# CSCI203 Algorithms and Data Structures

### Problem Complexity

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## Classes of Algorithms

### Logarithmic

- (all bases have the same growth rate)
- $\Theta(\log n)$ ,
- $\Theta(\log(\log n))$

### Poly-logarithmic

- $\Theta(n \log n)$
- $\Theta(n^2 \log n)$
- •••

### Polynomial

- (exponential is constant)
- $\Theta(1)$  sub-linear
- $\Theta(n^{0.001})$  sub-linear
- $\Theta(n^{0.5})$  sub-linear
- $\Theta(n)$  linear
- $\Theta(n^2)$
- $\Theta(n^3)$
- ... $\Theta(n^{100})$

## Classes of Algorithms

### Expotential

- (base makes a bug difference)
- $\Theta(2^n)$
- $\Theta(3^n)$
- $\Theta(n^n)$
- Exponential-Exponential
  - $\Theta(n^{n^n})$

### Factorial

- $kC^n < n! < n^n$
- k is a constant and c is a constant less than n

### The Great Divide

- All polynomial algorithms, include
  - Linear
  - Poly-log
  - Log
  - Constant
  - Sub-linear

- All exponential algorithms, include
  - Factorial
  - poly-poly

## Problems vs. Algorithm

- A problem can have many algorithms with different efficiencies, e.g. sorting integers
  - Mergesort  $\Theta(n \log n)$
  - Permutation sort  $\Theta(n!)$
- A specific algorithm's efficiency can vary depending on the input, e.g. Quicksort
  - $\Theta(n \log n)$  on most unsorted list
  - $\Theta(n^2)$  on pre-sorted or partially sorted list

## Problems vs. Algorithms

- So what can we say about sorting problem?
- The sorting problem can be
  - Solved in  $\Theta(n \log n)$
  - Solved in  $\Theta(n!)$
- We say that the sorting problem is polynomial
  - Because there exists at least one polynomial algorithm for solving the problem

## Problems vs. Algorithms

- Some problems have solution algorithms that are intrinsically polynomial
- But, some problems can NOT be solved in polynomial time
  - At least most people think so...
  - But it's hard to prove that something can't be done

### Longest Common Subsequence (LCS) Problem

- Finding the longest subsequence common to all sequences in a set of sequences (often just two sequences).
- ▶ It differs from the longest common substring problem:
  - unlike substrings, subsequences are not required to occupy consecutive positions within the original sequences.
- $\blacktriangleright$  E.g. X = ABCBDAB; Y = BDCABA
  - The length of LCS is 4
  - LCS are BDAB, BCAB, BCBA

### LCS: 1960's

- ▶ The LCS problem
  - Fastest known algorithm can only solve the problem in  $\Theta(2^n)$
- Does there exist an optimal LCS algorithm that has a polynomial time?
  - In 1960, most computer scientists were leaning towards an answer of "no", i.e. there does NOT exist a polynomial algorithm

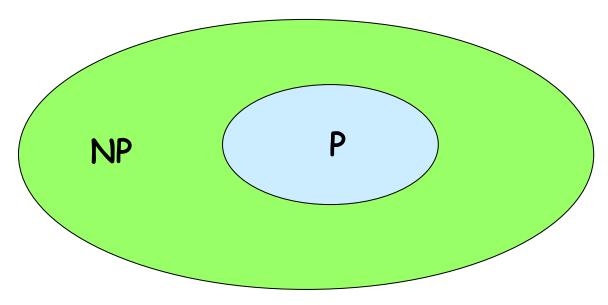
### LCS: 1980's

- In 1981, Smith and Waterman used dynamic programming to solve LCS problem in  $\Theta(n^2)$  time using  $\Theta(n^2)$  memory
- This discover and many other discoveries led the following questions:

- Can all problems be solved in Polynomial Time?
  - Maybe we just haven't discovered all the good algorithms yet

### P and NP

- NP set of problems where the solution can not be computed deterministically in polynomial time
- P set of problems that can be solved deterministically in polynomial time



## Nondeterministic algorithm

- a nondeterministic algorithm is an algorithm that, even for the same input, can exhibit different behaviours on different runs
  - The nondeterministic algorithms are often used to find an approximation to a solution, when the exact solution would be too costly to obtain using a deterministic one
- a deterministic algorithm is an algorithm that, given a particular input, will always produce the same output, with the underlying machine always passing through the same sequence of states.

## Polynomial Problems

- Searching
- Sorting
- Minimum Spanning Tree
- LCS Problem
- Shortest Path Problem

### Non-deterministically Polynomial Problems

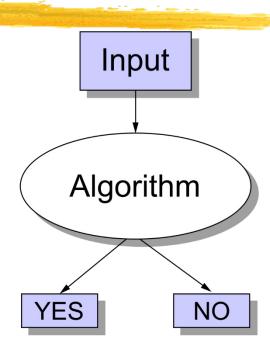
- The graph isomorphism problem of determine whether two graph can be drawn identically
- The traveling salesman problem where we want to know if there is a route of same length that goes through all nodes in a certain network (graph)
- Knapsack problem

## NP-completeness

- ▶ NP − complete
  - Is short for "nondeterministic polynomial-time complete"
- A decision problem  $D_1$  is said to be polynomially reducible to a decision problem  $D_2$ , if there exists a function t that transforms instances of  $D_1$  to instances of  $D_2$  such that:
  - t maps all yes instances of  $D_1$  to yes instances of  $D_2$  and all no instances of  $D_1$  to no instances of  $D_2$
  - $\bullet$  t is computable by a polynomial time algorithm
- ▶ A decision problem D is said to be NP complete if:
  - it belongs to class NP
  - every problem in NP is polynomially reducible to D

## What is a decision problem?

- a decision problem is a problem that can be posed as a yes-no question of the input values
  - whether a given natural number is prime
  - given two numbers x and y, does x evenly divide y?



### What about optimization problems?

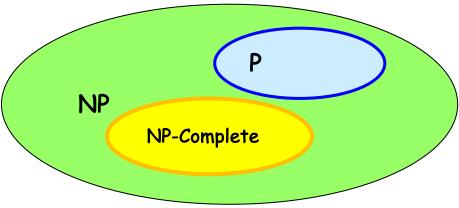
- ► NP completeness has been studied in the framework of decision problems.
- Most problems are not decision problems, but optimization problems (where some value needs to be minimized or maximized).
- In order to apply the theory of NP-completeness to optimization problems, we must recast them as decision problems.
- We provide an example of how an optimization problem can be transformed into a decision problem.

### An example

- Optimization problem
  - SHORTEST-PATH that finds a shortest path between two given vertices in an unweighted, undirected graph G = (V, E).
- Decision problem A decision problem PATH related to the SHORTEST-PATH problem above is:
  - Given a graph G = (V, E), two vertices  $u, v \in V$ , and a non-negative integer k, does a path exist in G between u and v whose length is at most k?

## P, NP and NP-Complete

- P Problems with polynomial deterministic algorithms
- NP problems with polynomial non-deterministic algorithms
- NP Complete A Problem X is NP-complete if there is an NP problem Y, such that Y is reducible to X in polynomial time.



### Related References

- Introduction to the Design and Analysis of Algorithms, A. Levitin, 3rd Ed., Pearson 2011.
  - Chapters 11.3

# CSCI203 Algorithms and Data Structures

### Subject Review & Final Examination

Lecturer: Fenghui Ren

Room 3.203

24/10/2022 21

## Topics covered in the subject

#### Week01

- Comparing algorithms and complexity classes
- 1D and 2D Peak finding, phone books and Quick sort
- Basic data structures array, list, stack, queue and record

#### Week02

- Merge sort, heap, heapsort, compact string storage using arras
- Algorithm efficiency

#### Week03

- Discrete event-driven simulation
- Min-max heap, priority queue

## Topics covered in the subject...

#### Week04

- Binary search trees (BST), AVL trees
- Binary express trees, K-ary trees & State-machines

#### Week05

- 2-4 trees
- B-trees of order m
- Quadtrees and fast search (hashing)

#### Week06

- Hashing chainning, open addressing, linear probing and double hashing
- Karp-Rabin string search

## Topics covered in the subject...

- Week07 Graphs
  - Adjacency matrix, Breadth-first-search (BFS), DFS, edge classification, cycle detection, topological sort
  - Articulation points
- Week08 Weighted Graphs
  - Shortest path (Dijkstra's, Bellman-Ford's)
- Week09 Dynamic Programming
  - A\* algorithms
  - Big numbers

24/10/2022 24

## Topics covered in the subject...

- Week10 ~ 12 Dynamic Programming
  - Optimal structure, recursive formula
  - Implementation (recursive, recursive + memorization, bottom-up with tabular)
  - Fibonacci, coin-row, change-making, shortest paths, coincollection, rod-cutting
  - Matrix-chain multiplication
- Week13
  - P, NP and NP-complete Problems

24/10/2022 25

### Subject Materials for Review

- Lecture slides:
  - Available on the subject Moodle.
- Your notes
- Recommended references:
  - Introduction to the Design and Analysis of Algorithms, A. Levitin, 3rd Ed., Pearson 2011.
  - Introduction to Algorithms, T. H. Cormen, 3rd Ed, MIT Press 2009.
- Assignments
- Lab exercises

### Assessments

- > Assignments (45% in total)
  - 3x Coding assignments = 45%
- Final Exam (55%)
  - Minimum requirement 40% = 22 marks

### Final Examination

- Materials and Aids Allowed
  - Open book
- Exam Structure
  - Short answer questions
  - 8 questions, 6-8 marks each as specified
  - Each question has multiple sub-questions

This exam will run via Moodle

### Final Examination...

- Exam Date & Starting time
  - 9:00 (Sydney time) Friday 11 November 2022
  - Please check SOLS
- Exam Duration
  - 3 hours
- Grace Period
  - 15 minutes for preparing and submitting answer sheets in a single pdf file

### Final Examination - Instructions

- Have a set of A4 blank paper ready
- On the first page, write
  - Your full name, Student Number & UOW login name
- Answer each question on a separate page clearly
  - either handwriting or using suitable editing software at your own choice
- Scan or take photos of your answer sheets and convert them into one single pdf file (<200MB)</p>
- Name the pdf file as
  - <your login name>.pdf
- Submit the pdf file via Moodle
  - See the next slide on how to scan/convert your hand-write answer sheets into a single pdf file using your mobile

### How to create one pdf file

- Important: Be prepared with knowing how to create one pdf file from your working solutions.
- There is freely available software that can be used to scan your answer sheets and convert them into a single pdf file. These links may be of assistance.

#### Android

https://www.youtube.com/watch?v=BCccqxhPyJw (Scan documents)
https://www.youtube.com/watch?v=d\_olWftSgIM (Convert image
to pdf)

#### iPhone

https://www.idownloadblog.com/2017/05/12/how-to-save-photos-pdf-iphone-ipad/

https://www.igeeksblog.com/how-to-convert-photos-to-pdf-on-iphone-ipad/

## Example Questions

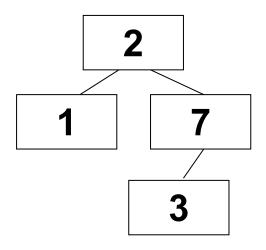
- Disclaimer
  - These examples are only for illustration purpose. They DO NOT indicate in any way the scope and questions of the final exam.

### Types of questions

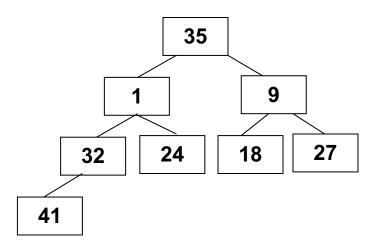
About the data structures and algorithms

Workout the final and or interim results of algorithms given the initial data or interim results of the algorithms

Draw the AVL tree resulting from the insertion of the node 4, into the tree:

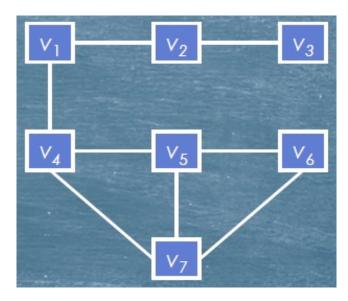


Show the tree resulting from the operation of the siftdown function, which is called on the root node to restore the min heap property for the following tree:



24/10/2022 35

List the nodes in the order in which they are encountered if the graph is traversed using Breadth-First and Depth-First search, starting from  $v_1$ 



- Consider inserting a set of keys  $899,950,369,980,\cdots$  into a hash table for a given length m and a scheme of probing, e.g linear probing or double hashing
  - Note: probing hashing function(s) will be given

The solution to a changing-making problem can be expressed as

$$F(n) = \min_{j:n \ge d_j} \{F(n - d_j)\} + 1 \quad for \ n > 0$$
  
$$F(0) = 0$$

- Where F(n) be the minimum number of coins whose values add up to n; F(0) = 0 using the minimum number of coins of denominations  $d_1 < d_2 < \ldots < d_m$ , where  $d_1 = 1$ .
- What is the solution to the Change-making to amount n=6 and with denominations 1, 3 and 4, show  $F[0 \dots 6]$

38