

# Color Code and 3D Gauge Color Code: A Subsystem Framework for Universal Fault-Tolerant Quantum Computation

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**ABSTRACT:** The three-dimensional (3D) gauge color code represents a paradigm shift in the design of topological quantum error-correcting codes. As a subsystem stabilizer code, it leverages a rich gauge symmetry to overcome fundamental limitations of conventional topological codes. This note provides a comprehensive and rigorous treatment of the 3D gauge color code, beginning with its mathematical foundations in the stabilizer and subsystem formalisms and its geometric realization on a 3-colex lattice. We present the explicit construction of the code's Hamiltonian, defining its gauge and stabilizer operators and characterizing its degenerate ground state subspace. The profound physical significance of the code is then detailed, focusing on its capacity for a universal set of transversal logical gates, which is achieved through an elegant mechanism of gauge fixing. This feature, combined with its inherent capability for single-shot error correction, positions the 3D gauge color code as a leading architecture for fault-tolerant quantum computation with potentially reduced resource overheads. Finally, we situate the code within the broader landscape of modern physics, exploring its deep connections to  $\mathbb{Z}_2^3$  lattice gauge theory, symmetry-protected topological (SPT) phases, and the burgeoning field of fracton order.

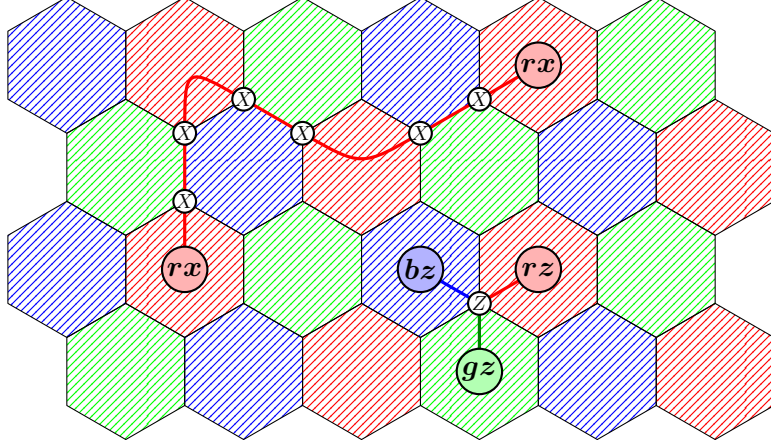
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## Contents

<b>1</b>	<b>Introduction</b>	<b>2</b>
<b>2</b>	<b>Color code</b>	<b>2</b>
2.1	Definition	2
2.2	Example: Steane code	3
2.3	Equivalence of color code to two copies of toric codes	3
2.4	Color code alone is insufficient for universal quantum computing	3
<b>3</b>	<b>Foundational concepts: from stabilizer codes to topological subsystem codes</b>	<b>4</b>
3.1	The stabilizer formalism	4
3.2	Subsystem codes and gauge symmetries	5
3.3	Geometric foundation: the D-colex	6
<b>4</b>	<b>A rigorous definition of the 3D gauge color code</b>	<b>6</b>
4.1	Lattice construction and qubit placement	6
4.2	The gauge and stabilizer groups	7
4.2.1	Gauge group $\mathcal{G}$	7
4.2.2	Stabilizer group $\mathcal{S}$	8
4.3	The code hamiltonian and ground state subspace	9
4.4	Logical operators and encoded information	9
<b>5</b>	<b>The physical imperative: fault-tolerant quantum computation</b>	<b>10</b>
5.1	A rich set of transversal gates	10
5.2	Universality through gauge fixing	11
5.3	Single-shot error correction	12
5.4	Dimensional jumps for hybrid architectures	12
<b>6</b>	<b>Context within modern condensed matter physics</b>	<b>13</b>
6.1	Topological order and equivalence to $\mathbb{Z}_2^3$ gauge theory	13
6.2	Connection to symmetry-protected topological (SPT) phases	14
6.3	On the frontier: relationship to fracton order	14
<b>7</b>	<b>Conclusion and outlook</b>	<b>15</b>

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## Contents



**Figure 1.** Honeycomb lattice for color code

## 1 Introduction

## 2 Color code

### 2.1 Definition

Though 2d color code can be defined on any three-colorable lattice, trivalent lattice. For simplicity, we restrict our attention to the honeycomb lattice  $\Lambda$  (Fig. 1) in this note. We use the following notation for vertices (sites), edges, and faces (plaquettes):

$$V(\Lambda) := \{\text{vertices in } \Lambda\}, \quad E(\Lambda) := \{\text{edges in } \Lambda\}, \quad F(\Lambda) := \{\text{faces in } \Lambda\} \quad (2.1)$$

The spin degree of freedom, namely the qubit, is placed on every  $v \in V(\Lambda)$ , for which the total state Hilbert space

$$\mathcal{H}_{\text{tot}} := \bigotimes_{v \in V(\Lambda)} \mathbb{C}_v^2, \quad (2.2)$$

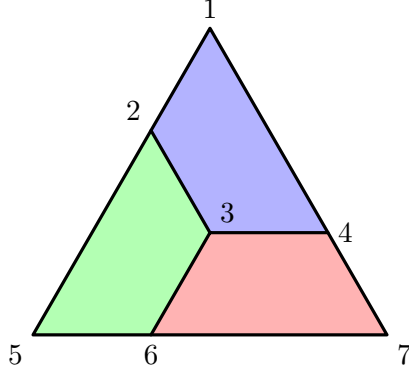
is the tensor product of the local Hilbert space  $\mathbb{C}_v^2$ . There are plaquette  $X$  and  $Z$  operators defined by

$$S_X^p := \begin{array}{c} \textcircled{X} \\ \diagup \quad \diagdown \\ \textcircled{X} \quad \textcircled{X} \\ \diagdown \quad \diagup \\ \textcircled{X} \end{array}, \quad S_Z^p := \begin{array}{c} \textcircled{Z} \\ \diagup \quad \diagdown \\ \textcircled{Z} \quad \textcircled{Z} \\ \diagdown \quad \diagup \\ \textcircled{Z} \end{array}, \quad (2.3)$$

The Hamiltonian is given by

$$H_{CC} = - \sum_{p \in F(\Lambda)} S_X^p - \sum_{p \in F(\Lambda)} S_Z^p. \quad (2.4)$$

This Hamiltonian is frustration-free (consists of commuting projectors) since each plaquette shares 0, 2 or 6, all even number of vertices with other plaquettes, so the ground state



**Figure 2.** Trivalent 3-colorable lattice for Steane code.

$|\Psi_{GS}\rangle \in \mathcal{H}_{\text{tot}}$  satisfies

$$S_X^p |\Psi_{GS}\rangle = |\Psi_{GS}\rangle, \quad S_Z^p |\Psi_{GS}\rangle = |\Psi_{GS}\rangle. \quad (2.5)$$

If one of vertices of a plaquette (hexagon)

## 2.2 Example: Steane code

Steane code [?] ]

$$\begin{array}{lll} \text{X-type} & s_1^X := X_1 X_2 X_3 X_4, & s_2^X := X_2 X_3 X_5 X_6, & s_3^X := X_3 X_4 X_6 X_7 \\ \text{Z-type} & s_1^Z := Z_1 Z_2 Z_3 Z_4, & s_2^Z := Z_2 Z_3 Z_5 Z_6, & s_3^Z := Z_3 Z_4 Z_6 Z_7 \end{array} \quad (2.6)$$

The number of logical qubits is

$$\underbrace{7}_{\# \text{ of vertices}} - \underbrace{6}_{\# \text{ of stabilizers}} = 1, \quad (2.7)$$

whose explicit form is

$$| \rangle \quad (2.8)$$

Steane code can correct any single qubit errors. For example, the  $X_2$  error has the error syndrome 000110 and the  $Y_1$  error has the error syndrome 100100. Steane code has transversal logical operators

$$\bar{X} := X_1 X_2 X_3 X_4 X_5 X_6 X_7, \quad \bar{Y} := -Y_1 Y_2 Y_3 Y_4 Y_5 Y_6 Y_7, \quad \bar{Z} := Z_1 Z_2 Z_3 Z_4 Z_5 Z_6 Z_7 \quad (2.9)$$

## 2.3 Equivalence of color code to two copies of toric codes

## 2.4 Color code alone is insufficient for universal quantum computing

There is also a comprehensive review talk on Youtube [1].

Theorem 2.1: Gottesman-Ghuhag theorem [2]

The clifford group  $\langle H, \text{CNOT}, S \rangle$ , augmented by  $T$ -gate, achieves the universal quantum computation.

Theorem 2.2: Eastin-Knill theorem [3]

For any non-trivial error detecting quantum code, the set of transversal logical operators is not universal.

### 3 Foundational concepts: from stabilizer codes to topological subsystem codes

To fully appreciate the structure and utility of the 3D gauge color code, it is essential to first establish the theoretical framework upon which it is built. This section reviews the progression from the standard stabilizer formalism to the more general and powerful concept of subsystem codes, culminating in the geometric principles that underpin all color codes.

#### 3.1 The stabilizer formalism

The stabilizer formalism provides a powerful and efficient language for describing a vast and important class of quantum error-correcting codes (QECCs), known as stabilizer codes.[4] This formalism replaces the cumbersome description of quantum states via state vectors in a Hilbert space of dimension  $2^n$  with a more compact description based on a set of commuting operators.

Consider a system of  $n$  qubits, whose Hilbert space is  $\mathcal{H} = (\mathbb{C}^2)^{\otimes n}$ . The Pauli group on  $n$  qubits,  $\mathcal{P}_n$ , consists of all  $n$ -fold tensor products of Pauli matrices  $\{I, X, Y, Z\}$ , multiplied by phase factors  $\{\pm 1, \pm i\}$ . A stabilizer code is defined by an Abelian subgroup  $\mathcal{S} \subset \mathcal{P}_n$  that does not contain  $-I$ . This group  $\mathcal{S}$  is called the stabilizer group. The code space,  $\mathcal{C}$ , is the subspace of  $\mathcal{H}$  that is stabilized by every element of  $\mathcal{S}$ :

$$\mathcal{C} = \{|\psi\rangle \in \mathcal{H} \mid S|\psi\rangle = |\psi\rangle, \forall S \in \mathcal{S}\}. \quad (3.1)$$

The code space is thus the simultaneous  $+1$  eigenspace of all operators in the stabilizer group. If  $\mathcal{S}$  is generated by  $s$  independent generators, the code space has dimension  $2^k$ , where  $k = n - s$  is the number of encoded logical qubits.

Logical operations are performed by operators that preserve the code space. These logical operators are elements of the Pauli group that commute with every element of the stabilizer group. The set of such operators forms the centralizer of  $\mathcal{S}$  in  $\mathcal{P}_n$ , denoted  $Z(\mathcal{S})$ . The logical Pauli group, which describes the algebra of operations on the encoded qubits, is given by the quotient group  $\bar{\mathcal{P}} \cong Z(\mathcal{S})/\mathcal{S}$ . Operators in  $Z(\mathcal{S}) \setminus \mathcal{S}$  are the logical operators, while operators in  $\mathcal{S}$  act trivially on the code space.

Physically, a stabilizer code can be realized as the degenerate ground state subspace of a local, gapped Hamiltonian. A typical construction for such a Hamiltonian is:

$$H = - \sum_{i=1}^s J_i S_i, \quad (3.2)$$

where  $\{S_i\}$  is a set of generators for  $\mathcal{S}$  and  $J_i > 0$  are energy penalties.[2, 3] The ground state of this Hamiltonian is any state  $|\psi\rangle \in \mathcal{C}$ , as this maximizes the energy eigenvalue for each term. Excitations of this system correspond to violations of the stabilizer conditions, i.e., states where  $S_i|\psi\rangle = -|\psi\rangle$  for some  $i$ . Error correction involves measuring the eigenvalues of the stabilizer generators to detect these excitations (the error syndrome) and applying a corresponding correction operator from  $Z(\mathcal{S})$  to return the system to the ground state.

### 3.2 Subsystem codes and gauge symmetries

Subsystem codes represent a critical generalization of the stabilizer formalism, providing additional structure that can be exploited for quantum computation.[4, 5] The defining feature of a subsystem code is the decomposition of the code space into a tensor product of a logical subsystem, which stores the quantum information, and a gauge subsystem, which consists of degrees of freedom that can be manipulated without affecting the logical information. The total Hilbert space is decomposed as:

$$\mathcal{H} = (\mathcal{H}_L \otimes \mathcal{H}_G) \oplus \mathcal{H}_{\text{err}}, \quad (3.3)$$

where  $\mathcal{H}_L$  is the logical subsystem,  $\mathcal{H}_G$  is the gauge subsystem, and  $\mathcal{H}_{\text{err}}$  is the orthogonal error space.

This structure is defined by a non-Abelian subgroup of the Pauli group,  $\mathcal{G} \subset \mathcal{P}_n$ , known as the gauge group. The stabilizer group  $\mathcal{S}$  is now defined as the center of the gauge group,  $\mathcal{S} = Z(\mathcal{G})$ . [4, 6] The code space  $\mathcal{C} = \mathcal{H}_L \otimes \mathcal{H}_G$  is the +1 eigenspace of the stabilizer group  $\mathcal{S}$ , as before. However, the operators in  $\mathcal{G} \setminus \mathcal{S}$ , known as gauge operators, are not required to be the identity on the code space. Instead, they act non-trivially only on the gauge subsystem  $\mathcal{H}_G$ .

Logical operators must commute with all elements of the gauge group  $\mathcal{G}$ . The set of such operators is the centralizer  $C(\mathcal{G})$ . These are called *bare* logical operators, and they act as  $L \otimes I_G$  on the code space. The logical Pauli group is given by the quotient  $C(\mathcal{G})/\mathcal{S}$ . In contrast, operators that commute only with the stabilizers in  $\mathcal{S}$  but not necessarily all of  $\mathcal{G}$  are called *dressed* logical operators. These can act non-trivially on both the logical and gauge subsystems. This distinction is paramount for understanding the mechanism of gauge fixing.

The Hamiltonian for a subsystem code is constructed analogously to Eq. 3.2, penalizing only the stabilizer generators:

$$H_{\text{subsystem}} = - \sum_{S_i \in \text{gens}(\mathcal{S})} J_i S_i. \quad (3.4)$$

Crucially, the gauge operators  $g \in \mathcal{G} \setminus \mathcal{S}$  commute with this Hamiltonian,  $[H_{\text{subsystem}}, g] = 0$ , but are not energetically penalized.[5] This leads to a massive ground state degeneracy corresponding to the gauge degrees of freedom. The progression from stabilizer to subsystem codes is not merely a mathematical generalization; it is a physical paradigm shift. It introduces local degrees of freedom ( $\mathcal{H}_G$ ) that are not part of the protected logical information but can be manipulated and measured without disturbing it. This is the key resource

that the gauge color code exploits for its advanced features. Measuring a gauge operator projects the system into an eigenspace of that operator, yielding information about the state of the gauge qubits without affecting the logical qubits. The gauge system thus acts as a built-in, local ancilla system that can be used for tasks like syndrome measurement and implementing logical gates, a resource absent in standard stabilizer codes.

### 3.3 Geometric foundation: the $D$ -colex

Topological stabilizer codes, including color codes, are defined on geometric lattices. The specific structure required for color codes is a  $D$ -dimensional cell complex called a  $D$ -colex.[8, 9] The defining properties of a  $D$ -colex are:

1. **Valence:** Every vertex has a coordination number of  $D + 1$ .
2. **Colorability:** The  $(D - 1)$ -cells (facets) incident on any given vertex can be colored with  $D + 1$  distinct colors. This implies that the  $D$ -cells of the lattice are  $(D + 1)$ -colorable, meaning no two adjacent  $D$ -cells share the same color.

For the 3D gauge color code, we are interested in the  $D = 3$  case. A 3-colex is a tiling of 3D space by polyhedral cells such that the underlying graph is 4-valent (each vertex is shared by four edges) and the 3-cells are 4-colorable.[10, 11, 12] Let the four colors be red (r), green (g), blue (b), and yellow (y). This coloring property is fundamental to the definition of the code's operators. Examples of such lattices include the great rhombated cubic honeycomb (also known as the cantitruncated cubic honeycomb) and related tetrahedral lattices.[10, 13]

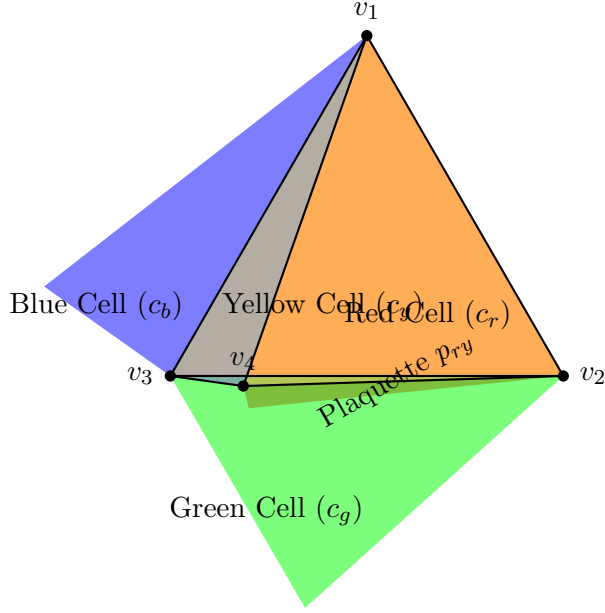
It is often convenient to work with either the primal lattice or its dual. In the primal picture, qubits are typically placed on the vertices of the 4-valent lattice.[6] In the dual picture, qubits are associated with the 3-cells (tetrahedra in many constructions) of the dual lattice.[4] The operators are then defined with respect to the geometry of this lattice, with locality being inherited from the lattice connectivity. Figure 3 provides a schematic of a 3-colex structure.

## 4 A rigorous definition of the 3D gauge color code

Building upon the foundational concepts, this section provides the precise mathematical and geometric definition of the 3D gauge color code. We specify the lattice, the placement of qubits, and the explicit algebraic forms of the gauge and stabilizer operators that define the code and its associated Hamiltonian.

### 4.1 Lattice construction and qubit placement

We will define the code on a 3-colex, specifically focusing on a lattice whose dual is composed of tetrahedra. This corresponds to the cantitruncated cubic honeycomb lattice.[10, 13] For clarity, we will primarily use the primal picture, where physical qubits are located at the vertices of this 4-valent, 4-colorable lattice.[14]



**Figure 3.** A schematic representation of a 3-colex lattice structure. A central yellow 3-cell ( $c_y$ ) is shown as a tetrahedron with vertices  $v_1, v_2, v_3, v_4$ . It is adjacent to red, green, and blue cells, illustrating the 4-colorability property. A gauge operator would be associated with a plaquette (face) like  $p_{ry}$  shared between the red and yellow cells, while a stabilizer operator would be associated with an entire cell like  $c_y$ .

Let  $\mathcal{L}$  be the 3-colex lattice. We denote the set of its vertices as  $V(\mathcal{L})$ , edges as  $E(\mathcal{L})$ , plaquettes (2-cells) as  $P(\mathcal{L})$ , and 3-cells as  $C(\mathcal{L})$ . A physical qubit is placed at each vertex  $v \in V(\mathcal{L})$ . The total number of qubits is  $n = |V(\mathcal{L})|$ . Figure 4 illustrates the relationship between the primal lattice where qubits reside and the dual lattice which is often used to visualize the structure of operators.

## 4.2 The gauge and stabilizer groups

The operators of the 3D gauge color code are defined locally with respect to the geometry of the 3-colex. The code is a CSS (Calderbank-Shor-Steane) code, meaning its generators are tensor products of only  $X$  or only  $Z$  operators.[8, 10]

### 4.2.1 Gauge group $\mathcal{G}$

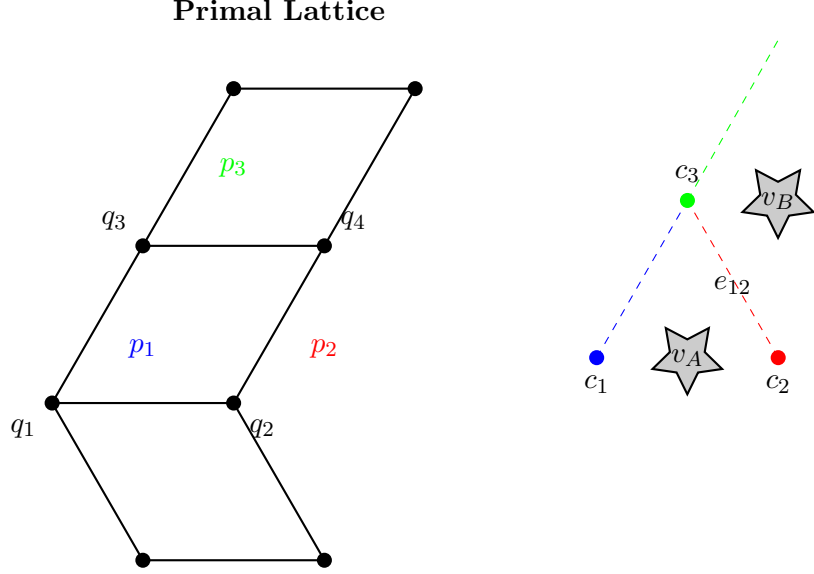
The gauge group  $\mathcal{G}$  is generated by plaquette operators. For each plaquette  $p \in P(\mathcal{L})$ , there are two generators, one of  $X$ -type and one of  $Z$ -type:

$$G_p^X = \prod_{v \in \partial p} X_v, \quad (4.1)$$

$$G_p^Z = \prod_{v \in \partial p} Z_v, \quad (4.2)$$

where  $\partial p$  denotes the set of vertices on the boundary of the plaquette  $p$ , and  $X_v, Z_v$  are the Pauli operators acting on the qubit at vertex  $v$ . [7] The full gauge group is the group





**Figure 4.** A 2D schematic illustrating the relationship between the primal and dual lattices for a color code. **Left (Primal):** Qubits ( $q_i$ ) are placed on vertices. Operators are associated with plaquettes ( $p_i$ ). A plaquette operator for  $p_1$  would act on qubits  $q_1, q_2, q_3, q_4$ . **Right (Dual):** Vertices of the dual lattice ( $c_i$ ) correspond to cells (here, plaquettes) of the primal lattice. Edges of the dual lattice ( $e_{12}$ ) correspond to faces (here, edges) shared between primal cells. Vertices of the primal lattice ( $v_A, v_B$ ) correspond to cells of the dual lattice. In 3D, primal vertices correspond to dual 3-cells (tetrahedra), and primal 3-cells correspond to dual vertices.

generated by all such operators for all plaquettes in the lattice:

$$\mathcal{G} = \langle \{G_p^X, G_p^Z\}_{p \in P(\mathcal{L})} \rangle. \quad (4.3)$$

These operators are local, typically involving 4 or 6 qubits for common lattice geometries.[2, 4]

#### 4.2.2 Stabilizer group $\mathcal{S}$

The stabilizer group  $\mathcal{S}$  is generated by 3-cell operators. For each 3-cell  $c \in C(\mathcal{L})$ , there are two generators:

$$S_c^X = \prod_{v \in \partial c} X_v, \quad (4.4)$$

$$S_c^Z = \prod_{v \in \partial c} Z_v, \quad (4.5)$$

where  $\partial c$  is the set of all vertices on the boundary of the cell  $c$ . [7] The stabilizer group is generated by all such cell operators:

$$\mathcal{S} = \langle \{S_c^X, S_c^Z\}_{c \in C(\mathcal{L})} \rangle. \quad (4.6)$$

The weight of these operators (the number of qubits they act on) can be large, often involving dozens of qubits, which makes them impractical to measure directly.[8]

The structure of the code arises from the geometric relationship between cells and plaquettes. The boundary of any 3-cell  $c$  is a closed 2D surface composed of plaquettes. A key property of the colex is that the product of plaquette operators over the boundary of a cell is the identity operator. For the  $X$ -type operators, for instance:

$$\prod_{p \in \partial c} G_p^X = \prod_{p \in \partial c} \left( \prod_{v \in \partial p} X_v \right) = \mathbb{I}. \quad (4.7)$$

This is because each edge in the interior of the surface  $\partial c$  is shared by exactly two plaquettes, so the Pauli  $X$  operators on the vertices of that edge cancel in pairs. This implies that the cell operators are not independent of the plaquette operators; rather, they represent constraints among them. It also follows that the cell operators commute with all plaquette operators, establishing that  $\mathcal{S} \subseteq Z(\mathcal{G})$ . In fact, for the color code construction, it can be shown that  $\mathcal{S} = Z(\mathcal{G})$ , fulfilling the definition of a subsystem code. This nested structure of operators, where stabilizers are products of gauge operators, is a direct geometric consequence of the colex structure and the homological principle that the “boundary of a boundary is empty.” This mathematical property is the ultimate source of the code’s rich structure.

### 4.3 The code hamiltonian and ground state subspace

The 3D gauge color code can be understood as the ground state subspace of a local, gapped Hamiltonian constructed from its stabilizer generators. The most direct form of this Hamiltonian is:

$$H = - \sum_{c \in C(\mathcal{L})} (J_c^X S_c^X + J_c^Z S_c^Z), \quad (4.8)$$

where the sums run over all 3-cells of the lattice, and  $J_c^X, J_c^Z > 0$  are positive coupling constants that set the energy scale.[3, 16]

The ground states of this Hamiltonian are the states  $|\psi\rangle$  that are simultaneous  $+1$  eigenstates of all stabilizer generators:

$$S_c^X |\psi\rangle = |\psi\rangle \quad \text{and} \quad S_c^Z |\psi\rangle = |\psi\rangle, \quad \forall c \in C(\mathcal{L}). \quad (4.9)$$

This defines the code space  $\mathcal{C}$ . Any state outside this subspace has a higher energy, with the energy gap to the first excited state (where one stabilizer is violated) being on the order of  $\min(J_c)$ .

Importantly, the gauge operators  $G_p$  all commute with this Hamiltonian,  $[H, G_p] = 0$ , but they are not included in the sum. This means there is no energy penalty associated with the eigenvalues of the gauge operators. This absence of an energy term for gauge operators leads to a massive degeneracy in the ground state manifold, which corresponds precisely to the logical and gauge degrees of freedom,  $\mathcal{C} = \mathcal{H}_L \otimes \mathcal{H}_G$ .

### 4.4 Logical operators and encoded information

The logical operators encode the quantum information and act non-trivially on the logical subsystem  $\mathcal{H}_L$ . As with other topological codes, these operators are non-local,

meaning they act on a large number of physical qubits spread across the system. Their non-locality is the source of the code's robustness against local errors.

The structure of the logical operators depends on the topology of the 3-manifold on which the lattice is embedded.

- **On a 3-Torus ( $T^3$ ):** A 3D color code on a 3-torus encodes three logical qubits ( $k = 3$ ). [9] The logical operators correspond to the non-trivial 1-cycles and 2-cycles of the torus. For example, the three logical  $\bar{Z}$  operators,  $\{\bar{Z}_1, \bar{Z}_2, \bar{Z}_3\}$ , can be represented as products of single-qubit  $Z$  operators along the three non-contractible loops of the torus. The corresponding logical  $\bar{X}$  operators,  $\{\bar{X}_1, \bar{X}_2, \bar{X}_3\}$ , are products of single-qubit  $X$  operators over 2D surfaces (membranes) that wrap around the torus and intersect their corresponding loop once. [3, 12]
- **With Boundaries (e.g., Tetrahedral Code):** For a code with boundaries, the number of logical qubits depends on the number and type of boundaries. A common construction is the tetrahedral color code, which has four distinct, uniformly colored boundaries and encodes a single logical qubit ( $k = 1$ ). [14, 15] In this case, the logical operators can be supported on the boundaries. For example, a bare logical operator can be defined as the product of Pauli operators over all qubits on a given boundary surface [8, 14]:

$$\bar{X}_L = \prod_{v \in \text{boundary}_1} X_v, \quad \bar{Z}_L = \prod_{v \in \text{boundary}_2} Z_v. \quad (4.10)$$

These operators commute with all stabilizer generators  $S_c$  (as their support surfaces have no boundary in the bulk) and with all gauge generators  $G_p$  (as they are highly non-local), thus qualifying them as bare logical operators. The distance of the code,  $d$ , is the minimum weight of a non-trivial logical operator.

## 5 The physical imperative: fault-tolerant quantum computation

The intricate mathematical structure of the 3D gauge color code is not an end in itself; it is motivated by a profound physical goal: the realization of a universal, fault-tolerant quantum computer. This section details the remarkable properties of the code that make it a leading candidate for such an architecture, distinguishing it from other topological codes.

### 5.1 A rich set of transversal gates

A transversal gate is a logical operation that can be implemented by applying a tensor product of single-qubit gates to the physical qubits of the code. Such gates are inherently fault-tolerant because they do not propagate errors within a code block. [4] While many topological codes are limited to the transversal Clifford group (Hadamard, Phase, CNOT), the 3D color code family possesses a richer set of transversal gates.

A key result is that the 3D color code, defined on a generic 3-manifold, admits a transversal Controlled-Controlled-Z (CCZ) gate. [10] The CCZ gate is a non-Clifford gate, and its availability as a transversal operation is a significant advantage, as non-Clifford

gates are essential for universal quantum computation. This property is deeply connected to the topology of the underlying manifold, specifically to a topological invariant known as the triple intersection number. The existence of such a gate points to a profound link between the computational capabilities of the code and an underlying mathematical structure known as a higher-form symmetry.[10]

## 5.2 Universality through gauge fixing

The most celebrated feature of the 3D gauge color code is its ability to achieve a universal set of logical gates through a dynamic process called gauge fixing.[2, 4, 9, 17, 18] This allows the code to circumvent the constraints of the Eastin-Knill theorem, which proves that no single quantum code can have a universal set of transversal, fault-tolerant logical gates.

The 3D gauge color code does not violate the theorem; it cleverly sidesteps it. The theorem applies to a *fixed* topological code. Gauge fixing is a local quantum operation that reversibly *changes the code* from one topological phase (the subsystem gauge color code) to another (a conventional stabilizer color code). Each code in this family individually obeys the theorem, but the ability to switch between them fault-tolerantly provides overall universality. The process unfolds as follows:

1. **Initial State:** The system is in the ground state of the 3D gauge color code Hamiltonian (Eq. 4.8). In this state, a certain set of gates (e.g., CNOT,  $R_3 = \text{diag}(1, e^{i\pi/4})$ ) is transversal. The Hadamard gate, however, is not.
2. **Gauge Fixing:** To perform a Hadamard gate, one measures a complete set of gauge generators of one type, for example, all  $X$ -type plaquette operators  $\{G_p^X\}$ . This is a series of local measurements.
3. **Classical Processing and Correction:** The measurement outcomes ( $\pm 1$ ) are processed classically. Based on these outcomes, a correction operator, which is a product of  $Z$ -type gauge generators, is applied to the system to force all measured gauge operators into the  $+1$  eigenstate. This procedure “fixes the gauge.”
4. **Code Switching:** After gauge fixing, the measured  $\{G_p^X\}$  operators effectively become stabilizers of a new code. The system is now in the ground state of a conventional 3D color code, which is no longer a subsystem code. This new code, however, *does* have a transversal Hadamard gate.
5. **Gate Application:** The transversal Hadamard gate is applied to the logical qubit.
6. **Returning to the Gauge Code:** The process can be reversed to return to the original gauge color code, ready for the next operation. This can be done by simply “forgetting” the gauge choice.

This dynamic code switching provides a full, universal gate set— $\{H, \text{CNOT}, R_3\}$ —implemented through local quantum operations (measurements and single-qubit gates) and classical computation, without the need for resource-intensive techniques like magic state distillation.[2, 8, 9]

### 5.3 Single-shot error correction

A major practical challenge in fault-tolerant quantum computing is the unreliability of syndrome measurements themselves. Conventional codes like the 2D surface code require multiple rounds of measurement to distinguish between genuine data errors and measurement faults, which significantly increases the time overhead of a quantum computation.[6]

The 3D gauge color code overcomes this challenge by enabling *single-shot error correction*. [8, 13, 17, 20, 21] The key lies in the redundancy provided by the gauge group. Instead of measuring the large, non-local stabilizer operators  $S_c$  directly, one measures all the small, local gauge operators  $G_p$ . The stabilizer syndrome can then be computed classically, since each  $S_c$  is a product of specific  $G_p$ 's.

A measurement error on a single gauge operator, say  $G_p^X$ , will be detected because it will cause a violation of the cell constraints. For the two cells  $c_1, c_2$  that share the plaquette  $p$ , the classically computed values for the stabilizers  $S_{c_1}^X$  and  $S_{c_2}^X$  will flip to  $-1$ . This unique signature—two adjacent cell violations—points directly to a measurement error on the shared plaquette. The decoder can use this information about the “syndrome of the syndrome” to infer the locations of both data qubit errors (which typically create pairs of non-adjacent cell violations) and measurement errors within a single round of measurements.[6, 22] This has the potential to dramatically reduce the latency of quantum error correction cycles. A simple decoding algorithm for this scheme has been shown to achieve a promising threshold error rate of approximately 0.31% against phenomenological noise.[11]

### 5.4 Dimensional jumps for hybrid architectures

The principles of gauge fixing also enable a remarkable procedure known as a “dimensional jump,” allowing for fault-tolerant conversion between 2D and 3D color codes.[6, 8, 23] A 3D tetrahedral color code is bounded by facets that are themselves 2D triangular color code lattices. By fixing the gauge on the plaquettes of one such facet, one can effectively decouple the degrees of freedom on that facet from the bulk. This procedure splits the single 3D code into a 2D color code on the facet and a smaller 3D color code in the remaining bulk.

This capability opens the door to novel hybrid quantum computing architectures. One can envision a large-scale quantum computer where information is primarily stored in a dense, 2D stack of color codes, which act as a robust quantum memory. For computation, logical qubits can be fault-tolerantly “teleported” or swapped into a dedicated 3D region of the processor where the universal gate set is available. After computation, the results are returned to the 2D memory stack. This approach aims to combine the lower qubit overhead and simpler connectivity of 2D storage with the superior computational power of 3D codes.[8]

To contextualize the advantages of the 3D gauge color code, Table 1 provides a comparative analysis with the 2D surface code and the 3D toric code, two of the most widely studied topological codes.

**Table 1.** Comparative Analysis of Leading Topological QEC Codes

Feature	2D Surface Code	3D Toric Code	3D Gauge Color Code
Spatial Dimensions	2D	3D	3D
Transversal Gate Set	Clifford (H, S, CNOT)	Clifford (H, S, CNOT)	CCZ; Universal set via gauge fixing
Path to Universality	Magic State Distillation	Magic State Distillation	Gauge Fixing
Error Correction	Multi-round syndrome measurement	Multi-round syndrome measurement	Single-shot error correction
Operator Weight	4-body stabilizers	6-body plaquette, 4-body star stabilizers	4- or 6-body gauge, 12+ body stabilizers
Key Strength	Simplicity, high threshold	Self-correction (in 4D)	Universal transversal gates, single-shot correction
Key Weakness	High overhead for magic states	High overhead for magic states, no clear path to universality in 3D	Lower error threshold (currently), more complex lattice structure

## 6 Context within modern condensed matter physics

The 3D gauge color code is more than an engineering solution for quantum computation; it is a rich physical system with deep connections to fundamental concepts in modern condensed matter theory. Its properties illuminate the relationships between topological order, symmetry, and computational power.

### 6.1 Topological order and equivalence to $\mathbb{Z}_2^3$ gauge theory

A surprising and profound feature of the 3D color code is that, despite its complex structure and powerful computational capabilities, it does not represent a fundamentally new or exotic phase of matter. It has been shown that the 3D color code is equivalent to three decoupled copies of the 3D toric code via a local constant-depth quantum circuit.[10, 23] The 3D toric code is the canonical example of  $\mathbb{Z}_2$  lattice gauge theory, the simplest form of 3D topological order.

This equivalence is revealed through a procedure known as “ungauging”.[10, 24] This can be thought of as a local change of basis that disentangles the degrees of freedom of the color code, revealing the underlying tensor product structure of three independent toric codes. This implies that they share the same universal properties, such as topological entanglement entropy. The excitations of the 3D color code, which appear as violations of

the stabilizer conditions, can be understood as composites of the fundamental excitations of the three toric code copies: point-like electric charges ( $e_i$ ) and loop-like magnetic fluxes ( $m_i$ ), where  $i \in \{1, 2, 3\}$  labels the copy.

This result carries a significant implication. The advanced computational power of the 3D color code does not stem from an exotic underlying anyon theory, as one might initially suspect. Instead, its power arises from the intricate way in which these three simple topological orders are “woven” together by the symmetries of the color lattice. The structure of the color code is a manifestation of gauging a symmetry that permutes the three toric code copies. This shifts the search for powerful new codes from finding new topological orders to finding new ways to cleverly structure and apply symmetries to existing ones.

## 6.2 Connection to symmetry-protected topological (SPT) phases

When the Hamiltonian of the 3D gauge color code is considered in the presence of its inherent symmetries, it can realize non-trivial Symmetry-Protected Topological (SPT) phases.[13, 24, 25] An SPT phase is a gapped quantum phase that is trivial (i.e., equivalent to a product state) if the protecting symmetry is broken, but exhibits non-trivial properties, such as protected edge states, as long as the symmetry is preserved.

For the 3D gauge color code, the relevant symmetries are higher-form symmetries, which act on extended objects rather than local points. Specifically, the code can be protected by a  $\mathbb{Z}_2$  1-form symmetry.[13, 25, 26] When the system is coupled to a thermal bath, if the interactions with the bath respect this 1-form symmetry, the code can function as a *symmetry-protected self-correcting quantum memory*. This means that the lifetime of the encoded quantum information can grow exponentially with the system size, provided the temperature is below a critical value. The energy barrier that protects the logical information from thermal fluctuations grows linearly with the system size, but only for errors that break the symmetry. Errors that respect the symmetry are suppressed, leading to robust information storage.

The ungauging procedure provides a formal link to SPT physics. Ungauging the stabilizer symmetries of the color code maps it to a model with global symmetries. Different choices of the stabilizer Hamiltonian (e.g., by varying coupling constants) can correspond to distinct SPT phases in the ungauged picture.[12]

## 6.3 On the frontier: relationship to fracton order

Fracton phases of matter represent a new paradigm of topological order that lies “beyond” the standard framework of topological quantum field theory.[27, 28, 29] Their defining characteristic is the presence of excitations with restricted mobility. These include *fractons*, which are point-like excitations that are strictly immobile in isolation, and *lineons* or *planons*, which are excitations constrained to move along lines or planes, respectively.

The 3D gauge color code is **not** a fracton code. Its elementary excitations are fully mobile point-like particles and loops, which is characteristic of conventional topological orders like  $\mathbb{Z}_2$  gauge theory. However, there are deep conceptual connections. Many

fracton models, such as the X-cube model, are constructed by gauging subsystem symmetries—symmetries that act on lower-dimensional subsets of the lattice, like planes or rows of qubits.[13] The 3D gauge color code, as a subsystem code with gauge operators defined on plaquettes, is a prime example of a system with subsystem symmetries, placing it in the same conceptual family as the precursors to fracton models.

Furthermore, some true Type-II fracton codes, such as Haah’s cubic code, share a specific feature with the 3D color code: the absence of string-like logical operators.[10, 27] This suggests a deeper relationship that is an active area of research. Recent work has also explored the application of the rich boundary structures of the 3D color code to the study of fractal topological codes, potentially enabling new methods for implementing fault-tolerant gates in these more exotic systems.[12]

## 7 Conclusion and outlook

The 3D gauge color code stands as a landmark achievement in the theory of quantum error correction. By generalizing the stabilizer formalism to a subsystem structure defined on a 3-colex lattice, it provides a compelling blueprint for a fault-tolerant quantum computer. Its definition, rooted in the geometry of plaquette gauge operators and cell stabilizer operators, gives rise to a trio of remarkable features that collectively address some of the most significant challenges in the field. First, its ability to achieve a universal set of logical gates via gauge fixing offers an alternative to the resource-intensive magic state distillation required by conventional topological codes. Second, its capacity for single-shot error correction promises to dramatically reduce the time overhead associated with protecting quantum information from noise, including measurement faults. Third, the mechanism of dimensional jumps suggests novel, hybrid computational architectures that optimize the trade-off between storage density and computational power.

Beyond its practical implications, the 3D gauge color code serves as a rich theoretical laboratory connecting disparate fields of modern physics. Its equivalence to three copies of  $\mathbb{Z}_2$  lattice gauge theory demonstrates that profound computational power can emerge from the symmetric entanglement of simpler topological orders. Its connection to SPT phases and the concept of a symmetry-protected quantum memory highlights the crucial role of symmetry in achieving robust information storage. Finally, its place within the broader family of codes with subsystem symmetries provides a conceptual bridge to the exotic physics of fracton order.

Despite this progress, several critical questions remain open and will guide future research:

- **Fault-Tolerance Thresholds:** While initial estimates are promising, a comprehensive analysis of the fault-tolerance threshold under a full, circuit-level noise model is required to accurately assess the resource overheads compared to established architectures like the surface code.[14, 21, 31]
- **Decoding Algorithms:** The development of highly efficient, practical decoders that can fully leverage the single-shot error correction capability of the code is a crucial



step towards implementation.[15, 20]

- **Physical Realization:** Mapping the specific 4-valent connectivity of the 3-colex lattice and the required multi-body gauge and stabilizer measurements onto realistic quantum hardware platforms remains a significant experimental challenge.
- **Theoretical Exploration:** Further investigation into the interplay of higher-form symmetries, gauge fixing, and topological order may lead to the discovery of new codes with even more powerful computational features or enhanced robustness to noise.

In conclusion, the 3D gauge color code is not merely one code among many; it is a powerful conceptual framework that has reshaped our understanding of what is possible in fault-tolerant quantum computation and has opened new avenues for exploring the deep relationship between information, symmetry, and the structure of quantum matter.

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