACM statement that was produced by a SACM component.

## 7.60. statementType

elementId: TBD  
name: statementType  
dataType: string  
status: current  
description: A set of types that define the type of content that is included in a SACM statement (e.g. Observation, DirectoryContent, Correlation, Assessment, Guidance).

## 7.61. status

elementId: TBD  
name: status  
dataType: string  
status: current  
description: A set of types that defines possible result values for a finding in general (e.g. true, false, error, unknown, not applicable, not evaluated).

## 7.62. subAdministrativeDomain

elementId: TBD  
name: subAdministrativeDomain  
dataType: string  
status: current  
description: A label for related child domains an administrative domain can be composed of (used in the subject administrative-domain)

## 7.63. subInterfaceLabel

elementId: TBD  
name: subInterfaceLabel  
dataType: string  
status: current  
description: A unique label a sub network interface  
(e.g. a tagged vlan on a trunk) can be referenced  
with.

#### 7.64. superAdministrativeDomain

elementId: TBD  
name: superAdministrativeDomain  
dataType: string  
status: current  
description: a label for related parent domains an  
administrative domain is part of (used  
in the subject s.administrative-domain).

#### 7.65. superInterfaceLabel

elementId: TBD  
name: superInterfaceLabel  
dataType: string  
status: current  
description: a unique label a super network interface  
(e.g. a physical interface a tunnel  
interface terminates on) can be referenced  
with.

#### 7.66. teAssessmentState

elementId: TBD  
name: teAssessmentState  
dataType: string  
status: current  
description: a set of types that defines the state of  
assessment of a target-endpoint (e.g.  
in-discovery, discovered, in-classification,  
classified, in-assessment, assessed).

#### 7.67. teLabel

elementId: TBD  
name: teLabel  
dataType: string  
status: current  
description: an identifying label created from a set  
of identifying attributes used to reference  
a specific target endpoint.

#### 7.68. teId

elementId: TBD  
name: teId  
dataType: string  
status: current  
description: an identifying label that is created  
randomly, is supposed to be unique, and  
used to reference a specific target  
endpoint.

#### 7.69. timestampType

elementId: TBD  
name: timestampType  
dataType: string  
status: current  
description: a set of types that express what type of  
action or event happened at that point  
of time (e.g. discovered, classified,  
collected, published). Can be included in  
a generic s.timestamp subject.

#### 7.70. WGS84Longitude

elementId: TBD  
name: WGS84Longitude  
dataType: float  
status: current  
description: a label that represents WGS 84 rev 2004  
longitude.

#### 7.71. WGS84Latitude

elementId: TBD  
name: WGS84Latitude  
dataType: float  
status: current  
description: a label that represents WGS 84 rev 2004  
latitude.

## 7.72. WGS84Altitude

elementId: TBD  
name: WGS84Altitude  
dataType: float  
status: current  
description: a label that represents WGS 84 rev 2004  
altitude.

## 7.73. hardwareSerialNumber

elementId: TBD  
name: hardwareSerialNumber  
dataType: string  
status: current  
description: A globally unique identifier for a particular  
piece of hardware assigned by the vendor.

## 7.74. interfaceName

elementId: TBD  
name: interfaceName  
dataType: string  
status: current  
description: A short name uniquely describing an interface,  
eg "Eth1/0". See [RFC2863] for the definition  
of the ifName object.

## 7.75. interfaceIndex

elementId: TBD  
name: interfaceIndex  
dataType: unsigned32  
status: current  
description: The index of an interface installed on an endpoint.  
The value matches the value of managed object  
'ifIndex' as defined in [RFC2863]. Note that ifIndex  
values are not assigned statically to an interface  
and that the interfaces may be renumbered every time  
the device's management system is re-initialized,  
as specified in [RFC2863].

## 7.76. interfaceMacAddress

elementId: TBD  
name: interfaceMacAddress  
dataType: macAddress  
status: current  
description: The IEEE 802 MAC address associated with a network interface on an endpoint.

#### 7.77. interfaceType

elementId: TBD  
name: interfaceType  
dataType: unsigned32  
status: current  
description: The type of a network interface. The value matches the value of managed object 'ifType' as defined in [IANA registry ianaiftype-mib].

#### 7.78. interfaceFlags

elementId: TBD  
name: interfaceFlags  
dataType: unsigned16  
status: current  
description: This information element specifies the flags associated with a network interface. Possible values include:

structure: Up	; 0x1	; Interface is up.
Broadcast	; 0x2	; Broadcast address valid.
Debug	; 0x4	; Turn on debugging.
Loopback	; 0x8	; Is a loopback net.
Point-to-point	; 0x10	; Interface is point-to-point link.
No trailers	; 0x20	; Avoid use of trailers.
Resources allocated	; 0x40	; Resources allocated.
No ARP	; 0x80	; No address resolution protocol.
Receive all	; 0x100	; Receive all packets.

#### 7.79. networkInterface



```
elementId: TBD
name: networkInterface
dataType: orderedList
status: current
description: Information about a network interface
             installed on an endpoint. The
             following high-level digram
             describes the structure of
             networkInterface information
             element.
structure: orderedList(interfaceName, interfaceIndex, macAddress,
                       ifType, flags)
```

#### 7.80. globallyUniqueIdentifier

```
elementId: TBD
name: globallyUniqueIdentifier
dataType: unsigned8
status: current
metadata: true
description: TODO.
```

#### 7.81. dataOrigin

```
elementId: TBD
name: dataOrigin
dataType: string
status: current
metadata: true
description: The origin of the data.
```

#### 7.82. dataSource

```
elementId: TBD
name: dataSource
dataType: string
status: current
metadata: true
description: The source of the data.
```

#### 7.83. creationTimestamp

elementId: TBD  
name: creationTimestamp  
dataType: dateTimeSeconds  
status: current  
metadata: true  
description: The date and time when the posture  
information was created by a SACM Component.

#### 7.84. collectionTimestamp

elementId: TBD  
name: collectionTimestamp  
dataType: dateTimeSeconds  
status: current  
metadata: true  
description: The date and time when the posture  
information was collected or observed by a SACM  
Component.

#### 7.85. publicationTimestamp

elementId: TBD  
name: publicationTimestamp  
dataType: dateTimeSeconds  
status: current  
metadata: true  
description: The date and time when the posture  
information was published.

#### 7.86. relayTimestamp

elementId: TBD  
name: relayTimestamp  
dataType: dateTimeSeconds  
status: current  
metadata: true  
description: The date and time when the posture  
information was relayed to another SACM Component.

#### 7.87. storageTimestamp

elementId: TBD  
name: storageTimestamp  
dataType: dateTimeSeconds  
status: current  
metadata: true  
description: The date and time when the posture  
information was stored in a Repository.

## 7.88. type

elementId: TBD  
name: type  
dataType: enumeration  
status: current  
metadata: true  
description: The type of data model use to represent  
              some set of endpoint information. The following  
              table lists the set of data models supported by SACM.  
structure: TBD

## 7.89. protocolIdentifier

elementId: TBD  
name: protocolIdentifier  
dataType: unsigned8  
status: current  
description: The value of the protocol number in the IP packet  
              header. The protocol number identifies the IP packet  
              payload type. Protocol numbers are defined in the  
              IANA Protocol Numbers registry.

In Internet Protocol version 4 (IPv4), this is  
carried in the Protocol field. In Internet Protocol  
version 6 (IPv6), this is carried in the Next Header  
field in the last extension header of the packet.

## 7.90. sourceTransportPort

elementId: TBD  
name: sourceTransportPort  
dataType: unsigned16  
status: current  
description: The source port identifier in the transport header.  
              For the transport protocols UDP, TCP, and SCTP, this  
              is the source port number given in the respective  
              header. This field MAY also be used for future  
              transport protocols that have 16-bit source port  
              identifiers.

## 7.91. sourceIPv4PrefixLength

elementId: TBD  
name: sourceIPv4PrefixLength  
dataType: unsigned8  
status: current  
description: The number of contiguous bits that are relevant in  
the sourceIPv4Prefix Information Element.

#### 7.92. ingressInterface

elementId: TBD  
name: ingressInterface  
dataType: unsigned32  
status: current  
description: The index of the IP interface where packets of this  
Flow are being received. The value matches the  
value of managed object 'ifIndex' as defined in  
[RFC2863]. Note that ifIndex values are not assigned  
statically to an interface and that the interfaces  
may be renumbered every time the device's management  
system is re-initialized, as specified in [RFC2863].

#### 7.93. destinationTransportPort

elementId: TBD  
name: destinationTransportPort  
dataType: unsigned16  
status: current  
description: The destination port identifier in the transport  
header. For the transport protocols UDP, TCP, and  
SCTP, this is the destination port number given in  
the respective header. This field MAY also be used  
for future transport protocols that have 16-bit  
destination port identifiers.

#### 7.94. sourceIPv6PrefixLength

elementId: TBD  
name: sourceIPv6PrefixLength  
dataType: unsigned8  
status: current  
description: The number of contiguous bits that are relevant in  
the sourceIPv6Prefix Information Element.

#### 7.95. sourceIPv4Prefix

elementId: TBD  
name: sourceIPv4Prefix  
dataType: ipv4Address  
status: current  
description: IPv4 source address prefix.

7.96. destinationIPv4Prefix

elementId: TBD  
name: destinationIPv4Prefix  
dataType: ipv4Address  
status: current  
description: IPv4 destination address prefix.

7.97. sourceMacAddress

elementId: TBD  
name: sourceMacAddress  
dataType: macAddress  
status: current  
description: The IEEE 802 source MAC address field.

7.98. ipVersion

elementId: TBD  
name: ipVersion  
dataType: unsigned8  
status: current  
description: The IP version field in the IP packet header.

7.99. interfaceDescription

elementId: TBD  
name: interfaceDescription  
dataType: string  
status: current  
description: The description of an interface, eg "FastEthernet  
1/0" or "ISP  
connection".

7.100. exporterIPv4Address

elementId: TBD  
name: exporterIPv4Address  
dataType: ipv4Address  
status: current  
description: The IPv4 address used by the Exporting Process.  
This is used by the Collector to identify the  
Exporter in cases where the identity of the Exporter  
may have been obscured by the use of a proxy.

#### 7.101. exporterIPv6Address

elementId: TBD  
name: exporterIPv6Address  
dataType: ipv6Address  
status: current  
description: The IPv6 address used by the Exporting Process.  
This is used by the Collector to identify the  
Exporter in cases where the identity of the  
Exporter may have been obscured by the use of a  
proxy.

#### 7.102. portId

elementId: TBD  
name: portId  
dataType: unsigned32  
status: current  
description: An identifier of a line port that is unique per  
IPFIX Device hosting an Observation Point.  
Typically, this Information Element is used for  
limiting the scope of other Information Elements.

#### 7.103. templateId

elementId: TBD  
name: templateId  
dataType: unsigned16  
status: current  
description: An identifier of a Template that is locally unique within a combination of a Transport session and an Observation Domain.

Template IDs 0-255 are reserved for Template Sets, Options Template Sets, and other reserved Sets yet to be created. Template IDs of Data Sets are numbered from 256 to 65535.

Typically, this Information Element is used for limiting the scope of other Information Elements. Note that after a re-start of the Exporting Process Template identifiers may be re-assigned.

#### 7.104. collectorIPv4Address

elementId: TBD  
name: collectorIPv4Address  
dataType: ipv4Address  
status: current  
description: An IPv4 address to which the Exporting Process sends Flow information.

#### 7.105. collectorIPv6Address

elementId: TBD  
name: collectorIPv6Address  
dataType: ipv6Address  
status: current  
description: An IPv6 address to which the Exporting Process sends Flow information.

#### 7.106. interface

elementId: TBD  
name: interface  
dataType: list  
structure: list (InterfaceName, hwAddress, inetAddr, netmask)  
status: current  
description: Represents an interface and its configuration options.

## 7.107. interfaceName

elementId: TBD  
name: interfaceName  
dataType: string  
status: current  
description: The interface  
name.

## 7.108. physicalProtocol

elementId: TBD  
name: physicalProtocol  
dataType: enumeration  
structure:  
ETH\_P\_LOOP ; 0x1 ; Ethernet loopback packet.  
ETH\_P\_PUP ; 0x2 ; Xerox PUP packet.  
ETH\_P\_PUPAT ; 0x3 ; Xerox PUP Address Transport packet.  
ETH\_P\_IP ; 0x4 ; Internet protocol packet.  
ETH\_P\_X25 ; 0x5 ; CCITT X.25 packet.  
ETH\_P\_ARP ; 0x6 ; Address resolution packet.  
ETH\_P\_BPQ ; 0x7 ; G8BPQ AX.25 ethernet packet.  
ETH\_P\_IEEE802.3\_PUP ; 0x8 ; Xerox IEEE802.3 PUP packet.  
ETH\_P\_IEEE802.3\_PUPAT ; 0x9 ; Xerox IEEE802.3 PUP address transport  
packet.  
ETH\_P\_DEC ; 0xA ; DEC assigned protocol.  
ETH\_P\_DNA\_DL ; 0xB ; DEC DNA Dump/Load.  
ETH\_P\_DNA\_RC ; 0xC ; DEC DNA Remote Console.  
ETH\_P\_DNA\_RT ; 0xD ; DEC DNA Routing.  
ETH\_P\_LAT ; 0xE ; DEC LAT.  
ETH\_P\_DIAG ; 0xF ; DEC Diagnostics.  
ETH\_P\_CUST ; 0x10 ; DEC Customer use.  
ETH\_P\_SCA ; 0x11 ; DEC Systems Comms Arch.  
ETH\_P\_RARP ; 0x12 ; Reverse address resolution packet.  
ETH\_P\_ATA ; 0x13 ; Appletalk DDP.  
ETH\_P\_AARP ; 0x14 ; Appletalk AARP.  
ETH\_P\_802.1Q ; 0x15 ; 802.1Q VLAN Extended Header.  
ETH\_P\_IPX ; 0x16 ; IPX over DIX.  
ETH\_P\_IPV6 ; 0x17 ; IPv6 over bluebook.  
ETH\_P\_SLOW ; 0x18 ; Slow Protocol. See 802.3ad 43B.  
ETH\_P\_WCCP ; 0x19 ; Web-cache coordination protocol.  
ETH\_P\_PPP\_DISC ; 0x1A ; PPPoE discovery messages.  
ETH\_P\_PPP\_SES ; 0x1B ; PPPoE session messages.  
ETH\_P\_MPLS\_UC ; 0x1C ; MPLS Unicast traffic.  
ETH\_P\_MPLS\_MC ; 0x1D ; MPLS Multicast traffic.  
ETH\_P\_ATMMPOA ; 0x1E ; MultiProtocol Over ATM.  
ETH\_P\_ATMFATE ; 0x1F ; Frame-based ATM Transport over Ethernet.  
ETH\_P\_AOE ; 0x20 ; ATA over Ethernet.



ETH\_P\_TIPC ; 0x21 ; TIPC.  
ETH\_P\_802\_3 ; 0x22 ; Dummy type for 802.3 frames.  
ETH\_P\_AX25 ; 0x23 ; Dummy protocol id for AX.25.  
ETH\_P\_ALL ; 0x24 ; Every packet.  
ETH\_P\_802\_2 ; 0x25 ; 802.2 frames.  
ETH\_P\_SNAP ; 0x26 ; Internal only.  
ETH\_P\_DDCMP ; 0x27 ; DEC DDCMP: Internal only  
ETH\_P\_WAN\_PPP ; 0x28 ; Dummy type for WAN PPP frames.  
ETH\_P\_PPP\_MP ; 0x29 ; Dummy type for PPP MP frames.  
ETH\_P\_PPPTALK ; 0x2A ; Dummy type for Atalk over PPP.  
ETH\_P\_LOCALTALK ; 0x2B ; Localtalk pseudo type.  
ETH\_P\_TR\_802\_2 ; 0x2C ; 802.2 frames.  
ETH\_P\_MOBITEX ; 0x2D ; Mobitex.  
ETH\_P\_CONTROL ; 0x2E ; Card specific control frames.  
ETH\_P\_IRDA ; 0x2F ; Linux-IrDA.  
ETH\_P\_ECONET ; 0x30 ; Acorn Econet.  
ETH\_P\_HDLC ; 0x31 ; HDLC frames.  
ETH\_P\_ARCNET ; 0x32 ; 1A for ArcNet.  
                  ; 0x33 ; The empty string value is permitted here  
                  to allow for detailed error reporting.  
status: current  
description: The physical layer protocol used by the AF\_PACKET  
socket.

#### 7.109. hwAddress

elementId: TBD  
name: hwAddress  
dataType: string  
status: current  
description: The hardware address associated  
            with the interface.

#### 7.110. transportProtocol

elementId: TBD  
name: transportProtocol  
dataType: string  
status: current  
description: The transport-layer  
            protocol (tcp or udp).

#### 7.111. localAddress

elementId: TBD  
name: localAddress  
dataType: ipAddress  
status: current  
description: This is the IP address being listened to. Note that the IP address can be IPv4 or IPv6.

#### 7.112. localPort

elementId: TBD  
name: localPort  
dataType: integer  
status: current  
description: This is the TCP or UDP port being listened to.

#### 7.113. localFullAddress

elementId: TBD  
name: localFullAddress  
dataType: string  
status: current  
description: The IP address and network port on which the program listens, including the local address and the local port. Note that the IP address can be IPv4 or IPv6.

#### 7.114. foreignAddress

elementId: TBD  
name: foreignAddress  
dataType: ipAddress  
status: current  
description: The IP address with which the program is communicating, or with which it will communicate. Note that the IP address can be IPv4 or IPv6.

#### 7.115. foreignFullAddress

elementId: TBD  
name: foreignFullAddress  
dataType: ipAddress  
status: current  
description: The IP address and network port to which the program is communicating or will accept communications from, including the foreign address and foreign port. Note that the IP address can be IPv4 or IPv6.

## 8. Acknowledgements

Many of the specifications in this document have been developed in a public-private partnership with vendors and end-users. The hard work of the SCAP community is appreciated in advancing these efforts to their current level of adoption.

Over the course of developing the initial draft, Brant Cheikes, Matt Hansbury, Daniel Haynes, Scott Pope, Charles Schmidt, and Steve Venema have contributed text to many sections of this document.

## 9. IANA Considerations

This document specifies an initial set of Information Elements for SACM in Section 7. An Internet Assigned Numbers Authority (IANA) registry will be created and populated with the Information Elements in Section 7. New assignments for SACM Information Elements will be administered by IANA through Expert Review [RFC2434]. The designated experts MUST check the requested Information Elements for completeness and accuracy of the submission with respect to the template and requirements expressed in Section 3.3 and Section 3.1. Requests for Information Elements that duplicate the functionality of existing Information Elements SHOULD be declined. The smallest available Information Element identifier SHOULD be assigned to a new Information Element. The definition of new Information Elements MUST be published using a well-established and persistent publication medium.

## 10. Security Considerations

Posture Assessments need to be performed in a safe and secure manner. In that regard, there are multiple aspects of security that apply to the communications between components as well as the capabilities themselves. This information model only contains an initial listing of items that need to be considered with respect to security and will need to be augmented as the model continues to be developed.

Security considerations include:

**Authentication:** Every SACM Component and asset needs to be able to identify itself and verify the identity of other SACM Components and assets.

**Confidentiality:** Communications between SACM Components need to be protected from eavesdropping or unauthorized collection. Some communications between SACM Components and assets may need to be protected as well.

**Integrity:** The information exchanged between SACM Components needs to be protected from modification. Some exchanges between assets and SACM Components will also have this requirement.

**Restricted Access:** Access to the information collected, evaluated, reported, and stored should only be viewable and consumable to authenticated and authorized entities.

Considerations with respect to the operational aspects of collection, evaluation, and storage security automation information can be found in Section 11.

Considerations concerning the privacy of security automation information can be found in Section 12.

## 11. Operational Considerations

The following sections outline a series of operational considerations for SACM deployments within an organization. This section may be expanded to include other considerations as the WG gains additional operational experience with SACM deployments and extending the information model.

### 11.1. Endpoint Designation

In order to successfully carry out endpoint posture assessment, it is necessary to be able to identify the endpoints on a network and track the changes to them over time. Specifically, enabling SACM Components to:

- o Tell whether two endpoint attribute assertions concern the same endpoint
- o Respond to compliance measurements, for example by reporting, remediating, and quarantining (SACM does not specify these responses, but SACM exists to enable them).

Ideally, every endpoint would be identified by a unique identifier present on the endpoint, but, this is complicated due to different factors such as the variety of endpoints on a network, the ability of tools to reliably access such an identifier, and the ability of tools to correlate disparate identifiers. As a result, it is necessary for an endpoint to be identified by a set of attributes that uniquely identify it on a network. The set of attributes that uniquely identify an endpoint on a network will likely vary by organization; however, there are a number of properties to consider when selecting identifying attributes as some are better suited for identification purposes than others.

**Multiplicity:** Is the attribute typically associated with a single endpoint or with multiple endpoints? If the attribute is associated with a single endpoint, it is better for identifying an endpoint on a network.

**Persistence:** How likely is the attribute to change? Does it never change? Does it only change when the endpoint is reprovisioned? Does it only change due to an event? Does it change on an ad-hoc and often unpredictable basis? Does it constantly change? The less likely it is for an attribute to change over time, the better it is for identifying an endpoint on a network.

**Immutability:** How difficult is it to change the attribute? Is the attribute hardware rooted and never changes? Can the attribute be changed by a user/process with the appropriate access? Can the attribute be changed without controlled access. The less likely an attribute is to change over time, the better chance it will be usable to identify an endpoint over time.

**Verifiable:** Can the attribute be corroborated? Can the attribute be externally verified with source authentication? Can the attribute be externally verified without source authentication? Is it impossible to externally verify the attribute. Attributes that can be externally verified are more likely to be accurate and are better for identifying endpoints on a network.

With that said, requiring SACM Components and end users to constantly refer to a set of attributes to identify an endpoint, is particularly burdensome. As a result, SACM supports the concept of a target endpoint label which associates an identifier (unique to a SACM domain) with the set of attributes used by an organization to identify endpoints on a network. Once defined for an endpoint, the target endpoint label can be used in place of the set of identifying attributes.

## 11.2. Timestamp Accuracy

An organization will likely have different collectors deployed across the network that will be configured to collect posture attributes on varying frequencies (periodic, ad-hoc, event-driven, on endpoint, off endpoint, etc.). Some collectors will detect changes as soon as they occur whereas others will detect them at a later point during a periodic scan or when an event has triggered the collection of posture attributes. Furthermore, some changes will be detected on the endpoint and others will be observed off of the endpoint. As a

result of these differences, the accuracy of the timestamp associated with the collected information will vary. For example, if a collector is only running once every 12 hours, the change probably happened at some point in time prior to the scan and the timestamp is likely not accurate. Due to this, it is important for system administrators to determine if the accuracy of a timestamp is good enough for their intended purposes.

## 12. Privacy Considerations

In the IETF, there are privacy concerns with respect to endpoint identity and monitoring. This is especially true when the activity on an endpoint can be linked to a particular person. For example, by correlating endpoint attributes such as usernames, certificates, etc. with browser activity, it may be possible to gain insight in to user behavior and trends beyond what is required to carry out endpoint posture assessments. In the hands of the wrong person, this information could be used to negatively influence a user's behavior or to plan attacks against the organization's infrastructure.

As a result, SACM data models should incorporate a mechanism by which an organization can designate which endpoint attributes are considered sensitive with respect to privacy. This will allow SACM Components to handle endpoint attributes in a manner consistent with the organization's privacy policies. Furthermore, organization's should put the proper mechanism in place to ensure endpoint attributes are protected when transmitted, stored, and accessed to ensure only authorized parties are granted access.

It should also be noted that some of this is often mitigated by organizational policies that require a user of an organization's network to consent to some level of monitoring in return for access to the network and other resources. The information that is monitored and collected will vary by organization and further highlights the need for a mechanism by which an organization can specify what constitutes privacy sensitive information for them.

## 13. References

### 13.1. Normative References

- [PEN] Internet Assigned Numbers Authority, "Private Enterprise Numbers", July 2016, <<https://www.iana.org/assignments/enterprise-numbers/enterprise-numbers>>.

- [RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", BCP 14, RFC 2119, DOI 10.17487/RFC2119, March 1997, <<http://www.rfc-editor.org/info/rfc2119>>.

### 13.2. Informative References

- [I-D.ietf-sacm-requirements] Cam-Winget, N. and L. Lorenzin, "Secure Automation and Continuous Monitoring (SACM) Requirements", draft-ietf-sacm-requirements-01 (work in progress), October 2014.
- [I-D.ietf-sacm-terminology] Waltermire, D., Montville, A., Harrington, D., and N. Cam-Winget, "Terminology for Security Assessment", draft-ietf-sacm-terminology-05 (work in progress), August 2014.
- [RFC2434] Narten, T. and H. Alvestrand, "Guidelines for Writing an IANA Considerations Section in RFCs", RFC 2434, DOI 10.17487/RFC2434, October 1998, <<http://www.rfc-editor.org/info/rfc2434>>.
- [RFC3580] Congdon, P., Aboba, B., Smith, A., Zorn, G., and J. Roese, "IEEE 802.1X Remote Authentication Dial In User Service (RADIUS) Usage Guidelines", RFC 3580, DOI 10.17487/RFC3580, September 2003, <<http://www.rfc-editor.org/info/rfc3580>>.
- [RFC4949] Shirey, R., "Internet Security Glossary, Version 2", FYI 36, RFC 4949, DOI 10.17487/RFC4949, August 2007, <<http://www.rfc-editor.org/info/rfc4949>>.
- [RFC5209] Sangster, P., Khosravi, H., Mani, M., Narayan, K., and J. Tardo, "Network Endpoint Assessment (NEA): Overview and Requirements", RFC 5209, DOI 10.17487/RFC5209, June 2008, <<http://www.rfc-editor.org/info/rfc5209>>.
- [RFC5793] Sahita, R., Hanna, S., Hurst, R., and K. Narayan, "PB-TNC: A Posture Broker (PB) Protocol Compatible with Trusted Network Connect (TNC)", RFC 5793, DOI 10.17487/RFC5793, March 2010, <<http://www.rfc-editor.org/info/rfc5793>>.
- [RFC7012] Claise, B., Ed. and B. Trammell, Ed., "Information Model for IP Flow Information Export (IPFIX)", RFC 7012, DOI 10.17487/RFC7012, September 2013, <<http://www.rfc-editor.org/info/rfc7012>>.

[RFC7632] Waltermire, D. and D. Harrington, "Endpoint Security Posture Assessment: Enterprise Use Cases", RFC 7632, DOI 10.17487/RFC7632, September 2015, <<http://www.rfc-editor.org/info/rfc7632>>.

## Appendix A. Change Log

### A.1. Changes in Revision 01

Added some proposed normative text.

For provenance:

Added a class "Method"

Added the produced-using relationship between an AVP and a method

Added the produced-by relationship between a Guidance and a SACM Component

Added the hosted-by relationship between a SACM Component and an Endpoint

asserted-by and summarized-by have been renamed to produced-by.

"User" is now "Account". If a user has different credentials, SACM cannot know that they belong to the same user. But, per Kim W, many organizations do have accounts that associate credentials.

The multiplicity of the based-on relationships has been corrected.

More relationships now have labels, per UML convention.

The diagram no longer has causal arrow. They had become redundant and were nonstandard and clutter.

Renamed "credential" to "identity", following industry usage. A credential includes proof, such as a key or password. A username or a distinguished name is called an "identity".

Removed Session, because an endpoint's network activity is not SACM's initial focus

Removed Authorization, for the same reason

Added many-to-many relationship between Hardware Component and Endpoint, for clarity



Added many-to-many relationship between Software Component and Endpoint, for clarity

Added "contains" relationship between Network Interface and Network Interface

Removed relationship between Network Interface and Account. The endpoint knows the identity it used to gain network access. The PDP also knows that. But they probably do not know the account.

Added relationship between Network Interface and Identity. The endpoint and the PDP will typically know the identity.

Made identity-to-account a many-to-one relationship.

#### A.2. Changes in Revision 02

Added Section Identifying Attributes.

Split the figure into Figure Model of Endpoint and Figure Information Elements.

Added Figure Information Elements Take 2, proposing a triple-store model.

Some editorial cleanup

#### A.3. Changes in Revision 03

Moved Appendix A.1, Appendix A.2, and Mapping to SACM Use Cases into the Appendix. Added a reference to it in Section 1

Added the Section 3.3 section. Provided notes for the type of information we need to add in this section.

Added the Section 6 section. Moved sections on Endpoint, Hardware Component, Software Component, Hardware Instance, and Software Instance there. Provided notes for the type of information we need to add in this section.

Removed the Provenance of Information Section. SACM is not going to solve provenance rather give organizations enough information to figure it out.

Updated references to the Endpoint Security Posture Assessment: Enterprise Use Cases document to reflect that it was published as an RFC.

Fixed the formatting of a few figures.

Included references to [RFC3580] where RADIUS is mentioned.

#### A.4. Changes in Revision 04

Integrated the IPFIX [RFC7012] syntax into Section 3.3.

Converted many of the existing SACM Information Elements to the IPFIX syntax.

Included existing IPFIX Information Elements and datatypes that could likely be reused for SACM in Section 7 and Section 3.3 respectively.

Removed the sections related to reports as described in <https://github.com/sacmwg/draft-ietf-sacm-information-model/issues/30>.

Cleaned up other text throughout the document.

#### A.5. Changes in Revision 05

Merged proposed changes from the I-D IM into the WG IM (<https://github.com/sacmwg/draft-ietf-sacm-information-model/issues/41>).

Fixed some formatting warnings.

Removed a duplicate IE and added a few IE datatypes that were missing.

#### A.6. Changes in Revision 06

Clarified that the SACM statement and content-element subjects are conceptual and that they do not need to be explicitly defined in a data model as long as the necessary information is provided.

Updated the IPFIX syntax used to define Information Elements. There are still a couple of open issues that need to be resolved.

Updated some of the Information Elements contained in Section 7 to use the revised IPFIX syntax. The rest of the Information Elements will be converted in a later revision.

Performed various clean-up and refactoring in Sections 6 and 7. Still need to go through Section 8.

Removed appendices that were not referenced in the body of the draft. The text from them is still available in previous revisions of this document if needed.

#### A.7. Changes in Revision 07

Made various changes to the IPFIX syntax based on discussions at the IETF 96 Meeting. Changes included the addition of a structure property to the IE specification template, the creation of an enumeration datatype, and the specification of an IE naming convention.

Provided text to define Collection Guidance, Evaluation Guidance, Classification Guidance, Storage Guidance, and Evaluation Results.

Included additional IEs related to software, configuration, and the vulnerability assessment scenario.

Added text for the IANA considerations, security considerations, operational considerations, and privacy considerations sections.

Performed various other editorial changes and clean-up.

#### Authors' Addresses

David Waltermire (editor)  
National Institute of Standards and Technology  
100 Bureau Drive  
Gaithersburg, Maryland 20877  
USA

Email: david.waltermire@nist.gov

Kim Watson  
United States Department of Homeland Security  
DHS/CS&C/FNR  
245 Murray Ln. SW, Bldg 410  
MS0613  
Washington, DC 20528  
USA

Email: kimberly.watson@hq.dhs.gov

Clifford Kahn  
Pulse Secure, LLC  
2700 Zanker Road, Suite 200  
San Jose, CA 95134  
USA

Email: cliffordk@pulsesecure.net

Lisa Lorenzin  
Pulse Secure, LLC  
2700 Zanker Road, Suite 200  
San Jose, CA 95134  
USA

Email: llorenzin@pulsesecure.net

Michael Cokus  
The MITRE Corporation  
903 Enterprise Parkway, Suite 200  
Hampton, VA 23666  
USA

Email: msc@mitre.org

Daniel Haynes  
The MITRE Corporation  
202 Burlington Road  
Bedford, MA 01730  
USA

Email: dhaynes@mitre.org

Henk Birkholz  
Fraunhofer SIT  
Rheinstrasse 75  
Darmstadt 64295  
Germany

Email: henk.birkholz@sit.fraunhofer.de