

# **MDHT CDA Tools User Guide**

# Contents

- Introduction..... 3
- Standards Developers and Users..... 4
  - Review CDA templates..... 4
    - Open a model..... 4
    - Using the MDHT UML Editor..... 4
  - Create a new template..... 7
  - Add template constraints..... 10
    - Change attribute list..... 10
    - Attribute constraints..... 11
    - Association constraints..... 15
    - Other general constraints..... 17
    - Severity and message..... 19
  - Publish an Implementation Guide..... 20
  - Create a new Implementation Guide..... 21
- Implementers..... 23

# Introduction

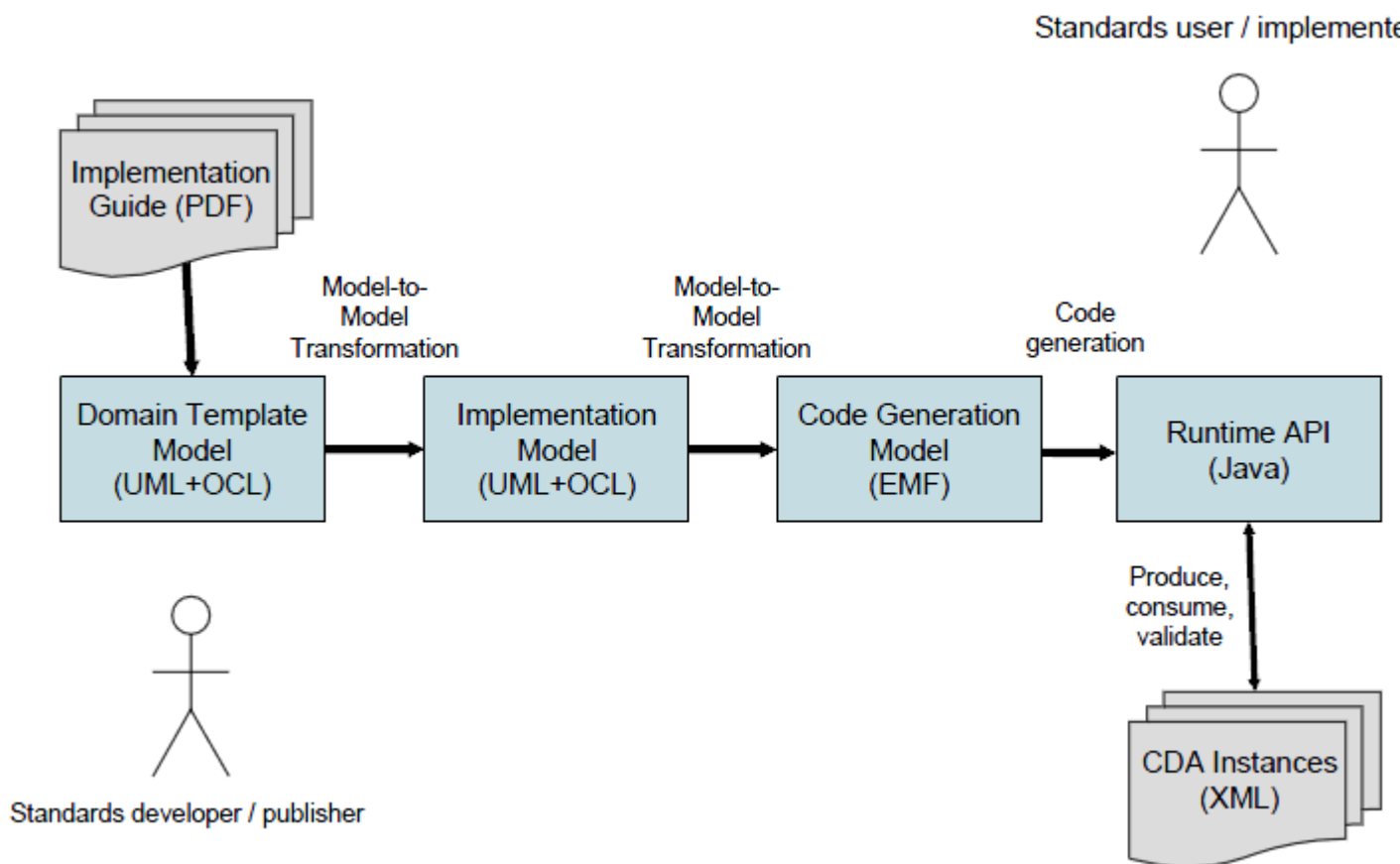
## Overview of the Model-Driven Health Tools (MDHT) CDA Tools

CDA Tools is a component within the Model-Driven Health Tools (MDHT) project and provides UML-based tools for constraining HL7 Clinical Document Architecture (CDA) to create reusable templates and implementation guides. Tooling for consumers and implementers of CDA is produced by generating runtime components from models of implementation guides. Objectives of the CDA Tools are to:

- Accelerate and lower cost of adopting CDAr2 standard.
- Provide a model-driven framework for generating runtime API that supports:
  - Domain specific API (e.g. BloodPressureReading instead of Observation)
  - Construction of instances that conform to one or more templates
  - Consumption of XML instances that deserialize into appropriate template
- Support the validation of instances against constraints defined in model.

User Roles:

- Healthcare IT Standards Developer/Publisher
  - Create new models/templates
  - Combine and extend existing models
  - Publish Implementation Guides (IG), IHE Profiles, Data Dictionaries
- Healthcare IT Standards User/Implementer
  - Use generated runtime API in healthcare data exchange applications (e.g. EMR adapters to export/import CDA instances)
  - Minor modifications to existing models/templates



# Standards Developers and Users

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Introduction to roles and requirements of CDA standards development and use.

TODO: need to expand description of CDA template and IG development process.

Roles of the standards developer and user:

- Create new models/templates
- Combine and extend existing models
- Publish Implementation Guides (IG), IHE Profiles, Data Dictionaries

## Review CDA templates

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The MDHT UML Editor provides a simplified approach to browsing and editing UML models that is especially well suited to CDA template design.

The MDHT project provides an open source UML editing solution that may be used alone, or integrated into other complete UML modeling solutions. With only minor differences in the way a table editor is opened, the same solution described below is available within Rational Software Modeler (RSM) and Eclipse Papyrus. Other Eclipse-based UML tools also may be compatible, but have not been tested.

There are several key features in this MDHT UML Editor:

- A tree navigator view of UML content in the Project Explorer.
- A spreadsheet-like table where model content may be viewed and edited.
- Properties view tabs for easy access to most common UML model value.
- Template Constraint Dialog for adding attribute constraints.
- Model validation with error markers (limited scope in this milestone).

This editing solution only supports UML classes and enumerations, the typical content of a UML class diagram, but without the diagram. Many analysts and developers of CDA templates and message structures use Excel spreadsheets for analysis. This table editor provides similar functionality, with the significant benefit that the underlying content is saved as a valid UML 2.1 model. This model may be input directly into Java code generation or reporting tools that are based on UML. And the model may be enhanced with class diagrams using other full-featured and commercial UML modeling tools.

## Open a model

Open a .uml model file using the Project Explorer view.

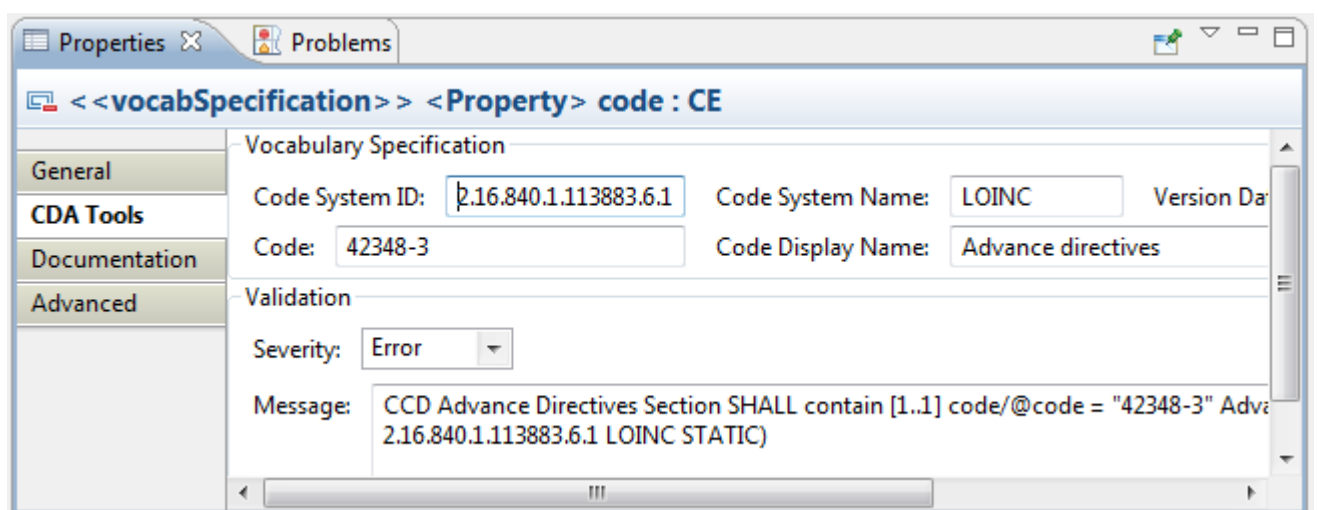
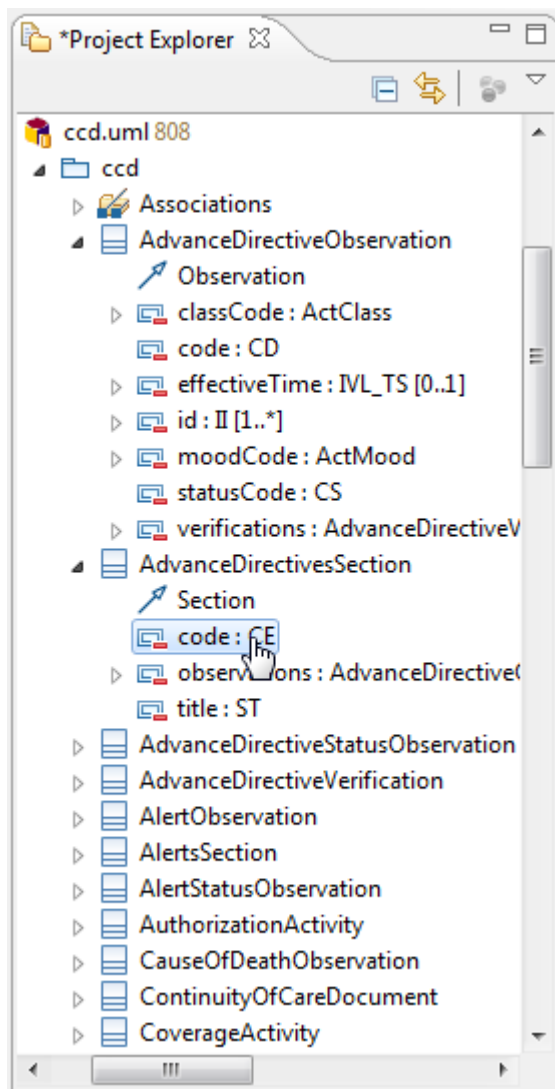
1. Assure that you are in the Resource Perspective, or some other perspective that has the Project Explorer view open. Note that the Java perspective Package Explorer view will **not** show the model tree navigator.
2. Open a UML model file (with extension .uml) by double-clicking that file in the Project Explorer, or right-clicking the file and choosing Open Model from the context menu.
3. Open the UML Table Editor by double-clicking on a .uml model file, or selecting **Open With > UML Table** from the context menu of a model element in the navigator tree. A *model element* is a package or class contained in the model; it is not the .uml file.

## Using the MDHT UML Editor

Describes using the MDHT UML Editor to review the HL7 Continuity of Care (CCD) template model.

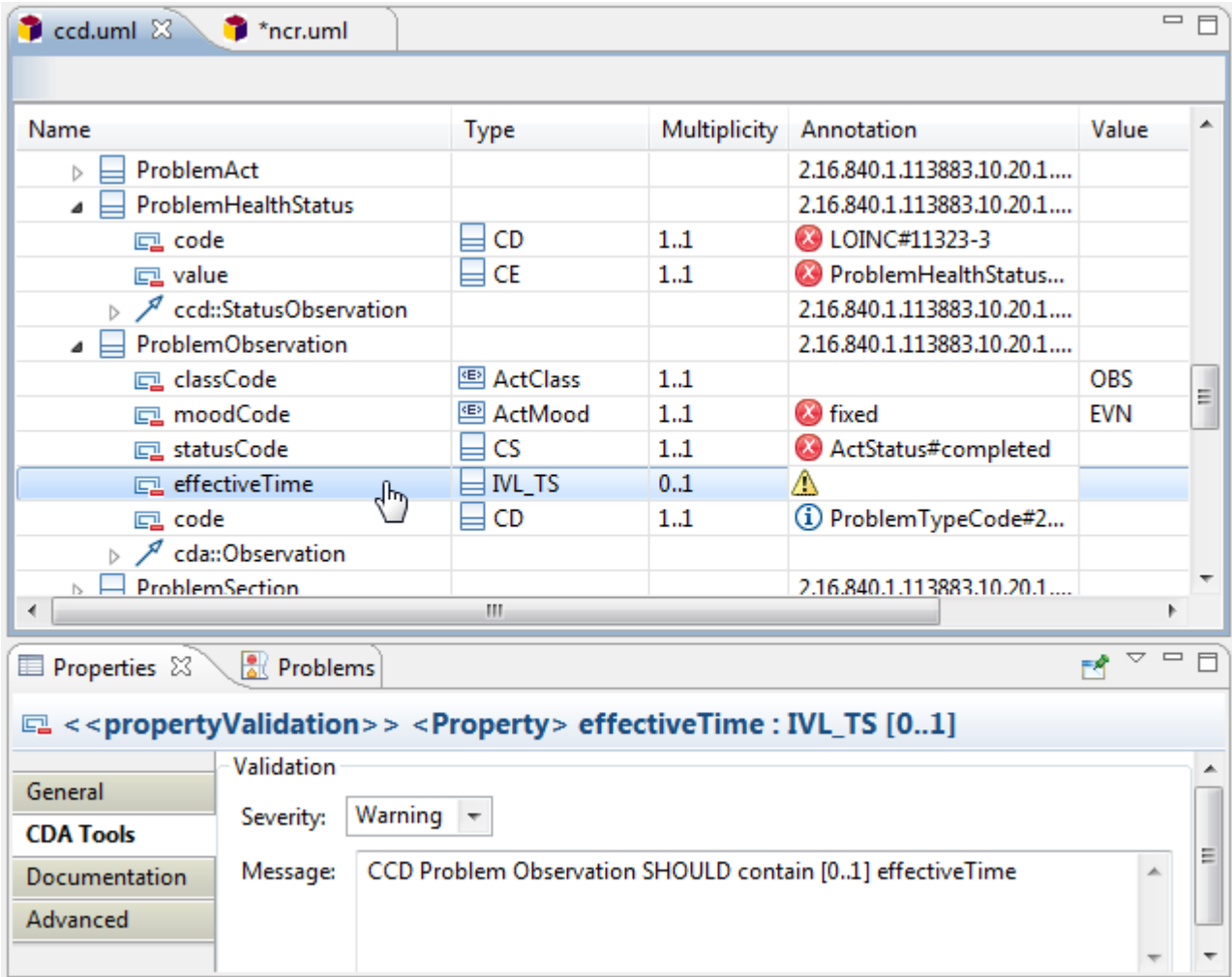
Let's start with an example of using the MDHT UML Editor to browse the HL7 Continuity of Care (CCD) template model. This is intended to be an *easy* UML editor, so not every aspect of UML is visible or editable, but we attempted to make all characteristics important to CDA template design easily accessible. We have more enhancements planned, but please let us know what would make it easier to use!

- Select a model element in either the Project Explorer navigator or in the UML Table Editor. The detailed properties of that element are shown in the Properties view. The properties are split into several tabs, one of which displays extended metadata that is specific to CDA template models.

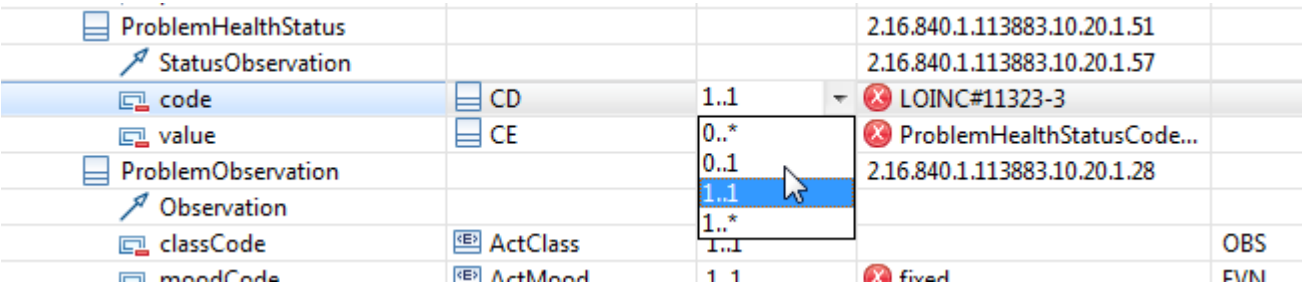


The Annotations table column shows a summary of HL7 metadata that is applied to a model element using UML stereotypes. Using the MDHT UML Editor, you don't need to know the details of how UML stereotypes are used. The CDA Tools properties tab displays entry fields for values that are appropriate for the selected model element.

In the example below, a code system constraint is assigned to the 'code' attribute, and a severity of Warning (a.k.a. SHOULD) is specified along with a message to be displayed when CDA documents are validated that don't satisfy this constraint. The severity level is shown as a graphical icon in the Annotation column.



Many of the model element properties may be edited within the table cells. To activate editing (if allowable), either double-click on a cell or press the Enter key (NOTE: the table row must be selected/highlighted *before* editing a cell within that row). In the example shown below, a class attribute's multiplicity is edited using a pull-down list. Values shown in the Annotations column are a summary of more advanced HL7 metadata; these may be modified using the CDA Tools tab in the Properties view.



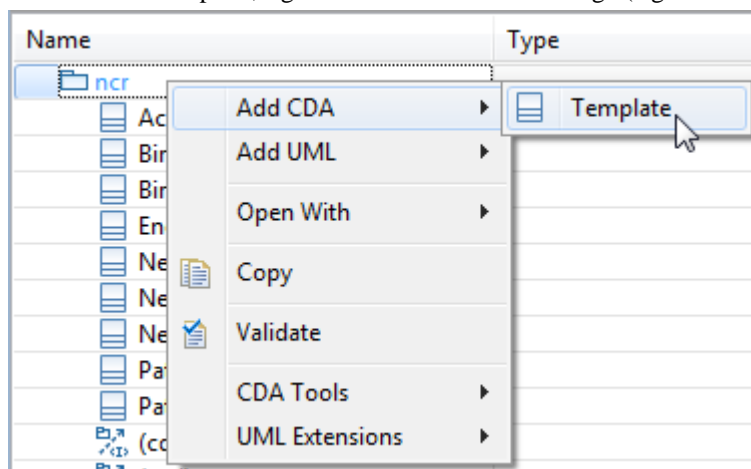
The data type of a class attribute may be modified in either the table cell or using the General tab in the Properties view. The attribute type is selected using a dialog that allows searching for any model element in one of the *currently*

*open model(s)*. If several models are open simultaneously, then classes from all models are included in the dialog list. You can quickly narrow the selection by typing the first few characters of the class name you are searching for.

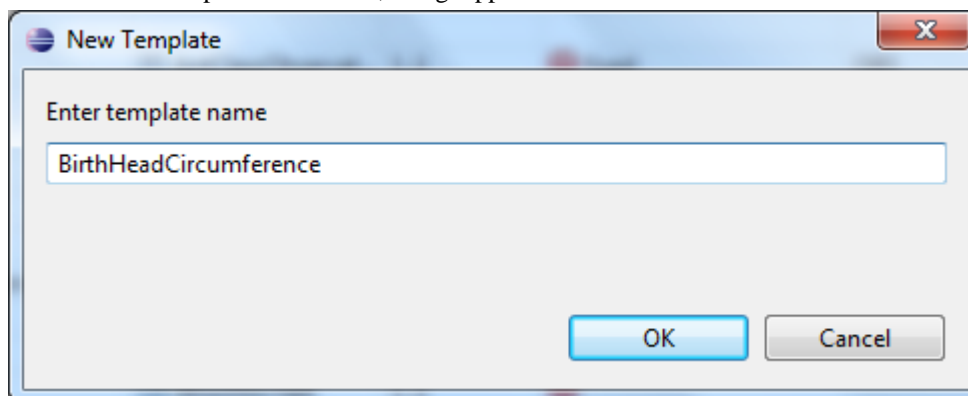
## Create a new template

Create a new template class, choose its base type, and select initial set of constrained attributes.

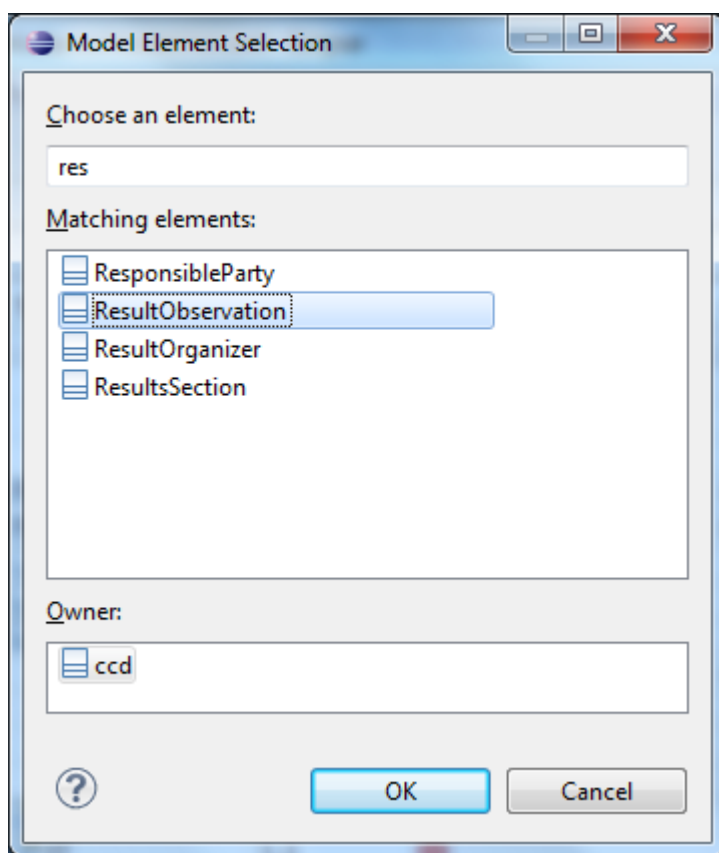
1. Select the table row for the top model package.
2. To add a new template, right-click on the model Package (e.g. 'ncr' or 'hitsp'), select **Add CDA > Template**



3. Enter the new template class name, using UpperCamelCase format.

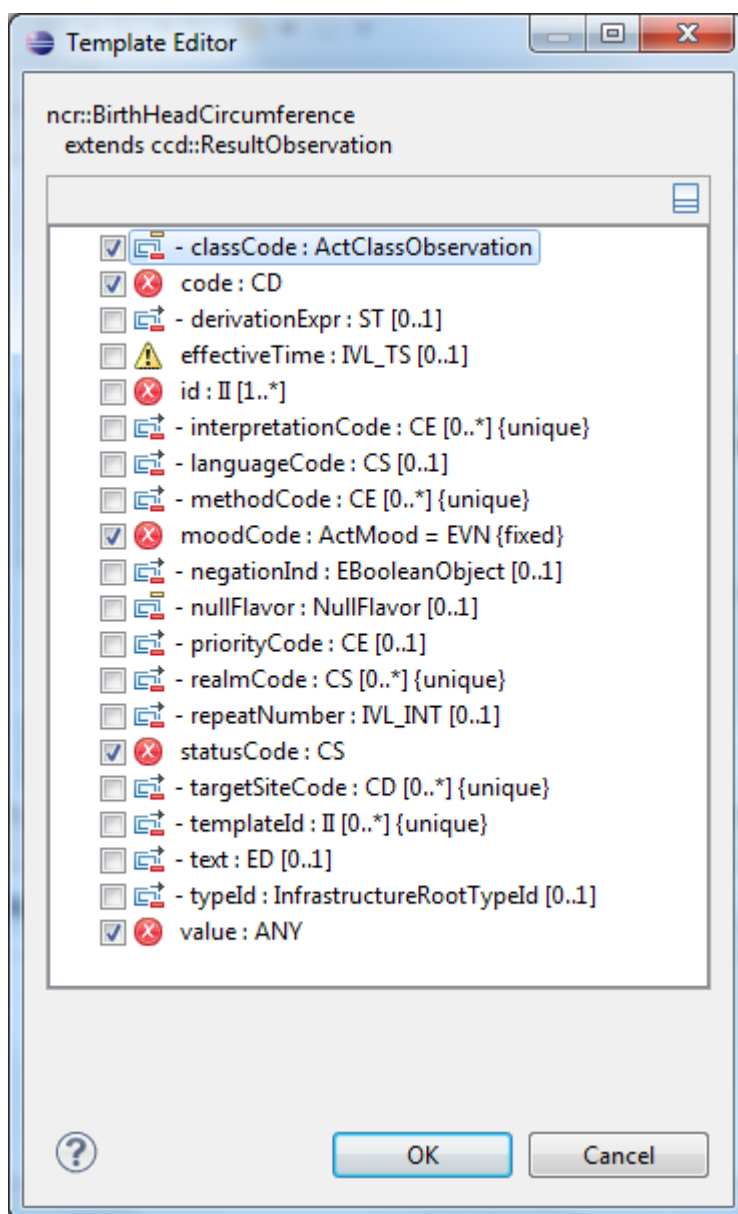


4. Select the base class that this template restricts, either a class from CDA or a parent template that this new template must conform to. You can type the first few characters of a class name and the Matching elements list will be limited to a few choices. Classes from all *currently open models* will be shown in this list. If necessary, open the model containing the base class you are searching for before invoking this dialog.

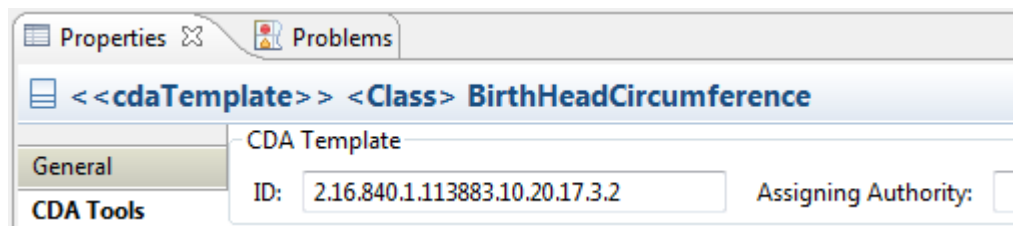


5. Add a check mark beside each inherited attribute that you want to constrain in this new template.





6. Enter the Template ID in the CDA Tools tab of the Properties view.



The following screen shows the new template class displayed in the table editor.

Name	Type	Multiplicity	Annotation	Value
ncr				
AcuityDataSection			2.16.840.1.113883.10.20.17.2.3	
BirthHeadCircumference			2.16.840.1.113883.10.20.17.3.2	
<Comment>				
classCode	ActClassObservat...	1..1		
code	CD	1..1	X	
moodCode	ActMood	1..1	X fixed	EVN
statusCode	CS	1..1	X	
value	ANY	1..1	X	
ccd::ResultObservation			2.16.840.1.113883.10.20.1.31	

## Add template constraints

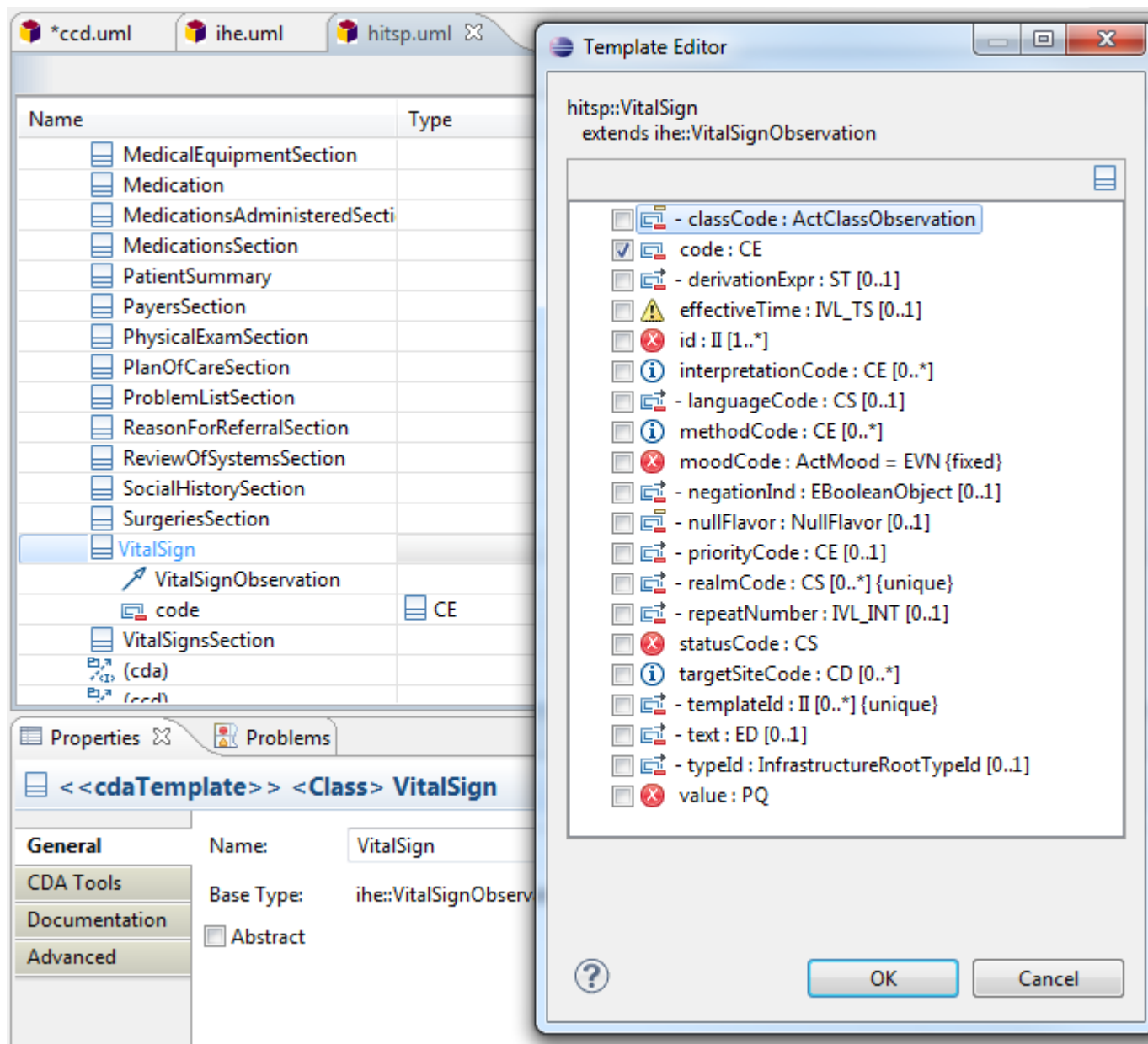
Describes all the kinds of constraints that may be added to a template class.

## Change attribute list

Change the list of constrained attributes in an existing template.

To change the list of constrained attributes in an existing template, right-click on a template class, select **CDA Tools > Open Template Editor**

In the example below, a VitalSign template in the HITSP model specializes a VitalSignObservation template in the IHE model, which specializes ResultObservation in the CCD model, which specializes Observation in CDA. The HITSP template adds an attribute constraint for 'code', but other attributes have been constrained in the IHE and CCD templates. This dialog shows the icons for info/warning/error beside inherited constraints so that you can see the aggregate effect of all templates.



## Attribute constraints

Describes each kind of constraint that may be applied to template attributes.








A template class contains attributes that restrict the content allowed by a parent template or CDA base type. A template attribute may define one or more constraints on the redefined attribute.

### Multiplicity constraint

Constrain the multiplicity of a template attribute to be more restrictive than the same attribute in its parent class.

You can only constrain an attribute with a multiplicity that is more restrictive than its parent CDA class or template. For example, you can constraint 0..1 to be 1..1, but you cannot change 1..1 to be 0..1.

1. Select the table row for the attribute you want to constrain.
2. Select the Multiplicity table cell in that row using the mouse or by navigating with the keyboard arrow keys.
3. Either double-click in that cell or press Enter to show a list of multiplicity options, or type into the cell for other specialized constraints, e.g. 1..2

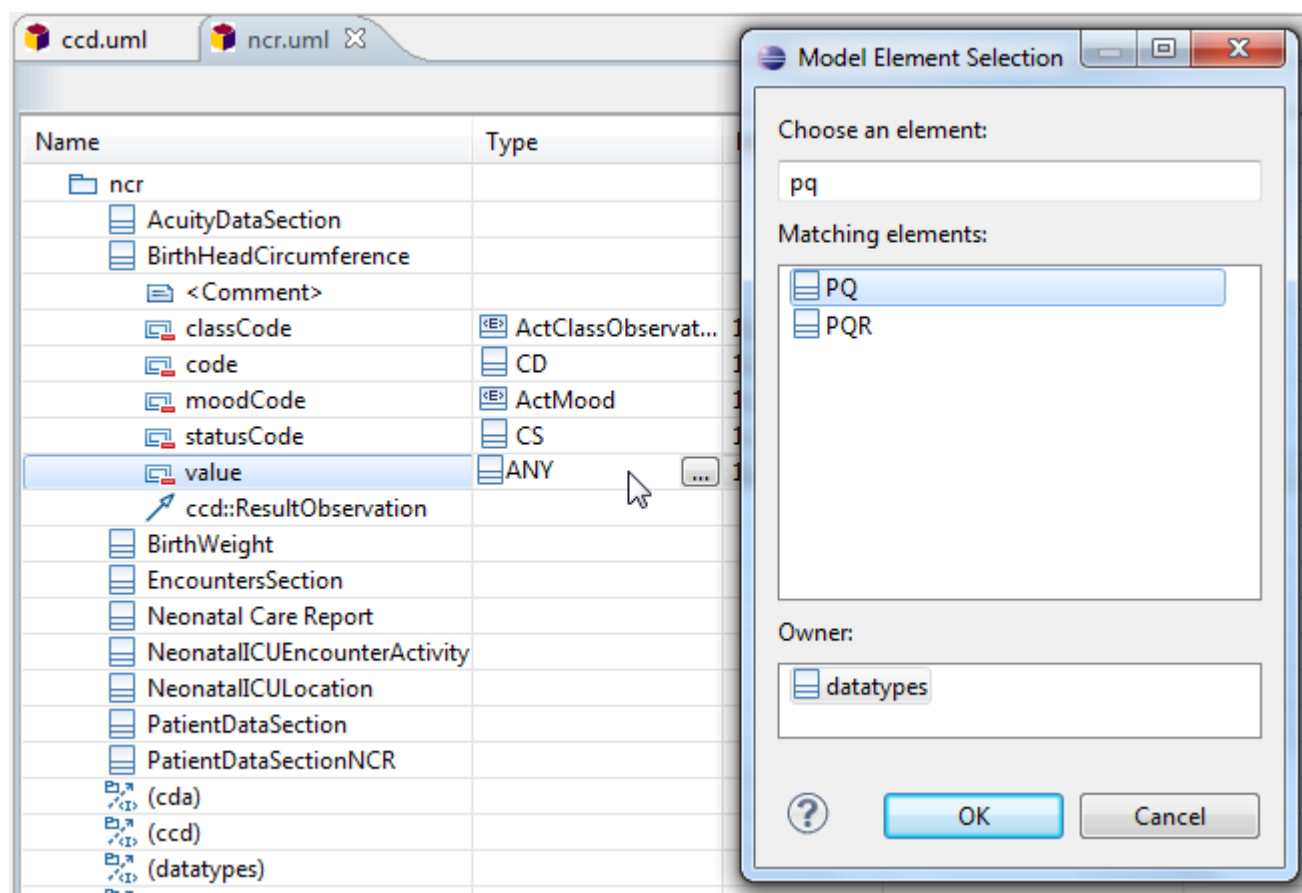
 effectiveTime	 IVL_TS	0..1	
 ccd::ResultObservation		0..*	2.16.84.1.113883.10.20.17.3.2
 BirthWeight		0..1	2.16.84.1.113883.10.20.17.3.2
 EncountersSection		1..1	2.16.84.1.113883.10.20.17.3.2
 Neonatal Care Report		1..*	2.16.84.1.113883.10.20.17.3.2

### Data type constraint

Constrain the data type of a template attribute to be more restrictive than the same attribute in its parent class.

1. Select the table row for the attribute you want to constrain.
2. Select the Type table cell in that row using the mouse or by navigating with the keyboard arrow keys.
3. Either double-click in that cell or press Enter to open a type selection dialog.





In this example, we are restricting the BirthHeadCircumference value data type from ANY to PQ. We expect to add more selection guidance in future milestones; for now, you are responsible for selecting an appropriate type restriction. In the future, model validation will also verify model integrity, including template constraints.



### Fixed structural code value

Restrict a structural attribute (classCode, moodCode, typeCode) to a specified fixed code value.

1. Select the table row for the attribute you want to constrain.

 BirthHeadCircumference			2.16.84.1.113883.10.20.17.3.2
 <Comment>			
 classCode	 ActClassObserv...	1..1	

2. In the Properties view General tab, enter a code in the **Default Value** field. For example, enter OBS.
3. Check the **Read Only** box.

The `classCode` attribute is now constrained to a fixed value of OBS.

The screenshot shows the OpenTopic software interface. At the top, there are two tabs: 'ccd.uml' and 'ncr.uml'. Below the tabs is a table with columns: Name, Type, Multiplicity, Annotation, and Value. The table lists several attributes, including 'classCode', 'code', 'moodCode', 'statusCode', 'value', and 'ccd::ResultObservation'. The 'classCode' attribute is highlighted in blue. Below the table, there are two tabs: 'Properties' and 'Problems'. The 'Properties' tab is active, showing the 'classCode : ActClassObservation' property. The 'General' tab is selected, showing the 'Name' as 'classCode', 'Type' as 'vocab::ActClassObservation', and 'Default Value' as 'OBS'. There are also checkboxes for 'Derived', 'Read Only' (checked), 'Unique', and 'Ordered', and a dropdown for 'Aggregation' set to 'none'.

Name	Type	Multiplicity	Annotation	Value
ncr				
AcuityDataSection			2.16.840.1.113883.10.20.17.2.3	
BirthHeadCircumference			2.16.840.1.113883.10.20.17.3.2	
<Comment>				
classCode	ActClassObservat	1..1	fixed	OBS
code	CD	1..1	✗	
moodCode	ActMood	1..1	✗ fixed	EVN
statusCode	CS	1..1	✗	
value	PQ	1..1	✗	
ccd::ResultObservation			2.16.840.1.113883.10.20.1.31	

**Properties** **Problems**

**<Property> classCode : ActClassObservation**

**General**

Name: classCode

Type: vocab::ActClassObservation

Default Value: OBS

☐ Derived ☒ Read Only ☒ Unique ☐ Ordered Aggregation: none

### Vocabulary constraint

Specify the code system or value set ID and name, and optionally an individual code for coded attribute constraints.

The vocabulary constraint user interface fields are visible only when an attribute is selected with a data type that is a kind of CD.

1. Select the table row for the attribute you want to constrain.

BirthHeadCircumference			2.16.840.1.113883.10.20.17.3.2
<Comment>			
classCode	ActClassObserv...	1..1	
code	CD	1..1	✗

2. In the Properties view CDA Tools tab, enter the vocabulary constraints.

In this example, the `code` attribute is constrained to a specified code value from SNOMED CT. Notice that the table Annotation cell for the `code` attribute is automatically updated to show the vocabulary constraint that was entered in the Properties view. The icon preceding the annotation indicates the constraint severity: Error, Warning, or Info.

The screenshot shows the OpenTopic interface. At the top, a table displays data for 'BirthHeadCircumference'. The table has columns for ID, Name, Code, and Value. The 'code' column shows 'CD' and '1..1'. The 'Value' column shows 'SNOMEDCT#169876006' with a red 'X' icon. Below the table, the 'Properties' view is open for the 'code : CD' property. The 'Vocabulary Specification' tab is selected, showing fields for 'Code System ID', 'Code System Name', 'Version Date', 'Code', and 'Code Display Name'. The 'Validation' tab is also visible, showing a 'Severity' dropdown set to 'Error' and a 'Message' field containing a validation rule.

ID	Name	Code	Value
2.16.840.1.113883.10.20.17.3.2	BirthHeadCircumference		
	<Comment>		
	classCode	ActClassObserv...	1..1
	code	CD	1..1
	code	CD	1..1
	code	CD	1..1

**Properties View: <<vocabSpecification>> <Property> code : CD**

**Vocabulary Specification**

Code System ID: 2.16.840.1.113883.6.96 Code System Name: SNOMEDCT Version Date:

Code: 169876006 Code Display Name: Birth head circumference

**Validation**

Severity: Error

Message: NCR Birth Head Circumference SHALL contain [1..1] code/@code = "169876006" Birth head circu 2.16.840.1.113883.6.96 SNOMEDCT STATIC)

### Text value constraint

Specify a text value constraint for attributes with a data type that is a kind of ED.

1. Select the table row for the attribute you want to constrain.
2. In the Properties view CDA Tools tab, enter the **Text Value Constraint**.

In this example, the EncountersSection title is constrained to the value Encounters.

The screenshot shows the OpenTopic interface. At the top, a table displays data for 'EncountersSection'. The table has columns for ID, Name, Code, and Value. The 'code' column shows 'ST' and '1..1'. The 'Value' column shows 'DRIV (is derived from)' with a red 'X' icon. Below the table, the 'Properties' view is open for the 'title : ST' property. The 'Text Value Constraint' tab is selected, showing a 'Text Value Constraint' field set to 'Encounters'. The 'Validation' tab is also visible, showing a 'Severity' dropdown set to 'Error' and a 'Message' field containing a validation rule.

ID	Name	Code	Value
2.16.840.1.113883.10.20.17.2.2	EncountersSection		
	<Comment>		
	text	StrucDocText	1..1
	title	ST	1..1
	neonatalICUEncounterActiv		
	ccd::EncountersSection		

**Properties View: <<textValue>> <Property> title : ST**

**Text Value Constraint**

Text Value Constraint: Encounters

**Validation**

Severity: Error

Message: NCR Encounters Section SHALL contain [1..1] title

## Association constraints

Describes constraints on relationships between two template classes, e.g. that a Section template is required to contain a particular templated Observation.

Most CDA classes are derived from the RIM Act, so associations between templates (i.e. CDA classes that have been restricted via template specifications) must be connected using an ActRelationship. In our CDA modeling tools, the association is represented directly between two template classes and later implementation transformations will add the necessary ActRelationship intermediary.

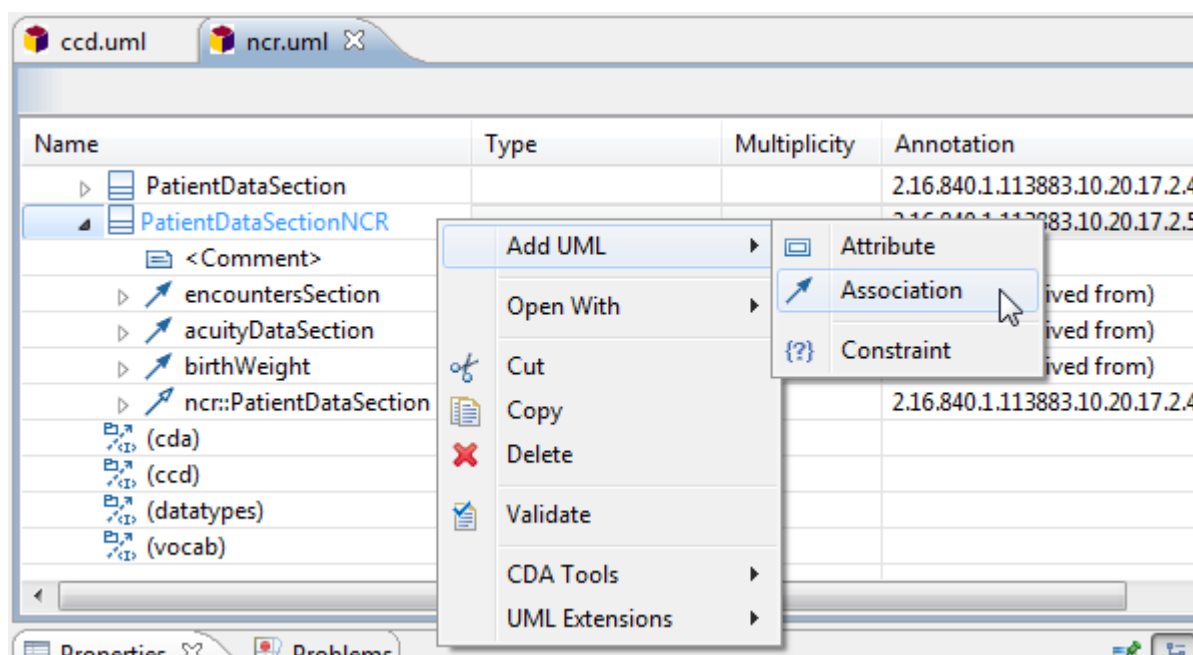
As part of defining the template association, a typeCode may be added as a restriction constraint, which will be used to generate the complete ActRelationship implementation. The CDA Tools do not yet support all types of act relationships in the CDA R2 base model; these are currently included:

- ClinicalDocument to Section (component)
- Section to ClinicalStatement (entry)
- ClinicalStatement to ClinicalStatement (actRelationship)

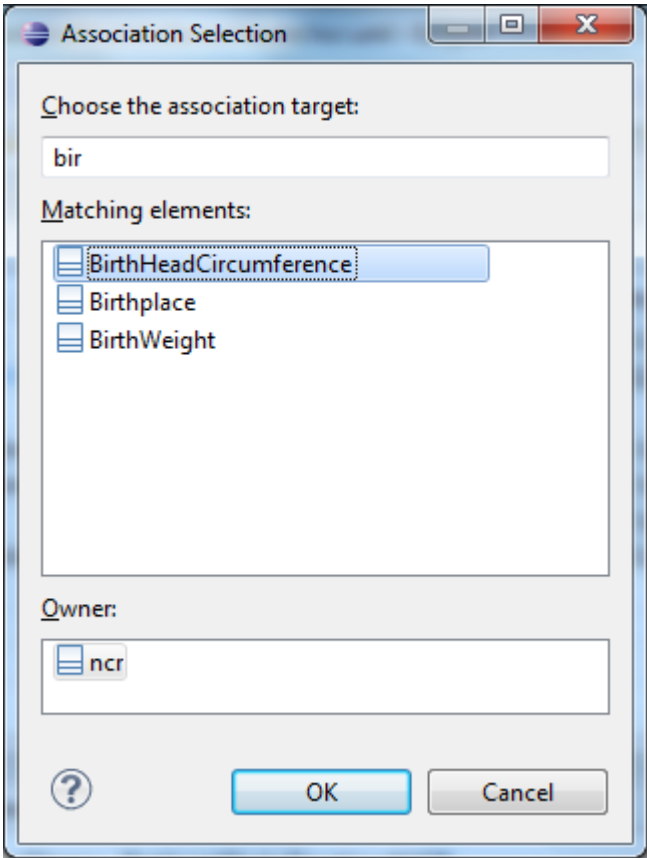
### Create a new association

Create a new association constraint between two template classes.

1. Select the table row for the template class that is the source/origin end of the association.
2. To add a new association, right-click on the table row and select **Add UML > Association**



3. Select the association target class. Type the first few characters of the class name to filter the list. Classes are shown for all open models, which includes classes in other models such as CDA or CCD.



4. Assign the target end multiplicity, if different from the default 1..1

<div> <div></div> <div>PatientDataSectionNCR</div> </div> <div> <div>&lt;Comment&gt;</div> <div> <div></div> <div>encountersSection</div> </div> <div> <div></div> <div>acuityDataSection</div> </div> <div> <div></div> <div>birthWeight</div> </div> <div> <div></div> <div>birthHeadCircumference</div> </div> <div> <div></div> <div>ncr::PatientDataSection</div> </div> </div>				2.16.840.1.113883.10.20.17.2.5
				DRIV (is derived from)
				DRIV (is derived from)
				DRIV (is derived from)
<div> <div></div> <div>birthHeadCircumference</div> </div> <div> <div></div> <div>BirthHeadCircum...</div> </div>	1..1			2.16.840.1.113883.10.20.17.2.4

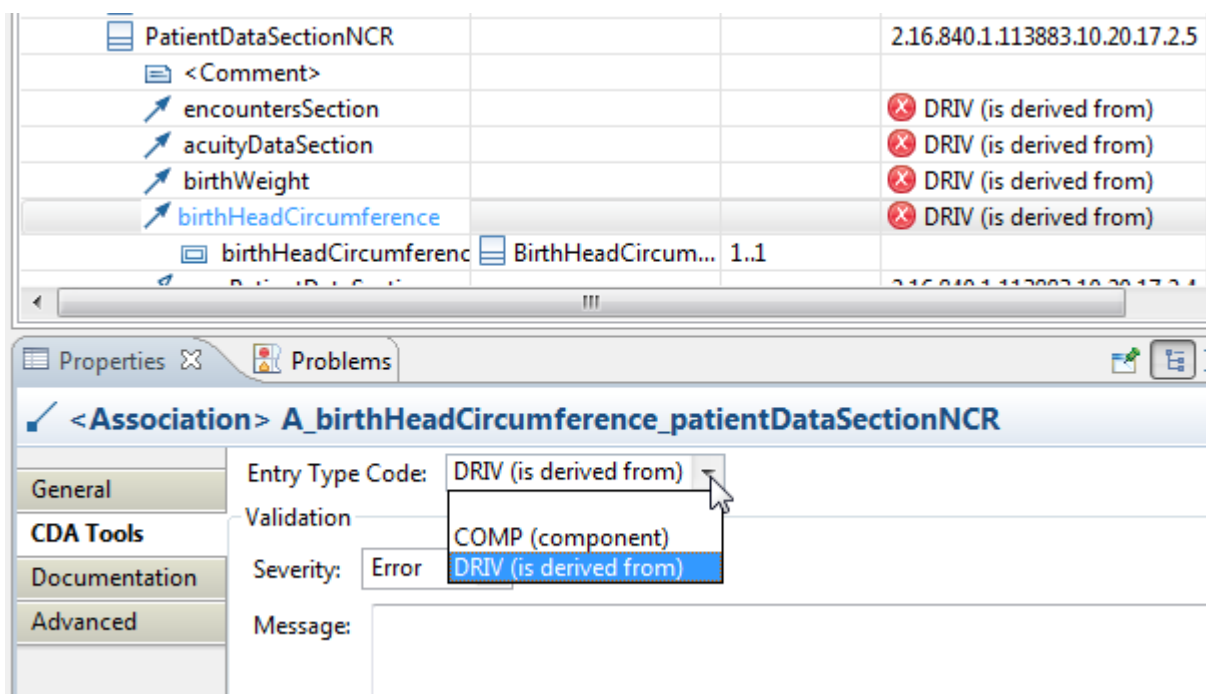
**Association type code constraint**

Add a typeCode constraint for the relationship connecting two template classes.

1. Select the table row for an association.
2. In the Properties view CDA Tools tab, the **Entry Type Code** field will be visible if this tooling supports typeCode constraint for the source/target association you have selected. Select the desired type code from the pull-down list.

This example adds an association from PatientDataSectionNCR to BirthHeadCircumference, with multiplicity 1..1 and typeCode DRIV.





## Other general constraints

Specify other constraints that cannot be expressed as basic attribute and association constraints.

Your objective should be to get all constraints in a formalized representation that is automatically generated into application code. The majority of template constraints may be expressed as UML attributes and associations, as described in other sections of this guide. However, a few constraints require more complex expressions. Our recommendation is to create a UML Constraint and to:

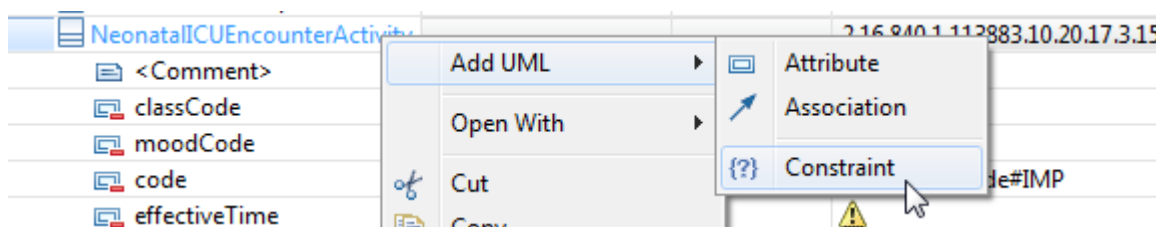
1. Add an *Analysis* language body that provides a human-readable description, and
2. Add an *OCL* language body that is a formal executable representation based on the CDA object model.

When an implementation guide is published from this model, the *Analysis* text is included in the document, whereas the *OCL* expression is used when generating Java code from the model.

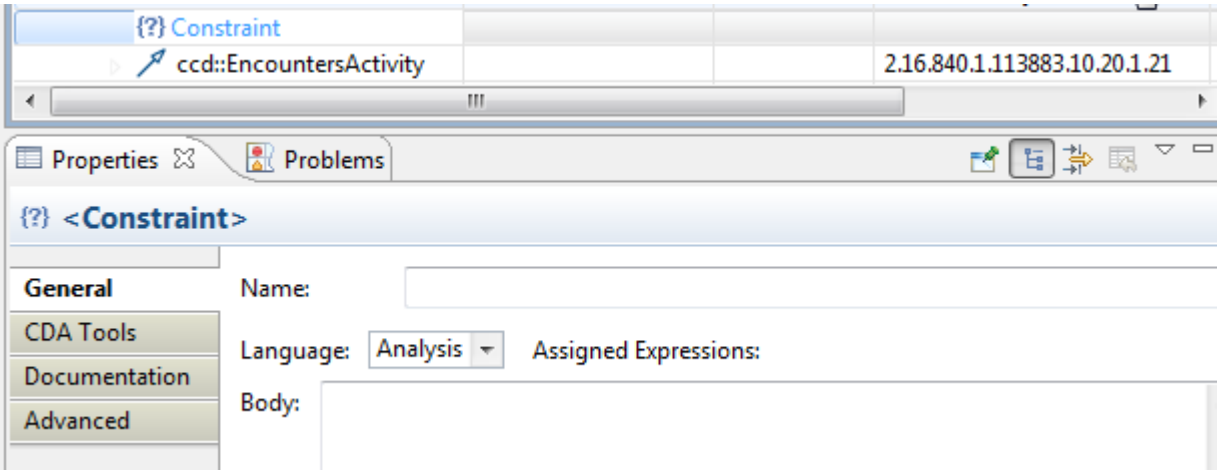
### Analysis language constraint

Add informal constraint definition in human readable text.

1. Select the table row for the template class that is context for the new constraint.
2. To add a new constraint, right-click on the table row and select **Add UML > Constraint**



3. Select the table row with the new constraint (it has the general label 'Constraint'), as shown here. Enter the constraint name, select 'Analysis' from the Language pull-down list, and enter the constraint body.



This is an example of a completed constraint within the Neonatal ICU Encounter Activity class.

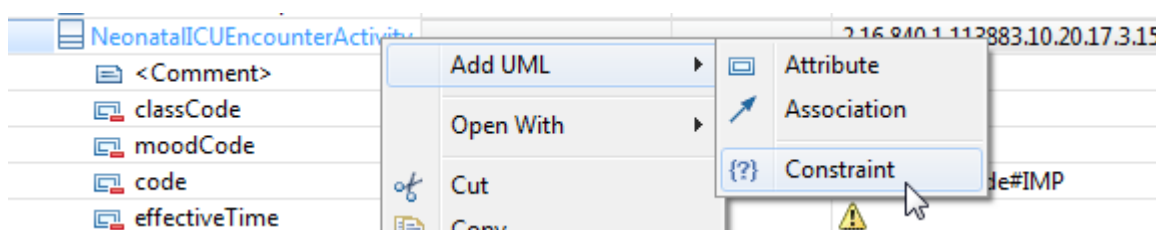
Name	Type	Multiplicity	Annotation
NeonatalICUEncounterActivity			2.16.840.1.113883.10.20.17.3.15
<Comment>			
classCode	ActClass	1..1	fixed
moodCode	ActMood	1..1	fixed
code	CD	1..1	HL7ActCode#IMP
effectiveTime	IVL_TS	0..1	
id	II	1..*	
NeonatalICUEncounterActi			Analysis
NeonatalICUEncounterActiv			OCL, Analysis

The screenshot shows the 'Properties' window for a 'Constraint' element. The 'General' tab is selected. The 'Name' field is set to 'NeonatalICUEncounterActivityLocation'. The 'Language' is set to 'Analysis'. The 'Assigned Expressions' field is set to 'OCL, Analysis'. The 'Body' field contains the text: 'This participant SHALL contain [1..1] @typeCode="LOC", and participant SHALL contain [1..1] Neonatal ICU location'.

**OCL language constraint**

Add formal constraint definition in Object Constraint Language (OCL).

- 1. Select the table row for the template class that is context for the new constraint.
- 2. To add a new constraint, right-click on the table row and select **Add UML > Constraint**



3. Select the table row with the new constraint (it has the general label 'Constraint'), as shown here. Enter the constraint name, select 'OCL' from the Language pull-down list, and enter the constraint body.

This is an example of a completed constraint within the Neonatal ICU Encounter Activity class. Notice that this constraint has both Analysis and OCL languages assigned. You can switch between the two body values by changing the selection in the Language pull-down. Also notice that the Annotation table column displays which, if any, constraint languages have been entered.

Name	Type	Multiplicity	Annotation
NeonatalICUEncounterActivity			2.16.840.1.113883.10.20.17.3.15
<Comment>			
classCode	ActClass	1..1	fixed
moodCode	ActMood	1..1	fixed
code	CD	1..1	HL7ActCode#IMP
effectiveTime	IVL_TS	0..1	
id	II	1..*	
{?} NeonatalICUEncounterActi			Analysis
{?} NeonatalICUEncounterActiv			OCL, Analysis

Properties

Problems

{?} <<constraintValidation>> <Constraint> NeonatalICUEncounterActivityLocation

General

CDA Tools

Documentation

Advanced

Name:

NeonatalICUEncounterActivityLocation

Language:

OCL

Assigned Expressions:

OCL, Analysis

Body:

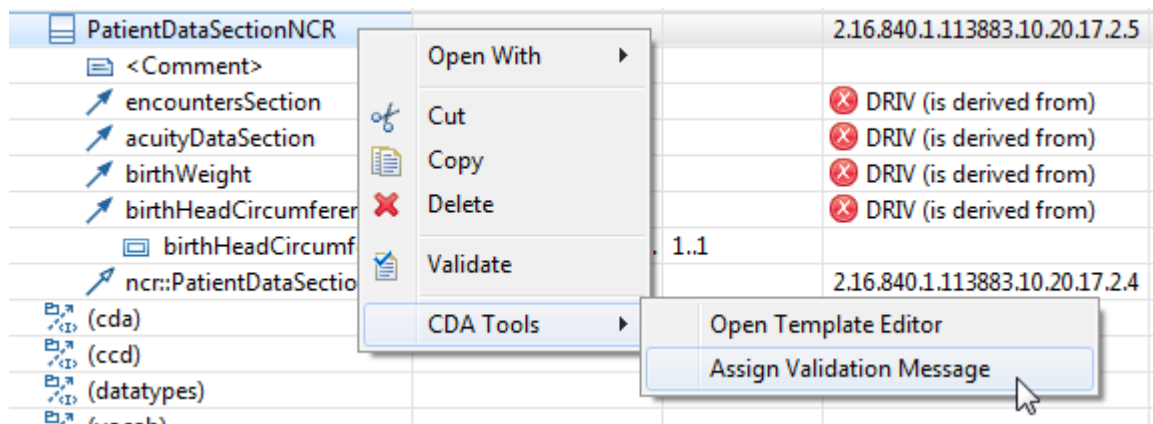
```
self.participant->one(participant : cda::Participant2 |
participant.typeCode=vocab::ParticipationType::LOC and participant.octIsKindOf
(ncr::NeonatalICULocation))
```

## Severity and message

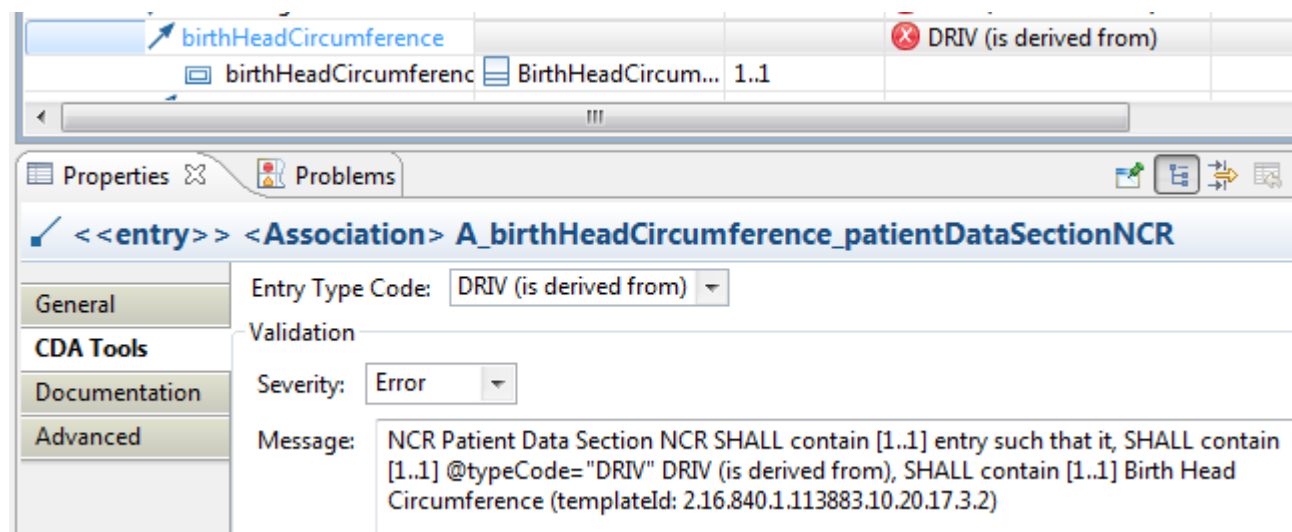
A template constraint may be assigned a severity level and a message string that describes the constraint.

Each template constraint may be assigned a severity level chosen from: Error, Warning, or Info. If not specified, Error is the default. These values correspond to typical requirement specification modes of SHALL, SHOULD, and MAY.

Each constraint also has a message that is displayed by generated Java code when CDA document instances are validated using these template definitions. Although a custom message can be entered for each constraint, it is easiest to calculate the message text from the model. The messages may be assigned as shown below.



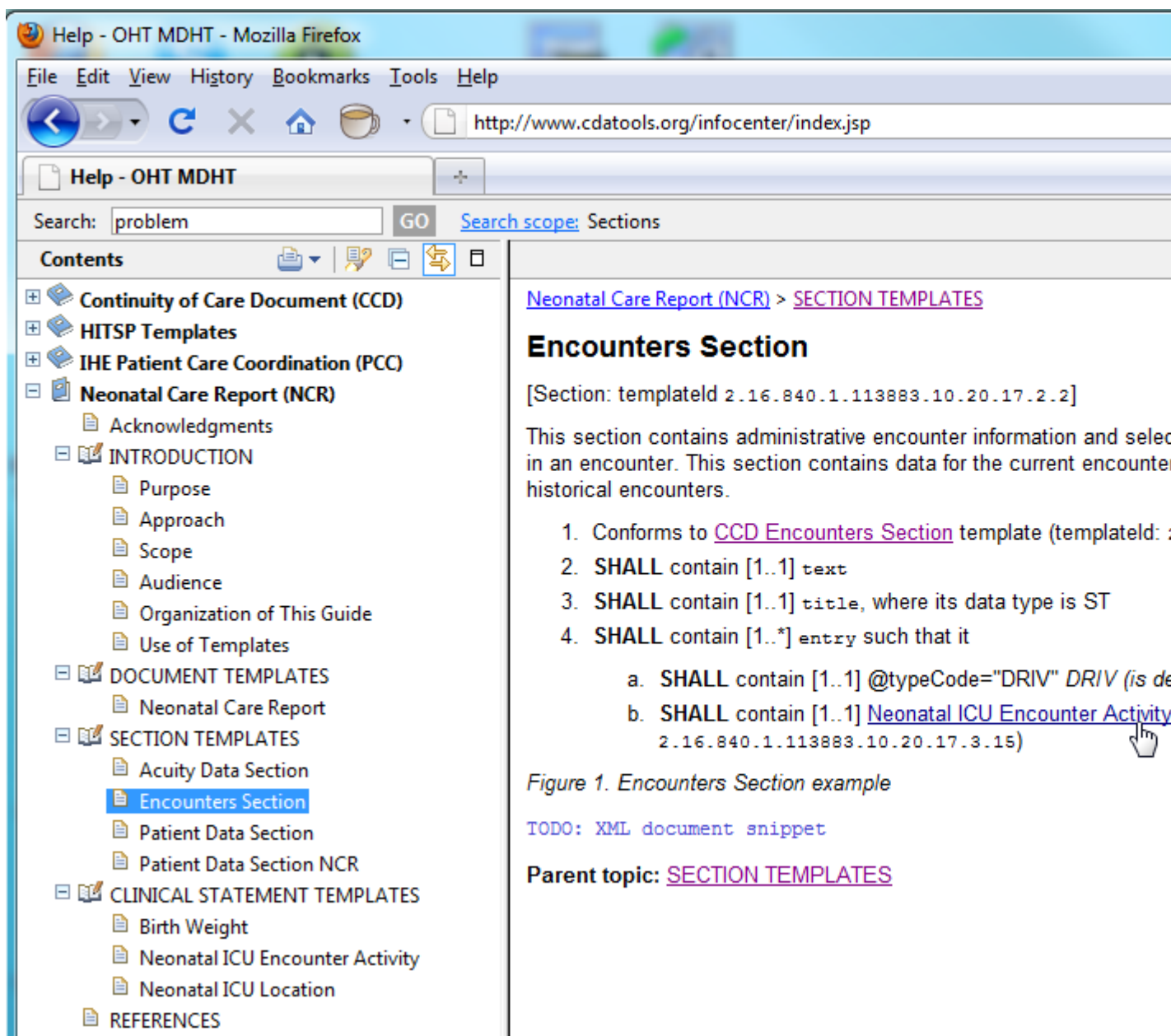
After assigning calculated messages for this class, the association message looks like this:



## Publish an Implementation Guide

Publish an implementation guide document from a UML model containing CDA template definitions.

This is still work-in-progress, however excellent progress has been made using the DITA XML standard for technical documentation as a vehicle for publishing implementation guides. The current proof-of-concept tooling can publish guides in both PDF and the Eclipse Help format. The on-line help format is a promising new approach for publishing and sharing CDA template specifications. The following screen shot illustrates an example.



## Create a new Implementation Guide

Create a project for a new implementation guide model.

**NOTE:** we will work on replacing this process with a Wizard in the next milestone.

The best way to create a new project is to copy the provided example project and run the `refactor.xml` Ant script to rename it. This can be accomplished by following these steps.

1. Download and disconnect the example project from the MDHT SVN repository
  - a) Check out `cda/example/org.openhealthtools.mdht.uml.cda.example`. Please check out a new unmodified project, don't start with an example you have changed.
  - b) Right-click on this new project and select **Team > Disconnect**, and select the option: 'Also delete SVN meta-information from file system'

2. Rename the project. Right-click on the new project and select **Refactor** > **Rename**. For example, enter the new project name 'org.openhealthtools.mdht.uml.cda.ncr'.
3. Edit and run the `refactor.xml` script.
  - a) Open the `refactor.xml` script in the Ant or text editor.
  - b) Change the property values for the following properties: `basePackage` (e.g. `org.openhealthtools.mdht.uml.cda`), `packageName` (e.g. `ncr`), `prefix` (NCR), and `nsURI` (e.g. `http://www.openhealthtools.org/mdht/uml/cda/ncr`).
  - c) Run the `refactor.xml` script as an Ant build. Make sure to run in the same JRE as the workspace.

## Implementers

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