# Case Studies of Data Structures in Leon

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Implementation of "Catenable List" and "Binomial Heap"

#### **Overview**

We implemented two data structures from the book "Purely Functional Datastructures" from Chris Okasaki, 1998.

#### Chosen data structures:

- Catenable List: recursive structure based on queues (7.2.1, p93)
- Binomial Heap: structure using tree representations (6.2.2, p68)

#### Goals

- Discover new data structures
- Play with Leon verifier
- Assess its boundaries

# Catenable List

Recursive list structure based on queues

## **Structure Properties**

#### Catenable Lists supports (Okasaki p15):

- head
- tail
- cons (adds an element at the beginning)
- snoc (adds an element at the end)
- concatenation (++)

... in O(1) amortized time.

### Structure Details<sup>1</sup>

- Catenable Lists are based on Queues
- We implemented a classical representation of queues in Leon and did formal proofs on it as well
  - supports head, tail, snoc
- The implementation for Queues we used also comes from Okasaki (3.1.1, p15)

# Queue Implementation<sup>1</sup>

Queues are implemented as a pair of lists

The order of elements in the queue is

```
l :: r.reverse

Ex: QCons(1::2::3,5::4) \Rightarrow 1::2::3::4::5
```

# Queue Implementation<sup>2</sup>

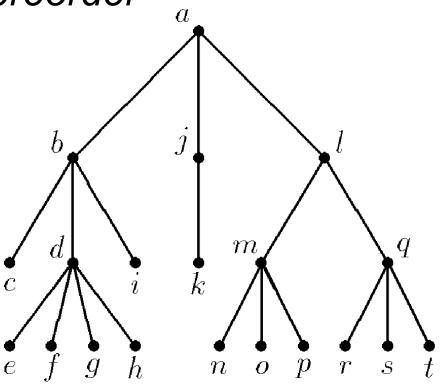
- head takes the head of the left hand-side list
- snoc adds an element to the right hand-side list if the queue is not empty
  - if the queue is empty, to the left hand-side, so that the invariant holds
- tail removes the head of the left hand-side list
  - if the list becomes empty, it reverses the right handside list and put it on the left, so that invariant holds

# Catenable List Implementation<sup>1</sup>

Catenable Lists are implemented as:

# Catenable List Implementation<sup>2</sup>

Traverse tree in preorder



Represents a list a, b, ..., t

# Catenable List Implementation<sup>3</sup>

- head simply returns the element on the left

- cons and snoc uses a concatenation function in a trivial way
  - Create a Catenable List t with the element to add
  - Concatenates the original list and t

# Catenable List Implementation<sup>4</sup>

- concatenation:
  - Is trivial with one empty Catenable List
  - Produces one Catenable List by linking both otherwise

- link puts the second Catenable List at the end of the first one (snoc on its queue)

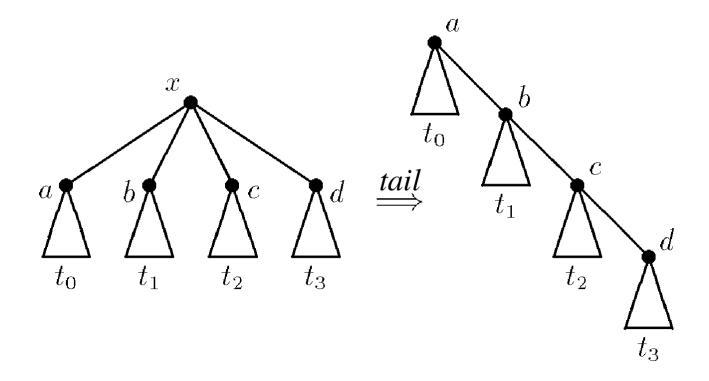
# Catenable List Implementation<sup>5</sup>

- tail needs to make a Catenable List from the Queue of the Catenable List
  - Trivial if empty
  - If non-empty, tail uses a procedure linkAll

- linkAll:
  - Is trivial if the Queue has only one element
  - Recursively links the head of the queue with the linkAll of its tail otherwise

# Catenable List Implementation<sup>6</sup>

#### Concretely tail:



#### Proofs And Checks<sup>1</sup>

#### Our Leon post/pre-conditions are based on:

- size, content and toList
- Invariant functions
  - On both data structures, to ensure and check proper form of Queues

#### We wrote some Scala tests to be evaluated:

- Using --eval
- Using scalac

#### **Proofs And Checks<sup>2</sup>**

- Queue completely proved
  - Based on list
  - Not a surprise
- linkAll is unknown
  - Complex operation
  - Recursive
  - Could not check that all elements were in the resulting list

```
precond. (call empty[T]().s...
                                                                                                                                                        141:30
                                                                                                                                                               valid
                                                                                                                                                                       Z3-f
                                                                                                                                                                              0.009
                                                                                                 apply
                     resulting list
                                                                                                 empty
                                                                                                                           postcondition
                                                                                                                                                               valid
                                                                                                                                                                       Z3-f
                                                                                                                                                        ?:?
                                                                                                                                                                       Z3-f
                                                                                                 empty
                                                                                                                           nostcondition
                                                                                                                                                               valid
                                                                                                                                                                              0.003
                                                                                                 linkAll
                                                                                                                           postcondition
                                                                                                                                                        156:20
                                                                                                                                                               unknown
                                                                                                                                                                       Z3 - f
                                                                                                                                                                             20.200
                                                                                                 linkAll
                                                                                                                           precond. (call linkAll[T](q...
                                                                                                                                                       154:51
                                                                                                                                                               unknown
                                                                                                                                                                       73 - f
                                                                                                                                                                             20.050
                              Using high-order
                                                                                                 linkAll
                                                                                                                           precond. (call q.head())
                                                                                                                                                        154:39
                                                                                                                                                                       Z3-f
                                                                                                                                                                              0.013
                                                                                                 linkAll
                                                                                                                           precond. (call q.head())
                                                                                                                                                        153:42
                                                                                                                                                               valid
                                                                                                                                                                       73 - f
                                                                                                                                                                              0.010
                                                                                                 linkAll
                                                                                                                           precond. (call q.head().lin...
                                                                                                                                                        154:39
                                                                                                                                                                       Z3-f
                                                                                                                                                                             20.176
                              function (forall)
                                                                                                 linkAll
                                                                                                                           precond. (call q.tail())
                                                                                                                                                        152:17
                                                                                                                                                               valid
                                                                                                                                                                       Z3-f
                                                                                                                                                                              0.007
                                                                                                 linkAll
                                                                                                                           precond. (call q.tail())
                                                                                                                                                        152:17
                                                                                                                                                               valid
                                                                                                                                                                       Z3-f
                                                                                                                                                                              0.012
                                                                                                 listOfCatToContent
                                                                                                                           match exhaustiveness
                                                                                                                                                        185:17
                                                                                                                                                                       Z3-f
                                                                                                                                                                              0.010
                                                                                                                                                               valid
                                                                                                 listOfCatToContent
                                                                                                                           precond. (call l.h.content())
                                                                                                                                                        187:44
                                                                                                                                                                       Z3 - f
                                                                                                 listOfCatToContent
                                                                                                                           precond. (call listOfCatToC...
                                                                                                                                                        187:57
                                                                                                                                                                       73-f
                                                                                                                                                               valid
                                                                                                                                                                              0 007
                                                                                                                           match exhaustiveness
def linkAll[T](q: Queue[CatenableList[T]]): CatenableList[T] = {
                                                                                                                           precond. (call l.h.toList())
                                                                                                                                                               valid
                                                                                                                                                                       73 - f
                                                                                                                                                                              0.006
                                                                                                                           precond. (call listOfCatToL...
                                                                                                                                                        203:56
                                                                                                                                                                       Z3 - f
   require(q.isDefined && queueHasProperShapeIn(q))
                                                                                                                           match exhaustiveness
                                                                                                                                                        177:17
                                                                                                                                                               valid
                                                                                                                                                                       73 - f
                                                                                                                                                                              0.003
                                                                                                                           precond. (call listOfCatToC...
                                                                                                                                                        179:45
                                                                                                                                                                       Z3-f
                                                                                                                                                               unknown
                                                                                                                                                                             20.149
   q.tail match {
                                                                                                                           precond. (call listOfCatToC...
                                                                                                                                                        179:70
                                                                                                                                                               unknown
                                                                                                                                                                       73-f
                                                                                                                                                                             20.136
                                                                                                                                                        193:17
                                                                                                                           precond. (call listOfCatToL...
                                                                                                                                                        195:45
                                                                                                                                                                       Z3 - f
                                                                                                                                                                             20.118
                                                                                                                                                               unknown
       case QEmpty() => q.head
                                                                                                                           precond. (call listOfCatToL...
                                                                                                                                                                       Z3-f
                                                                                                                           match exhaustiveness
                                                                                                                                                        169:17
                                                                                                                                                               hilay
                                                                                                                                                                              0.004
       case qTail => q.head.link(linkAll(qTail))
                                                                                                                                                                       Z3-f
                                                                                                                                                                              0.009
                                                                                                                           precond. (call lst.h.size())
                                                                                                                                                        171:63
                                                                                                                                                               valid
                                                                                                                                                                       73 - f
                                                                                                                                                                              0.010
                                                                                                                           precond. (call sumInList[T]...
                                                                                                                                                                              0.011
                                                                                                                           match exhaustiveness
                                                                                                                                                                       73 - f
                                                                                                                                                                              0.003
                                                                                                                                                        160:35
                                                                                                                                                               valid
} ensuring(res => q.forall( .forall(res.contains( ))))
                                                                                                                                                                             20.150
                                                                                                                                                                       Z3-f
                                                                                                                           precond. (call sumInList[T]...
                                                                                                                                                        162:45
                                                                                                                                                                             20.141
                                                                                                                                                               unknown
                                                                                                                           precond. (call sumInList[T]... 162:63
                                                                                                                                                                             20.132
                                                                                                 sumrait
                                                                                                 total: 78
                                                                                                             valid: 65
                                                                                                                          invalid: 0
                                                                                                                                        unknown 13
                                                                                                                                                                             263.144
```

Verification Summary

```
CatenableList$$plus$plus
                                                                                             0.085
CatenableList$$plus$plus
                                precond. (call $this.link(t...
                                                                  60:35
                                                                           valid
                                                                                     Z3 - f
                                                                                             0.014
CatenableList$cons
                                postcondition
                                                                           valid
                                                                                     Z3-f
                                                                                             0.425
CatenableList$cons
                                precond. (call CCons[T](x,
                                                                  47:17
                                                                           valid
                                                                                     Z3 - f
                                                                                             0.033
CatenableList$contains
                                match exhaustiveness
                                                                           valid
                                                                                             0.011
CatenableList$content
                                                                                     Z3-f
                                match exhaustiveness
                                                                           valid
                                                                                             0.006
CatenableList$content
                                precond. (call queueOfCatTo...
                                                                           valid
                                                                                             0.010
CatenableList$forall
                                                                                     Z3-f
                                match exhaustiveness
                                                                  109:51
                                                                           valid
                                                                                             0.010
CatenableList$hasProperShape
                                match exhaustiveness
                                                                           valid
                                                                                             0.007
CatenableList$head
                                match exhaustiveness
                                                                  66:17
                                                                           valid
                                                                                     Z3-f
                                                                                             0.010
CatenableList$head
                                postcondition
                                                                  69:20
                                                                           valid
                                                                                     Z3 - f
                                                                                             0.019
CatenableList$link
                                match exhaustiveness
                                                                  124:17
                                                                           valid
                                                                                     Z3-f
                                                                                             0.009
CatenableList$link
                                postcondition
                                                                           unknow
                                                                                     Z3 - f
                                                                                            20.109
CatenableList$link
                                precond. (call $this.t.snoc...
                                                                  125:54
                                                                           valid
                                                                                     Z3-f
                                                                                             0.013
CatenableList$size
                                match exhaustiveness
                                                                           valid
                                                                                     Z3-f
                                                                                             0.015
CatenableList$size
                                postcondition
                                                                  43:21
                                                                           valid
                                                                                     73 - f
                                                                                             0.119
CatenableList$size
                                precond. (call sumTail[T]($...
                                                                           valid
                                                                                     Z3-f
                                                                                             0.079
CatenableList$snoc
                                postcondition
                                                                           valid
                                                                                     Z3 - f
                                                                                             0.152
CatenableList$snoc
                                precond. (call $this ++ CCo...
                                                                  52:17
                                                                           valid
                                                                                     Z3-f
                                                                                             0.021
                                match exhaustiveness
CatenableList$tail
                                                                  74:45
                                                                           valid
                                                                                     Z3 - f
                                                                                             0.011
CatenableList$tail
                                postcondition
                                                                  79:20
                                                                           unknown
                                                                                    Z3 - f
                                                                                            20.108
CatenableList$tail
                                precond. (call linkAll[T]($...
                                                                  76:45
                                                                           valid
                                                                                             0.011
CatenableList$toList
                                match exhaustiveness
                                                                  97:36
                                                                           valid
                                                                                     Z3 - f
                                                                                             0.013
CatenableList$toList
                                postcondition
                                                                  105:20
                                                                           unknown
                                                                                     Z3-f
                                                                                            20.128
CatenableList$toList
                                precond. (call queueOfCatTo...
                                                                  102:39
                                                                           valid
                                                                                     Z3-f
                                                                                             0.012
Queue$$plus$plus
                                match exhaustiveness
                                                                  57:17
                                                                           valid
                                                                                     73 - f
                                                                                             0.003
Queue$$plus$plus
                                postcondition
                                                                  66:20
                                                                           valid
                                                                                     Z3-f
                                                                                             0.015
Queue$content
                                match exhaustiveness
                                                                  82:17
                                                                           valid
                                                                                     Z3 - f
                                                                                             0.004
Oueue$exists
                                match exhaustiveness
                                                                  115:51
                                                                           valid
                                                                                     Z3-f
                                                                                             0.004
Queue$foldLeft
                                precond. (call $this.head())
                                                                  124:58
                                                                           valid
                                                                                             0.006
Queue$foldLeft
                                precond. (call $this.tail())
                                                                  124:35
                                                                           valid
                                                                                     Z3 - f
                                                                                             0.005
Queue$foldLeft
                                precond. (call $this.tail()...
                                                                  124:35
                                                                           valid
                                                                                     Z3-f
                                                                                             0.019
Queue$forall
                                match exhaustiveness
                                                                  110:51
                                                                           valid
                                                                                     Z3 - f
                                                                                             0.003
Queue$hasProperShape
                                match exhaustiveness
                                                                  130:30
                                                                           valid
                                                                                     Z3-f
                                                                                             0.003
Queue$head
                                match exhaustiveness
                                                                  31:17
                                                                           valid
                                                                                     Z3-f
                                                                                             0.014
Queue$head
                                postcondition
                                                                  34:21
                                                                           valid
                                                                                     73 - f
                                                                                             0.011
Oueue$head
                                precond. (call $this.f.head())
                                                                  32:45
                                                                           valid
                                                                                     Z3-f
                                                                                             0.018
Queue$map
                                match exhaustiveness
                                                                  92:37
                                                                           valid
                                                                                             0.003
Oueue$map
                                postcondition
                                                                  97:21
                                                                           valid
                                                                                     Z3-f
                                                                                             0.028
Queue$size
                                match exhaustiveness
                                                                  22:35
                                                                           valid
                                                                                     Z3 - f
                                                                                             0.005
Oueue$size
                                postcondition
                                                                  27:21
                                                                           valid
                                                                                     Z3 - f
                                                                                             0.007
Queue$snoc
                                match exhaustiveness
                                                                  48:17
                                                                           valid
                                                                                     Z3-f
                                                                                             0.005
                                                                                     Z3-f
                                                                                             0.019
Oueue$snoc
                                postcondition
                                                                  52:21
                                                                           valid
Queue$tail
                                match exhaustiveness
                                                                  38:37
                                                                           valid
                                                                                     Z3-f
                                                                                             0.007
                                                                  44:21
                                                                                     Z3 - f
Oueue$tail
                                postcondition
                                                                           valid
                                                                                             0.031
Queue$toList
                                match exhaustiveness
                                                                  72:36
                                                                           valid
                                                                                             0.005
Oueue$toList
                                postcondition
                                                                           valid
                                                                                     Z3-f
                                                                                             0.010
                                precond. (call empty[T]().c...
                                                                  146:30
                                                                           valid
                                                                                     73 - f
                                                                                             0.013
```

#### Lessons Learned<sup>1</sup>

- High-order functions are problematic in Leon
  - Could not use flatMap and foldLeft
    - Even if so useful on recursive data structures

#### Lessons Learned<sup>2</sup>

- ensuring:
  - scala requires explicit return type
  - Leon infers them

```
def tail: CatenableList[T] = {
    require(this.isDefined && this.hasProperShape)
    this match {
        case CCons(h, t) if t.isEmpty => CEmpty()
        case CCons(h, t) => CatenableList.linkAll(t)
    }
} ensuring(res => ...)

def tail: CatenableList[T] = {
        require(this.isDefined && this.hasProperShape)
        val res: CatenableList[T] = this match {
        case CCons(h, t) if t.isEmpty => CEmpty()
        case CCons(h, t) => CatenableList.linkAll(t)
    }
    res
    } ensuring(res => ...)
```

# Binomial Heaps

Heap structure using trees

## **Structure Properties**

"Classical implementation of mergeable priority queues" (Okasaki p68)

#### Supports standard functionalities:

- insert
- merge
- findMin
- deleteMin

#### Structure Details<sup>1</sup>

- Binomial heaps are:
  - Collection of binomial trees
  - With particular structure and properties

- Nodes have:
  - a key (which type has a total order)
  - a rank
- Trees must satisfy Minimum Heap Property:
  - the key of a parent node must be smaller or equal to the key of any of its children nodes

#### **Structure Details<sup>2</sup>**

- A node with rank:
  - $k \Rightarrow k$  children of ranks k-1, k-2, ..., 0
  - 0 ⇒ has no children
- Root of rank  $k \Rightarrow 2^k$  elements
  - $-1+2+4+..+2^{k-1}+1(root)=2^k$

#### Structure Details<sup>3</sup>

 The binary heap's trees collection must not contain more than one tree of a particular rank

- A binomial heap can be mapped to a binary number: binary representation of its size
  - Trees are mapped to 1-valued bits of the number
     (ex: tree of rank k is the k<sup>th</sup> bit, counting from 0 and from the right)

# Tree Implementation<sup>1</sup>

Trees are implemented as nodes with a rank, a key (element) and a list of Trees:

```
Tree[T] :=
   Node[T](rank: BigInt, elem: T, ch: List[Tree])
```

Type T needs to be totally ordered:

```
T <: Ordered[T]
```

# Tree Implementation<sup>2</sup>

- If we take a node of rank k:
  - Its list of Trees needs to have exactly one Tree of each rank between 0 and k-1
  - **⇒** To enforce

- Implementation keeps the ranks of Trees in list in decreasing order
  - Invariant to check and to use for proofs
  - ⇒ To enforce

# Tree Implementation<sup>3</sup>

- link function creates a Tree of rank k from two Trees of rank k-1
  - makes one Tree one child of the other
  - maintains minimum heap property: the Tree with larger root becomes the child

⇒ like addition of two bits

#### **Tree Checks And Proofs**

Our Leon post/pre-conditions are based on:

- size, content and toList functions

- Invariant functions for:
  - Rank uniqueness and ≥ 0
  - Decreasing order in the list of Trees

# Binomial Heap Implementation<sup>1</sup>

#### A Binomial Heap is implemented as:

```
BH[T] := List[Tree[T]]
```

Must not have more than one Tree with a particular rank

⇒ To enforce

- The implementation keeps the ranks in the Trees list in increasing order

⇒ To enforce

# Binomial Heap Implementation<sup>2</sup>

- merge is like the addition of two binary numbers, recursively done:
  - It goes through the tree lists of both heaps
  - In increasing order of rank
- It compares the two smallest ranked Trees
  - Keep the smallest ranked Tree if exists
    - And recursively **merge** the others
  - If both Trees are of same ranks, links them
    - Insert result with insTree in the rest of the recursively merged tree
      - equivalent to addition carry

# Binomial Heap Implementation<sup>4</sup>

#### - insert:

- Can be seen like the merge with a Heap which has a unique Tree of rank 0
  - incrementation of a binary number
- But the implementation does not use merge

 insert directly inserts the 0-ranked tree on the heap with insTree function

# Binomial Heap Implementation<sup>5</sup>

- insTree inserts a tree t in a heap h
  - **If** the rank of *t* is less than min. rank of the Heap: insert it before
    - as simple as a list cons
  - If the ranks are equal it links them and recursively "insertTrees" the resulting tree
  - Rank of *t* cannot be bigger than min. Heap rank:
    - In insert, the inserted Tree is 0-ranked
    - In merge, Trees of rank k are linked and result is inserted in a Heap with only Trees with rank ≤ k+ 1
       To verify

# Binomial Heap Implementation<sup>7</sup>

- findMin simply recursively finds the minimum root of the Tree list
  - Can do that because Trees are heap-ordered
    - Finds the minimum root of the tail
    - Compares with root of head
    - Keeps the smallest element

# Binomial Heap Implementation<sup>8</sup>

- deleteMin uses the helper function getMin
  - **getMin** returns tree with the minimal root and the 'rest of the trees list'
    - i.e. all trees, in the same order, but without the one with minimal root
  - deleteMin reverses the tree list of the minimum tree (to get the right order of ranks for heaps) and merges it with the 'rest of trees list'
- getMin recursively apply itself to the tail of the trees list, and compares with the head to find the tree with minimal root.

# Binomial Heap Proofs And Checks<sup>1</sup>

#### Our Leon post/pre-conditions are based on:

- size, content and toList functions
- Invariant functions: on both data structures, to ensure and check proper forms

#### We wrote some Scala tests to be evaluated:

- Using --eval
- Using scalac

# Proofs And Checks<sup>2</sup>

- some *unknown*
- Due to recursive definitions of the data structure
- Did not find a proper way to prove the invariants
- ⇒ Used tests as well
- ⇒ Tests proved some "unknown" to be wrong.

Verification Summary					
BinHeap\$content	precond. (call content(\$thi	53:5	valid	Z3 - f	0.004
BinHeap\$deleteMin	postcondition	43:15	unknown	Z3 - f	20.119
BinHeap\$deleteMin	precond. (call getMin(\$this	40:19	valid	Z3 - f	0.012
BinHeap\$deleteMin	precond. (call merge(n.chil	41:32	unknown	Z3 - f	20.063
BinHeap\$findMin	precond. (call getMin(\$this	33:18	valid	Z3 - f	0.029
BinHeap\$insert	postcondition	23:15	unknown	Z3 - f	20.292
BinHeap\$insert	precond. (call insTree(\$thi	21:32	valid	Z3 - f	0.045
BinHeap\$merge	postcondition	29:15	unknown	Z3 - f	21.807
BinHeap\$merge	precond. (call merge(\$this	27:32	valid	Z3 - f	0.025
BinHeap\$size	postcondition	49:15	valid	Z3 - f	0.007
BinHeap\$size	precond. (call size(\$this.t	47:23	valid	Z3 - f	0.008
Tree\$content	precond. (call treeListToCo	40:58	valid	Z3 - f	0.007
Tree\$link	postcondition	24:21	unknown	Z3 - f	20.120
Tree\$size	postcondition	30:21	valid	Z3 - f	0.010
Tree\$size	precond. (call treeListToCo	28:39	valid	Z3 - f	0.009
Tree\$toList	postcondition	36:21	unknown	Z3 - f	20.203
Tree\$toList	precond. (call treeListToLi	34:57	valid	Z3 - f	0.017
apply	precond. (call empty().inse	67:35	valid	Z3 - f	0.022
content	match exhaustiveness	145:5	valid	Z3 - f	0.009
content	precond. (call content(lhs.t))	147:40	valid	Z3 - f	0.021
content	precond. (call lhs.h.conten	147:27	valid	Z3 - f	0.024
getMin	match exhaustiveness	124:5	valid	Z3 - f	0.019
getMin	postcondition	132:15	valid	Z3 - f	0.110
getMin	precond. (call getMin(lhs.t))	127:9	valid	Z3 - f	0.054
getMin	precond. (call getMin(lhs.t))	127:9	valid	Z3 - f	0.069
getMin	precond. (call getMin(lhs.t))	127:9	valid	Z3 - f	0.063
hasIncrRanks	match exhaustiveness	80:46	valid	Z3 - f	0.011
insTree	match exhaustiveness	114:27	valid	Z3 - f	0.023
insTree	postcondition	120:15	valid	Z3 - f	0.192
insTree	precond. (call insTree(lhs	117:28	valid	Z3 - f	0.093
insTree	precond. (call t1.link(lhs.h))	117:40	valid	Z3 - f	0.061
merge	match exhaustiveness	102:27	valid	Z3 - f	0.014
merge	postcondition	110:15	unknown	Z3 - f	20.653
merge	precond. (call insTree(merg	107:68	unknown	Z3 - f	20.323
merge	precond. (call lhs.h.link(r	107:93	valid	Z3 - f	0.063
merge	precond. (call merge(lhs.t,	107:76	valid	Z3 - f	0.036
merge	precond. (call merge(lhs.t,	105:73	valid	Z3 - f	0.021
merge	precond. (call merge({val x	106:73	valid	Z3 - f	0.024
size	match exhaustiveness	136:23	valid	Z3 - f	0.018
size	postcondition	141:15	valid	Z3 - f	0.080
size	precond. (call lhs.h.size())	138:27	valid	Z3 - f	0.056
size	precond. (call size(lhs.t))	138:36	valid	Z3 - f	0.039
treeListHasDecrRanks	match exhaustiveness	66:60	valid	Z3 - f	0.005
treeListToContent	match exhaustiveness	95:40	valid	Z3 - f	0.005
treeListToContent	<pre>precond. (call l.h.content())</pre>	97:45	valid	Z3 - f	0.007
treeListToContent	precond. (call treeListToCo	97:58	valid	Z3 - f	0.007
treeListToCount	match exhaustiveness	77:35	valid	Z3 - f	0.004
treeListToCount	postcondition	82:21	valid	Z3 - f	0.010
treeListToCount	precond. (call l.h.size())	79:45	valid	Z3 - f	0.006
treeListToCount	precond. (call treeListToCo	79:54	valid	Z3-f	0.007
treeListToList	match exhaustiveness	86:41	valid	Z3 - f	0.010
treeListToList	<pre>precond. (call l.h.toList())</pre>	88:45	valid	Z3-f	0.007
treeListToList	precond. (call treeListToLi	88:57	valid	Z3 - f	0.007
total: 53 valid: 4	5 invalid: 0 unknown 8				164.950

Verification Summary

#### **Lessons Learned**

- Problems with the total order of T
  - Tried <: Ordered[T], but Leon does not support this</li>
  - Could use Ordering to pass to each function
  - We decided to implement a BigInt version
    - No loss of generality when it comes to logic
    - But easier to read
- A few boilerplate due to Leon's limitations
  - No method in a subclass
  - No implicit class (This one is probably tricky)
    - A BinHeap[T] is a List[Tree[T]] it would have been nice to use case class bodies or implicit classes

#### Conclusion

- Two structures implemented and checked
  - Some *unknown* results unfortunately
    - Difficult to avoid with recursive definitions
- Leon is powerful but has some limitations:
  - Need to find ways to circumvent some syntactic limitations and some library limitations
  - Leon code cannot be used in Scala as such due to missing syntactic sugar (e.g. repeated parameters for apply methods, etc.).
- Interesting and powerful tool nevertheless!

# Thank You!

# Backup Slides

# Queue Complexity<sup>1</sup>

#### Simple complexity proofs:

- head has the same complexity as its counterparts in List[T]: O(1)
  - This is guaranteed by the invariant that a non-empty queue has a non-empty left hand-side list
- snoc has the complexity of cons of List [T]:
  - O(1)

# Queue Complexity<sup>2</sup>

#### Simple complexity proofs (continued):

- tail has the same cost as tail on List [T] unless the right hand side list has to be reversed:
  - O(n) worst-case, O(1) amortized
  - Proof:
    - Banker's method: snoc pays 2, cost of 1, tail has cost O(1) when no reversal
    - When list of size *m* is reversed, cost is *m*+1, we have *m* credits → amortized cost of 1

## Code Samples: Queue, Cat. Lists

```
def cons(x: T): CatenableList[T] = {
  require(this.hasProperShape)
  CCons(x, QEmpty[CatenableList[T]]()) ++ this
} ensuring(res => res.content == this.content ++ Set(x) && res.head == x && res.size == this.size + 1)
def snoc(x: T): CatenableList[T] = {
  require(this.hasProperShape)
  this ++ CCons(x, QEmpty[CatenableList[T]]())
} ensuring(res => res.content == this.content ++ Set(x) && res.size == this.size + 1)
def ++(that: CatenableList[T]): CatenableList[T] = {
   require(this.hasProperShape && that.hasProperShape)
  (this, that) match {
    case (CEmpty(), ) => that
    case ( , CEmpty()) => this
    case => this.link(that)
} ensuring(res => res.content == this.content ++ that.content && res.size == this.size + that.size)
private def link(that: CatenableList[T]): CatenableList[T] = {
  require(this.isDefined && this.hasProperShape && that.isDefined && that.hasProperShape)
  this match {
    case CCons(h, t) => CCons(h, t.snoc(that))
} ensuring(res => res.content == this.content ++ that.content && res.size == this.size + that.size)
/* Invariants */
def hasProperShape = this match {
  case CEmpty() => true
  /* The queue must have proper shape according to queue specs, and we cannot have a queue of empty lists */
  case CCons(h, t) => CatenableList.queueHasProperShapeIn(t)
                                                                                                          42
```

## Code Samples: Tree<sup>1</sup>

```
def merge(lhs: List[Tree], rhs: List[Tree]): List[Tree] = {
 require(hasProperShape(lhs) && hasProperShape(rhs))
 val res: List[Tree] = (lhs, rhs) match {
    case (t, Nil()) \Rightarrow t
    case (Nil(), t) \Rightarrow t
    case (Cons(t1, ts1), Cons(t2, ts2)) if t1.rank < t2.rank => t1 :: merge(ts1, t2 :: ts2)
    case (Cons(t1, ts1), Cons(t2, ts2)) if t1.rank > t2.rank => t2 :: merge(t1 :: ts1, ts2)
    case (Cons(t1, ts1), Cons(t2, ts2)) if t1.rank == t2.rank => insTree(merge(ts1, ts2), t1 link t2)
  res
} ensuring (res => hasProperShape(res))
def insTree(lhs: List[Tree], t1: Tree): List[Tree] = {
  require(hasInsTreeProperShape(lhs, t1))
  val res: List[Tree] = lhs match {
    case Nil() => t1 :: Nil()
    case Cons(t2, ts) if t1.rank < t2.rank => t1 :: t2 :: ts
    case Cons(t2, ts) => insTree(ts, t1 link t2)
  res
} ensuring (res => hasProperShape(res))
def hasIncrRanks(c: List[Tree]): Boolean = c match {
  case Nil() => true
  case Cons(t, Nil()) => t.rank >= \theta
  case Cons(t1, ts @ Cons(t2, )) \Rightarrow t1.rank \Rightarrow 0 && t1.rank < t2.rank && hasIncrRanks(ts)
```

# Code Samples: Bin. Heap<sup>2</sup>

```
def getMin(lhs: List[Tree]): (Tree, List[Tree]) = {
  require(!lhs.isEmpty && hasProperShape(lhs))
  lhs match {
    case Cons(t, Nil()) => (t, Nil())
    case Cons(t, ts) =>
        getMin(ts) match {
        case (tp, tsp) if t.root <= tp.root => (t, ts)
        case (tp, tsp) => (tp, t :: tsp)
    }
} ensuring (res => res._1.hasProperShape && hasMinHeapProp(res._2))
```

```
def link(that: Tree) : Tree = {
  require (this.hasProperShape && that.hasProperShape && this.rank == that.rank)
  val res: Tree = (this, that) match {
    case (t1, t2) if t1.root <= t2.root => TreeNode(t1.rank + 1, t1.root, t2 :: t1.children)
    case (t1, t2) => TreeNode(t2.rank + 1, t2.root, t1 :: t2.children)
  }
  res
} ensuring (res => res.size == this.size + that.size &&
  res.hasProperShape && res.content == this.content ++ that.content)
```

# Complexities of various data structures

Name	Running Times of Supported Functions	Page
banker's queues	snoc/head/tail: O(1)	26
physicist's queues	snoc/head/tail: O(1)	31
real-time queues	$snoc/head/tail: O(1)^{\dagger}$	43
bootstrapped queues	$head: O(1)^{\dagger}, snoc/tail: O(\log^* n)$	89
implicit queues	snoc/head/tail: O(1)	113
banker's deques	cons/head/tail/snoc/last/init: O(1)	56
real-time deques	$cons/head/tail/snoc/last/init$ : $O(1)^{\dagger}$	59
implicit deques	cons/head/tail/snoc/last/init : O(1)	116
catenable lists	cons/snoc/head/tail/++: O(1)	97
simple catenable deques	$cons/head/tail/snoc/last/init: O(1), #: O(\log n)$	119
catenable deques	cons/head/tail/snoc/last/init/++: O(1)	122
skew-binary random-access lists	$cons/head/tail: O(1)^{\dagger}, lookup/update: O(\log n)^{\dagger}$	79
skew binomial heaps	$insert: O(1)^{\dagger}, merge/findMin/deleteMin: O(\log n)^{\dagger}$	83
bootstrapped heaps	$insert/merge/findMin: O(1)^{\dagger}, deleteMin: O(\log n)^{\dagger}$	102
sortable collections	$add: O(\log n), sort: O(n)$	35
scheduled sortable collections	$add: O(\log n)^{\dagger}, sort: O(n)^{\dagger}$	47

Worst-case running times marked with †. All other running times are amortized.