

# 1 Graph Model of Set Theory

- Directed graphs:  $G = \langle V, A \rangle$
- A graph is **well-founded** if it has no looping paths and no infinite descending paths
- A graph is **extensional** if for any  $v_0, v_1$  such that  $v_0$  has the same incoming arrows as  $v_1$ ,  $v_0 = v_1$
- Two graphs are isomorphic if there is a function (*isomorphism*)  $\sigma$  between them such that:
  - $\sigma$  is a bijection (surjection + injection)
  - $v A_0 u \leftrightarrow \sigma(v) A_1 \sigma(u)$
- An automorphism is an isomorphism between some graph and itself (the identity is a trivial one)
- $G$  is a subgraph of  $G'$  if  $V \subseteq V'$  and  $v_0 A v_1 \leftrightarrow v_0 A' v_1$  for all  $v_0, v_1 \in V$
- $G$  is *maximal* in some property  $\Phi$  if  $G$  possesses  $\Phi$  and there exists no graph  $G'$  such that:
  - $G'$  possesses  $\Phi$ ; and
  - $G$  is a proper subgraph of  $G'$
- Let  $G$  be a *maximal* well-founded graph with no non-trivial automorphisms
- Equivalently,  $G$  is a maximal well-founded graph which is extensional
- $G$  is then an *intended model* of Set Theory

# 2 First Order Logic

- Logical symbols:  $\neg, \wedge, \vee, \rightarrow, \leftrightarrow, \exists, \forall, (, )$
- Non-logical symbols: constant symbols  $(a, b, c)$ , relation symbols  $(P, Q, R)$ , function symbols  $(f, g, h)$
- Language:  $\mathcal{L} = \{a, b, c, \dots, P, Q, R, \dots, f, g, h, \dots\}$
- Individual variables will be denoted  $v_1, v_2, \dots$
- Metavariables / arbitrary variables will be denoted  $x, y, z, \dots$
- The set of terms, *Term* is defined recursively:
  - If  $t$  is a constant symbol or individual variable,  $t$  is a term
  - If  $t_1, \dots, t_n$  are terms and  $f$  is a function symbol with arity  $n$ ,  $f(t_1, \dots, t_n)$  is a term
  - Nothing else is a term
- A string  $\varphi$  is an *atom* if  $\varphi = R t_1, \dots, t_n$  where  $t_1, \dots, t_n$  are terms and  $R$  is a relation with arity  $n$
- If  $t$  is a term with no variables occurring in it,  $t$  is a *closed term*
- The set of Well Formed Formulae *WFF* is defined recursively, with atoms as the base case
  - If  $\varphi$  consists of a combination of logical operators over well formed formulae,  $\varphi \in WFF$
- $x$  is *free* in  $\varphi \in WFF$  if:
  - If  $\varphi = R t_1, \dots, t_n$  and  $x = t_i$  for some  $i$
  - If  $\varphi$  consists of logical operators over well formed formula, where  $x$  is free in at least one
  - If  $\varphi$  is a quantification over a formula where  $x$  is free, and  $x$  is not the bound variable
- If  $\varphi$  has no free variables,  $\varphi$  is a sentence,  $\varphi \in Sent$

### 3 Model Theory

- A model  $\mathcal{M}$  requires:
  - A language  $\mathcal{L}$
  - A domain  $M$  of objects
  - An interpretation:
    - \* Constant symbols  $c$  are interpreted by some object from the domain:  $c^{\mathcal{M}} \in M$
    - \* Relation symbols  $R$  (with arity  $n$ ) are interpreted by a set of tuples of objects within the domain; so  $R^{\mathcal{M}} \subseteq \{\langle m_1, \dots, m_n \rangle \mid m_1, \dots, m_n \in M\}$
    - \* Function symbols  $f$  with arity  $n$  are interpreted by functions taking some  $m_1, \dots, m_n \in M$ , and returning some  $m \in M$
- A model of  $\mathcal{L} = \{a, b, c, \dots, P, Q, R, \dots, f, g, h, \dots\}$  would then be:

$$\mathcal{M} = \langle M, a^{\mathcal{M}}, b^{\mathcal{M}}, c^{\mathcal{M}}, \dots, P^{\mathcal{M}}, Q^{\mathcal{M}}, R^{\mathcal{M}}, \dots, f^{\mathcal{M}}, g^{\mathcal{M}}, h^{\mathcal{M}}, \dots \rangle$$

- If  $t$  is a closed term of  $\mathcal{L}$  and  $\mathcal{M}$  is a model of  $\mathcal{L}$ , then the *denotation*  $t^{\mathcal{M}}$  is:
  - If  $t$  is a constant symbol  $c$ ,  $t^{\mathcal{M}} = c^{\mathcal{M}}$
  - If  $t = f(t_1, \dots, t_n)$ ,  $t^{\mathcal{M}} = f^{\mathcal{M}}(t_1^{\mathcal{M}}, \dots, t_n^{\mathcal{M}})$
- A sentence  $\varphi$  in some language  $\mathcal{L}$  may then be *true* in  $\mathcal{M}$  ( $\mathcal{M} \models \varphi$ )
- $\varphi$  is *satisfiable* if there exists some model  $\mathcal{M}$  in the language  $\mathcal{L}$  of  $\varphi$  such that  $\mathcal{M} \models \varphi$
- $\varphi$  is *valid* ( $\models \varphi$ ) if for every model  $\mathcal{M}$  in the language  $\mathcal{L}$  of  $\varphi$ ,  $\mathcal{M} \models \varphi$
- Given  $\Gamma \subseteq \text{Sent}_{\mathcal{L}}$  and  $\varphi \in \text{Sent}_{\mathcal{L}}$ , we say  $\varphi$  is a *consequence* of  $\Gamma$  ( $\Gamma \models \varphi$ ) if for every model  $\mathcal{M}$  of  $\mathcal{L}$ , if  $\mathcal{M} \models \gamma$  for all  $\gamma \in \Gamma$ , then  $\mathcal{M} \models \varphi$
- $\varphi$  is *derivable* from  $\Gamma$  ( $\Gamma \vdash \varphi$ ) if:
  - (Ax)  $\varphi$  is an axiom of first order logic
  - (Ass)  $\varphi \in \Gamma$
  - (MP)  $\Gamma \vdash \psi \rightarrow \varphi$  and  $\Gamma \vdash \psi$
  - (UG) If  $\Gamma \vdash \varphi$ , then  $\Gamma \vdash \forall y \varphi(y \mapsto x)$  when:
    - \*  $x$  is not free in any formula in  $\Gamma$ ;
    - \*  $y = x$ ; or
    - \*  $y$  is not free in  $\varphi$
- A model can describe a structure *externally* (as in the graph model), or *internally* using sentences true in the intended model
- A *theory*  $\Gamma$  is a set of sentences closed under consequence (i.e.  $\Gamma \vdash \varphi \rightarrow \varphi \in \Gamma$ )
  - It is *consistent* if there is no sentence  $\varphi$  such that  $\Gamma \vdash \varphi \wedge \neg \varphi$
  - It is *complete* if for all  $\varphi$  we have either  $\Gamma \vdash \varphi$  or  $\Gamma \vdash \neg \varphi$
  - It is *categorical* if there is exactly one model  $\mathcal{M}$  such that  $\mathcal{M} \models \Gamma$
- A theory is **algebraic** if it has multiple intended models, and **non-algebraic** if it has one unique intended model

## 4 The Axioms

### 4.1 Terms & Definitions

- A *term* is well-defined in Set Theory if it *exists* and is *unique*
- Term:  $x$  is a *subset* of  $y$  ( $x \subseteq y$ ) if  $\forall z(z \in x \rightarrow z \in y)$

### 4.2 Extensionality

$$\forall x \forall y (\forall z (z \in x \leftrightarrow z \in y) \rightarrow x = y)$$

- If sets  $x$  and  $y$  have exactly the same members, then they are the same set

### 4.3 Foundation

$$\forall x (\exists y (y \in x \rightarrow \exists z (z \in y \wedge \forall w (w \in x \rightarrow w \notin z))))$$

- If  $x$  is non-empty, then it has an  $\in$ -minimal member (there is some  $z \in x$  such that every member of  $x$  is not a member of  $z$ )

### 4.4 Separation

$$\forall x_0 \dots \forall x_n \forall w \exists y \forall z (z \in y \leftrightarrow z \in w \wedge \varphi(z, x_0, \dots, x_n))$$

- Given any set  $w$ , there is a set  $y$  consisting of exactly the elements  $z$  from  $w$  such that  $\varphi(z)$ . The  $\varphi$ s are *separated out* from  $w$  to get  $y$
- Why do we need a  $w$ ? We would like to do naïve comprehension:  $\exists y \forall x (x \in y \leftrightarrow \varphi(x))$ 
  - (Russell) *Any theory including naïve comprehension is inconsistent*
  - This also means there is no universal set, i.e. a set  $x$  such that  $\forall y (y \in x \leftrightarrow y = y)$

### 4.5 Pairing

$$\forall x \forall y \exists z (x \in z \wedge y \in z)$$

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### 4.6 Union

$$\forall x \exists z \forall y \forall w (y \in w \wedge w \in x \rightarrow y \in z)$$

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### 4.7 Powerset

$$\forall x \exists y \forall z (z \subseteq x \rightarrow z \in y)$$

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## 4.8 Replacement

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## 4.9 Infinity

$$\exists x(\exists y y \in x \wedge \forall z(z \in x \rightarrow \{z\} \in x))$$

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## 4.10 Choice

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## 5 Models, Structures & Sequences

## 6 The Ordinals

## 7 Transfinite Induction and Recursion

## 8 The Cardinals

## 9 Infinite Cardinals & The Axiom of Choice