

## Tools from graph theory

Lecturer: Magnus Lindhé  
Instructor: Michael Rotkowitz

Scribe: Alberto Speranzon

### 1 Introduction

In this lecture a distributed control law for a group of agents is designed and analyzed. The control law is such that the four following global behaviors are obtained: (i) the velocity vectors are aligned, (ii) the speed converges to a common value, (iii) agents avoid collisions and (iv) agents minimize an artificial potential function. This lecture is based on the following paper: “H. Tanner, A. Jadbabaie and G. J. Pappas, *Flocking in Fixed and Switching Networks*, submitted to Transaction on Automatic and Control, 2005”.

### 2 Preliminaries

We recall here some important fact about graphs that will be instrumental for what follows.

Let us consider a graph  $\mathcal{G}(\mathcal{V}, \mathcal{E})$  with vertex (or node) set  $\mathcal{V}$  and edge set  $\mathcal{E} \subseteq \mathcal{V} \times \mathcal{V}$ . An example of a graph with four nodes  $\mathcal{V} = \{1, 2, 3, 4\}$  and edges

$$\mathcal{E} = \{(1, 2), (2, 1), (1, 3), (3, 1), (2, 3), (3, 2), (3, 4), (4, 3)\}$$

is shown in Figure 1.

From now on, we will always consider undirected graph, so that if  $(i, j) \in \mathcal{E}$  then  $(j, i) \in \mathcal{E}$  if  $i \neq j$ . To any given graph is always possible to associate the *adjacency matrix*  $A$ , namely the matrix

$$[A]_{ij} = \begin{cases} 1 & \text{if } (i, j) \in \mathcal{E} \text{ or } i = j \\ 0 & \text{otherwise} \end{cases}$$

The matrix  $A$  univocally describes a graph, up to a relabeling.

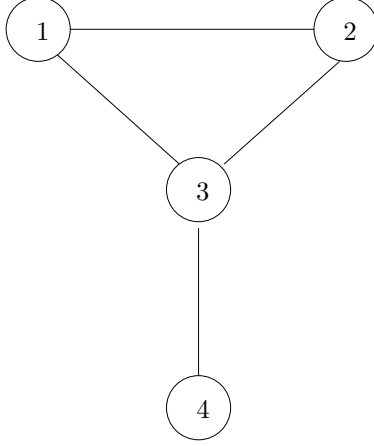
Consider the graph in Figure 1. It is easy to see that its adjacency matrix is

$$A = \begin{pmatrix} 1 & 1 & 1 & 0 \\ 1 & 1 & 1 & 0 \\ 1 & 1 & 1 & 1 \\ 0 & 0 & 1 & 1 \end{pmatrix}.$$

If we consider a vertex  $i$  of an undirected graph  $\mathcal{G}$  we denote with *degree* of the vertex  $i$  the number of edges entering (or leaving) the vertex plus one. The *degree matrix* of a graph is the diagonal matrix with the degree of each vertex as entry. Considering again the graph in Figure 1, we have that

$$D = \text{diag}(3, 3, 4, 2).$$

A graph is called *connected* if and only if there is a sequence of edges, *path*, connecting any pair of vertexes of the graph. Given a graph  $\mathcal{G}(\mathcal{V}, \mathcal{E})$  a subgraph is the graph  $\mathcal{S}(\mathcal{Q}, \mathcal{W})$  with  $\mathcal{Q} \subset \mathcal{V}$  and  $\mathcal{W} \subset \mathcal{E}$  such that if  $i, j \in \mathcal{Q}$  then  $(i, j) \in \mathcal{W}$  iff  $(i, j) \in \mathcal{E}$ . A *connected component* is a maximal connected subgraph. That is, two vertices are in the same connected component if and only if there exists a path between them.



**Figure 1:** Example of a graph with four vertexes.

From the degree matrix and the adjacency matrix it is possible to define the *Laplacian matrix* of the graph  $\mathcal{G}$  as follows

$$L = D - A.$$

For the graph of Figure 1 we have

$$L = \begin{pmatrix} 2 & -1 & -1 & 0 \\ -1 & 2 & -1 & 0 \\ -1 & -1 & 3 & -1 \\ 0 & 0 & -1 & 1 \end{pmatrix}.$$

The Laplacian matrix of an undirected graph has the following properties:

- (i) It is a semi-definite matrix,  $L \geq 0$ , and symmetric.
- (ii) The smallest eigenvalue is  $\lambda_1 = 0$  with associated eigenvector  $\mathbf{1} = (1, \dots, 1)^T$ .
- (iii) The multiplicity of the eigenvalue  $\lambda_1 = 0$  corresponds to the number of connected components
- (vi) The first non-zero eigenvalue,  $\lambda_2$ , is related to the connectivity of a graph.
- (iv) All other eigenvalue are such that  $\lambda_i > 0$ .

### 3 Problem formulation

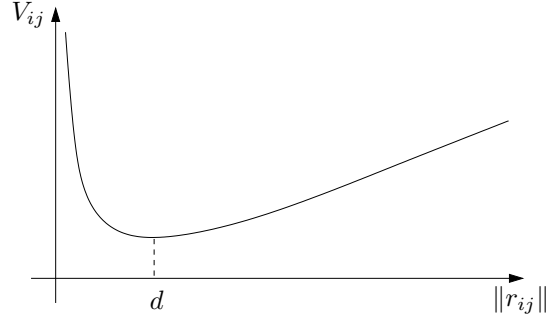
Consider a group of  $N$  agents moving on the plane. Each agent is modelled as a double integrator

$$\begin{aligned} \dot{r}_i &= v_i \\ \dot{v}_i &= u_i \end{aligned}$$

for  $i = 1, \dots, N$ . To a group of agents we associate an undirected graph  $\mathcal{G}(\mathcal{V}, \mathcal{E})$  such that  $|\mathcal{V}| = N$  and  $(i, j) \in \mathcal{E}$  if there is interaction between agent  $i$  and agent  $j$ .

The control action for each agent consists of two contributions

$$u_i = - \underbrace{\sum_{j \in \mathcal{N}_i} (v_i - v_j)}_{\alpha_i} - \underbrace{\sum_{j \in \mathcal{N}_i} \nabla_{r_i} V_{ij}}_{a_i}$$



**Figure 2:** Example of a graph with four vertexes.

were  $\mathcal{N}_i = \{j \in \mathcal{V} : (i, j) \in \mathcal{E}\}$ . The term  $\alpha_i$  is responsible of making the velocity of the agents equal, whereas the second term  $a_i$  is responsible of maintaining a fixed distance between interacting agents. The main assumption here is that the neighbor  $\mathcal{N}_i$  of an agent  $i$  does not change with time. The function  $V_{ij}$  is an artificial potential function which is differentiable, nonnegative, radially unbounded function of the distance  $\|r_{ij}\|$  between agents  $i$  and  $j$ , and such that

- (1)  $V_{ij}(\|r_{ij}\|) \rightarrow +\infty$  as  $\|r_{ij}\| \rightarrow 0$
- (2)  $V_{ij}$  has a unique minimum, exactly at the value corresponding to the desired interagent distance.

An example of such function with minimum at  $d$  is shown in Figure 2.

The main result is the following.

**Theorem 1** *If the agents have a connected neighboring graph, then interconnected agents will not collide. Moreover they will approach a configuration that minimizes the potential energy and they will reach equal velocities.*

**Proof.**

Let us consider the following Lyapunov function:

$$W(\bar{r}, v) = \frac{1}{2} \sum_{i=1}^N (V_i + v_i^T v_i)$$

with  $v_i = (v_{i_x}, v_{i_y})^T$  where  $v_{i_x}$  is the  $x$ -component of the velocity and similarly  $v_{i_y}$ . We also defined

$$V_i = \sum_{j \in \mathcal{N}_i} V_{ij}.$$

The vector  $\bar{r}$  denotes relative distances. It is possible to show <sup>1</sup> that the set

$$\Omega = \{(r_{ij}, v_i) : W \leq c\}$$

with  $c > 0$  is compact. We also have that

$$\dot{W} = [\dots] = - \sum_{i=1}^N V_i^T \sum_{j \in \mathcal{N}_i} (v_i - v_j) = -v_x^T L v_x - v_y^T L v_y \leq 0$$

since  $L$  is semi-definite. Notice that  $v_x$  and  $v_y$  denotes the stack vectors of the components of the agent velocities projected to the  $x$  and  $y$  axis. Since the eigenvector of  $L$  associated with the single zero eigenvalue

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<sup>1</sup>See original paper.

is  $\mathbb{1}$ , this means that  $\dot{W}$  will only be zero whenever both  $v_x$  and  $v_y$  belong to  $\text{span}(\mathbb{1})$ , implying that all agent velocities have the same components and are therefore equal. It follows immediately that  $\dot{r} = 0$ . Applying LaSalle's invariant principle, it follows that if the initial conditions of the system lie in  $\Omega$ , its trajectories will converge to the largest invariant set inside the region  $S = \{v | \dot{W} = 0\}$ . Using the incident matrix of the graph, it is possible to show that

$$\dot{v}_x, \dot{v}_y \in \text{span}(\mathbb{1})^\perp.$$

Since  $S$  is invariant

$$v_x, v_y \in \text{span}(\mathbb{1}) \Rightarrow \dot{v}_x, \dot{v}_y \in \text{span}(\mathbb{1}).$$

This yields a contradiction unless

$$\dot{v}_x, \dot{v}_y \in \text{span}(\mathbb{1})^\perp \cap \text{span}(\mathbb{1}) \equiv 0.$$

This means that the agents' velocities do not change in steady state and that the potential of each agent is minimized.  $\square$

### Remark

- If the graph is a tree, then interagent distances are exactly  $d$ .
- The speed of convergence is bounded by  $\lambda_2$ .
- The feedback has the same structure of the adjacency matrix.