




Case Study: Top Investment Bank Compliance & Global Markets Technology (Bank of America)

A Case Study in Turning Discovery into a Strategic Asset



Client: Bank of America

Client Context: Risk & Compliance

*Architected and led by **Richard Vogal**, Chief Data Strategy, Sapiient Advisors*

Challenge/Issue:

Compliance requirements for every operating unit, function, and risk category globally were inconsistent and not accessible by End Users.

Solution:

Build and Manage item refresh of an online Enterprise Compliance & Risk catalog. For each sub-category of risk and remediation solutions, build out a reference library with a robust search engine off the corporate intranet.

Impact:

All employees had permission/access to a comprehensive Technology, Business and AML catalog which was kept current in real-time by relevant business units. The solution substantially reduced risk of any single individual negatively impacting business operations.