

# DRAFT

## CMS Paper

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### Search for dark matter produced in association with a resonant bottom quark pair in proton-proton collisions at $\sqrt{s} = 13$ TeV

The CMS Collaboration

#### Abstract

A search for dark matter produced in association with a resonant bottom quark pair has been performed in proton-proton collisions at a center-of-mass energy of 13 TeV collected with the CMS detector during the 2016–2018 data-taking period at the CERN LHC. The analyzed data sample corresponds to an integrated luminosity of  $138 \text{ fb}^{-1}$ . The results are interpreted in terms of a novel theoretical model of dark matter production that, together with a spin-1 gauge boson mediator, predicts the existence of a Higgs-boson-like particle in the dark sector (i.e., a dark Higgs boson). The model simultaneously provides a mechanism to generate the masses of particles in the dark sector and a new annihilation channel that helps relax the constraints on dark matter relic abundance. If such a dark Higgs boson mixes with the standard model Higgs boson, its decay into a bottom quark pair can be identified in hadronic jets. This search focuses on final states where the dark Higgs boson is produced in association with the dark matter mediator. It gives rise to an experimental signature with a large missing transverse momentum. Limits at the 95% confidence level on the signal strength for dark Higgs boson mass hypotheses below 160 GeV are set for the first time with CMS data. Values of the mediator mass up to 2.5–4.5 TeV are excluded depending on the dark Higgs boson mass.

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# 1 Introduction

The predictions of the standard model (SM) of particle physics have been confirmed by decades of experiments. Despite these successes, the SM is still not able to explain phenomena such as the existence of dark matter (DM). While astrophysical observations have established that most of the matter in the Universe is composed of DM [1], details of its nature remain elusive.

One theoretically attractive model of DM is that of a thermally produced weakly interacting massive particle (WIMP). The existence of such a particle, with the right mass and couplings, could explain the abundance of DM in the universe, as well as many of the observed phenomena commonly ascribed to DM [2]. If non-gravitational interactions exist between DM and SM particles, then the new interaction would imply the existence of a new mediator, and the Large Hadron Collider (LHC) would have the unique possibility to directly produce it along with the DM particles, and study their properties.

Simplified models of DM production at the LHC [3] have become increasingly popular in recent years. These models predict that the pair production of DM particles in hadron collisions proceeds through a spin-0 or spin-1 bosonic mediator produced in the s-channel. Such a mediator is then accompanied by some other visible SM particle, often emitted as initial-state radiation (ISR). This gives rise to experimental signatures where the mediator decays into weakly interacting DM particles, appearing as an imbalance in the transverse momentum. Such signatures are commonly referred to as “mono-X”, where X denotes either the SM particle produced in association with DM (such as a monophoton or mono-W/Z) or its detector manifestation (such as a monojet).

Among these, monojet final states – where a gluon or quark is emitted as initial-state radiation and appears in the detector as a hadronic jet – offer a favorable topology due to the high rate of radiation of quarks and gluons in the initial state. Monojet searches at the LHC [4, 5] have strongly constrained the DM parameter space, in models where DM relic particles in the cosmos would annihilate directly into final-state SM particles. The tension with astrophysical measurements of the abundance of DM is relaxed if the DM particles are not the lightest dark sector particles, leading to new annihilation channels.

The theoretical framework can therefore be extended by models in which, together with a spin-1 gauge boson  $Z'$ , a new complex Higgs field is introduced, whose vacuum expectation value spontaneously breaks the gauge symmetry in the dark sector [6], giving rise to a new physical “dark” Higgs boson  $H_D$ . If the  $H_D$  boson is sufficiently light, DM particles can annihilate into a pair of  $H_D$  bosons. This new annihilation channel would allow the model to easily match the observed relic abundance [7–9]. In this model, the DM particle  $\chi$  is taken to be a Majorana fermion that couples axially to the gauge boson  $Z'$ . The  $Z'$  boson also has a vector-like coupling with SM quarks. The relevant part of the spin-1 sector of the model Lagrangian is:

$$\mathcal{L}_{\text{spin-1}} \supset -g_\chi Z'_\mu \bar{\chi} \gamma^\mu \gamma^5 \chi - g_q Z'_\mu \sum_q \bar{q} \gamma^\mu q,$$

where  $g_\chi$  is the coupling between the  $Z'$  mediator and the  $\chi$  particles, while  $g_q$  is the coupling between the  $Z'$  mediator and the SM quarks. These two parameters are set to 1.0 and 0.25, respectively, in accordance with the LHC Dark Matter Working Group recommendations [10].

As the lightest state in the dark sector, the  $H_D$  boson does not decay into  $\chi$  particles, but it can decay into visible SM particles by mixing with the SM Higgs boson (H) [8, 11]. For this reason, the decay into a pair of b quarks is expected to be dominant for  $H_D$  bosons with masses below 135 GeV, and it is significant for masses up to 160 GeV. The mixing angle  $\theta_h$  between the  $H_D$

boson and the SM H boson is set to 0.01, a value that is large enough to ensure prompt decay of the  $H_D$  boson while small enough to have no observable effect on the couplings of the SM Higgs boson [12].

In this paper, we present a search for DM in events where  $\chi$  particles are produced in association with a  $H_D$  boson decaying into a pair of b quarks. The production mechanism is shown in Fig. 1. A  $Z'$  boson is produced by a quark-antiquark interaction in the initial state. It radiates a  $H_D$  boson via dark-Higgsstrahlung before decaying into a pair of  $\chi$  particles.

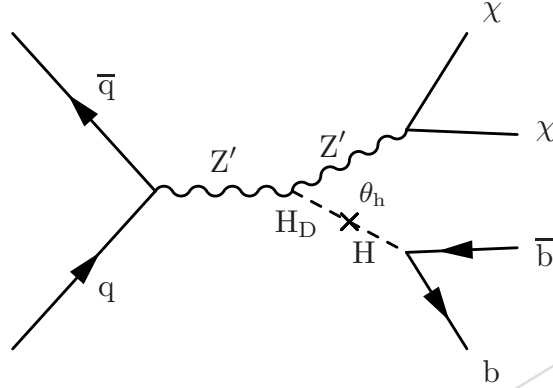


Figure 1: Feynman diagram for the associated production of a  $H_D$  boson and  $\chi$  particles. The interaction with SM quarks is mediated by a  $Z'$  boson, and the  $H_D$  boson mixes with the SM Higgs boson through the  $\theta_h$  mixing angle. In this paper we focus on the decay of the  $H_D$  boson into a pair of b quarks, which is dominant at lower masses.

Searches for  $H_D$  bosons produced in association with DM have already been performed by the ATLAS [13] and CMS [14] collaborations. These searches focus on heavier  $H_D$  boson mass hypotheses, larger than 160 GeV. For a  $H_D$  boson of such a mass, the decay into a pair of W bosons is dominant. The ATLAS Collaboration has also recently published a search for lower-mass  $H_D$  bosons decaying into a pair of b quarks [15]. In this paper, we describe a similar search which uses the full dataset collected by the CMS experiment at a center-of-mass energy of 13 TeV during the 2016–2018 data taking period, corresponding to an integrated luminosity of  $138 \text{ fb}^{-1}$ .

## 2 The CMS detector and event reconstruction

The CMS apparatus [16, 17] is a multipurpose, nearly hermetic detector, designed to trigger on [18–20] and identify electrons, muons, photons, and (charged and neutral) hadrons [21–23]. Its central feature is a superconducting solenoid of 6 m internal diameter, providing a magnetic field of 3.8 T. Within the solenoid volume are a silicon pixel and strip tracker, a lead tungstate crystal electromagnetic calorimeter (ECAL), and a brass and scintillator hadron calorimeter (HCAL), each composed of a barrel and two endcap sections. Forward calorimeters extend the pseudorapidity coverage provided by the barrel and endcap detectors. Muons are reconstructed using gas-ionization detectors embedded in the steel flux-return yoke outside the solenoid. More detailed descriptions of the CMS detector, together with a definition of the coordinate system used and the relevant kinematic variables, can be found in Refs. [16, 17].

The silicon tracker used in 2016 measured charged particles in the range  $|\eta| < 2.5$ . For non-isolated particles of  $1 < p_T < 10 \text{ GeV}$  and  $|\eta| < 1.4$ , the track resolutions were typically 1.5% in  $p_T$  and 25–90 (45–150)  $\mu\text{m}$  in the transverse (longitudinal) impact parameter [23]. At the beginning of 2017, a new pixel detector was installed [24]; the upgraded tracker measured particles

up to  $|\eta| = 3.0$  with typical resolutions of 1.5% in  $p_T$  and 20–75  $\mu\text{m}$  in the transverse impact parameter [25] for non-isolated particles of  $1 < p_T < 10\text{ GeV}$ . According to simulation studies [26], similar improvements are expected in the longitudinal direction. The primary vertex (PV) is taken to be the vertex corresponding to the hardest scattering in the event, evaluated using tracking information alone, as described in Section 9.4.1 of Ref. [27].

In the region  $|\eta| < 1.74$ , the HCAL cells have widths of 0.087 in pseudorapidity and 0.087 in azimuth ( $\phi$ ). In the  $\eta$ - $\phi$  plane, and for  $|\eta| < 1.48$ , HCAL cells map to  $5 \times 5$  arrays of ECAL crystals to form calorimeter towers projecting radially outwards from close to the nominal interaction point. For  $|\eta| > 1.74$ , the coverage of the towers increases progressively to a maximum of 0.174 in  $\Delta\eta$  and  $\Delta\phi$ . The forward hadron (HF) calorimeter uses steel as an absorber and quartz fibers as the sensitive material. The two halves of the HF are located 11.2 m from the interaction region, one at each end, and together they provide coverage in the range  $3.0 < |\eta| < 5.2$ . They also serve as luminosity monitors.

Events of interest are selected using a two-tier trigger system. The first level (L1), composed of custom hardware processors, uses information from the calorimeters and muon detectors to select events at a rate of around 100 kHz within a fixed latency of 4  $\mu\text{s}$  [18]. The second level, known as the high-level trigger (HLT), consists of a farm of processors running a version of the full event reconstruction software optimized for fast processing, and reduces the event rate to a few kHz before data storage [19, 20].

A particle-flow (PF) algorithm [28] aims to reconstruct and identify each individual particle in an event, with an optimized combination of information from the various elements of the CMS detector. In this process, the identification of the PF candidate type (photon, electron, muon, and charged and neutral hadrons) plays an important role in the determination of the particle direction and energy. The energy of the photons is obtained from the ECAL measurement. The energy of electrons is determined from a combination of the electron momentum at the primary interaction vertex as determined by the tracker, the energy of the corresponding ECAL cluster, and the energy sum of all bremsstrahlung photons spatially compatible with originating from the electron track. The energy of muons is obtained from the curvature of the corresponding track. The energy of charged hadrons is determined from a combination of their momentum measured in the tracker and the matching ECAL and HCAL energy deposits, corrected for the response function of the calorimeters to hadronic showers. Finally, the energy of neutral hadrons is obtained from the corresponding corrected ECAL and HCAL energies.

In this search, electrons (photons) are required to have  $p_T > 10$  (15) GeV and  $|\eta| < 2.5$ . Muons are required to have  $p_T > 10\text{ GeV}$  and  $|\eta| < 2.4$ . All leptons and photons are required to be isolated. Isolation is calculated by imposing thresholds on the energy of PF candidates within a certain distance  $\Delta R = \sqrt{(\Delta\phi^2 + \Delta\eta^2)}$  with respect to the lepton/photon. Additional selection criteria are applied to define “loose” (“veto”) electrons (muons and photons) [21, 22], which are used to reject unwanted events. Similarly, “tight” leptons/photons are defined and used to select events in control data samples.

Hadronically decaying tau leptons are required to pass identification criteria using the hadron-plus-strips algorithm [29]. In addition, a new algorithm for the identification of hadronic tau lepton decays, called DEEPTAU [30], is used. The DEEPTAU algorithm is based on multi-classification and provides classifiers to discriminate genuine hadronic tau lepton decays from jets, electrons, and muons. Nonetheless, the overlap with electrons and muons is accounted for by removing tau leptons that are within a distance  $\Delta R < 0.4$  around a well reconstructed and isolated electron or muon.

For each event, hadronic jets are clustered from the PF candidates using the infrared and collinear safe anti- $k_T$  algorithm [31, 32] with a distance parameter of 0.4 or 1.5. Depending on the respective distance parameter, these jets are referred to as “AK4” or “AK15” jets. Jet momentum is determined as the vectorial sum of all particle momenta in the jet, and is found from simulation to be, on average, within 5 to 10% of the true momentum over the whole  $p_T$  spectrum and detector acceptance. Jet energy corrections are derived from simulation to bring the measured response of jets to that of particle-level jets on average. In situ measurements of momentum balance in dijet, photon + jet, Z + jet, and multijet events are used to account for any residual differences in the jet energy scale between data and simulation [33]. The jet energy resolution typically amounts to 15—20% at 30 GeV, 10% at 100 GeV, and 5% at 1 TeV [33]. Additional selection criteria are applied to each jet to remove jets potentially dominated by anomalous contributions from various subdetector components or reconstruction failures.

Additional proton-proton interactions within the same or nearby bunch crossings (pileup) can contribute additional tracks and calorimetric energy depositions to the jet momentum. To mitigate this effect in the AK4 jets, charged particles identified to be originating from pileup vertices are discarded, and an offset correction is applied to correct for remaining contributions. For AK15 jets, the pileup per particle identification algorithm (PUPPI) [34, 35] is used to mitigate the effect of pileup at the reconstructed particle level, making use of local shape information, event pileup properties, and tracking information. A local shape variable is defined, which distinguishes between collinear and soft diffuse distributions of other particles surrounding the particle under consideration. The former is attributed to particles originating from the hard scatter, and the latter is attributed to particles originating from pileup interactions. Charged particles identified to be originating from pileup vertices are discarded. For each neutral particle, a local shape variable is computed using the surrounding charged particles compatible with the primary vertex within the tracker acceptance ( $|\eta| < 2.5$ ), and using both charged and neutral particles in the region outside of the tracker coverage. The momenta of the neutral particles are then rescaled according to their probability to originate from the primary interaction vertex deduced from the local shape variable, superseding the need for jet-based pileup corrections [34].

The AK4 jets used in this search are further required to have a  $p_T$  larger than 30 GeV and  $|\eta| < 2.5$ . Jets with  $\Delta R < 0.4$  with respect to a well identified and isolated lepton or photon are removed. To identify jets originated by the hadronization of b quarks (hereafter referred to as “b jets”), the DEEPIET algorithm [36] is employed. A loose working point is used, defined for each year of data-taking as the minimum requirement in the DEEPIET discriminator distribution, which returns a 10% rate of misidentifying a jet originated by a light-flavor quark. The loose working point corresponds to an efficiency of correctly identifying jets originated by b quarks (i.e., b-tagging efficiency) of 90–95%, depending on the  $p_T$  of the AK4 jet.

The AK15 jets used in this search are further required to have a  $p_T$  larger than 160 GeV and  $|\eta| < 2.4$ . Jets with  $\Delta R < 1.5$  with respect to a well-identified and isolated lepton or photon are removed. The modified mass drop tagger algorithm [37, 38], also known as the soft-drop (SD) algorithm, with the angular exponent  $\beta = 0$ , soft cutoff threshold  $z_{\text{cut}} < 0.1$ , and characteristic radius  $R_0 = 1.5$  [39], is applied to remove soft, wide-angle radiation from the jet. To identify AK15 jets that are consistent with the hadronization of a  $b\bar{b}$  pair from the decay of a boosted massive resonance, the DEEPAK15 algorithm [40] is used. More specifically, the “mass-decorrelated” (MD) version of DEEPAK15 is employed. In this variant, an adversarial training is performed in which a second neural network is made to extract the AK15 jet mass from the output of the DEEPAK15 graph neural network. A good performance of this second network yields to a penalty on the joint cost function of the two networks. Therefore, this

method optimizes the ability to correctly identify the origin of an AK15 jet while systematically decorrelating the output score from the AK15 jet mass. This approach avoids shaping the AK15 jet mass distribution in background events. Since the strategy for this search relies on the AK15 jet mass shape for background estimation, the MD version of the tagger offers the best option. Identification working points are defined for each year of data-taking and optimized for this specific search.

The missing transverse momentum vector  $\vec{p}_T^{\text{miss}}$  is computed as the negative vector sum of the transverse momenta of all the PF candidates in an event, and its magnitude is denoted as  $p_T^{\text{miss}}$ . The  $\vec{p}_T^{\text{miss}}$  is modified to account for corrections to the energy scale and resolution of the reconstructed AK4 jets in the event [41]. Anomalous high- $p_T^{\text{miss}}$  events can be due to a variety of reconstruction failures, detector malfunctions, or non-collision backgrounds. Such events are rejected by dedicated filters that are designed to eliminate more than 85–90% of spurious high- $p_T^{\text{miss}}$  events with a signal efficiency exceeding 99.9% [41].

Together with the AK15 jet mass, the hadronic recoil, called  $U$ , is also used to distinguish the signal from the backgrounds. It represents the total transverse momentum of all the non-hadronic particles in each event. The signal events in this search contain only jets and no other reconstructed candidates, therefore  $U$  is equivalent to  $p_T^{\text{miss}}$  of the event. For the leading background processes, identified in Sect. 4,  $U$  corresponds to the  $p_T$  of a vector boson. In those data samples of this search where no lepton is required, the lepton from the vector boson decay is missed and  $U$  is once again equivalent to the  $p_T^{\text{miss}}$  of the event. In data samples where a lepton is required in the final state, the lepton from the vector-boson decay is identified and  $U$  is equivalent to the magnitude of the vector sum of  $\vec{p}_T^{\text{miss}}$  and the lepton  $\vec{p}_T$ .

### 3 Simulated samples

Samples of Monte Carlo (MC) simulated events are used to predict the signal and background contributions. In all cases, parton showering, hadronization, and underlying event properties are modeled using PYTHIA [42] version 8.202 or later with the underlying event tune CUETP8M1 or CP5 [43], based on the year of data taking. The simulation of the interactions between the particles and the CMS detector is based on GEANT4 [44]. The NNPDF 3.0 next-to-next-to-leading order (NNLO) [45] and NNPDF 3.1 NNLO [46] parton distribution functions (PDFs) are used for the generation of all samples based on the year of data collection. The same reconstruction algorithms used for the data are applied to the simulated samples.

For the associated production of SM vector bosons and jets ( $V + \text{jets}$  production), predictions with up to two partons in the final state are obtained at leading order (LO) in QCD using MADGRAPH5\_aMC@NLO [47] with the MLM matching scheme [48] between the jets from the calculations of the matrix elements and the parton shower. Samples of events with top quark pairs ( $t\bar{t}$  production) are generated at next-to-leading (NLO) in QCD with up to two additional partons in the matrix element calculations using MADGRAPH5\_aMC@NLO and the FxFx jet matching scheme [49]. Their cross sections are normalized to the inclusive cross section of  $t\bar{t}$  production at NNLO in QCD [50]. Events with electroweakly produced single top quarks (single top production) are simulated using POWHEG 2.0 [51, 52] and normalized to the inclusive cross section calculated at NLO in QCD [53, 54]. The associated production of vector bosons ( $VV$  production) is simulated at NLO in QCD using PYTHIA, and normalized to the cross sections at NNLO precision for  $WW$  production [55], and at NLO precision for  $WZ$  and  $ZZ$  production [56]. Several production mechanisms of SM H bosons decaying into a pair of b quarks ( $H \rightarrow b\bar{b}$  production) are also produced at LO with the POWHEG generator. Samples of QCD multijet production events are generated at LO using MADGRAPH5\_aMC@NLO.

Simulated samples of  $H_D$  boson production are generated with MADGRAPH5\_aMC@NLO [47] at LO, including up to one additional parton in the matrix element calculations, with the MLM matching scheme. Separate samples are generated for different mass hypotheses for the  $Z'$  mediator,  $\chi$  particles, and  $H_D$  bosons.

## 4 Event selection

The signal targeted in this analysis displays a large  $U$  and an AK15 jet that is identified as originating from a  $H_D \rightarrow b\bar{b}$  decay. Events with these characteristics comprise the signal region (SR).

Events in the SR are collected by trigger selections based on two variables. The first is  $p_T^{\text{miss}}$ , which is calculated using all PF candidates reconstructed at the HLT except for muons. The second variable, called  $H_T^{\text{miss}}$ , is defined as the magnitude of the negative vector sum of  $\vec{p}_T$  of the hadronic jets in the event, using the AK4 jets clustered by the HLT reconstruction. The trigger selects events with both  $p_T^{\text{miss}}$  and  $H_T^{\text{miss}} > 120 \text{ GeV}$ .

Events in SR are selected with a requirement of  $U > 250 \text{ GeV}$ . The soft-drop corrected mass ( $m_{\text{SD}}$ ) of the leading AK15 jet in  $p_T$  must satisfy the requirement  $m_{\text{SD}} \in [40, 300]$ . In order to preferentially select events where the leading AK15 jet originates from the hadronic decay of a  $H_D$  boson, the jet is further required to satisfy a minimum requirement in the DEEPAK15 score. A different threshold is used for each year of data-taking, optimized against signal sensitivity.

After this basic pre-selection, the main background processes in this search are from  $Z(\nu\nu) + \text{jets}$  (hereafter referred to as  $Z + \text{jets}$ ),  $W(e\nu)/W(\mu\nu) + \text{jets}$  (collectively referred to as  $W + \text{jets}$ ),  $t\bar{t}$  and QCD multijet production. The  $Z + \text{jets}$  process is the largest background and is irreducible. In contrast, the background from  $W + \text{jets}$  and  $t\bar{t}$  processes is suppressed by rejecting events if they contain a well-reconstructed and isolated lepton. For electrons, the "veto" working point (defined in Sect. 2) is used in their identification, while the "loose" working point is used in the identification of muons. Events that contain a "loose" photon are also rejected. This helps to suppress electroweak backgrounds in which a photon is radiated from the initial state. The  $t\bar{t}$  process is further suppressed by vetoing events with b-tagged AK4 jets that do not overlap with the leading AK15 jet. Finally, to reject QCD multijet events with large  $U$  arising from mismeasurements of the jet momenta, a minimum requirement is imposed on the azimuthal separation between the  $\vec{U}$  direction and each AK4 jet in the event to be larger than 0.5 radians. Similarly, the azimuthal angle between the  $\vec{U}$  direction and each AK15 jet in the event must be larger than 1.5 radians.

To avoid events with anomalous  $U$  due to reconstruction failures of the PF algorithm, events are required to have  $|p_T^{\text{miss}}(\text{PF}) - p_T^{\text{miss}}(\text{calorimeter})|/U < 0.5$ , where  $p_T^{\text{miss}}(\text{PF})$  refers to the standard  $p_T^{\text{miss}}$  computed from PF candidates as defined in Sec. 2, while  $p_T^{\text{miss}}(\text{calorimeter})$  is the  $p_T^{\text{miss}}$  calculated using only information from the calorimeters. To mitigate mismeasurement from a non-functioning HCAL section in 2018 data, events with jets in that region or with  $\phi(\vec{p}_T^{\text{miss}}) \in [-1.62, -0.62]$  at low  $p_T^{\text{miss}}$  are rejected.

As shown in Table 1, even after the full SR selection is applied, the selected data sample still has a large contamination from  $Z + \text{jets}$ ,  $W + \text{jets}$ , and  $t\bar{t}$  production. In order to predict and constrain these background processes, dedicated control regions (CRs) are used.

Table 1: Expected yields from background processes in SR. The values shown are from MC simulation and the uncertainties are statistical-only.

	2016	2017	2018
$H \rightarrow b\bar{b}$	$57.6 \pm 0.3$	$72.0 \pm 0.3$	$83.8 \pm 0.3$
$Z(\rightarrow \ell\ell)+\text{jets}$	$56.8 \pm 2.2$	$43.3 \pm 2.0$	$37.1 \pm 3.0$
QCD multijet	$93.3 \pm 25.8$	$154.9 \pm 41.7$	$163.2 \pm 64.6$
VV	$718.0 \pm 17.5$	$623.4 \pm 17.8$	$606.4 \pm 20.8$
Single top	$646.0 \pm 10.9$	$567.4 \pm 12.5$	$614.6 \pm 12.8$
$t\bar{t}$	$5486.5 \pm 199.7$	$5810.7 \pm 60.0$	$6784.2 \pm 133.7$
$W + \text{jets}$	$3997.8 \pm 38.5$	$2991.0 \pm 40.2$	$2826.6 \pm 50.5$
$Z + \text{jets}$	$7514.8 \pm 29.2$	$7035.2 \pm 33.3$	$6978.5 \pm 38.8$
Total background	$18570.7 \pm 208.1$	$17297.9 \pm 92.4$	$18094.2 \pm 163.4$

A CR enriched in  $Z + \text{jets}$  production events is identified using the same requirements that define the SR, but inverting the criterion on the DEEPAK15 score.

Similarly, CRs enriched in  $W + \text{jets}$  production events are identified using the full SR selection criteria with the exception of the muon or electron veto. More specifically, single-muon CRs are composed of events with exactly one tight muon, and single-electron CRs are composed of events with exactly one tight electron.

At the HLT, events with high- $p_T$  muons are also assigned large  $p_T^{\text{miss}}$ , therefore the same triggers based on  $p_T^{\text{miss}}$  and  $H_T^{\text{miss}}$  are also used to collect data populating the single-muon CRs. The control samples with electrons are selected using two single-electron triggers: one that requires  $p_T > 27$  (2016), 35 (2017), 32 (2018) GeV, while the other requires  $p_T > 105$  (2016), 115 (2017–2018) GeV. Additionally, a single-photon trigger with  $p_T > 200$  GeV is used in 2017 and 2018. Single-electron triggers differ in their isolation requirements: While the lower threshold trigger requires electrons to be well isolated, the higher threshold trigger does not, which improves the efficiency at high  $p_T$ . Similarly, the single-photon trigger avoids reliance on the HLT track reconstruction and increases the overall efficiency for electrons with  $p_T \gtrsim 200$  GeV. During the 2016 and 2017 data taking, a gradual shift in the timing of the inputs of the ECAL L1 trigger in the region  $|\eta| > 2.0$  caused a specific trigger inefficiency, known as L1 pre-firing. Correction factors are computed from the data and applied to the acceptance evaluated by simulation for the 2016 and 2017 samples.

Although the same requirement on  $U$  that defines the SR is used in all single-lepton CRs, to suppress the larger contribution from QCD multijet events in single-electron CRs due to jets faking electrons, the  $p_T^{\text{miss}}$  in each event is required to be larger than 100 GeV. An additional set of single-lepton CRs is used in this search, populated with events that meet the aforementioned single-electron or single-muon requirements, but fail the criterion on the leading AK15 jet DEEPAK15 score.

Dedicated single-lepton CRs are also used to constrain the background from  $t\bar{t}$  production. The same single-electron or single-muon selections used to define the  $W + \text{jets}$  production CRs are applied, but the veto on b-tagged AK4 jets that do not overlap with the leading AK15 jet is inverted. In this case, only CRs populated with events that satisfy the leading AK15 jet DEEPAK15 score requirement are used.

## 5 Background estimation

Background estimation and signal extraction are performed simultaneously, using a joint maximum likelihood (ML) fit across all SR and CRs for each year. A likelihood function is constructed to model the expected background contributions in each bin of the two-dimensional  $U$ -vs- $m_{SD}$  variable of the SR and CRs, as well as the expected signal yield in each bin of the SR. The best fit background model, as well as the best fit signal strength modifier  $\mu$  (which—for a given signal hypothesis—controls the signal normalization relative to the theoretical cross section), are obtained by maximizing a joint likelihood function of all SRs and CRs.

Separate approaches are adopted to estimate the dominant backgrounds from  $Z + \text{jets}$ ,  $W + \text{jets}$ , and  $t\bar{t}$  processes, and the subdominant backgrounds from the single top,  $VV$ ,  $H \rightarrow b\bar{b}$ , and QCD multijet ones. The predictions for the dominant backgrounds  $Z + \text{jets}$ ,  $W + \text{jets}$ , and  $t\bar{t}$  in SR are based on the yield of the same processes in each bin of the CRs. The per-bin yields for these processes in SR are defined as free parameters of the likelihood function. A different set of free parameters are used for each year of data-taking. The yields in the CRs are then defined relative to these parameters by introducing a set of per-bin transfer factors. This choice of transfer factors takes into account the correlations between the  $Z + \text{jets}$  and  $W + \text{jets}$  background contributions in all regions. In all cases, the central values of the transfer factors are obtained from the ratios of the simulated  $U$  vs.  $m_{SD}$  spectra of the respective processes in the SR to those in the CRs. The predictions for the subdominant single top,  $VV$ ,  $H \rightarrow b\bar{b}$ , and QCD multijet backgrounds in all SR and the CRs are taken directly from simulation.

The likelihood method relies on the accurate predictions of the ratios between the dominant backgrounds in the SRs and CRs, as well as on the absolute normalization and shape of the  $U$ -vs- $m_{SD}$  distributions for the subdominant backgrounds. To achieve the most accurate possible predictions for these quantities, weights are applied to each simulated event to take into account both experimental and theoretical effects that are not present in the MC samples. The experimental corrections are related to the trigger efficiencies, the identification and reconstruction efficiencies of charged leptons, the efficiencies of the DEEPIET and DEEPAK15 algorithms, and the pileup distribution in simulation. Theoretical corrections are applied to the  $Z + \text{jets}$  and  $W + \text{jets}$  processes in order to model the effects of NLO terms in the perturbative EW corrections [57]. Corrections are parameterized as a function of the generator-level boson  $p_T$  and are evaluated separately for the  $W + \text{jets}$  and  $Z + \text{jets}$  processes.

### 5.1 Systematic uncertainties

Systematic uncertainties are incorporated into the likelihood function as nuisance parameters. In the case of the  $Z + \text{jets}$ ,  $W + \text{jets}$ , and  $t\bar{t}$  processes, the nuisance parameters affect the values of the transfer factors in each bin of the  $U$ -vs- $m_{SD}$  variable and thus control the ratios of the contributions from different processes, as well as the ratios of the yields in the SRs to those in various CRs. For the subdominant background processes, the yields in each bin are directly parameterized in terms of the nuisance parameters.

Uncertainties in the measurement of the integrated luminosity in each year of data taking are 0.6–2.0% [58–60]. The uncertainties in the corrections for the L1 pre-firing effect in 2016 and 2017, as well as the uncertainties in the pileup correction are of the order of 1%. The uncertainties in the efficiencies of reconstructing and identifying electron candidates are 1% and 2–3%, respectively. For muons, the uncertainties in the identification efficiency are 1%, with an additional 1% uncertainty in the efficiency of the isolation criteria. A systematic uncertainty for each lepton/photon veto selection has been obtained by propagating the overall uncertainties in the identification of muons, electrons, photons, and taus into the vetoed regions. While the

uncertainties are found to be negligible for the photon, muon and electron vetoes, a 3% uncertainty in the tau veto is included. The uncertainties in the trigger efficiency are 1% for the single electron trigger and 1–2% for the  $p_T^{\text{miss}}$  trigger. The uncertainty in the modeling of  $p_T^{\text{miss}}$  in simulation [61] is dominated by the uncertainty in the jet energy corrections. The resulting bin migration affects the acceptance of the minimum requirement in U. The resulting change in rate is estimated to be 5%, and is included as a systematic uncertainty. The effect of the uncertainties in the AK15 jet energy corrections on the jet  $p_T$  is considered. In addition, in this case, the resulting bin migration affects the acceptance of the minimum requirements in the AK15 jet  $p_T$ . This introduces an effect on the rate of the order of 4%. The uncertainty in the DEEPJET efficiency leads to a shape uncertainty applied to all processes in all regions. The uncertainty in the DEEPAK15 efficiency results in a shape uncertainty applied to the signal processes in SR. Uncertainties of 100% are assigned to normalization of the QCD multijet background contributions in all regions. These uncertainties are correlated among the regions with the same source of fakes: an uncertainty is applied to QCD multijet events in the SR and in the CR enriched in  $Z + \text{jets}$  production events, a separate uncertainty is applied to QCD multijet events in single-muon CRs, and another uncertainty is applied to single-electron CRs. Additionally, the uncertainties of 20% are assigned to the cross section of  $VV$ ,  $H \rightarrow b\bar{b}$ , and  $DY + \text{jets}$  productions. Similarly, 10% uncertainties in the single top and  $t\bar{t}$  production cross sections are also assigned. The theoretical uncertainties in the transfer factors related to higher-order effects in the QCD and EW perturbative expansions are calculated according to the prescription given in Ref. [57], and implemented as described in Ref. [62]. Bin-by-bin statistical uncertainties are incorporated following the Barlow-Beeston-lite approach [63].

The likelihood functions obtained for the three data-taking years are combined to maximize the statistical power of the search. The combination is performed by defining a combined likelihood that describes all the regions in all data sets. For this purpose, the effects of all theoretical uncertainties are assumed to be correlated. Most experimental uncertainties are dominated by the inherent precision of auxiliary measurements specific to each dataset and are thus assumed to be uncorrelated among the different data taking years. The experimental uncertainties related to the determination of the integrated luminosity and to the DEEPJET efficiency are partially correlated among the data taking years, which is taken into account by splitting the total uncertainty into its correlated and uncorrelated components. A summary of all the uncertainties considered for this analysis is reported in Table 2.

Table 2: Summary of statistical and systematic uncertainties included in the analysis. The value given for each uncertainty is the maximum value.

Source	Uncertainty
Luminosity	0.6–2%
Pileup	$\mathcal{O}(1\%)$
L1 pre-firing	$\mathcal{O}(1\%)$
$p_T^{\text{miss}}$ trigger efficiency	1–2%
Single electron trigger efficiency	1%
Muon isolation efficiency	1%
Muon identification efficiency	1%
Electron reconstruction efficiency	1%
Electron identification efficiency	2–3%
$p_T^{\text{miss}}$	5%
Jet energy corrections	4%
DEEJET efficiency	shape
DEEPAK15 efficiency	shape
VV cross section	20%
$H \rightarrow b\bar{b}$ cross section	20%
DY+jets cross section	20%
single top cross section	10%
$t\bar{t}$ cross section	10%
QCD- $p_T^{\text{miss}}$ normalization	100%
QCD-electron normalization	100%
QCD-muon normalization	100%
Higher-order corrections	shape
Bin-by-bin statistics	shape

## 6 Results and interpretation

The ML fit is performed by combining the SR and CRs as well as the datasets corresponding to the years of data taking. The U-vs.- $m_{SD}$  distributions in SR before and after the fit (“prefit” and “postfit”) for all three years combined are shown in Fig. 2. Good agreement is observed between the background-only postfit result and the data.

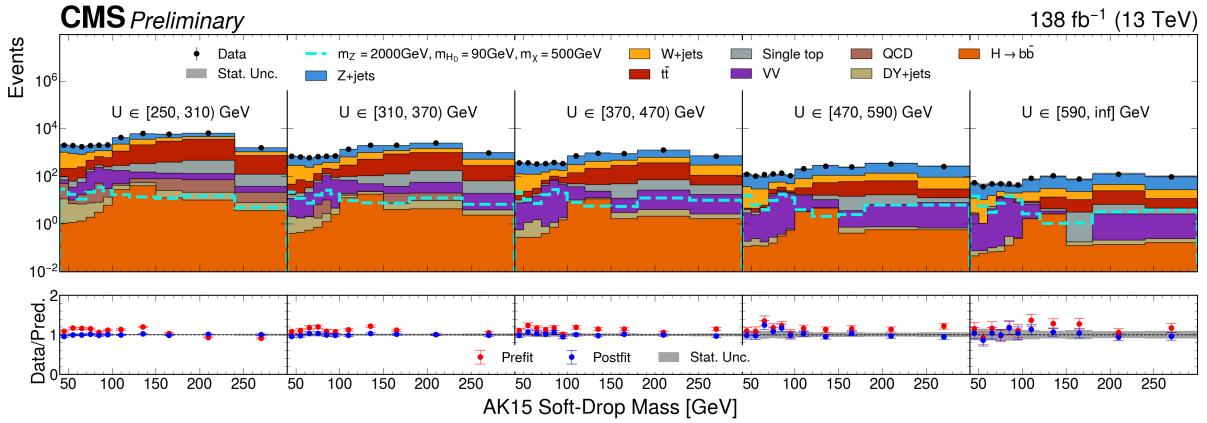


Figure 2: Postfit  $m_{SD}$  distributions in bins of  $U$  for all three years combined. Distributions in SR are shown. The top plots present stacked postfit predictions for the backgrounds superimposed on the data. The blue curve represents the postfit total background prediction, while the red curve represents the prefit one. The bottom plots present the ratio between the data (labeled as “Observed”, or “Obs”) and the background predictions (labeled as “Expected”, or “Exp”). The ratio between the data and the postfit prediction is represented by the blue dots, while the ratio between the data and the prefit prediction is represented by the red ones. Only statistical uncertainties are shown.

No signal is observed in the data; therefore, exclusion limits on  $\mu$  are presented for different signal hypotheses. All data sets and categories are included. The exclusion limits are calculated using the  $CL_s$  criterion [64–66], and an asymptotic approximation to the distribution of the profiled likelihood ratio test statistic.

Exclusion limits are calculated in the two-dimensional parameter space of the DM and mediator masses,  $m_\chi$  and  $m_{Z'}$ , constrained by the fact that only scenarios in which the DM particle is more massive than the  $H_D$  boson are considered. The coupling between the mediator and the SM quarks is set to a constant value of  $g_q = 0.25$ , and the mediator-DM coupling is set to  $g_\chi = 1.0$ . The resulting exclusion limits at the 95% confidence level (CL) on  $\mu$  are shown in Figs. 3–8 for different hypotheses of the  $H_D$  boson mass. In the plots, darker shades correspond to smaller upper limits, i.e. more stringent constraints. The solid black line represents the observed 95% CL exclusion contour, while the dashed and dotted lines indicate the median expected exclusion and its 68% and 95% confidence intervals, respectively. The parameter space inside the solid black boundary is excluded at the 95% CL under the model assumptions. Values of the mediator mass of up to 2.5–4.5 TeV are excluded, depending on the mass of the  $H_D$  boson.

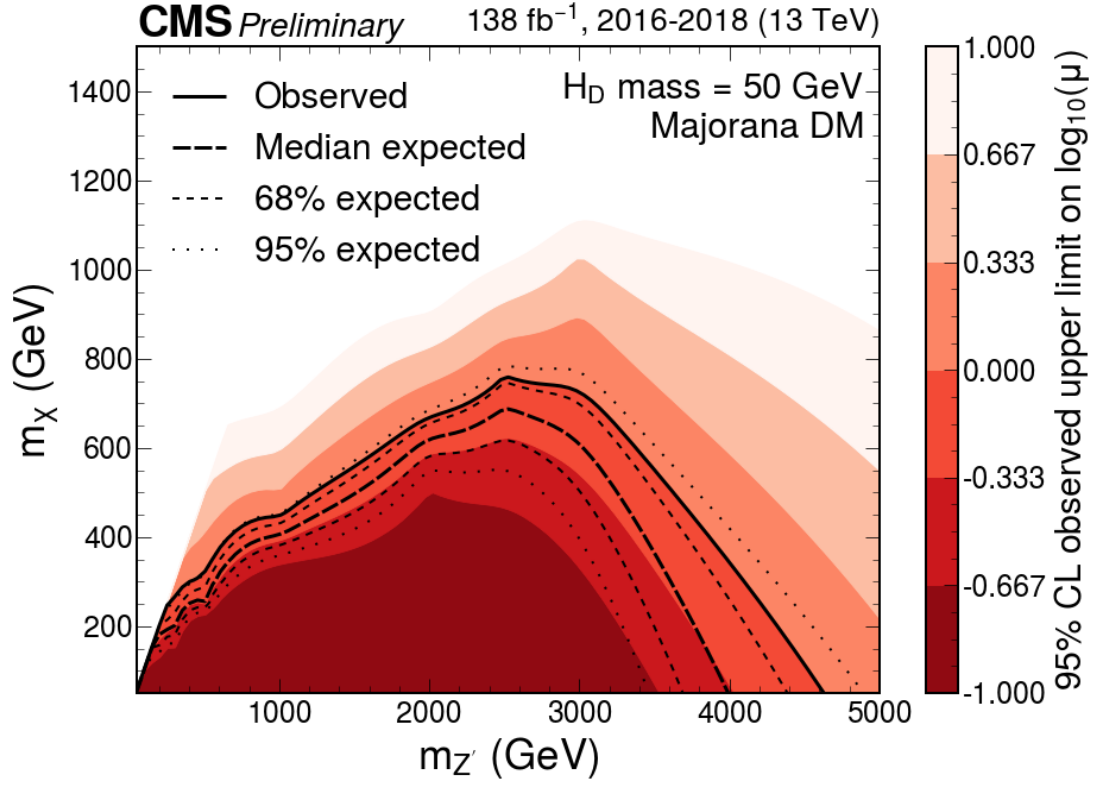


Figure 3: Expected and observed exclusion limits at 95% CL on the signal strength  $\mu = \sigma/\sigma_{\text{theo}}$  as a function of  $m_{Z'}$  for a  $H_D$  boson mass of 50 GeV. Only scenarios where the DM particle is more massive than  $H_D$  are considered. The black solid line indicates the exclusion boundary  $\mu = 1$ . Parameter combinations with larger values of  $\mu$  are excluded.

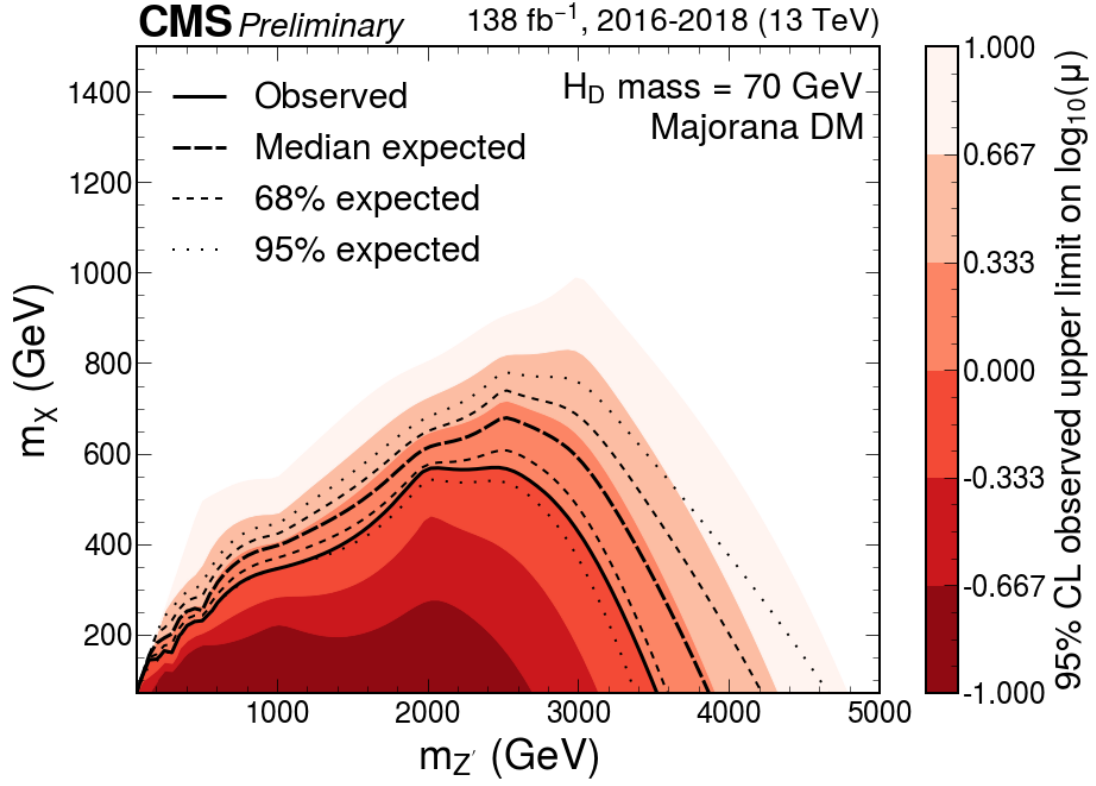


Figure 4: Expected and observed exclusion limits at 95% CL on the signal strength  $\mu = \sigma/\sigma_{\text{theo}}$  as a function of  $m_{Z'}$  for a  $H_D$  boson mass of 70 GeV. Only scenarios where the DM particle is more massive than  $H_D$  are considered. The black solid line indicates the exclusion boundary  $\mu = 1$ . Parameter combinations with larger values of  $\mu$  are excluded.

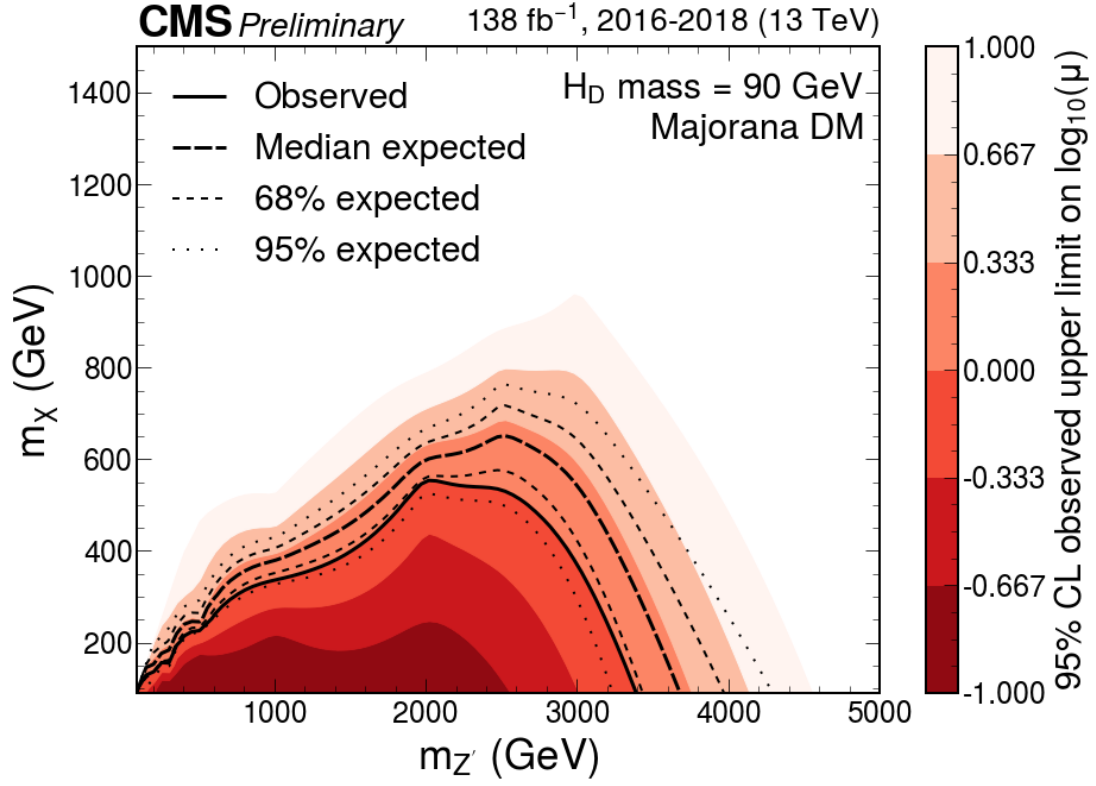


Figure 5: Expected and observed exclusion limits at 95% CL on the signal strength  $\mu = \sigma/\sigma_{\text{theo}}$  as a function of  $m_{Z'}$  for a  $H_D$  boson mass of 90 GeV. Only scenarios where the DM particle is more massive than  $H_D$  are considered. The black solid line indicates the exclusion boundary  $\mu = 1$ . Parameter combinations with larger values of  $\mu$  are excluded.

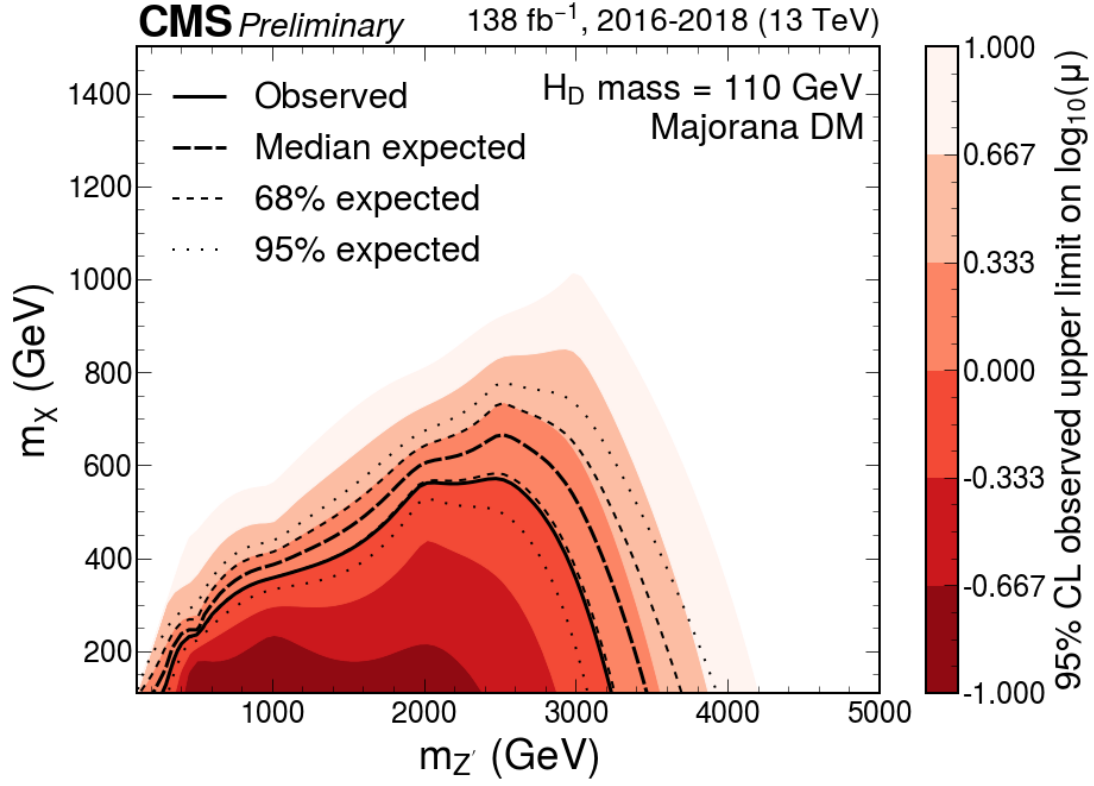


Figure 6: Expected and observed exclusion limits at 95% CL on the signal strength  $\mu = \sigma/\sigma_{\text{theo}}$  as a function of  $m_{Z'}$  for a  $H_D$  boson mass of 110 GeV. Only scenarios where the DM particle is more massive than  $H_D$  are considered. The black solid line indicates the exclusion boundary  $\mu = 1$ . Parameter combinations with larger values of  $\mu$  are excluded.

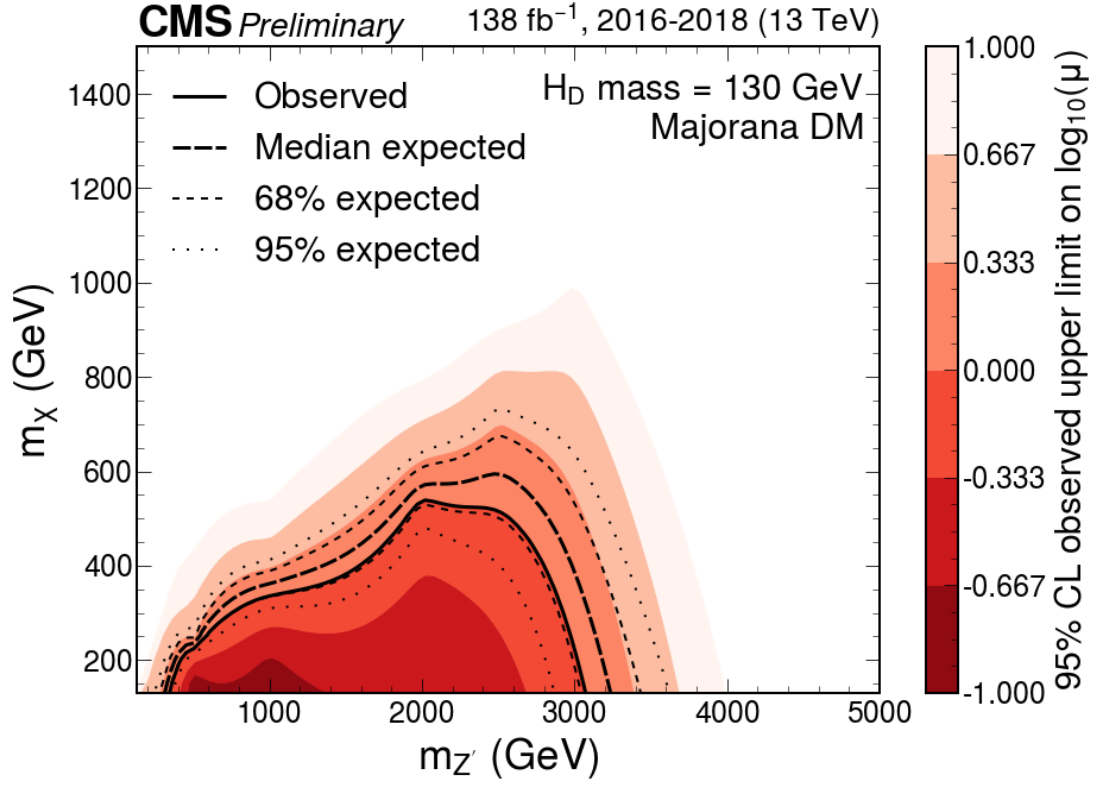


Figure 7: Expected and observed exclusion limits at 95% CL on the signal strength  $\mu = \sigma/\sigma_{\text{theo}}$  as a function of  $m_{Z'}$  for a  $H_D$  boson mass of 130 GeV. Only scenarios where the DM particle is more massive than  $H_D$  are considered. The black solid line indicates the exclusion boundary  $\mu = 1$ . Parameter combinations with larger values of  $\mu$  are excluded.

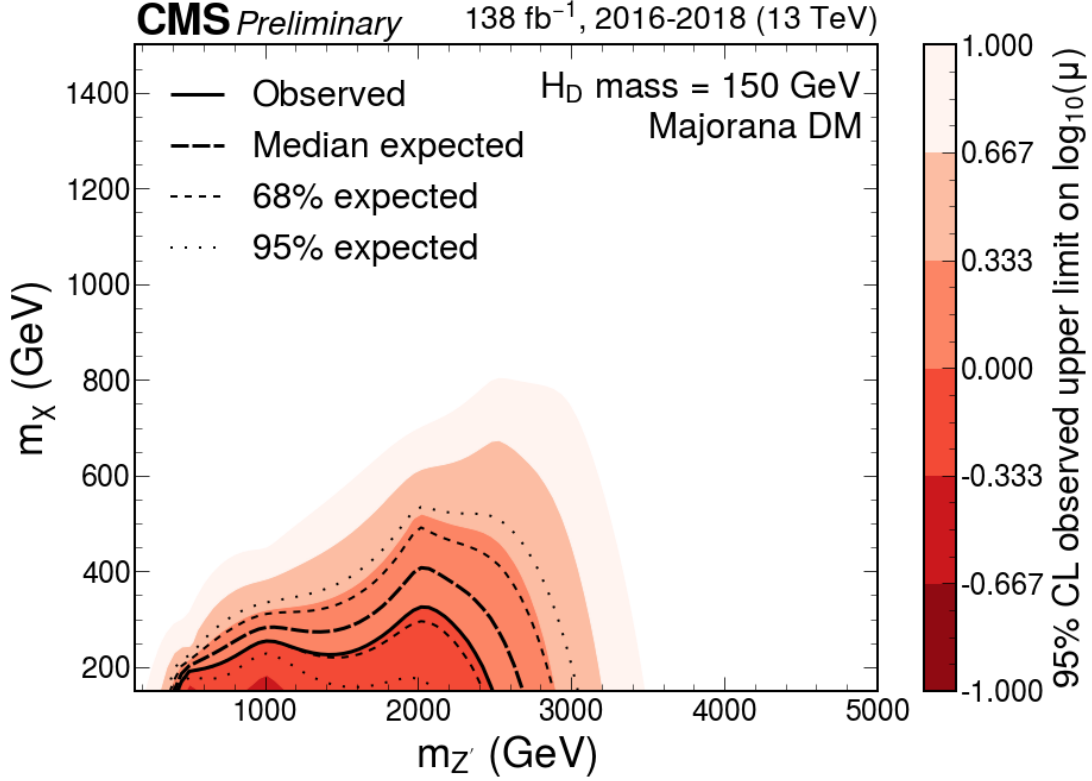


Figure 8: Expected and observed exclusion limits at 95% CL on the signal strength  $\mu = \sigma/\sigma_{\text{theo}}$  as a function of  $m_{Z'}$  for a  $H_D$  boson mass of 150 GeV. Only scenarios where the DM particle is more massive than  $H_D$  are considered. The black solid line indicates the exclusion boundary  $\mu = 1$ . Parameter combinations with larger values of  $\mu$  are excluded.

## 7 Summary

A search for physics beyond the standard model in events with a resonant pair of b quarks and large missing transverse momentum has been presented. A data set of proton-proton collisions at a center-of-mass energy of 13 TeV, corresponding to an integrated luminosity of  $138 \text{ fb}^{-1}$  is analyzed. A joint maximum likelihood fit over a combination of signal and control regions is used to constrain the standard model background processes and to extract a possible signal. The result is interpreted in terms of exclusion limits at the 95% confidence level on the parameters of a model of production of a  $H_D$  boson in association with dark matter particles. Values of the mediator mass of up to 2.5–4.5 TeV are excluded, depending on the mass of the  $H_D$  boson and assuming couplings of  $g_q = 0.25$  between the mediator and quarks, and  $g_\chi = 1.0$  between the mediator and the DM particles.

## Acknowledgments

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