

Design and Analysis of Algorithm

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❖ **Minimum Spanning Tree**

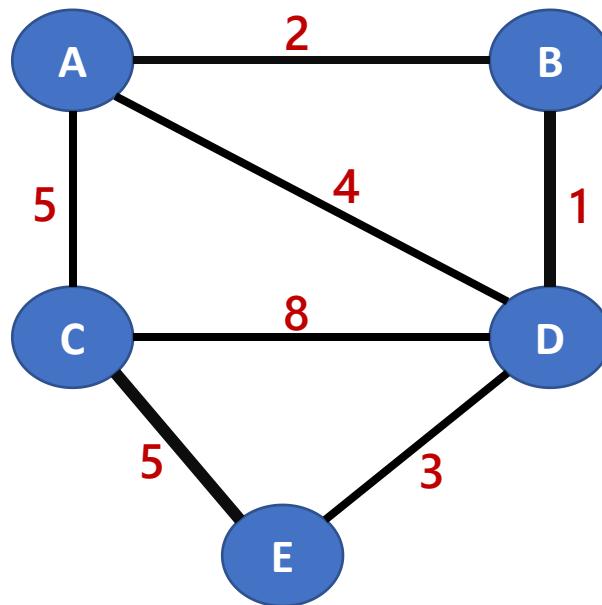
- **Prim's Algorithm**
- **Kruskal's Algorithm**

❖ **Single source shortest path**

- **Dijkstra's Algorithm**

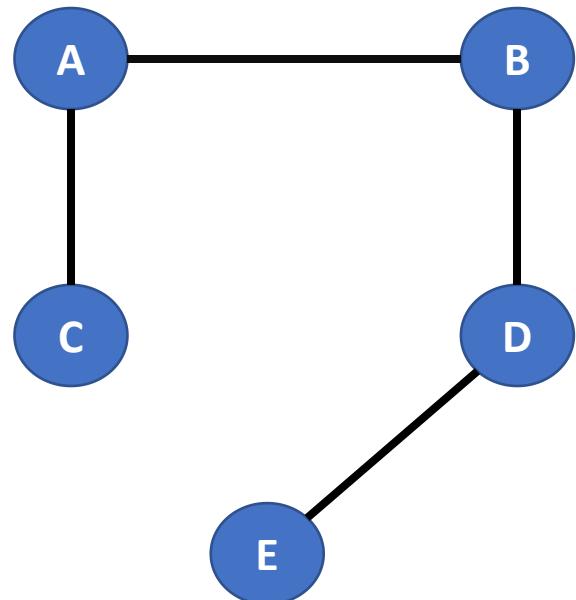
Basic Concepts

Weighted Graph: A weight(w_i) is associated with every edge of graph

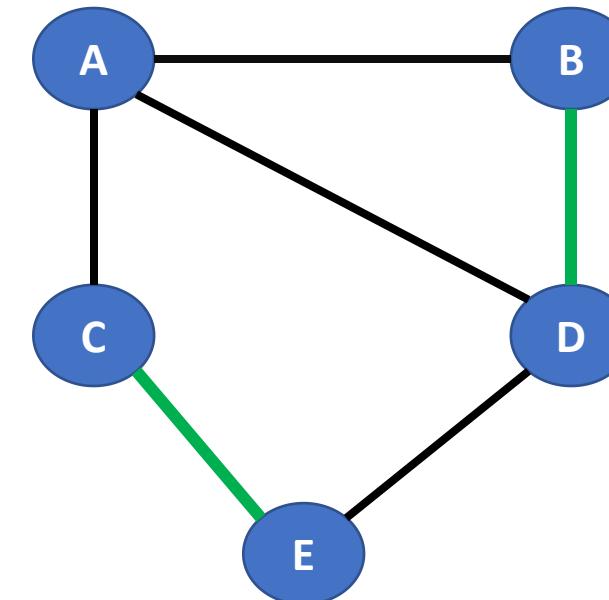


Basic Concepts

Acyclic Graph: A graph without cycle



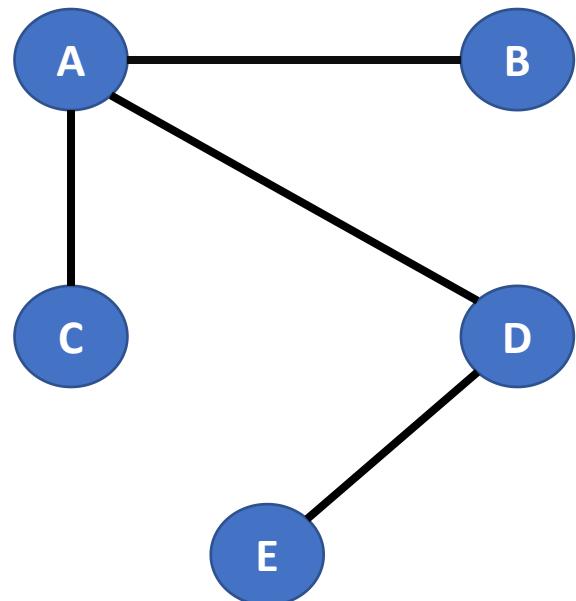
Acyclic Graph



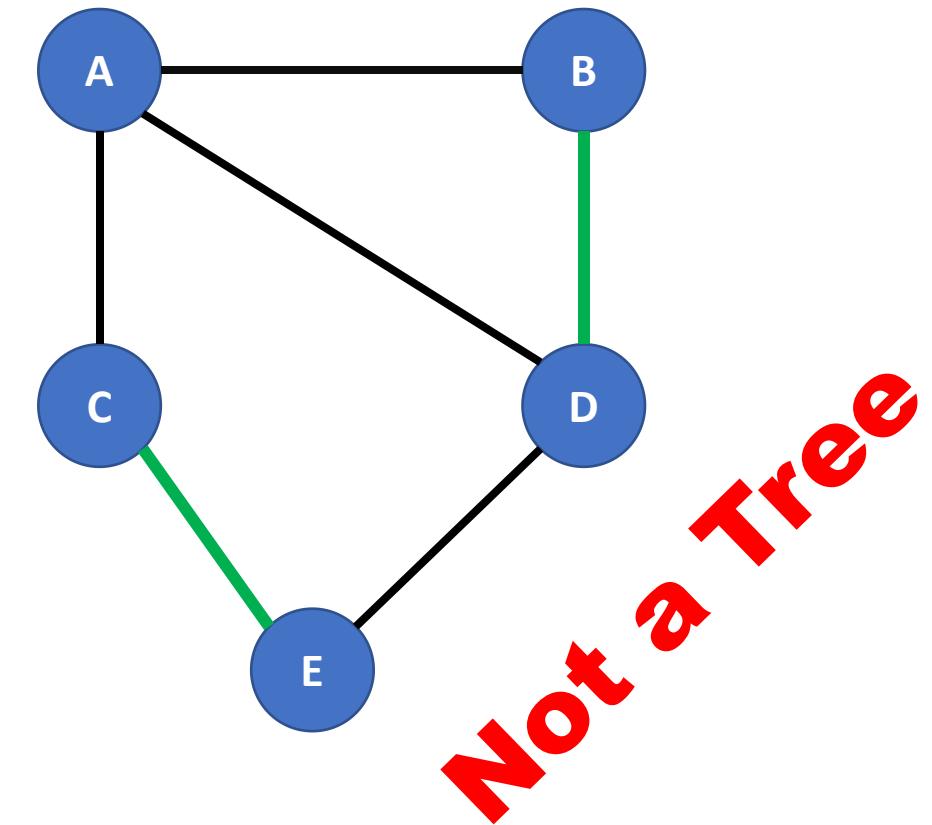
Cyclic Graph

Basic Concepts

Tree: A connected acyclic graph



Tree



Not a Tree

Basic Concepts

Spanning Tree

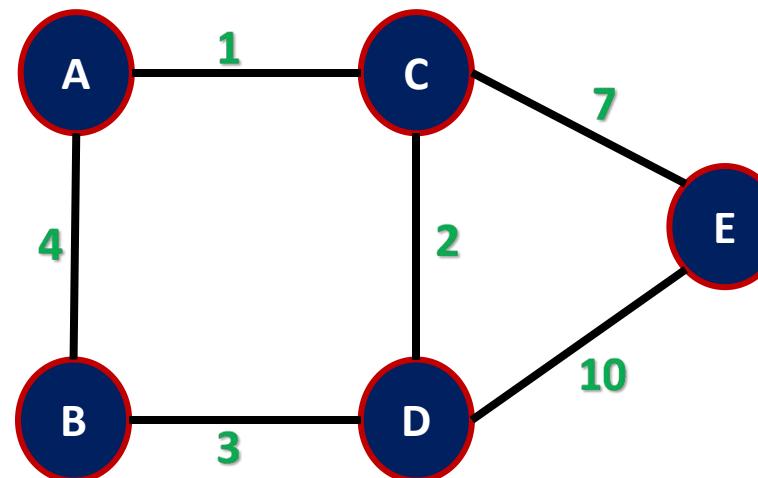
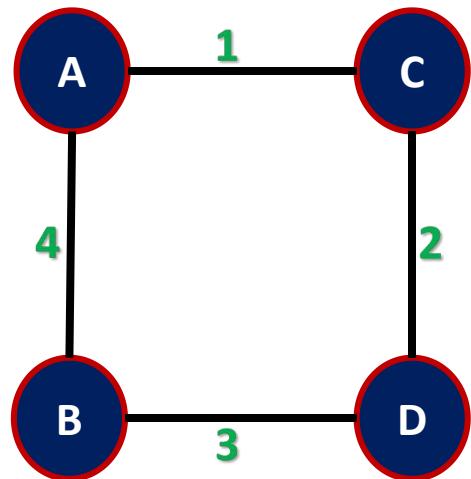
A spanning tree of a graph $G = \langle V, E \rangle$ is a subgraph G' of G such that

1. G' is a Tree and
2. G' spans(covers) all the vertices of G

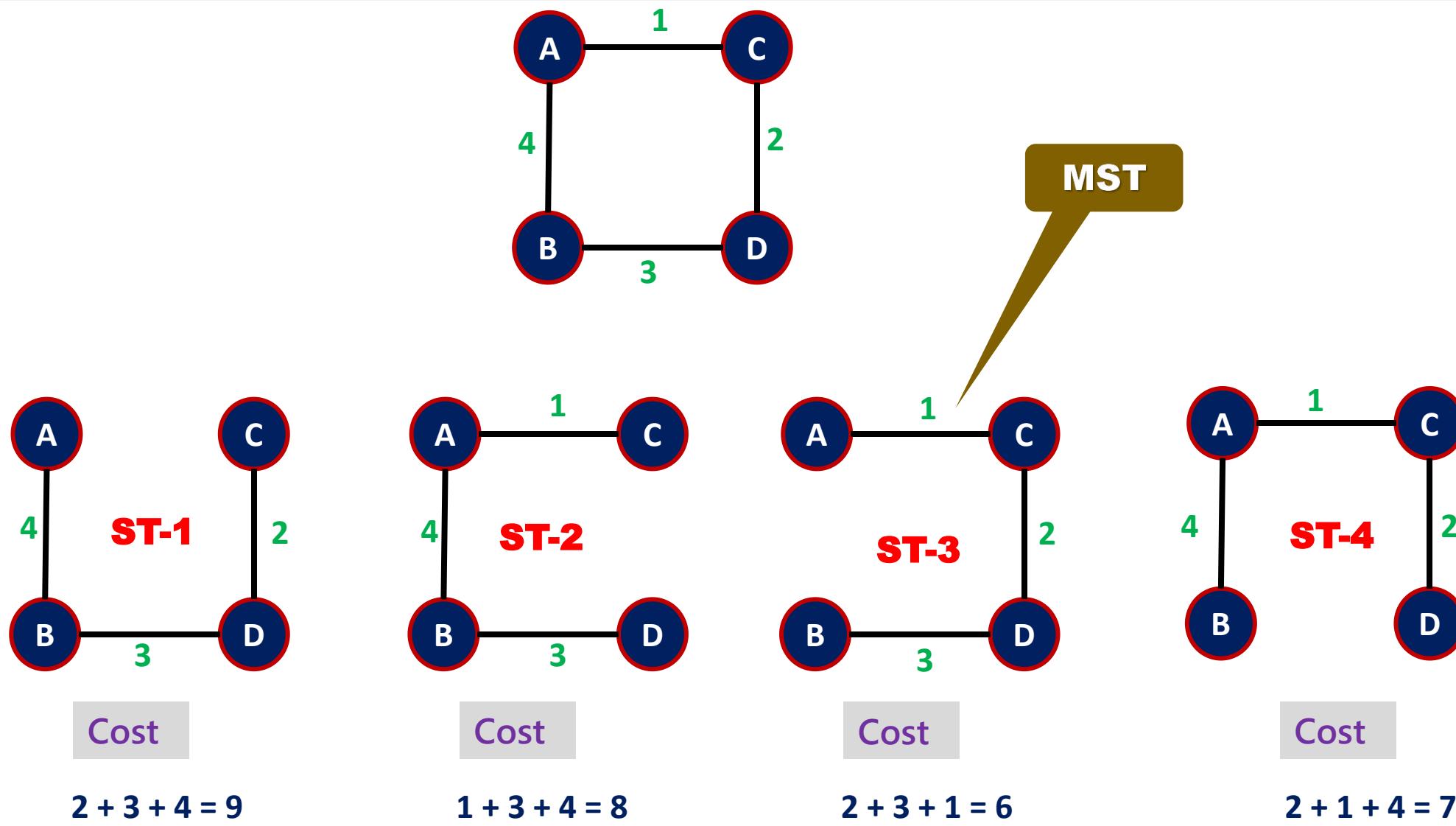
Basic Concepts

Weighted Connected Undirected Graph:

A connected undirected graph in which every edge has +ve weight



Minimum Spanning Tree

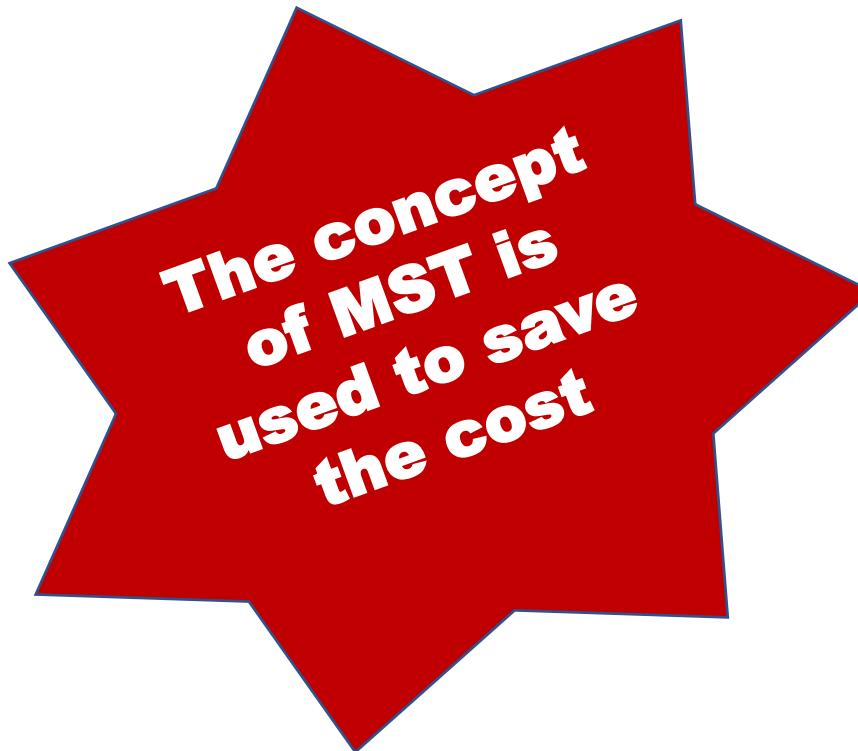


Application of MST

- Constructing highways or railroads spanning several cities

You want to supply a set of houses with

- Electric Power
- Water
- Telephone lines
- Sewage lines



How to find MST of a Graph

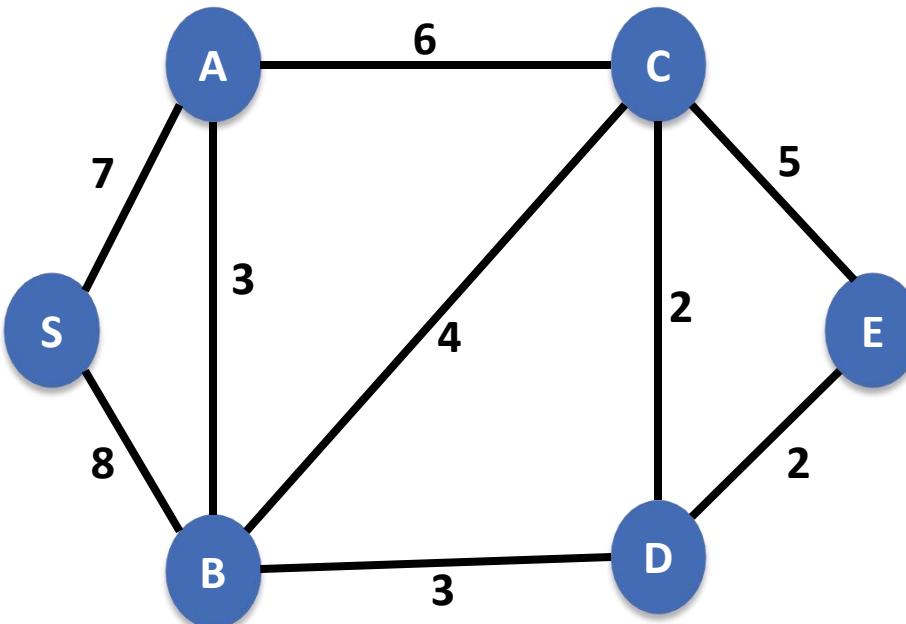
MST of a graph can be computed using

- Kruskal's Algorithm(1956)
- Prim's Algorithm(1959)

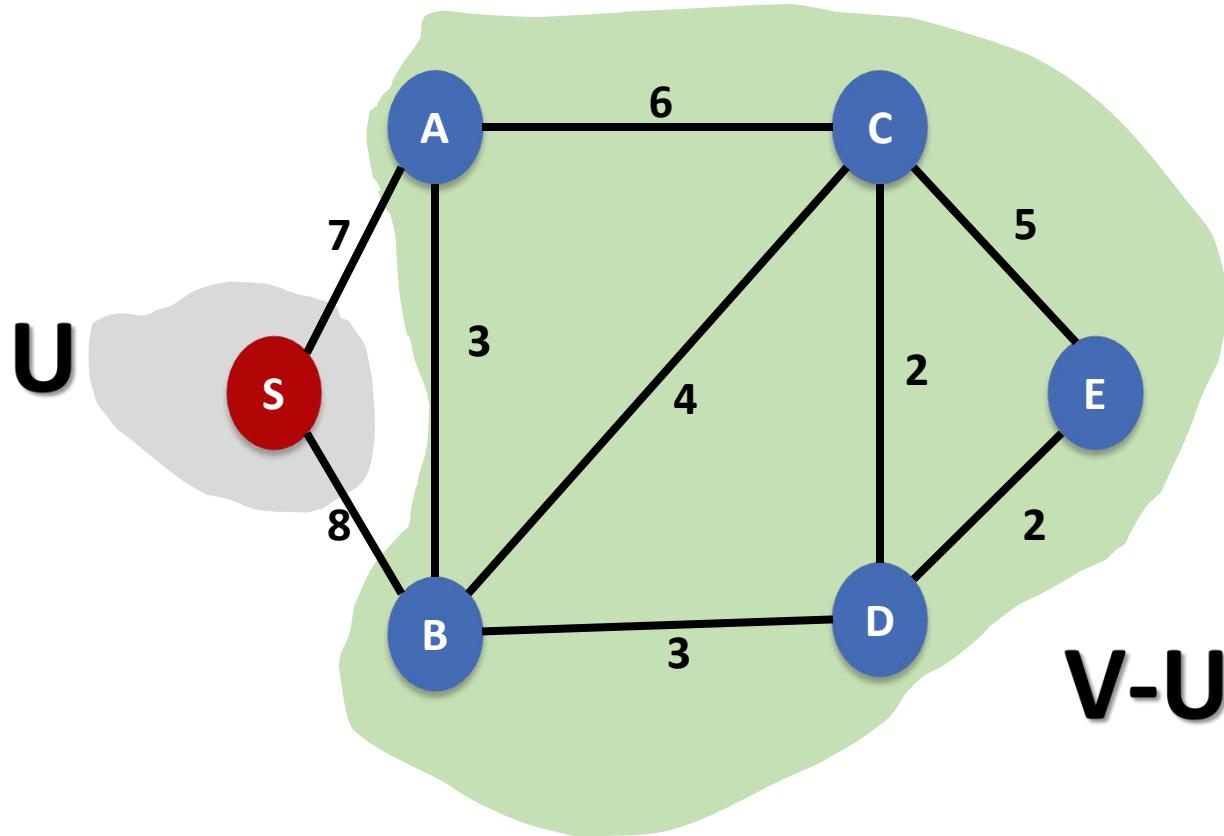
Prim's Algorithm

Consider the following graph

Assume S is source vertex

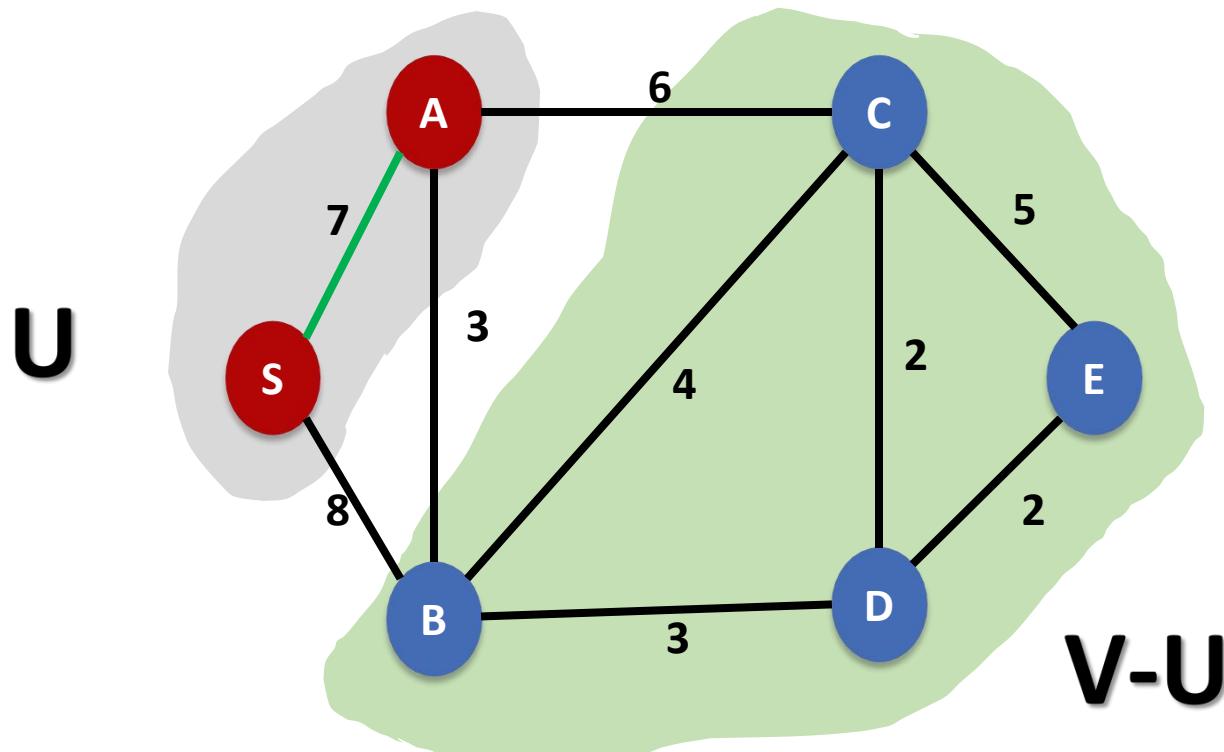


Prim's Algorithm



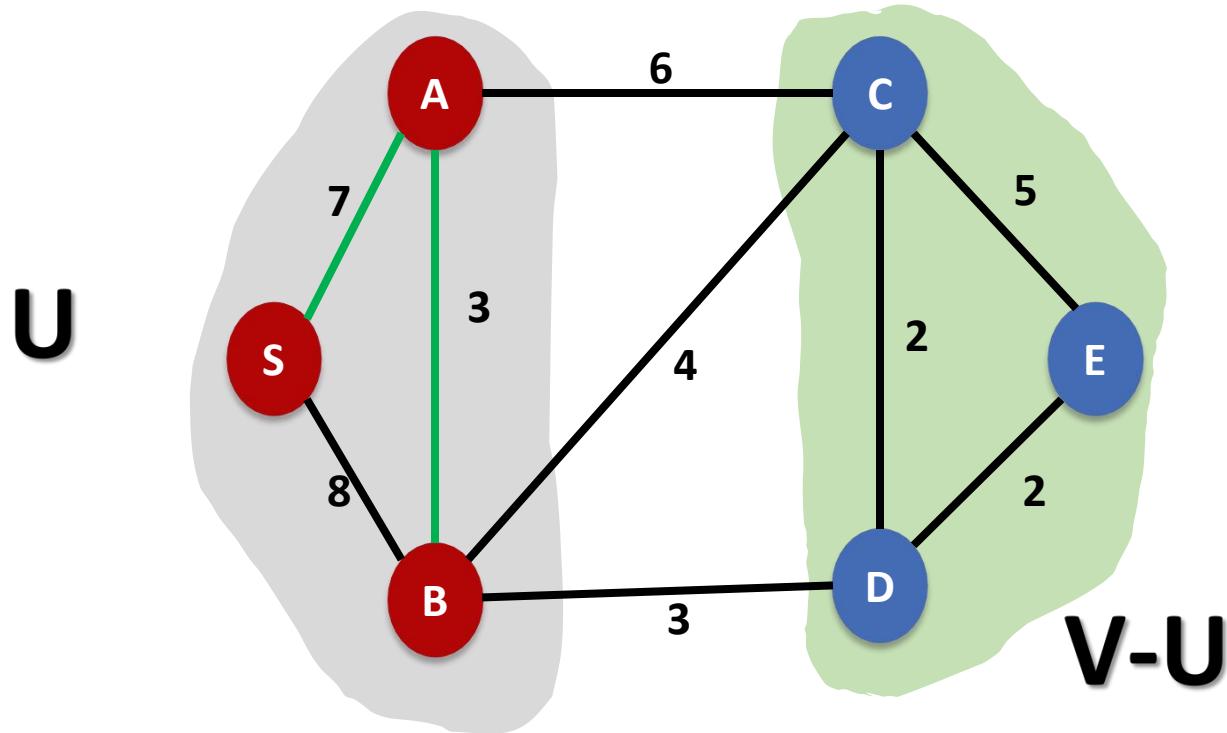
Pick the Lowest cost edge connecting U and $V-U$

Prim's Algorithm



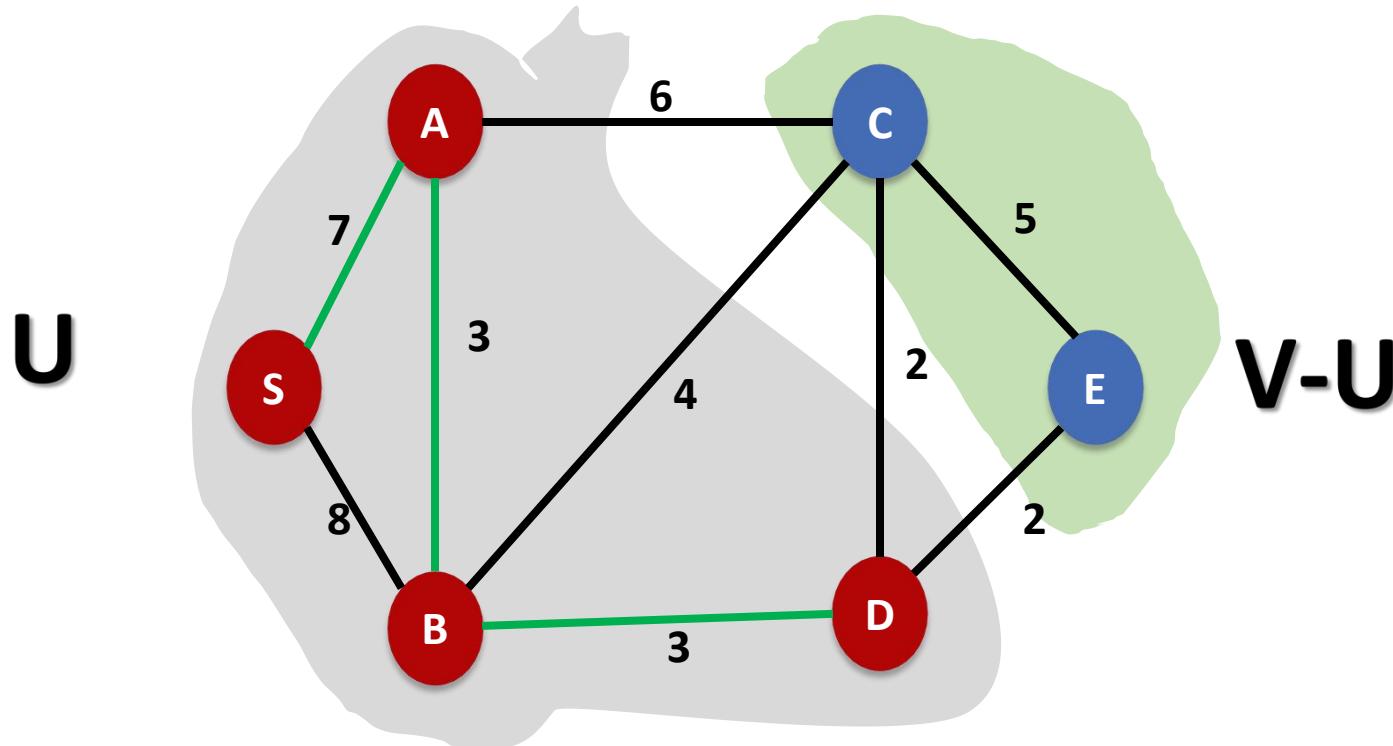
Pick the Lowest cost edge connecting U and V-U

Prim's Algorithm



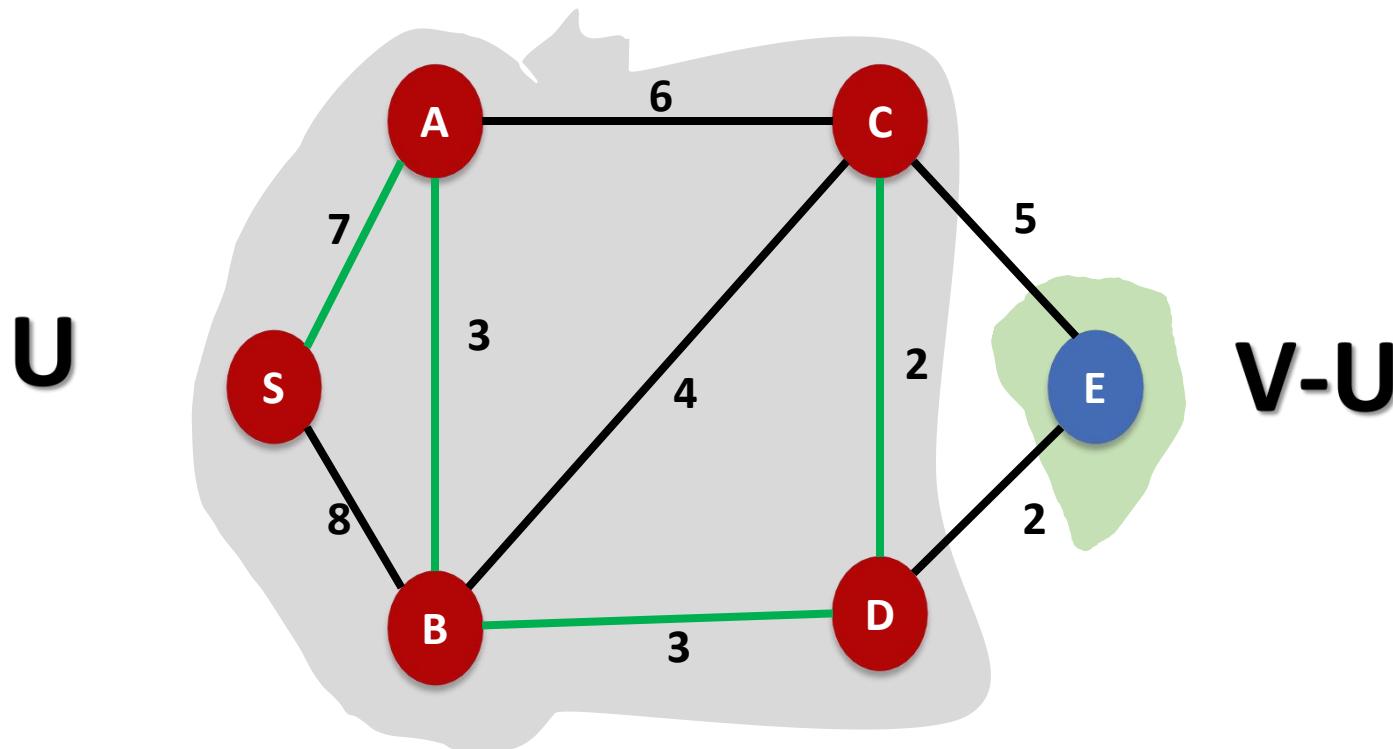
Pick the Lowest cost edge connecting U and $V-U$

Prim's Algorithm



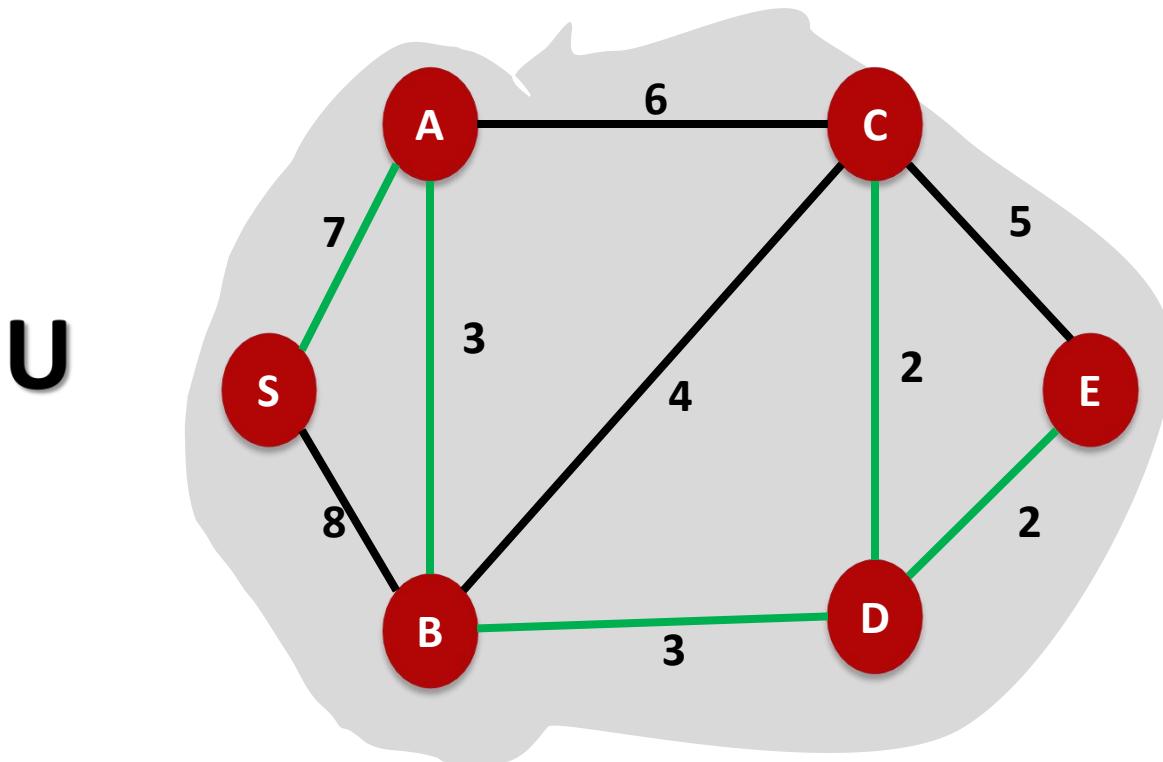
Pick the Lowest cost edge connecting U and $V-U$

Prim's Algorithm

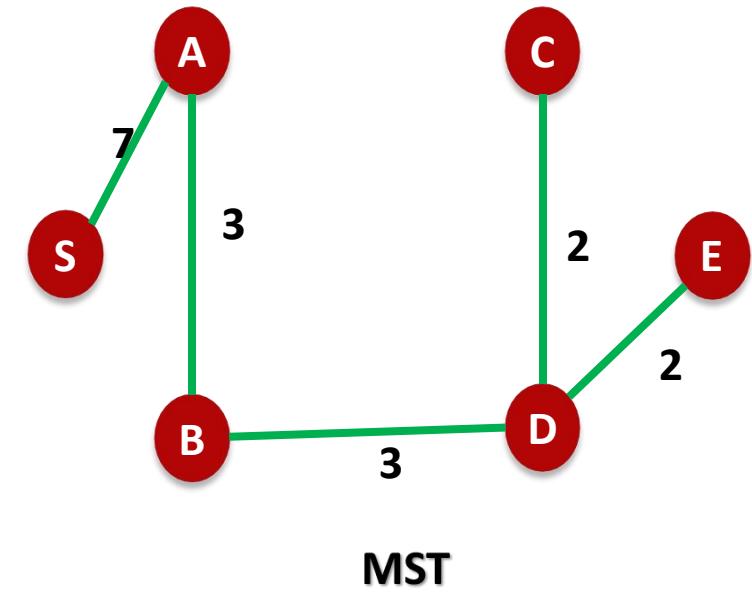
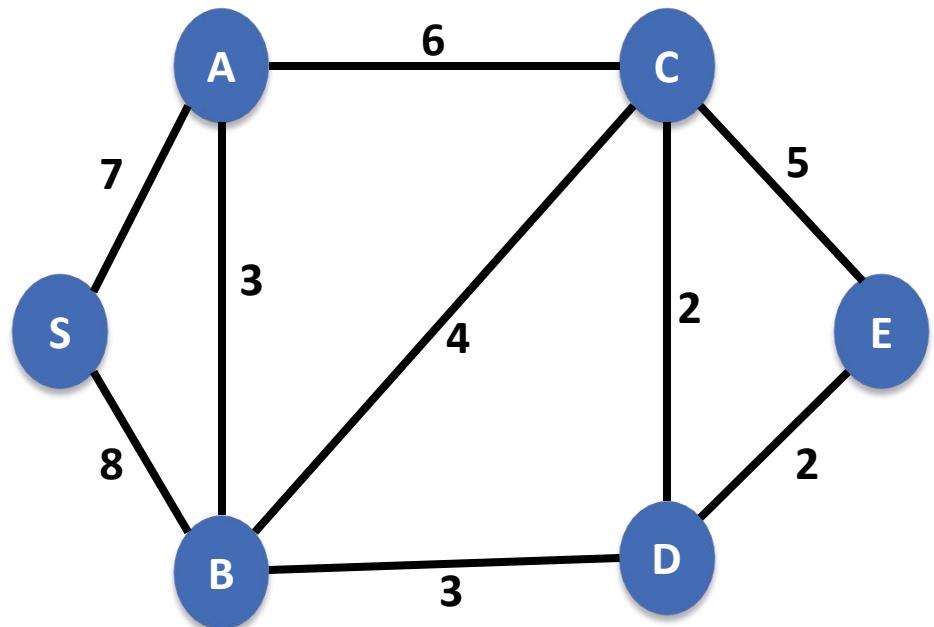


Pick the Lowest cost edge connecting U and $V-U$

Prim's Algorithm

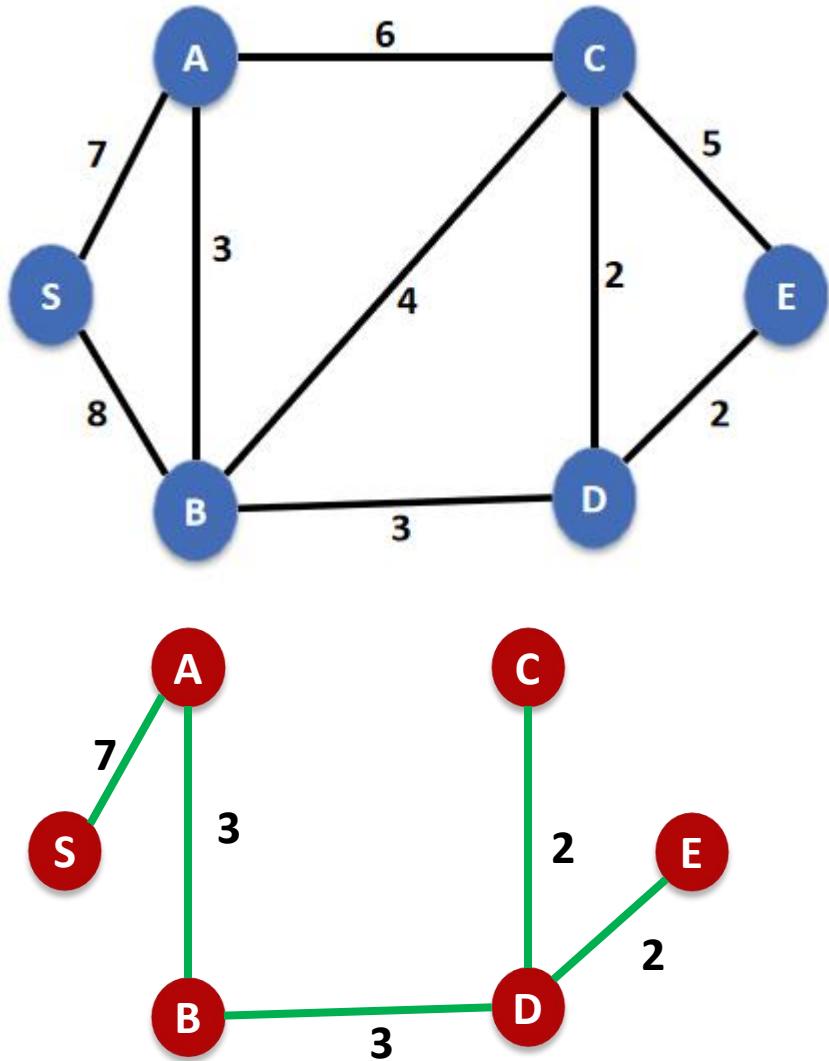


Prim's Algorithm



MST
The Cost = $7 + 3 + 3 + 2 + 2 = 17$

Prim's Algorithm



MST-PRIM(G, w, r)

```

1  for each vertex  $u \in G.V$ 
2       $u.key = \infty$ 
3       $u.\pi = \text{NIL}$ 
4   $r.key = 0$ 
5   $Q = \emptyset$ 
6  for each vertex  $u \in G.V$ 
7      INSERT( $Q, u$ )
8  while  $Q \neq \emptyset$ 
9       $u = \text{EXTRACT-MIN}(Q)$  // add  $u$  to the tree
10     for each vertex  $v$  in  $G.Adj[u]$  // update keys of  $u$ 's non-tree neighbors
11         if  $v \in Q$  and  $w(u, v) < v.key$ 
12              $v.\pi = u$ 
13              $v.key = w(u, v)$ 
14             DECREASE-KEY( $Q, v, w(u, v))$ 

```

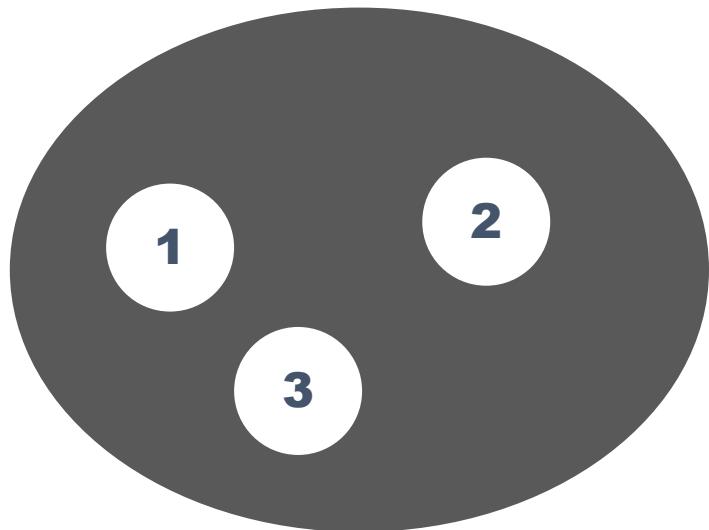
$O(V) + O(V) + O(V\log V) + O(E\log V) = O(E+V)\log V = O(E\log V)$

Disjoint Set Data Structure

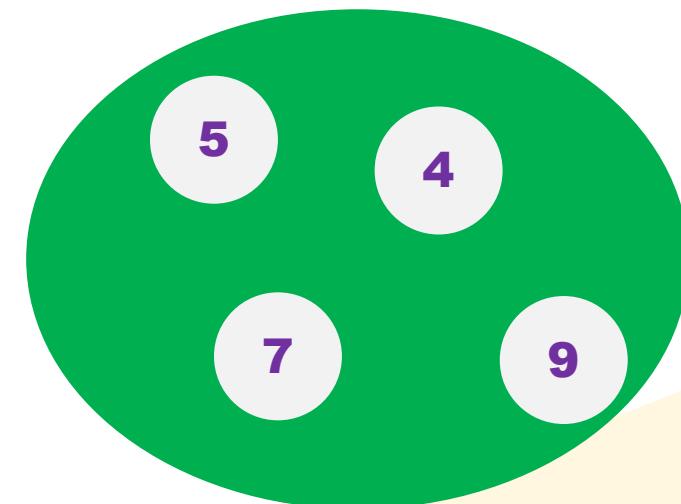
Disjoint Set Data Structure

Disjoint Set

Set A



Set B



A and B are disjoint if
 $A \cap B = \emptyset$

Set A and Set B are partition of set $\{1, 2, 3, 4, 5, 7, 9\}$

Disjoint Set Data Structure

Invented By **Michel Fischer** in **1964**

- It is a data structure that stores a collection of disjoint (non-overlapping) sets
- it stores a partition of a set into disjoint subsets.
- It support following operations
 - ✓ Makeset
 - ✓ union/merge
 - ✓ Find

Also known as **Union Find OR Merge Find** Data Structure

Disjoint Set Data Structure

MakeSet(x) : Create a singleton set {x}

```
void MakeSet(x)
{
    parent[x]= x
}
```

Cost = O(1)

Disjoint Set Data Structure

makeset(1)



makeset(2)



makeset(3)



makeset(4)



makeset(5)



Element	1	2	3	4	5
Parent	1	2	3	4	5

Disjoint Set Data Structure

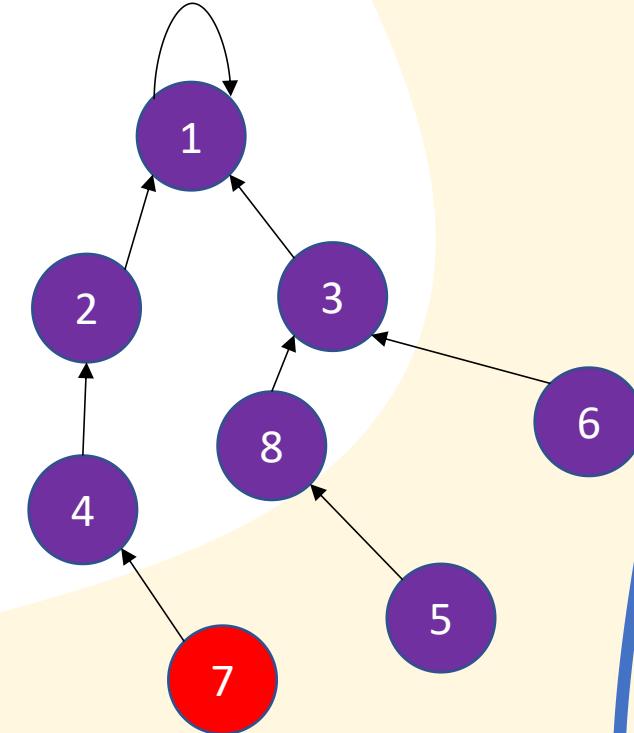
findset(x) : returns the parent (**representative/leader**) of x

```
int findset(int x)
{
    if (x == parent[x])
        return x;
    return findset(parent[x]);
}
```

Disjoint Set Data Structure

```
int findset(int x)
{
    if (x == parent[x])
        return x;
    return findset(parent[x]);
}
```

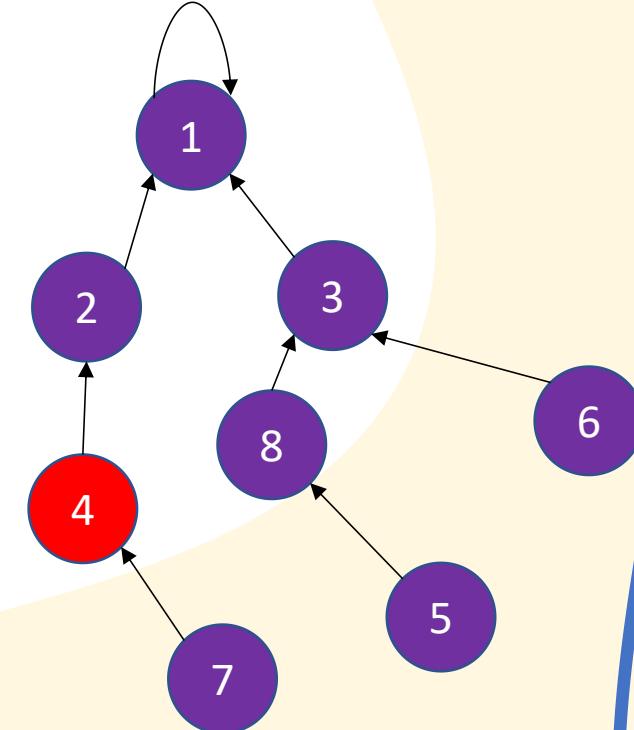
Findset(7) = ?



Disjoint Set Data Structure

```
int findset(int x)
{
    if (x == parent[x])
        return x;
    return findset(parent[x]);
}
```

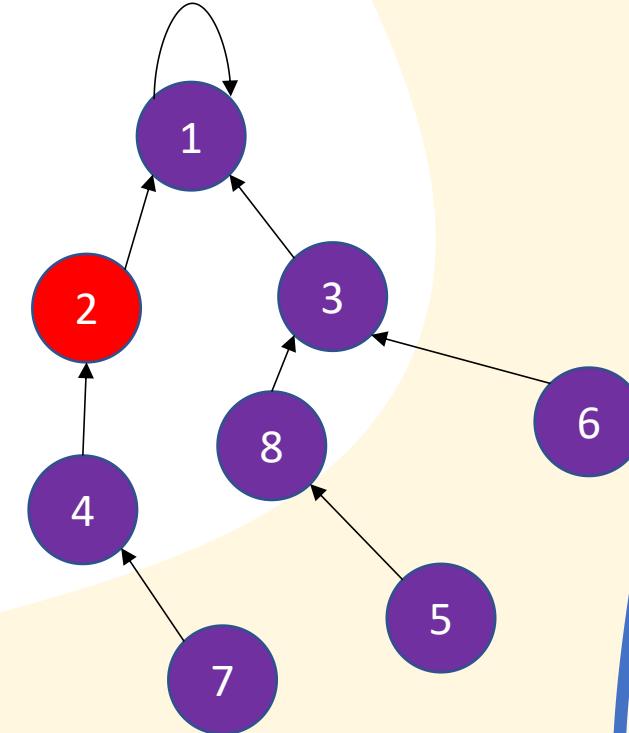
Findset(7) → findset(4)→



Disjoint Set Data Structure

```
int findset(int x)
{
    if (x == parent[x])
        return x;
    return findset(parent[x]);
}
```

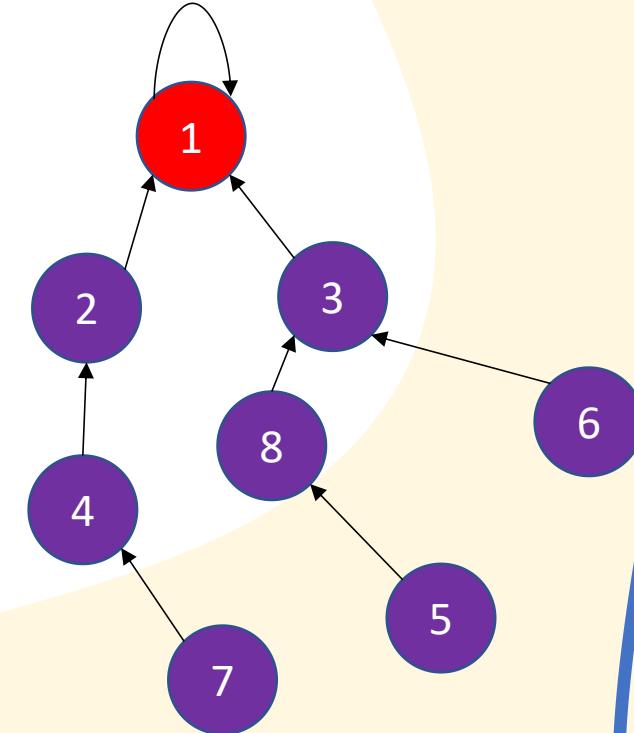
Findset(7) → findset(4)→findset(2)



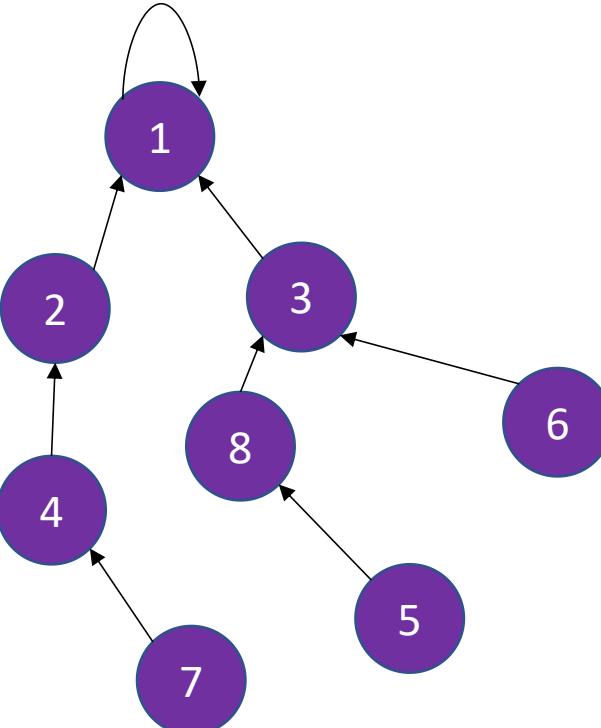
Disjoint Set Data Structure

```
int findset(int x)
{
    if (x == parent[x])
        return x;
    return findset(parent[x]);
}
```

findset(7) → findset(4)→findset(2)→findset(1) =1



Disjoint Set Data Structure



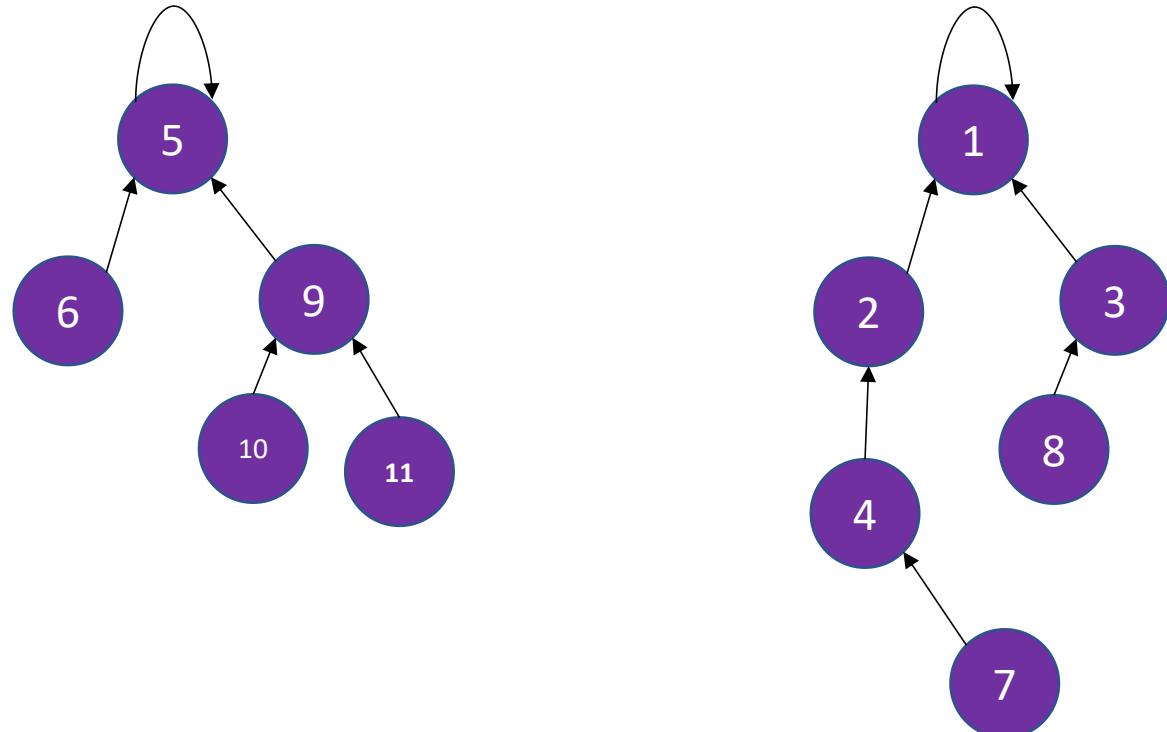
Findset(7) = 1

Findset(5) = 1

**7 and 5 are member
of SAME set**

Observation1: If **find(a) = find(b)** then a and b belongs from **same** set

Disjoint Set Data Structure



Findset(7) = 1

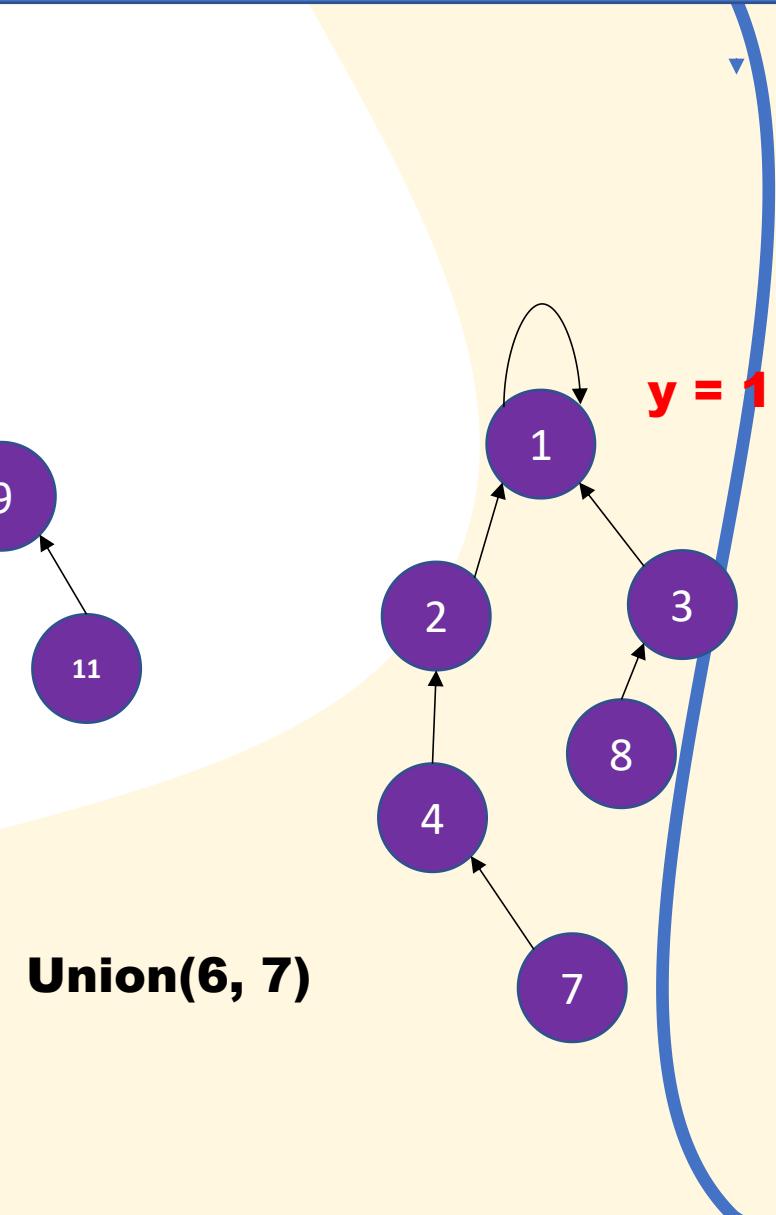
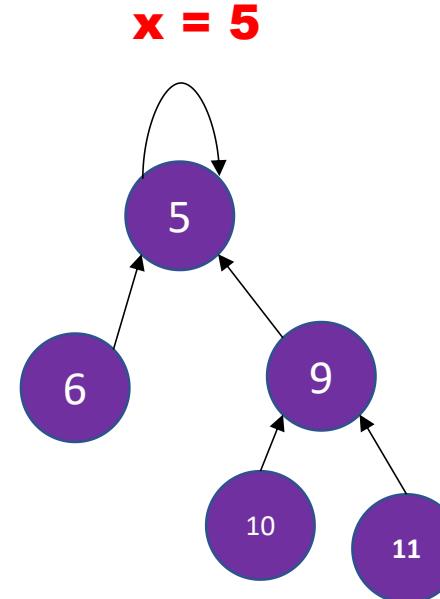
Findset(10) = 5

**7 and 10 are member
of DIFFERENT set**

Observation2: If **find(a) ≠ find(b)** then a and b belongs from **Different** set

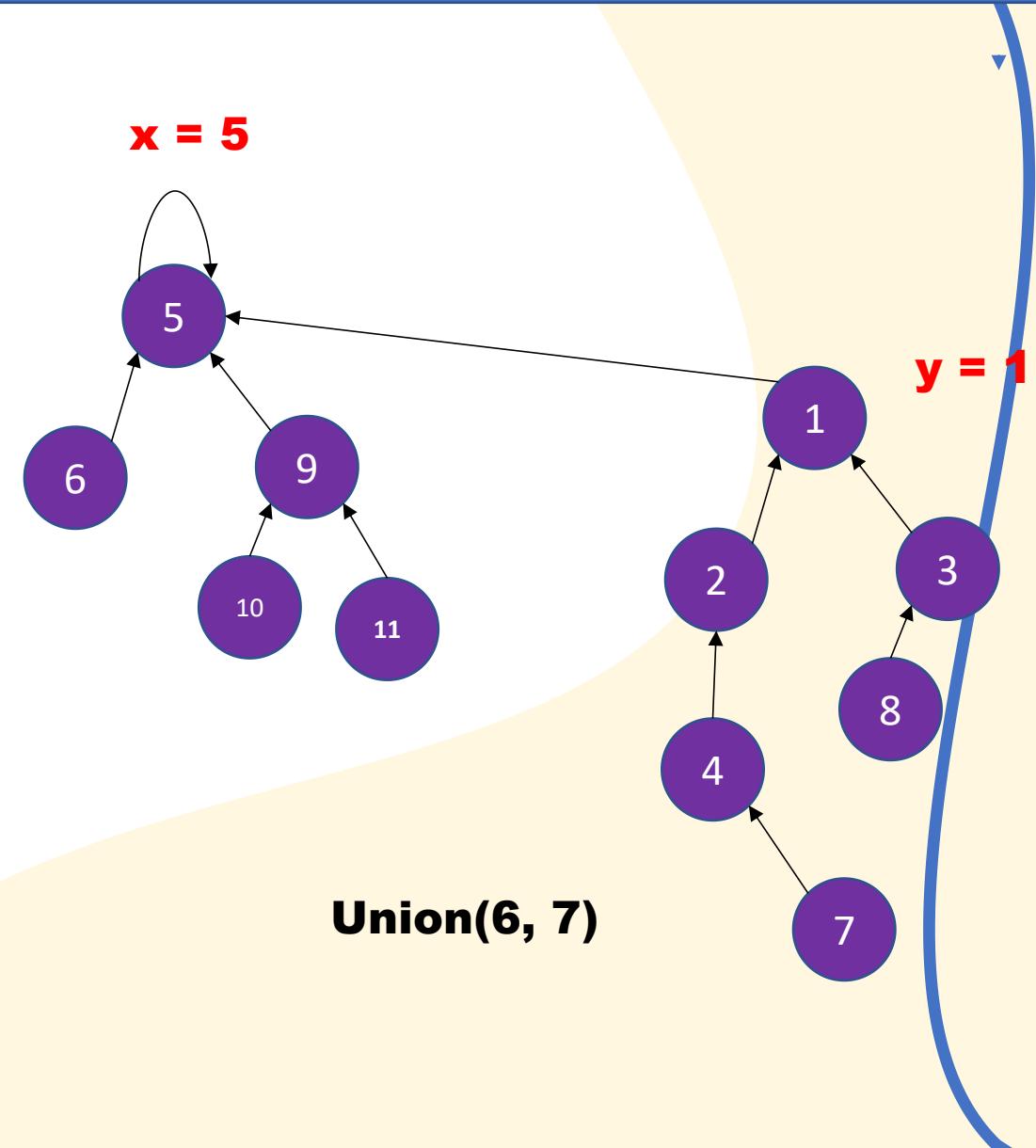
Disjoint Set Data Structure

```
void union(int a, int b)
{
    x = findset(a);
    y = findset(b);
    if (x != y)
        parent[y] = x;
}
```



Disjoint Set Data Structure

```
void union(int a, int b)
{
    x = findset(a);
    y = findset(b);
    if (x != y)
        parent[y] = x;
}
```



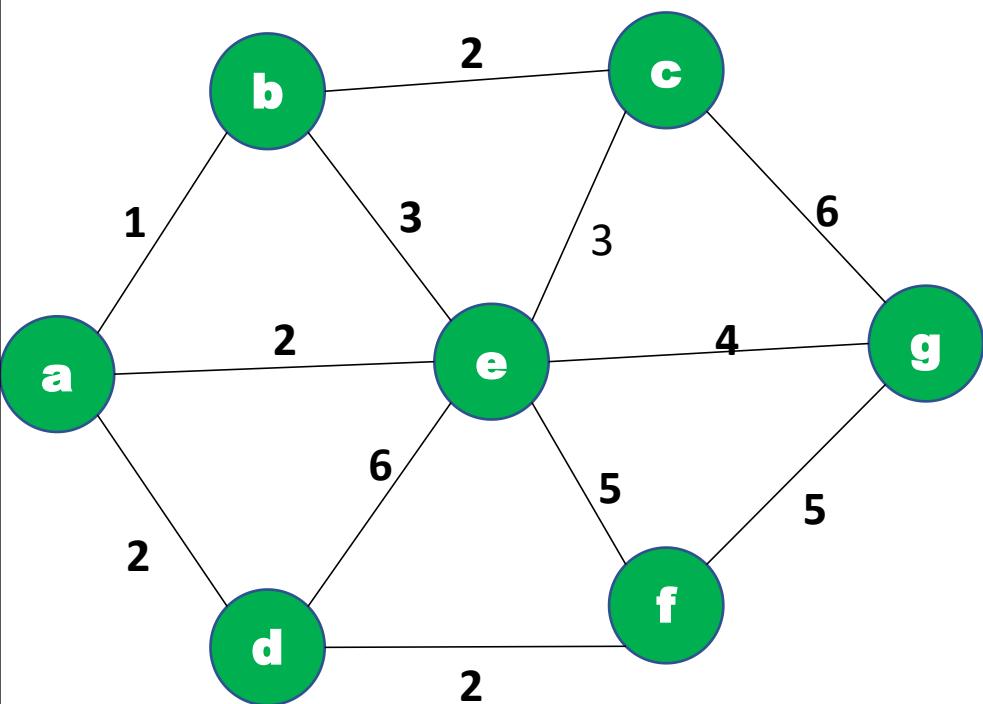
Kruskal's Algorithm

STEPS:

1. Initially, trees of the forest are the vertices (no edges).
2. In each step add the cheapest edge that does not create a cycle.
3. Continue until the forest 'merge to' a single tree

Kruskal's Algorithm

Finding MST using Kruskal's Algorithm



Edge	Weight
ab	1
bc	2
df	2
ad	2
ae	2
be	3
ce	3
eg	4
ef	5
fg	5
de	6
cg	6

S
O
R
T
E
D

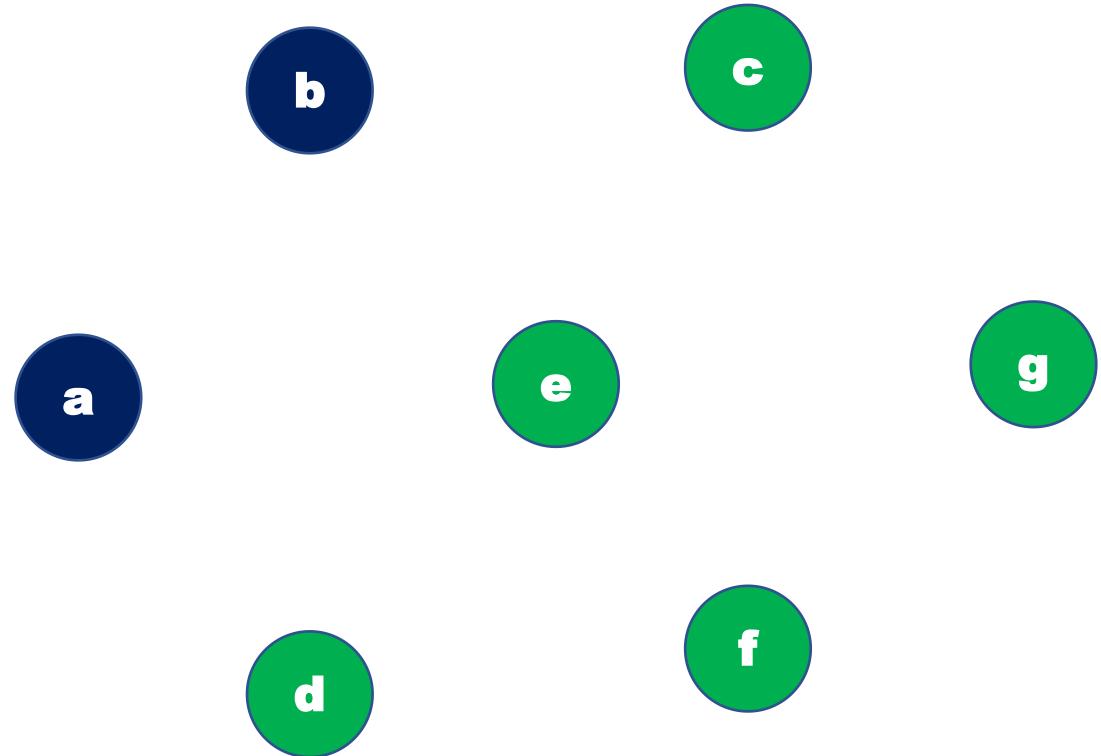
A = {}

Kruskal's Algorithm



Edge	Weight
ab	1
bc	2
df	2
ad	2
ae	2
be	3
ce	3
eg	4
ef	5
fg	5
de	6
cg	6

A = { }

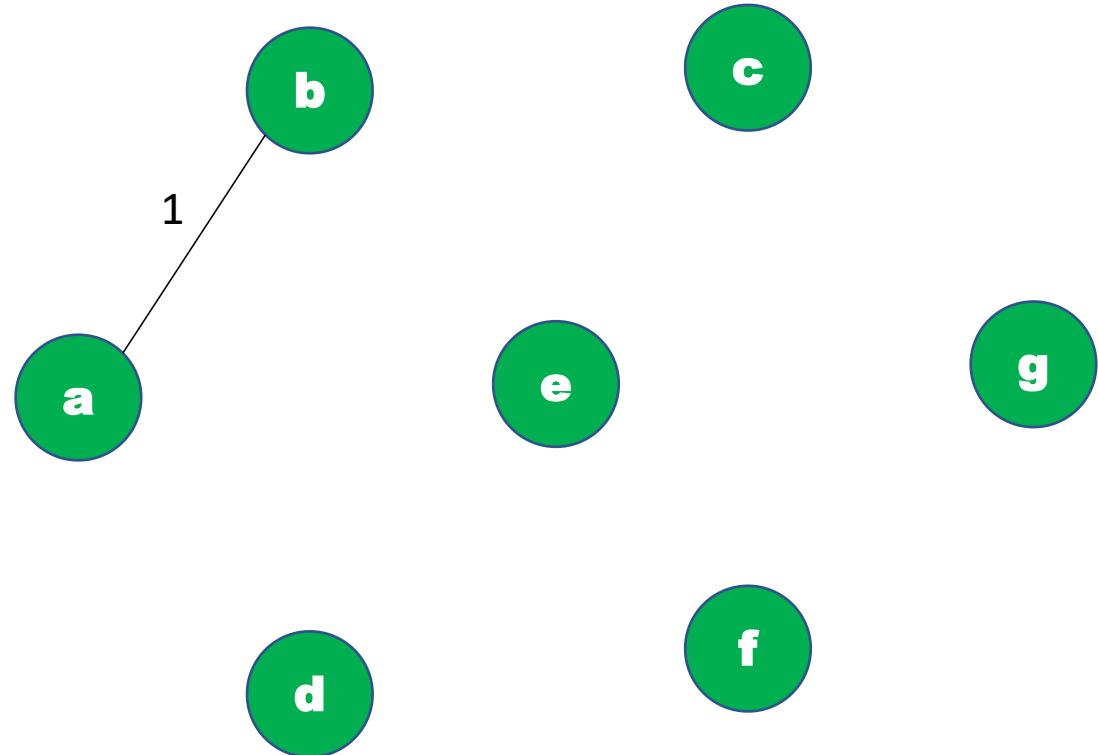


Number of connected components = 7

Kruskal's Algorithm

→

Edge	Weight
ab	1
bc	2
df	2
ad	2
ae	2
be	3
ce	3
eg	4
ef	5
fg	5
de	6
cg	6

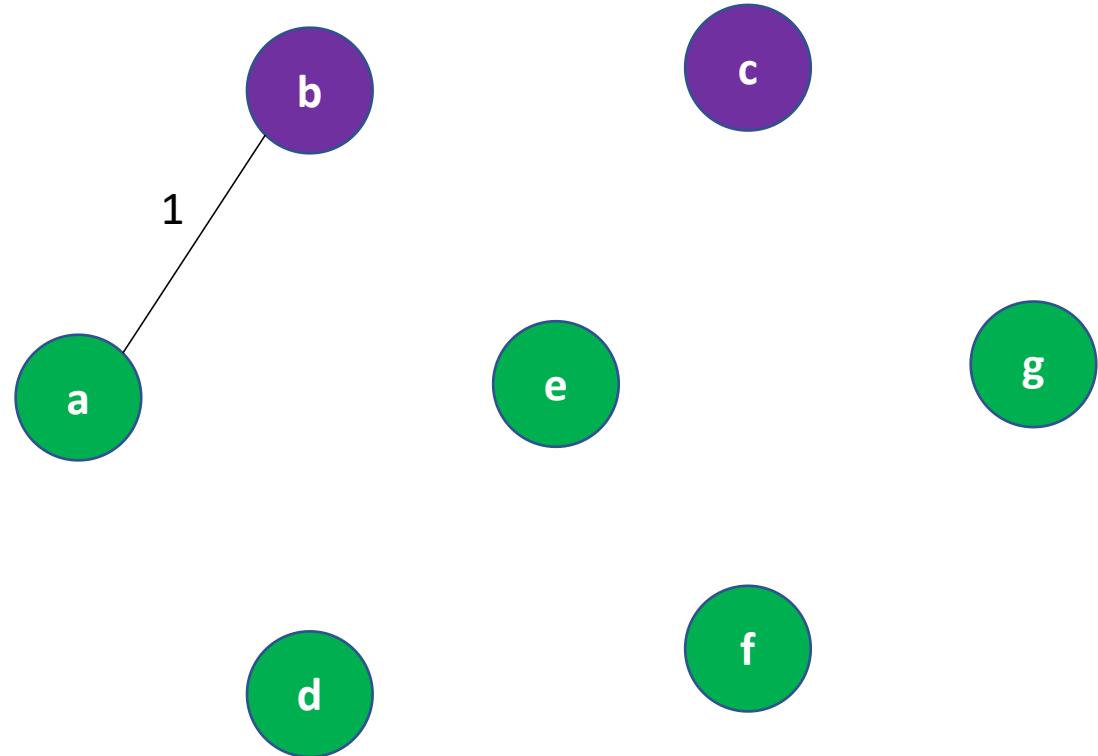


Number of connected components = 6

A = {ab }

Kruskal's Algorithm

Edge	Weight
ab	1
bc	2
df	2
ad	2
ae	2
be	3
ce	3
eg	4
ef	5
fg	5
de	6
cg	6

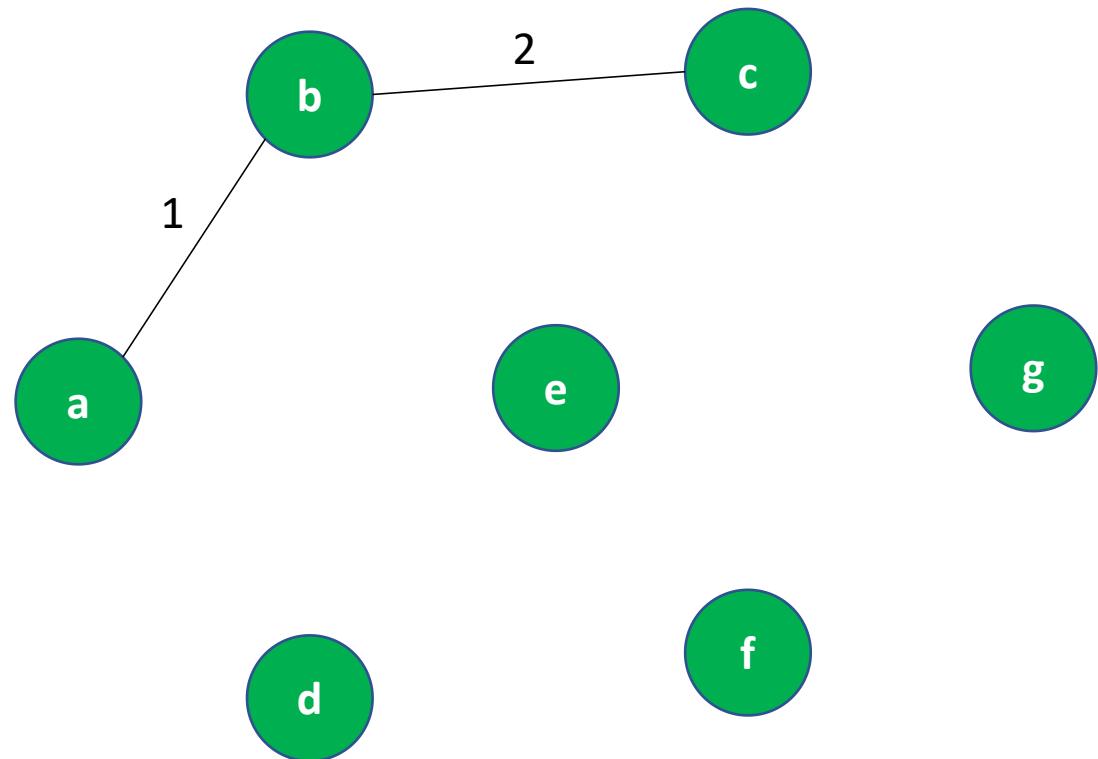


Number of connected components = 6

A = {ab }

Kruskal's Algorithm

Edge	Weight
ab	1
bc	2
df	2
ad	2
ae	2
be	3
ce	3
eg	4
ef	5
fg	5
de	6
cg	6

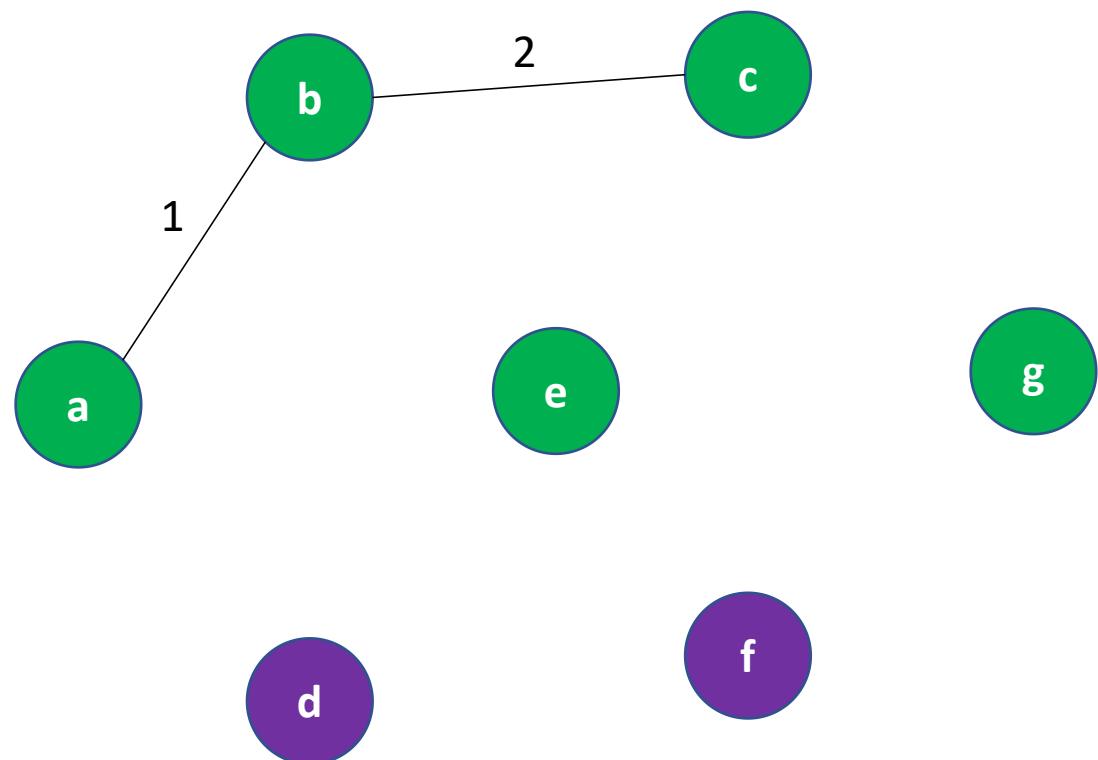


Number of connected components = 5

A = {ab, bc }

Kruskal's Algorithm

Edge	Weight
ab	1
bc	2
df	2
ad	2
ae	2
be	3
ce	3
eg	4
ef	5
fg	5
de	6
cg	6

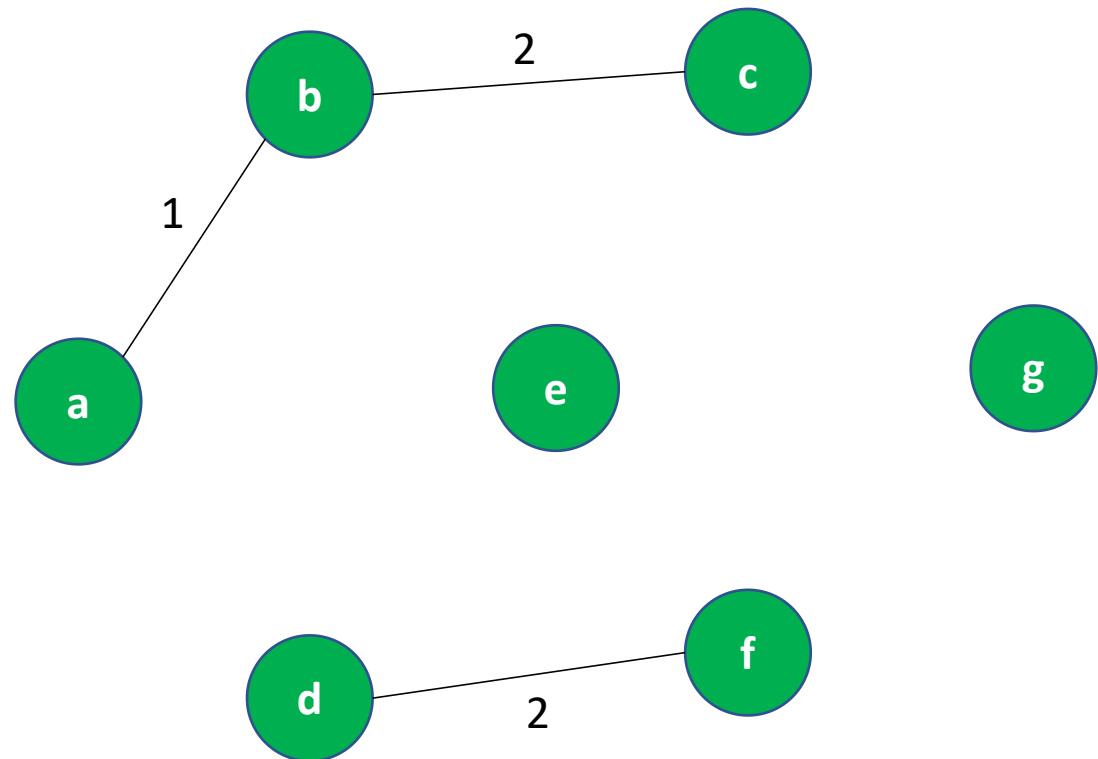


Number of connected components = 5

A = {ab, bc }

Kruskal's Algorithm

Edge	Weight
ab	1
bc	2
df	2
ad	2
ae	2
be	3
ce	3
eg	4
ef	5
fg	5
de	6
cg	6



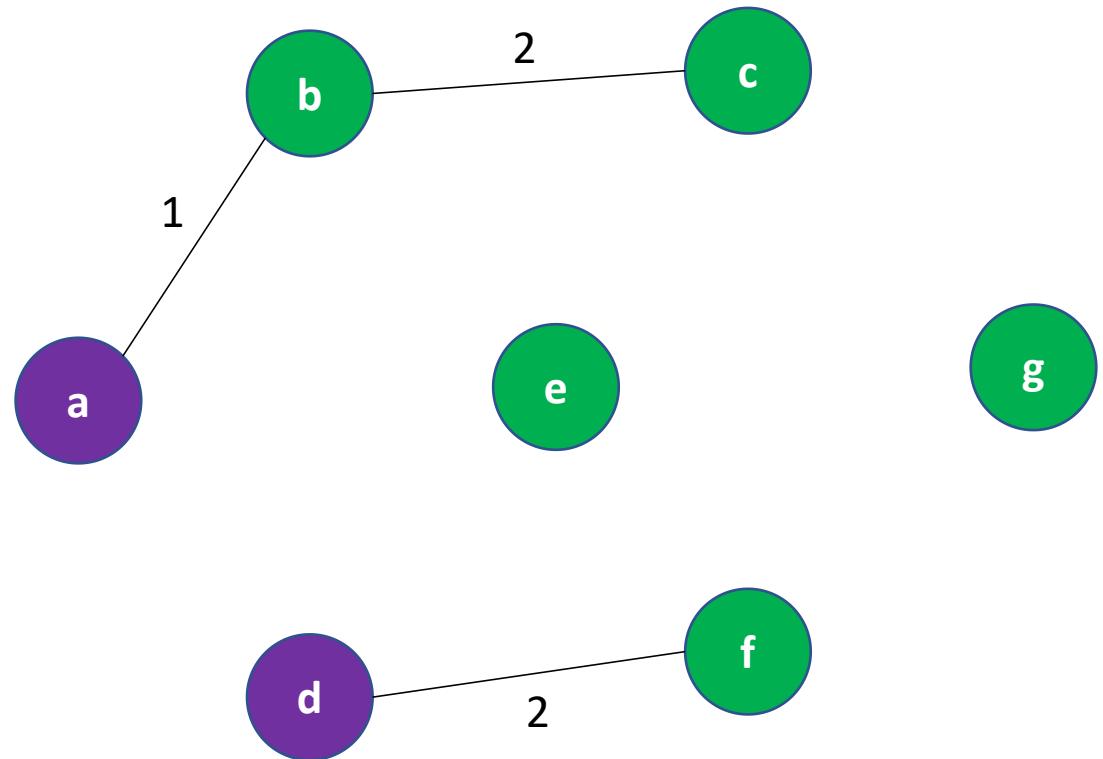
Number of connected components = 4

A = {ab, bc, df }

Kruskal's Algorithm



Edge	Weight
ab	1
bc	2
df	2
ad	2
ae	2
be	3
ce	3
eg	4
ef	5
fg	5
de	6
cg	6

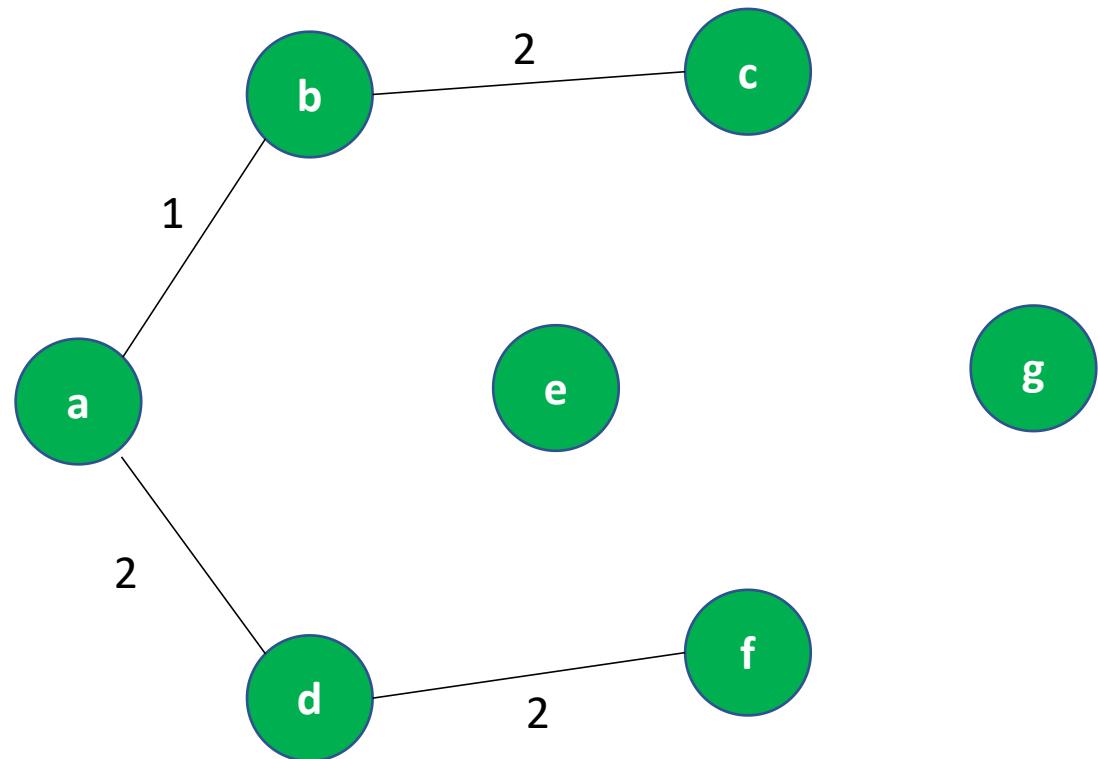


Number of connected components = 4

A = {ab, bc, df }

Kruskal's Algorithm

Edge	Weight
ab	1
bc	2
df	2
ad	2
ae	2
be	3
ce	3
eg	4
ef	5
fg	5
de	6
cg	6

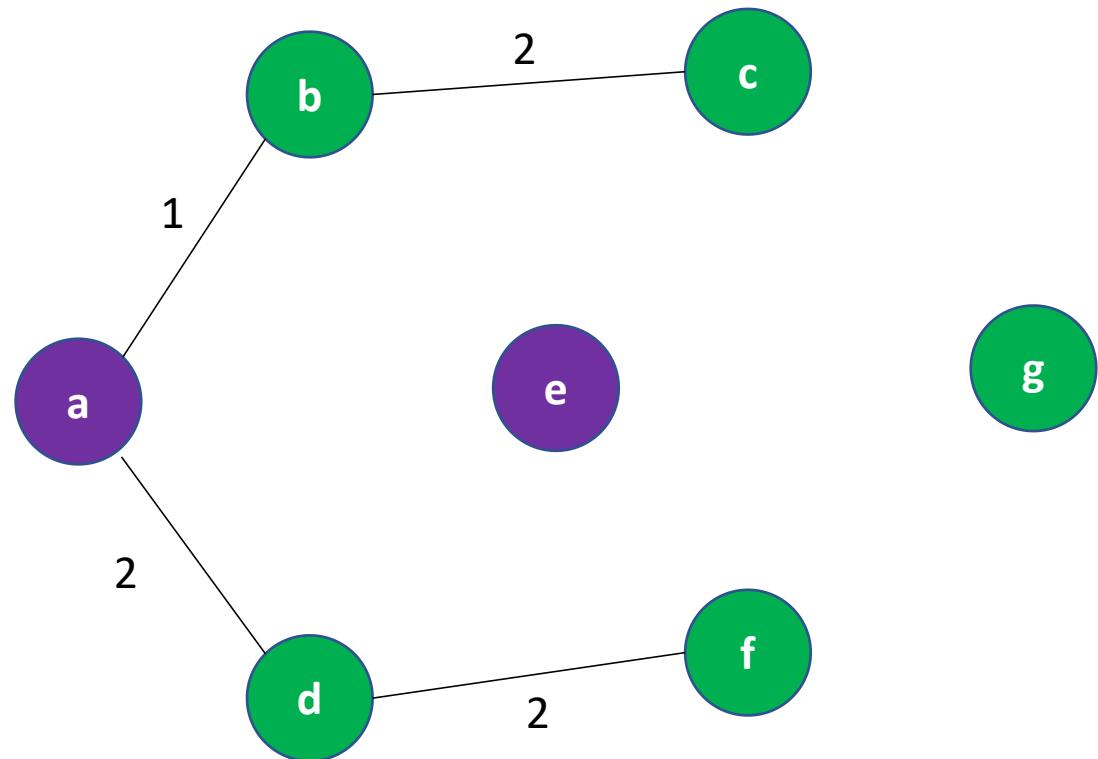


Number of connected components = 3

A = {ab, bc, df, ad }

Kruskal's Algorithm

Edge	Weight
ab	1
bc	2
df	2
ad	2
ae	2
be	3
ce	3
eg	4
ef	5
fg	5
de	6
cg	6

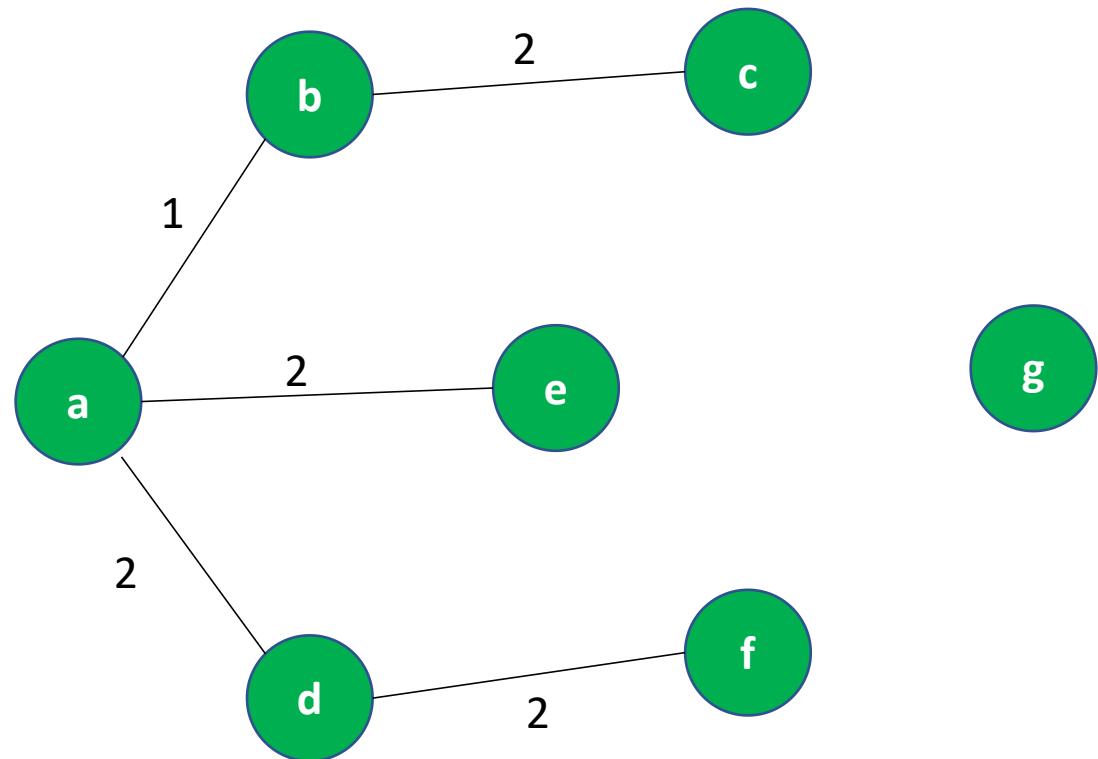


Number of connected components = 3

A = {ab, bc, df, ad }

Kruskal's Algorithm

Edge	Weight
ab	1
bc	2
df	2
ad	2
ae	2
be	3
ce	3
eg	4
ef	5
fg	5
de	6
cg	6

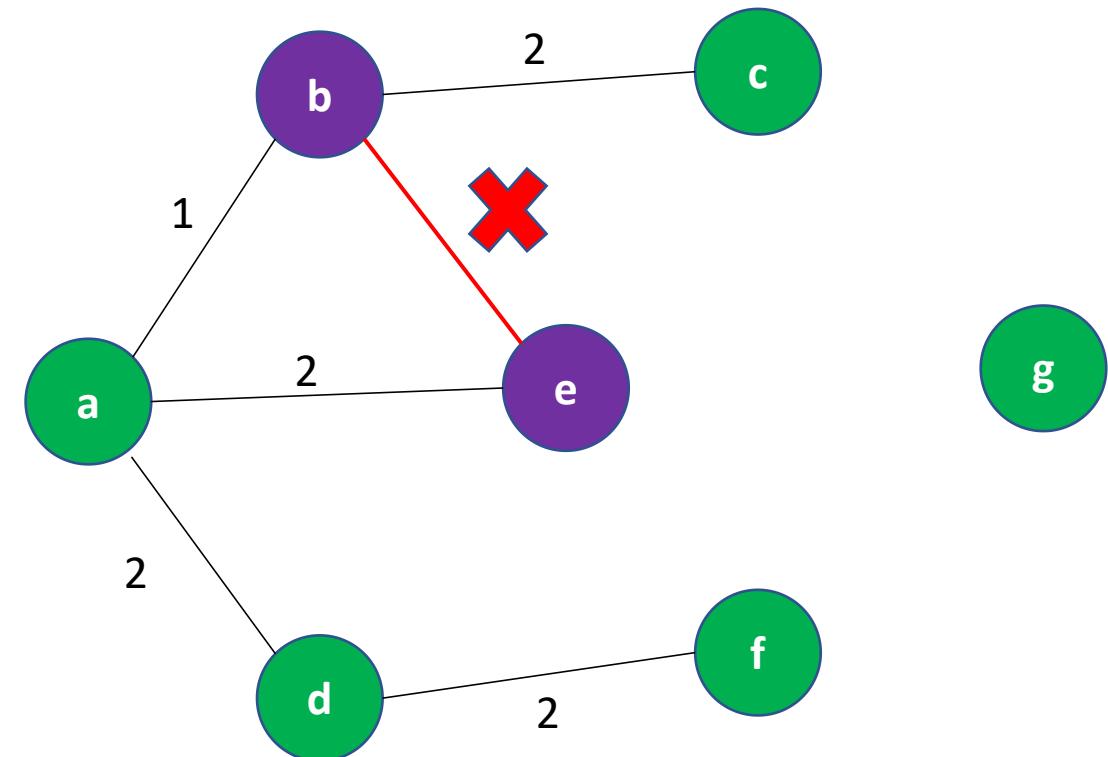


Number of connected components = 2

$$A = \{ab, bc, df, ad, ae\}$$

Kruskal's Algorithm

Edge	Weight
ab	1
bc	2
df	2
ad	2
ae	2
be	3
ce	3
eg	4
ef	5
fg	5
de	6
cg	6

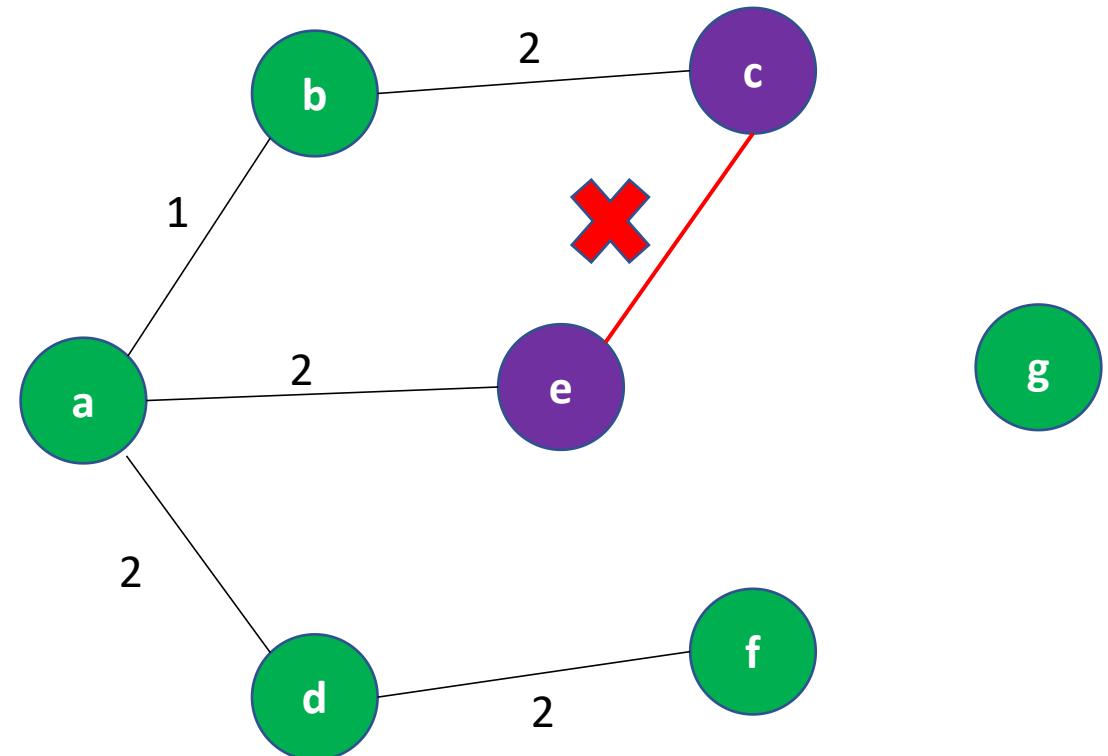


Number of connected components = 2

$$A = \{ab, bc, df, ad, ae\}$$

Kruskal's Algorithm

Edge	Weight
ab	1
bc	2
df	2
ad	2
ae	2
be	3
ce	3
eg	4
ef	5
fg	5
de	6
cg	6

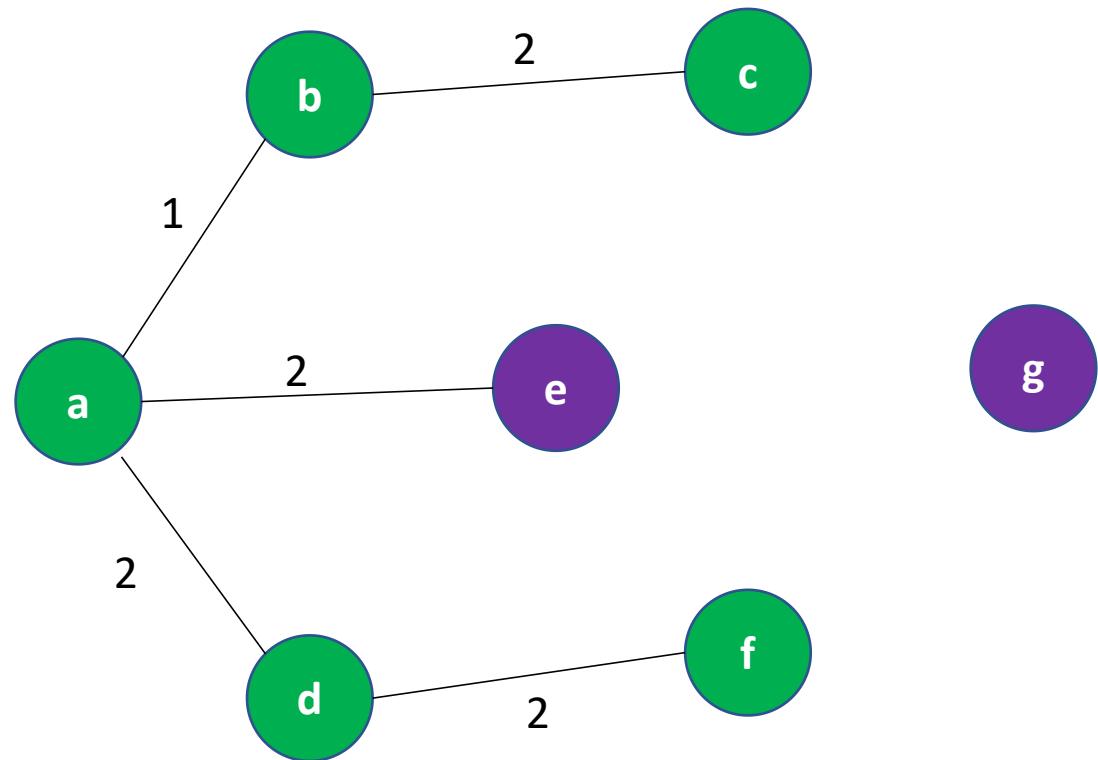


Number of connected components = 2

$$A = \{ab, bc, df, ad, ae\}$$

Kruskal's Algorithm

Edge	Weight
ab	1
bc	2
df	2
ad	2
ae	2
be	3
ce	3
eg	4
ef	5
fg	5
de	6
cg	6



Number of connected components = 2

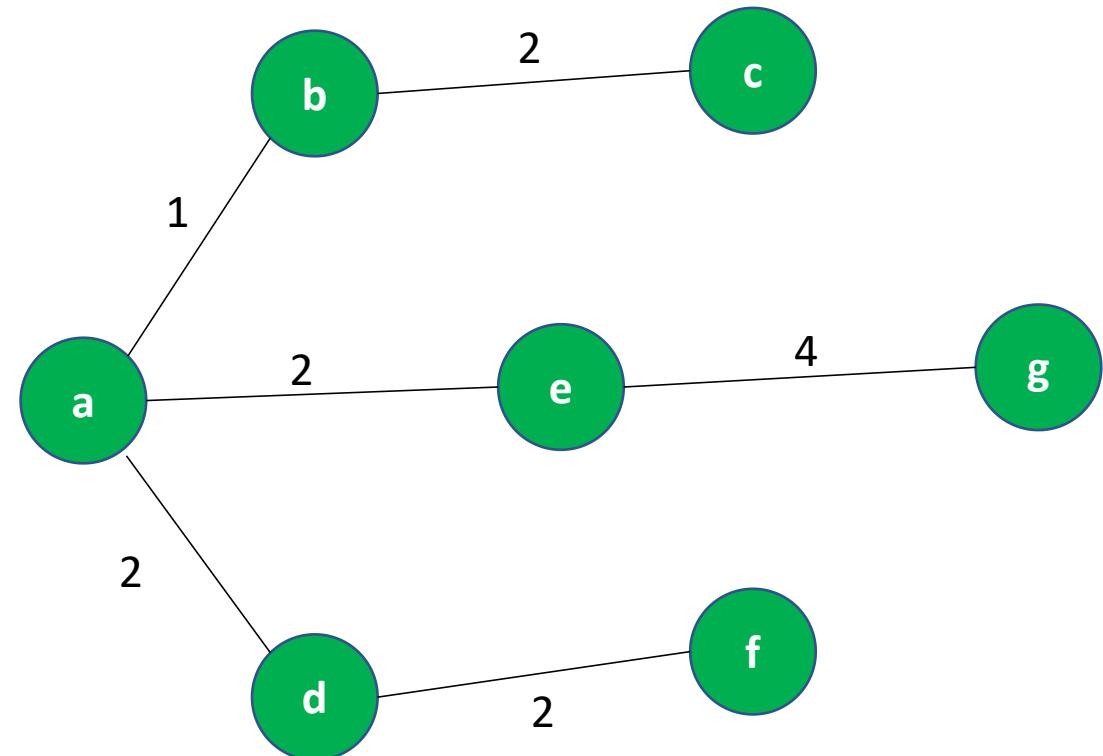
$A = \{ab, bc, df, ad, ae\}$

Kruskal's Algorithm

Edge	Weight
ab	1
bc	2
df	2
ad	2
ae	2
be	3
ce	3
eg	4
ef	5
fg	5
de	6
cg	6

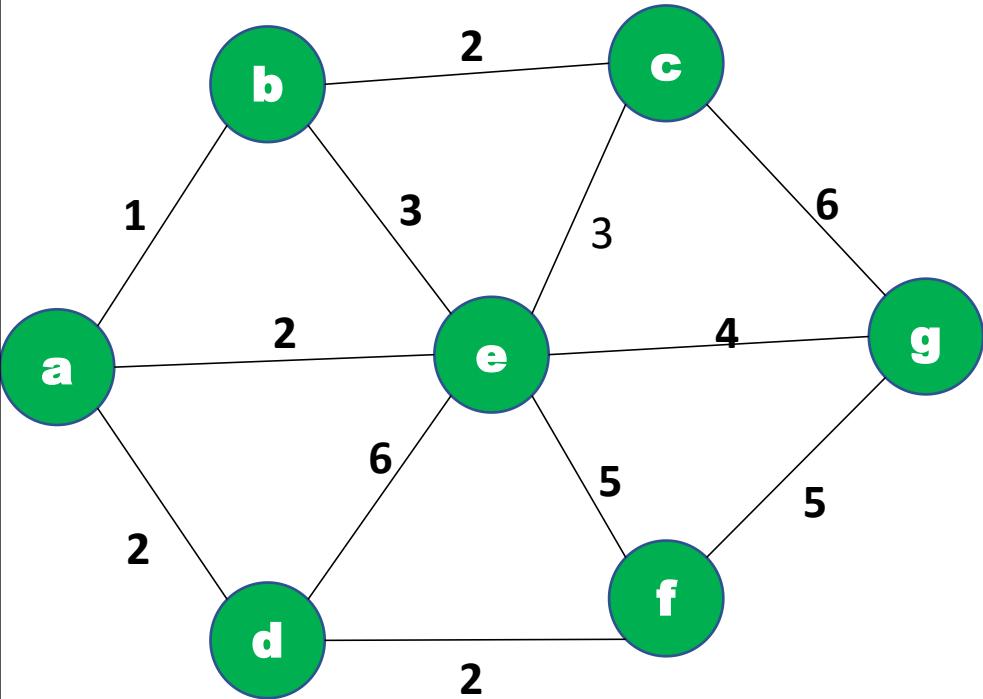
X

$$A = \{ab, bc, df, ad, ae, eg\}$$

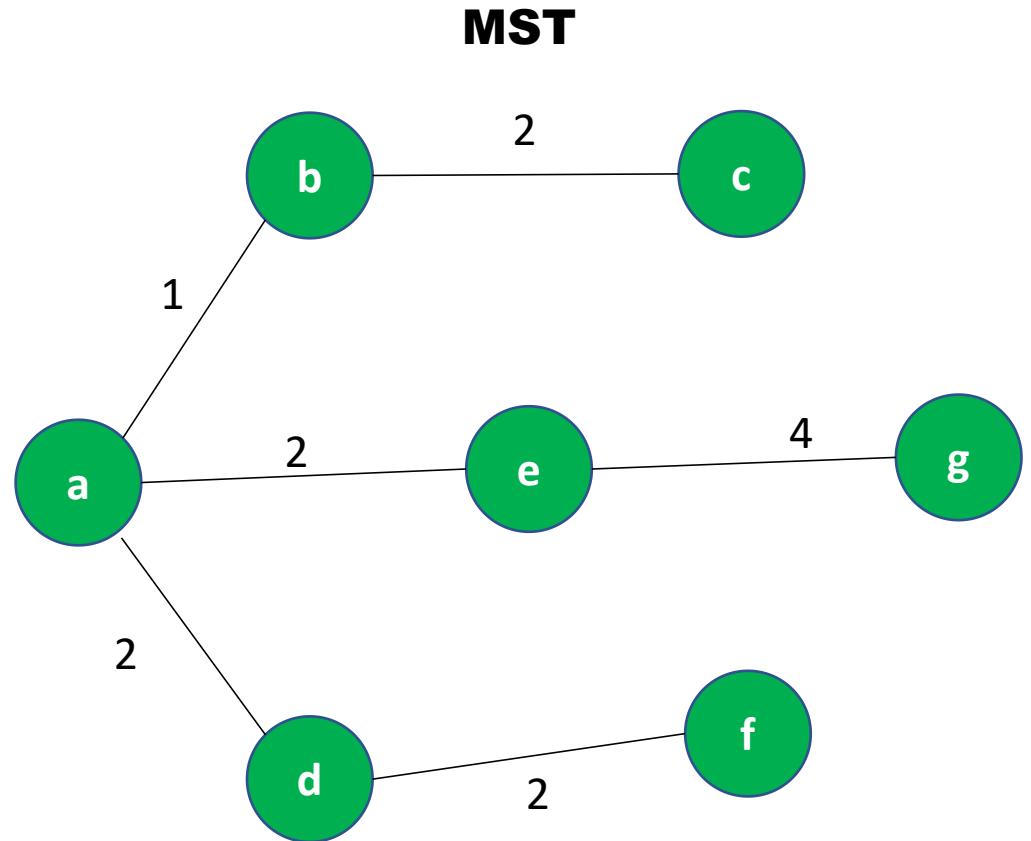


Number of connected components = 1

Kruskal's Algorithm



$$A = \{ab, bc, df, ad, ae, eg\}$$

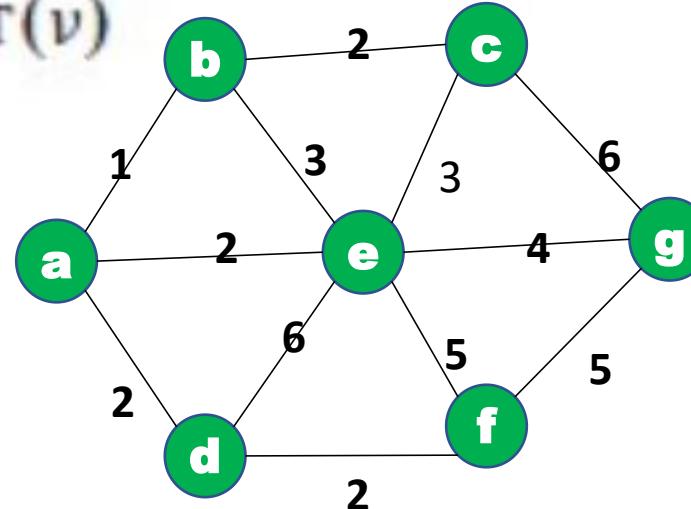


$$\text{Cost} = 1 + 2 + 2 + 4 + 2 + 2 = 13$$

Performance Analysis of Kruskal's Algorithm

MST-KRUSKAL(G, w)

```
1   $A = \emptyset$  _____ O(1)
2  for each vertex  $v \in G.V$  _____ O(V)
3    MAKE-SET( $v$ )
4  sort the edges of  $G.E$  into nondecreasing order by weight  $w$  _____ O(ElogE)
5  for each edge  $(u, v) \in G.E$ , taken in nondecreasing order by weight
6    if FIND-SET( $u$ )  $\neq$  FIND-SET( $v$ )
7       $A = A \cup \{(u, v)\}$ 
8      UNION( $u, v$ )
9  return  $A$ 
```



O(1)

O(V)

O(ElogE)

O(V+E)

=

O(ElogE)

Performance Analysis of Kruskal's Algorithm

Time Complexity of Kruskal's Algorithm = $O(E \log E)$

In Dense Graph $E = O(V^2)$ hence

$$\begin{aligned}\text{Time Complexity of Kruskal's Algorithm} &= O(E \log V^2) \\ &= O(E * 2 \log V) \\ &= O(E * \log V)\end{aligned}$$

Comparison b/w Prims and Kruskal's Algorithm

Prim's Algorithm

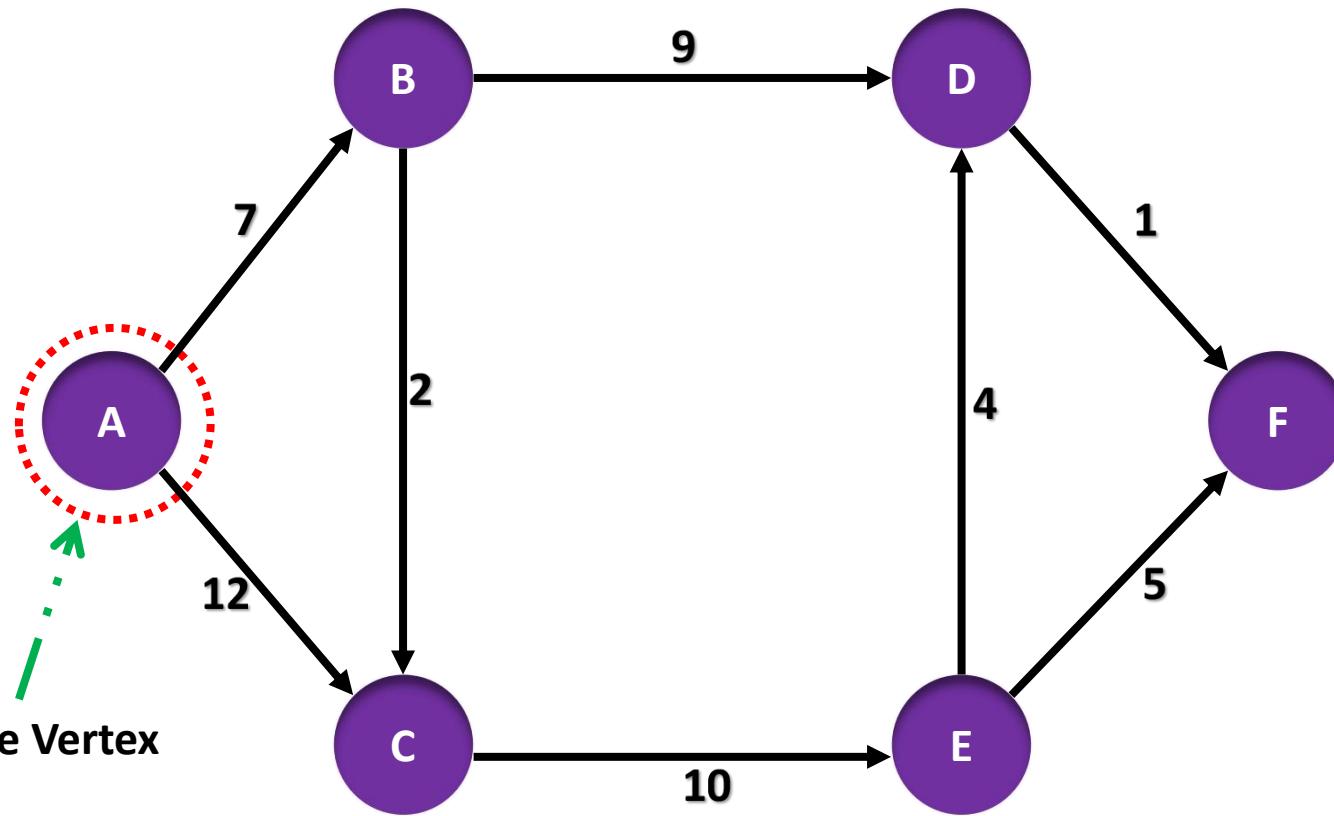
1. In prim's algorithm the tree we are making or growing always remain connected
2. It starts to build MST from any arbitrary node
3. Prim's algorithm is faster for dense graph
4. Adjacency matrix, Binary heap and Fibonacci heap is used for implementation

Kruskal's Algorithm

1. In Kruskal's algorithm the tree we are making or growing may be disconnected
2. It starts building the MST by selecting minimum weight edge.
3. Kruskal's algorithm is faster for sparse graph
4. Disjoint set data structure is used for implementation

Single Source Shortest Path Problem

Context: Directed(or Undirected) Weighted Graph



GOAL

We are interested in finding shortest path and its cost from A to all vertices of graph.

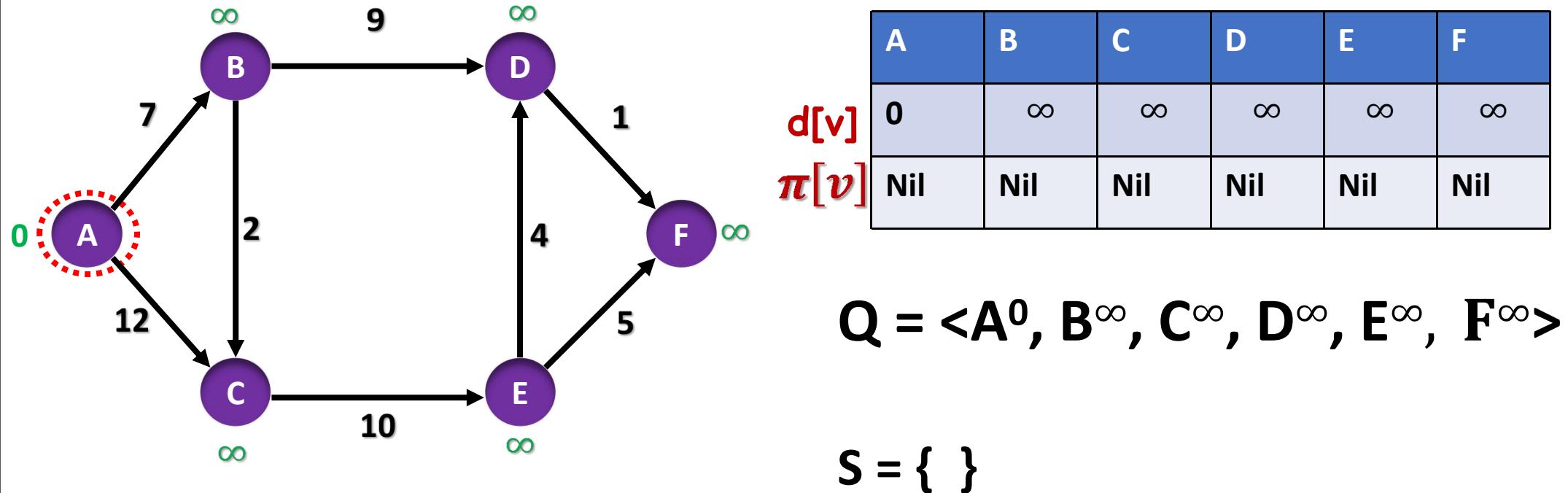
Single Source Shortest Path Problem

Solution: Dijkstra's Algorithm (Got TURING award in 1972)

Interesting facts about Dijkstra :

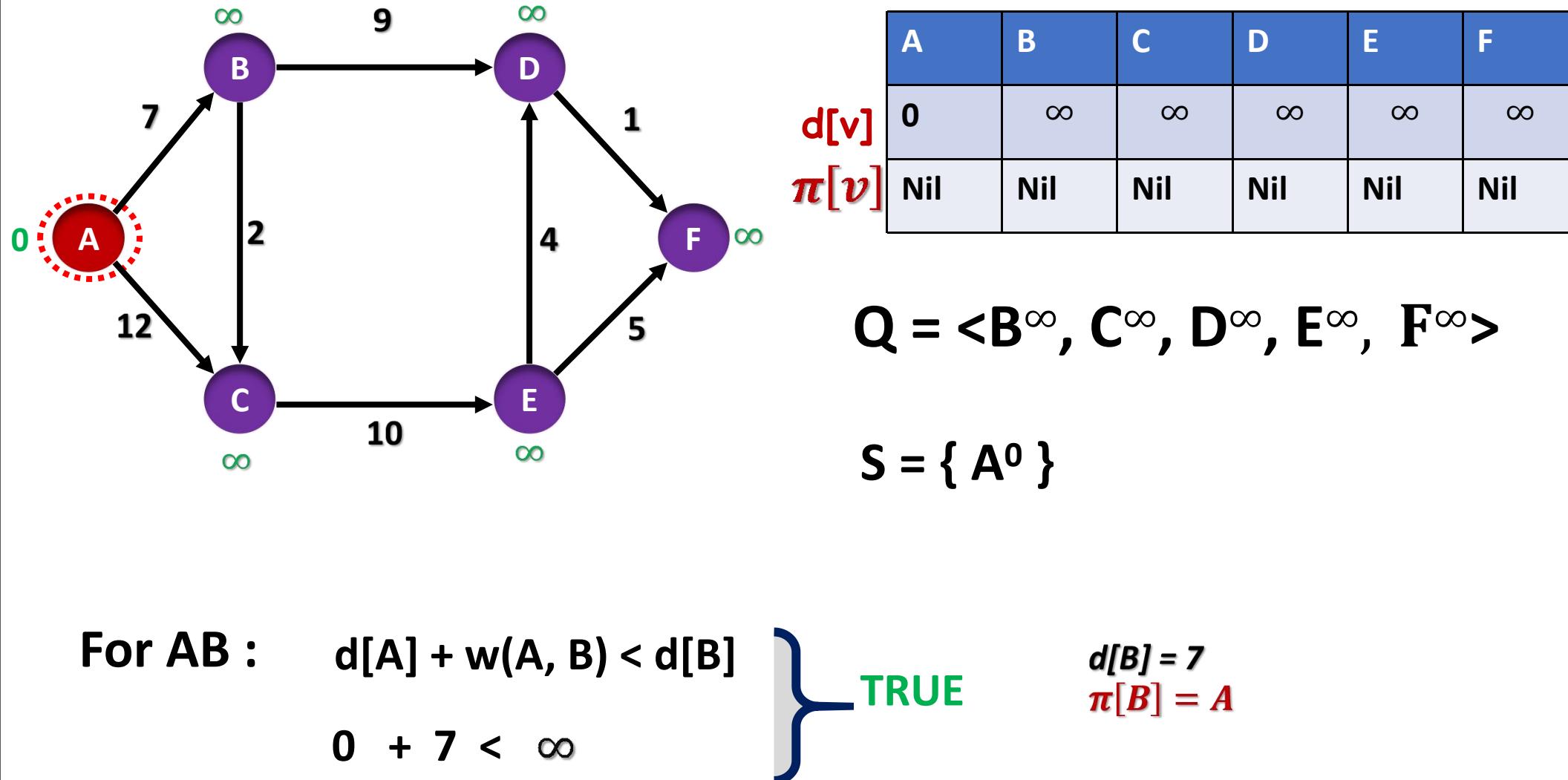
<https://www.youtube.com/watch?v=pxWjILDRoAs>

Dijkstra's Algorithm

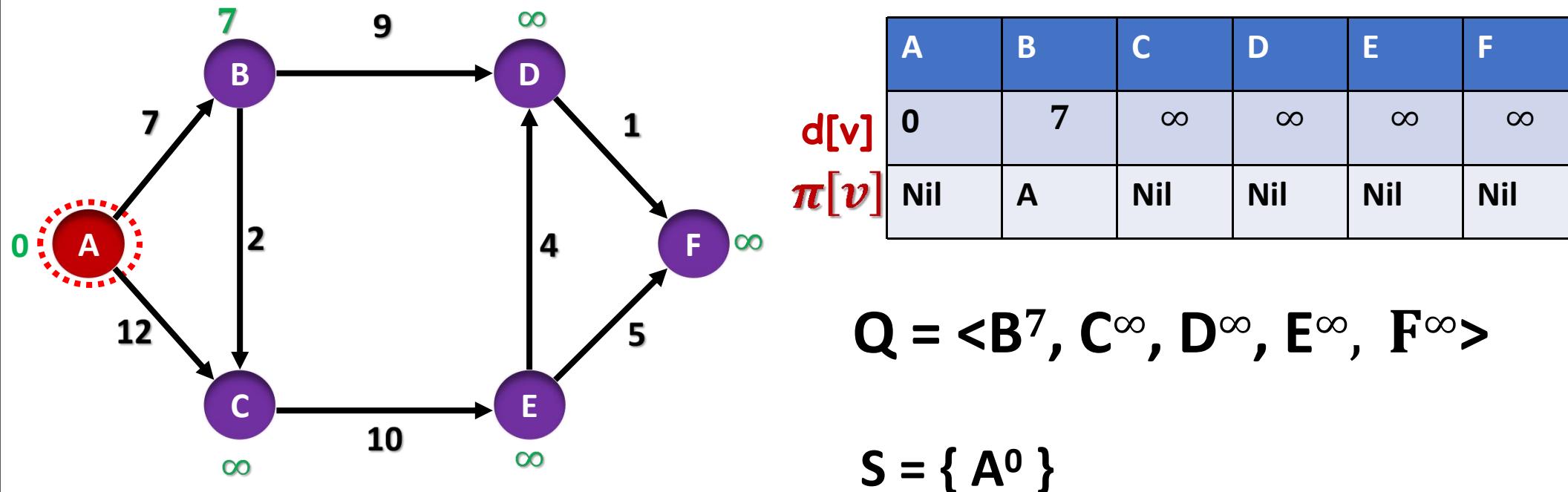


$A = \text{ExtractMin}(Q)$

Dijkstra's Algorithm



Dijkstra's Algorithm



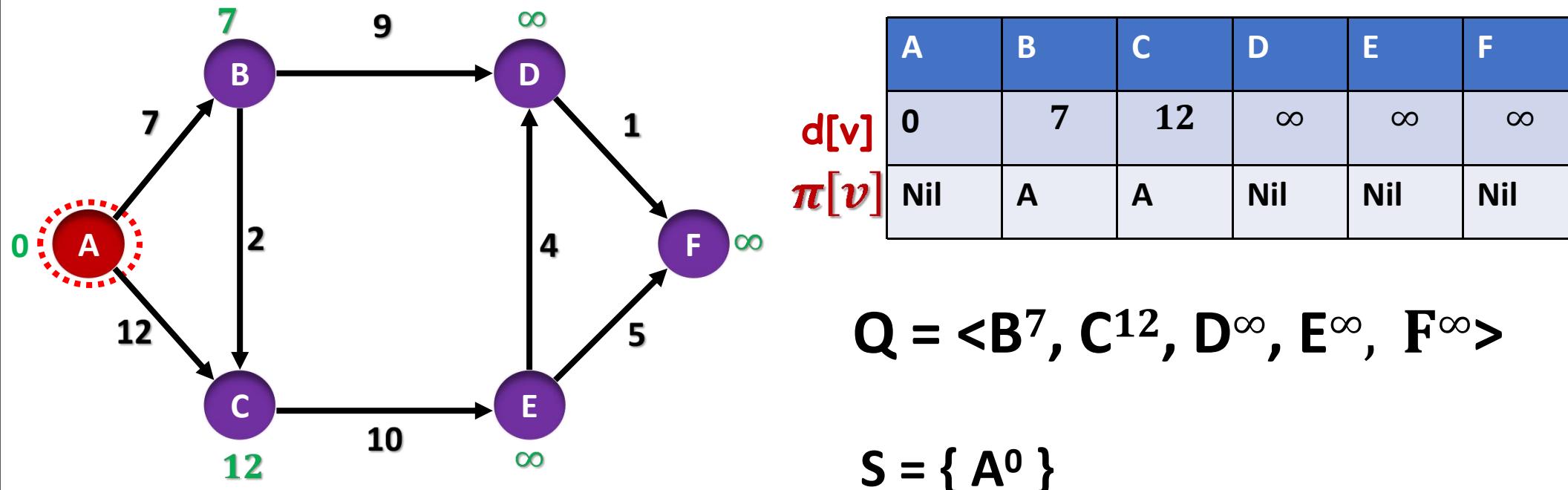
For AC : $d[A] + w(A, C) < d[C]$

$$0 + 12 < \infty$$

TRUE

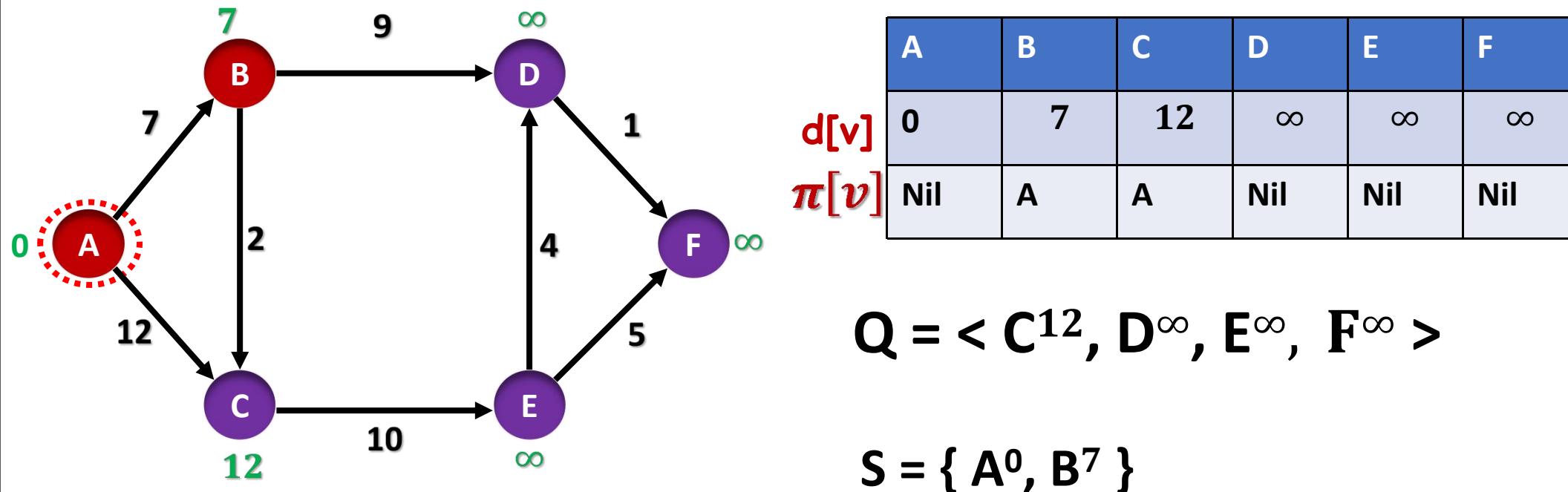
$$\begin{aligned}d[C] &= 12 \\ \pi[C] &= A\end{aligned}$$

Dijkstra's Algorithm



ExtractMin(Q)

Dijkstra's Algorithm



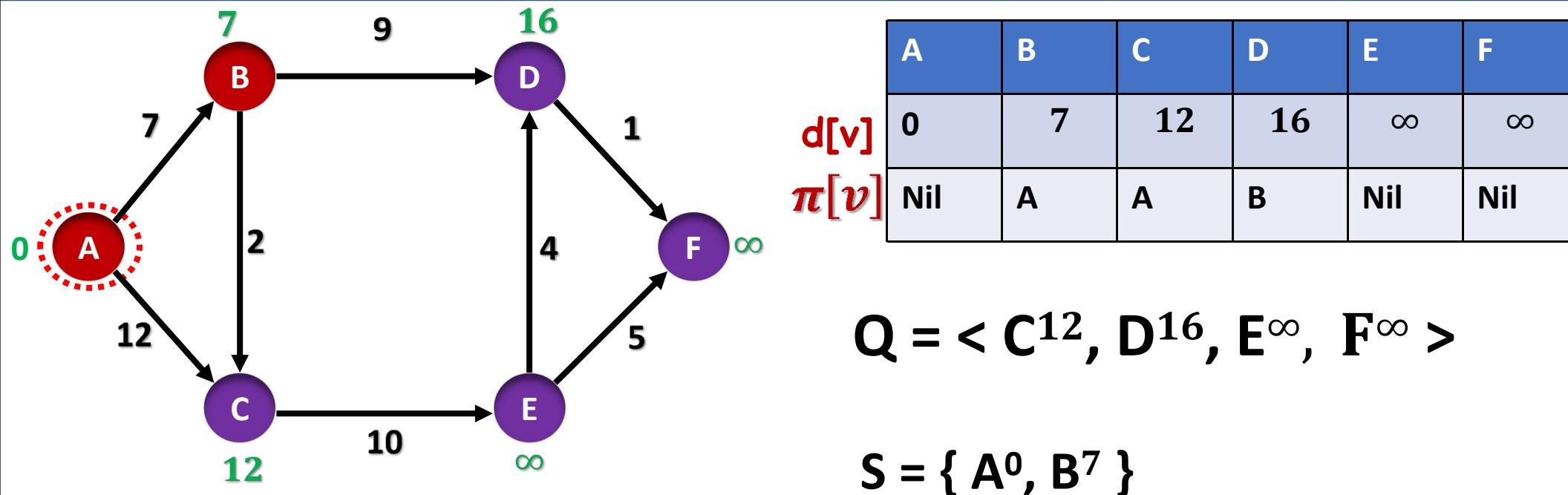
For BD : $d[B] + w(B, D) < d[D]$

$$7 + 9 < \infty$$

} TRUE

$$\begin{aligned}d[D] &= 16 \\ \pi[D] &= B\end{aligned}$$

Dijkstra's Algorithm



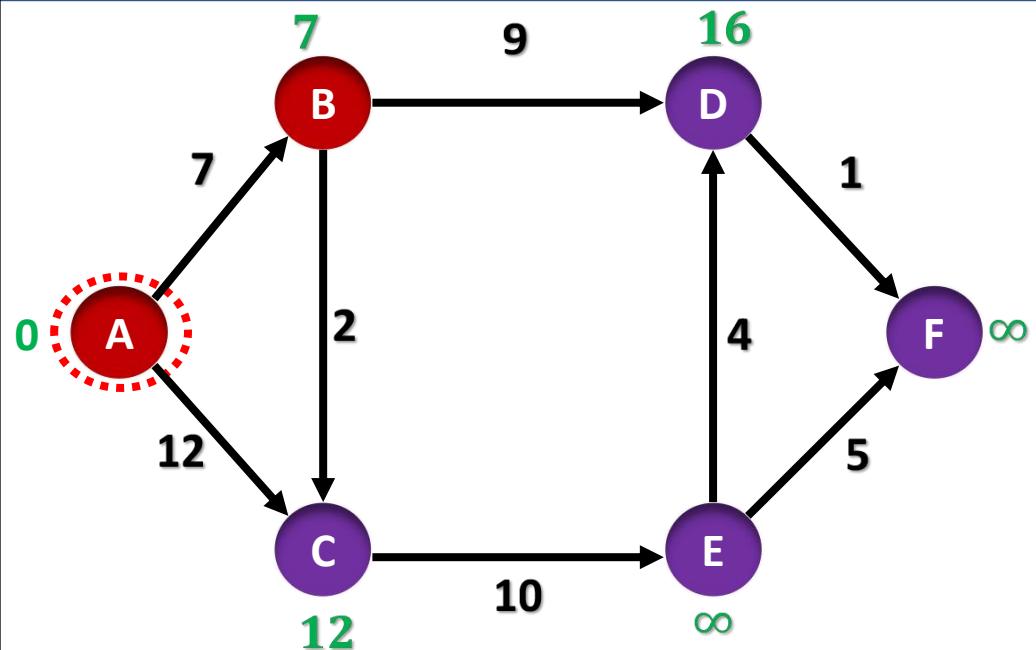
For BD : $d[B] + w(B, D) < d[D]$

$$7 + 9 < \infty$$

} TRUE

$$\begin{aligned}d[D] &= 16 \\ \pi[D] &= B\end{aligned}$$

Dijkstra's Algorithm



A	B	C	D	E	F
0	7	12	16	∞	∞
Nil	A	A	B	Nil	Nil

$d[v]$
 $\pi[v]$

$$Q = \langle C^{12}, D^{16}, E^{\infty}, F^{\infty} \rangle$$

$$S = \{ A^0, B^7 \}$$

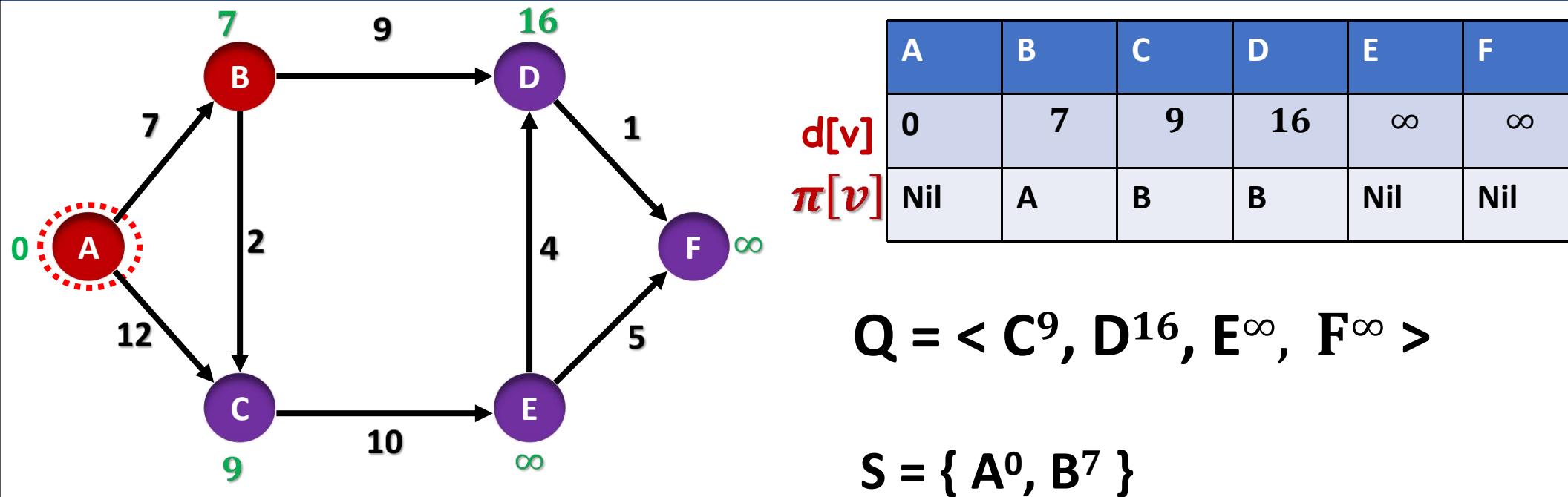
For BC : $d[B] + w(B, C) < d[C]$

$$7 + 2 < 12$$

TRUE

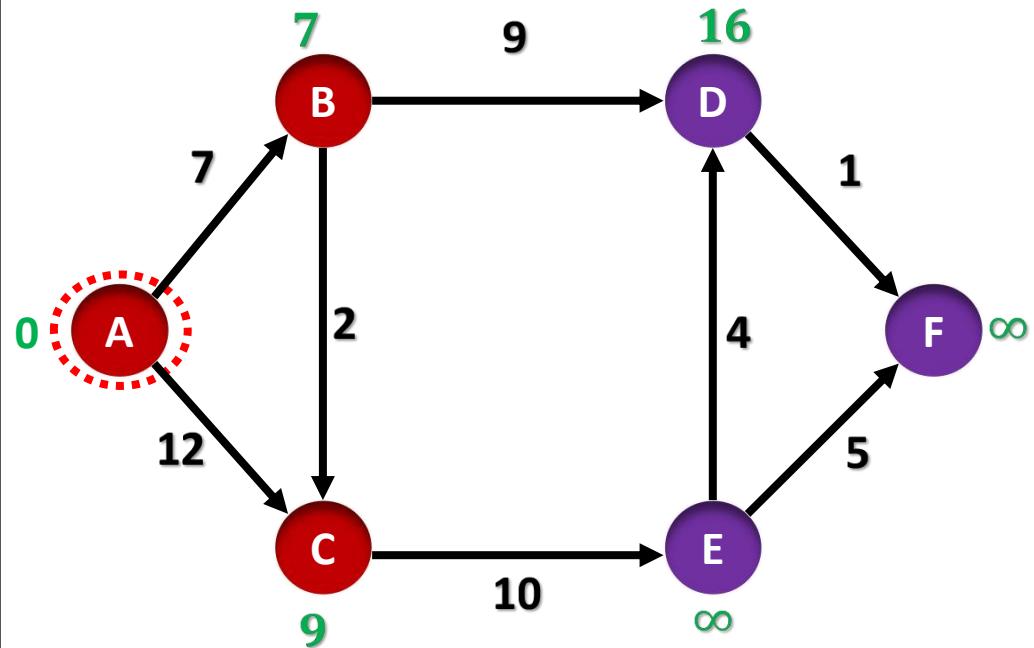
$$d[C] = 9
\pi[C] = B$$

Dijkstra's Algorithm



ExtractMin(Q)

Dijkstra's Algorithm



A	B	C	D	E	F
0	7	9	16	∞	∞
Nil	A	B	B	Nil	Nil

$$Q = \langle D^{16}, E^{\infty}, F^{\infty} \rangle$$

$$S = \{ A^0, B^7, C^9 \}$$

For CE :

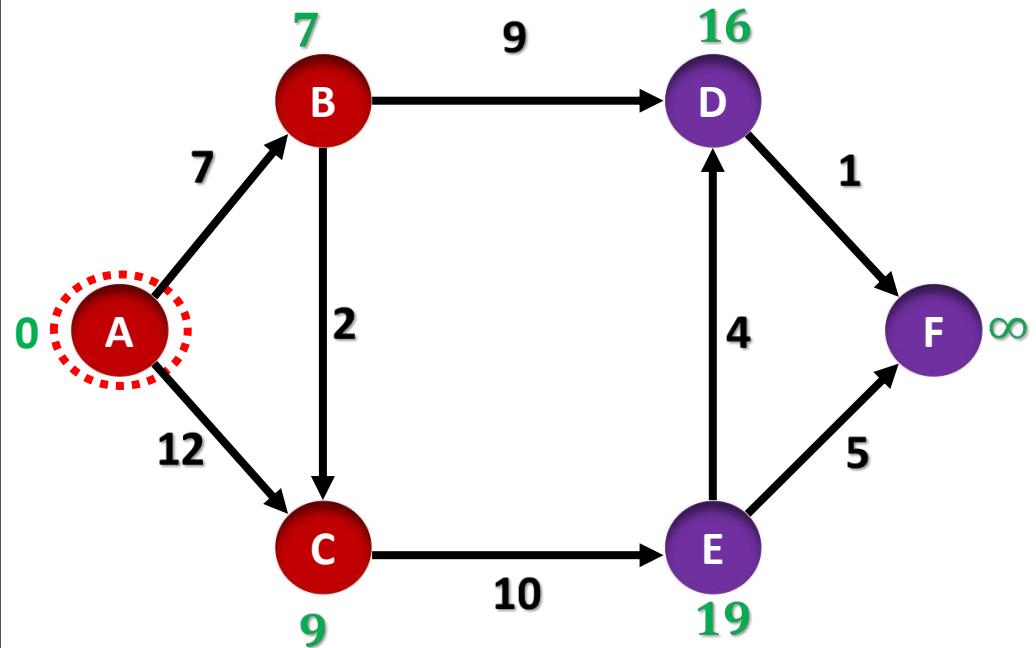
$$d[C] + w(C, E) < d[E]$$

$$9 + 10 < \infty$$

TRUE

$$\begin{aligned} d[E] &= 19 \\ \pi[E] &= C \end{aligned}$$

Dijkstra's Algorithm



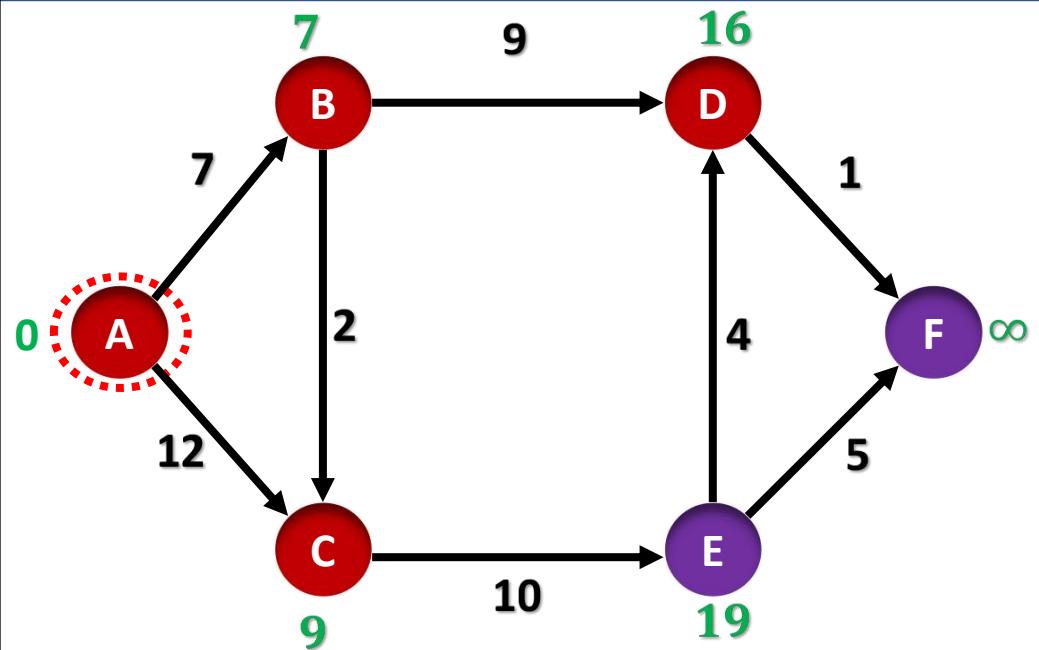
$d[v]$
 $\pi[v]$

$$Q = \langle D^{16}, E^{19}, F^{\infty} \rangle$$

$$S = \{ A^0, B^7, C^9 \}$$

ExtractMin(Q)

Dijkstra's Algorithm



A	B	C	D	E	F
0	7	9	16	19	∞
Nil	A	B	B	C	Nil

$d[v]$
 $\pi[v]$

$$Q = \langle E^{19}, F^{\infty} \rangle$$

$$S = \{ A^0, B^7, C^9, D^{16} \}$$

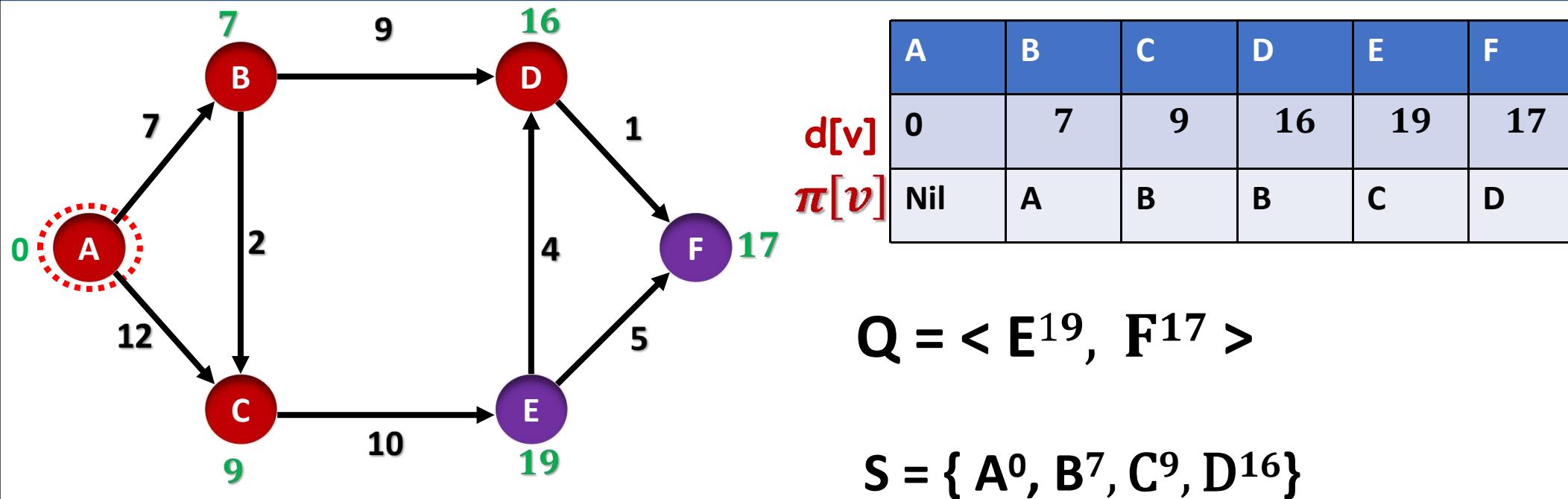
For DF : $d[D] + w(D, F) < d[F]$

$$16 + 1 < \infty$$

TRUE

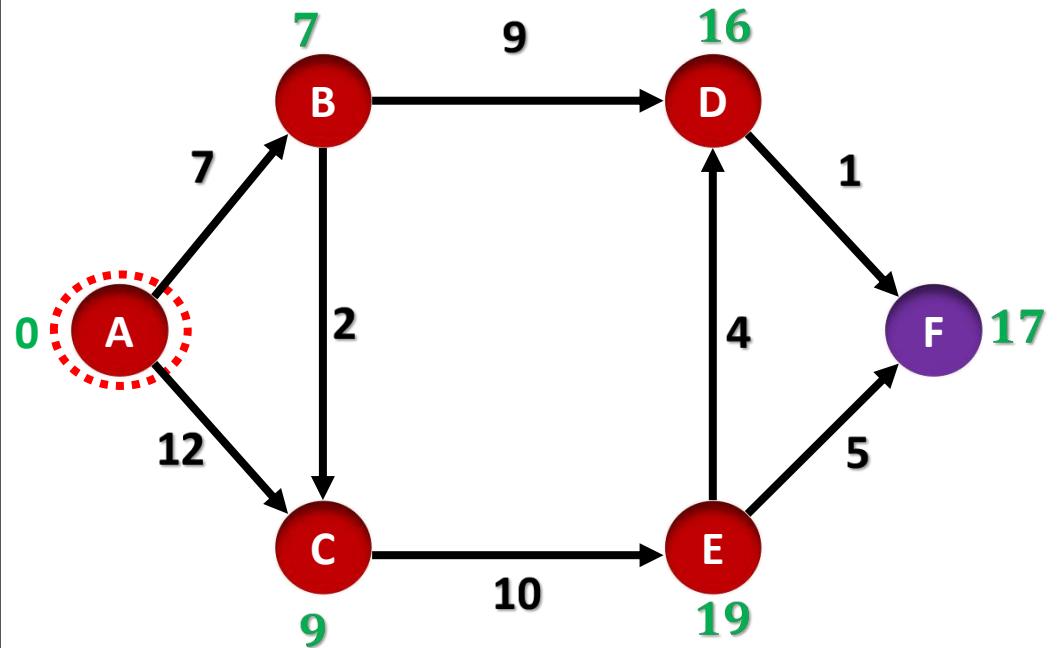
$$d[F] = 17
\pi[F] = D$$

Dijkstra's Algorithm



ExtractMin(Q)

Dijkstra's Algorithm



$d[v]$
 $\pi[v]$

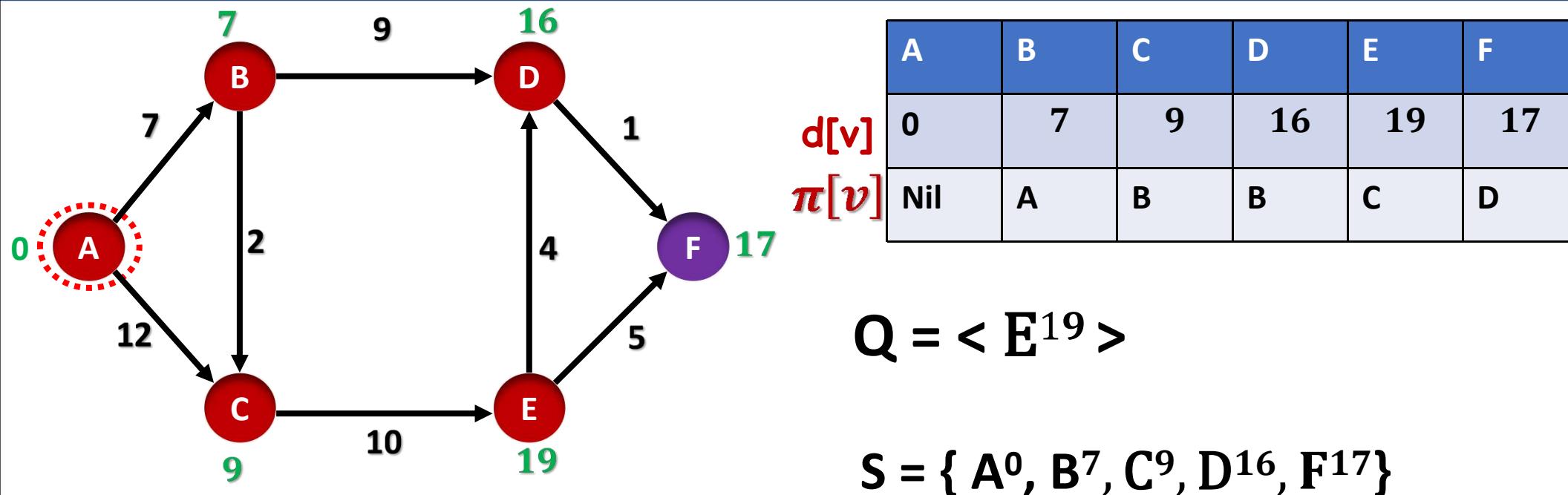
A	B	C	D	E	F
0	7	9	16	19	17
Nil	A	B	B	C	D

$$Q = \langle E^{19} \rangle$$

$$S = \{ A^0, B^7, C^9, D^{16}, F^{17} \}$$

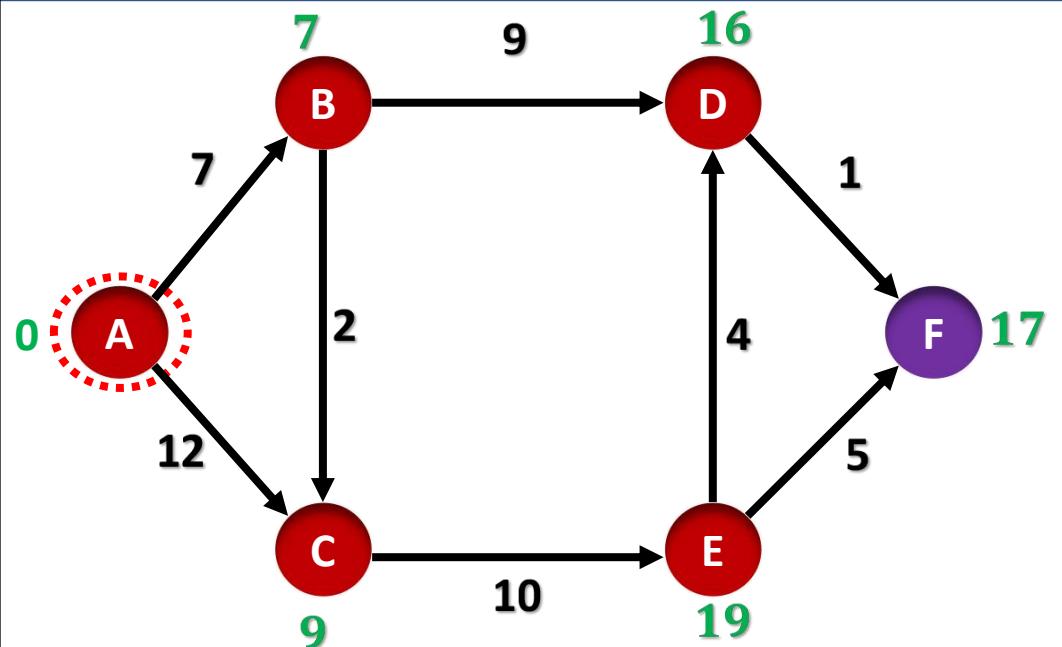
No Adjacent
Vertex of F

Dijkstra's Algorithm



ExtractMin(Q)

Dijkstra's Algorithm



$d[v]$
 $\pi[v]$

A	B	C	D	E	F
0	7	9	16	19	17
Nil	A	B	B	C	D

$$Q = < >$$

$$S = \{ A^0, B^7, C^9, D^{16}, F^{17}, E^{19} \}$$

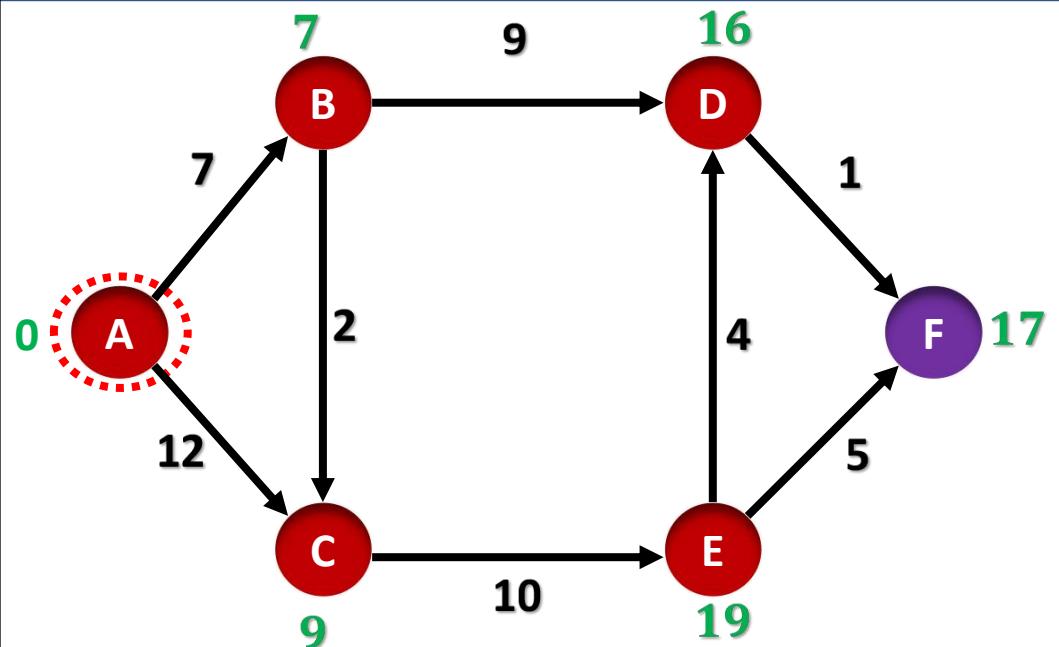
For ED : $d[E] + w(E, D) < d[D]$

$$19 + 4 < 16$$

} FALSE



Dijkstra's Algorithm



$d[v]$
 $\pi[v]$

A	B	C	D	E	F
0	7	9	16	19	17
Nil	A	B	B	C	D

$$Q = < >$$

$$S = \{ A^0, B^7, C^9, D^{16}, F^{17}, E^{19} \}$$

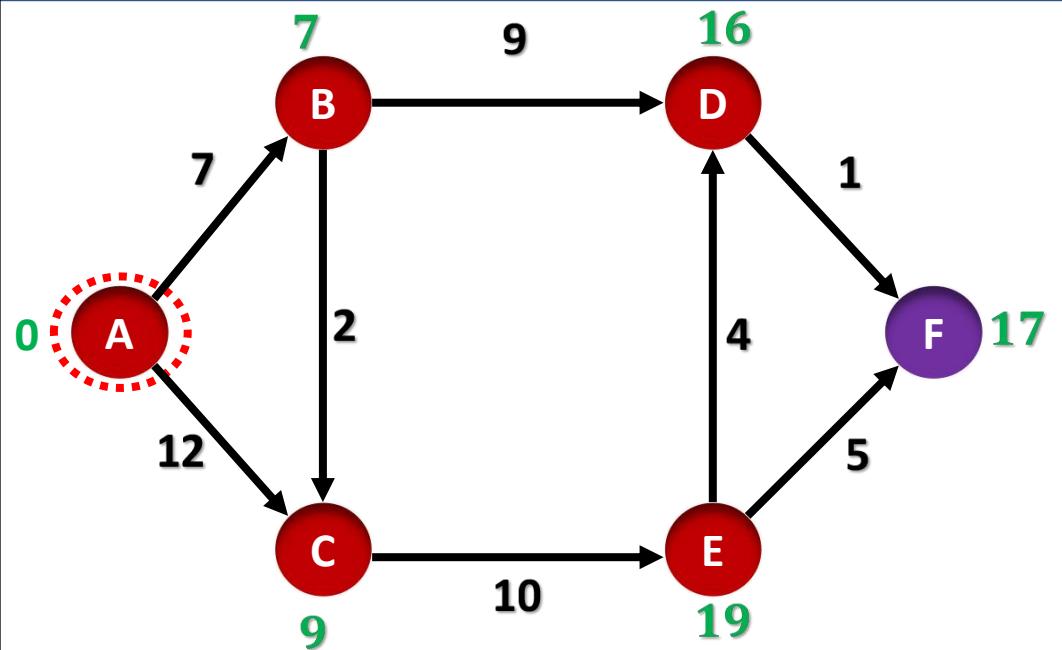
For EF : $d[E] + w(E, F) < d[F]$

$$19 + 5 < 17$$

FALSE

No Update

Dijkstra's Algorithm



$d[v]$
 $\pi[v]$

A	B	C	D	E	F
0	7	9	16	19	17
Nil	A	B	B	C	D

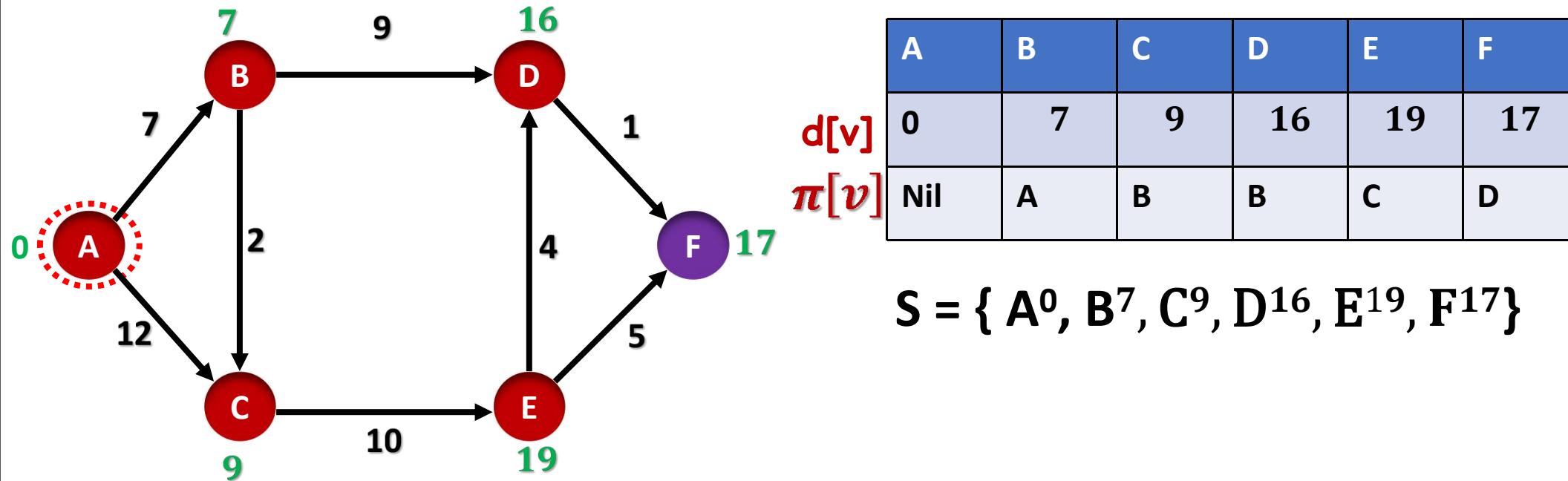
$$Q = < >$$

$$S = \{ A^0, B^7, C^9, D^{16}, E^{19}, F^{17} \}$$

There is NO edge to relax
&
Queue Q is Empty

 **Dijkstra's Algo Terminates**

Dijkstra's Algorithm



Shortest Path from A to F : A → B → D → F

Shortest Path from A to E : A → B → C → E

Priority Queue: Heap

Time Complexity

Operation	Cost
Build-Heap	$O(n)$
Extract-Min/Delete	$O(\log n)$
Update/Relax	$O(\log n)$
Insert	$O(\log n)$

Dijkstra's Algorithm: Cost Analysis

```
function dijkstra(G, S)
    for each vertex V in G
        distance[V] <- infinite
        previous[V] <- NULL
        If V != S, add V to Priority Queue Q
    distance[S] <- 0

    while Q IS NOT EMPTY
        U <- Extract MIN from Q
        for each unvisited neighbour V of U
            tempDistance <- distance[U] + edge_weight(U, V)
            if tempDistance < distance[V]
                distance[V] <- tempDistance
                previous[V] <- U
    return distance[], previous[]
```

Cost of ExtractMin(Q) = $O(\log V)$

of ExtractMin(Q) = V

Total Cost = $O(V \log V)$

Dijkstra's Algorithm: Cost Analysis

```
function dijkstra(G, S)
    for each vertex V in G
        distance[V] <- infinite
        previous[V] <- NULL
        If V != S, add V to Priority Queue Q
    distance[S] <- 0

    while Q IS NOT EMPTY
        U <- Extract MIN from Q
        for each unvisited neighbour V of U
            tempDistance <- distance[U] + edge_weight(U, V)
            if tempDistance < distance[V]
                distance[V] <- tempDistance
                previous[V] <- U
    return distance[], previous[]
```

Cost of Relax = $O(\log V)$

of Relax() = E

Total Cost = $O(E \log V)$

Dijkstra's Algorithm: Cost Analysis

```
function dijkstra(G, S)
    for each vertex V in G
        distance[V] <- infinite
        previous[V] <- NULL
        If V != S, add V to Priority Queue Q
    distance[S] <- 0

    while Q IS NOT EMPTY
        U <- Extract MIN from Q
        for each unvisited neighbour V of U
            tempDistance <- distance[U] + edge_weight(U, V)
            if tempDistance < distance[V]
                distance[V] <- tempDistance
                previous[V] <- U
    return distance[], previous[]
```

Cost of ExtractMin(Q) = $O(\log V)$

of ExtractMin(Q) = V

Total Cost = $O(V \log V)$

Cost of Relax() = $O(\log V)$

of Relax() = E

Total Cost = $O(E \log V)$

Cost = $O(V \log V) + O(E \log V)$

Cost = $O((V + E) \log V)$

Dijkstra's Algorithm: Cost Analysis

Time Complexity = $O((V + E)\log V)$
= $O(E\log V)$

Dijkstra's Algorithm

- ✓ Dijkstra's Algorithm fails on negative weighted edges.....(always/not always)?? : not always
- ✓ Dijkstra's Algorithm fails on negative weighted cycle.....(always/not always)?? : always

Bellman Ford Algorithm

```
function bellmanFord(G, S)
    for each vertex V in G
        distance[V] <- infinite
        previous[V] <- NULL

    distance[S] <- 0

    for each vertex V in G
        for each edge (U,V) in G
            tempDistance <- distance[U] + edge_weight(U, V)
            if tempDistance < distance[V]
                distance[V] <- tempDistance
                previous[V] <- U

    for each edge (U,V) in G
        If distance[U] + edge_weight(U, V) < distance[V]
            Error: Negative Cycle Exists

    return distance[], previous[]
```

```
function dijkstra(G, S)
    for each vertex V in G
        distance[V] <- infinite
        previous[V] <- NULL
        If V != S, add V to Priority Queue Q

    distance[S] <- 0

    while Q IS NOT EMPTY
        U <- Extract MIN from Q
        for each unvisited neighbour V of U
            tempDistance <- distance[U] + edge_weight(U, V)
            if tempDistance < distance[V]
                distance[V] <- tempDistance
                previous[V] <- U

    return distance[], previous[]
```

Complexity = O(VE)