

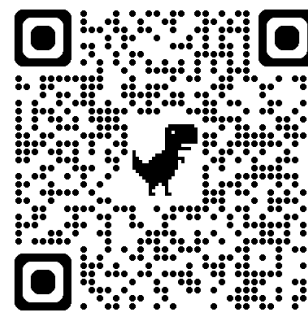


# 自然語言處理與應用

## Natural Language Processing and Applications

Recurrent Neural Networks

Instructor: 林英嘉 (Ying-Jia Lin)  
2025/03/10



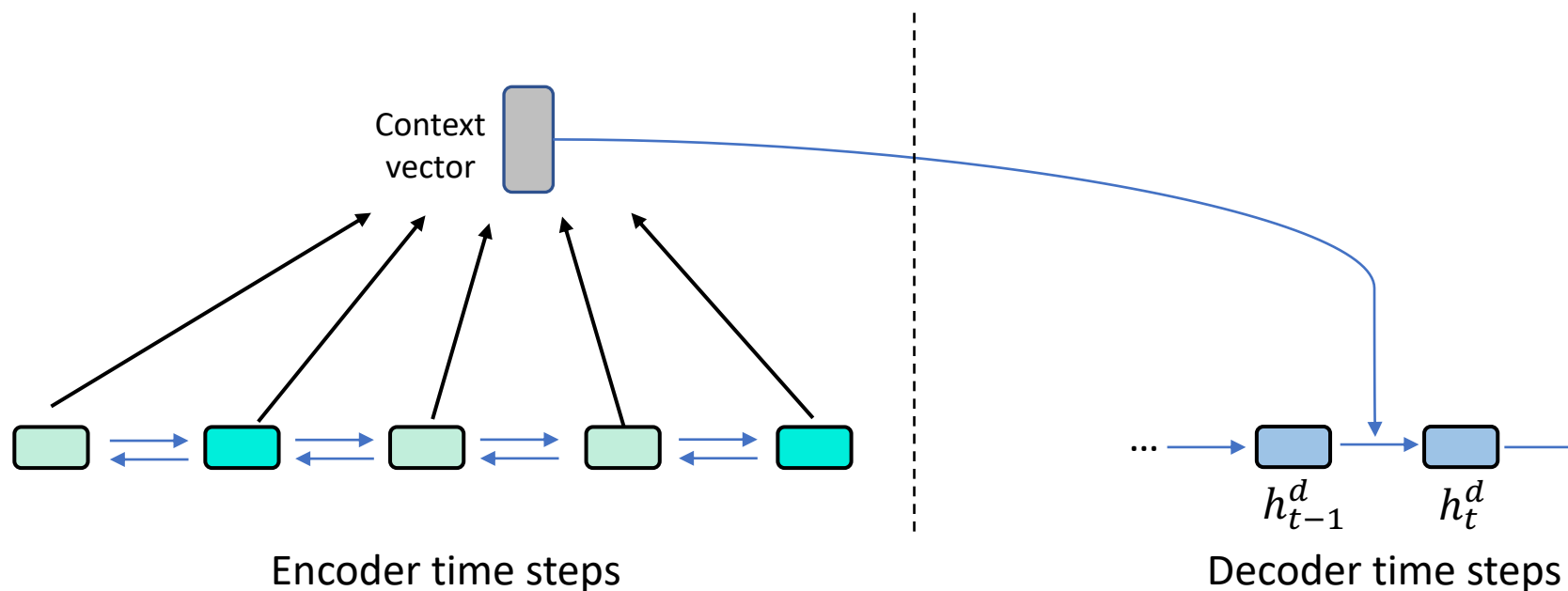
[Course GitHub](#)



[Slido # NLP\\_RNN](#)

# 自然語言處理基本功 (序列模型)

- Week 4 – Week 5
  - 遞迴神經網路 / PyTorch Tutorial
  - 注意力機制以及 Sub-word 分詞法



# Outline

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- Deep learning fundamentals
- RNN (Recurrent Neural Network)
- LSTM (Long Short-Term Memory)

# Basic Definitions

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➤ In a deep learning project, there are some fundamental components.

## 1. Model

- A model refers to the architecture or structure used to **represent relationships between input data and output predictions**.

## 2. Optimizer

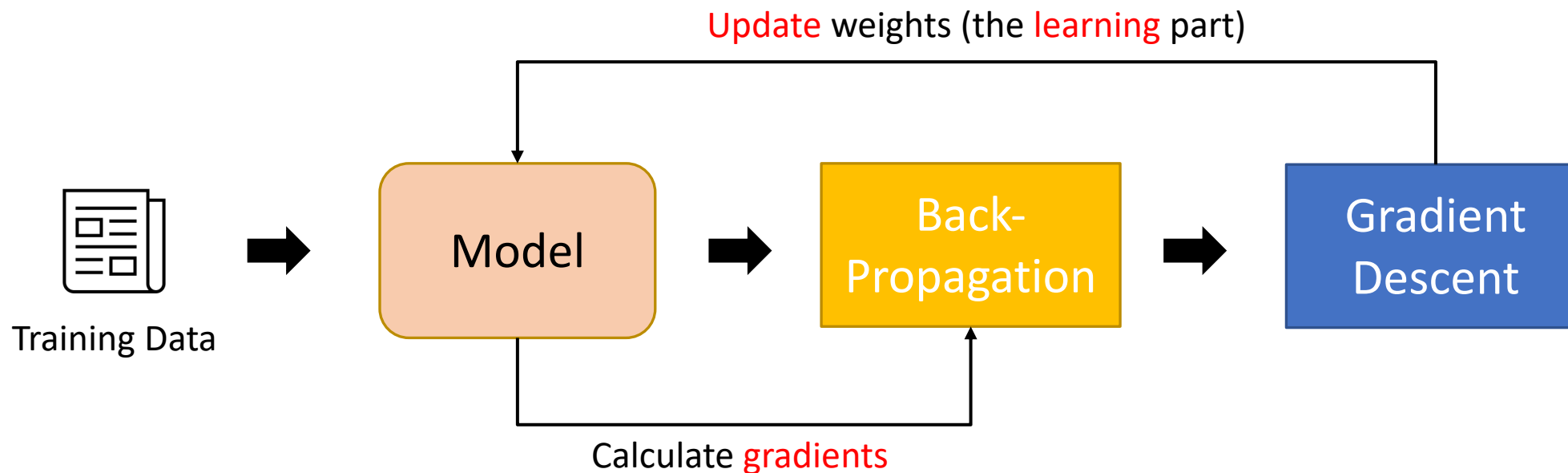
- An optimizer is an algorithm used to adjust the parameters of the model during training in order to **minimize the error** between predicted and actual output values.

## 3. Loss function

- A loss function (objective function) **measures the difference** between the predicted output of a model and the true target output.

# Training Process of a Deep Learning Model

- 深度學習模型被訓練的流程



# Training Neural Networks

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The training process typically involves the following steps:

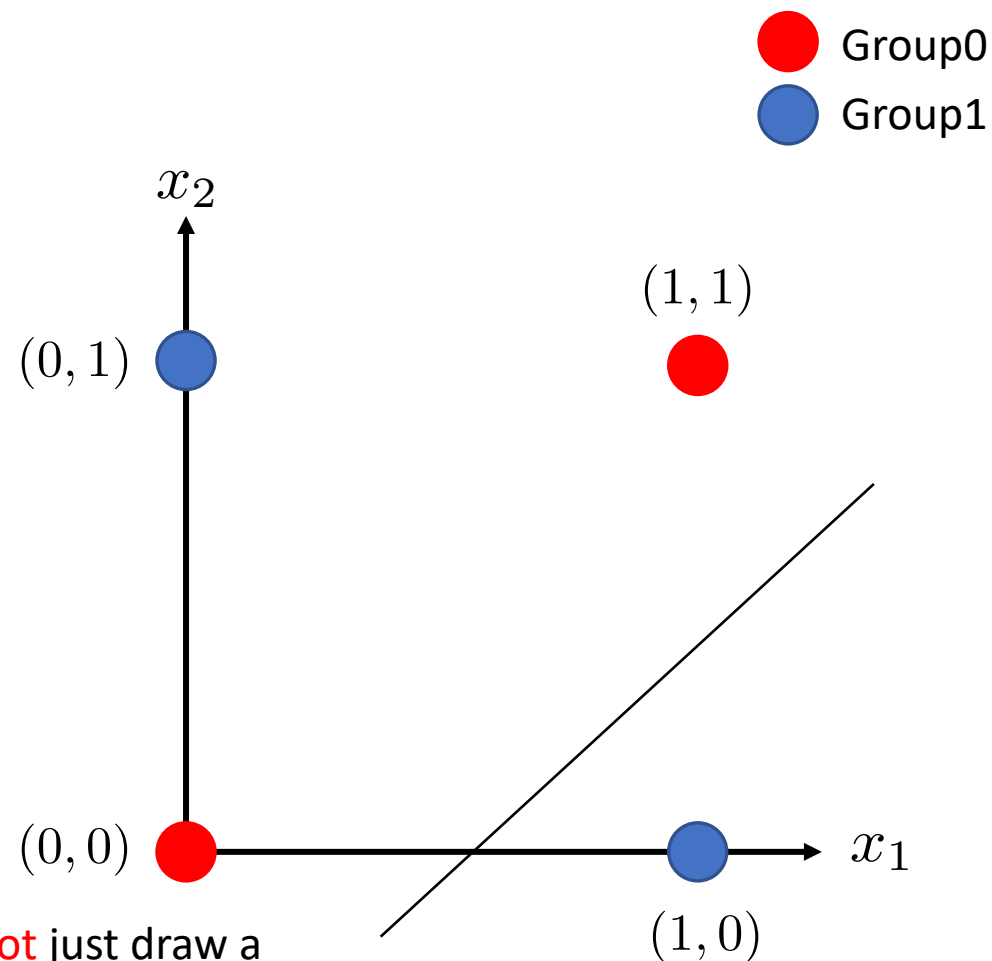
1. **Data Preparation:** Prepare training and testing datasets.
2. **Model Construction:** Construct the model using a deep learning framework (TensorFlow, PyTorch, ...)
3. **Loss Function Definition:** Select an appropriate loss function. (Cross-entropy, Logloss, ...)
4. **Optimizer Selection:** Choose a suitable optimization algorithm. (Adam, SGD, ...)
5. **Model Training:** Train the model using the training dataset.
6. **Model Evaluation:** Evaluate the trained model using the testing dataset. (F1, LCS, ...)

# XOR Problem

XOR: Exclusive-or

x1	x2	XOR
0	0	0
0	1	1
1	0	1
1	1	0

<https://www.youtube.com/watch?v=S9Kjwp6AUHI>  
<https://www.youtube.com/watch?v=GPUUnb61iLGc>



We **cannot** just draw a line to classify two groups!

# Activation functions

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- The core idea of using activation functions is to introduce **nonlinearity** into neural networks.
- Neural network models aim to **avoid the final processing stage being merely a linear transformation of the inputs**, so that the model is able to have good performance on complex problems.
- Commonly used activation functions including Sigmoid, ReLU, tanh, GeLU...



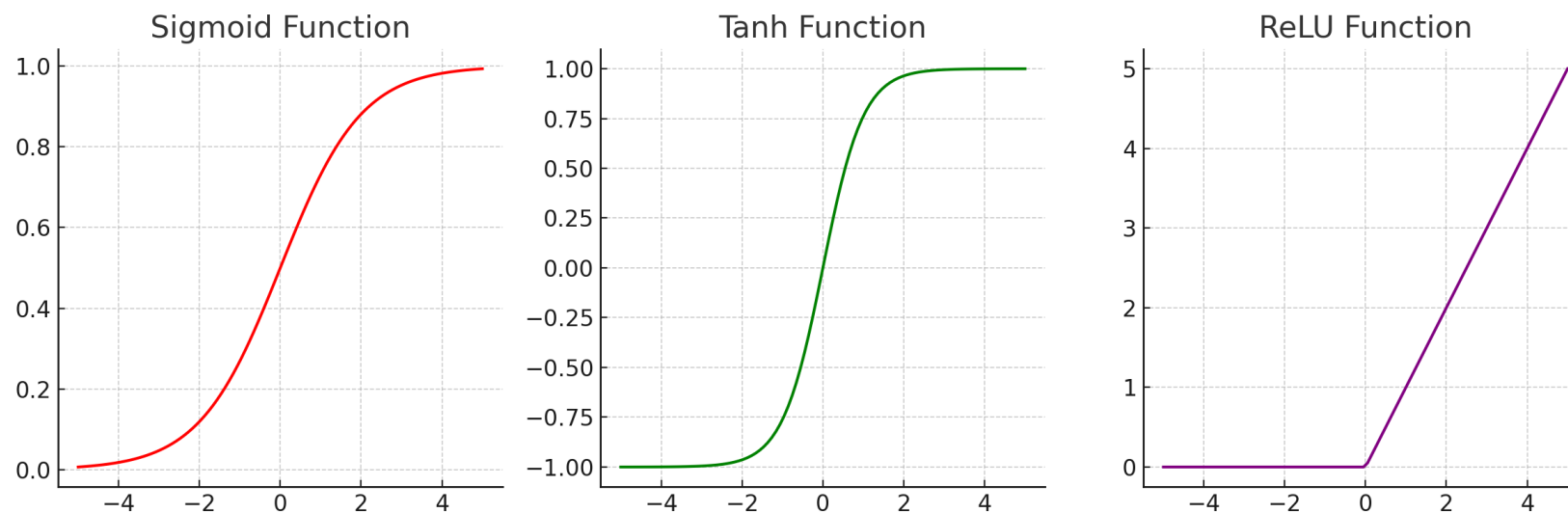
# Activation functions

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	Range	Applications
softmax	$[0, 1]$ (sum up to 1)	It is commonly used in multi-class classification tasks where the model needs to predict the probability distribution over classes.
sigmoid	$[0, 1]$	It often used in binary classification tasks where a threshold is needed to predict probabilities of belonging to one of the two classes.
tanh	$[-1, 1]$	It is often preferred over sigmoid for hidden layers as it produces zero-centered output
ReLU	$[0, +\infty]$	It introduce nonlinearity and avoid gradient vanishing

# Activation functions

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Softmax: <https://datascience.stackexchange.com/questions/57005/why-there-is-no-exact-picture-of-softmax-activation-function>

# Softmax

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- 採用 exponential -> 大的數值更大，小的數值更小
  - 有助於梯度下降

$$y = \frac{x}{\sum_j x_j} = [0.5, 0.25, 0.25]$$

$$\text{Softmax}(x_i) = \frac{e^{x_i}}{\sum_j e^{x_j}} = [0.665, 0.244, 0.090]$$

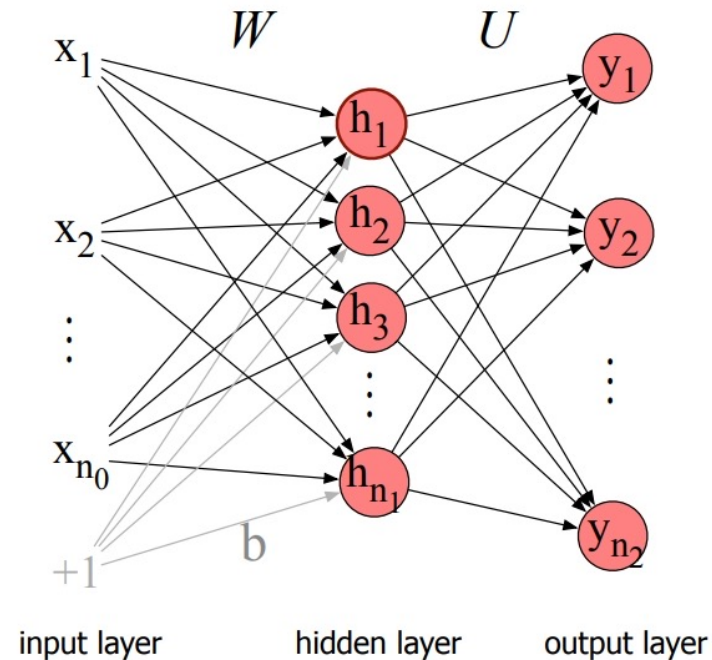
# Feedforward network

- Feedforward network (FFN) is a multilayer feedforward network in which the units are connected with no cycles.

$$h = \sigma(Wx + b)$$

$$z = Uh$$

$$y = \text{softmax}(z)$$



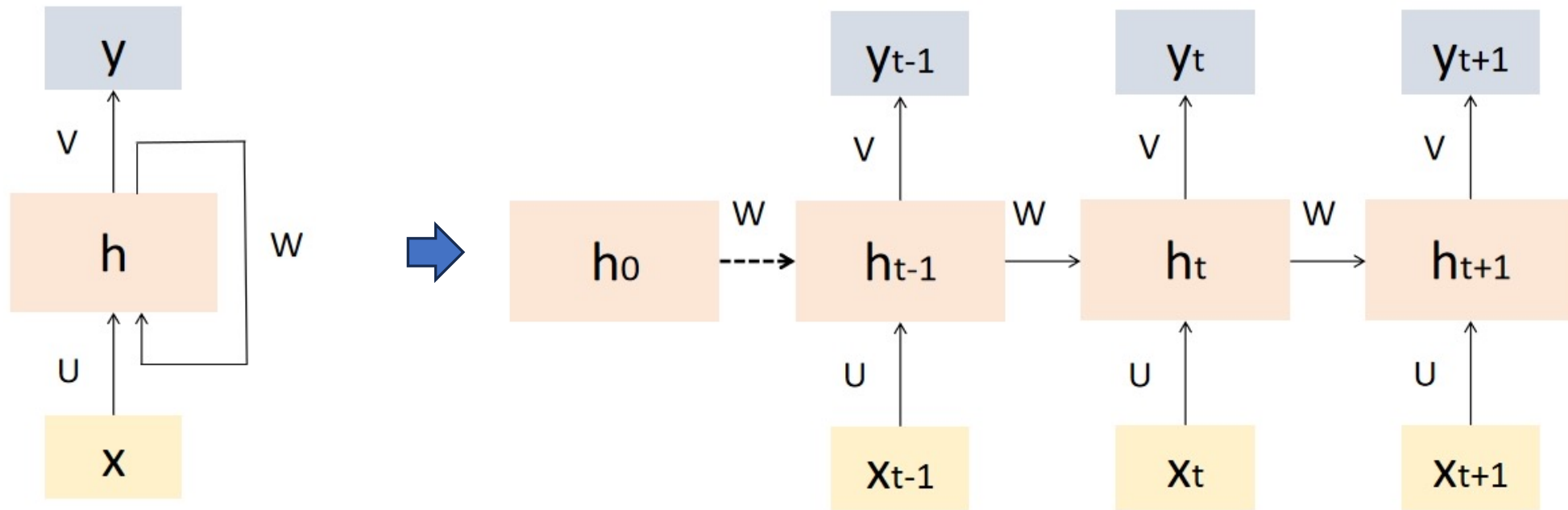
# Shortcomings of FFN

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- **Lack of Sequence Modeling:**
  - In NLP tasks, understanding the sequence of words and their dependencies is crucial for accurate predictions.
- **Fixed Input Size:**
  - FFNs require fixed-size inputs, which can be problematic for NLP tasks where input sequences vary in length.
- **Limited Contextual Information:**
  - Many NLP tasks benefit from capturing long-range dependencies and understanding the broader context of a text, which is better addressed by models capable of modeling sequential data effectively.

# RNN

- Recurrent Neural Networks (RNNs) are a type of artificial neural network designed to handle sequential data by **capturing temporal dependencies**.
  - The same set of weights and biases are used across all time steps



# RNN

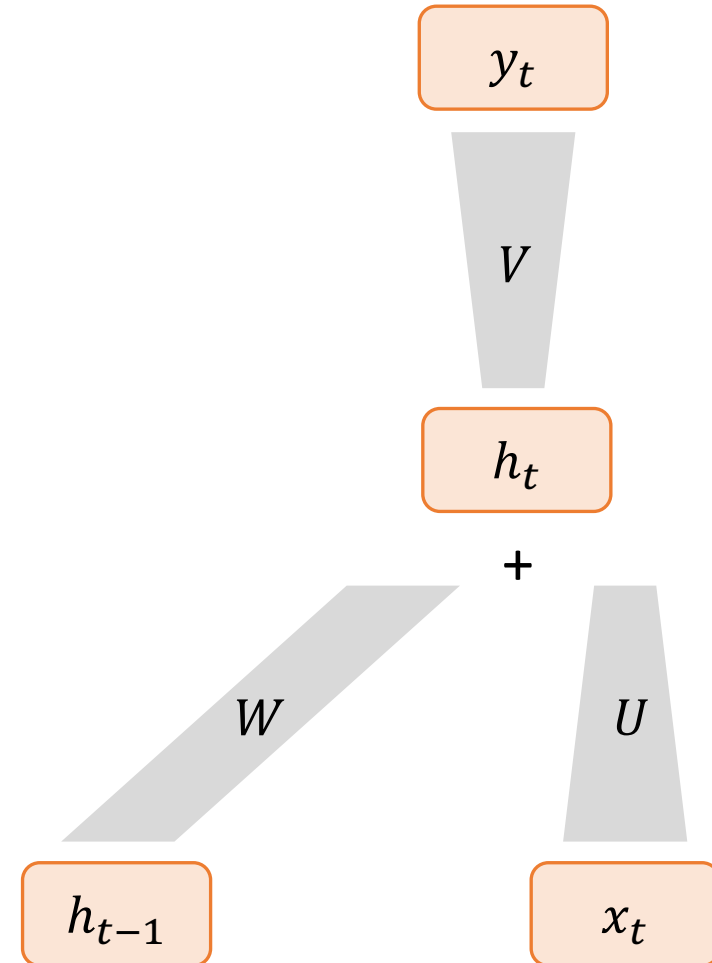
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➤ The equation on step  $t$  is:

$$y_t = g(Vh_t)$$

$$h_t = f(Ux_t + Wh_{t-1})$$

, where  $f$  and  $g$  are activation functions.



# Properties of RNNs

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## ➤ Sequential Processing:

- RNNs handle sequences, allowing them to model temporal dependencies in data.

## ➤ Recurrent Connections:

- RNNs maintain internal memory, facilitating the capture of long-term dependencies.

## ➤ Parameter Sharing:

- RNNs share parameters across time steps, enhancing efficiency in learning sequential data.

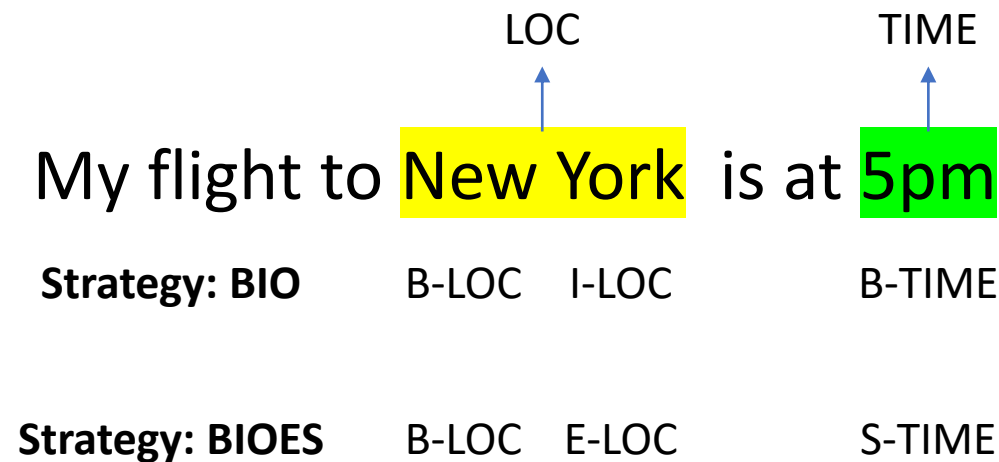
## ➤ Vanishing Gradient Problem:

- Traditional RNNs may face vanishing gradient issues, hindering learning of long-term dependencies.



# Name Entity Recognition

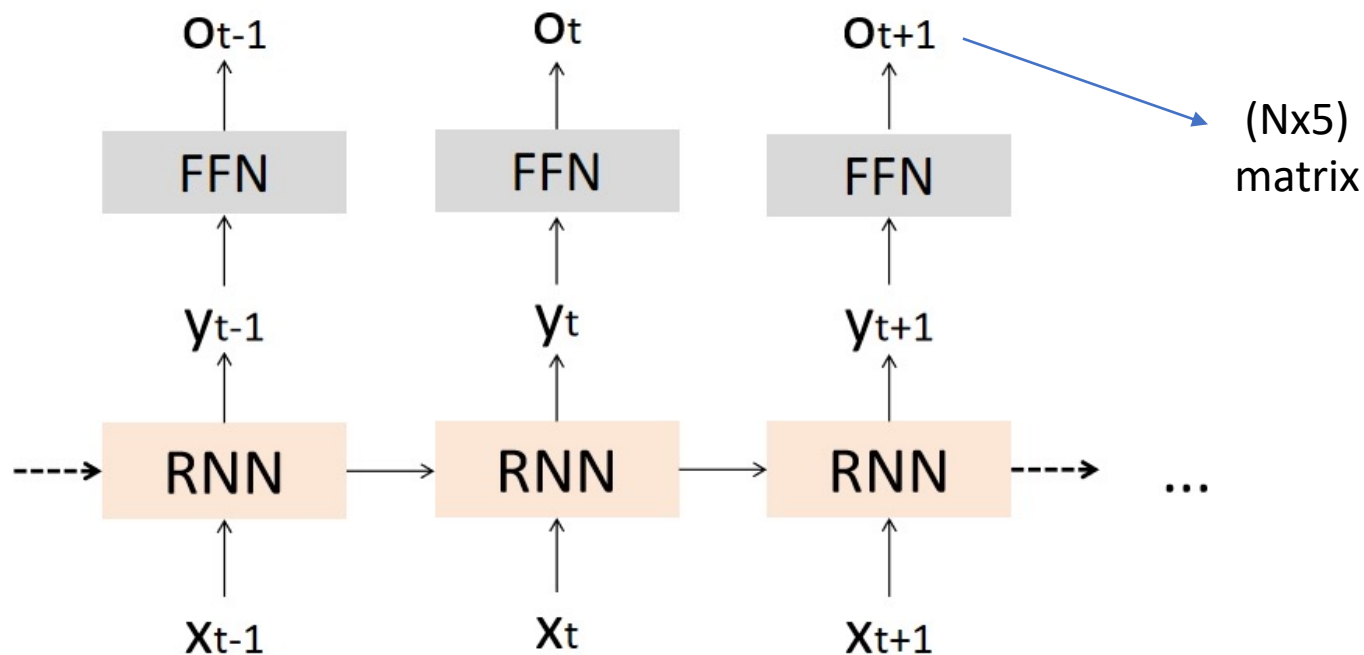
- Name Entity Recognition (NER) is a fundamental task in NLP.
- The model needs to identify named entities within the sequence, such as countries, organizations, and individuals.



	Meaning
B	Beginning
I	Inside
O	Outside
E	End
S	Single

# RNNs for NER

- In token classification task, every output should be mapped to a one-hot vector.
- A feed forward network is added to the RNN model.

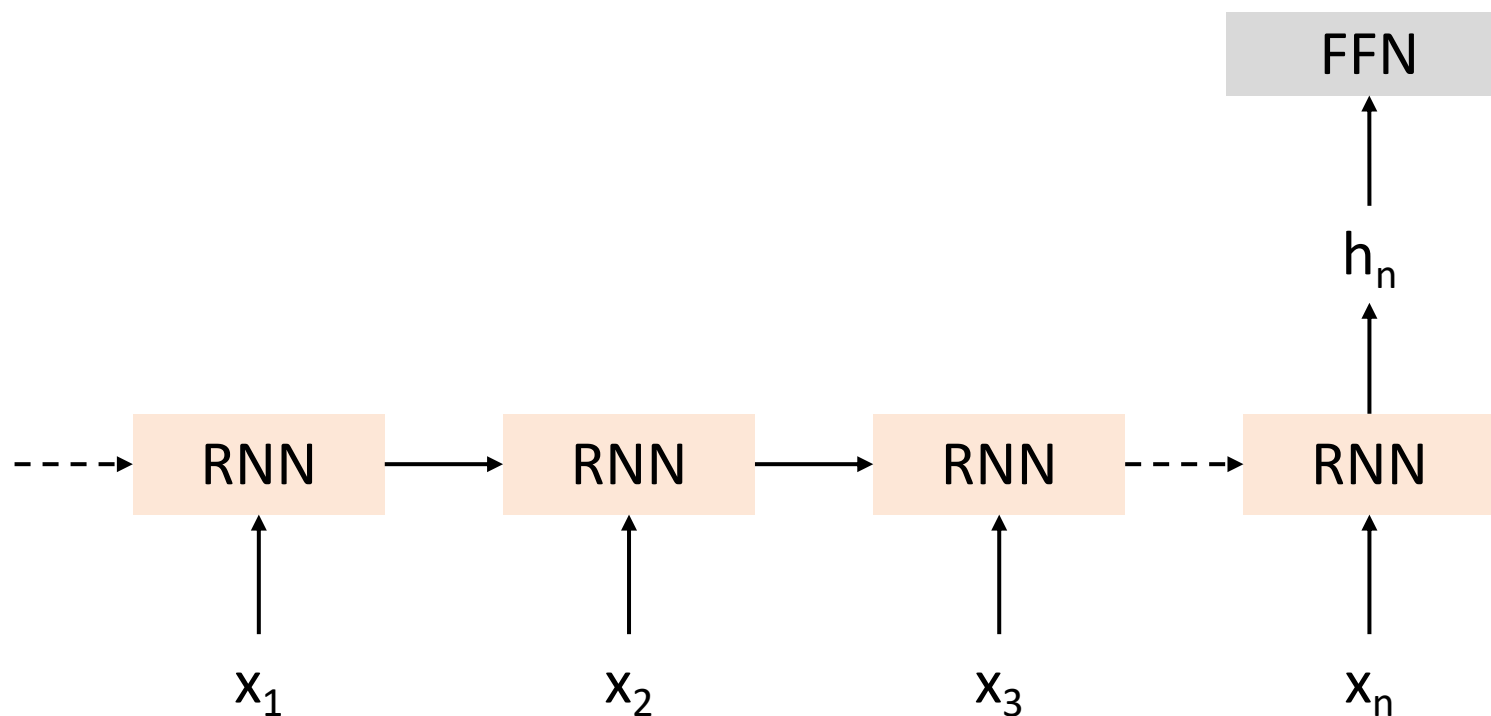


	Meaning
B	Beginning
I	Inside
O	Outside
E	End
S	Single

(取最大值)

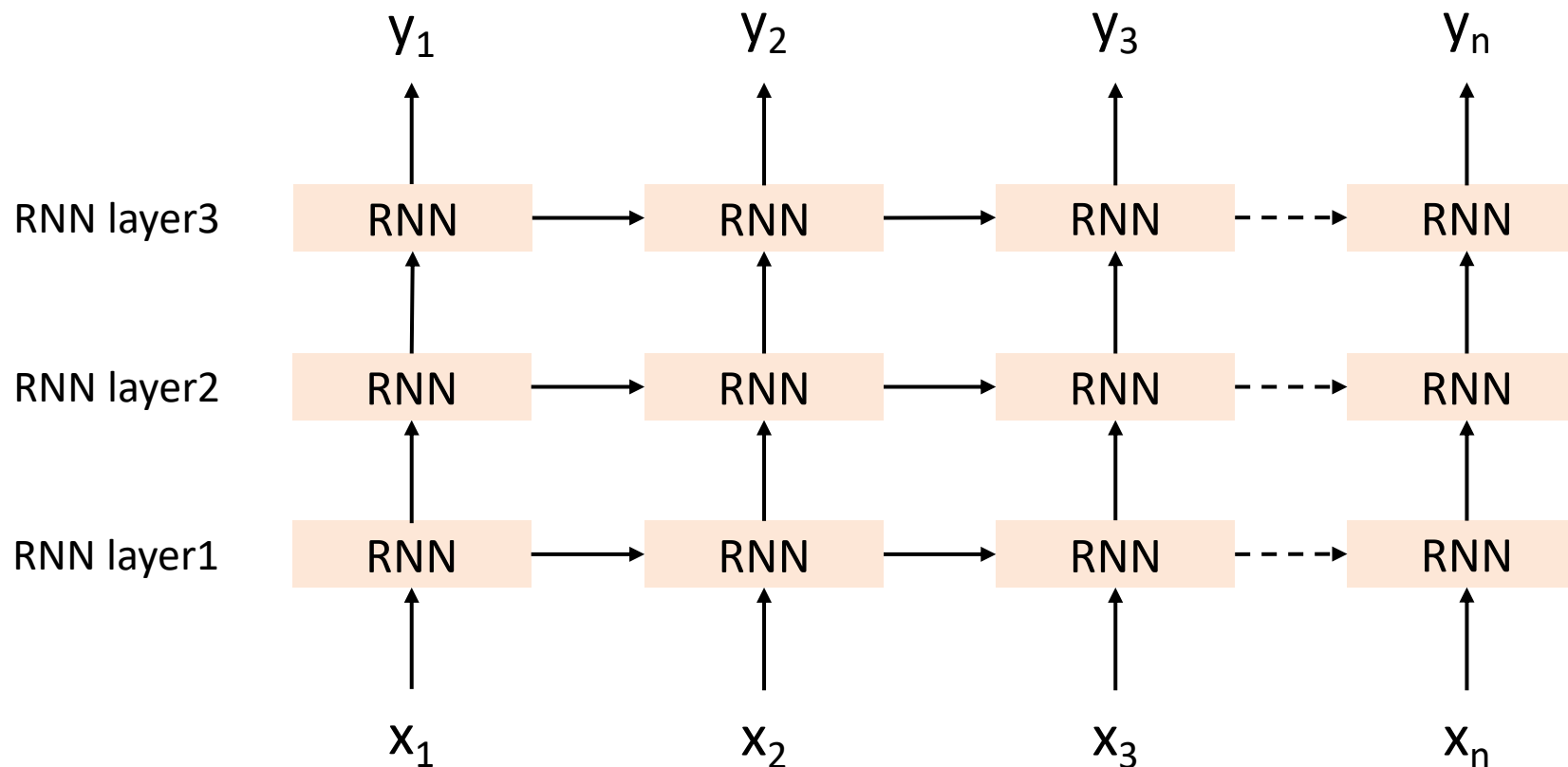
# RNNs for Sequence Classification

- RNNs classify the entire sequences rather than the tokens within them.
- Take the hidden layer for the last token of the text.



# Stacked RNNs

- Stacked RNNs consist of multiple networks where the output of one layer serves as the input to a subsequent layer



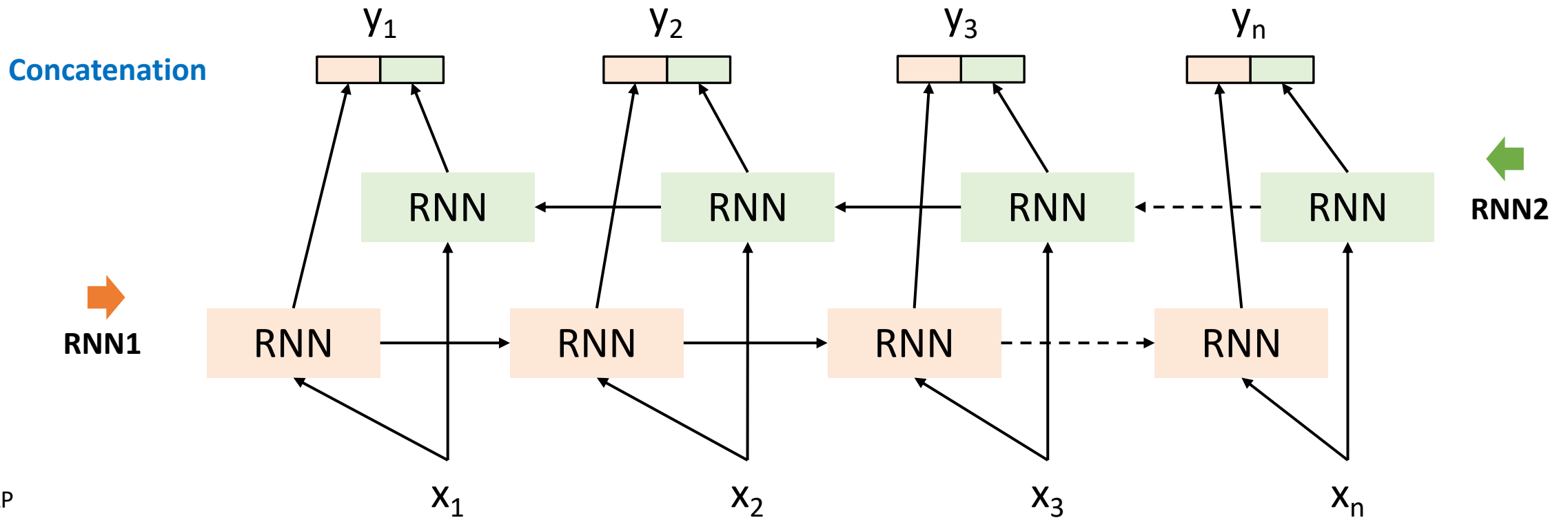
# Stacked RNNs

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- Stacked RNNs generally outperform single-layer networks.
  - The network induces representations at differing levels of abstraction across layers
  - The initial layers of stacked networks induce representations that serve as useful abstractions for further layers
- However, as the number of stacks is increased the training costs rise quickly.

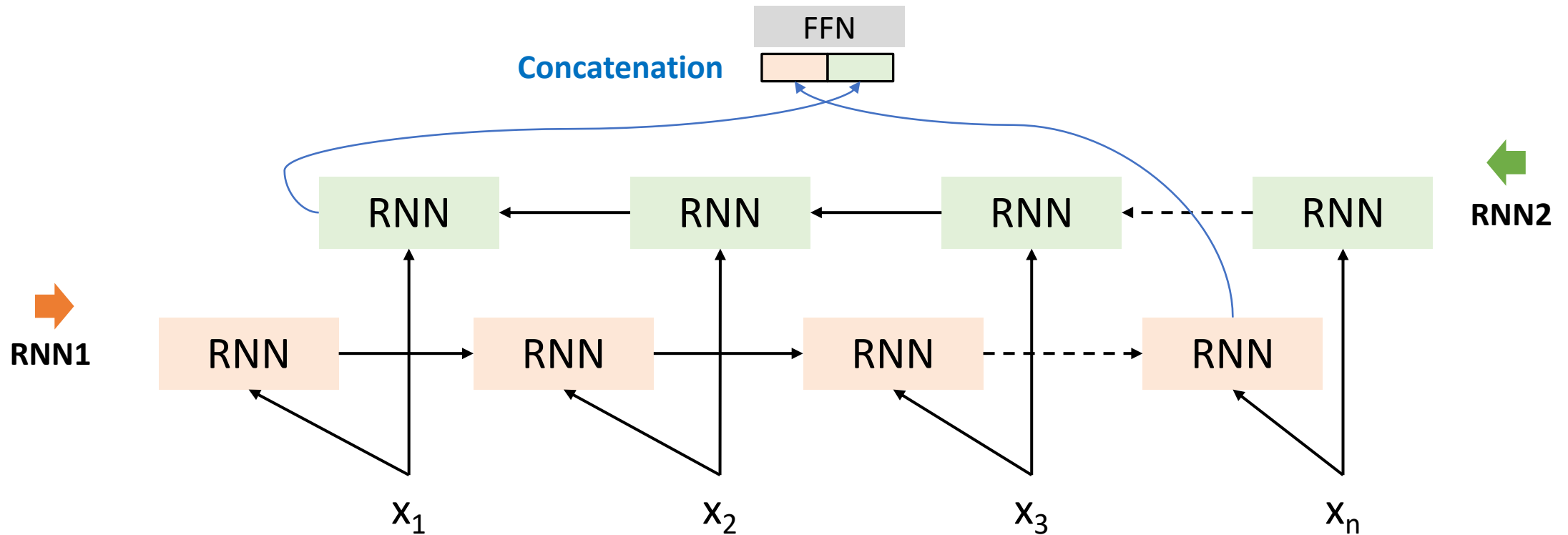
# Bidirectional RNNs

- In many applications, RNNs have to access to the entire input sequence.
- Bidirectional RNN was introduced.
  - It combines two independent bidirectional RNNs, one where the input is processed from the start to the end, and the other from the end to the start



# Bidirectional RNNs for Sequence Classification

- The final hidden units from the forward and backward passes are combined to represent the entire sequence.
- This combined representation serves as input to the subsequent classifier.



# Shortage of standard RNNs

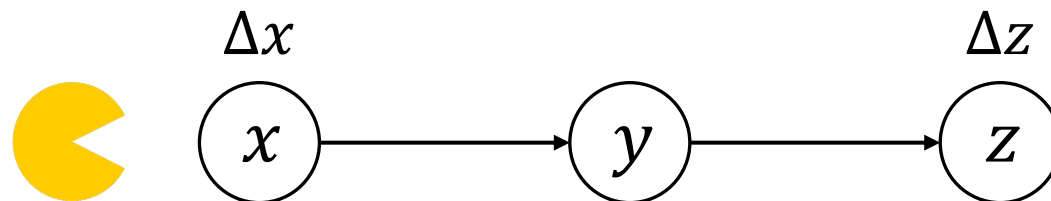
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- Gradient vanishing (梯度消失) problem
- Long-term dependencies cannot be handled.
  - RNNs can only remember local relationships.
  - This is result from back-propagation through-time (BPTT) during training.



# (Calculus) Chain Rule - 1

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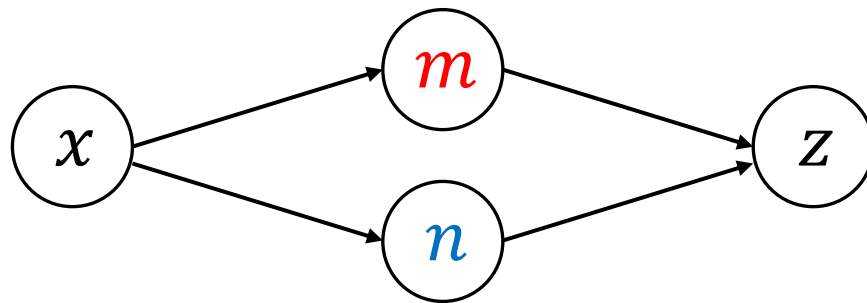


$$\frac{dz}{dx} = \frac{dz}{dy} \frac{dy}{dx}$$

- $\frac{dz}{dx}$  代表  $x$  對  $z$  的影響，但  $x$  的變化會影響到  $y$ ，接著  $y$  影響到  $z$

# (Calculus) Chain Rule - 2

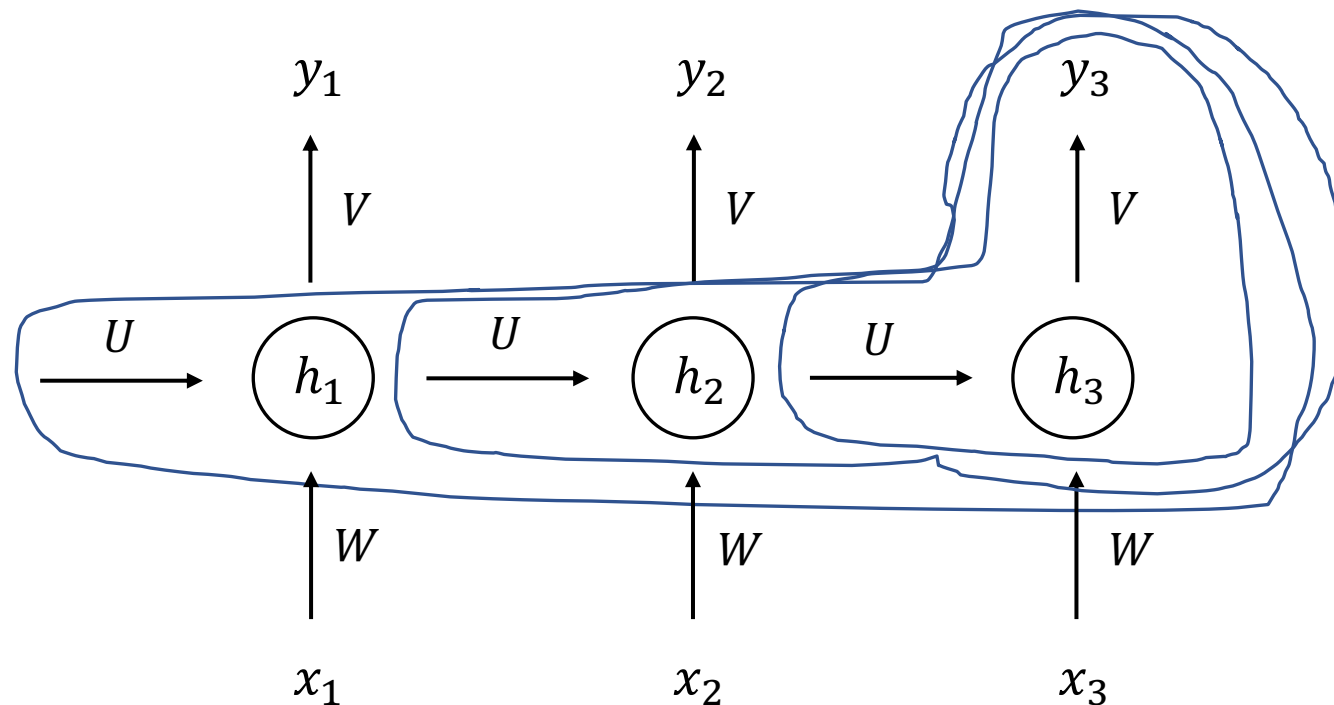
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$$\frac{dz}{dx} = \frac{dz}{dm} \frac{dm}{dx} + \frac{dz}{dn} \frac{dn}{dx}$$

- $\frac{dz}{dx}$  代表  $x$  對  $z$  的影響
  - 但  $z$  的變化由  $m$  和  $n$  共同影響

# Back-propagation through-time (BPTT)



$$\frac{\partial \mathcal{L}}{\partial U} = \frac{\partial \mathcal{L}}{\partial y_3} \cdot \frac{\partial y_3}{\partial h_3} \cdot \frac{\partial h_3}{\partial U} + \frac{\partial \mathcal{L}}{\partial y_3} \cdot \frac{\partial y_3}{\partial h_3} \cdot \frac{\partial h_3}{\partial h_2} \cdot \frac{\partial h_2}{\partial U} + \underbrace{\frac{\partial \mathcal{L}}{\partial y_3} \cdot \frac{\partial y_3}{\partial h_3} \cdot \frac{\partial h_3}{\partial h_2} \cdot \frac{\partial h_2}{\partial h_1} \cdot \frac{\partial h_1}{\partial U}}_{\text{(small)}}$$

# Gradient Descent (梯度下降法)

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Assume  $x$  is a **trainable** parameter (**weight**),  $f$  is a **differentiable** function:

Gradient descent: 
$$x' = x - \eta \nabla_x f(x)$$

$\eta$  is the **learning rate** used for gradient descent.

# Back-propagation

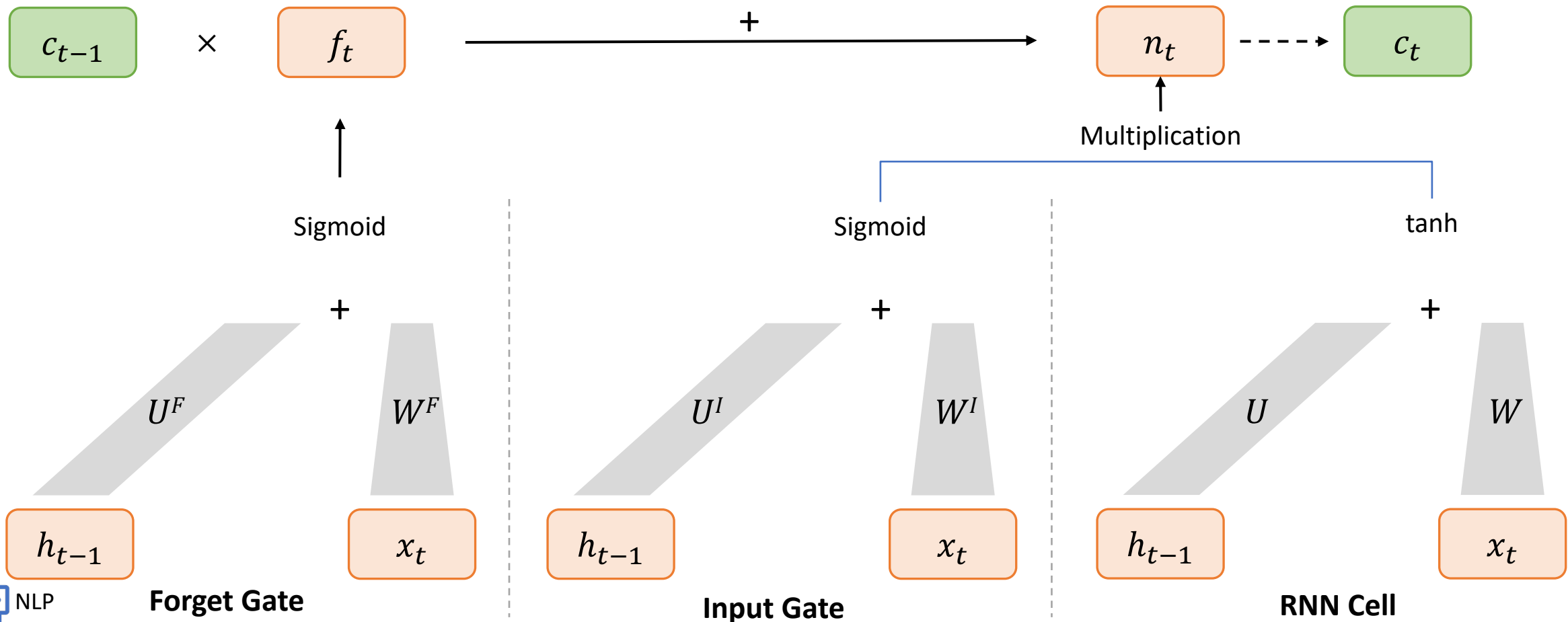
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- Videos from the deep learning course
  - <https://youtu.be/xtP6g116-Fg?si=edgUymIATpALUYcw>
  - <https://youtu.be/6xHlgJU4Csg?si=UbSVQOk3bFRScgub>

# LSTM (Long Short-Term Memory)

➤ LSTM uses 4 times of weights as a standard RNN.

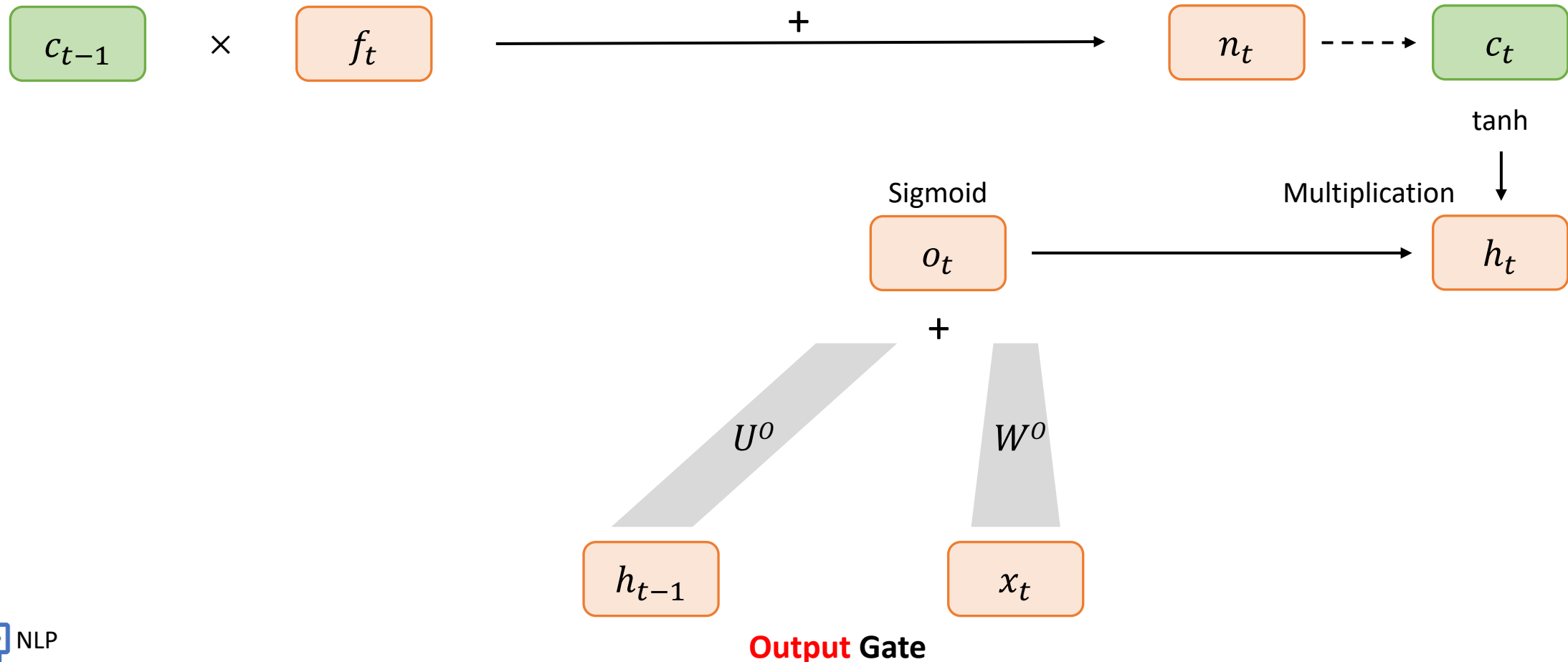
$$c_t = c_{t-1} \times f_t + n_t$$



# LSTM (Long Short-Term Memory)

➤ LSTM uses 4 times of weights as a standard RNN.

$$c_t = c_{t-1} \times f_t + n_t$$



# RNN vs. LSTM

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	Memory Mechanism	Handles Long Dependencies?	Gradient Vanishing?
RNN	Hidden state $h_t$	Worse	Yes
LSTM	Memory state $c_t$ + Hidden state $h_t$	Better	Much less than a standard RNN



## 【人工智慧學系辦公室轉發】

主旨：開放報名!!【波蘭波茲南理工大學出國交換說明會】

智慧運算學院與人工智慧研究中心共同辦理「波蘭波茲南理工大學出國交換說明會」，誠摯邀請有興趣的同學踴躍參加。

此次說明會很榮幸邀請到兩位波蘭波茲南理工大學主管擔任講者：

Y Prof. Mariusz Głabowski, Ph.D., D.Sc., Vice-Rector for International Relations(國際事務副校長)

Y Associate Prof. Anna Kobusinska, Ph.D., D.Sc., Head of Division of Computing Systems(計算系統部門主管)

兩位講者將在說明會中分享以下內容：

- ✓ 波茲南理工大學的第一手資訊
- ✓ 波蘭留學與獎學金機會
- ✓ 交換計畫申請流程與準備要點

活動資訊：

(一)日期：114年3月21日(五) 11:50 - 13:00

(二)地點：長庚大學管理大樓11F人工智慧研究中心

(三)報名網址：<https://forms.gle/YcLtXTmddStzx3Ar6>

(四)即日起開放報名，至3月19日截止。名額有限，額滿將提前關閉報名。

(五)說明會提供便當

# Thank you!

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